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16 ABSTRACT

Pneumocystis jirovecii is a ubiquitous fungus causing pneumonia in humans. Diagnosis 17 was hampered by the inability to culture the organism, with diagnosis based on 18 microscopic examination of respiratory samples. Performance of microscopy was 19 20 improved by immunofluorescent (IF) testing using monocloncal antibodies targeting both cyst and trophic forms. Although microscopy is specific, with positivity used to 21 define disease, poor sensitivity meant negativity could not exclude it. 22 New assays can assist in the diagnosis. PCR has permitted testing of respiratory samples 23 other than bronchoalveolar lavage (BAL), easing sampling pressures. PCR has greater 24 sensitivity than IF but questions remain as to the significance of low level positivity, in 25 respect to colonisation versus disease associated with low fungal burdens. Conversely, 26 PCR negativity in BAL samples can exclude disease, provided sampling is adequate. The 27 presence of $1-3-\beta$ -D-Glucan in serum is also a useful biomarker, providing high 28 sensitivity. However, 1-3-β-D-Glucan is not specific to *Pneumocystis jirovecii* pneumonia 29 (PCP), and definitive thresholds for PCP are not available. Combination testing has the 30 potential to both diagnose and exclude PCP. Recommendations on prophylactic and 31 therapeutic management will be discussed with reference to new guidelines for PCP. 32

INTRODUCTION 33

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54

Pneumocystis jirovecii pneumonia (PCP) was an early indicator of the HIV epidemic and 34 occurred in 70-80% of AIDS patients. 1-3 The incidence of PCP associated with HIV has 35 fallen, a result of earlier HIV diagnoses, better anti-retroviral therapy and the use of 36 prophylaxis. Most HIV associated cases of PCP now occur in patients with undiagnosed 37 HIV. ^{4, 5} There is an increasing population of susceptible non-HIV patients, including 38 those with solid malignancies, solid organ transplant and haematopoietic stem cell 39 transplant recipients, patients receiving immuno-suppressive therapies for auto-40 immune and inflammatory conditions and those with genetic primary immune 41 deficiency disorders.⁶ A national study over the decade 2000-2010 showed an annual 42 average increase in incidence of 9%, and the largest cohort associated with PCP were 43 those suffering from underlying haematological malignancy. ⁵ Cases of PCP have also 44 been diagnosed in less typical scenarios, such as in non-HIV individuals suffering from 45 Dengue fever and those with pre-existing lung disease.^{5,7} 46 Children are exposed to *Pneumocystis* at early age, between the ages of 2-4 years old 47 over 80% of children will have generated antibodies. Reactivation of latent infection 48 was a presumed source of infection in susceptible hosts later in life.^{8,9} However, several 49 documented PCP outbreaks confirm anthropophilic transmission, likely by airborne 50 dispersal. Furthermore, typing revealed that infection was associated with place of 51 diagnosis rather than place of birth. ¹⁰ Increased risk for developing PCP is associated 52

lymphocyte dysfunction. A summary of risk factors is listed in Table 1.

The primary manifestations are associated with the respiratory tract, with extra-55

pulmonary disease, potentially associated with any organ, a rare manifestation. 56

Symptoms are generally non-specific, including fever, non-productive cough, worsening 57

with immuno-suppression, primarily a reduction in the CD4 lymphocyte count or

chest pain, shortness of breath (especially on exertion), with the severity of symptoms 58 often greater in non-HIV patients. ¹¹ In mild cases initial examination may appear 59 normal, although under exertion heart rate and oxygenation levels may become 60 abnormal.¹¹ In HIV+ patients the onset of symptoms can be indolent often delaying 61 diagnosis by weeks, whereas in non-HIV patients PCP presentation is acute, often 62 fulminant, particularly after corticosteroid administration.¹² The mortality rate in HIV+ 63 patients ranges from 17-30%, whereas in non-HIV patients are higher ranging from 28-64 53%. 12 65

Given the non-specific nature of the clinical findings further investigations specific to 66 Pneumocystis are necessary to confirm a diagnosis of PCP even in symptomatic high-risk 67 patients and diagnosis should not be based on clinical presentation and radiology. ¹³ 68 Microscopic examination and molecular testing of respiratory samples are available but 69 both have different performance limitations. Alternatively, serum/plasma samples can 70 be tested for the presence of (1-3)- β -D-Glucan (BDG), although this assay cannot 71 differentiate between the broad range of fungal pathogens it is capable of detecting. 72 Clinical investigations (e.g. radiology) can provide insight in likelihood of PCP by 73 showing evidence of the disease process or potential host response to infection, but 74 again lack aetiological specificity. Recent guidelines for the diagnosis and management 75 of PCP are available but the evidence is lacking or weak in many areas. ¹¹⁻¹⁷ 76

77 **DIAGNOSIS**

78 Understanding test formats

The incidence of a disease influences utility of diagnostic tests, and can determine the 79 optimal testing strategy in different clinical settings. Before ordering any test, clinicians 80 should decide how the test result (positive or negative) would affect the management of 81 their patient. If both outcomes are the same then the test has no clinical value. Clinicians 82 often focus on a purely diagnostic approach, but many tests are better suited to exclude 83 a diagnosis, avoiding the need for unnecessary therapy. Testing can also be used 84 prognostically to monitor disease and assess the duration and response to therapy. 85 For most cohorts the incidence of PCP is relatively low and the pre-test probability of 86 disease is small compared to the pre-test probability of not having disease. 87 Consequently, negative results are better suited to excluding disease through a high 88 sensitivity and negative predictive value (NPV). With high sensitivity comes potential 89 false positivity but specificity can be improved by intensifying the diagnostic work-up 90 through repeat and combination testing, and multi-disciplinary interpretation of results. 91 Different sample types, for example upper and lower respiratory tract specimens and 92 even blood samples, may shift the emphasis of the result from sensitivity/NPV to high 93 specificity/positive predictive value (PPV). 94

