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Corridors of crestal and radial faults linking salt diapirs in the Espírito Santo Basin, SE Brazil

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Abstract

This work uses high-quality 3D seismic data to assess the geometry of fault families around salt diapir in SE Brazil (Espírito Santo Basin). It aims at evaluating the timings of fault growth, and suggests the generation of corridors for fluid migration linking discrete salt diapirs. Three salt diapirs, one salt ridge, and five fault families were identified based on their geometry and relative locations. Displacement-length (D-x) plots, Throw-depth (T-z) data and structural maps indicate that faults consist of multiple segments that were reactivated by dip-linkage following a preferential NE-SW direction. This style of reactivation and linkage is distinct from other sectors of the Espírito Santo Basin where the preferential mode of reactivation is by upwards vertical propagation. Reactivation of faults above a Mid-Eocene unconformity is also scarce in the study area. Conversely, two halokinetic episodes dated as Cretaceous and Paleogene are interpreted below a Mid-Eocene unconformity. This work is important as it recognises the juxtaposition of permeable strata across faults as marking the generation of fault corridors linking adjacent salt structures. In such a setting, fault modelling shows that fluid will migrate towards the shallower salt structures along the fault corridors first identified in this work.

Keywords: SE Brazil; Espírito Santo Basin; halokinesis; fault corridors; fluid flow.

1. Introduction

The investigation of subsurface faults and fractures is important in basin analysis as these structures play a crucial role in the entrapment and migration of fluids (Ainsworth, 2006; Bailey et al., 2002; Childs et al., 1997; Faulkner et al., 2010; Jolley et al., 2007; Knipe, 1997; Knipe et al., 1998; Walsh et al., 1998). Such a control of faults on fluid flow is particularly recorded in regions where the deposition of thick evaporite sequences is capable of influencing the structural styles of sedimentary basins (Brun and Fort,

30 2011; Hudec and Jackson, 2007; Smit et al., 2008; Stewart, 2006; Vendeville, 2002; Vendeville and
31 Jackson, 1992). The movement of evaporite sequences through time (halokinesis) can result in the
32 development of complex families of faults to form major structural compartments or, instead, promote the
33 migration of fluids through reservoir and seal units (Alves et al., 2009; Carruthers et al., 2013; Fiduk et
34 al., 2004; Gamboa et al., 2010; Jackson et al., 1994; Lohr et al., 2008; Stewart, 2006; Talbot et al., 1991).
35 An early understanding of the mechanisms generating structural compartments in salt-rich basins can,
36 therefore, increase the economic potential of new hydrocarbon prospects (Caine et al., 1996; Jolley et al.,
37 2010, 2007; Knipe, 1997; Knipe et al., 1998).

38 The Espírito Santo Basin reveals a geological evolution that is similar to the Campos and Santos
39 Basins, two of the most prolific hydrocarbon-bearing basins in SE Brazil (Bruhn et al., 2003; Chang et al.,
40 1992; Cobbold et al., 2001; Demercian et al., 1993; Guardado et al., 2000; Guerra and Underhill, 2012;
41 Meisling et al., 2001; Milani et al., 2001) (Fig. 1). Although being less known than its counterparts to the
42 south, the Espírito Santo Basin hosts significant hydrocarbon accumulations in Cretaceous, Eocene-
43 Oligocene and Miocene strata (Bruhn and Walker, 1997; Estrella et al., 1984; Fiduk et al., 2004; Katz and
44 Mello, 2000). The Espírito Santo Basin also contains important volumes of evaporites, similarly to
45 sedimentary basins in the Gulf of Mexico (Diegel et al., 1995; Rowan et al., 1999; Talbot, 1993), West
46 Africa (Duval et al., 1992; Hudec and Jackson, 2002; Morley and Guerin, 1996) and Norway (Chand et
47 al., 2008; Henriksen et al., 2011; Kane et al., 2010; Koyi et al., 1993). In the Espírito Santo Basin,
48 halokinesis started in the Aptian–Albian and continued throughout the Cenozoic. It led to the
49 development of discrete salt structures, and associated fault families, which were large enough to control
50 the distribution and continuity of reservoir intervals in the Urucutuca Formation (Demercian et al., 1993;
51 Fiduk et al., 2004) (Fig. 2). Hence, the structural framework of the Espírito Santo Basin comprises horsts
52 and grabens below and Aptian evaporite unit, and supra-salt structures such as diapirs, salt rollers and
53 rollovers, turtle anticlines, rafts and salt walls (Alves, 2012; Fiduk et al., 2004; Varela and Mohriak,
54 2013) (Figs. 2 and 3).

55 The aim of this paper is to describe the geometry and character of faults formed adjacently to three
56 salt diapirs, and on the crest of a buried salt ridge, herein named as Salt Ridge (Fig. 4). We investigate the
57 timing of formation of salt-related faults to conclude if they form at present, or formed in the past,
58 structural corridors connecting distinct salt structures. The controls exerted by these fault corridors on

59 fluid flow and strata compartmentalisation, under different stress regime(s), are assessed in detail. In
60 summary, we aim at addressing the following research questions:

- 61 a) How does halokinesis influence fault families developed close to salt structures?
- 62 b) Are there any preferred directions to the reactivation of faults in the study area?
- 63 c) Is there a relationship between fault reactivation and the migration (or trapping) of fluids around
64 salt structures?
- 65 d) How can the studied diapir systems provide insights into the evolution of the Espírito Santo
66 Basin, contributing to the analysis of seal competence (and fluid migration) in the areas where
67 halokinesis plays a central role?

68 This study is the first to determine a paleostress tensor for the Espírito Santo Basin, to model slip
69 tendency and leakage factors for the interpreted faults, and to create juxtaposition diagrams to assess
70 where fluids were more likely to migrate along (or across) faults. In the discussion section, an
71 evolutionary model is proposed for the interpreted salt structures and related faults. This model addresses
72 the timing(s) and relationship(s) between different fault families. The reasons for localised fault
73 reactivation are also investigated and discussed in light of published work on different parts of the
74 Espírito Santo Basin (e.g. Baudon and Cartwright, 2008; Omosanya and Alves, 2013; Ze and Alves,
75 2016). At the end of this paper, our results, and the integrated effects of halokinesis on the trapping and
76 migration of fluids, are compared to areas with similar halokinetic structures.

77

78 **2. Geological Setting**

79 The Espírito Santo Basin comprises a rift basin developed in SE Brazil during the Late Jurassic-
80 Cretaceous (Fig. 1). The basin covers an offshore area of ~ 200,000 km², while its continental part
81 reaches 18,000 km² (Mohriak, 2003). Its northern limit is the Abrolhos Bank, a Paleogene volcanic
82 plateau that separates Espírito Santo from the Cumuruxatiba Basin (Mohriak, 2005). The Vitória-
83 Trindade High separates the Espírito Santo Basin from the Campos Basin to the south (França et al.,
84 2007) (Fig. 1).

85 The tectono-sedimentary history of the Espírito Santo Basin comprises four evolution stages: pre-rift,
86 syn-rift, transitional and drift (Cobbold et al., 2001; Ojeda, 1982). These four stages correlate with five

87 depositional megasequences: pre-rift, rift, transitional, transgressive-marine and regressive-marine (Fiduk
88 et al., 2004; Mohriak et al., 2008) (Fig. 3).

89 The pre-rift stage (Late Jurassic – Early Cretaceous) is associated with the Serra Geral magmatic
90 event, which was initiated in the Paraná Basin and later reached areas of the continental margin such as
91 the Espírito Santo Basin (Cainelli and Mohriak, 1999; Mohriak, 2003).

92 The syn-rift stage (Late Barresian - Early Aptian) is characterised by intense tectonism and
93 corresponding formation of the East Brazil Rift System in response to divergent motion between South
94 America and Africa (Chang et al., 1992; Demercian et al., 1993; França et al., 2007; Ojeda, 1982). In the
95 syn-rift megasequence three main depositional systems were accumulated in narrow, fault-controlled
96 depocentres generated between Espírito Santo and the Sergipe/Alagoas Basins to the north: 1) alluvial
97 fans, fan deltas and transitional deposits, 2) lacustrine shales and marls, and 3) lacustrine pelecypod
98 limestones (*coquinas*) (Cainelli and Mohriak, 1998). In the study area, the syn-rift megasequence is
99 represented by the Cricaré Formation (Fig. 2; França et al., 2007; Vieira et al., 1994).

100 The end of continental rifting marks a transitional phase in the Espírito Santo Basin (Mohriak, 2003;
101 Ojeda, 1982). The formation of a narrow and elongated basin, in an arid climate with little water
102 circulation, promoted the accumulation of a thick evaporite megasequence during the Aptian (Mariricu
103 Formation; Fig. 2). This megasequence forms the main seal unit above syn-rift strata (Chang et al., 1992;
104 Demercian et al., 1993; França et al., 2007; Mohriak, 2003; Ojeda, 1982).

105 During the Late Cretaceous - Holocene drift stage, continuous subsidence resulted in the development
106 of an open-marine setting (Bruhn and Walker, 1997; Cainelli and Mohriak, 1999; Mohriak, 2003). During
107 the drift stage, the evaporitic (transitional) megasequence changed gradually into marine strata (Cainelli
108 and Mohriak, 1998), and two megasequences were subsequently formed: the Early Drift Transgressive
109 megasequence (Albian to Ypresian), consisting of dark shales, turbiditic sandstones and carbonate
110 breccias from the Urucutuca Formation (Cainelli and Mohriak, 1998; França et al., 2007), and the Late
111 Drift Regressive megasequence (Lutetian – Holocene), which comprises mixed siliciclastic and
112 volcanoclastic units resulting from the erosion of the Serra do Mar and Serra da Mantiqueira mountains.
113 Erosion of coastal mountain ranges occurred together with tectonic uplift and local volcanism on the
114 Abrolhos Bank (Figueiredo and Mohriak, 1984; França et al., 2007; Mohriak, 2003) (Fig. 3). As a result,
115 the volcanoclastic Abrolhos Formation became interbedded with thick turbidite intervals in the Urucutuca
116 Formation during the late drift stage, particularly within channelised bodies whose distribution was

117 controlled by halokinesis (Cainelli and Mohriak, 1998; Figueiredo and Mohriak, 1984; França et al.,
118 2007; Mohriak, 2003) (Fig. 2).

