



# Variability in climate and productivity during the Paleocene–Eocene Thermal Maximum in the western Tethys (Forada section)

L. Giusberti<sup>1</sup>, F. Boscolo Galazzo<sup>1</sup>, and E. Thomas<sup>2,3</sup>

<sup>1</sup>Department of Geosciences, University of Padova, Via Gradenigo 6, 35131 Padua, Italy

<sup>2</sup>Department of Geology and Geophysics, Yale University, 210 Whitney Avenue, New Haven, CT 06511, USA

<sup>3</sup>Department of Earth and Environmental Sciences, Wesleyan University, 265 Church Street, Middletown, CT 06459, USA

Correspondence to: L. Giusberti (luca.giusberti@unipd.it)

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**Abstract.** The Forada section (northeastern Italy) provides a continuous, expanded deep-sea record of the Paleocene–Eocene Thermal Maximum (PETM) in the central-western Tethys. We combine a new, high-resolution, benthic foraminiferal assemblage record with published calcareous plankton, mineralogical and biomarker data to document climatic and environmental changes across the PETM, highlighting the benthic foraminiferal extinction event (BEE). The onset of the PETM, occurring  $\sim 30$  kyr after a precursor event, is marked by a thin, black, barren clay layer, possibly representing a brief pulse of anoxia and carbonate dissolution. The BEE occurred within the 10 cm interval including this layer. During the first 3.5 kyr of the PETM, several agglutinated recolonizing taxa show rapid species turnover, indicating a highly unstable,  $\text{CaCO}_3$ -corrosive environment. Calcareous taxa reappeared after this interval, and the next  $\sim 9$  kyr were characterized by rapid alternation of peaks in abundance of various calcareous and agglutinated recolonizers. These observations suggest that synergistic stressors, including deepwater  $\text{CaCO}_3$  corrosiveness, low oxygenation, and high environmental instability caused the extinction. Combined faunal and biomarker data (BIT index, higher plant  $n$ -alkane average chain length) and the high abundance of the mineral chlorite suggest that erosion and weathering increased strongly at the onset of the PETM, due to an overall wet climate with invigorated hydrological cycle, which led to storm flood events carrying massive sediment discharge into the Belluno Basin. This interval was followed by the core of the PETM, characterized by four preces-

sionally paced cycles in  $\text{CaCO}_3$  %, hematite %,  $\delta^{13}\text{C}$ , abundant occurrence of opportunistic benthic foraminiferal taxa, and calcareous nannofossil and planktonic foraminiferal taxa typical of high-productivity environments, radiolarians, and lower  $\delta\text{D}_{n\text{-alkanes}}$ . We interpret these cycles as reflecting alternation between an overall arid climate, characterized by strong winds and intense upwelling, and an overall humid climate, with abundant rains and high sediment delivery (including refractory organic carbon) from land. Precessionally paced marl–limestone couplets occur throughout the recovery interval of the carbon isotope excursion (CIE) and up to 10 m above it, suggesting that these wet–dry cycles persisted, though at declining intensity, after the peak PETM. Enhanced climate extremes at mid-latitudes might have been a direct response to the massive  $\text{CO}_2$  input in the ocean atmosphere system at the Paleocene–Eocene transition, and may have had a primary role in restoring the Earth system to steady state.

## 1 Introduction

The Paleocene–Eocene Thermal Maximum (PETM) has over the last 24 years attracted intensive study by the scientific community as one of the most dramatic and rapid climatic disruptions of the Cenozoic (e.g., Kennett and Stott, 1991; Zachos et al., 2001; Sluijs et al., 2007a; McInerney and Wing, 2011; Littler et al., 2014). During the PETM ( $\sim 55.6$  Ma), the Earth's surface temperature increased by  $\sim 5^\circ\text{C}$  in a few thousand years (McInerney and Wing, 2011;

Dunkley-Jones et al., 2013; Zeebe et al., 2014; Bowen et al., 2015), and remained high for 100 to 170–200 kyr (e.g., Röhl et al., 2007; Giusberti et al., 2007; Murphy et al., 2010). The PETM is recognized in terrestrial and marine settings by a negative carbon isotope excursion (CIE; e.g., Kennett and Stott, 1991; Bowen et al., 2004), with variable magnitude ranging from  $\sim 2$ –4.5‰ in marine carbonates (e.g., Thomas and Shackleton, 1996; Bains et al., 1999; Thomas et al., 2002; Zachos et al., 2006; Handley et al., 2008; McCarren et al., 2008) to 4–7‰ in marine and terrestrial organic carbon and leaf waxes (e.g., Kaiho et al., 1996; Bowen et al., 2004, 2015; Pagani et al., 2006a; Smith et al., 2007; Handley et al., 2008; McCarren et al., 2008). This CIE is attributed to a massive, rapid input of isotopically light carbon into the ocean–atmosphere system which destabilized the global carbon cycle and led to rapid and extreme global warming (e.g., Dickens et al., 1997; Thomas and Shackleton, 1996; Pagani et al., 2006b; Panchuk et al., 2008; Dickens, 2011; DeConto et al., 2012). Both the source(s) of the carbon and the triggering mechanism(s) of the emissions are still strongly debated (e.g., Meissner et al., 2014), in part because the pattern and size of the CIE does not necessarily simply reflect the size and isotopic signature of the carbon input, but is affected by biotic and sedimentary processes (e.g., Kirtland Turner and Ridgwell, 2013). Despite these debates, the onset of the CIE is an outstanding global correlation tool (McInerney and Wing, 2011; Stassen et al., 2012b), formally used to define the base of the Eocene (Aubry et al., 2007).

The carbon cycle perturbation of the PETM led to acidification of surface ocean waters (Penman et al., 2014) and severe shallowing of the calcite compensation depth (CCD; Zachos et al., 2005; Kelly et al., 2010; Hönisch et al., 2012). Widespread carbonate dissolution coincided with the base of the CIE (e.g., Thomas and Shackleton, 1996; Thomas, 1998; Hancock and Dickens, 2005; McCarren et al., 2008). The paleoceanographic changes affected primary and export productivity (e.g., Thomas, 2007; Winguth et al., 2012; Ma et al., 2014), which in general increased in marginal basins and along continental margins but decreased in open oceans (e.g., Gibbs et al., 2006; Stoll et al., 2007; Speijer et al., 2012). The higher ocean temperatures may have led to increased remineralization of organic matter in the oceans due to increased metabolic rates (John et al., 2013, 2014; Boscolo Galazzo et al., 2014; Ma et al., 2014). The combination of increased remineralization, higher temperatures and increased ocean stratification led to a decrease in oxygen levels in bottom waters regionally, especially along continental margins (including the Arctic Ocean) and in the Atlantic Ocean (e.g., Benjamin, 1992; Speijer et al., 1997, 2012; Gavrillov et al., 1997; Thomas, 2007; Chun et al., 2010; Winguth et al., 2012; Nagy et al., 2013; Wicczorek et al., 2013; Dickson et al., 2014; Pälike et al., 2014; Post et al., 2016), while oxygen minimum zones in open oceans expanded globally (Zhou et al., 2014), including at Forada (Luciani et al., 2007).

The increased primary productivity in marginal basins has been linked to increased influx of nutrients from the continents, caused by increased erosion and weathering due to intensification of the hydrological cycle, because precipitation is correlated to globally averaged surface temperatures (e.g., Pierrehumbert, 2002). A widespread increase in kaolinite in PETM sediments has been related to the global increase in precipitation and intensity of chemical weathering (e.g., Robert and Chamley, 1991; Robert and Kennett, 1994; Kaiho et al., 1996; Gibson et al., 2000), as also suggested by Os-isotope evidence (Ravizza et al., 2001; Wicczorek et al., 2013). However, reconstruction of hydrological changes from clay mineral assemblages is complex, and additional evidence is needed (Thiry, 2000; Schmitz and Pujalte 2003, 2007; Egger et al., 2003, 2005; Handley et al., 2012).

The severe climatic perturbations of the PETM profoundly affected terrestrial and marine ecosystems, triggering faunal and floral radiations and migrations (e.g., Kelly et al., 1996; Bralower, 2002; Gingerich, 2003; Wing et al., 2005; Sluijs et al., 2007a; Jaramillo et al., 2010; McInerney and Wing, 2011). Deep-sea benthic foraminifera experienced the most severe extinction of the Cenozoic, the benthic foraminiferal extinction event (BEE) (Thomas, 1989, 1990, 1998; Kennett and Stott, 1991; Thomas and Shackleton, 1996; Alegret et al., 2009a, b; 2010). The BEE was rapid ( $< 10$  kyr; Thomas, 1989, 2003, 2007) and wiped out the Cretaceous bathyal and abyssal “Velasco-type fauna” (Berggren and Aubert, 1975; Tjalsma and Lohmann, 1983; Thomas, 1998, 2007), marking a significant step towards the establishment of modern benthic foraminiferal fauna (Thomas, 2007). The extinction was far less severe in shelf environments (Gibson et al., 1993; Speijer et al., 2012; Stassen et al., 2015).

The cause of this global extinction remains under debate, because neither anoxia nor higher or lower productivity nor carbonate dissolution occurred globally at bathyal to abyssal depths in the deep sea, the largest habitat on Earth (e.g., Thomas, 2003, 2007; Alegret et al., 2010), and benthic foraminifera are highly efficient dispersers (Alve and Goldstein, 2003). The link between the environmental changes during the PETM and the benthic foraminiferal extinction event thus remains poorly understood. A common obstacle to perform detailed high-resolution studies of the PETM in deep-sea sediments is the fact that many records are condensed or discontinuous, especially across the few thousand years (Zeebe et al., 2014) of the onset of the carbon isotope excursion. The Forada section (northeastern Italy) represents an outstanding exception in that it contains an expanded deep-sea record of the PETM, which has been extensively studied because of its continuity and cyclostratigraphy (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007; Tipple et al., 2011; Dallanave et al., 2012). Carbonate dissolution is less severe at Forada than in many other sections, with calcareous benthic foraminifera present for most of the interval characterized by the CIE ( $> 4$  m; Giusberti et al., 2007). Given the limited number globally of complete

and expanded deep-sea PETM sections, the Forada section represents an invaluable opportunity to investigate the environmental impacts of the PETM and repercussions on deep-sea fauna.

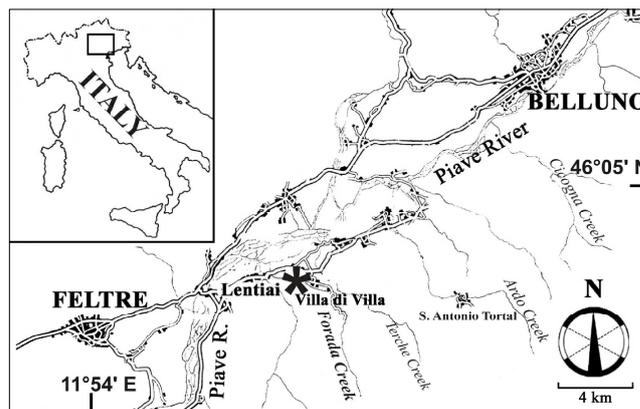
We provide a high-resolution benthic foraminiferal record for the Forada section in order to reconstruct the progression (tempo and mode) of environmental and biotic changes during the PETM. These data allow us to reconstruct the environmental disruption and the benthic foraminiferal response to PETM warming in detail and document the community recovery. Benthic foraminiferal data are integrated with sedimentological and geochemical data (Giusberti et al., 2007; Tipple et al., 2011), and data on calcareous plankton communities (Agnini et al., 2007; Luciani et al., 2007), providing perhaps the most complete reconstruction across the PETM in Europe to date.

We pay homage to research by the Italian researchers (Di Napoli Alliata et al., 1970; Braga et al., 1975) who first described the benthic foraminiferal turnover across the Paleocene–Eocene transition in Italy.

## 2 Materials and methods

### 2.1 The Forada section

The Forada section (46.036083° N, 12.063975° E) is exposed along the Forada creek, ~2 km east of the village of Lentiai (Fig. 1) in the Venetian Prealps (NE Italy). It consists of ca. 62 m of Scaglia Rossa, pink-reddish limestones and marly limestones, locally rhythmically bedded, and encompassing the Upper Cretaceous through the lower Eocene (Fornaciari et al., 2007; Giusberti et al., 2007). The upper Paleocene–lower Eocene succession is interrupted by the clay marl unit (CMU; Giusberti et al., 2007), which marks the PETM and correlates with clay-rich units on other continental margins (e.g., Schmitz et al., 2001; Crouch et al., 2003; John et al., 2008; Nicolo et al., 2010). The investigated interval has been subdivided into four sub-intervals based on the  $\delta^{13}\text{C}$  record in bulk rock (Giusberti et al., 2007). From bottom to top, these are the pre-CIE, the main CIE, the CIE recovery and the post-CIE (Fig. 2). The main CIE (Giusberti et al., 2007; Figs. 2, 3) occurs in the >3 m thick CMU, within which are recorded the short-lived occurrences of the calcareous plankton “excursion taxa” (Kelly et al., 1996, 1998) and the BEE (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). Sedimentation rates in the CMU were 5 times higher than in the upper Paleocene, indicating increased continental weathering and runoff, which led to increased sediment influx in the Belluno Basin (Giusberti et al., 2007).

