# A Multimodal Imaging Study of Recognition Memory in Very Preterm Born Adults

Chieh-En Jane Tseng, 1\* Seán Froudist-Walsh, Philip J. Brittain, Vyacheslav Karolis, Chiara Caldinelli, Jasmin Kroll, Serena J. Counsell, Steven C.R. Williams, Robin M. Murray, and Chiara Nosarti

Department of Psychosis Studies, Institute of Psychiatry, Psychology and Neuroscience,
 King's College London, United Kingdom
 Centre for the Developing Brain, Division of Imaging Sciences and Biomedical Engineering,
 King's College London, United Kingdom
 Centre for Neuroimaging Sciences, Institute of Psychiatry, Psychology and Neuroscience,
 King's College London, United Kingdom

Abstract: Very preterm (<32 weeks of gestation) birth is associated with structural brain alterations and memory impairments throughout childhood and adolescence. Here, we used functional MRI (fMRI) to study the neuroanatomy of recognition memory in 49 very preterm-born adults and 50 controls (mean age: 30 years) during completion of a task involving visual encoding and recognition of abstract pictures. T1-weighted and diffusion-weighted images were also collected. Bilateral hippocampal volumes were calculated and tractography of the fornix and cingulum was performed and assessed in terms of volume and hindrance modulated orientational anisotropy (HMOA). Online recognition memory task performance, assessed with A scores, was poorer in the very preterm compared with the control group. Analysis of fMRI data focused on differences in neural activity between the recognition and encoding trials. Very preterm born adults showed decreased activation in the right middle frontal gyrus and posterior cingulate cortex/precuneus and increased activation in the left inferior frontal gyrus and bilateral lateral occipital cortex (LOC) compared with controls. Hippocampi, fornix and cingulum volume was significantly smaller and fornix HMOA was lower in very preterm adults. Among all the structural and functional brain metrics that showed statistically significant group differences, LOC activation was the best predictor of online task performance (P = 0.020). In terms of association between brain function and structure, LOC activation was predicted by fornix HMOA in the preterm group only (P = 0.020). These results suggest that neuroanatomical alterations in very preterm born individuals may be underlying their poorer recognition memory performance. Hum Brain Mapp 38:644–655, 2017. © 2016 The Authors Human Brain Mapping Published by Wiley Periodicals, Inc.

Key words: very preterm; recognition memory; tractography; functional MRI

Conflict of Interest: None declared.

Contract grant sponsor: Medical Research Council, UK; Contract grant number: MR/K004867/1.

\*Correspondence to: Chieh-En Jane Tseng, Department of Psychosis Studies, Institute of Psychiatry, Psychology and Neuroscience, King's College London, 16 De Crespigny Park, London SE5 8AF, UK. E-mail: chieh-en.tseng@kcl.ac.uk

Received for publication 11 February 2016; Revised 30 August 2016; Accepted 6 September 2016.

DOI: 10.1002/hbm.23405

Published online 20 September 2016 in Wiley Online Library (wileyonlinelibrary.com).

## © 2016 The Authors Human Brain Mapping Published by Wiley Periodicals, Inc.

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

## **INTRODUCTION**

Very preterm birth (<32 weeks of gestation) is associated with structural and functional brain alterations that persist into early adulthood and often correlate with cognitive performance (Bjuland et al., 2013; Mullen et al., 2011; Nosarti et al., 2014; Taylor et al., 2011). Memory is thought to be one of the core components underlying a variety of cognitive tasks (Rose and Feldman, 1996). In particular, recognition memory, defined as the ability to differentiate between new and previously encountered stimuli, is essential for adapting to the environment (Danckert et al., 2007) and is crucial for learning (Riesenhuber and Poggio, 2000).

Memory and learning impairments are often exhibited in preterm survivors (Aanes et al., 2015; Nosarti et al., 2014; Omizzolo et al., 2014; Rose et al., 2005) and are associated with lower academic achievement and behavioural problems, which may lead to worse functional outcomes in adulthood (Thuy et al., 2011). Moreover, performance on recognition memory tasks has been shown to be poorer in preterm infants compared with controls, in both auditory (Therien et al., 2004) and visual modalities (Rose et al., 2001).

The medial temporal lobe—the hippocampi and surrounding perihinal, entorhinal, and parahippocampal cortices—has been identified as a key brain area for visual recognition memory (Wixted and Squire, 2010). Volume reductions in the hippocampi are often described in preterm children (Peterson et al., 2000), adolescents (Nosarti et al., 2002), and young adults compared with full-term controls (Aanes et al., 2015) and these have been associated with poorer performance on memory tasks (Aanes et al., 2015; Isaacs et al., 2000).

The fornix and the cingulum are two white matter tracts that connect the medial temporal lobe to other regions of the cortex. The fornix is a C-shaped bundle that connects the hippocampi to the mammillary bodies and hypothalamus. The cingulum connects the medial temporal, posterior cingulate, and prefrontal cortices. Both tracts have been shown to be altered in terms of microstructure and volume in preterm young adults compared with term born controls (Eikenes et al., 2011; Nosarti et al., 2014; Salvan et al., 2014). In one study, smaller white matter volume in an area encompassing the fornix was associated with nonverbal memory only in very preterm individuals and not in controls (Nosarti et al., 2014).

To date, some work has been conducted to investigate the neural substrates of specific aspects of memory in very preterm adolescents and young adults using functional MRI (fMRI). Differential activation patterns have been found in very preterm samples compared to controls during visual (Brittain et al., 2014; Narberhaus et al., 2009) and verbal paired associate learning tasks (Kalpakidou et al., 2012; Lawrence et al., 2010; Salvan et al., 2014). In terms of structural-functional relationships, Salvan et al. (2014) found decreased activation in a cluster encompassing part of the left hippocampus, thalamus and