119 Halokinesis, and the subsequent development of salt structures in the Espírito Santo Basin, was
120 initiated in the Late Aptian-Early Albian in response to differential loading, gravity spreading and
121 downslope thin-skinned gravitational gliding above Aptian evaporites (Demercian et al., 1993; Fiduk et
122 al., 2004). Halokinesis generated structures such as salt pillows, salt rollers, vertical salt diapirs with
123 overhangs, allochthonous salt tongues along contractional folds, salt canopies and tongues, turtle
124 structures and large salt nappes (Fiduk et al., 2004; Strozyk et al., 2017) (Fig. 3). In the Espírito Santo
125 Basin, the growth of salt structures continued through the Cenozoic as a result of: a) continued sediment
126 transport onto the continental slope, and b) regional magmatism associated with the Abrolhos Plateau,
127 two phenomena that resulted in continuous growth and deformation of pre-existent salt structures (Fiduk
128 et al., 2004).

129 The study area is characterised by the occurrence of salt diapirs (Fig. 4). Post-salt strata were
130 deformed by halokinesis during the Cenozoic (Alves, 2012; Alves et al., 2009; Baudon and Cartwright,
131 2008a), in association with three phases of fault growth (Baudon and Cartwright, 2008a; Omosanya and
132 Alves, 2014). Halokinesis also resulted in the accumulation of thick, vertically stacked mass-transport
133 deposits (MTDs) during the Middle Eocene - Early Miocene (Fiduk et al., 2004; Gamboa et al., 2010;
134 Omosanya and Alves, 2013).

135

136 **3. Data and methods**

137 The interpreted 3D seismic volume covers an area of 1,670 km² within the Espírito Santo Basin, at a
138 water depth ranging from 100 m to 1800 m (Fig. 1). The seismic data were pre-stacked time migrated
139 with a 12.5 x 12.5 m grid line spacing, and acquired with a 6 x 5.700 m array of streamers. The data were
140 processed in the time domain and zero-phased within a 2 ms vertical sampling window. Resampling at 4
141 ms with the application of anti-aliasing filter, TAU-P linear noise attenuation and TAU-P domain
142 deconvolution preceded data processing. Three-dimensional pre-stack time migration used the Kirchhoff
143 algorithm.

144 In this work, seismic data are displayed using the standard SEG convention for a zero-phase wavelet;
145 an increase in acoustic impedance is shown as a red seismic reflection and a relative decrease in acoustic
146 impedance is shown in blue. The vertical extent of the seismic survey is limited to 4.0 s Two-way travel

147 time (TWTT). Average p-wave velocity data from Deep Sea Drilling Project (DSDP) Site 516 (Barker et
148 al., 1983), located in the Santos Basin (Fig. 1), show values of 3100 m/s for the Late Cretaceous -
149 Paleocene interval, and 2100 m/s and 1800 m/s for Eocene-Oligocene and Miocene-Holocene strata,
150 respectively. These velocities were used to convert mapped faults and horizons to depth, and to obtain the
151 true dip of faults. Based on the velocities for the DSDP Site 516, and a computed dominant frequency of
152 40 Hz, the vertical resolution of the seismic data was also estimated for distinct intervals. Considering a
153 resolution limit of $\frac{1}{4}$ of the wavelength (λ) (Sheriff and Geldart, 1995), the Late Cretaceous – Paleocene
154 interval has a vertical resolution of ~ 19 m, whereas the Eocene-Oligocene and the Miocene-Holocene
155 intervals have vertical resolutions of ~ 13 m and ~ 11 m, respectively.

156 Ten (10) key seismic reflections, including the seafloor, were mapped in this work at a spacing of ten
157 lines (125 m). Smaller intervals of 1-5 lines (12.5 to 62.5 m) were used to map salt diapirs as discrete
158 structures. Based on the published DSDP well data for SE Brazil, published seismic-stratigraphic
159 frameworks (Alves et al., 2009; Fiduk et al., 2004; Gamboa et al., 2012; Gamboa and Alves, 2015; Viana
160 et al., 2003), and the character and geometry of the interpreted seismic reflections, we defined three
161 principal units in the study area: Late Cretaceous – Paleocene (Unit 1), Eocene – Oligocene (Unit 2), and
162 Miocene – Quaternary (Unit 3). (Fig. 3, Table 1). Structural maps of horizons H_1 , representing the top-salt
163 horizon, and H_6 (corresponding to the Mid-Eocene unconformity that bounds Unit 1 at the top), are
164 shown in this paper (Fig. 5).

165

166 *3.1. Structural analyses*

167 Variance time-slices were used to map distinct fault families around salt structures based on their
168 geometry, orientations, and relationship with main structural features. Variance data are computed by
169 converting a seismic-amplitude volume into a discontinuity volume, thus highlighting the most prominent
170 discontinuities in a 3D seismic volume, including faults and fractures (Brown, 2011).

171 Two hundred and fifteen (215) faults were manually mapped every inline (12.5 m), and every five
172 crosslines (62.5 m). The mechanisms of initiation, growth, and propagation of faults can be depicted
173 using displacement data (e.g. Baudon and Cartwright, 2008; Cartwright and Mansfield, 1998). In detail,
174 the relationship between the maximum displacement (D_{max}) and the length (x) of a fault trace is the basis
175 for displacement-length (D-x) analyses (Cowie and Scholz, 1992; Muraoka and Kamata, 1983; Nicol et
176 al., 2002; Peacock and Sanderson, 1991). In this work, displacement was measured for twelve (12)

177 representative faults at a pre-defined cut-off horizon. Displacement and distance data, originally in ms
178 TWTT, were converted into metres. Plots of maximum displacement (D_{\max}) against length (x), compiled
179 for all faults in the study area, were then compared to published data (e.g. Muraoka and Kamata, 1983;
180 Scholz and Cowie, 1990; Walsh et al., 2002; Walsh and Watterson, 1987) for normal, strike-slip and
181 reverse faults using the *Fault Analysis Module* in Midland Valley Move®.

182 The relationship between fault throw (T) and depth (z) is widely used to estimate fault reactivation
183 (Baudon and Cartwright, 2008a, 2008b; Cartwright and Mansfield, 1998; Mansfield and Cartwright,
184 1996). Throw-depth (T-z) profiles for reactivated faults show abrupt variations in throw gradient and
185 values, whereas minor changes (and comparatively smaller throw values) are obtained for non-reactivated
186 faults (Baudon and Cartwright, 2008a). In this work, we show throw-depth relationships for the 12
187 representative faults previously mentioned using seismic profiles that are orthogonal to the fault strikes,
188 and measuring the vertical offset between hanging-wall and footwall cut-offs (Baudon and Cartwright,
189 2008a; Mansfield and Cartwright, 1996; Mattos et al., 2016; Omosanya and Alves, 2014). The resulting
190 T-z profiles were created in Microsoft® Excel, with values displayed in two-way travel time (ms TWTT).

191 Displacement analyses were carried out so that faults and horizons interpreted in Petrel® could be
192 imported into Midland Valley Move® as 3D mesh surfaces, and later filtered for edge triangles. Key fault
193 attributes, including true dip, strike and azimuth for each fault vertex, were created in Move® using the
194 *Attribute Analyser Toolbar*. Fault analyses performed in this paper included the creation of juxtaposition
195 diagrams and stress analyses.

196 Stratigraphic juxtaposition is a widely-applied method to predict the potential of fluid retention and
197 migration through faults (Allan, 1989; Knipe, 1997; Reilly et al., 2016). The juxtaposition of
198 impermeable strata, such as shales, against permeable units (sandstones), can create an effective seal. In
199 contrast, the juxtaposition of permeable units is likely to promote cross-fault lateral migration. We used
200 the *Fault Analysis Module in Move®* to create hanging-wall and footwall cut-off lines for
201 stratigraphically-assigned seismic horizons using a trim distance of 15 m. Juxtaposition diagrams (Allan
202 diagrams) were created for the 12 representative faults considered in this work.

203 Stress analyses were performed to assess the orientation and distribution of the principal paleostress
204 tensors associated with the 12 representative faults using the *Stress Analysis Module* in Move®. The
205 paleostress inversion method is based on the relationship between slip-tendency values and displacement
206 measured for a set of faults (McFarland et al., 2012). Fault slip tends to occur if shear stress is equal or

207 greater than the normal stress acting on a fault surface (Morris et al., 1996). Two important criteria must
208 be taken into account when assessing the inverted stress tensor: a) a positive relationship between the slip
209 tendency and displacement is expected, and b) surfaces with high slip tendency and large displacements
210 indicate an early slip, whereas small displacements indicate that the fault slip started at a later time
211 (McFarland et al., 2012). Once we obtained the paleostress azimuths and magnitudes, we modelled the
212 slip tendency and the leakage factor using the *Stress Analysis Module* for the faults in the study area.

213 Slip tendency (T_s) is defined as the likelihood of a fault to slip, and is estimated as the ratio of shear
214 (τ) to normal (σ_n) stresses on a fault plane (Equation. 1; Morris et al., 1996). Slip tendency has no units
215 and is mathematically written as:

216

$$T_s = \frac{\tau}{\sigma_n'}$$

217

218

Eq. (1)

219 Slip tendency is dependent on the orientation of a fault surface and the stress field acting on it. A
220 fault will slip according to the cohesive strength of its surface, and the coefficient of static friction (μ).
221 Slip for a cohesionless fault surface will occur when the resolved shear stress is equal or exceeds the
222 frictional resistance to sliding (F), as shown in Equation 2 (Morris et al., 1996):

223

224

$$F \leq \tau = \mu\sigma_n$$

225

Eq. (2)

226 The fluid transmissivity of faults can be modelled by estimating a Leakage factor (L). Faults that
227 either constitute migration conduits for fluids in the subsurface, or act as local seals, can be estimated
228 quantitatively on colour-scaled 3D maps of fault planes. Leakage factor is defined as the ratio of the fluid
229 pressure (P_f) to the difference between the normal stress (σ_n') and the tensile strength (T) of a fault zone
230 (Equation. 3; Morris et al., 1996) such as:

231

232

$$L = P_f / (\sigma_n' - T)$$

233

Eq. (3)

234 The magnitudes and azimuths obtained from our paleostress analysis were used together with a fluid
235 pressure (P_f) value of 9 MPa, obtained from the locally estimated vertical stress, and considering both the
236 depth of the unit of interest and the water column above the seafloor (Zoback, 2010).

237 Fault reactivation must be taken into account when assessing the leakage potential of a reservoir
238 interval. Methods to assess the risk of breaching seal units by the juxtaposition of permeable strata across
239 faults include detailed assessments of fault geometry and the use of in-situ stresses (Ferrill et al., 2009;
240 Jones and Hillis, 2003; Morris et al., 1996). However, the absence of borehole breakout data for the
241 Espírito Santo Basin made necessary the use of paleostress data, and juxtaposition diagrams, to provide
242 evidence for reservoir compartmentalisation associated with fault reactivation.