95

96 Radiological investigations

97 Chest radiography (CXR) may be normal during the early stages of disease, but can
98 worsen rapidly, particularly in the non-HIV population. ^{11, 12, 17} Computerised
99 tomography (CT) scans are more sensitive than conventional radiographic techniques,
100 providing evidence of infection even during the early stages of disease in non-HIV
101 patients, and there is a role for CT despite CXR negativity. ^{18, 19} CXR typically presents

with bilateral, diffuse interstitial infiltrates that progress to bilateral consolidations. ^{11,}
 ^{18, 20}

CT generally demonstrates bilateral, symmetric patchy ground-glass attenuation. 104 Consolidations may be present in mid or late stages of disease. ^{12, 18} Other findings 105 include nodules, cysts, pneumothoraces, upper lobe localization, linear opacities and 106 septal thickening. ^{11, 12, 20, 21} Cavitation, intra-thoracic adenopathy and pleural effusions 107 are less likely. ^{11, 16, 21} The radiological presentation of PCP is not specific and can imitate 108 other pathogens (e.g. bacterial pneumonia). ²² Radiology cannot provide an aetiological 109 diagnosis, but may be used to initiate empirical therapy in high risk patients. This 110 should trigger efforts to achieve a mycological diagnosis of the organism from the 111 respiratory tract. 112 Recent developments for the imaging of PCP include the successful application of ultra 113 low dose chest CT, fluorodeoxyglucose positron emission tomography (FDG-PET) and 114 bronchoscopic probe-based confocal laser endomicroscopy. ²³⁻²⁵ CT has also been used 115

to determine the severity and prognosis of PCP infection.^{18, 21}

117

118 Non-Microbiological Laboratory Investigations

Overall lymphocyte count should be determined, as values <10% of the norm has been
associated with a poor prognosis in PCP infection. ²⁶ Lymphocyte function in addition to
absolute numbers may also be significant and the role of recent immunosuppressant
drugs and other biological response modifying agents should be considered.

123 Hypoxaemia will vary depending on the severity of disease and HIV status, and

regularly presents as a mild and severe reduction arterial oxygen in HIV+ and non-HIV

patients, respectively. ^{11, 12} Serum lactate dehydrogenase (LDH) elevation is a suggestive

126 marker, with levels >500ml/dL associated with PCP. ¹¹ Extracellular LDH indicates cell

damage or cell death, with elevated levels correlating with lung tissue damage, but it is 127 not specific for PCP and is of little use outside the HIV+ population. In a study of LDH in 128 performance in HIV+ and non-HIV cohorts the sensitivity and specificity were 129 100%/47% and 63%/43%, respectively, showing that within HIV+ cohort a negative 130 result could be used to confidently exclude disease, but positivity required confirmatory 131 testing. ²⁷ The use of procalcitonin serum concentration to differentiate PCP from other 132 respiratory infections and/or colonisation is not clear. ²⁸⁻³⁰ 133 Clinical factors have also been used to predict mortality. In large observational cohort 134

study of 451 HIV+ patients five significant predictors (Age, recent intravenous drug use,
total bilirubin, serum albumin and alveolar-aterial oxygen gradient) were determined
through multivariate analysis and incorporated into model to predict PCP mortality. ³¹

138

139 Conventional Techniques – Culture

The difficulty in culturing *Pneumocystis* has hindered both diagnosis and research and 140 development. Several methods using various co-culture cell lines were described but 141 failed to attain widespread use. ³² Most attempts have used rat-models and 142 subsequently P. carinii not P. jirovecii. In 1999, P. carinii initially isolated from rat lung 143 was cultured using continuous axenic cultivation. ³³ This complex technique has been 144 successfully applied to the recovery of *P. carinii* from lungs and BAL fluid of rats and 145 used to investigate life-cycle, but has limited use in routine diagnostics. ³⁴⁻³⁶ 146 In 2014, the first successful cultivation and propagation of *P. jirovecii* direct from BAL 147 148 was achieved using a three-dimensional air-liquid interface culture system formed by CuFi-8 respiratory epithelial cell line. ³² While this represents a major breakthrough and 149 provides the potential to perform antifungal susceptibility testing, it still requires cell 150

151 culture, limiting its use in routine diagnostics laboratories, being replaced by direct152 molecular methods.

153

154 **Conventional Techniques – Microscopy**

The gold standard for the diagnosis of PCP remains the histological and microscopic 155 identification of ascus (cysts containing ascospores) and trophic forms using Wright's-156 Giemsa, toluidine blue O, calcofluor white or Grocott-Gomori stains, in tissue, BAL and 157 induced sputum. While Gomori stains the cell wall of the ascus form, Giemsa will stain 158 both ascus and trophic forms but do not stain the cell wall. Toluidine blue is a generic 159 stain for nucleic acids and polysaccharides, while calcofluor white stains chitin and 160 cellulose, neither is specific for *Pneumocystis*. The performance of conventional stains 161 has been superseded by IF microscopy using anti-P. jirovecii monoclonal antibodies. 12 162 However, in the majority of studies only the ascus form was targeted and a combination 163 of stain and/or IF kit to detect both ascus and trophic forms is recommended. IF kits 164 that detect both forms are available (e.g. Monofluo[™] Pneumocystis jirovecii IFA or 165 Merifluor Pneumocystis kits). 12 166

In a comparison of four staining methods sensitivities were 73.8%, 76.9%, 48.4% and 167 90.8%, for calcofluor white, Grocott-Gomori, Diff-Quik (modified Wright's-Giemsa) and 168 Merifluor Pneumocystis respectively. ³⁷ The sensitivity of the Diff-Quik method was 169 significantly lower than the other methods. For conventional stains the corresponding 170 specificity was >99%, whereas for the IF antibody assay (Merifluor Pneumocystis kit) it 171 was 94.7%, significantly lower than the other methods. ³⁷ The authors concluded that 172 the Merifluor Pneumocystis kit was a useful screen to exclude PCP but the 173 specificity/PPV was insufficient to confirm disease. However, the positive likelihood 174 ratio (less affected by prevalence) for the Merifluor Pneumocystis kit was 17.1, and 175

subsequent positive results are associated with PCP. Conversely, none of the non-IF
methods generated a negative likelihood ratio ≤0.1, and cannot be used to exclude
disease confidently.