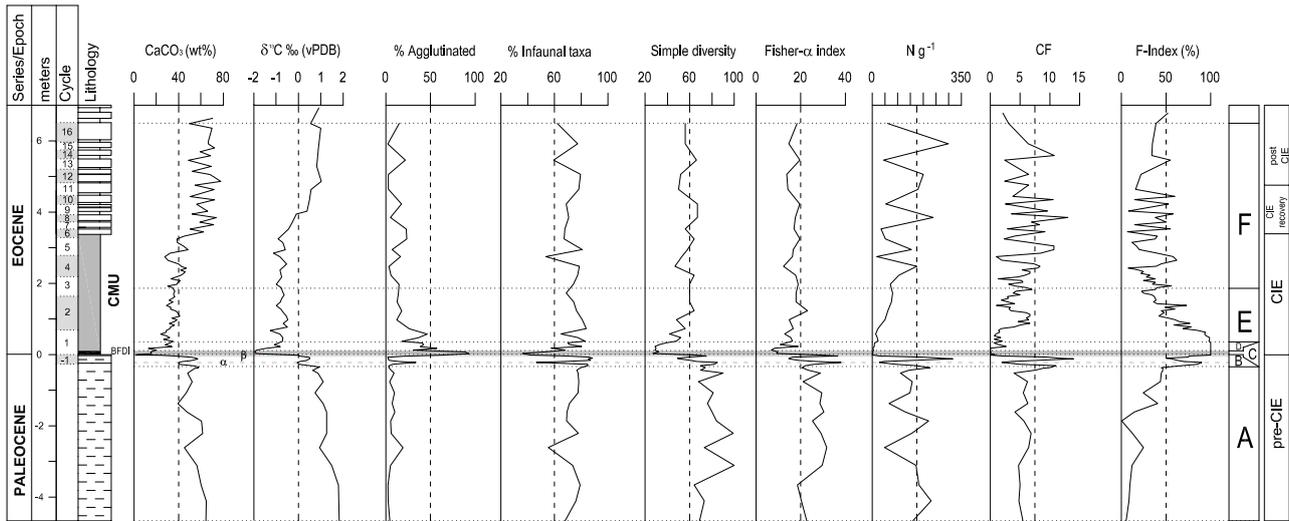


**Figure 1.** Location of the Forada section in the context of the Piave River valley in the province of Belluno (the “Valbelluna”), north-eastern Italy.

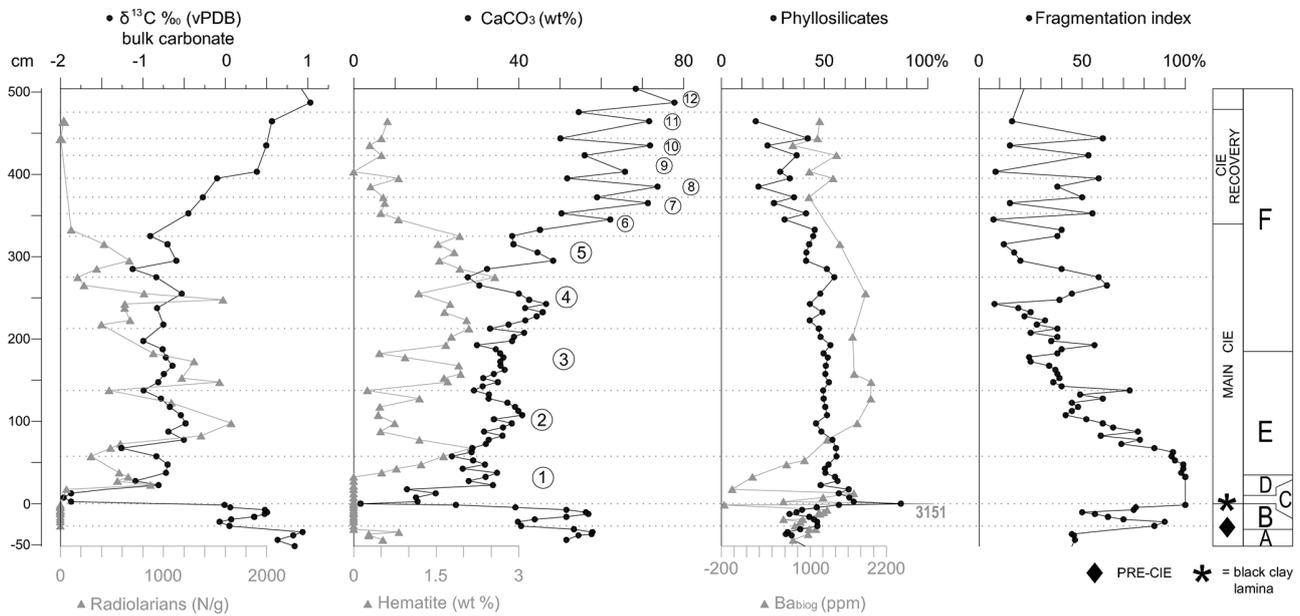
### 2.2 Benthic foraminifera

Benthic foraminiferal assemblages were studied in 54 samples from the same set studied by Luciani et al. (2007) across an ~11 m thick interval straddling the PETM (−467 to +591.5 cm; Fig. 2), which reflects ~800 kyr (Giusberti et al., 2007). In this study the planktic foraminifera fragmentation index (*F* index) of Luciani et al. (2007) is used as a proxy for dissolution (Figs. 2, 3) (Hancock and Dickens, 2005). The sample spacing for benthic foraminiferal assemblage analysis was determined based on biostratigraphic and cyclostratigraphic data (Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007). A sampling interval of 3–5 cm was used across the onset of the CIE (−42.5 to +50 cm interval), a 25 cm sample interval over the main CIE (from +75 to 335 cm). Below −42.5 cm and above 335 cm we adopted a spacing between 20 and 50 cm. Samples were collected excluding, to the extent possible, bioturbated material. Further selection and removal of bioturbated material was carried out in the laboratory before sample processing. Data previously collected from the Forada section indicate that significant bioturbation effects are not present (e.g., Agnini et al., 2007; Giusberti et al., 2007; Luciani et al., 2007).

Foraminifera were extracted from the indurated marls and limestones using the “cold acetolysis” technique of Lirer (2000), following Luciani et al. (2007). Soft marly and clayey samples (mostly from the CMU interval) were disaggregated using a 10–30 % solution of hydrogen peroxide. The samples with the lowest content of  $\text{CaCO}_3$  (e.g., clays of basal CMU) were treated with diluted hydrogen peroxide (10 %) in order to prevent possible additional breakage of tests (especially of planktic foraminifera). For more details on the comparison between the two methods of preparation (cold acetolysis versus hydrogen peroxide), we refer the reader to Luciani et al. (2007).



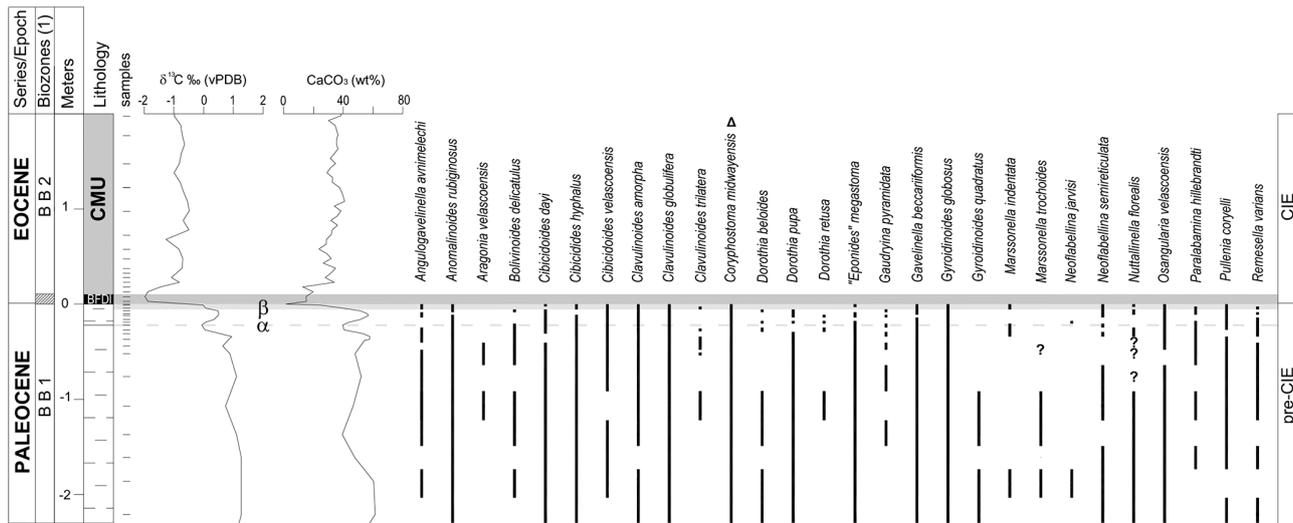
**Figure 2.** Faunal and geochemical variations across the PETM at the Forada section plotted against chronostratigraphy, precessional cycles, lithology, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. % agglutinated: agglutinated to agglutinated and calcareous hyaline ratio; % infaunal taxa: infaunal to infaunal and epifaunal ratio; simple diversity and Fisher- $\alpha$  diversity index;  $N g^{-1}$  = number of benthic foraminifera per gram (faunal density) in the  $> 63 \mu m$  size fraction; coarse fraction (CF) calculated according to Hancock and Dickens (2005) as the weight percent of the  $> 63 \mu m$  size fraction relative to the weight of the bulk sample; fragmentation index ( $F$  index) is from Luciani et al. (2007). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ : pre-CIE dissolution,  $\beta$ : burndown layer; BFDI: benthic foraminiferal dissolution interval.



**Figure 3.** Summary of the main mineralogical, geochemical and cyclostratigraphic features recognized across the Paleocene–Eocene boundary and in the clay marl unit (CMU) of the Forada section and radiolarian abundance plotted against isotopic intervals and recognized benthic foraminiferal assemblages (A to F).  $N g^{-1}$  for the radiolarians refers to the number of radiolarians ( $> 125 \mu m$  fraction) per gram of dry sediment.  $F$  index from Luciani et al. (2007). VPDB – Vienna Pee Dee belemnite standard. Modified from Giusberti et al. (2007).

The quantitative study of benthic foraminifera was based on representative splits (using a Jones-type micro-splitter, Geneq Inc.) of approximately 200–400 individuals  $> 63$  and  $< 500 \mu m$  (Table S1 in Supplement). The use of the small size

fraction is time-consuming and presents difficulties in taxonomic determination, but we preferred to avoid the loss of small taxa, which are important for paleoecological investigations (e.g., Thomas 1985; Boscolo Galazzo et al., 2013,



**Figure 4.** Stratigraphic distribution of benthic foraminiferal extinction taxa (CET) across the Paleocene–Eocene boundary in the Forada section plotted against lithology,  $\delta^{13}\text{C}$  bulk record,  $\text{CaCO}_3$  percentage, and isotopic intervals. Benthic foraminiferal distribution based on data from the  $> 63\ \mu\text{m}$  size integrated with data from  $> 125\ \mu\text{m}$  fraction. The gray bands indicate intervals of carbonate dissolution. Question marks: doubtful identification. Triangle: post BEE occurrence of one specimen of *Coryphostoma midwayensis* has been recorded in the sample BRI 300 (295 cm above the base of CMU).

2015), especially directly after the BEE, when small species are dominant (Thomas, 1998; Foster et al., 2013). Between 0 and  $-222\ \text{cm}$  (uppermost Paleocene), the fraction  $\geq 125\ \mu\text{m}$  of at least one-fourth of the residue was carefully scanned for large specimens of the extinction taxa, here labeled “cosmopolitan extinction taxa” (CET) (see Thomas, 1998, 2003). These CET records have been treated qualitatively (Fig. 4). The extinction taxa include *Anomalinoidea rubiginosus*, *Angulogavelinella avnimelechi*, *Aragonia velascoensis*, *Bolivinoidea delicatulus*, *Cibicidoides dayi*, *C. hyphalus*, *C. velascoensis*, *Clavulina amorpha*, *Clavulinoides trilatara*, *Clavulinoides globulifera*, *Coryphostoma midwayensis*, *Dorothis beloides*, *D. bulletta*, *D. pupa*, *D. retusa*, *Neoponides megastoma*, *Gavelinella beccariiformis*, *Gyroidinoides globosus*, *G. quadratus*, *Marssonella indentata*, *Neoflabellina jarvisi*, *N. semireticulata*, *Nuttallinella florealis*, *Osangularia velascoensis*, *Paratabamina hillebrandti*, *Pullenia coryelli*, and *Remesella varians* (e.g., Beckmann, 1960; Von Hillebrandt, 1962; Tjalsma and Lohmann, 1983; Speijer et al., 1996; Thomas, 1998), each of which is present at Forada.

We identified most common taxa at the species level (Table S2). Taxa with high morphological variability and/or variable preservation were identified at generic or higher taxonomic level. Specimens of the most representative taxa were imaged using the SEM at the C.U.G.A.S. (Centro Universitario Grandi Apparecchiature Scientifiche) of Padova University (Plates 1–4). Relative abundances of the taxa and taxon groups, along with faunal indices such as the calcareous/agglutinated ratio, the infaunal/epifaunal ratio, and bi-triserial percentage, were calculated (Figs. 2, 5–7

and S1). The absolute abundance ( $\text{N g}^{-1}$ : number of benthic foraminifera per gram of bulk dried sediment) was calculated for both the  $\geq 63$  and  $\geq 500\ \mu\text{m}$  fractions. Faunal diversity indices (species diversity and Fisher- $\alpha$ ; Fig. 2) were calculated using the PAST package (Hammer et al., 2001). Segments belonging to tubular/branched agglutinated forms (e.g., *Rhizammina*, *Rhabdammina*, *Bathysiphon*) were counted, but they were excluded from calculations because there is no reliable method to convert the abundance of multiple fragments into that of single individuals (Ernst et al., 2006).

We assigned species to epifaunal and infaunal morphotypes by comparing their test morphology to the morphotypes in Corliss (1985), Jones and Charnock (1985), Corliss and Chen (1988), Kaminski and Gradstein (2005), Hayward et al. (2012), and Mancin et al. (2013). However, caution is needed in applying taxonomic uniformitarianism due to our limited knowledge of the biology and ecology of the highly diverse living species. Even for many living species, the relation between test morphology and microhabitat has not been directly observed but is extrapolated from data on other taxa (e.g., Jorissen, 1999). The assignment of modern foraminifera to microhabitats based on their morphology may be accurate in only 75 % of cases (Buzas et al., 1993); comparisons between past and recent environments thus need careful evaluation as well as cross correlation between benthic foraminiferal and other proxy data. The ecology as evaluated from the literature (Table 1) is shown for selected benthic foraminiferal taxa from the PETM interval at Forada.

**Table 1.** Summary of the known ecological preferences of selected benthic foraminifera, as evaluated from the literature, common at Forada.