243

244 **4. Seismic stratigraphy of the Espírito Santo Basin**

245

246 *4.1. Unit 1 (Albian–Middle Eocene)*

247 Unit 1 is the primary unit of interest in this paper (Figs. 4 and 6 to 8). Unit 1 comprises sub-units 1a
248 to 1e. It is bounded at its base by horizon H₁ and at its top by a Mid-Eocene unconformity defined by
249 horizon H₆ (Figs. 4 and 6 to 8). Sub-unit 1a is bounded at its base by horizon H₁ (Figs. 4 and 6 to 8), a
250 moderate- to high-amplitude negative reflection, and at its top by the moderate-amplitude (and nearly
251 continuous) horizon H₂ (Figs. 4 and 6 to 8). Low-amplitude to transparent seismic reflections
252 predominate in this sub-unit. Its thickness ranges between 200 – 500 ms, decreasing on the flanks of salt
253 structures. Sub-unit 1a is likely composed of distal turbidites and shale-rich carbonates (Alves et al.,
254 2009; Fiduk et al., 2004; Viana et al., 2003).

255 Horizon H₂ delimits the base of sub-unit 1b. The upper boundary of this 75 ms- to 175 ms-thick sub-
256 unit is defined by horizon H₃ (Figs. 4 and 6 to 8). The sub-unit is offset by faults that are radial to the
257 smaller salt diapirs, and by faults developed on the Salt Ridge (Figs. 4 and 6 to 8). The internal character
258 of sub-unit 1b becomes chaotic in the proximity of the Rio Doce Canyon System (Fig. 4). In the area of
259 interest to this study, sub-unit 1b is characterised by moderate-amplitude, sub-parallel seismic reflections
260 that reflect an increase in sand volume relative to sub-unit 1a.

261 Sub-unit 1c is defined at its base by horizon H₃ and at its top by horizon H₄ (Figs. 4 and 6 to 8). This
262 sub-unit consists of a 25 ms-thick, moderate amplitude package interpreted as shale. Horizon H₄ delimits
263 the base of Sub-unit 1d, which comprises a 40 ms-thick package with moderate amplitude. The amplitude
264 of this sub-unit increases close to diapirs and salt-ridge crests due to a relative increase in sand content.

265 Horizon H₅ delimits the base of sub-unit 1e, a 75 ms- to 175 ms-thick package delimited at its top by
266 a regional erosional unconformity; horizon H₆ (Figs. 4 and 6 to 8). Sub-unit 1e comprises transparent to
267 low-amplitude internal reflections, which become chaotic close to diapirs and the Rio Doce Canyon
268 System. This sub-unit is likely composed of fine-grained (clayey) turbidites. Close to the Rio Doce
269 Canyon System, these fine-grained units alternate with sand-rich turbidites (Alves et al., 2009; Viana et
270 al., 2003). The majority of faults in the study area are truncated by horizon H₆ at their upper tips (Figs. 4
271 and 6 to 8).

272

273 4.2. Unit 2 (Middle Eocene – Early Miocene)

274 Unit 2 is delimited at its base by a Mid-Eocene unconformity, horizon H₆ (Fig. 5b). Faulting is
275 ubiquitous at this level, but rarely propagates into the upper part of Unit 2 (Figs. 4 and 6 to 8). Unit 2
276 consists of chaotic low-amplitude to transparent reflections intercalated with moderate amplitude strata.
277 The base of Unit 2 (sub-unit 2a) is characterised by blocky mass-transport deposits (MTDs) accumulated
278 around a buried salt ridge developed between diapirs D1 and D2 (Gamboa and Alves, 2015). Blocks are
279 moderate-amplitude features with sharp to smooth edges (Figs. 4 and 6 to 8). The middle and upper parts
280 (sub-units 2b and 2c) of Unit 2 are characterised by the occurrence of high-amplitude seismic reflections
281 previously interpreted as sand-rich turbidite lobes (Alves et al., 2009; Gamboa and Alves, 2015). These
282 two sub-units are separated by horizons H₇ and H₈ (Figs. 4 and 6 to 8). The upper boundary of Unit 2 is
283 defined by the Early Miocene horizon H₉ (Figs. 4 and 6 to 8).

284

285 4.3. Unit 3 (Miocene – Quaternary)

286 The base of Unit 3 coincides with Horizon H₉, whereas the sea floor delimits its top. Unit 3 is
287 characterised by low-amplitude, sub-parallel seismic reflections incised by submarine channels (Figs. 4
288 and 6 to 8). Submarine channels are deformed close to salt diapirs (Figs. 4 and 6 to 8). Unit 3 includes
289 interbedded sand, shale-rich turbidites and hemipelagic sediment (Fiduk et al., 2004; Viana et al., 2003).

290

291 5. Fault distribution around salt structures

292 In agreement with previous work by Fiduk et al. (2004), Gamboa, (2011) and Gamboa and Alves
293 (2015), we interpret the salt structures in the studied area as rooted on the NW-trending Salt Ridge. This
294 Salt Ridge shows a maximum width of ~ 2.7 km and a length of 10 km, merging at depth with diapir D1.
295 The Salt Ridge forms an anticlinal structure with a lenticular shape (Figs. 6a and 6b). In contrast to
296 adjacent salt structures, Units 1 to 3 bury the Salt Ridge and faults are well developed on the crest of this
297 structure.

298 Diapir D1, located in the eastern part of the study area, has a diameter of ~ 5.4 km (Fig. 8). Diapir
299 D1 penetrates the post-salt overburden to a depth ~ 400 ms below the sea floor, deforming Units 1 to 3
300 (Fig. 8). Diapir D2 shows a diameter of 2.6 km and is the smallest diapir in the study area. It penetrates
301 through Units 1 and 2 and partially through Unit 3 (Fig. 7). Diapir D3 occurs in the NW part of the study
302 area and shows a diameter of ~ 7.1 km. Over diapir D3, salt has deformed the three stratigraphic units to
303 reach the sea floor (Fig. 6a).

304 Structural interpretations based on the analysis of seismic profiles (Figs. 4 and 6 to 8) combined with
305 variance time-slices (Fig. 9) allowed us to subdivide the imaged faults into five (5) families based on their
306 position relative to the principal salt ridge and adjacent diapirs.

307

308 *5.1. Faults on the Salt Ridge*

309 The preferential trend for the 55 faults mapped over the buried Salt Ridge is NW-SE (Fig. 10a). This
310 trend corresponds to 72% of the faults developed on the ridge, including F1 and F12 (Fig. 10a). The NW-
311 striking faults in the Salt Ridge are longer than their NE-striking counterparts (Fig. 9). The average length
312 of the faults in the salt ridge is 1.2 km. Faults dip both to the NE and SW (Fig. 10a).

313 One of the most distinctive features of this family of faults is the occurrence of fault F1, the largest
314 in the study area, with a length of 9.5 km. Fault F1 is parallel to the salt ridge and terminates to the NE of
315 diapir D1 (Fig. 9). Fault 12 occurs to the north of D1. Faults F1 and F12 follow the same direction of the
316 Salt Ridge and are confined to Unit 1, with no evidence of faults reaching the MTDs in Unit 2 (Figs. 6a
317 and 6b). Tilt blocks formed at the crest of the buried ridge do not show growth strata on the seismic
318 sections (Figs. 6a and 6b). Distinctive bright reflections occur between -3280 and -3420 ms, in Sub-Unit
319 1c (Fig. 6b).

320

321 *5.2. Diapir 1 (D1)*

322 Fifty-three (53) faults were mapped around diapir D1 to show a radial distribution (Fig. 9).
323 Approximately 57% of the mapped faults have a NW trend over D1, whereas the remaining 43% trend to
324 the NNE (Fig. 10b). Faults around D1 dip preferentially to the NE, showing an average dip of 32°.
325 Representative faults include F2, F3, F8 and F9 (Fig. 9). Most faults occur between horizons H₂ and H₆.
326 However, an important number of faults also offset horizon H₁, including F2 and F9 (Fig. 6b).

327 One of the most striking features around D1 is fault F3, a NW-trending structure with an average dip
328 of 41°, offsetting horizons H₂ to H₉ along its length (Fig. 7). Fault F2 is NNE-striking, terminating against
329 the Salt Ridge. A succession of tilt-blocks is observed on the crest of D3 (Figs. 8a and 8b) and radially to
330 D1 (Fig. 8a). Radial faults include fault F9, a 3.3-km long NW-striking structure that extends towards D3
331 (Fig. 9). Next to D1, NW-striking faults occur and bright reflections are observed in strata offset by fault
332 F8 (Fig. 8a).

333

334 5.3. *Southern area*

335 The southern part of the study area is the most faulted in the study area, with 77 faults (Fig. 9). Here,
336 faults do not have a radial distribution; the preferential orientation is NW-SE for 36% of these faults,
337 while the least common strike is to the SW for 19.7% of the faults (Fig. 10c). By comparing the faults
338 mapped in the southern area with those around the salt diapirs we observe that the former are smaller in
339 length (average length 0.8 km) and limited to Unit 1 (Fig. 8). Some of the faults propagate from the
340 Aptian salt, reaching depths of -3880 ms. However, none of the faults offset the Mid-Eocene
341 unconformity (horizon H₆) or extend into Unit 2 (Fig. 8). On the variance maps, faults mapped in the
342 southern part have polygonal distributions (Fig. 9). The southern area shows a greater concentration of
343 bright reflections along fault blocks compared to other parts of the seismic volume (Figs. 8a and 8b).

344

345 5.4. *Diapir 2 (D2)*

346 Eleven (11) faults were mapped around diapir D2, and data plotted on the rose diagram in Figure
347 10d, show a radial distribution with 75% of the faults varying in strike between 180° and 360°. The
348 average dip for these faults approaches 30°. Although most of these faults are observed in Unit 1, some
349 structures close to D2 (including fault F7) offset the top of the Aptian salt (horizon H₁) and terminate in

350 sub-unit 2b (Figs. 7 and 8b). Away from D2, the NE-striking fault F7 offsets horizons H₂ to H₅ (Fig. 7). A
351 bright reflection occurs close to diapir D2, on the footwall of fault F6 (Fig. 7).

352

353 5.5. *Diapir 3 (D3)*

354 Nineteen (19) faults were mapped around diapir D3, and show a radial distribution (Fig. 9).
355 Approximately 46% of the faults strike to the NW, and 22% of the faults strike to the SW (Fig. 10).
356 Faults radial to D3 include both synthetic and antithetic faults (Fig. 8). Most of the faults occur between
357 horizons H₂ and H₆, on the crest of D3, and rarely offset horizon H₁. A distinctive feature around D3 is
358 the occurrence of faults offsetting the base of MTDs in sub-unit 2a (Figs. 6b, 8a and 8b). The average
359 length for this group of faults is 1.6 km, with representative faults F10 and F11 striking to the NW (Fig.
360 9). Bright reflections are observed close to faults radial to D3 (Fig. 8). A large number of bright
361 reflections are also found above faults in Unit 2 (Figs. 6b, 8a and 8b).