For microscopic approaches a primary screen with a highly sensitive IF method
confirmed by a secondary specific method is recommended. ¹² A summary of the
comparative performance of various microscopic staining and fluorescent techniques
for the diagnosis of PCP is shown in table 2. ³⁸⁻⁴⁰

183 It is important to consider the influence of specimen type and quality on assay

184 performance. There is no standardised approach to sampling the respiratory tract and

185 protocols will vary across centres affecting the quality of BAL and sputa. When

186 comparing both IF and conventional staining on sputum and BAL, the sensitivity was

187 lower when testing sputum across all assays. ⁴⁰ In a meta-analysis involving seven

studies with 160 cases and 162 controls the sensitivity and specificity of staining and IF

189 of induced sputum was determined using BAL testing as a reference. ⁴¹ Overall

sensitivity and specificity when testing induced sputum was 55.5% and 98.6%,

respectively, although the sensitivity when IF testing (67.1%) was significantly greater

than conventional staining (43.1%). ⁴¹

193

194 **(1-3)-B-D-Glucan**

The use of assays to detect (1-3)-β-D-Glucan (BDG) is now widely accepted and permits
the testing of easily obtainable serum/plasma specimens. Clinical trials of BDG
performance for the diagnosis of PCP are lacking but various meta-analyses of clinical
evaluations exist (Table 3). ⁴²⁻⁴⁴ Overall, sensitivity is high and BDG negativity can be
used to exclude PCP, although false negatives have been noted.⁴⁵ Specificity is
suboptimal (<90%).⁴²⁻⁴⁴ A BDG positive result alone cannot be considered diagnostic of

201 PCP, due to the assays broad detection range coupled with a patient cohort that may susceptible to other fungal pathogens. The result should be interpreted along with 202 radiological findings together with a PCP specific assay. Specificity will also be affected 203 by non-infective factors such as potential sources of false positivity.⁴⁶ For the diagnosis 204 of PCP there was no difference in the overall accuracy of BDG assays developed by 205 different manufacturers. ⁴³ In one meta-analysis, BDG performance when testing 206 samples from HIV+ versus HIV- patients was comparable, although in a more recent 207 study sensitivity was deemed to be significantly lower in the non-HIV population (HIV+: 208 92% versus HIV-: 85%), potentially a result of the greater burden of organism seen in 209 HIV+ PCP. 43, 44 210

BDG assays utilise a single positivity threshold for the detection of invasive fungal 211 disease and it is not possible to confidently determine organism specific fungal 212 aetiology based on the strength of positivity. However, for cases of PCP it is not unusual 213 to see positivity greater than the upper limit of the assay (e.g. Fungitell >500pg/ml), 214 even in the absence of IF staining of respiratory samples. ^{47, 48} In the study of Damiani et 215 al. the median Fungitell BDG concentration across 17 cases of PCP was 1945pg/ml 216 (range: 122-8000pg/ml), with 10 of the cases generating concentrations >500pg/ml, 217 and 14 cases with concentrations >300pg/ml.⁴⁹ Both control and *Pneumocystis* 218 colonised patients had BDG concentrations below 90pg/ml. Differentiation of 219 colonisation from infection was also possible using the Beta-Glucan test Wako™ 220 (colonisation: 49pg/ml versus infection: 173pg/ml).⁵⁰ Compared to the Fungitell assay 221 the overall BDG concentrations generated by the Wako assay were lower for all 222 categories of infection, potentially reflecting the differences in reaction kinetics and 223 subsequent positivity thresholds and highlighting the necessity to independently 224 validate different kits. When testing serum by the Fungitell assay using a positivity 225

threshold of 300pg/ml the sensitivity, specificity, LR+ve and LR-ve were 91%, 92%,
11.4 and 0.1, respectively indicating that the assay could be used to both confirm and

228 exclude disease.³⁰

With *Pneumocystis* primarily infecting the respiratory tract a limitation of BDG is poor 229 clinical utility when testing respiratory samples. *Candida* species are common 230 commensals of the mucosal membranes and airway colonisation by other fungi is 231 possible the presence of elevated BDG concentrations are not indicative of disease, and 232 could be misleading in symptomatic patients. In one study the specificity of BDG testing 233 of BAL samples was only 68%, compared to 92% when testing serum and 234 reproducibility was poor with only 5.9% of retested BAL samples confirming the earlier 235 result. ⁴⁸ Even when using higher positivity thresholds BDG specificity when testing BAL 236 fluid remained compromised (241pg/ml: 39%; 783pg/ml 79%).³⁰ While there has been 237 a successful attempt to differentiate PCP infected from colonised/uninfected patients 238 based on BDG concentration. Others have found receiver operator characteristic curve 239 analysis to be of limited use in defining BDG BAL threshold. ^{30, 48, 51} 240

241

242 Molecular Investigations

The use of molecular based tests for the diagnosis of PCP continues to be described with
too many studies to be discussed individually. ¹³ While the focus on development of
local assays provides technological diversity, it prevents methodological
standardisation, which remains limited, and can affect the outcomes of meta-analyses.
Nevertheless, meta-analyses determining the performance of PCP PCR show excellent
performance for diagnosis (LR+ve: ≥10), but more so the exclusion of PCP (NPV: ≥99%,
LR-ve: ≤0.03) (Table 4).⁵²⁻⁵⁴

Sub-group analysis using microscopy as the reference standard showed performance 250 (Se: 97%, Sp: 93%) comparable to the combined population, whereas specificity was 251 increased to 96% when using other reference standards.⁵² Comparison of performance 252 in HIV+ and HIV- cohorts was similar. ⁵⁴ When testing BAL the sensitivity and specificity 253 were 100% and 87%, respectively, but when induced sputa were incorporated 254 sensitivity was 97% and specificity was 93%. ⁵⁴ Comparison of performance when PCR 255 testing BAL fluid with oropharyngeal wash fluid (OW) showed OW to have significantly 256 lower sensitivity (76%) but higher specificity (93%), indicating that the PCR detection 257 of *Pneumocystis* in the upper airways is a good indicator PCP (LR+ve 10.4, compared to 258 8.0 in BAL).⁵⁴ While PCP PCR negativity when testing BAL fluid appears to provide the 259 ability to confidently exclude PCP, false negatives associated with a mutation in the 260 large sub-unit mitochondrial rRNA has been noted and as with all molecular based 261 assay surveillance for genetic drift is required, but complicated by the lack of 262 surveillance cultures. ⁵⁵ The use of nasopharyngeal aspirates cannot be used to exclude 263 PCP, but may provide a useful adjunct diagnostic test in combination with other 264 markers (e.g. BDG). 56 265