<i>Ammobaculites agglutinans</i>	Deep infaunal recolonizer within the K / Pg boundary clay at the Sopedana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonization of abiotic substrates. Reported in present-day slope high-productivity areas.	Goodyay (2003), Goodyay et al. (2001), Kuhn and Kaminski (1993)
<i>Eohigenerina variabilis</i>	Opportunistic, able to live under low-oxygen conditions. Dominant in the recovery faunas after the Cretaceous OAE2.	Celean et al. (2008a, b); see also text
<i>Globocassidulina subglobosa</i>	Cosmopolitan, highly adaptable. Long-ranging opportunistic species. Modern representatives of this species described from a wide variety of environmental settings, including hydrate mounds. Possibly feeding on phytodetritus and reflecting pulsed food supply to the seafloor in oxygenated deepwater settings. Abundant at high southern latitudes where seasonality is extreme. At many sites it appears after the BEE and blooms as an opportunist.	Ernst et al. (2006), Goodyay (1993, 1994), Gupta and Thomas (2003), Goodyay et al. (2008), Ishman and Domack (1994), Jorissen et al. (2007), Mohan et al. (2011), Murray and Pudsey (2004), Nomura (1995), Panfili and Sen Gupta (2007), Sagarra et al. (1997), Sing and Gupta (2004), Suhr et al. (2003), Takata et al. (2010), Takeda and Kaho (2007)
<i>Glomospira</i> spp.	Very abundant in the lowermost Eocene at several deepwater locations (the “ <i>Glomospira</i> acme”). Generally oligotrophic indicators, they though could be indicative of an abundant supply of terrigenous, refractory organic matter, independent of local primary productivity. Resistant to carbonate dissolution and able to live in environments with low carbonate supply. High ecological tolerance: occur in environments subjected to rapid changes with fluctuating ecological conditions.	Arreguin-Rodriguez et al. (2013, 2014), Galeotti et al. (2004), Kaminski and Gradstein (2005), Kaminski et al. (1996), Kuhn and Collins (1996), Ortiz, 1995), Waszkowska (2011)
<i>Haplorphragmoides</i> spp.	Representatives of the genus pioneer sediments just above anoxic OAE2 black shales in the abyssal North Atlantic that contain no benthic foraminifera. Commonly documented in the basal PETM dissolution interval of shelfal and bathyal Tethyan sections.	Alegret et al. (2005), Ernst et al. (2006), Friedrich (2009), Kuhn (1992), Ortiz (1995)
<i>Karreriina conversa</i>	Deep infaunal taxon peaking in the basal PETM at Zumaya (Spain). Resistant to carbonate dissolution and able to live in environments with low carbonate supply. Modern representatives are part of the oligotrophic biotopes on abyssal plains with well-oxygenated bottom and interstitial waters. Recolonized in the lowermost Eocene of the Iberia Abyssal Plain.	Bak (2004), Kaminski and Gradstein (2005), Kuhn and Collins (1996), Kuhn et al. (2000), Ortiz (1995); see text
<i>Oristonsella umbonatus</i>	Very long-ranging, extant taxon (since the Turonian–Coniacian). Opportunistic lifestyle. Reported both in oligotrophic and eutrophic environments. It may feed on phytodetritus. Shallow infaunal dweller, with very small tests but increased calcification just above the base of the PETM at Site 1263 (Malvis Ridge, SE Atlantic), where it dominates the assemblage.	Foster et al. (2013), Kaho (1998), Katz et al. (2003), Goodyay (1993, 1994), Gupta and Thomas (1999), Gupta et al. (2008), Schmidt (1995), Schmidt and Mackensen (1997), Thomas and Shackleton (1996), Wendler et al. (2013)
<i>Oxungularia</i> spp.	Opportunisticly repopulate the seafloor during short-term re-oxygenation phases of Cretaceous OAEs. Opportunistic phytodetritus feeders during OAE1b, thriving on an enhanced carbon flux to the seafloor and tolerating some degree of oxygen depletion. Peaks of <i>Oxungularia</i> spp. are reported across the PETM of the Alamedilla section (Spain).	Alegret et al. (2009a), Friedrich (2009), Friedrich et al. (2005), Holbourn and Kuhn (2001), Holbourn et al. (2001); see also text
<i>Saccamina</i> spp.	Recolonizer within the K / Pg boundary clay of the Sopedana section (Spain). Adapted to low carbonate availability with high capability for dispersal and colonization of abiotic substrates. Common on modern productive continental margins.	Goodyay et al. (2008), Kuhn and Kaminski (1993)
<i>Siphogenerioides brevispinosa</i>	Typical of many open ocean sites in the aftermath of the peak CIE. Opportunistic capable of rapidly colonizing the sediment when productivity increases during environmental instability. At some locations it bloomed during the PETM and other hyperthermals; at others it had its highest occurrence in the lowermost part of the PETM.	Giusberti et al. (2009), Thomas (1998, 2003, 2007), Thomas and Shackleton (1996)
<i>Spirinolexaminina navarrana</i>	Minor component of PETM postextinction faunas. At some locations common just after the K / Pg boundary.	Alegret et al. (2003), Alegret et al. (2009b), Ortiz (1995)
Silicostomellids and pleurostomellids	Infaunal taxa widely distributed in oligotrophic and eutrophic regions with sustained or highly seasonal phytoplankton productivity. Tolerated warm, locally oxygen-depleted, carbonate-corrosive bottom waters, as demonstrated by their survival across the PETM. Across Cretaceous OAEs, pleurostomellids were found within black shales. Possibly adapted to low-oxygen conditions, or able to rapidly recolonize the seafloor during brief intervals of reoxygenation.	Cocconi and Galeotti (1993), Friedrich (2009), Friedrich et al. (2005), Hayward et al. (2010a, b, 2012), Holbourn and Kuhn (2001), Marchin et al. (2013)
<i>Tappanina schenensis</i>	Upper bathyal to outer shelf species in the Campanian and throughout the Paleocene. High-productivity, stress-tolerant and opportunistic species possibly thriving in continuously stressed, dysoxic sea bottom conditions. Common in the deep sea only just before and especially following the BEE.	Alegret et al. (2009a), Boersma (1984), D’haensens et al. (2012), Frenzel (2000), Giusberti et al. (2009), Kuhn (1996), Kuhn and Kaminski (1996), Stassen et al. (2012a, b, 2015), Steinbeck and Thomas (1996), Thomas (1989, 1990, 1998), Thomas and Shackleton (1996), van Morkhoven et al. (1986)

### 2.3 Age model

The age model used for calculating the longevity of benthic foraminiferal assemblages (see below) follows Luciani et al. (2007), with the lower Eocene chronology based on the cyclostratigraphic age model of Giusberti et al. (2007; Fig. 3). The duration of each precessional cycle has been assumed to be 21 kyr. Sedimentological and geochemical parameters oscillate cyclically within the main CIE, in at least five complete precessional cycles (Figs. 2, 3). The CIE recovery interval is composed of six distinct, precessional marl–limestone couplet cycles (Fig. 3). The recognition of 11 cycles in the combined CIE and recovery interval implies an estimate of the total duration of the CIE of ca. 230 kyr (Fig. 3). Giusberti et al. (2007) and Röhl et al. (2007) disagree on the duration of the main CIE and recovery interval ( $179 \pm 17$  and  $231 \pm 22$  kyr, respectively). The main difference between these two chronologies is the assignment of different numbers of precessional cycles within the main body and recovery interval (Tippie et al., 2011). A  $^3\text{He}$ -based chronology for Site 1266 (Walvis Ridge) suggests a total PETM duration of  $234 + 48/-34$  kyr (Murphy et al., 2010), in line with the age model of Giusberti et al. (2007).

Lithological cycles have not been firmly identified in the Paleocene part of the section, and sedimentation rates are interpolated between the base of the PETM at  $\pm 0$  cm and the lowest occurrence of the calcareous nannofossil *Discoaster multiradiatus* at ca.  $-12.5$  m (Giusberti et al., 2007), using a duration of the time between these events of 1.238 Myr (Westerhold et al., 2007). In this age model, the investigated portion of the Forada section spans ca. 800 kyr.

## 3 Results

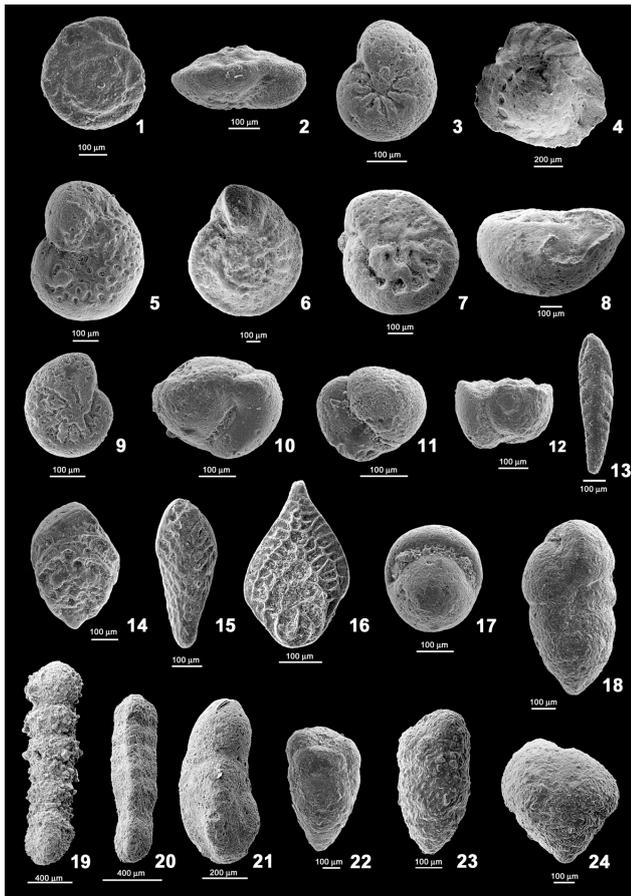
Benthic foraminiferal assemblages are generally dominated by calcareous hyaline taxa (85–90%; Fig. 2), but agglutinated taxa significantly increase in abundance within the CMU (25–90%; Fig. 2). Infaunal taxa strongly dominate the assemblage throughout the studied interval ( $\sim 80\%$ ). Faunal diversity is fairly high, particularly in the upper Paleocene (Fig. 2), and preservation is generally moderate, though poor within the lowermost centimeters of the Eocene. Most foraminiferal tests at Forada are recrystallized and either totally or partially filled with calcite.

Composition and abundance of the assemblages change prominently across the ca. 11 m thick interval investigated (Figs. 2, 5–7) coeval with the geochemical signature of the PETM, and broadly coincident with the main lithological changes. We recognized six successive benthic foraminiferal assemblages (labeled A to F; Figs. 2, 5–8), mainly based on changes in abundance of the taxa listed in Table 1. Assemblages A and B are characteristic of the dominantly reddish calcareous marls mottled by greenish “flames” of the uppermost Paleocene, separated by the thin, barren clay layer from assemblages C, D and E, which occur in the first half of the

main excursion of the CIE (lowermost Eocene), within the CMU (basal green laminated clays overlain by mottled reddish clays, marly clays and marls). Assemblage F characterizes the marls of the upper half of the CMU, as well as the CIE recovery interval and the overlying post-excursion interval of reddish limestone–marl couplets (Giusberti et al., 2007).

### 3.1 Assemblage A: the upper Paleocene fauna

Assemblage A ( $-467.5$  to  $-37.5$  cm, with an estimated duration  $> 430$  kyr) has a high diversity, with abundant infaunal taxa (ca. 70–80%; Fig. 2). Small bolivinids ( $< 125 \mu\text{m}$ ) of the *Bolivinoidea crenulata* group (Plate 3, Figs. 7–9) and smooth-walled *Bolivina* spp. together comprise 50–60% of the  $> 63 \mu\text{m}$  fauna (Fig. 5), with *Siphogenerinoides brevispinosa* ( $\sim 10\%$ ) and other buliminids less common (Figs. 5, 6). Epifaunal morphotypes are mainly represented by small cibicidids (10%), *Anomalinoidea* spp. (5%) and *Cibicidoides* spp. (usually  $< 5\%$ ; Fig. 5). Rare taxa include reussellids, angulogerinids, nodosariids, dentalinids, gyrodinids, valvalabaminids and unilocular hyaline taxa (Fig. S1 in Supplement). Agglutinated taxa are mainly represented by *Spiroplectamina spectabilis*, *Trochamminoides* spp., *Paratrochamminoides* spp., *Reophax* spp. and *Subreophax* spp. The Paleocene CET (Plate 1) are not a major component of the assemblage  $> 63 \mu\text{m}$  ( $< 10\%$ ; Fig. 6), but are common to abundant in the size fraction  $> 125 \mu\text{m}$  ( $> 20\%$ ). Many of these have large, heavily calcified tests. The most common taxa include *Gavelinella beccariiformis*, *Pullenia coryelli* and *Coryphostoma midwayensis* (Table S1). CET such as *Clavulinoides globulifera*, *Cibicidoides dayi* and *Cibicidoides velascoensis* are common in the  $> 500 \mu\text{m}$  size fraction, together with trochamminids and large lituolids (Plate 1, Fig. 19, 6–8; Plate 4, Figs. 7, 8, 14, 20). The latter occur up to the top of the Paleocene, but are absent in the Eocene. At  $-261.5$  cm, the CET peak at 15%, their maximum abundance in the studied section (Fig. 6). At the same level, peaks of large, stout, heavily calcified taxa (e.g., *Cibicidoides* and anomalinids) co-occur with agglutinated taxa (*Glomospira*, *Spiroplectamina* and *Haplophragmoides*; Figs. 6, 7), whereas small, thin-walled forms such as bolivinids, *Siphogenerinoides brevispinosa* and cibicidids decline markedly in relative abundance (Figs. 5–7). Faunal density ( $\text{N g}^{-1}$ ), diversity and the percentage abundance of infaunal morphotypes decrease (Fig. 2), as do  $\delta^{13}\text{C}$  and  $\text{CaCO}_3$ %, whereas the planktonic foraminiferal fragmentation index (*F* index) increases significantly (Fig. 2). The upper boundary of this assemblage is defined by the increase in abundance of the opportunistic taxa *Tappanina selmensis* and *Siphogenerinoides brevispinosa*, marking the onset of Assemblage B.