362

363 6. **Fault displacement analysis**

364

365 6.1. *Interpretation of distance-length (D-x) profiles*

366 Displacement-length (D-x) plots were compiled for the twelve (12) representative faults using
367 horizon H₅ as reference (Fig. 11). The geometry of the D-x profiles relates to the observed displacement
368 variations along the total length of a fault. For single and isolated faults, D-x profiles represent a near-
369 symmetric slope with gentle variations in displacement and flat-topped curves, which are characteristic of
370 C-type faults (Childs et al., 1995; Muraoka and Kamata, 1983; Nicol et al., 1996; Peacock and Sanderson,
371 1991). Complex D-x profiles result from the presence of abrupt variations in displacement along faults, a
372 character indicating linkage of individual fault segments associated with displacement minima during
373 fault growth (Walsh et al., 2003). These structures are classified as M-Type faults (Muraoka and Kamata,
374 1983), and their characteristic profiles show a broad central section with no significant slope variations.
375 Their tips show abrupt displacement variations, resulting in a marked asymmetric character on D-x plots.
376 Displacement maxima relate to the first nucleation point for individual fault segments (Barnett et al.,
377 1987; Nicol et al., 1996; Walsh and Watterson, 1987). This assertion, however, is only true for non-
378 segmented or isolated blind faults.

379 Overall, the geometry of the D-x profiles varies from asymmetric (F1, F5, F6, F7, F9, F10 and F11)
380 to symmetric (F2, F3, F4, F8, and F12). Faults F3 and F4 have distinctive M-type profiles. In detail, F5 is
381 skewed to the east, whereas F6 is skewed to the northwest. The remaining faults have more complicated
382 displacement profiles. Faults F4 and F7 for example, are a combination of C-type profiles on the left (NE
383 and W, respectively) and an M-type profiles on their right (SW and E, respectively) (Fig. 11). Faults F6,
384 F7, F8 and F10 terminate at the edge of the salt diapir, and no nil displacements values were observed at
385 such point (Fig. 11). Two near-flat profiles seem to be the preferential D-x geometry for fault F9. Faults
386 F1, F11 and F12 have the most complex displacement profile, with a succession of several C-, M-, and
387 skewed-type profiles (Fig. 11).

388 Fault geometries are more complex around the Salt Ridge and D3 when compared to the remainder
389 of the study area. The complexity of these D-x profiles indicates that faults formed around salt structures
390 were not fully isolated during their propagation and growth. However, the presence of displacement
391 minima indicate that fault development in the study area is a combination of initially isolated fault
392 segments, which grew laterally to a maximum length to become constant-length faults (*cf.* Childs et al.,
393 1995; Peacock and Sanderson, 1991). Fault F2 is the only fault in the study area with an overall shape
394 resembling the C-type profile of Muraoka and Kamata (1983).

395 A relationship between fault segmentation and fault length can be established considering that large
396 faults such as F1 (9.5 km), F11 (5.5 km) and F12 (3.8 km), appear to be the most segmented structures in
397 the study area. They show clear displacement minima, whereas in small faults such as F4 (1.4 km) and F8
398 (750 m), variations in displacement seem to be less significant. For the remaining faults, the number of
399 segments varies with fault length at different scales. In addition to fault segmentation, representative
400 faults also have variable maximum displacement (d_{max}) and fault length (Fig. 11). Values of D_{max} range
401 from 82.83 m (F1) to 36.75 m (F8), while fault length varies from about 750 m (F8) to 9.5 km (F1).

402

403 *6.2. Interpretation of throw-depth (T-z) profiles*

404 Throw-depth (T-z) plots for the 12 representative faults are shown in Fig. 12. Throw profiles in the
405 study area include M- (F1, F2, F6, F9) and C-types (F4, F5) *cf.* Muraoka and Kamata (1983). Fault F3 is
406 an example of a skewed M-type profile. Often, the T-z profiles of some faults show a hybrid character
407 that results in the combination of two or more throw profiles. Fault F7 consists of a combination of M-
408 and C-types in Unit 1, whereas an M-type profile is observed in Units 2 and 3. The throw profiles for

409 faults F10 and F12 show a combination of M- and C-types profiles. Faults F8 and F11 consist of two M-
410 type profiles (Fig. 12).

411 Throw minima and maxima vary significantly across seismic horizons and at depth. The position of
412 throw minima and maxima across stratigraphic levels appears to relate to fault segmentation and
413 reactivation by dip-linkage (Baudon and Cartwright, 2008a; Omosanya and Alves, 2014; Walsh and
414 Watterson, 1989). For faults F2, F4, F9, F10, F11 and F12, a significant reduction in throw values occurs
415 at horizon H₂ (Fig. 12). Faults F1, F6, F7 and F8 show distinct throw minima within sub-unit 1a. A
416 second negative displacement is recorded close, or at horizon H₅, for faults F1, F3, F5, F7, F8, F11 and
417 F12. Throw maxima occur at sub-unit 1e, delimited by horizons H₅ and H₆, for faults F2, F3, F6, F7, F9,
418 F10 and F12, while for fault F1 maximum throw occurs at horizon H₅ (Fig. 12). The position of the throw
419 maxima in the analysed faults implies nucleation of the faults in sub-unit 1e.

420 The maximum throw recorded for the faults in the study area is 50 ms for fault F5. Compared to the
421 other faults, F8 show a distinct throw profile, characterised by small throw values close to the upper tips
422 of the fault and increase with depth, whereas the majority of the faults seem to show a relative decrease i
423 with depth.

424 Displacement ideally decreases to zero towards fault tips, a character reflecting their propagation
425 towards a free surface, and increases to a maximum in the centre of the fault surface (Childs et al., 2003;
426 Kim and Sanderson, 2005; Walsh and Watterson, 1988). However, most of the faults in the study area
427 show significant displacement on their upper tips, reflecting near-sea floor erosion at the time of their
428 propagation. The faults studied in this paper are truncated by the Mid-Eocene unconformity (horizon H₆)
429 on the seismic sections (Figs. 3 and 6 to 8). Exceptions to this pattern include faults F3 and F7, both
430 propagating above the Mid-Eocene unconformity and reaching Unit 3. For fault F3, its upper tip is
431 truncated by a submarine channel in Unit 3 (Fig. 7). The T-z profiles for these two faults show an abrupt
432 displacement shift to the left at the horizon H₆ (Fig. 12), followed by an increase in throw at shallow
433 levels, indicating the occurrence of a reactivation episode. Considering that faults F3 and F7 are located
434 adjacent to diapirs D2 and D3, it is possible to suggest that fault reactivation occurred in response to the
435 growth of these salt structures. Halokinesis did not affect the upwards propagation of other faults in the
436 study area, as they chiefly terminate at the base of the MTDs in Unit 2, i.e. below the Mid-Eocene horizon
437 H₆ (Fig. 12).

438

439 7. Stratigraphic juxtaposition

440 The displacement of permeable and impermeable units across fault planes can be graphically
441 represented by Allan Diagrams (Allan, 1989). Juxtaposition (Allan) diagrams were created for faults F1
442 to F12 by assigning stratigraphic intervals as salt, limestone, shale, silt and sand to the interpreted seismic
443 units and sub-units (Fig. 13). In the salt ridge, we estimate the juxtaposition of sands in the central part of
444 fault F1 (Fig. 13a). This permeable interval is delimited laterally, and at its top, by a relatively thin shale
445 interval. For fault F1, we observe juxtaposed limestone-limestone intervals, together with sand-shale
446 and sand-silt contacts.

447 Fault F2, around diapir D1, has a juxtaposition diagram similar to F1 with sand units delimited
448 vertically and laterally by impermeable units (Fig. 13b). Distinctive shale-silt and shale-sand
449 juxtapositions are observed at the centre of this fault. Towards the Southern Area, the juxtaposition
450 diagram for fault F5 shows an upper sand body laterally delimited by silt to the west (Fig. 13c).

451 The complex geometries of faults located adjacent to diapir D2 are illustrated by the juxtaposition
452 diagram for fault F7 (Figs. 13d). Fault F7 shows a sand-silt juxtaposition in its upper tip towards the west
453 (Fig. 13d). This fault was reactivated towards the east, where juxtaposed sand bodies are not delimited
454 laterally by impermeable units. The lowermost sand interval is delimited by a sand-silt contact to the west
455 and by a shale-silt juxtaposition to the east (Fig. 13d).

456 Fault F9, adjacent to diapir D1 displays complex juxtaposition of strata (Fig. 13e). The diagram for
457 fault F9 shows a silt-silt juxtaposition at the NW and SE fault terminations, isolating the sand body.
458 Shale-sand and shale-silt contacts are also observed (Fig. 13e). No impermeable (lateral) juxtapositions
459 are observed for faults located adjacent to diapir D3 (Figs. 13f). Fault F11 shows a sand-sand contact
460 delimited vertically by a silt-silt juxtaposition increasing in thickness towards diapir D3. Shale-shale,
461 shale-sand and shale-silt contacts are observed under the sand interval (Fig. 13f).

462

463 8. Stress analyses

464 The existence of only one earthquake focal mechanism in the Espírito Santo Basin, close to the
465 Victoria-Trindade High, the limited seismological coverage in the study area, and the low number of
466 stress measurements for SE Brazil's offshore basins, hinder the determination of a regional stress tensor

467 for the Espírito Santo Basin (Lima et al., 1997). Furthermore, post-salt deformation is considered to be
468 gravitationally driven, and independent of any basement tectonics (Demercian et al., 1993).

469 The faulting styles and tectono-stratigraphic evolution of the Espírito Santo Basin point towards an
470 extensional regime in the study area (Chang et al., 1992). Stress inversions for a set of 215 faults in the
471 study area confirm Chang et al. (1992) interpretation by computing a sub-vertical σ_1 plunging -57.89°
472 along an $N237.63^\circ$ azimuth (Table 2). A sub-horizontal σ_3 plunging 17.34° along an $N177.46^\circ$ azimuth
473 was also estimated. Considering that halokinesis resulted, in the study area, in the development of faults
474 with more than two preferential strike directions, we used the results from stress inversions for the faults
475 located in the Salt Ridge to perform slip tendency and leakage factor analyses for the remaining faults F1
476 to F12. Faults over the Salt Ridge show a more uniform strike and dip distributions when compared to F1
477 to F12 (Fig. 10). Stress inversions in the Salt Ridge indicate a sub-vertical σ_1 plunging -52.7° along an
478 $N187.1^\circ$ azimuth and a sub-horizontal σ_3 plunging 33° along an $N218.6^\circ$ azimuth (Table 2).