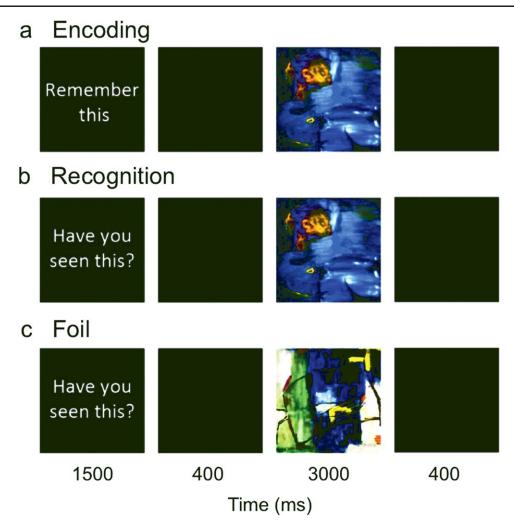
parahippocampal region in very preterm young adults compared with controls, as well as microstructural white matter alterations (e.g., lower fractional anisotropy values) in the very preterm group in pathways linking these regions, including the fornix. However, these findings were presented independently from one another and no specific associations between neural activation and taskrelated tracts were reported. Froudist-Walsh et al. (2015) used fMRI during performance of a working memory task to show a significant correlation between smaller dorsal cingulum volume and increased neural activation in bilateral perisylvian cortex, which is an area outside the typical working memory network. Increased perisylvian activation was only observed in very preterm adults who sustained perinatal brain injury and was interpreted as being compensatory, as it was positively correlated with task performance.

This study aimed to probe the long-term consequences of very preterm birth on the functional and structural brain correlates of recognition memory, specifically investigating the relationships between: neural activation during completion of a visual recognition memory task that preferentially engages the hippocampi (adapted from Dove et al., 2006), volume of the hippocampi, and volume and microstructural attributes [hindrance modulated orientational anisotropy (HMOA)] of white matter tracts that connect to the hippocampi.

Based on the evidence assigning a critical role to the hippocampi in recognition memory processing, and reporting structural hippocampal alterations following very preterm birth, we predicted that adults born very preterm would show decreased neural activation in hippocampi during on-line task performance. In addition, based on results of our recent multimodal neuroimaging study of working memory using the same cohort, which found significant associations in very preterm born adults between neural activation and volume of task-specific white matter tracts (Froudist-Walsh et al., 2015), we predicted that differential neural responses in the preterm group would be associated with white matter alterations of the fornix and the cingulum. Finally, we expected white matter connectivity to underlie the changes of local brain activations and volumetric characteristics.

## **METHODS AND MATERIALS**

Fifty-two participants were recruited for the fMRI study from a cohort of individuals born very preterm admitted to the neonatal unit at University College Hospital, London, between 1979 and 1985. Fifty term born controls were also studied. Exclusion criteria for the control group included birth complications (e.g., low birth weight defined as  $<\!2500$  g, endotracheal mechanical ventilation), prolonged gestation (greater than 42 weeks), history of psychiatric illness, severe hearing and motor impairments, and mental retardation indicated by IQ  $<\!70$ . Participants



gave full informed consent and the experiment was approved by the appropriate local ethics committees and in compliance with national legislation and the code of ethical principles for Medical Research Involving Human Subjects of the World Medical Association (Declaration of Helsinki).

## **Recognition Memory Task**

The aim of this experiment was to investigate the neural networks that are recruited during a recognition memory task by adults who were born very preterm. We adapted a task designed by Dove et al. (2006) which elicited robust activation in neural regions associated with recognition memory. There were three conditions: (1) encoding, (2) recognition, and (3) foil. During encoding trials, participants were instructed to remember an abstract art

stimulus. During recognition trials, participants were presented with a previously seen abstract art image and had to indicate whether they recognised the image. During foil trials, participants were presented with a previously unseen image and asked to indicate whether they remembered the image. All trials were presented in an eventrelated design and started with an instruction presented for 1.5 s. In encoding trials the instruction was "remember this," in recognition and foil trials the instruction was "have you seen this?" A blank screen was then presented for 0.4 s followed by an abstract art stimulus presented for 3 s. Trials ended with a 0.4 s blank screen (Fig. 1). Participants responded using a two-button MR-compatible button box (made in house). The experiment consisted of 70 trials, 30 encoding, 30 recognition, and 10 foil trials; these trials were randomly interspersed. Thirty-five nonevent, low level baseline trials (blank screen presented for 5.3 s)

were intermixed throughout the experiment. Corresponding encoding and recognition trials were on average 67.8 s apart. The experiment lasted a total of 9.3 minutes. During encoding trials participants were told to press both buttons simultaneously after the image was presented. During recognition and foil trials, a left button press indicated participants had previously seen the image and a right button press indicated they had not. Participants were familiarised with the task prior to the fMRI experiment in an off-line training session in which they were asked to make responses to example trials using a different set of images.

#### **Image Acquisition**

Data were collected using a GE 3 tesla Signa MR scanner (GE Healthcare, USA) at the Institute of Psychiatry/Maudsley Hospital, Kings College London. A gradient-echo EPI sequence was used to collect data from 39 non-contiguous slices of 3.5 mm thickness separated by a distance of 0.5 mm, and with in-plane voxel resolution of 3.75  $\times$ 3.75 mm<sup>2</sup>. These were co-registered with T1-weighted anatomical image (TR/TE/TI: 7.1/2.8/450 ms, matrix: 256  $\times$ 256), allowing for 196 slices with no gap and an isotropic resolution of  $1.1 \times 1.1 \times 1.1 \text{ mm}^3$ . Diffusion weighted images were acquired using a multislice spin echo EPI sequence, obtaining 60 contiguous near-axial slice locations with isotropic ( $2.4 \times 2.4 \times 2.4 \text{ mm}^3$ ) voxels (TE/TR = 104.5 ms/range 12-20 RR intervals). Maximum b-value was 1300 s/mm<sup>2</sup>, with 32 diffusion-weighted directions and 4 non-diffusion weighted volumes. Peripheral cardiac gating was applied with an effective TR of 20/30 RR interval.

#### **Functional MRI Analysis**

Statistical analysis of fMRI data was performed using FEAT (http://www.fmrib.ox.ac.uk/fsl). The three initial volumes were removed to minimize the effects of magnetic saturation. Motion correction was followed by spatial smoothing (Gaussian, FWHM 5 mm) and temporal highpass filtering (sigma = 50 s). Regressors for each condition in the general linear model were convolved with a gamma hemodynamic response function. For the encoding trials, only the trials that were subsequently successfully recognised in the recognition trials were modelled. For the recognition trials, only those in which participants gave a correct response were modelled separately from incorrect trials. Only correct recognition trials were modelled in the analyses. Individual participant data were then entered into a higher-level analysis using a mixed effects design (FLAME, http://www.fmrib.ox.ac.uk/fsl) whole-brain analysis. Neural responses from each individual were registered to a study specific T1-weighted template, which is an average of 78 brain images from term born and preterm individuals (Froudist-Walsh et al., 2015).