**Plate 1.** SEM micrographs of the most representative Paleocene cosmopolitan extinction taxa (CET) occurring at Forada. 1. *Angulogavelinella avnimelechi*, spiral view (BRI–25.5); 2. *Angulogavelinella avnimelechi*, lateral view (BRI–185.5); 3. *Gavelinella beccariiiformis*, umbilical view (BRI–75); 4. *Osangularia velascoensis*, spiral view (BRI–50.5); 5. *Anomalinoidea rubiginosus* (BRI–9); 6. *Cibicidoides dayi* (BRI–37); 7. *Cibicidoides velascoensis*, spiral view (BRI–75.5); 8. *Cibicidoides velascoensis*, lateral view (BRI–135.5); 9. *Cibicidoides hyphalus* (BRI–50.5); 10. “*Neoepionides*” *megastoma* (BRI–135); 11. *Gyroidinoides globosus* (BRI–50.5); 12. *Gyroidinoides quadratus* (BRI–185.5); 13. *Coryphostoma midwayensis* (BRI–50.5); 14. *Aragonia velascoensis* (BRI–50.5); 15. *Bolivinoidea delicatulus* (BRI–135.5); 16. *Neoflabellina semireticulata* (BRI–365); 17. *Pullenia coryelli* (BRI–50.5); 18. *Remesella varians* (BRI–310.5); 19. *Clavulinoides globulifera* (BRI–25.5); 20. *Clavulinoides trilatera* (BRI–33); 21. *Clavulinoides amorphia*; 22. *Marssonella indentata* (BRI–25.5); 23. *Dorothia beloides* (BRI–260); 24. *Dorothia pupa* (BRI–105).

### 3.2 Assemblage B: the pre-CIE Paleocene fauna

Assemblage B occurs at –31 to 0 cm, estimated duration ~34 kyr. At about –20 cm the lithology shifts from reddish to greenish marls with *Zoophycos* and *Chondrites* (intervals Pa I and II of Giusberti et al., 2007). In this assemblage, *Siphogenerinoides brevispinosa* and *Tappanina sel-*

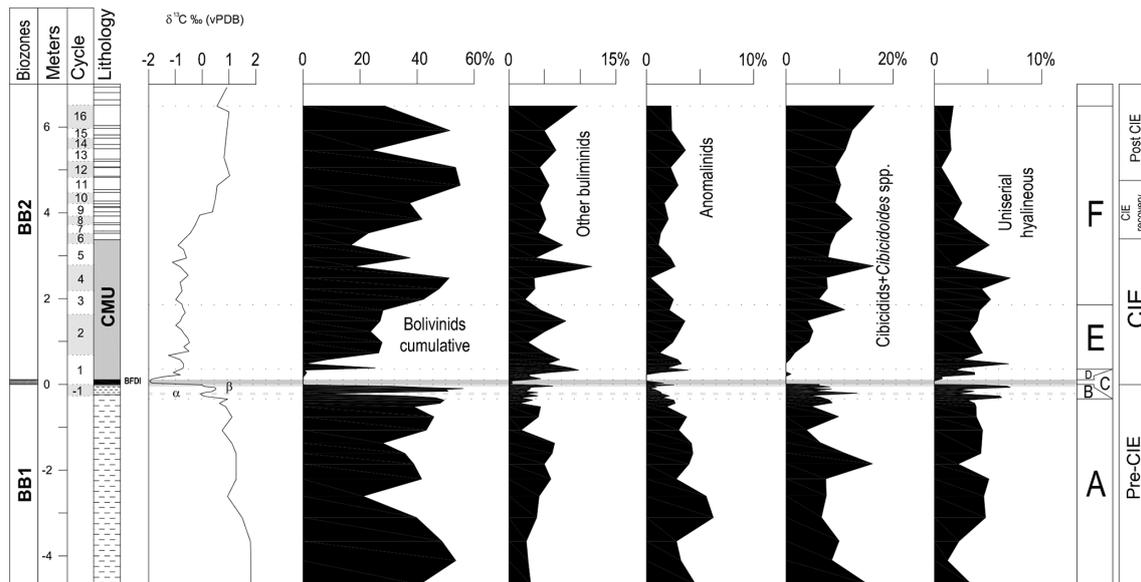
*mensis* increase in relative abundance compared to Assemblage A (> 10 % at ~ –27 and –12 cm; Figs. 6, 7). Between the two peaks of *S. brevispinosa* (at about ~ –20 cm; Figs. 6, 7), there is a transient negative carbon isotope excursion of about 1 ‰, a drop in CaCO<sub>3</sub> from 60 to 40 %, a decline in the coarse fraction to 2 %, and a peak in the *F* index (85–90 %; Figs. 2, 3). Small and thin-walled taxa such as bolivinids, cibicidids and *S. brevispinosa* decreases markedly in relative abundance, whereas big, heavily calcified taxa (e.g., Cosmopolitan Extinction Taxa, *Cibicidoides* spp., *Nuttallides truempyi*) and agglutinated forms increase (Figs. 5–7). In addition, faunal density drops, as does the percentage of infaunal taxa (from 90 to 50 %), and diversity increases (Fig. 2). From –4.5 cm upwards, the preservation of benthic foraminifera deteriorates, while the *F* index reaches 100 % (Figs. 2, 3). At –1.5 cm preservation worsens and most biterrestrial taxa decline in abundance drastically, whereas benthic foraminiferal absolute abundance and CaCO<sub>3</sub> % both decrease (Fig. 2). Faunal diversity peaks, while anomalinids, *Cibicidoides* spp., *N. truempyi*, *O. umbonatus*, and agglutinated forms increase markedly in relative abundance (Figs. 2, 5, 6). In the uppermost Paleocene sample, we see the highest occurrence of most CET (Figs. 4, 6). Few CET (e.g., *Aragonia velascoensis*) disappear below this sample (Fig. 4). These are generally rare, occurring discontinuously throughout the Paleocene, even in large samples of residue > 125 μm (Fig. 4). The uppermost occurrence of the CET defines the upper boundary of this assemblage, at the base of the black clay layer (Figs. 4, 6).

### 3.3 The black clay

The lowermost Eocene is a thin, black clay layer (0 to +0.3 cm), slightly enriched in organic carbon, and carbonate-free (Giusberti et al., 2007; Figs. 3, 8). This clay marks the base of the CMU, and contains a few specimens only, agglutinated benthic foraminifera of the genera *Haplophragmoides* and *Recurvoides* (10 specimens in 22 g washed sediment). It probably was deposited over less than a millennium, in view of its small thickness and place within the precessionally paced cycles in the PETM.

### 3.4 Assemblage C: basal CIE agglutinated fauna

We label BFDI (benthic foraminiferal dissolution interval) the lowermost Eocene interval (lowermost 10 cm of laminated green clays of CMU; estimated duration 3.5 kyr) with low CaCO<sub>3</sub> wt % (~ 15 %), and the most negative δ<sup>13</sup>C values in bulk carbonate (–2 ‰). Assemblage C is dominated by agglutinated taxa (about 90 %; Fig. 2) with badly preserved and deformed tests. Tests of calcareous-hyaline forms are rare, partially dissolved and fragmented. Assemblage C has minimum values of faunal density (< 5), diversity, and wt % coarse fraction (Fig. 2). Infaunal morphotypes have their lowest abundance (ca. 36 %; Figs. 2, 6). Agglutinated



**Figure 5.** Relative abundance of the most abundant benthic foraminiferal taxa across the PETM at Forada plotted against biostratigraphy, precessional cycles, lithology,  $\delta^{13}\text{C}$  bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ : pre-CIE dissolution;  $\beta$ : burndown layer; BFDI: benthic foraminiferal dissolution interval. The “other buliminids” group includes only representatives of the families Buliminidae, Buliminellidae and Turriliniidae (*Bulimina*, *Buliminella*, *Quadratobuliminella*, *Siella* and *Turrilina*).

foraminifera are mainly represented by *Eobigenerina variabilis* (25%; Plate 1, Figs. 2, 3), *Haplophragmoides* spp. (20%), *Glomospira* spp. (15%), *Saccamina* spp. (10%) and *Spiroplectamina navarroana* (~8%; Plate 2, Fig. 6). In its upper part, Assemblage C has high abundances of *Karretulina* spp. (~20%; *K. conversa*; Plate 2, Fig. 4) and *Amobaculites agglutinans* (10%; Plate 2, Fig. 1). The latter taxa occur at relatively high abundance in the overlying assemblages, up to ~+50–70 cm (Figs. 6, 7). The upper boundary of this assemblage is defined by the first substantial recovery of hyaline taxa (>50%).

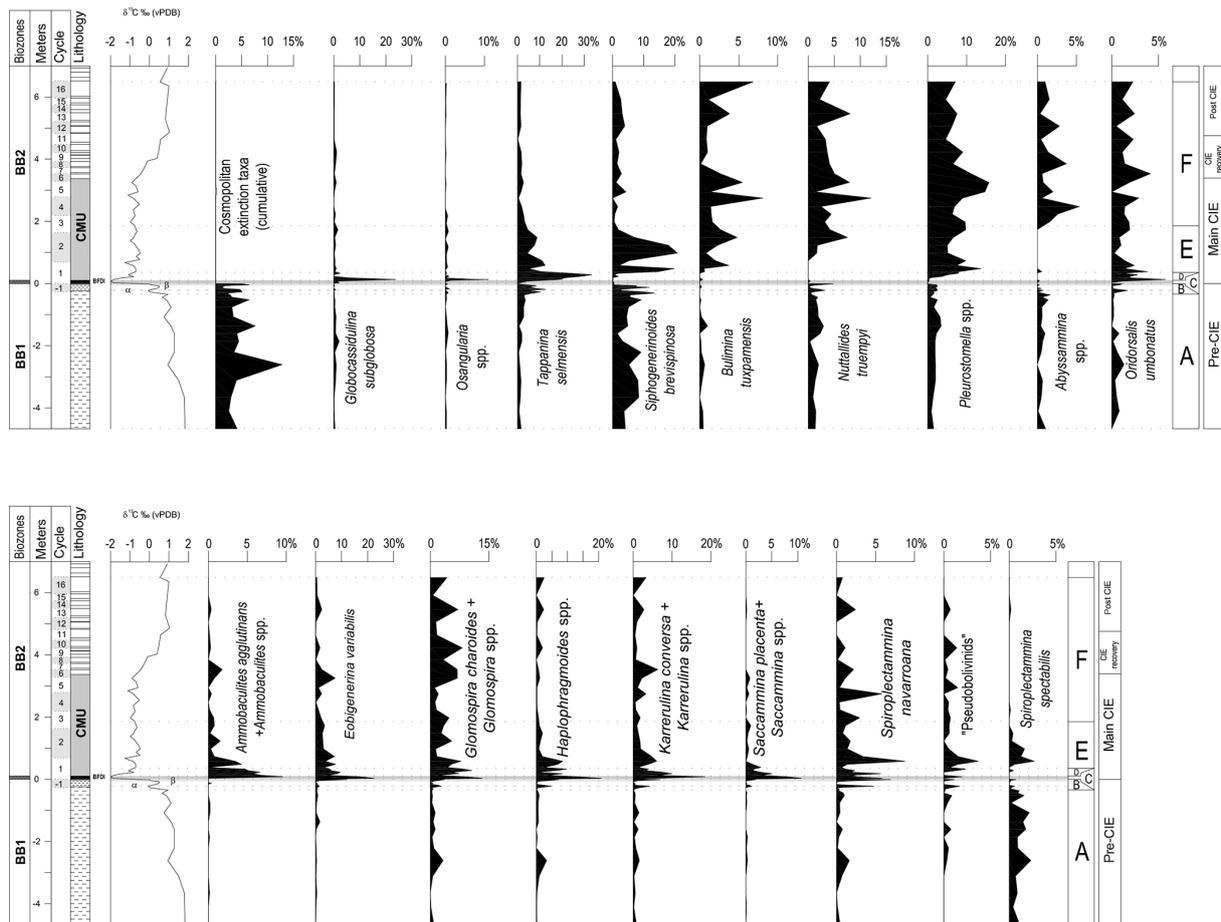
### 3.5 Assemblage D: lowermost CIE fauna

In Assemblage D (+10 to +35 cm, lithologically characterized by laminated green clays; estimated duration ~9 kyr), calcareous-hyaline forms are consistently present and badly preserved, with dominant taxa having dwarfed and thin-walled tests, e.g., *Globocassidulina subglobosa* (25%), *Tappanina selmensis* (20%), and *Osangularia* spp. (~11%; Figs. 6, 7; Plate 2, Figs. 13–16). A specific assignment of basal PETM osangulariids at Forada is not possible because of their very small size and poor state of preservation. From +30 cm upwards, relative abundances of *G. subglobosa* and *Osangularia* spp. drastically decline, whereas *T. selmensis* reaches its maximum abundance (ca. 33%; Figs. 6, 7). Minor components are the “other buliminids” group (up to 10% at the top of the assemblage; see Figs. 5 and 5 – related caption), *Pleurostomella* spp., *Oridorsalis umbonatus*, anoma-

linids and stilostomellids (Figs. 5, 6 and S1). Agglutinated forms remain abundant, up to 50%. At +20 cm, calcified radiolarians become abundant, dominating the microfossil association up to +2 m above the base of CMU (Luciani et al., 2007; Figs. 3, 8). Within the interval of Assemblage D,  $\delta^{13}\text{C}$  shifts from –2 to –1‰, and the  $\text{CaCO}_3$  wt% recovers to ~40%, despite strong dilution with terrigenous sediments (Fig. 3). The upper boundary of this assemblage is defined by the consistent decrease in *T. selmensis* (to <5%).