479 Slip tendency values based on the stress tensor for the Salt Ridge vary from 0.03 to 0.82, showing an
480 average of 0.53 (Fig. 14). The largest slip tendency values, varying from 0.50 to 0.82, are recorded for
481 NW-striking faults, whereas slip tendency ranges from 0 to 0.46 for NE-striking faults (Fig. 14). A
482 similar slip tendency is observed close to D1, with values varying from 0.3 to 0.8 for NW-striking faults
483 and values from 0.0 to 0.3 for faults striking to NE. For the faults in D2, slip tendency ranges from 0.06 to
484 0.50, with an average slip tendency of 0.34. Most of the faults located around D3 have slip tendency
485 values ranging from 0.02 to 0.25, with NW-striking faults showing the largest slip tendency, from 0.4 to
486 0.75.

487 Normalised leakage factors were also estimated considering a fluid pressure of 9 MPa, based on
488 vertical stress measurements (see Zoback, 2010) (Fig. 15). Leakage factor varies from 0.27 to 0.85,
489 indicating a medium to high capacity of faults to leak fluid. Most faults have leakage factors ranging from
490 0.32 to 0.54, whereas the largest leakage factors, ranging from 0.65 to 0.85, occur in faults in the southern
491 part of the study area (faults F4 and F5) and radial to D3 (Faults F10 and F11). Faults F8 and F7, show
492 distinct leakage factors to the rest of the faults adjacent to D1 and D2 (Fig. 15). Importantly, leakage
493 factors are greater for NW-trending faults than for their NE-striking counterparts (Fig. 15).

494

495 **9. Discussion**

496 *9.1. Structural evolution of the salt structures and timing of faulting*

497 A 3D representation of the interpreted faults in the Espírito Santo Basin is shown in Fig. 16. In order
498 to constrain the timing of faulting, we used horizon H₆ as a time marker. Two main episodes of faulting,
499 pre-Mid-Eocene and post-Mid-Eocene, are defined based on the interpreted stratigraphic relationships
500 between Cretaceous and Paleogene strata, and Throw-depth (T-z) plots for the representative faults F1 to
501 F12.

502 An important observation on seismic data is the absence of thickness variations on the hanging-wall
503 and footwall blocks of F1 to F12, suggesting that faulting was initiated in the study area after the
504 deposition of Unit 1 (Albian – Middle Eocene, Figs. 4 and 6 to 8). At a regional scale, the first halokinetic
505 movements in the Espírito Santo Basin took place during the Aptian – Albian, resulting from the
506 combination of differential sediment loading, gravity spreading and downslope thin-skinned gravitational
507 gliding above Aptian evaporites (Demercian et al., 1993; Fiduk et al., 2004). Considering the geometry of
508 the interpreted seismic sections (Figs. 4 and 6 to 8), we agree that halokinesis spanning the Aptian to
509 Albian time period deformed post-salt overburden units in the Espírito Santo Basin. However, this
510 deformation was not continuous, and discrete episodes of faulting occurred before the Mid-Eocene as
511 identified in this study. Such an observation agrees with the discrete (and localised) salt deformation that
512 took place in the South Atlantic continental margins during the Albian, Campanian, Paleogene and
513 Neogene (Cobbold et al., 2001; Fiduk and Rowan, 2012; Quirk et al., 2012; Strozyk et al., 2017).

514 The interpreted seismic cube reveals faults propagating on the crest of salt structures (Figs. 4 and 6
515 to 8). Faults offsetting salt structures (horizon H₁) include F1, F2, F9 and F11, located at the crests of the
516 Salt Ridge and diapirs D1 and D3 (Figs. 6 and 8). Throw-depth (T-z) data for these faults indicate that a
517 first episode of reactivation occurred in the Albian, as recorded by the throw minima around horizon H₂
518 (Fig. 12). Away from salt diapirs, seismic reflections seem to be less deformed towards the southern part
519 of the study area (Fig. 6). The relative absence of the salt towards the south, and the occurrence of faults
520 with a throw minima at horizon H₂ (e.g. fault F4), confirm that extension took place during the Albian –
521 Early Eocene.

522 In faults F10 and F11, the throw minima at horizon H₃ suggest a second reactivation episode close to
523 diapir D3 (Fig. 12). Halokinesis is also apparent for the Salt Ridge and diapirs D1 and D2, as reflected by
524 the displacement minima close to horizon H₅ (sub-unit 1e). We interpret this episode of salt movement as
525 the last halokinetic event recorded on the Salt Ridge, as this structure is now buried by a non-deformed
526 post-salt overburden (Units 2 and 3). Cessation of salt growth resulted in local dissolution of the crest of

527 the Salt Ridge, as shown by the lenticular shape of this salt body on the seismic sections (Fig. 6). The
528 removal of salt occurred preferentially on the crest of salt structures where the salt rises faster, and
529 continued towards the diapir flanks (Ge and Jackson, 1998; Seni and Jackson, 1984).

530 Despite salt dissolution and the pressure exerted by overburden units on the Salt Ridge, no evidence
531 of collapse as in Frumkin et al., (2011), Ge and Jackson, (1998) or Mattos et al., (2016), is observed in
532 the 3D seismic volume for this same structure. This finding contrasts with observations for the eastern
533 part of this seismic volume, where salt withdrawal and collapse play a major role in fault evolution (Alves
534 et al., 2009; Ze and Alves, 2016). Throw-depth (T-z) data for the 12 representative faults, show that
535 displacement decreases towards the Mid-Eocene horizon H₆ (Fig. 12). However, throw for these faults is
536 always greater than zero, as it does not represent the cessation of vertical propagation, hence confirming
537 the removal of part of the Mesozoic strata in the study area (Fig. 12).

538 Our interpretation shows that the bulk of halokinetic movements occurred during the Middle Eocene
539 – Early Miocene, as reflected by the local growth of diapirs D1, D2 and D3. The thinning and folding of
540 Units 1 and 2 on the flanks of salt diapirs reflect post-depositional halokinesis (Figs. 4 and 6 to 8).
541 However, this halokinetic episode had little influence on fault reactivation. No faults were found on the
542 crest of the salt structures, and only a few faults were reactivated after the Mid-Eocene in the western part
543 of the study area. Hence, reactivated faults crossing horizon H₆ include F3, adjacent to D1, and F7
544 adjacent to D3. Throw profiles for these two faults are similar, evidencing a decrease in the throw values
545 for Units 2 and 3 towards their upper tips (Fig. 12).

546 9.2. *Mechanisms of fault linkage and reactivation*

547 Displacement profiles in sections 6.1 and 6.2 provide insights into the mode of propagation and
548 linkage of faults in the western part of the Espírito Santo Basin. Fault geometry and its relationship to
549 adjacent strata are also taken into account when proposing the evolutionary model in this work. For the
550 majority of representative faults, throw-depth (T-z) data show a throw maxima close to horizon H₅ (Fig.
551 12). These faults developed radially to diapirs D2 and D3 (Fig. 11), and in map view radiate out from the
552 diapirs in the direction of other salt structures, where the faults overlap (Fig. 9). Faults developed radially
553 to D1, and the crestal faults in the Salt Ridge seem to be more segmented than those around D2 and D3
554 (Fig. 11). Extension causing the uplift of the Salt Ridge was likely involved in the linkage of the
555 individual fault segments, whereas the successive halokinetic movements of D3 were likely responsible

556 for lateral and vertical propagation of faults that are radial to this structure. Growth in diapirs D1 and D2
557 are also responsible for the lateral segmentation of faults adjacent to these structures.

558 Multiple displacement minima for the faults radial to diapirs (e.g. F3, F7, F10 and F11) indicate that
559 these faults consist of multiple vertically overlapping segments (Fig. 12). For the majority of the
560 representative faults, throw minima occur in sub-unit 1a. The throw-depth profiles indicate larger throw
561 for faults in the western portion of the study area than for the crestal faults found in the eastern part (e.g.
562 Baudon and Cartwright, 2008a). As displacements are larger in the western part of the study area,
563 characteristic throw minima are also observed. The occurrence of successive throw minima on the T-z
564 plots suggests dip-linkage reactivation (Baudon and Cartwright, 2008a; Mansfield and Cartwright, 1996;
565 Omosanya et al., 2015). Dip-linkage reactivation is less common for the faults generated in the eastern
566 part of the study area, as these were chiefly reactivated by upward propagation (Baudon and Cartwright,
567 2008a). Towards the west, dip-linkage seems to be the preferential reactivation mode, with upwards
568 propagation being relatively moderate.

569 Possible explanations for the different modes of reactivation found in the study area include: a)
570 distinct relationships between fault strike and local stress states, and b) radial faults were formed after salt
571 diapir growth, whereas crestal faults propagated in association with regional extension. The orientation of
572 the principal stresses estimated by inverting the faults on the Salt Ridge is NE-SW (Table 2), agrees with
573 the NNE-trending extension direction suggested by Chang et al. (1992) for the SE Brazil. Wherever the
574 orientation of the principal stresses and the strike direction of faults is coincident, there is a greater
575 tendency for faults to reactivate vertically along their length (Baudon and Cartwright, 2008a; Ze and
576 Alves, 2016). The predominant strike direction for the faults in the western part of the Espírito Santo
577 Basin is NW-SE. However, important groups of NE-SW and E-W faults are also found in the study area.
578 In general, the representative faults striking to NNE-SSW display a variable number of throw minima on
579 their throw profiles, whereas NW-SE and E-W striking faults show two negative displacements on their
580 profiles (Fig. 12). Opposing strike and principal stress orientations can, as a result, control the preferential
581 fault reactivation mode, favouring the nucleation of segments with similar dip and strikes.

582 Faults F3 and F7 are the only representative faults that, after a negative break on the throw gradient
583 at the Mid-Eocene horizon H₆, propagated vertically into Units 2 and 3. Faults F3 and F7 strike in
584 opposite directions, NW-SE and NE-SW, respectively. While T-z data for fault F3 indicates a gradual
585 reduction in throw above horizon H₆, fault F7 shows at least six throw minima along its throw profile

586 (Fig. 12). The T-z for these two faults are an exception to the general pattern of fault growth and
587 evolution observed to the faults in the study area. This indicates that not only regional stress orientations
588 should be considered when assessing fault reactivation, but other local factors, including the presence of
589 overlapping faults (Childs et al., 1995; Kelly et al., 1999) and fault size (Peacock and Sanderson, 1996,
590 1991), should also be taken into account.