From a technical perspective, the use of commercial kits for cell wall disruption and 266 nucleic acid extraction affected specificity, while targeting the ITS region for PCR 267 amplification improved sensitivity, but, along with targeting the large sub-unit 268 mitochondrial rRNA, decreased specificity. ⁵⁴ The use of nested-PCR provided 269 significantly lower specificity which could be attributed to its potential to detect sub-270 271 clinical levels of *Pneumocystis*, although could also be an effect of the contamination prone process. ⁵³ Nowadays the use of conventional PCR amplification systems has been 272 superseded by real-time (quantitative) PCR platforms that are associated with 273 improved specificity but also have been used to differentiate Pneumocystis infection 274

from colonisation. ^{54, 57-59} When interpreting the significance of the burden the 275 underlying condition of the patient and quality of sample must be considered. For 276 example, in one study using a real-time PCR cycle threshold (Ct) of 27 was associated 277 with 100% specificity for the diagnosis of PCP in HIV+ patients, yet the optimal Ct in 278 HIV- patients was 31 cycles and associated specificity was 80%. ⁵⁷ Conversely, an upper 279 Ct of 35 cycles generated a sensitivity of 80% and 1/5 PCP HIV- cases would be missed. 280 When setting thresholds to confirm or exclude disease it is critical that specificity and 281 sensitivity are \geq 95%, respectively. Otherwise the utility of the assay is compromised 282 and results of limited clinical value. 283

When interpreting low level PCP PCR positives (Ct >35) it is important to determine 284 both the quality of sampling and also understand the presentation of clinical disease in 285 HIV-patients with a low fungal burden but significant immune response. Theoretically, 286 human DNA can be used as a surrogate for sample assessment. Low levels of human 287 DNA could represent poor sample quality, whereas if a large quantity is present it could 288 represent a strong immune response. For reference it is essential to know the typical 289 burden of human DNA in respiratory samples and it is also requires that sampling is 290 standardised, which for BAL remains highly variable. The sampling of the upper 291 respiratory tract is less variable and has been associated with greater specificity. ⁵⁴ 292 Given the broad range of available PCP PCR assays it may be wise for centres to 293 incorporate commercially manufactured and standardised tests that have developed an 294 understanding of how to interpret, in particular low level positives. In a comparative 295 296 study of three commercial assays (Pneumocystis jirovecii (carinii) - FRT PCR Kit (AmpliSens), MycAssay Pneumocystis (Myconostica) and real-time PCR Pneumocystis 297 *jirovecii* (Bio-Evolution)) the sensitivity and specificity when testing proven/probable 298 PCP was 100%, 100%, 95% and 83%, 93% and 100%, respectively, and sample 299

300 concordance between the Amplisens and MycAssay were excellent (Kappa: 0.85). ⁶⁰ One interesting concept is the development of a commercial real-time PCR for both the 301 detection of organism and dihydropteroate synthase (DHPS) point mutations associated 302 with resistance to sulfa-based drugs such as sulfamethoxazole and dapsone, used for 303 both prophylaxis and treatment of PCP. ⁶¹ Using a positivity threshold of 32 cycles the 304 sensitivity and specificity of the PneumoGenius® assay were 70% and 82%, 305 respectively. Performance may have been affected by the classification of disease based 306 on clinical findings in high risk hosts responding to PCP therapy but missing a 307 mycological criterion. Nevertheless, the assay was able to screen for sulfa-resistance 308 direct from 89 samples and showed a 4.5% resistance rate. ⁶¹ 309 With more than 60 types of *P. Jirovecii* identified and approximately 30% of PCP cases 310 infected with multiple types, the ability to investigate transmission and clusters has 311 been hampered by the difficulty in cultivating *Pneumocystis*. ^{62, 63} Molecular based 312 methods can also be used to determine the epidemiology and transmission of infection 313 and to investigate potential outbreak scenarios and multi-locus sequence typing and 314 multi-locus real-time mutation frequencies have been used. 10, 64, 65 315

316

317 **Combination testing**

While the reference standard for the diagnosis of PCP remains microscopic evidence,
usually IF, within a respiratory specimen its limited sensitivity cannot be used to
exclude disease. ^{12, 13, 17} The question remains whether by combining more sensitive
tests specificity of diagnosis can be improved while maintaining confidence in exclusion.
In the adult haematology population, current guidelines suggest a diagnostic algorithm
involving real-time PCR and IF testing of BAL in patients with a clinical suspicion of
disease. If both are positive, a diagnosis of PCR is confirmed and vice versa. ¹³ If PCR is

positive, but IF negative, diagnosis is made if high burdens are detected, For low 325 burdens, additional BDG testing is recommended. If PCR is negative but IF positive then 326 this is considered technically inconsistent and the quality of either result is questioned. 327 ¹³ This begs the questioned why IF is still being performed, rather than being replaced 328 with PCR in combination with BDG testing. In a study comparing circulating biomarkers 329 with PCP lung burden 96% of (25/26) patients that were BAL PCP PCR positive but IF 330 negative were also positive by BDG, as were all (10/10) patients that were BAL PCP PCR 331 and IF positive. ⁶⁶ Conversely, 29% (10/34) of PCP PCR and IF negative were BDG 332 positive, although 15/34 were diagnosed with proven/probable invasive aspergillosis. 333 Given the panfungal nature of BDG, it makes sense to perform a primary investigation 334 using PCP PCR, and if positive confirm, dependent on pulmonary burden, with BDG 335 testing. ⁴⁹ When BAL samples are not available BDG testing of serum is recommended 336 where negativity can be used to exclude PCP, but positivity should be confirmed by PCR 337 (or IF) testing of less invasive respiratory samples. ¹³ 338 The combination of BDG testing in association with LDH levels permits a fully non-339 invasive sampling regime and has been successfully evaluated for the diagnosis of PCP. 340 When using optimal thresholds (BDG: 400pg/ml; LDH: 350U/l) specificity was 84%. 67 341 A further serological biomarker multi-centre study evaluated BDG, LDH, Krebs von den 342 Lungen-6 antigen (KL-6, a potential marker of interstitial pneumonitis) and S-adenosyl 343 methionine (SAM, a metabolic intermediate possibly exogenously required by 344 *Pneumocystis*) to aid in the diagnosis of PCP. ⁶⁸ The best overall performance was by 345 combining BDG with KL-6 (Se: 94% Sp: 90%). Although sensitivity was slightly higher 346 when combining BDG with LDH, specificity was compromised (Sensitivity: 97%) 347 Specificity: 72%). For all these approaches it could be argued that the absence of 348 organism specific assay compromises confidence in diagnosis, and incorporating a 349