### 3.6 Assemblage E: main CIE fauna I

In this interval (+35 to +185 cm; lithologically characterized by green and reddish clays and marls; estimated duration ca. 42 kyr) benthic foraminiferal preservation improves, and calcareous-hyaline forms dominate the assemblages again (Fig. 2). *Siphogenerinoides brevispinosa* is consistently present again, with two peaks up to 20% (Figs. 6, 7). *Pleurostomella* spp. increase to up to >10%, and *Bolivinoides crenulata* and smooth-walled *Bolivina* spp. to up to 30–40% (Figs. 5, 6). Calcareous-hyaline epifaunals such as cibicides and anomalinids reappear at <5% (Fig. 5). Faunal density and diversity gradually increase upwards, whereas agglutinated taxa markedly decrease in abundance (<20%) at ~+70 cm (Fig. 2). The upper boundary of this assemblage is defined by the marked drop in relative abundance of *S. brevispinosa* (to <5%).



**Figure 6.** Relative abundance of selected benthic foraminifera across the PETM at Forada plotted against biostratigraphy, precession cycles, lithology,  $\delta^{13}\text{C}$  bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ : pre-CIE dissolution;  $\beta$ : burndown layer; BFDI: benthic foraminiferal dissolution interval.

### 3.7 Assemblage F: main CIE fauna II, CIE recovery and post CIE fauna

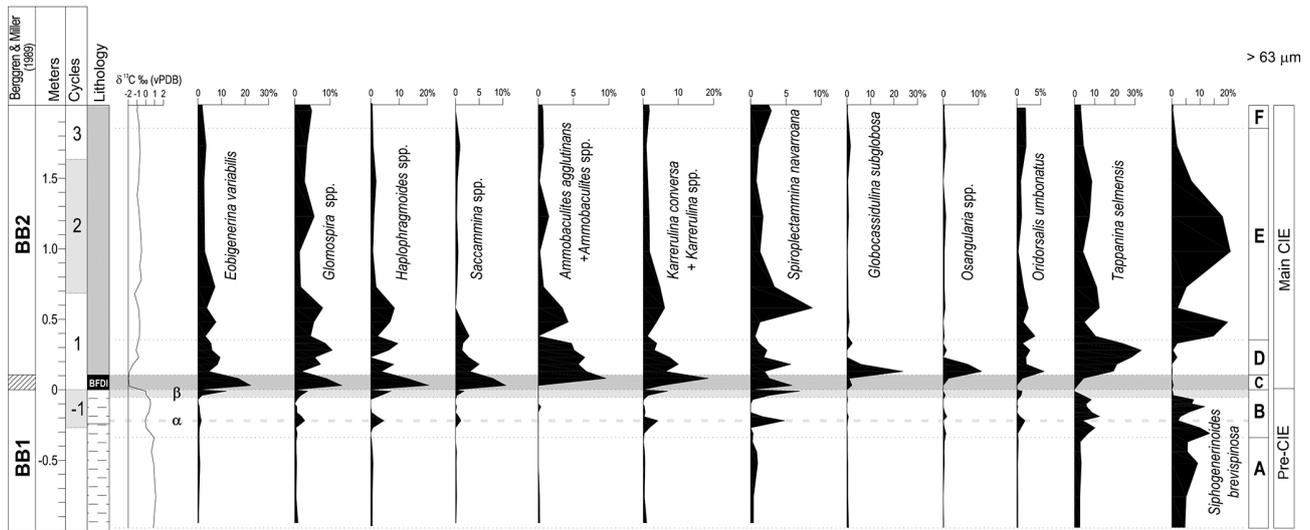
Assemblage F characterizes the upper half of the CMU (red-dish marls), from about +185 cm up to its top (+337.5 cm), and the overlying interval (red marly limestone couplets) up to +649 cm; estimated total duration >281 kyr). The relative abundance of *Siphogenerinoides brevispinosa* is low (<5%), whereas *Bulimina tuxpamensis* and *Nuttallides truempyi* increase in abundance, respectively to 5 and 10%, and show cyclical variations in relative abundance (Figs. 6, 7). Pleurostomellids (~10%), the “other buliminids” group (~10%; Fig. 5), cibicids (~10%), *Oridorsalis umbonatus* (~5%), stilostomellids (~5%) and *Abyssammina* spp. (~5%) are common (Figs. 5, 6). Relative abundance of in-faunal taxa (mostly bolivinids) and faunal density ( $\text{N g}^{-1}$ ) returns to Paleocene values (75–80%; Fig. 2). Diversity increases (simple diversity up to 60, Fisher- $\alpha$  diversity up to 20; Fig. 2) but remains lower than in the Paleocene. All faunal indices show cyclical variation (Fig. 2), as do the rel-

ative abundance of benthic foraminifera as well as planktic foraminiferal and calcareous nannofossil assemblages (Agnini et al., 2007; Luciani et al., 2007). In the lower third of the interval in which this assemblage occurs, just above the CMU (ca. +337.5 cm), the relative and absolute abundance of radiolarians decrease markedly and agglutinated taxa such as *Glomospira* spp., *Eobigenerina variabilis* and *Karrerulina* spp. slightly increase in relative abundance (~+2–3%) (Figs. 2, 3, 6, 7).

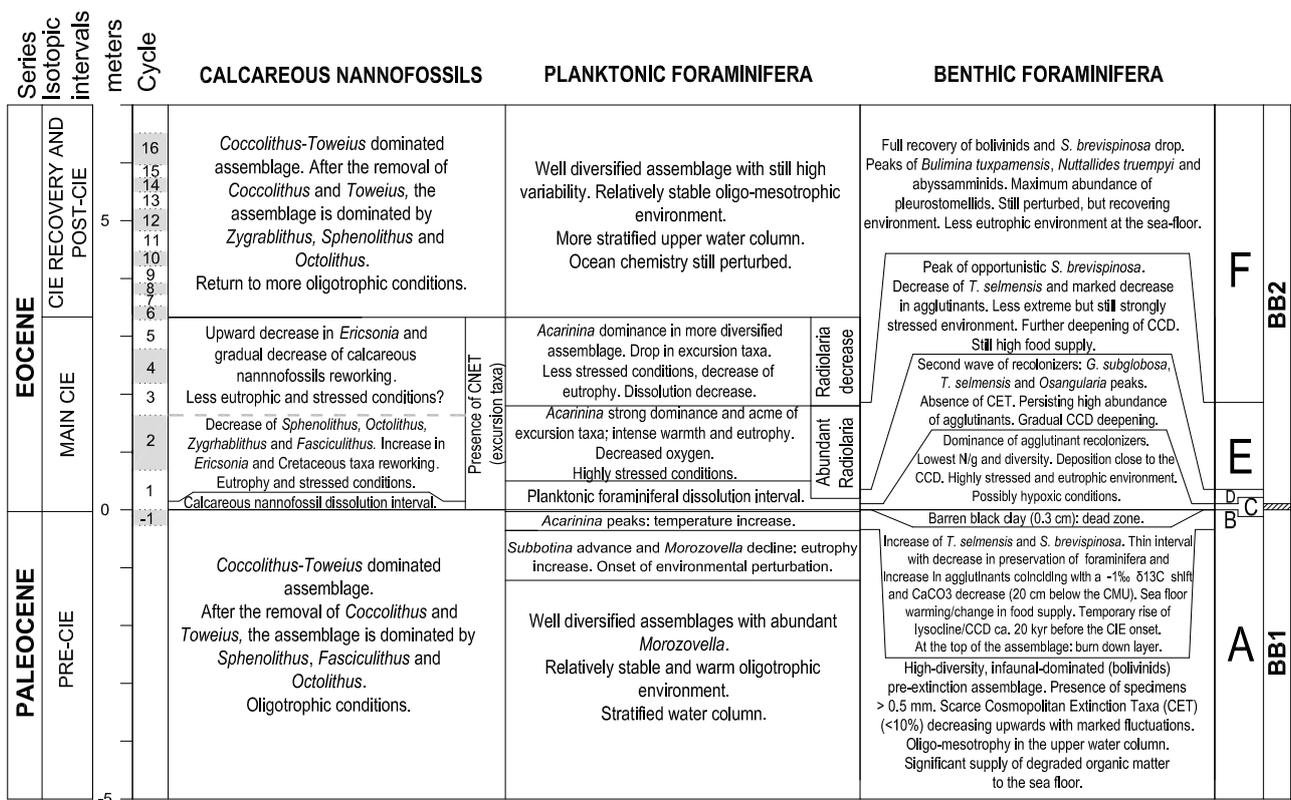
## 4 Discussion

### 4.1 Paleodepth of the Forada section

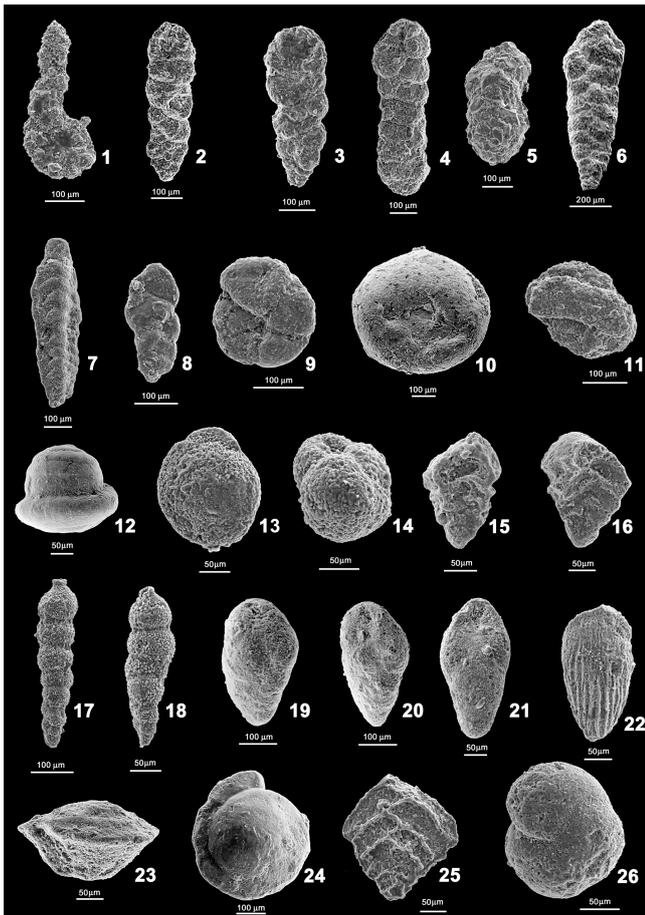
Based on benthic foraminifera in the >125  $\mu\text{m}$  size fraction, Giusberti et al. (2007) suggested a paleodepth between 600 and 1000 m for the Forada section. Our data on the >63  $\mu\text{m}$  size fraction suggest a somewhat greater paleodepth, i.e., upper lower bathyal, between 1000 and 1500 m (van Morkhoven et al., 1986). Representatives of the bathyal and



**Figure 7.** Enlargement of the interval from –1 to +2 m across the Paleocene–Eocene boundary at Forada showing the relative abundance of selected benthic foraminifera plotted against biostratigraphy, precessional cycles, lithology,  $\delta^{13}\text{C}$  bulk record, recognized benthic foraminiferal assemblages (A to F) and isotopic intervals. Benthic foraminiferal biozonation after Berggren and Miller (1989). The gray bands indicate intervals of carbonate dissolution.  $\alpha$ : pre-CIE dissolution interval;  $\beta$ : burndown layer; BFDI: benthic foraminiferal dissolution interval.

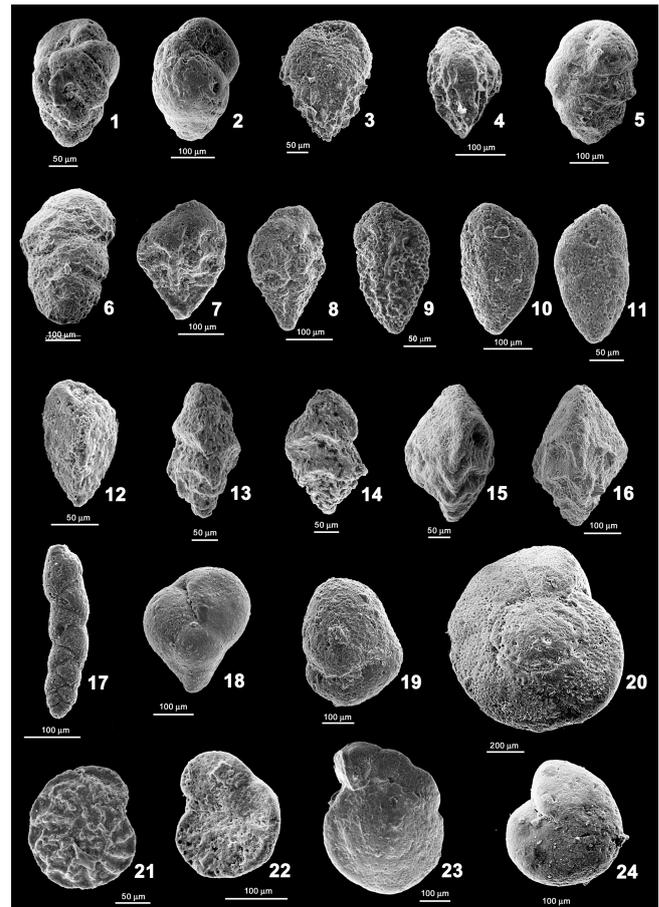


**Figure 8.** Summary of main calcareous plankton (calcareous nannofossils and planktonic foraminifera) and benthic foraminiferal events and inferred environmental conditions (from Agnini et al., 2007; Luciani et al., 2007 and present work), isotopic intervals, thickness, precessional cycles and benthic foraminiferal assemblages (A to F) recognized in this work. The stratigraphic intervals containing assemblages A and B, C, and D to F are considered as pre-extinction, extinction and repopulation intervals, respectively. Benthic foraminiferal zonation after Berggren and Miller (1989). CNET: calcareous nannofossil excursion taxa (see Agnini et al., 2007).