591

592 9.3. *Implications for petroleum systems in the Espírito Santo Basin*

593 A dense and connected fault network can either constitute a preferential conduit to fluids (Cox et al.,
594 2001; Gartrell et al., 2004) or form barriers to fluid flow as result of shear processes or post-deformation
595 cementation (Gartrell et al., 2003; Sibson, 1996). Crestal and radial faults in the study area comprise
596 corridors connecting distinct salt structures that discretely evolved through time in the Espírito Santo
597 Basin (Fig. 16). The juxtaposition (Allan) diagrams for the study area indicate that faults located radially
598 to D2 and D3, and those located to the south, comprise thicker juxtaposed sands when compared to faults
599 formed close to D1 and the Salt Ridge (Fig. 13). Such an observation is complemented by the presence of
600 bright spots on seismic data. The largest and most continuous bright spots were found in sub-unit 1e
601 adjacently to faults developed radially to diapirs D2 and D3 (Figs. 7 and 8). Faults F9 and F10 offset a
602 bright-spot, meaning these faults can either constitute a possible pathway for fluids or form local seals
603 (Fig. 8). Slip tendency and leakage factor values indicate that F9 could potentially form a competent seal,
604 whereas fault F10 would facilitate fluid flow (Figs. 13 and 14). Bright-spots were also identified at Unit
605 2, above the faults radial to D3, whereas in the Salt Ridge bright reflections predominate above faults
606 offsetting sub-units 2b and 2c (Fig. 6).

607 Fault zone complexity limits the analysis of fault-seal behaviour and associated fluid flow paths
608 based solely on seismic data. In fact, important parameters such as the amount and distribution of
609 smeared shales across a fault (Manzocchi et al., 2010), can be overlooked when borehole data are not
610 available (e.g., Caine et al., 1996; Fisher and Knipe, 2001; Koledoye et al., 2003). Apart from variable
611 lithologies and throws, other controls on the transmissibility of fluid in fault zones include their 3D
612 geometry, the conditions that led to their formation, the local stress state(s), the arrangement of structures
613 within a fault zone, interactions between fluids and the host rock, and how all these factors vary in space
614 and time (Caine et al., 1996).

615 Leakage factor calculations indicate two different trends for the interpreted faults. The great majority
616 of faults shows a leakage factor ranging from 0.3 to 0.5, suggesting that sealing units were juxtaposed
617 during faulting and implying a smaller leakage potential (Fig. 15). Juxtaposition diagrams reveal that for
618 F2 and F5, sealing units isolate the intervals units and potentially hinder fluid migration (Fig. 13). A
619 second leakage factor trend for the study area ranges from 0.6 to 0.8, showing a greater potential to leak
620 fluids. The parts of the fault where leakage seems to be occurring coincides with the parts of the fault that
621 are most likely to slip. This constitutes evidence that fault slip is the main mechanism responsible for
622 fluid leakage in a direction parallel to faults (Barton et al., 1995; Wiprut and Zoback, 2000). Largest
623 leakage factors for faults adjacent to D1, D2 and D3 coincide with the fault terminations close to these
624 same salt diapirs. Faults with large leakage factors include the NW-striking crestal faults, faults adjacent
625 to diapirs D2 and D3, and a number of faults in the southern part of the study area (Fig. 15).

626 Based on our results, we propose that corridors of faults developed around the interpreted salt
627 structures form a preferential pathway for fluids in the study area. Hence, fluid tends to migrate through
628 the faults towards the shallowest diapirs and stratigraphic units (Fig. 16). The preferential fluid pathway
629 in this fault corridor is from south to north-northwest, where the densest accumulation of bright
630 reflections is observed and cross-cut faults developed radially to D3, or above the Salt Ridge (Fig. 16). In
631 such a setting, the combined use of stress analyses and juxtaposition diagrams show that reservoir units
632 within the Espírito Santo Basin are connected through corridors of faults that were developed during the
633 Cretaceous and the Paleogene in association with successive reactivation episodes.

634

635 **10. Conclusions**

636 This work aimed at understanding if there was a main reactivation style for faults on the continental
637 slope of the Espírito Santo Basin, and how this reactivation controls fluid flow. The main conclusions of
638 this work are summarised as follows:

- 639 a) Faults in the study area can be divided into five distinct families according to their location relative to
640 the salt structures. Radial faults occur adjacent to three salt diapirs (D1, D2 and D3), and crestal faults
641 were developed over a NW-trending Salt Ridge. The fifth family of faults occurs in the southern half
642 of the study area, a region that was less affected by halokinesis. The largest faults are NW-trending,
643 whereas the predominant orientations of the smaller faults are NE-SW and E-W.

- 644 b) Salt structures evolved in two distinct phases; the first before the Middle Eocene, associated with
645 moderate halokinetic deformation and faulting, and the second after the Middle Eocene recording
646 intense halokinesis. Our observations indicate that the growth of the Salt Ridge was the first to cease
647 in the study area. The growth of diapirs D1 to D3 resulted in the formation of radial faults around
648 them.
- 649 c) We found that preferential NE-SW reactivation took place based on the paleostress inversion
650 performed in this work. The inversion results show that the study area was subjected to NNE-SSW
651 extension. Dip-linked reactivation seems to be the preferential reactivation mode for the faults formed
652 in the western part of the study area, contrasting with the eastern part where reactivation by vertical
653 (upward) propagation predominates. Strata younger than the Middle Eocene were barely faulted,
654 except for faults F3 and F7.
- 655 d) Slip tendency and leakage factor analysis, combined with juxtaposition diagrams, indicate that the
656 areas most prone to leak fluids occur adjacently to diapirs D1, D2 and D3.
- 657 e) Our model proposes that faults are mainly extensional and comprise structural corridors that connect
658 different salt structures. These corridors form a preferential path for fluid flow from south to north-
659 northwest. In such a setting, diapir D3 is the shallowest salt structure in the study area onto which
660 fluid migrates from deeper salt structures to accumulate on its flanks.

661

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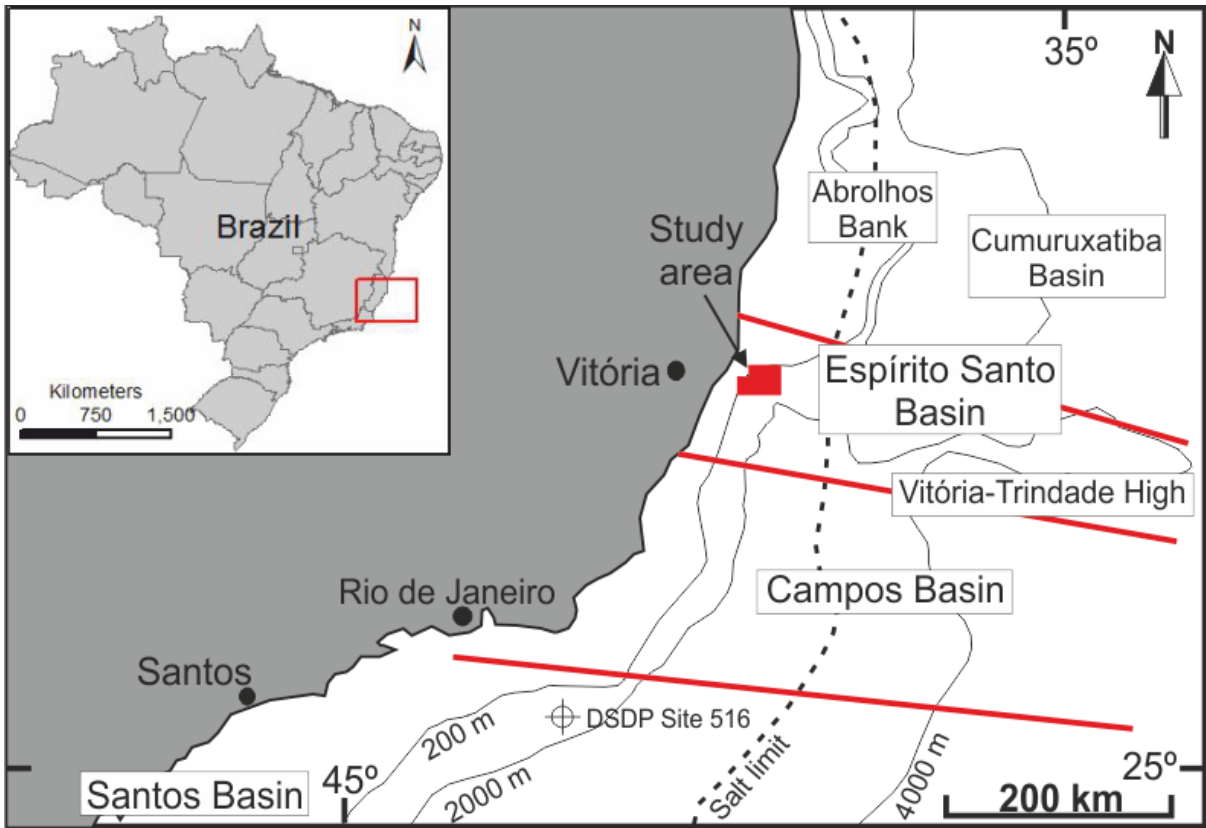
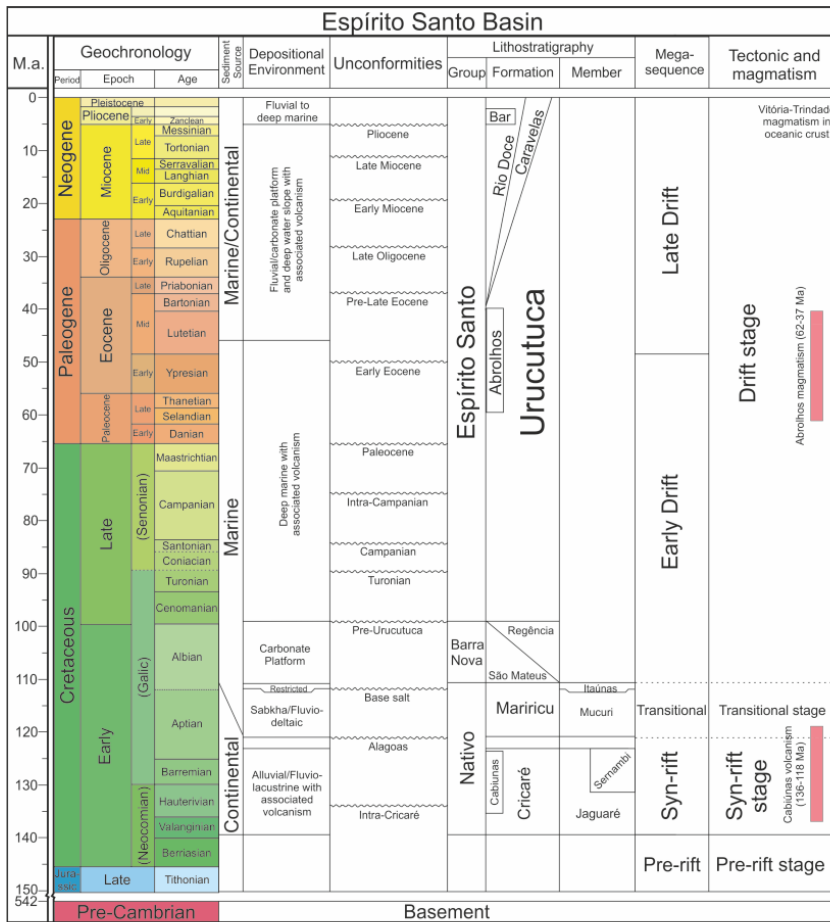
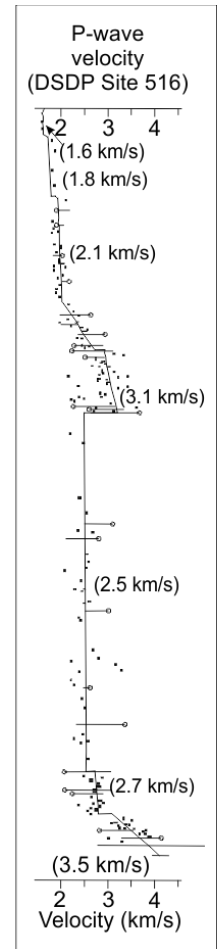


Figure 1: Location map of the Espírito Santo Basin and its main boundaries. The Espírito Santo Basin is limited to the north by the Abrolhos Bank and to the south by the Vitória-Trindade High. The location of the 3D seismic cube is indicated by the red polygon.



a)



b)

Figure 2: Stratigraphic column of the Espírito Santo Basin highlighting main sediment sources and depositional environments. Four tectonic stages and five depositional megasequences are documented in the basin (Modified from França et al., 2007). Velocity data for well DSDP Site 516 for the Rio Grande Rise compiled from Barker et al. (1983).