Pneumocystis specific PCR is required. If this is the case then the combination of
PCR/BDG is preferable to using another non-specific serological biomarker.

352

353 MANAGEMENT

PCP can run a fulminant course, particularly in HIV negative individuals and early
treatment improves prognosis. Disease can be stratified according to mild, moderate or
severe depending on presenting symptoms, oxygen saturation and chest radiographic
changes. Requirement for mechanical ventilation and vasopressors is a poor prognostic
feature.

Clinicians should commence antimicrobials on the basis of clinical suspicion and before 359 diagnostic investigations have been performed. Increasingly, sensitive molecular and 360 biomarker detection is picking up patients who have only minimal symptoms or who 361 are asymptomatic and this can present some diagnostic dilemma. Prophylaxis of at risk 362 patients is also considered a mainstay of management. Guidelines for the prophylaxis 363 and treatment have been developed for different groups and are summarized in Table 5. 364 Although included within the fungal kingdom on the basis of cell wall composition and 365 structure combined with nucleotide sequence similarity, *Pneumocystis jirovecii* is not 366 susceptible to polyene and azole antifungal drugs, due to the absence of ergosterol from 367 its cell wall. The different morphological forms also show varying susceptibility to 368 other drugs with *in vitro* inhibition of ascospores (cyst) but not trophic forms by 369 echinocandins. Trimethoprim, sulfa drugs and pentamidine form the main stays of 370 treatment. Corticosteroids are of proven benefit in HIV positive individuals with disease 371 but a beneficial role has not been established for other patient groups. The most 372 effective way of preventing PCP in people living with HIV is by immune-reconstitution 373

through the administration of effective anti-retroviral therapy. Prophylaxis should beadministered until immune reconstitution has been achieved.

376

377 Prophylaxis

Recommendations for prophylaxis are comprehensively reviewed in the ECIL guideline
although this focuses on patients with haematological malignancies and undergoing
SCT.¹⁶

Prophylaxis is recommended in risk groups that include HIV positive patients with CD4 381 counts less than 200 cells/mm³, transplant patients, and patients with high-risk 382 haematological malignancies as well as a growing number of patients receiving disease 383 modifying drugs and aggressive chemotherapeutic regimens for an array of 384 inflammatory and malignant diseases. ⁶⁹ This last group is increasing rapidly and 385 includes patients receiving TNF blockade (infliximab, adalimumab, etanercept), anti-386 IL1 therapies (alemtuzamab). B-cell blockade (Rituximab) and selective T cell blockade 387 in addition to anti-purine drugs, bendamustine, nucleoside analogues and high-dose 388 steroids for prolonged periods. 70 389 390 Cotrimoxazole remains the drug of choice for both prophylaxis and treatment. Systematic review and meta-analysis have shown significant benefit in preventing PCP 391 and reducing PCP related mortality although the trials analysed focused on 392

haematological malignancy and solid organ transplant patients and tended to be small
and of poor quality. ⁷¹ The benefit in HIV populations is well documented and the effect
on survival is compelling but there are few data in other groups of patients particularly
those receiving disease modifying drugs. Prophylaxis is still not universally used in

397 haematological patients receiving rituximab despite recommendations for prophylaxis

398 in rheumatoid arthritis. ⁶⁹

A variety of different prophylactic regimens of cotrimaxazole have been used, Daily, 399 alternative day, and thrice weekly have all been used and the optimum regimen in 400 different patient groups has not been determined. ECIL guidelines recommend either 401 one single strength tablet (480mg) daily or one double strength (960mg) table three 402 403 times a week. ¹⁶

Intolerance of cotrimaxazole and adverse events (including, rashes and marrow 404 suppression) are relatively frequent and may necessitate use of second-line agents. 405 Inhaled pentamidine, dapsone and atovaquone have all be used effectively but are 406 considered inferior to cotrimaxazole on the basis of largely retrospective comparisons 407 and should only be used after careful consideration. 408 It may be possible to reintroduce cotrimaxazole when adverse events resolve. 409

Inhaled pentamidine has the advantage that it is administered monthly but requires a 410

jet nebulizer and side-room facilities for effective and safe administration. Dapsone can 411

trigger methaemoglobinaemia in susceptible individuals and patients should be 412

screened for glucose-6- phosphate dehydrogenase deficiency before use. Other serious 413

side-effects include a potentially fatal idiosyncratic dapsone-hypersensitivity syndrome 414

causing fever, skin rash, eosinophilia, and major organ dysfunction. Atovaquone is 415

generally better tolerated and probably as effective as the other second line agents. Use 416

tends to be limited by higher drug acquisition costs. 417

418 **Treatment**

419 Recommendations are comprehensively reviewed in the ECIL guideline although this
420 focuses on patients without HIV disease.¹⁵

421 High dose cotrimoxazole is the treatment of choice given intravenously at

422 20mg/kg/day in 2-4 divided doses. For severe disease, primaquine plus clindamycin is

423 used for intolerant and refractory cases. Intravenous pentamidine has also been used

424 but experience is confined to case reports. For mile to moderate disease, atovaquone

425 may be used second-line. The use of echinocandins is not recommended.

426 Adjunctive corticosteroids (50-80mg daily) have established benefit in severe disease in

427 patients with HIV but use in other patients should be considered on a case to case basis.