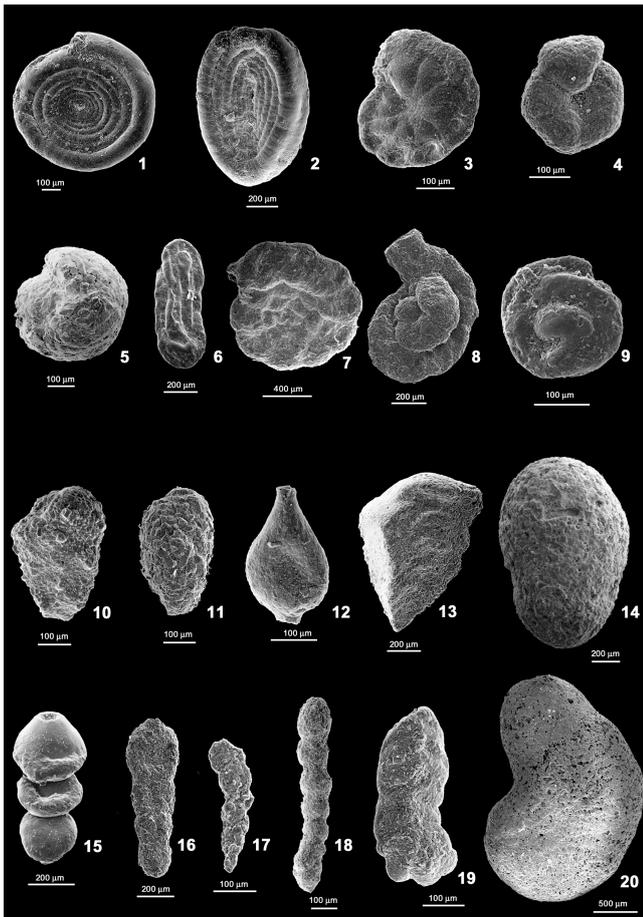
**Plate 2.** SEM micrographs of the most representative species of the Eocene postextinction faunas occurring at Forada. 1. *Ammobaculites agglutinans* (BRI+10); 2. *Eobigenerina variabilis* (BRI+50); 3. *Eobigenerina variabilis* (BRI+50); 4. *Karrerulina conversa* (BRI+50); 5. *Karrerulina horrida* (BRI–25.5); 6. *Spiroplectamina navarroana* (BRI–33/7); 7. *Spiroplectamina spectabilis* (BRI+50); 8. *Rashnovammmina munda* (BRI–50.5); 9. *Haplophragmoides cf. kirki*. (BRI+5); 10. *Saccammmina placenta* (BRI–25.5); 11. *Glomospira irregularis* (BRI+35); 12. *Glomospira charoides* (BRI–75.5); 13. *Osangularia* sp. (BRI+15); 14. *Globocassidulina subglobosa* (BRI+15); 15. *Tappanina selmensis* (BRI+15); 16. *Tappanina selmensis* (BRI–9); 17. *Siphogenerinoides brevispinosa* (BRI–11); 18. *Siphogenerinoides brevispinosa* (BRI–365); 19. *Bulimina tuxpamensis* (BRI+150); 20. *Bulimina tuxpamensis* (BRI+150); 21. *Pleurostomella* sp. (BRI+150); 22. *Bolivina* sp. costate (BRI+385); 23. *Nuttallides truempyi* (BRI+150); 24. *Oridorsalis umbonatus* (BRI–135.5); 25. *Aragonia aragonensis* (BRI–105); 26. *Abyssammmina poagi* (TAL7B).

abyssal Velasco-type fauna (Berggren and Aubert, 1975), such as *Aragonia velascoensis*, *Cibicidoides velascoensis*, *Gyroidinoides globosus*, *Nuttallides truempyi*, *Nuttallinella florealis*, *Osangularia velascoensis* and *Gavelinella beccariiiformis* are common at Forada. The faunas across the uppermost PETM interval and higher are similar to the PETM



**Plate 3.** SEM micrographs of the most representative taxa of the upper Paleocene–lower Eocene of the Forada section. 1. *Quadratobuliminella pyramidalis* (BRI–75.5); 2. *Buliminella grata* (BRI–591); 3. *Bulimina midwayensis* (BRI+35); 4. *Bulimina alazanensis* (BRI +150); 5. 6. *Bulimina trinitatensis* (BRI–9); 7. *Bolivinooides crenulata* (BRI–9); 8. *Bolivinooides crenulata* (BRI–25.5); 9. *Bolivinooides floridana* (BRI–410); 10. *Bolivina* sp. smooth (BRI–410); 11. *Bolivina* sp. smooth (BRI–410); 12. *Reussella* sp. (BRI–365); 13. *Angulogerina muralis* (BRI–75.5); 14. *Angulogerina muralis* (BRI–75.5); 15. *Angulogerina?* sp. (BRI–9); 16. *Angulogerina?* sp. (BRI–35.5); 17. *Rectobulimina carpentierae* (BRI–466); 18. *Allomorphina trochoides* (BRI–25.5); 19. *Quadrimorphina allomorphinoides* (TAL 7B); 20. *Cibicidoides eocaenus* (BRI–9); 21. *Anomalinooides* sp. 2 (BRI–135); 22. *Cibicides* sp. (BRI–591); 23. *Cibicidoides praemundulus* (BRI+150); 24. *Nonion havanense* (BRI–591).

fauna in the upper abyssal Alamedilla section (southern Spain; Alegret et al., 2009a) and at Walvis Ridge at 1500 m paleodepth (Thomas and Shackleton, 1996; Thomas, 1998). *Abyssammmina* spp. and *Nuttallides truempyi* (upper depth limit at 1000 and 300 m, respectively; Van Morkhoven et al., 1986; Speijer and Schmitz, 1998) increase in abundance by more than a factor of 2 during the PETM at Forada, as typical for PETM deep-sea benthic foraminiferal records (e.g., Thomas, 1998, 2007; Thomas and Shackleton, 1996; Alegret



**Plate 4.** SEM micrographs of some taxa of the upper Paleocene–lower Eocene of the Forada section. 1. *Ammodiscus cretaceus* (BRI–29.5); 2. *Ammodiscus peruvianus* (BRI–9); 3. *Haplophragmoides walteri* (BRI–75.5); 4. *Haplophragmoides horridus* (BRI+35); 5. *Recurvoides* sp. (BRI –33/–37); 6. *Glomospira serpens* (BRI–260); 7. *Trochamminoides proteus* (BRI–25.5); 8. *Paratrochamminoides heteromorphus* (BRI+40); 9. *Glomospira* cf. *gordialis* (BRI +35); 10. *Gaudryina* sp. (BRI +15); 11. *Karrerulina coniformis* (BRI–135); 12. *Caudammina ovuloides* (BRI–260); 13. *Gaudryina pyramidata* (BRI–17.5); 14. big-sized lituolid, apertural view (BRI–9); 15. *Hormosina velascoensis* (BRI–33/37); 16. *Pseudonodosinella troyeri* (BRI–260); 17. “*Pseudobolivina*” sp. 2 in Galeotti et al. (2004) (BRI+35); 18. *Pseudoclavulina trinitatensis* (BRI+150); 19. *Spiroplectammina spectabilis* (BRI–50.5); 20. big-sized lituolid, lateral view (BRI–9).

et al., 2009a, 2010; Giusberti et al., 2009). In these deliberations we excluded the bolivinids, because we consider that their high abundance is due to the “delta-depression effect” (see below).

## 4.2 Environmental reconstruction during the late Paleocene

### 4.2.1 The Belluno Basin Paleocene deep-sea environment (Assemblage A)

Throughout most of the investigated section, infaunals strongly dominate over epifaunals, mainly due to the high abundances of bolivinids (Figs. 2, 5). Such dominance of bolivinids is common in lower and middle Eocene hemipelagic Scaglia sediments in the Belluno Basin (Agnini et al., 2009; Boscolo Galazzo et al., 2013). Presently, bolivinids are common along continental margins, and at bathyal depths, at the interception of the oxygen minimum zone (OMZ) with the seafloor, typically between 200 and 1000 m in modern oceans (Levin, 2003). High abundances of bolivinids commonly correlate with high organic matter flux and/or oxygen depletion (e.g., Murray, 1991; Gooday, 1994; Bernhard and Sen Gupta, 1999; Schmiedl et al., 2000; Thomas et al., 2000; Jorissen et al., 1995, 2007; Thomas, 2007). We see high abundances of such taxa typically at greater depths than usual in regions with significant organic matter input from rivers, the so-called “delta-depression effect” first described in the Gulf of Mexico (Pflum and Frerichs, 1976; Jorissen et al., 2007). Such lateral inputs of organic matter thus result in (partial) decoupling between the food supply to the benthos and local primary productivity (e.g., Fontanier et al., 2005; Arndt et al., 2013).

At Forada, there is neither geochemical nor sedimentological evidence for persistent suboxic conditions at the seafloor (Giusberti et al., 2007), and the high benthic foraminiferal faunal diversity likewise does not indicate low-oxygen conditions. The upper Paleocene calcareous plankton is dominated by morozovellids, indicating oligotrophic surface water conditions (Luciani et al., 2007; Fig. 8). The calcareous nannofossil assemblage is dominated by the generalist taxa *Toweius* and *Coccolithus*, with high percentages of *Sphenolithus* and *Fasciculithus* (Agnini et al., 2007; Fig. 8), supporting that surface waters were oligotrophic. We thus think that environments in the Belluno Basin, close to a continental margin (Agnini et al., 2007), were characterized by the delta-depression effect, in which hemipelagic sedimentation incorporated significant laterally transported terrigenous organic matter to serve as food for the benthos (e.g., Fontanier et al., 2005; Arndt et al., 2013).

The occurrence of large, epifaunal (> 500 µm) species (Assemblage A and B) has been related to an optimum food supply, but also to very low food supply, since a lack of food keeps individuals from reproducing successfully and leads to continued test growth (Boltovskoy et al., 1991; Thomas and Gooday, 1996).

Overall, Assemblage A indicates oligo-mesotrophic surface waters, with bolivinids probably exploiting refractory, laterally advected organic matter. The high faunal diversity suggests that seasonal to periodical increases in primary pro-

ductivity may have occurred (e.g., Gooday, 2003; Fontanier et al., 2006a, b, 2014), allowing a species-rich, highly diverse infauna and epifauna to inhabit the seafloor, and co-occur with the bolivinids in the sedimentary record.

At Forada, the relative abundance of Paleocene CET is low (average < 10 %; Fig. 6), due to the large number of Bolivinae dominating the fine size fraction used for this study (> 63  $\mu\text{m}$ ). Many CET are epifaunal morphotypes, commonly larger than 125  $\mu\text{m}$ , as also noted elsewhere (e.g., Giusberti et al., 2009). Similarly low percentages (12–15 %) of CET have been recorded in Scaglia sediments of the Connessa section (Giusberti et al., 2009) and at ODP Site 690 by Thomas (2003), where infaunal morphotypes (buliminids and uniserial calcareous taxa) are abundant in the > 63  $\mu\text{m}$  fraction.

#### 4.2.2 The precursor warming event (Assemblage B)

The onset of Assemblage B, about 34 kyr before the onset of the CIE ( $\sim -30$  cm), is marked by increase in relative abundance of opportunistic taxa such as *Tappanina selmensis* and *Siphogenerinoides brevispinosa* (Figs. 6, 7; Table 1). The arrival of *Tappanina selmensis*, an upper bathyal to outer shelf species in the Maastrichtian (Frenzel, 2000), at greater depths might indicate warming of deep waters before the beginning of the PETM, as also reflected in the migration of warm-water planktonic species to high southern latitudes (Thomas and Shackleton, 1996; Table 1). The benthic foraminiferal changes roughly coincided with a significant increase in acarininids % (planktonic foraminifera, > 50 %), likely indicating warming of surface waters (Luciani et al., 2007; Fig. 8). The foraminiferal assemblages hence suggest warming throughout the water column and increased surface nutrient availability and deepwater food availability, whereas no changes in productivity in calcareous nannofossils are recorded (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). The foraminiferal evidence for warming is associated with an increase in  $\delta D_{n\text{-alkanes}}$  and  $\text{TEX}_{86}$  values (Fig. 9), suggesting increased aridity and sea surface temperature prior to the onset of the CIE (Tippie et al., 2011).

Multiple proxies thus indicate that climatic and oceanographic conditions started to change  $\sim 30$  kyr before the onset of the CIE, pointing to a PETM precursor event, reflected by a < 5 cm thick dissolution interval at  $\sim -22$  cm, coinciding with a negative shift in bulk  $\delta^{13}\text{C}$  ( $-1$  ‰; Figs. 2, 3). Within this interval, dissolution-sensitive benthic foraminifera (e.g., *S. brevispinosa* and small bolivinids) markedly decrease in abundance, while more robust and agglutinated taxa increase (Figs. 2, 5–8), as does the *F* index of planktic foraminifera (to  $\sim 85$ – $90$  %; Luciani et al., 2007; Fig. 3). This dissolution level may thus reflect a brief episode of rising lysocline/CCD (< 5 kyr) in response to a precursor emission of isotopically light carbon (Bowen et al., 2015). Similar precursor events have been observed worldwide (e.g., Sluijs et al., 2007b, 2011; Secord et al., 2010;

Kraus et al., 2013; Garel et al., 2013; Bornemann et al., 2014; Bowen et al., 2015), indicating that disturbance of the global carbon cycle started before the PETM, as potentially also reflected in the occurrence of hyperthermals in the Paleocene (Thomas et al., 2000; Cramer et al., 2003; Coccioni et al., 2012).

At the top of Assemblage B (uppermost 4.5 cm), just prior to the onset of the CIE, carbonate preservation declined markedly, as reflected in *F* index,  $\text{CaCO}_3$  %, and foraminiferal preservation. In this interval, representing the “burndown” layer (BL; e.g., Thomas and Shackleton, 1996; Thomas et al., 1999; Giusberti et al., 2007; Figs. 4, 7, 8), CET remained present. Dissolution in the upper BL removed most thin, dissolution-prone calcareous tests (e.g., *Siphogenerinoides brevispinosa* and small bolivinids), concentrating the more heavily calcified and the agglutinated taxa (including CET; Figs. 5–7). Benthic foraminiferal assemblages in the topmost Paleocene at Forada thus cannot be interpreted with confidence due to the severe dissolution.