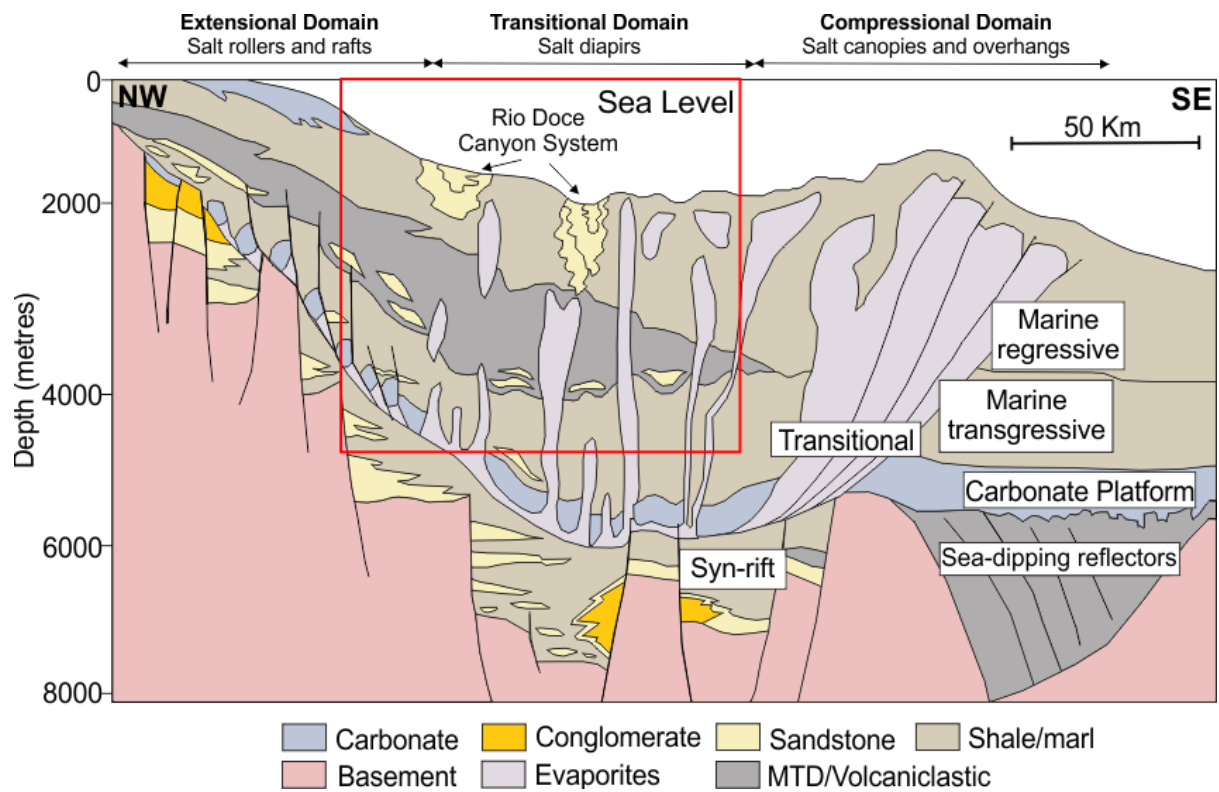


Figure 3: Simplified regional section of the Espírito Santo Basin showing major depositional sequences and salt structures across three structural domains: extensional, transitional and compressional. The approximate location of the study area is shown by the red polygon, within the extensional and transitional domains. Modified from Fiduk et al. (2004) and Gamboa (2011).

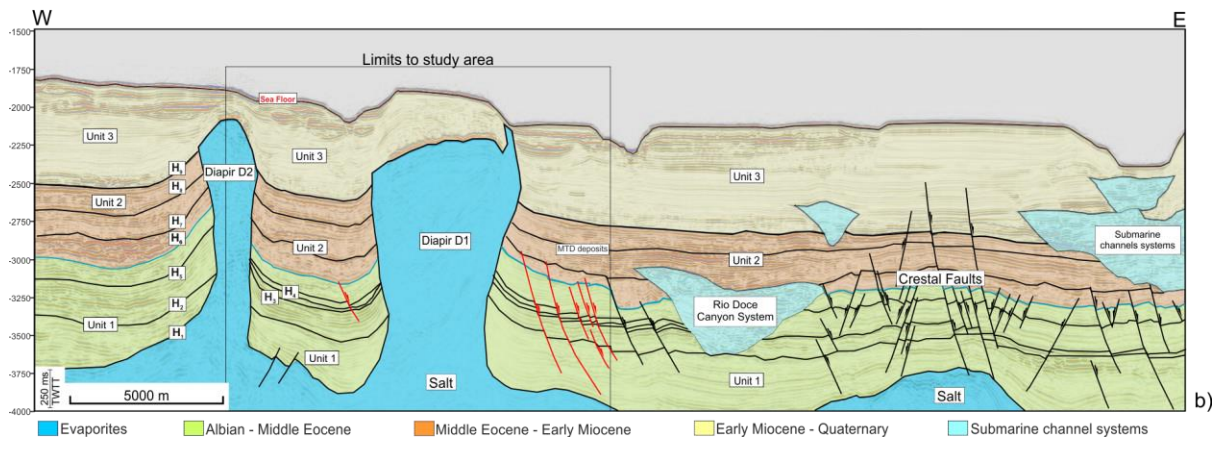
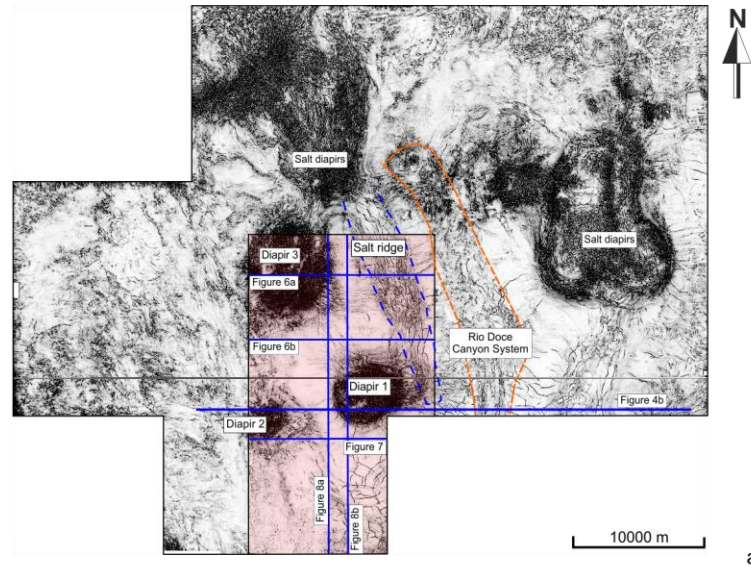
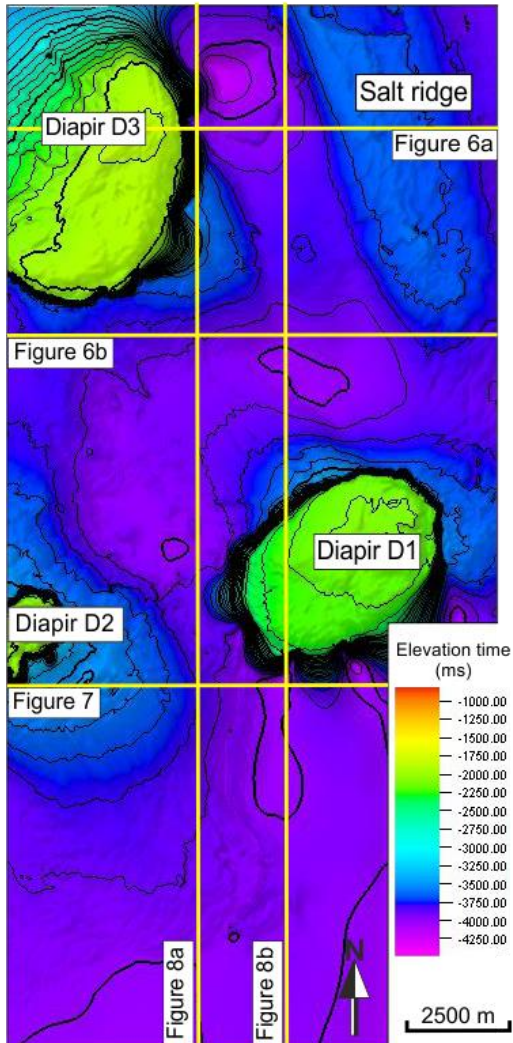


Figure 4: a) Variance time-slice at -3250 ms showing main geological features in the block BES-2. Salt diapirs and ridges are distinctive structures in this seismic cube. The Rio Doce Canyon System is delimited by the orange dashed line. The area of interest of this study is delimited by the red polygon. Three radially faulted salt diapirs and a faulted salt ridge are observed in the variance map. A large number of faults occur toward the southern half of the red polygon. b) Interpreted W-E regional seismic section of the Espírito Santo Basin showing main structures in the study area. The black polygon delimits the area of interest of this study and the faults adjacent to Diapir 2 are indicated in red. The blue line represents the Mid-Eocene unconformity (horizon H₆).