428 Treatment durations of 14-21 days are recommended depending on response and

429 severity of disease. Patients can be slow to respond and may actually deteriorate

430 clinically in the first few days of treatment. Assessment of failure to respond cannot be

431 made confidently during the first week of treatment.

432

433 **CONCLUSIONS**

With the incidence of PcP increasing through infection in high-risk non-HIV-infected 434 patients, it is essential that ever effort is made to optimize the diagnosis of PcP. While the 435 development of culture-based methods is a breakthrough in the field, they come at a time 436 437 when reliance on culture to attain a microbiological diagnosis is less and the role of PcP culture more suited to the academic scenario. Non-mycological laboratory markers and 438 439 clinical presentation although satisfactory to initiate therapy in high-risk individuals do not provide a definitive diagnosis. Diagnosis by IF remains the reference standard, but the 440 development of non-culturebased strategies has aided the diagnosis of other fungal 441 diseases (e.g. invasive aspergillosis) and the combination of PcP 442 443 PCR along with BDG testing may be suitable alternative, especially given the low incidence 444 of disease. With both prophylaxis and treatment based on the primary use of

cotrimoxazole, the emergence of resistance to sulfa-based drugs is of concern, and in the
absence of culture, molecular techniques are the only route available to identifying
resistance in Pneumocystis.

448 Expert commentary

A weakness in the diagnosis of PcP remains the resistance to move away from microscopic 449 450 based diagnosis. It is accepted that sensitivity is far from optimal and false negatives will occur, but this conflicts with the low incidence of disease that dictates testing be used to 451 exclude disease, with subsequent sensitivity paramount. For a disease where recent 452 453 incidences across HIV, hematology and solid organ transplantation were approximately 1% 454 or less, the pretest probability of not having PcP is approximately 99% and it is 455 far easier to use a highly sensitive (≥95%) test to confidently exclude disease than a highly 456 specific (≥95%) assay to confirm it [19–21]. For example, for a disease with a prevalence of 457 1% and an assay with a good sensitivity and specificity of 90% the posttest probability of 458 disease associated with a positive result is 8.3%, whereas the posttest probability of no 459 disease associated with a negative result is 99.9%. Increasing specificity to 95% and 99%, respectively, increases the posttest probability of disease, when the assay is positive to 460 461 15.4% and 47.6%, respectively. So even with an excellent specificity of 99% it is 462 more likely that the patient does not have disease. If this applied specifically to PcP and typical performance of PCR and IF microscopy then it is clear that even though IF provides 463 a greater degree of diagnostic confidence it is still not infallible, and its lower sensitivity 464 465 limits its application to exclude disease. For PCR to take over as the reference method for PcP diagnosis standardization is required and commercially produced kits, international 466 collaborative efforts of the Fungal PCR initiative and external quality control exercises 467 (Quality Control for Molecular diagnosis (QCMD)) will assist this process. With a reliance on 468 469 testing lower respiratory tract specimens (e.g. BAL), the testing for PcP will always be 470 balanced against the risk of obtaining the sample (e.g. during thrombocytopenia). 471 Consequently, clinical diagnosis, based on risk factors, symptoms and response to therapy, will occur, but in cases not responding to therapy, this could reflect a pneumonia caused by 472 a different etiology or possibly a case of treatment- resistant PcP. Moving away from 473 474 testing BAL specimens to less-invasive specimens, such as upper respiratory samples or 475 even blood, alleviates the clinical pressure and also removes the need to standardize 476 bronchoscopy, which varies considerably between centers and impacts on test

477 performance and interpretation. It is unlikely that a single noninvasive test will be able to 478 provide both a diagnosis and the ability to exclude disease, but combining PCR of upper 479 respiratory tract specimens with BDG testing of serum may do so. Currently, large scale 480 performance data is limited but the ability to offer this noninvasive approach will surely 481 appeal to clinicians and it is hoped there will be sufficient evidence in the near future to 482 confirm the applicability of this strategy.

483 Five-year view

Within the next five years, diagnosis of PcP will become less reliant on IF, with the potential 484 for IF to become obsolete. The standardization of PCR through the efforts of the Fungal PCR 485 486 initiative and through commercial development coupled with increasing prospective 487 information on the performance of real-time PCR will provide greater understanding of 488 interpretation of low-level PCR positives, across a range of patient populations. Combining 489 PCR with BDG will further reduce the requirement for IF diagnosis. The development of 490 syndromic testing using multiplex molecular methods may allow PcP to be detected 491 alongside a range of other respiratory pathogens (e.g. Abbott IRIDICA) in a single assay. 492 Whether BDG could be combined with Pneumocystis-specific immunology (antibodies or 493 antigen) and provide a totally serological approach is yet to be proved. Although antibody ELISA tests targeting the major surface glycoproteins (Msg A, Msg B and Msg C) in 494 495 Pneumocystis have shown promise, there is very little in the way of standardization and 496 commercialization [84]. There is also the problem of positivity in healthy individuals who have been exposed to 497 Pneumocystis, and as antibody levels peak almost a month post recovery, whether 498 499 significant antibody positivity will occur too late to be clinically useful

500 [84-86].

501 The application of next-generation sequencing (NGS) in relation to Pneumocystis is limited 502 by the lack of culture. The ability to perform cell culture may alleviate the problem and 503 should be focused on strains of Pneumocystis which are resistant to treatment to identify 504 new molecular mechanisms of resistance. NGS may also provide further insights into 505 transmission and sources of infection, allowing improved infection control measures to be 506 applied. By combining direct PCR testing of nucleic acid extracted from respiratory 507 specimens, NGS can provide enhanced broad-range diagnosis in symptomatic

patients, but also an understanding of the respiratory microbiome and the prevalence of
Pneumocystis colonization in asymptomatic individuals.

From a clinical perspective, it is likely that the population at risk of PcP will expand with
cases diagnosed in novel cohorts and the application of resistance monitoring is likely to
become a standard procedure and has already been trialled in Europe where anthropophilic

513 transmission and suboptimal prophylaxis were identified as risk factors [87].