Three contrasts were studied: encoding > low-level baseline, recognition > low level baseline, and recognition >

encoding. Our main contrast of interest was comparison of activation in the recognition trials compared to the encoding trials of the task. Contrasts were thresholded at z = 2.3 (P < 0.05, corrected) and from the resulting cluster maps clusters of activity that significantly differed between groups were identified. No statistically significant results were found when comparing very preterm adults with brain injury, very preterm adults with normal ultrasound classification (grouped according to neonatal ultrasound classification, as described in detail in Froudist-Walsh et al., 2015) and controls; therefore, we focused on comparisons between the whole very preterm group and controls. In addition to exploring between group differences in patterns of neural response, we also investigated whether these differences in response were associated with on-line task performance. We assessed task performance by means of A [Eq. (1)], a nonparametric signal detection sensitivity measure that takes into account both hit rate (H) and false alarms (F) (Zhang and Mueller, 2005). This estimate avoids making distributional assumptions and uses the estimate of the average area of possible receiver operating characteristic curves that are constrained by H and F. A can also be used when *H* and *F* is equal or close to 0 or 1.

$$A = \begin{cases} \frac{3}{4} + \frac{H - F}{4} - F(1 - H) & \text{if } F \le 0.5 \le H \\ \frac{3}{4} + \frac{H - F}{4} - \frac{F}{4H} & \text{if } F \le H < 0.5 \\ \frac{3}{4} + \frac{H - F}{4} - \frac{1 - H}{4(1 - F)} & \text{if } 0.5 < F \le H \end{cases}$$
 (1)

Formula used for calculating A. H = Hit rate, F = False alarm rate.

#### **Normalization**

Each individual's functional data were registered to their structural scan using FSL's FLIRT (Jenkinson et al., 2002; Jenkinson and Smith, 2001) and the boundary-based registration (Greve and Fischl, 2009) cost function. This technique extracts the surfaces from the T1-weighted image, and then aligns the fMRI data to the T1-weighted data by maximising the intensity gradient across tissue boundaries. This method has been shown to be more accurate and robust to signal inhomogeneities than traditional intrasubject registration algorithms (Greve and Fischl, 2009). Then, FSL-FNIRT (Andersson et al., 2010) was used to normalize each individual's structural data to the study specific template.

## Hippocampi Volume

Freesurfer, a set of automated tools for reconstruction of the brain from its T1-weighted MRI data, was used to measure the volume of the left and right hippocampi in each individual. Freesurfer's volume-based stream is

TABLE I. Neonatal variables, demographics, and visual recognition memory task performance

	Preterm $(n = 49)$	Control $(n = 50)$	Test statistic	P-value
Age (mean ± SD)	$30 \pm 2.1$	$29.38 \pm 3.53$	U = 1502.5	0.05
Sex (M/F)	27/22	20/30	Chi-square $= 2.263$	0.096
GA	$29.31 \pm 2.2$	_	_	_
Socio-economic status <sup>a</sup>				
I-II (Professional and Intermediate)	26	25	Fisher's exact = $4.652$	0.108
III (Skilled manual and Nonmanual)	11	12		
IV-V (Semiskilled and Unskilled manual)	5	0		
A scores	$0.92 \pm 0.08$	$0.95 \pm 0.05$	U = 896	0.021

<sup>&</sup>lt;sup>a</sup>Her Majesty's Stationary Office, 1991.

A scores: a nonparametric signal detection sensitivity measure that takes into account both hit rate and false alarms.

designed to preprocess MRI volumes and label subcortical tissue classes. The stream consists of five stages: affine registration with MNI305 space, initial volumetric labeling, correction of the B1 bias field, nonlinear volumetric alignment to the MNI305 atlas, and labeling of the volume (Fischl et al., 2002, 2004). The volume of the left and right hippocampi were calculated and summed. Intracranial volume of each participant was also calculated and used as a nuisance factor in all analyses of hippocampal volumes.

## **Tractography**

Preprocessing of diffusion MRI data followed the pipeline developed by Froudist-Walsh et al. (2015). Brain extraction was performed on the diffusion-weighted and b0 images using FSL's BET. Motion and eddy-current corrections was done on the brain-extracted data using ExploreDTI (Leemans et al., 2009). A constrained spherical deconvolution approach was chosen to differentiate multiple directions within one voxel (Tournier et al., 2004). It was shown by Wilkins and colleagues (Wilkins et al., 2015) that tractography using constrained spherical deconvolution outperforms tractography using other reconstruction methods when using data acquired with clinical bvalues. Constrained spherical deconvolution was performed using a damped version of the Richardson-Lucy algorithm (Dell'acqua et al., 2010). Parameters were optimised to find the best possible balance between resolving multiple fibre orientations and minimising false-positive fibre orientation distributions (FOD). The parameters used were: regularisation threshold  $\eta = 0.02$ , fibre response function = 2, algorithm iterations = 300, and regularisation parameter v = 20. Fibre orientation estimates were taken from the orientation of the peaks of the FOD profile. We used an absolute (equal to 4 times the amplitude of a spherical FOD obtained from a grey matter voxel) and a relative threshold (equal to 7% of the amplitude of the maximum amplitude of the FOD at that voxel) at each voxel to remove the general noise floor and surviving noise local maxima, respectively. Each FOD that survived the threshold were used as seeds to perform whole-brain

tractography. Fibre orientation streamlines were propagated using Euler integration with a step-size of 1 mm. Propagation stopped if the angle between two successive steps exceeded 60°. Tractography reconstruction was performed using software written in Matlab (http://www.mathworks.co.uk/products/matlab/; Dell'Acqua et al., 2013).

White matter dissection of the fornix and cingulum was performed in native diffusion space in TrackVis (trackvis.org) using a two-region method (Catani and Thiebaut de Schotten, 2008). The fornix was dissected in each hemisphere and its commissure was not included. The cingulum was divided into two segments, a dorsal segment that connects the posterior cingulate to the prefrontal cortex, and a ventral segment that connects the hippocampi to the posterior cingulate cortex (PCC). All tracts were dissected in both hemispheres. Artefactual/nonanatomical fibres were removed using manually drawn region-of-avoidances. White matter tracts were evaluated by HMOA, a tract-specific characterization of white matter diffusion properties.