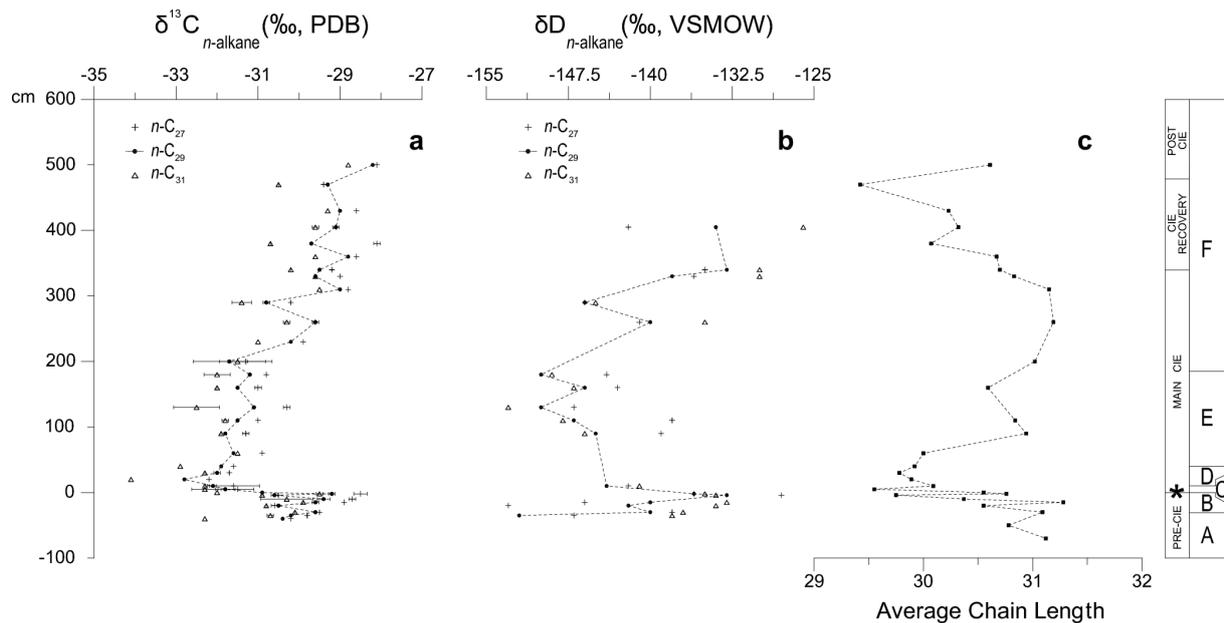
### 4.3 Climate and marine life during the PETM

#### 4.3.1 The black clay: a desert below the CCD

This very thin, carbonate-free interval is somewhat enigmatic. The virtually barren sediment may have been deposited during the maximum rise of the CCD, under environmental conditions so unfavorable that benthic life was excluded, a “dead zone” (sensu Harries and Kauffman, 1990) during the earliest phase of the PETM. Geochemical redox indices in the black clay and the underlying and overlying samples suggest persistently oxygenated bottom waters (Giusberti et al., 2007), but may reflect diagenesis during re-oxygenation of bottom waters after a short period of anoxia, as commonly observed for Mediterranean sapropels (Higgs et al., 1994; van Santvoort et al., 1996). The presence of the thin black clay without microfossils is thus highly suggestive of a brief pulse of anoxia, as supported by a single peak value of organic carbon (0.6 wt %; Giusberti et al., 2007). The high value of biogenic barium (3151 ppm) in the black clay (Fig. 3), despite the fact that barite is generally not preserved under anoxic conditions (Paytan and Griffith, 2007; Paytan et al., 2007), may represent reprecipitation at the oxic–anoxic sediment interface after dissolution under anoxic conditions (Giusberti et al., 2007), and/or high rates of organic remineralization in the water column, during which the barite forms (Ma et al., 2014).

#### 4.3.2 The early peak PETM (assemblages C and D)

The 10 cm of sediment directly overlying the Paleocene–Eocene boundary (i.e., the base of the CIE; Figs. 7, 8) was deposited in strongly  $\text{CaCO}_3$ -corrosive waters, below the lysocline and close to or below the CCD. The rapid rise of the CCD/lysocline during the PETM is a predicted consequence



**Figure 9.** Stable carbon isotope ratios of higher plant *n*-alkanes (a), stable hydrogen isotope ratios of higher plant *n*-alkanes (b) with higher plant average chain length values (c) for Forada PETM plotted against isotopic intervals and recognized benthic foraminiferal assemblages (A to F). Terrestrial higher plant *n*-C27, *n*-C29, and *n*-C31  $\delta$ D values are shown as crosses, closed circles, and triangles, respectively. Redrawn from data of Tipple et al. (2011).

of massive input of carbon ( $\text{CO}_2$  or  $\text{CH}_4$ ) in the ocean–atmosphere system on a millennial timescale (e.g., Dickens et al., 1997; Thomas, 1998; Zachos et al., 2005; Zeebe et al., 2009, 2014; Hönisch et al., 2012). The carbonate dissolution at Forada is consistent with observations at many other deep-sea sites (e.g., Schmitz et al., 1997; Thomas, 1998; Zachos et al., 2005; Kelly et al., 2010). The benthic foraminiferal extinction event (BEE) at Forada (i.e., corresponding to the BB1–BB2 zonal boundary of Berggren and Miller, 1989) occurs within this 10 cm thick interval, between the top of the CET-bearing burndown layer and the base of Assemblage D, where benthic calcareous taxa reappear (Figs. 4, 7, 8). The concentration of CET in the burndown layer, and the reappearance of calcareous hyaline taxa only 10 cm above the onset of the PETM at Forada, confirms that the CET extinction occurred over 3.5 kyr or less in the central-western Tethys, similar to evaluations of this timing from carbon cycle modeling (Zeebe et al., 2014).

Sediment just above the black clay, reflecting a first slight deepening of the CCD, contains a low-diversity fauna of mostly agglutinated, dwarfed (close to 63  $\mu\text{m}$  in diameter) benthic foraminifera as well as calcareous nannofossils with signs of dissolution, with planktic foraminifera virtually absent (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). This first wave of benthic pioneers recolonized the seafloor during the peak CIE, in  $\text{CaCO}_3$ -undersaturated waters, and reflects a highly stressed environment (Assemblage C; Figs. 6–8). Among the pioneers, *Eobigenerina variabilis* is peculiar of the PETM of the Forada section (Figs. 6, 7). *Eobi-*

*generina* is a recently erected genus in the Textulariopsidae, including non-calcareous species previously assigned to *Bigenerina* (Ceteau et al., 2011), and it is known to behave opportunistically during Cretaceous Oceanic Anoxic Event 2 (OAE2; Table 1). A major component of the upper part of Assemblage C is *Karrerulina conversa* (Fig. 7). The species dominates the lowermost Eocene deposits in the Polish Carpathians (Bağ, 2004), commonly occurring in the Paleocene–Eocene of the central North Sea and Labrador margin, and in Morocco (Kaminski and Gradstein, 2005). Modern *Karrerulina* (e.g., *K. apicularis* = *K. conversa*) lives in oligotrophic abyssal plains, with well-oxygenated bottom and interstitial waters (Table 1). However, the test morphology of *Karrerulina*, combined with its abundant occurrence in the doubtless stressed environment of the basal PETM at Forada and Zumaia (Table 1), suggests that this genus may also act opportunistically.

After ca. 4 kyr, a further deepening of CCD allowed a consistent increase in abundance of benthic calcareous taxa (ca. 50%; Assemblage D; Fig. 2), coinciding with the lowermost recovery of bulk carbonate  $\delta^{13}\text{C}$  values, from  $-2$  to  $-1$  ‰ (Giusberti et al., 2007; Tipple et al., 2011; Fig. 7). These calcareous recolonizers included dwarfed and thin-walled forms of *G. subglobosa*, *Tappanina selmensis*, *Osangularia* spp. and *Oridorsalis umbonatus* (Figs. 6, 7). A similar peak in small *Osangularia* also occurs in the basal PETM at the Contessa section, as documented for the first time in the present paper (Fig. S2). Representatives of the genus *Osangularia* (*Osangularia* spp.) behaved opportunistically in the PETM

of the Tethyan Alamedilla section (Alegret et al., 2009a). Moreover, Boscolo Galazzo et al. (2013) found small-size *Osangularia* within organic-rich levels immediately following the Middle Eocene Climatic Optimum in the Alano section (in northeastern Italy). During the Cretaceous OAEs, *Osangularia* spp. opportunistically repopulated the seafloor during short-term re-oxygenation phases (see references in Table 1). Although *Osangularia* is generally referred to as preferring stable, well-oxygenated environments (e.g., Murray, 2006; Alegret et al., 2003), we suggest that some extinct species of this genus could actually behave as opportunists and recolonizers.

Assemblage D contains almost equal abundances of calcareous and agglutinated taxa, indicating that factors other than bottom water CaCO<sub>3</sub> concentration were controlling faunal variability within this assemblage (Figs. 6, 7). Possibly, strongly enhanced runoff and sediment delivery can explain the abundance of agglutinated taxa (40–60%), such as *Glomospira* spp. (e.g., Arreguín-Rodríguez et al., 2013, 2014), above the first 10 cm of the CMU. We thus recognize a rapid succession of recolonizer taxa during the first 12 kyr of the CIE (assemblages C–D). The small size of both the agglutinated and hyaline recolonizers is indicative of r-strategist species which reproduce quickly and can thus quickly repopulate stressed environments, as soon as conditions improve slightly (e.g., Koutsoukos et al., 1990; Thomas, 2003). The rapid pace at which different populations of recolonizers succeeded each other indicates a highly unstable environment, with marked fluctuations in the amount, timing and quality of the food reaching the seafloor. Sediment deposition during this interval may have occurred in rapid pulses, e.g., following intense rainstorms, carrying refractory organic matter to the deep-sea environment. Pauses between events may have allowed the benthic foraminifera to recolonize the sediment, profiting from the abundance of food. This is consistent with calcareous nannofossil assemblages showing an increase in *Ericsonia* and declines in abundance of *Sphenolithus*, *Octolithus*, *Zygrhablithus* and *Fasciculithus*, indicating an unstable and nutrient-rich upper water column (Agnini et al., 2007; Fig. 8). Archaeal biomarkers show a large influx of terrestrial, soil-derived organic matter (branched and isoprenoid tetraethers, or BIT index) from the onset of the PETM up to ~ +10 cm (Tippie et al., 2011). Higher plant *n*-alkane average chain length (ACL) decreased immediately after the onset of the CIE, consistent with increased humidity (Fig. 9; Tippie et al., 2011). The abundance of the clay mineral chlorite indicates enhanced physical erosion (Robert and Kennett, 1994) during deposition of the lower 50 cm of the CMU, rapidly decreasing upward (Fig. S3).

The greenish marly clays containing assemblages C and D show primary lamination, indicating that macrobenthic invertebrates were absent, as at the Dee and Mead Stream sections (New Zealand; Nicolo et al., 2010) and Zumaya (Spain; Rodríguez-Tovar et al., 2011). The presence of ben-

thic foraminifera, however, indicates that bottom and pore waters were not permanently anoxic. Pore waters may have become dysoxic periodically due to high temperatures, decomposing organic matter and possibly enhanced water column stratification, leading to the absence of metazoans and stressed benthic foraminiferal assemblages. Low-pH seafloor conditions may have also played a significant role in excluding macrobenthic fauna in this early phase of PETM at Forada. Deep-sea animals are highly sensitive to even modest but rapid pH changes (Seibel and Walsh, 2001), which are harmful even for infaunal deep-sea communities (Barry et al., 2004).

#### 4.3.3 The core of the CIE and recovery (assemblages E, F)

The benthic foraminiferal assemblage changes significantly from Assemblage D to Assemblage E, coinciding with the gradual reappearing of mottling (as thin reddish “flames” in the green sediment). Boliviniids return as a major faunal component (50%), and agglutinated taxa decrease in abundance. Peaks of tapered elongate calcareous forms, including *Siphogenerinoides brevispinosa*, the “other buliminids” group, pleurostomellids and stilostomellids, replace the recolonizers (Figs. 5, 6). These groups could have been functioned as opportunistic taxa, able to flourish when food supply was periodically high (e.g., Table 1). Coinciding with Assemblage E, planktic foraminifera return to be a significant component of the microfossil assemblage (e.g., Luciani et al., 2007; Fig. 8), while radiolarians remain abundant throughout the CMU (Giusberti et al., 2007; Luciani et al., 2007). The planktic foraminiferal assemblage is dominated by acarinininids, with a double peak of the excursion species *Acarinina sibaiaensis* and *A. africana*, which, combined with the high percentages of the nannofossil *Ericsonia*, indicates warm and eutrophic surface waters (e.g., Ernst et al., 2006; Guasti and Speijer, 2007; Agnini et al., 2007; Luciani et al., 2007; Fig. 8).

Detrital hematite sharply increased in concentration at the onset of Assemblage E (Giusberti et al., 2007; Dallanave et al., 2010, 2012; Fig. 3). Hematite forms in soils under warm and dry conditions, and an increase in hematite in marine sediments is considered indicative of an arid climate over the adjoining land, with increased wind strength (Larrasoña et al., 2003; Zhang et al., 2007; Itambi et al., 2009), or humid to subhumid climates with seasonal drying (Torrent et al., 2006). It is delivered to the deep-sea environment through river runoff or as aeolian dust (e.g., Zhang et al., 2007; Itambi et al., 2009). Within the CMU, hematite shows cyclical fluctuations with a ~ 21 kyr periodicity, but other terrigenous components (quartz and phyllosilicates) do not co-vary in abundance after a ~ 15% increase at the onset of the CMU (Fig. 3). To explain the different abundance patterns, we interpret hematite as wind-delivered and silicate minerals as runoff-delivered.