514 Key issues

515 The population of patients at risk from PCP is growing and changing. While the

516 incidence of disease in the HIV cohort may be reducing due to successful anti-

517 retroviral therapy the incidence in other populations (Haematology, particularly

518 conditions affecting lymphocyte count and function; solid organ transplant

519 recipients, including renal transplants; solid malignancy; rheumatoid conditions;

520 pre-existing chronic lung conditions; patients with connective tissue disorders

521 and those receiving immuno-modulatory therapies) is increasing.

In high risk patients clinical presentation and radiology is sufficient for initiating
 empirical therapy but should not be used as definitive diagnosis, and on
 commencing therapy every effort should be made to achieve an organism

525 specific mycological diagnosis.

The reference method for the diagnosis of PCP is the microscopic examination of
 respiratory samples, preferably BAL fluid, with immuno-fluorescent staining
 using anti-*Pneumocystis* antibodies targeting both ascus and trophic forms.

Negative microscopy cannot be used to exclude PCP, but given the incidence of
 disease exclusion of disease is a sensible use of mycological testing. Both PCP
 PCR of BAL and BDG of serum/plasma can be used to exclude PCP when

532 negative.

BDG testing of serum and plasma is very sensitive (>90%) but not sufficiently
 specific and given the broad detection range coupled with the susceptibility of
 the at-risk patient population it should be combined with an organism specific
 test. The BDG testing of respiratory samples is not recommended and adds very
 little to testing serum/plasma.

- Standardisation of PCP PCR methodology would be beneficial although meta analyses of current methodology provide high (≥90%) sensitivity and specificity
 when testing BAL fluid. PCP PCR sensitivity is reduced when testing upper
 respiratory samples, although specificity is increased. Commercial PCP PCR tests
- 542will assist in methodological standardisation and have the ability to identify
- 543 genetic markers associated with resistance to sulfa-based therapy direct from
- 544 the specimen. Molecular based methods can be used to identify origin of
- infection, transmission routes, outbreaks situations as well as epidemiology andevolution of the organism.
- Combination testing, involving IF microscopy and PCR on BAL, or in the absence
 of BAL, BDG on serum/plasma and PCR/IF on an upper respiratory sample is
 recommended. Albeit there is a strong argument for combining PCR and BDG
 alone.
- Guidelines for the prophylaxis and treatment of PCP in HIV, solid organ
 transplantation, haematology and rheumatoid conditions are available.
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Q.

Underlying condition	Risk Factor
HIV/AIDS ^a	CD4 count <200cells/µl,
	CD4 cell percentage <14%,
	Previous PCP,
	Oral Candidiasis,
	Higher HIV burden,
	Ongoing bacterial pneumonia.
Haematological malignancy ^b	CD4 count <200cells/µl,
	Lymphocytopenia,
	Immuno-suppression to prevent rejection
	of allogeneic haematopoietic SCT.
	For autologous SCT patients receiving
	purine analogues or high dose
	corticosteroids.
	GVHD,
	ALL patients or those with
	lymphoproliferative disorders (CML, NHL,
	and multiple myeloma) as a result of
	chemotherapy including
	R-CHOP14, FCR, AVBD, gemcitabine or
	high-dose methotrexate.
	Monoclonal antibodies (e.g. rituximab).

Table 1. A summary of risk factors for *Pneumocystis* pneumonia.

Solid-organ transplantation ^c	CD4 count <200cells/µl,
	Corticosteroids,
	Anti-lymphocyte therapy,
	Mycophenolate mofetil,
	Calcineurin inhibitors,
	CMV disease,
	Graft rejection,
	Prolonged neutropenia,
	Exposure to cases of PCP.
Inflammatory Disease	Administration of multiple (\geq 3)
	immunomodulatory medications,
	including: Calcineurin inhibitors and/or
	anti-TNF therapy.
	Corticosteroids.
^a Information collated from CDC, NIH, HI	VMA/IDSA guidelines (11)

^b Information collated from ECIL guidelines (16)

^c Information collated from American Society of Transplantation guidelines (17)

Table 2. Studies comparing the performance of various microscopic staining and
fluorescent kits for the detection of PCP. When interpreting results the influence of
incorporation bias on performance parameters should be considered, as in many
studies the results, particularly in combination with the other tests have been used to
define cases and controls.

Reference	Assay	Performanc	ce Parameter					
		Sensitivity	Specificity	PPV	NPV	LR+ve	LR-ve	DOR
37	CW	73.8%	99.6%	98.0%	93.4%	184.5	0.26	709.6
	MF	90.8%	94.7%	81.9%	97.5%	17.1	0.097	176.3
	DQ	48.4%	99.6%	96.9%	88.0%	121.0	0.51	237.3
	GMS	76.9%	99.2%	96.2%	94.2%	96.2	0.23	418.3
38	СВ	74.3%	99.6%	92.9%	98.0%	165.6	0.3	552.0
	MoF	60.0%	99.3%	87.5%	96.9%	89.2	0.4	223.0
	Giemsa	34.6%	100%	100%	95.1%	>346ª	0.7	>494.3 ^a
39	GMS	50%	100%	100%	96.5%	>500ª	0.5	>1000ª
	Giemsa	50%	100%	100%	96.5%	>500ª	0.5	>1000ª
40 ^b	MoF	93.1%	100%	100%	95.5%	>931ª	0.07	>13300 ^a
	DQ	87.9%	97.6%	98.1%	85.4%	36.6	0.12	305.0
	GMS	89.7%	95.2%	96.3%	87.0%	18.7	0.11	170.0
	PCIF	94.8%	88.1%	91.7%	92.5%	8.0	0.06	133.3

^a Values have been generated using a specificity of 99.9% to overcome ∞

^b Results represent combined induced sputum and bronchoalveolar lavage fluid testing

838	Key:	CW:	Calcofluor white
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- MF: Merifluor *Pneumocystis* CB:
- 840 DQ: Diff-Quik

839

CB: Calcofluor blueMoF: Monofluo[™] Pneumocystis jirovecii

GMS: Grocott-Gomori methenamine silver

841 PCIF: *P. carinii* IF kit

Table 3. The performance of (1-3)-β-D-Glucan Testing for the diagnosis of *Pneumocystis*pneumonia as determined by meta-analyses. The table contains data determined for
range of susceptible patients testing with various BDG assays.