#### Statistical Analysis

Statistical analysis was done in SPSS 21. The distribution of the data was tested for normality using a Shapiro-Wilk test. Not all variables were normally distributed; therefore, group comparisons were performed using Mann-Whitney U tests. Neural activation, fibre tract characteristics, volume of the hippocampi, and gestational age (GA) were used as independent variables in a multiple linear regression model to find the best predictors of episodic memory performance (A scores). Each multiple linear regression model was built first across the whole sample, then subsequently for separate groups. Multicollinearity was tested for all independent variables and all variables had a variance inflation factor < 3. Partial correlation was performed to explore the relationship between multiple correlated variables. All models and tests were corrected for multiple comparison using the Benjamini-Hochberg procedure (Benjamini and Hochberg, 1995).

TABLE II. Differential activations between very preterm adults and controls in the encoding>low level baseline and recognition>low level baseline contrasts

Encoding > Low level baseline	Region	Peak MNI coordinate [x,y,z] (mm)	Cluster size (voxels)	<i>P-</i> value
Preterm > Control	Left LOC, superior division	[-30,-82,40]	9370	<0.001
	Right AG	[48, -48, 56]	7318	< 0.001
	Left SFG	[-2,18,66]	5171	< 0.001
	Left MFG	[-32,32,46]	4959	< 0.001
	Right MFG	[40,32,40]	4364	< 0.001
	Left Orbital Frontal Cortex	[-36,38,-4]	2911	0.004
	Left Postcentral Gyrus	[-36, -32,64]	2753	0.006
Recognition > Low level baseline				
Preterm > Control	Right LOC, superior division	[38, -82,32]	3358	0.002
	Left Frontal Pole	[-38,52,6]	3174	0.003

AG: angular gyrus; LOC: lateral occipital cortex; MFG: middle frontal gyrus; MTG: middle temporal gyrus; SFG: superior frontal gyrus.

#### **RESULTS**

Only participants who achieved an accuracy higher than 50% on the fMRI task were included in the analysis to ensure they were actively performing the task. Three very preterm born participants were thus excluded. The final sample studied included 49 very preterm participants and 50 term born controls (Table I). The very preterm and control groups did not show significant differences in sex; very preterm individuals were slightly older than controls. The two groups did not significantly differ in socio-economic status. At time of assessment, one very preterm participant and nine controls were students; six very preterm participants and three controls were unemployed. The preterm group performed worse than controls on the on-line task, as measured by A scores (P = 0.021). There were no very preterm participants who had severe hearing and/or motor impairments or mental retardation indicated by IQ < 70. Four very preterm participants had a current psychiatric diagnosis.

#### fMRI Results

Age was added as a covariate in the fMRI analysis as it was close to being significantly different between groups.

In terms of neural response, regions differentially activated between the groups in the encoding > low level baseline and the recognition > low level baseline contrasts are reported in Table II.

When contrasting the recognition trials to the encoding trials, the very preterm group showed less activation in the PCC/precuneus and the right middle frontal gyrus (MFG) compared with controls. However, the very preterm group showed more activation in the left inferior frontal gyrus (IFG) and bilateral lateral occipital cortex (LOC) compared with controls (Table III, Fig. 2).

#### Structural MRI Results

Four participants were excluded from the analysis due to poor quality diffusion images (very preterm, n = 2; control, n = 1) and unsuccessful Freesurfer parcellation (very preterm, n = 1). The volume of the dorsal, ventral cingulum, and fornix were significantly different between the very preterm and control groups after controlling for intracranial volume. The HMOA of the white matter tracts was only significantly different between the groups in the fornix, with the very preterm group having lower HMOA

TABLE III. Differential activations between very preterm adults and controls when contrasting the recognition trials to the encoding trials

Recognition > Encoding	Region	Peak MNI coordinate $[x,y,z]$ (mm)	Cluster size (voxels)	<i>P</i> -value
Control > Preterm	PCC/precuneus	[2,-54,42]	6855	< 0.001
	Right MFG	[48,26,44]	5339	< 0.001
Preterm > Control	Right LOC, superior and inferior division	[40,-86,18]	5377	<0.001
	Left LOC, inferior division Left IFG	$   \begin{bmatrix}     -46, -70, -6 \\     -56, 14, -4   \end{bmatrix} $	4295 3781	<0.001 0.002

PCC: posterior cingulate cortex; MFG: middle frontal gyrus; LOC: lateral occipital cortex; IFG: inferior frontal gyrus.

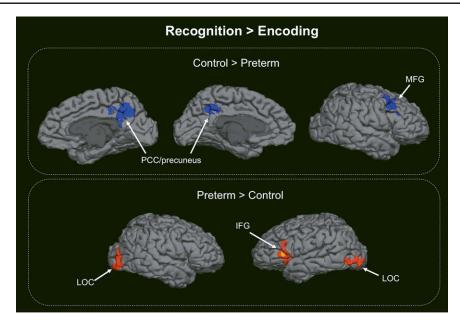


Figure 2.

Between-group differences in neural activation when contrasting recognition to encoding trials of the on-line visual recognition task. PCC: posterior cingulate cortex; MFG: middle frontal gyrus; LOC: lateral occipital cortex; IFG: inferior frontal gyrus. [Color figure can be viewed at wiley onlinelibrary.com.]

than controls. Very preterm participants had smaller volumes of the hippocampi than controls, after adjusting for intracranial volume (Table IV, Fig. 3).

#### **Prediction of On-Line Performance**

All brain measures that were found to be significantly different between the groups were used as independent variables in a multiple linear regression model to predict A scores. A scores were transformed by  $(\sin^{-1}A)^2$  to meet the assumptions of multiple linear regression. Regions with bilateral activation were averaged and used as one variable to avoid multicollinearity issues. Three multiple linear regression models were built using activation differences in encoding > low level baseline, recognition > low level baseline, and recognition > encoding contrasts. Structural brain variables were included in all models.

Only the model built using activation differences in recognition > encoding was significant and LOC activation emerged as the best predictor of A scores (P < 0.001, adjusted  $R^2 = 0.204$ , Table V, Fig. 4). Multiple linear regression models of the separate groups were not statistically significant.