The hematite % peaks may be indicative of cyclical variability in wind-delivered material, rather than the earlier prevailing consistently humid climate. The lithological anomaly of the CMU, the 5-fold increase in sedimentation rates and increase in reworked Cretaceous nannofossils (Agnini et al., 2007; Fig. 8), and the silicate mineral and hematite % records all indicate marked fluctuations in the hydrological regime throughout this interval. High hematite % may reflect the presence of high-pressure cells over land, during an overall dry climate phase, with increased wind strength and dust delivery to the sea (Larrasoana et al., 2003; Zhang et al., 2007; Itambi et al., 2009). In contrast, low values of hematite % may indicate periods of greater humidity and enhanced precipitation. Such alternation of wet and arid phases favored deeper soil erosion on the continental areas surrounding the Belluno Basin (Thiry, 2000; Schmitz and Pujalte, 2003), causing major washouts during the wet phases, which may explain the 5-fold increase in sedimentation rates and 15 % increase in phyllosilicate abundance in the CMU (Fig. 3).

The hematite % cycles are in phase with cycles in  $\text{CaCO}_3$  %, radiolarian abundance, and bulk carbonate  $\delta^{13}\text{C}$ , slightly preceding the others stratigraphically (Fig. 3). During the arid climate phase, enhanced wind strength may have generated intense surface water mixing and offshore nutrient upwelling, inducing increases in primary productivity and phytoplankton blooms. The blooms in primary productivity resulted in deposition of abundant algal biomass, leading to the occurrence of peaks of pleurostomellids, stilostomellids and *Siphogenerinoides brevispinosa* in Assemblage E. Productivity may have remained fairly high during the wet periods, as indicated by consistently high biogenic barium throughout the CMU (Giusberti et al., 2007; Paytan et al., 2007). During the rainy periods, upwelling rates may have been lower, with nutrients mostly supplied in river runoff. The delivery of food to the seafloor may have been more continuous, but with more important input of refractory organic matter from land.

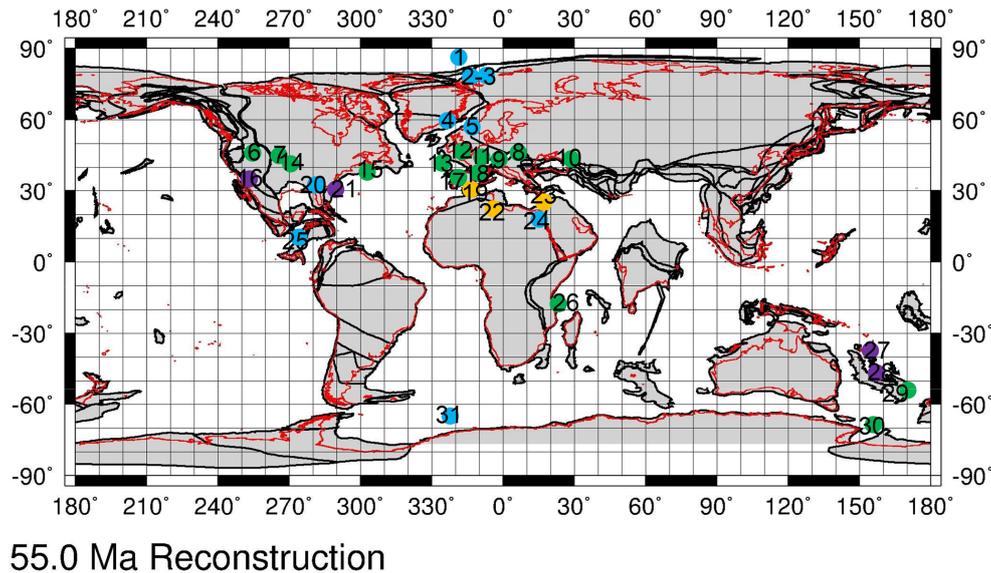
In contrast to these proxies, which show cyclicity at precessional periods throughout the CMU, higher plant *n*-alkane average chain length (ACL) and  $\delta\text{D}$  vary only in its lowermost 50 cm (Tipple et al., 2011; Fig. 9). Possibly, the sedimentary *n*-alkanes were derived from a pool of plant material produced during subsequent wet and dry phases, so that ACL and  $\delta\text{D}$  may represent averaged records of leaf wax *n*-alkanes produced during different mean climate states in the upper CMU. Even so, the  $\delta\text{D}$  values within the CMU are on average  $\sim 15$  ‰ lower than above and below (Fig. 9), as reported for the Cicogna section (10 km away; Krishnan et al., 2015), possibly reflecting more humid conditions/higher precipitation during the PETM wet times (e.g., Sachse et al., 2006; Smith and Freeman, 2006), or greater productivity of plant material during the wet phases. Alternatively, it may reflect a primary change in the isotopic composition of meteoric waters (Krishnan et al., 2015).

In the following benthic foraminiferal Assemblage F (upper CMU, recovery phase), *Siphogenerinoides brevispinosa* and *Tappanina selmensis* are less abundant, whereas *Bullimina tuxpamensis*, *Abyssammina* spp., and *Nuttallides truempyi* increase in relative abundance (Figs. 6, 7). These are typical deep-sea, open-ocean taxa which thrive under more oligotrophic conditions (e.g., Thomas, 1998), and might indicate progressively less intense or shorter primary productivity blooms during the arid phases, and/or mark the return to fully oxygenated seafloor and pore water conditions. Less intense eutrophy at the transition from Assemblage E to F is further supported by calcareous plankton data, showing a decrease in the planktic foraminiferal excursion species, and among nannofossils, a decrease in *Ericsonia* (Agnini et al., 2007; Luciani et al., 2007; Fig. 8). Coinciding with the top of the CMU, there were marked changes in calcareous plankton assemblages, although benthic foraminiferal Assemblage F persisted. Among calcareous nannofossils the abundance of *Zygrhablithus*, *Sphenolithus* and *Octolithus* increased, whereas that of reworked taxa decreased (Fig. 8). In the planktic foraminiferal assemblage, *Acarinina* species declined in abundance, and the fauna became more diverse, with fluctuations modulated by lithology in the marl–limestone couplets overlying the CMU (Fig. 8).

The lithological unit above the CMU consists of an alternation of limestones and marls at precessional frequencies ( $\sim 21$  kyr; Fig. 2). These limestone–marl couplets persist for up to 8 m above the CMU (well beyond the top of the studied interval; Giusberti et al., 2007; Luciani et al., 2007), then gradually become less clearly expressed, fading upwards. The marl–limestone couplets may reflect the persistence of wet (marl)–arid (limestone) cycles for  $\sim 800$  kyr after the end of the CMU deposition, though at an amplitude declining over time. This persistence resembles the extended (650 kyr) humid period, starting at the onset of PETM, recognized in the sediment record at Site 401 of eastern North Atlantic (Bornemann et al., 2014). Our benthic foraminiferal data agree with this interpretation, showing substantially unchanged seafloor conditions up to +650 cm (uppermost sample analyzed).

#### 4.4 Clues from Forada on PETM climate change

The integrated data set collected at Forada supports the occurrence of enhanced climatic contrasts and productivity changes in the western Tethys during the PETM, and agrees with previous studies suggesting intense weather extremes at mid- to subtropical latitudes (Fig. 10; Table S3). At the onset of the PETM, middle to subtropical latitudes may have been characterized by intense, monsoonal-type rainfall, followed by a succession of wet and arid phases, possibly precessionally paced, during the core of the PETM (e.g., Collinson et al., 2007; Kraus and Rigging, 2007; Egger et al., 2009; Foreman et al., 2014; Stassen et al., 2012a, b; 2015; Fig. 10 and



**Figure 10.** Paleogeographic map (from <http://www.odsn.de/odsn/services/paleomap/paleomap.html>) at 55 Ma showing sites where paleohydrological reconstructions for the PETM are available. Numbers follow a north to south paleolatitudinal order. Blue dots indicate areas where an increase in precipitation has been inferred; green dots indicate areas where an increase in climatic contrasts or a fluctuating precipitation regime has been inferred; orange dots indicate areas where an increase in aridity has been inferred; purple dots indicate areas where hydrological changes have been inferred but the pattern not specified. (1) Lomonosov Ridge, Arctic Sea; (2, 3) Spitsbergen central basin and Svalbard archipelago area; (4) central North Sea Basin; (5) eastern North Sea Basin; (6) Williston Basin, western North Dakota, (USA) (7) Bighorn Basin, Wyoming (USA); (8) Rhenodanubian Basin, Austria; (9) Belluno Basin, northeastern Italy; (10) Aktumsuk and Kaurtakapy sections, Uzbekistan and Kazakhstan; (11) Dieppe–Hampshire Basin, France; (12) London Basin; (13) DSDP Site 401 Bay of Biscay, northeastern Atlantic Ocean; (14) western Colorado (USA); (15) New Jersey Coastal Plain (USA); (16) Central Valley of California (USA); (17) Basque Basin, northern Spain; (18) Tremp Basin, northern Spain; (19) Alamedilla section, southern Spain; (20) Tornillo Basin, Texas (USA); (21) Salisbury embayment, mid-Atlantic coastal plain (USA); (22) Gafsa Basin, Tunisia; (23) Zin Valley of Negev, Israel; (24) Dababiya section, Egypt; (25) northern Neotropics (Colombia and Venezuela); (26) TDP Site 14, Tanzania; (27) Tawanui section, North Island (New Zealand); (28) Clarence River valley, South Island (New Zealand); (29) central Westland, South Island (New Zealand); (30) ODP Site 1172, East Tasman Plateau; (31) ODP Site 690 Weddell Sea, Southern Ocean. See Table S3 for references and additional information.

Table S3). The Forada record allows for distinct recognizing the temporal successions among these distinct climatic phases up to 800 kyr after the onset of the PETM, as well as directly relating them to the progression of the CIE, its recovery and termination. The climatic conditions inferred from the Forada section and other records at similar latitudes differ from those derived from the subtropical net evaporation zone (15–35° N), (e.g., from the Tremp–Graus Basin – Pyrenees), which document a generally much drier climate with a brief interval of increased storminess and intense flash flood events at the onset of the PETM (Schmitz and Pujalte, 2007). Records from subtropical to mid-latitudes also differ from records within the northern rain belt and into the Arctic Basin (> 50° N), which suggests that humid conditions may have been more persistent there, with increased rates of precipitation and on average moister conditions during the PETM (Pagani et al., 2006b; Sluijs et al., 2006; Harding et al., 2011; Dypvik et al., 2011; Kender et al., 2012; Wicczorek et al., 2013; Fig. 10; Table S3).

The combination of all these climatic records (Fig. 10; Table S3) suggests that the net result of increased weather ex-

tremes during the core of PETM might have been to decrease rainout at subtropical to mid-latitudes, and increase moisture transport toward the high latitudes, as originally suggested by Pagani et al. (2006b). Few tropical records exist, so that precipitation changes here are less clear. Rainfall in coastal Tanzania may have decreased during the early PETM but it was combined with violent precipitation events and floodings (Handley et al., 2008; 2012; Aze et al., 2014; Table S3). In Central America, conditions during the PETM may have shifted to more continuously humid (Jaramillo et al., 2010).

The long-lasting cyclicality and precise chronology at Forada suggest that this enhanced climate variability at subtropical to mid-latitudes may have lasted for several hundred thousand years after the onset of the CIE. Despite the possible decrease in net rainout, these weather extremes persisting over several  $10^5$  kyr may have significantly enhanced the rate of erosion and weathering, through the occurrence of alternating wet–dry periods. The weathering may have led to a decrease in atmospheric  $\text{CO}_2$  levels by consumption of  $\text{CO}_2$  during weathering reactions. The increased supply of cations through enhanced weathering–erosion would have

driven ocean pH up and atmospheric CO<sub>2</sub> down (Broecker and Peng, 1982; Raymo et al., 1988; Zachos et al., 2005). Enhanced seasonal extremes across large geographical areas (the subtropical to mid-latitude belt) thus might have been a response to the large CO<sub>2</sub> input at the Paleocene–Eocene transition, and may have had a primary role in restoring the carbon cycle to steady state.

## 5 Conclusions

The continuous and expanded record of benthic foraminifera across the PETM at Forada, integrated with the extensive data sets previously generated across this interval, may provide the most complete reconstruction of ecological and climatic changes during the Paleocene–Eocene Thermal Maximum in Europe. Coupled sedimentological, molecular and micropaleontological records highlight a complex sequence of environmental and climatic changes during the time period across the CIE:

- Climatic and oceanographic conditions started to change ~30 kyr before the onset of the PETM, with a possible precursor event.
- Our high-resolution benthic foraminiferal record combined with the established chronology lets us infer that the BEE in the central-western Tethys occurred over a time interval of not more than 4 kyr. At the onset of the PETM, combined de-oxygenation, acidification and environmental instability may have synergistically impacted deep-sea life.
- Four benthic foraminiferal assemblages occur (C–E and lower F) within the CMU (coinciding with the main phase of CIE). Assemblage C is characterized by successive peaks of different agglutinated recolonizers. Calcareous recolonizers return in the following assemblage, after calcium carbonate saturation increased. The complex succession of peaks of agglutinated and hyaline recolonizers in these two assemblages (C, D; 12.5 kyr) suggests multiple repopulation episodes. The benthic foraminiferal data integrated with molecular and mineralogical data point to increased precipitation and strong continental erosion during this short initial stage of the PETM.
- Within the core of the CIE,  $\delta^{13}\text{C}$  and mineralogical properties such as hematite and calcium carbonate wt % vary at precessional periodicity. Combined with data on radiolarian abundance and benthic foraminiferal assemblage composition, this variability suggests an alternation of overall wetter and drier periods. Enhanced weather extremes during most of the PETM may have led to a decrease in total precipitation over the central-western Tethys.

- The benthic foraminiferal assemblage at Forada did not significantly change with the onset of the deposition of marl–limestone couplets unit above the CMU (middle and upper third of Assemblage F). This suggests that the enhanced climatic variability at precessional timescales persisted well after the end of the CIE recovery. We argue that enhanced seasonal extremes at mid-latitudes might have been a direct climate response to the huge CO<sub>2</sub> input at the Paleocene–Eocene transition, and may have had a primary role in restoring carbon cycle steady state through links with the water cycle and weathering rates.

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