Parameter	Study		
	Karageorgopoulos (40)	Onishi (41)	Li (42)
Cases/Total (n/N)	357/2080	286/2331	433/2195
Sensitivity (%)	94.8	95.5	90.8
Specificity (%)	86.3	84.3	78.1
PPV (%)	54.3	46.0	50.5
NPV (%)	99.0	99.3	97.2
LR +ve	6.9	6.1	4.1
LR -ve	0.06	0.05	0.12
DOR	115	122	34.2

847 Key:

848	PPV:	Positive predictive value	
849	NPV:	Negative predictive value	
850	LR +ve:	Positive likelihood ratio	
851	LR -ve:	Negative likelihood ratio	
852	DOR:	Diagnostics Odds ratio	

Table 4 The performance of PCR for the diagnosis of *Pneumocystis* pneumonia as
determined by meta-analyses. The table provides the performance for PCP PCR when
testing both HIV+ and HIV- patients, when testing upper and lower respiratory tract
specimens, and is irrespective of differing technical details.

Parameter	Study				
	Summah (50)ª	Fan (51)	Lu (52)		
Cases/Total (n/N)	506/2330	606/1793	416/2505		
Sensitivity (%)	97	98	99		
Specificity (%)	94	91	90		
PPV (%)	82	85	66		
NPV (%)	99	99	>99		
LR +ve	16.2	10.9	9.9		
LR -ve	0.03	0.02	0.01		
DOR	540	545	990		

^a Due to incomplete information the case and total population were calculated using

sample numbers.

Key:

- 863 PPV: Positive predictive value864 NPV: Negative predictive value
- **LR +ve:** Positive likelihood ratio
- **LR -ve:** Negative likelihood ratio
- **DOR:** Diagnostics Odds ratio

Recom	mendation	Guidelines (population)		
		CDC, NIH, HIVMA/IDSA (HIV) 11	ECIL (Haematology) ^{14, 16}	American Society of Transplantation (SOT) ⁽¹⁷⁾
Prophylaxis	Population	 CD4 count <200cells/μl CD4 cell <14% CD4 count 200-250 cells/μl in the absence of regular 3 month CD4 monitoring Not patients receiving pyrimethamine/sulfadiazine for toxoplasmosis 	 ALL allogeneic HSCT, steroids (>20mg/day/4 weeks) Alemtuzumab Fludarabine/cyclophosphamide /rituximbab Optional: Lymphoma with R- CHOP14 or escalated BEACOPP, nucleoside analogues, radiotherapy for brain tumours/metastasis with steroids 	 All SOT, especially lung transplant Increasing immuno- suppression to prevent graft rejection Recurrent or chronic CMV infection Prolonged course of corticosteroids (>20mg for ≥ 2weeks) Prolonged neutropenia Episodes of autoimmune disease
	Duration	Until CD4 count ≥200 cells/µl for > 3 months	 Induction to end of maintenance Engraftment for at least 6 months until immuno-competent 	A minimum 6-12 months post- transplant for all SOT recipients. Patients with lung or small bowel

Table 5. Therapeutic Recommendations for the management of *Pneumocystis* pneumonia in adults

		3) More than 6 months post	grafts or those prior PCP or chronic
		completion	CMV disease may require lifelong
		4) Minimum of 6 months post	prophylaxis
		completion	
Therapy ^a	Front line:	Front line:	Front line:
	Trimethoprim/sulfamethoxazole one	Trimethoprim/sulfamethoxazole one	Trimethoprim/sulfamethoxazole one
	single-strength (80mg TMP/400mg	single-strength (80mg TMP/400mg	single-strength (80mg TMP/400mg
	SMX) daily or one double strength	SMX)/day or double strength tablet	SMX)/day or double strength tablet
	tablet (160mg TMP/800mg	(160mg TMP/800mg SMX)/day or three	(160mg TMP/800mg SMX)/day or
	SMX)/daily.	per week.	three per week.
	Second line:	Second line:	Second line:
	Trimethoprim/sulfamethoxazole one	Dapsone (50mg twice daily)	Dapsone (50-100mg once a day)
	double strength tablet (160mg	Pentamidine aerosols (300mg per	
	TMP/800mg SMX) three times per	month)	
	week		
	Dapsone (50mg twice daily)		
	Dapsone (200mg) + pyrimethamine		
	(75mg) + leucovorin (25mg) weekly		
	Dapsone (50mg daily) +		

		pyrimethamine (50mg weekly) + leucovorin (25mg weekly) Pentamidine aerosols (300mg per month) Atovaquone 1500mg daily		
	Population	HIV/AIDS patients with suspected/diagnosed PCP	Haematological malignancy, solid cancer, solid organ transplant, autoimmune/inflammatory conditions with suspected/diagnosed PCP	All SOT with suspected/diagnosed PCP
Targeted Treatment	Duration Therapy ^a	3 weeks Frontline: Trimethoprim/sulfamethoxazole (15- 20mg/kg TMP; 75-100mg/kg SMX per day)	A minimum of 14 days Frontline: Trimethoprim/sulfamethoxazole (15- 20mg/kg TMP; 75-100mg/kg SMX per day)	At least 14 days, extended to 21 days for severe cases Frontline: Trimethoprim/sulfamethoxazole (15-20mg/kg TMP; 75-100mg/kg SMX per day) with TMP administered
		For moderate to severe disease (i.e. hypoxemia) adjunctive corticosteroids should be used	dayj	by IV every 6-8h. For hypoxemic patients potentially in combination with 40-60mg of prednisolone (twice daily)

Second line for severe disease:	Second line:	Second line:
Primaquine and clindamycin	Primaquine and clindamycin	IV Pentamidine (Initially 4mg/kg/day
(30mg/(600mgx3)) per day	(30mg/(600mgx3)) per day	over 1-2h) Recipients of
Pentamidine IV (4mg/kg/day)	Pentamidine IV (4mg/kg/day)	pancreas/islet transplants should
		receive an alternative second line
Second line for mild/moderate		therapy.
disease:		
Dapsone (100mg daily) +		
trimethoprim (15mg daily)		
Atovaquone (750mg BID)		

^a Where possible only the recommendation receiving an "A" grading or the preferred drug of choice have been listed.

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