As recognition performance relies to a degree on the effectiveness of the previous encoding stage, we extracted LOC activation in the same location of the significant recognition > encoding contrast (LOC recognition, inferior division) in the encoding > low level baseline (LOC encoding, inferior division) contrast. We then built a multiple linear regression model including LOC recognition, inferior division, LOC encoding, inferior division, white matter structures, and volume of the hippocampi. In the whole sample, this model was significant (P<0.001, adjusted  $R^2$ =0.195). However, only LOC recognition,

TABLE IV. White matter tract and hippocampal volume

		Preterm	Control	Test statistic	<i>P</i> -value
Dorsal cingulum	Volume (ml) <sup>a</sup>	$45.8 \pm 15.31$	$51.83 \pm 14.58$	811	0.009
	HMOA	$207.55 \pm 27.84$	$216.19 \pm 28.25$	1014.5	0.191
Ventral cingulum	Volume (ml) <sup>a</sup>	$16.29 \pm 6.5$	$21.2 \pm 9.08$	808	0.008
C	HMOA	$162.41 \pm 17.5$	$163.04 \pm 18.7$	1145.5	0.706
Fornix	Volume (ml) <sup>a</sup>	$20.29 \pm 10.25$	$28.28 \pm 9.56$	669	< 0.001
	HMOA	$164.07 \pm 27.38$	$178.43 \pm 24.94$	808.5	0.006
Hippocampal volume (ml) <sup>a</sup>		$8765 \pm 1080.85$	$9306 \pm 1301.57$	593	< 0.001

<sup>&</sup>lt;sup>a</sup>Controlling for intracranial volume.

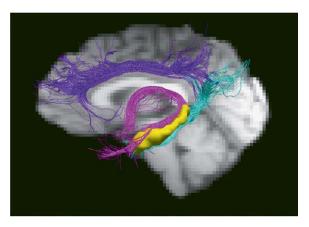


Figure 3.

The dorsal cingulum is delineated in purple, the ventral cingulum in light blue, and the fornix in pink. The hippocampi are shown in yellow. [Color figure can be viewed at wileyonlinelibrary.com.]

inferior division was significant in explaining on-line task performance, not LOC encoding in the same cluster. This result shows that while accounting for LOC activation during encoding, it is activation of the recognition > encoding contrast that significantly explains on-line task performance.

#### **Functional-Structural Relationships**

To further explore the relationship between neural activation and local structure, subsequent multiple linear regression models were built to find variables that explained LOC activation. In the whole sample, this model was not significant (P = 0.077). However, when investigating the model separately for the very preterm and control groups, LOC activation was predicted by fornix HMOA in the very preterm group (P = 0.013, adjusted  $R^2 = 0.208$ , Table VI); this was not the case in controls (P = 0.901).

TABLE V. Coefficients of the multiple linear regression model to explain A scores in the whole sample

Independent variable	Standardised Coefficients ( $\beta$ )	<i>P-</i> value
Right MFG	0.103	0.399
PCC/precuneus activation	0.216	0.074
LOC activation	-0.294	0.020
Left IFG	-0.119	0.324
Dorsal cingulum volume	0.063	0.548
Ventral cingulum volume	-0.095	0.391
Fornix volume	0.076	0.623
Fornix HMOA	-0.116	0.394
Hippocampal volume	-0.105	0.352

MFG: middle frontal gyrus; PCC: posterior cingulate cortex; LOC: lateral occipital cortex; IFG: inferior frontal gyrus; HMOA: hindrance-modulated orientational anisotropy.

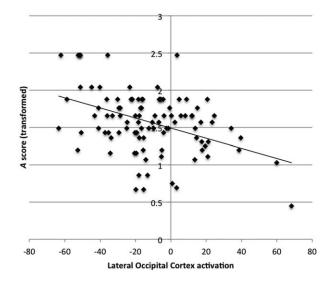


Figure 4.
Scatterplot of A score and LOC activation in the whole sample.

Both on-line performance and fornix HMOA were found to be associated with LOC activation; therefore, we used a partial correlation to assess if fornix HMOA influenced the association between on-line performance and LOC activation. The correlation between on-line performance and LOC activation persisted after partialling out fornix HMOA in the whole sample (r=-0.421, P<0.001). The same result was found in the control group (r=-0.451, P=0.001), but not in the very preterm group (r=-0.308, P=0.037 (not significant after multiple comparison correction).

After controlling for current psychiatric diagnosis in the very preterm group, results remained unaltered.

## **DISCUSSION**

This study demonstrated functional and structural brain alterations in very preterm born adults and a significant association between altered functional activation and recognition memory performance. These findings suggest the

TABLE VI. Coefficients of the multiple linear regression model to predict LOC activation in the very preterm group

Independent variable	Standardised Coefficients ( $\beta$ )	<i>P</i> -value	
Dorsal cingulum volume Ventral cingulum volume Fornix volume	-0.273 -0.194 0.3	0.065 0.212 0.184	
Fornix HMOA Hippocampal volume	-0.479 $-0.023$	<b>0.020</b> 0.886	

HMOA: hindrance-modulated orientational anisotropy.

use of a suboptimal strategy to perform a recognition memory task in very preterm adults.

We found that preterm adults had decreased neural activity in the PCC/precuneus and right MFG when contrasting the recognition trial to the encoding trial, while increased activity was seen in the left IFG and bilateral LOC. Contrary to our hypothesis, we did not find decreased activation in the hippocampi in very preterm adults during a recognition memory task, despite them having reduced bilateral hippocampal volume compared to controls. Instead, less activation was observed in the PCC/precuneus. The PCC has been identified as a key node of the posteromedial memory system and is involved in the successful retrieval of information (Buckner et al., 2005). It is suggested that increased activation in the PCC during successful retrieval would reflect the ability to direct the attention to internal representations of the encoded memory, possibly through PCC-medial temporal lobe connections (Wagner et al., 2005). In cognitively intact older individuals, hippocampal-PCC intrinsic connectivity positively correlates with performance on a recognition memory task (Wang et al., 2010). Although this finding refers to samples undergoing neurodegenerative processes, it shows that the communication between the hippocampus and the posteromedial cortex is important for recognition memory performance.

Different patterns of neural activation were further observed in frontal lobe regions, with decreased activation in the right MFG and increased activation in the left IFG in the preterm group compared to controls. Involvement of the frontal cortex during recognition memory has been reported in the literature (Bar et al., 2006). Furthermore, a hemispheric encoding/retrieval asymmetry (HERA) model has been described, claiming a left prefrontal cortex specialization for encoding, and a right prefrontal cortex specialization for retrieval (Habib et al., 2003). From our results, we see a less enhanced HERA pattern in the very preterm group compared with controls. Previous studies have shown reductions in corpus callosum surface area in preterm infants and adolescents (Nosarti et al., 2004; Thompson et al., 2011), which may have affected the development of intrahemisphere specialisation in very preterm individuals.

Increased neural activation in bilateral LOC, an area typically involved in object perception and recognition, was associated with worse task performance. Lopez-Aranda et al. discovered that the elimination of neurons in layer 6 of V2 visual cortex with no damage to the hippocampus resulted in a complete loss of object recognition memory (Lopez-Aranda, et al., 2009). Their results support the view that the entire stream of ventral visual-to-hippocampus is important for visual memory processing, not only the medial temporal lobe. One hypothesis could be that very preterm adults required increased engagement of the LOC during an abstract art recognition memory task involving visual memory.

Regarding between-group structural differences in memory related white matter tracts, the very preterm group had smaller volume of all tracts we considered (i.e., dorsal and ventral cingulum and fornix) compared to controls. However, significant differences in white matter microstructure in the very preterm group was only found in the fornix (lower HMOA values). Contrary to the present findings, in our recent study using the same cohort, Froudist-Walsh et al. (2015) found that dorsal cingulum HMOA was reduced in very preterm individuals, although only in those who had sustained neonatal brain injury compared to very preterm individuals with no perinatal brain injury and controls. The same study found that dorsal cingulum volume was correlated with compensatory neural activation during a working memory task only in very preterm adults who sustained perinatal brain injury, arguing for the specific involvement of this tract in working memory processing. Our results suggest instead that the fornix may be selectively involved in recognition memory processing in very preterm adults, based on findings of a significant association between greater LOC activation and lower fornix HMOA in the very preterm group but not in controls. The fornix is part of the Papez circuit, which is involved in information transfer from short-term memory to long-term memory. In the Papez circuit, the fornix projects from the hippocampal formation to the mammillary bodies, then, the mammillothalamic tract connects the mammillary bodies to the anterior nucleus of the thalamus, which in turn projects through the thalamo-cortical radiations to the cingulate gyrus. This loop is completed by the cingulum projecting back to the hippocampal formation. Damage to the fornix impairs the process of consolidation of memory and results in the inability to form new declarative memories. Alterations of this circuit may also explain the increased LOC effort in very preterm adults observed here.

Our findings of increased neural activation in right MFG and PCC in the control compared with the very preterm group are in support of the predictive coding hypothesis, a stimulus recognition scheme using an empirical Bayesian approach (Friston, 2005). This theory suggests that higherlevel cortical areas send predictions to lower-level sensory areas based on past experience. The predictions are then compared with the sensory input and the error between the two is sent back to the higher-level cortical areas. As predictions improve, a weaker prediction-error BOLD signal is seen in the sensory cortex. Our results are consistent with the idea that controls may be making greater use of higher-order areas, such as the right MFG and PCC, to achieve accurate sensory predictions, resulting in reduced prediction-error signal in the LOC. This process is reduced in the very preterm sample, possibly due to weaker or less accurate predictions, perhaps as a result of structural damage to core components of recognition memory, namely the hippocampi and fornix.

Strengths of this study include the use of multiple MRI modalities to assess both functional and structural aspects

of recognition memory. The HMOA measure we used to assess white matter structure can provide a tract-specific diffusion measure, even in the presence of multiple fibres crossing in a single voxel, unlike conventional measures such as fractional anisotropy. This gives us higher sensitivity to detect white matter alterations and a more accurate representation of its microstructure.

This study complements and extends our previous work, which focused on working memory (Froudist-Walsh et al., 2015), to assess different types of memory. It has been suggested that working memory may be a more promising target for cognitive training than recognition memory in very preterm individuals, as core working memory regions are not fully developed until adulthood and have more potential for compensatory functional adaptation, whereas core recognition memory regions reach maturity during childhood, therefore recognition memory impairments may be permanent and less amenable to improvement (Nosarti and Froudist-Walsh, 2016). A recent finding in preterm born adults also supports this view, where intrinsic connectivity changes in visual and dorsal attention networks compensate for visual shortterm memory storage capacity deficits (Finke et al., 2015).

A limitation of this study is we did not have a large enough number of foil trials to test the differences between recognition and foil trials. Every participant achieved a higher than chance accuracy in recognising previous images, but when also considering correct rejections of new images in *A* scores, the very preterm group performed significantly worse than controls, suggesting they were less able to correctly reject novel images. Furthermore, we did not assess visual acuity, which can be affected in very preterm born adults (Birch and O'Connor, 2001) and may affect visual recognition performance. However, participants who wore prescription lenses were provided with MR compatible glasses matching their prescription while completing the memory task in the scanner.

A further limitation of the study refers to the fact that in our analyses we considered bilateral hippocampal volume, despite evidence of hemispheric specialization of the hippocampi, whereby the left hippocampus is involved in the processing of verbal material and the right hippocampus in the elaboration of nonverbal stimuli (Kennepohl et al., 2007). However, in this study no between group differences in neural activation were found in the hippocampi of either hemisphere, thus further analyses were performed using bilateral hippocampal volume. Future work is needed to study the different roles of the left and right hippocampi in very preterm individuals.

#### CONCLUSION

This study was the first to investigate multimodal imaging correlates of visual recognition memory in very preterm adults, providing a unique opportunity to investigate neural plasticity in a neurodevelopmental framework. Very preterm born adults displayed different neuronal activation patterns during completion of a visual recognition memory task compared to controls. Specifically, increased activation in the LOC in the very preterm group was associated with worse task performance, which may reflect weaker sensory prediction, and with structural integrity of memory-related white matter tracts. These findings are inconsistent with our previous study, which indicated that increased activation in a perisylvian region was associated with functional adaptation to successfully perform a working memory task (Froudist-Walsh et al., 2015). These contrasting results may suggest that altered patterns of activation may not always be compensatory, but they may also be maladaptive (Turkeltauba et al., 2012). Further studies are required to determine whether these inconsistencies indicate that adaptive changes are both task and region specific and to examine how they may influence behaviour.

#### **ACKNOWLEDGEMENTS**

The authors thank their study participants for their continuing help. They also thank the National Institute for Health Research (NIHR) Biomedical Research Centre at South London and Maudsley NHS Foundation Trust and King's College London for supporting the neuroimaging facilities used in our study.

#### **REFERENCES**

Aanes S, Bjulan KJ, Skranes J, Lohaugen GCC (2015): Memory function and hippocampal volumes in preterm born very-low-birth-weight (VLBW) young adults. Neuroimage 105:76–83.

Andersson J, Jenkinson M, Smith S (2010): Non-linear registration, aka spatial normalisation. FMRIB technical report TR07JA2.

Bar M, Kassam KS, Ghuman AS, Boshyan J, Schmidt AM, Dale AM, Hamalainen MS, Marinkovic K, Schacter DL, Rosen BR, Halgren E (2006): Top-down facilitation of visual recognition. Proc Natl Acad Sci U S A 103:449–454.

Benjamini Y, Hochberg Y (1995): Controlling the False Discovery Rate: A Practical and Powerful Approach to Multiple Testing. J R Stat Soc B Met 57:289–300.

Birch EE, O'Connor AR (2001): Preterm birth and visual development. Semin Neonatol 6:487–497.

Bjuland KJ, Lohaugen GCC, Martinussen M, Skranes J (2013): Cortical thickness and cognition in very-low-birth-weight late teenagers. Early Hum Dev 89:371–380.

Brittain PJ, Walsh SF, Nam KW, Giampietro V, Karolis V, Murray RM, Bhattacharyya S, Kalpakidou A, Nosarti C (2014): Neural compensation in adulthood following very preterm birth demonstrated during a visual paired associates learning task. Neuroimage Clin 6:54–63.

Buckner RL, Snyder AZ, Shannon BJ, LaRossa G, Sachs R, Fotenos AF, Sheline YI, Klunk WE, Mathis CA, Morris JC, Mintun MA (2005): Molecular, structural, and functional characterization of Alzheimer's disease: Evidence for a relationship between default activity, amyloid, and memory. J Neurosci 25: 7709–7717.

- Catani M, Thiebaut de Schotten M (2008): A diffusion tensor imaging tractography atlas for virtual in vivo dissections. Cortex 44:1105–1132.
- Danckert SL, Gati JS, Menon RS, Kohler S (2007): Perirhinal and hippocampal contributions to visual recognition memory can be distinguished from those of occipito-temporal structures based on conscious awareness of prior occurrence. Hippocampus 17:1081–1092.
- Dell'acqua F, Scifo P, Rizzo G, Catani M, Simmons A, Scotti G, Fazio F (2010): A modified damped Richardson-Lucy algorithm to reduce isotropic background effects in spherical deconvolution. Neuroimage 49:1446–1458.
- Dell'Acqua F, Simmons A, Williams SCR, Catani M (2013): Can spherical deconvolution provide more information than fiber orientations? Hindrance modulated orientational anisotropy, a true-tract specific index to characterize white matter diffusion. Hum Brain Mapp 34:2464–2483.
- Dove A, Brett M, Cusack R, Owen AM (2006): Dissociable contributions of the mid-ventrolateral frontal cortex and the medial temporal lobe system to human memory. Neuroimage 31: 1790–1801.
- Eikenes L, Lohaugen GC, Brubakk AM, Skranes J, Haberg AK (2011): Young adults born preterm with very low birth weight demonstrate widespread white matter alterations on brain DTI. Neuroimage 54:1774–1785.
- Finke K, Neitzel J, Bauml JG, Redel P, Muller HJ, Meng C, Jaekel J, Daamen M, Scheef L, Busch B, Baumann N, Boecker H, Bartmann P, Habekost T, Wolke D, Wohlschlager A, Sorg C (2015): Visual attention in preterm born adults: Specifically impaired attentional sub-mechanisms that link with altered intrinsic brain networks in a compensation-like mode. Neuroimage 107:95–106.
- Fischl B, Salat DH, Busa E, Albert M, Dieterich M, Haselgrove C, van der Kouwe A, Killiany R, Kennedy D, Klaveness S, Montillo A, Makris N, Rosen B, Dale AM (2002): Whole brain segmentation: Automated labeling of neuroanatomical structures in the human brain. Neuron 33:341–355.
- Fischl B, van der Kouwe A, Destrieux C, Halgren E, Segonne F, Salat DH, Busa E, Seidman LJ, Goldstein J, Kennedy D, Caviness V, Makris N, Rosen B, Dale AM (2004): Automatically parcellating the human cerebral cortex. Cerebral Cortex 14: 11–22.
- Friston KJ (2005): A theory of cortical responses. Philos Trans R Soc Lond B Biol Sci 360:815–836.
- Froudist-Walsh S, Karolis V, Caldinelli C, Brittain PJ, Kroll J, Rodriguez-Toscano E, Tesse M, Colquhoun M, Howes O, Dell'Acqua F, de Schotten MT, Murray RM, Williams SCR, Nosarti C (2015): Very Early Brain Damage Leads to Remodeling of the Working Memory System in Adulthood: A Combined fMRI/Tractography Study. J Neurosci 35:15787–15799.
- Greve DN, Fischl B (2009): Accurate and robust brain image alignment using boundary-based registration. Neuroimage 48:63–72.
- Habib R, Nyberg L, Tulving E (2003): Hemispheric asymmetries of memory: The HERA model revisited. Trends Cogn Sci 7: 241–245.
- Her Majesty's Stationary Office. (1991) Office of Population Censuses and Surveys, Standard Occupational Classification. London: HMSO.
- Isaacs EB, Lucas A, Chong WK, Wood SJ, Johnson CL, Marshall C, Vargha-Khadem F, Gadian DG (2000): Hippocampal volume and everyday memory in children of very low birth weight. Pediatr Res 47:713–720.

- Jenkinson M, Smith S (2001): A global optimisation method for robust affine registration of brain images. Med Image Anal 5: 143–156.
- Jenkinson M, Bannister P, Brady M, Smith S (2002): Improved optimization for the robust and accurate linear registration and motion correction of brain images. Neuroimage 17:825–841.
- Kalpakidou AK, Allin MP, Walshe M, Giampietro V, Nam KW, McGuire P, Rifkin L, Murray RM, Nosarti C (2012): Neonatal Brain Injury and Neuroanatomy of Memory Processing following Very Preterm Birth in Adulthood: An fMRI Study. Plos One 7:
- Kennepohl S, Sziklas V, Garver KE, Wagner DD, Jones-Gotman M (2007): Memory and the medial temporal lobe: Hemispheric specialization reconsidered. Neuroimage 36:969–978.
- Lawrence EJ, McGuire PK, Allin M, Walshe M, Giampietro V, Murray RM, Rifkin L, Nosarti C (2010): The Very Preterm Brain in Young Adulthood: The Neural Correlates of Verbal Paired Associate Learning. J Pediatr 156:889–895.
- Leemans A, Sijbers J, Jones DK (2009): ExploreDTI: a graphical toolbox for processing, analyzing, and visualizing diffusion MR data. Proc Intl Soc Mag Reson Med 3536.
- Lopez-Aranda MF, Lopez-Tellez JF, Navarro-Lobato I, Masmudi-Martin M, Gutierrez A, Khan ZU (2009): Role of Layer 6 of V2 Visual Cortex in Object-Recognition Memory. Science 325: 87–89.
- Mullen KM, Vohr BR, Katz KH, Schneider KC, Lacadie C, Hampson M, Makuch RW, Reiss AL, Constable RT, Ment LR (2011): Preterm birth results in alterations in neural connectivity at age 16 years. Neuroimage 54:2563–2570.
- Narberhaus A, Lawrence E, Allin MP, Walshe M, McGuire P, Rifkin L, Murray R, Nosarti C (2009): Neural substrates of visual paired associates in young adults with a history of very preterm birth: Alterations in fronto-parieto-occipital networks and caudate nucleus. Neuroimage 47:1884–1893.
- Nosarti C, Al-Asady MHS, Frangou S, Stewart AL, Rifkin L, Murray RM (2002): Adolescents who were born very preterm have decreased brain volumes. Brain 125:1616–1623.
- Nosarti C, Froudist-Walsh S (2016): Alterations in development of hippocampal and cortical memory mechanisms following very preterm birth. Dev Med Child Neurol 58 Suppl 4:35–45.
- Nosarti C, Nam KW, Walshe M, Murray RM, Cuddy M, Rifkin L, Allin MPG (2014): Preterm birth and structural brain alterations in early adulthood. Neuroimage 6:180–191.
- Nosarti C, Rushe TM, Woodruff PWR, Stewart AL, Rifkin L, Murray RM (2004): Corpus callosum size and very preterm birth: relationship to neuropsychological outcome. Brain 127: 2080–2089.
- Omizzolo C, Scratch SE, Stargatt R, Kidokoro H, Thompson DK, Lee KJ, Cheong J, Neil J, Inder TE, Doyle LW, Anderson PJ (2014): Neonatal brain abnormalities and memory and learning outcomes at 7 years in children born very preterm. Memory 22:605–615.
- Peterson BS, Vohr B, Staib LH, Cannistraci CJ, Dolberg A, Schneider KC, Katz KH, Westerveld M, Sparrow S, Anderson AW, Duncan CC, Makuch RW, Gore JC, Ment LR (2000): Regional brain volume abnormalities and long-term cognitive outcome in preterm infants. Jama 284:1939–1947.
- Riesenhuber M, Poggio T (2000): Models of object recognition. Nat Neurosci 3 Suppl:1199–1204.
- Rose SA, Feldman JF (1996): Memory and processing speed in preterm children at eleven years: A comparison with fullterms. Child Dev 67:2005–2021.

- Rose SA, Feldman JF, Jankowski JJ (2001): Attention and recognition memory in the 1st year of life: A longitudinal study of preterm and full-term infants. Dev Psychol 37:135–151.
- Rose SA, Feldman JF, Jankowski JJ (2005): Recall memory in the first three years of life: A longitudinal study of preterm and term children. Dev Med Child Neurol 47:653–659.
- Salvan P, Walsh SF, Allin MPG, Walshe M, Murray RM, Bhattacharyya S, McGuire PK, Williams SCR, Nosarti C (2014): Road work on memory lane-Functional and structural alterations to the learning and memory circuit in adults born very preterm. Neuroimage 102:152–161.
- Taylor HG, Filipek PA, Juranek J, Bangert B, Minich N, Hack M (2011): Brain Volumes in Adolescents With Very Low Birth Weight: Effects on Brain Structure and Associations With Neuropsychological Outcomes. Dev Neuropsychol 36:96–117.
- Therien JM, Worwa CT, Mattia FR, deRegnier RA (2004): Altered pathways for auditory discrimination and recognition memory in preterm infants. Dev Med Child Neurol 46:816–824.
- Thompson DK, Inder TE, Faggian N, Johnston L, Warfield SK, Anderson PJ, Doyle LW, Egan GF (2011): Characterization of the corpus callosum in very preterm and full-term infants utilizing MRI. Neuroimage 55:479–490.
- Thuy ML, Ment L, Allan W, Schneider K, Vohr BR (2011): Executive and Memory Function in Adolescents Born Very Preterm. Pediatrics 127:E639–E646.

- Tournier JD, Calamante F, Gadian DG, Connelly A (2004): Direct estimation of the fiber orientation density function from diffusion-weighted MRI data using spherical deconvolution. Neuroimage 23:1176–1185.
- Turkeltauba PE, Cosletta HB, Thomasa AL, Faseyitana O, Bensona J, Norisea C, Hamiltona RH (2012): The right hemisphere is not unitary in its role in aphasia recovery. Cortex 48: 1179–1186
- Wagner AD, Shannon BJ, Kahn I, Buckner RL (2005): Parietal lobe contributions to episodic memory retrieval. Trends Cogn Sci 9: 445–453.
- Wang L, LaViolette P, O'Keefe K, Putcha D, Bakkour A, Van Dijk KRA, Pihlajamaki M, Dickerson BC, Sperling RA (2010): Intrinsic connectivity between the hippocampus and posteromedial cortex predicts memory performance in cognitively intact older individuals. Neuroimage 51:910–917.
- Wilkins B, Lee N, Gajawelli N, Law M, Lepore N (2015): Fiber estimation and tractography in diffusion MRI: Development of simulated brain images and comparison of multi-fiber analysis methods at clinical b-values. Neuroimage 109:341–356.
- Wixted JT, Squire LR (2010): The role of the human hippocampus in familiarity-based and recollection-based recognition memory. Behav Brain Res 215:197–208.
- Zhang J, Mueller ST (2005): A note on ROC analysis and non-parametric estimate of sensitivity. Psychometrika 70:203–212.