Horizon H₁- Top Salt



Horizon H₆- Mid-Eocene unconformity

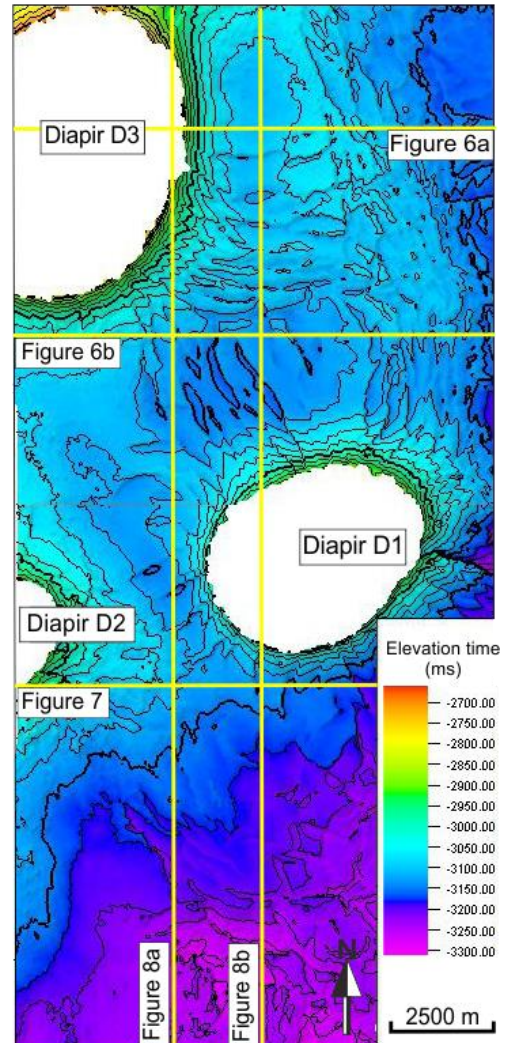


Figure 5: a) TWTT structural map of horizon H₁ highlighting the occurrence of salt diapirs and ridge in the study area. b) TWTT structural map of the regional Mid-Eocene unconformity (horizon H₆). Radial faults are observed adjacently to diapirs D2 and D3. However, these faults rarely propagate into Unit 2, which is delimited by horizon H₆ at its base.

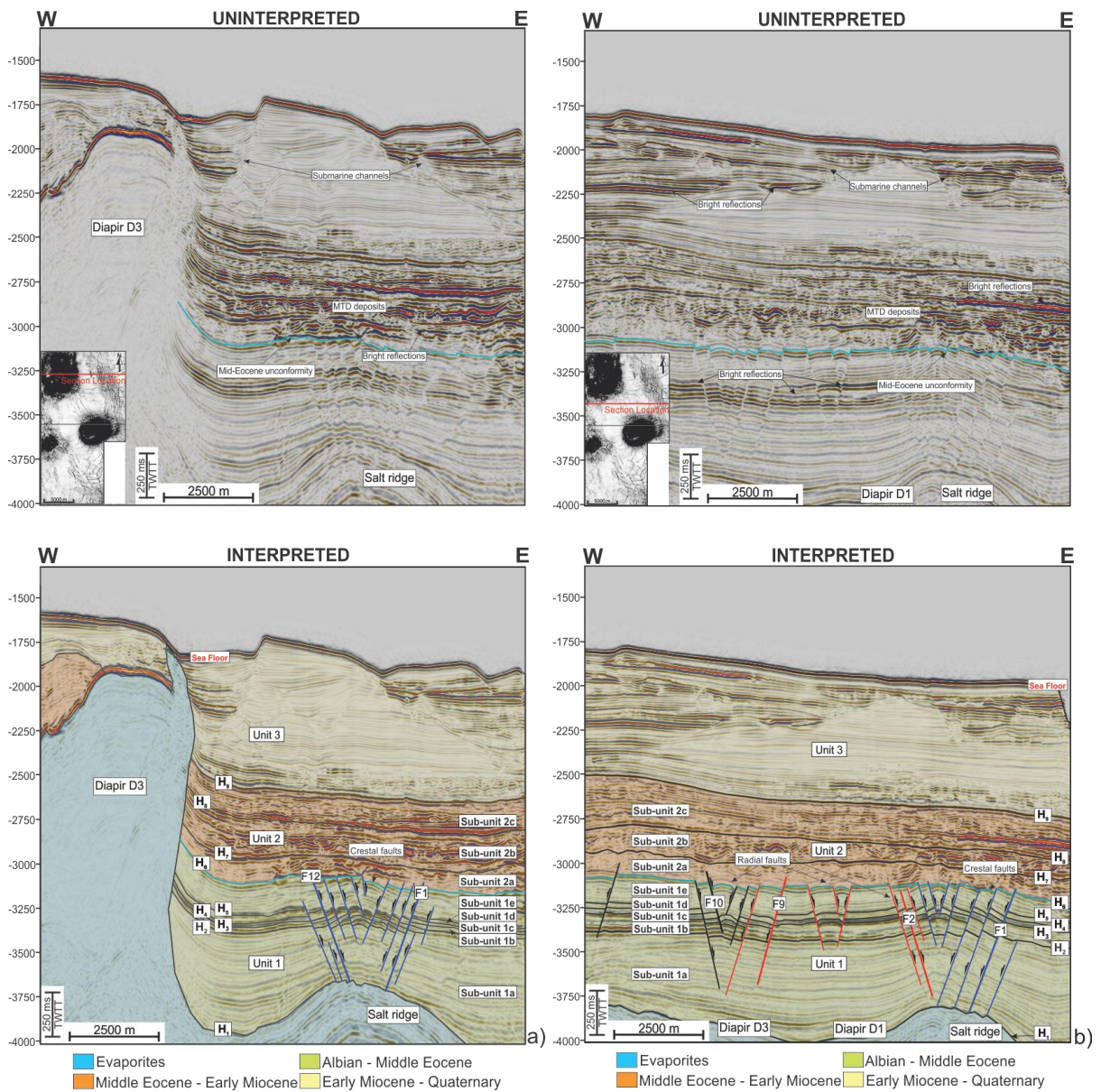


Figure 6: Sets of uninterpreted and interpreted W-E seismic sections. a) Seismic section crossing Diapir D3 and the Salt Ridge. Submarine channels are observed close to the seafloor and characterised by bright reflections. The interpreted seismic section highlights the presence of faults F1 and F12. Faults at the crest of the Salt Ridge comprise tilt blocks and terminate at horizon H₆, around which bright reflections are observed. Strata from Units 1 to 3 are deformed adjacent to diapir D3. b) Seismic section located between the salt structures highlighting the occurrence of bright reflections adjacent to D1 and D3 and the Salt Ridge. The interpreted seismic section shows that faults adjacent to D1 form tilt blocks with faults from the Salt Ridge and D3. Faults from D1 and D3 propagates into sub-unit 2a, whereas faults propagating from the Salt Ridge terminate at horizon H₆. Representative faults observed in this section include F1, F2, F9 and F10. The blue line represents the Mid-Eocene unconformity (horizon H₆).

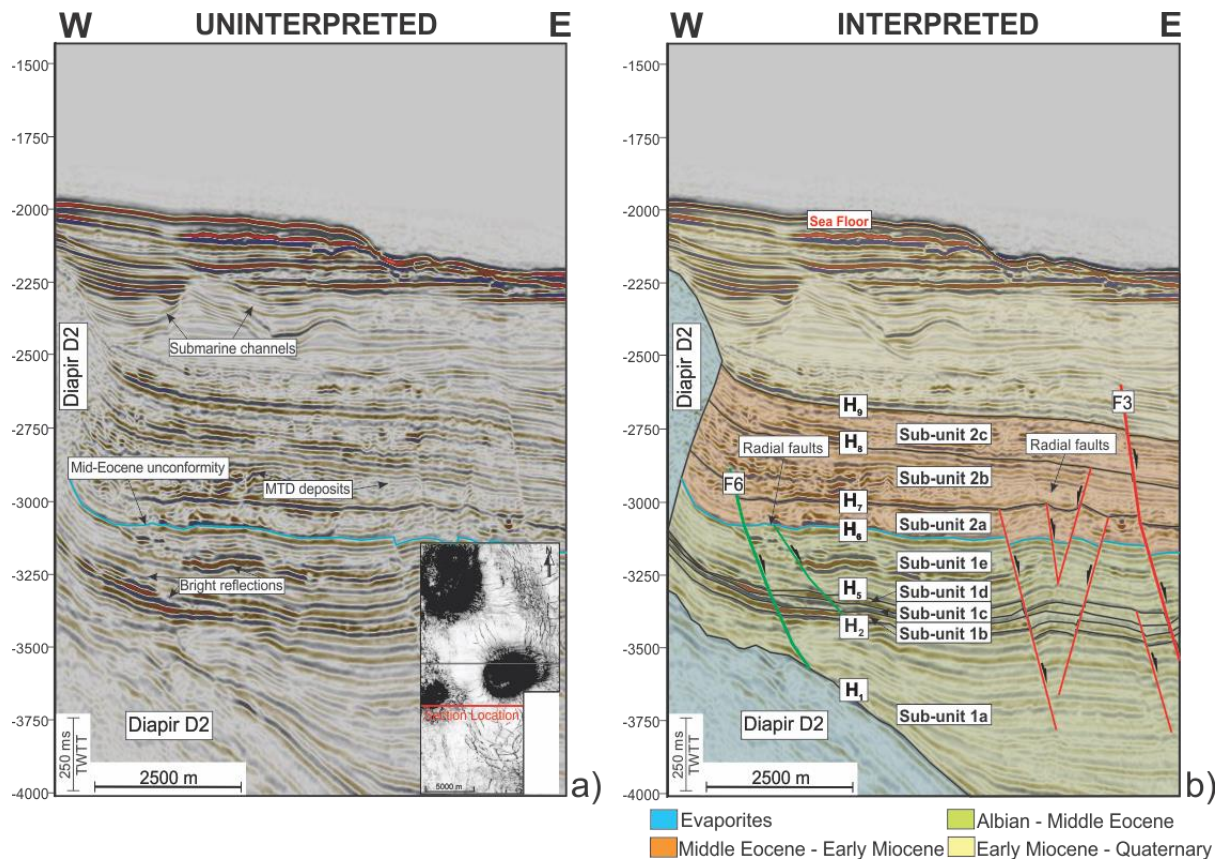


Figure 7: a) Uninterpreted W-E seismic line in the Espírito Santo Basin highlighting the occurrence of bright reflections close to diapir D2. Submarine channels are observed at a depth of -2500 ms below the sea floor. b) Interpreted seismic section showing that D2 deforms the three stratigraphic units. Representative faults in this region include faults F3 and F6. Fault F3 offsets Units 1 to 3, whereas fault F6 offsets Units 1 and 2. The blue line represents the Mid-Eocene unconformity (horizon H₆).

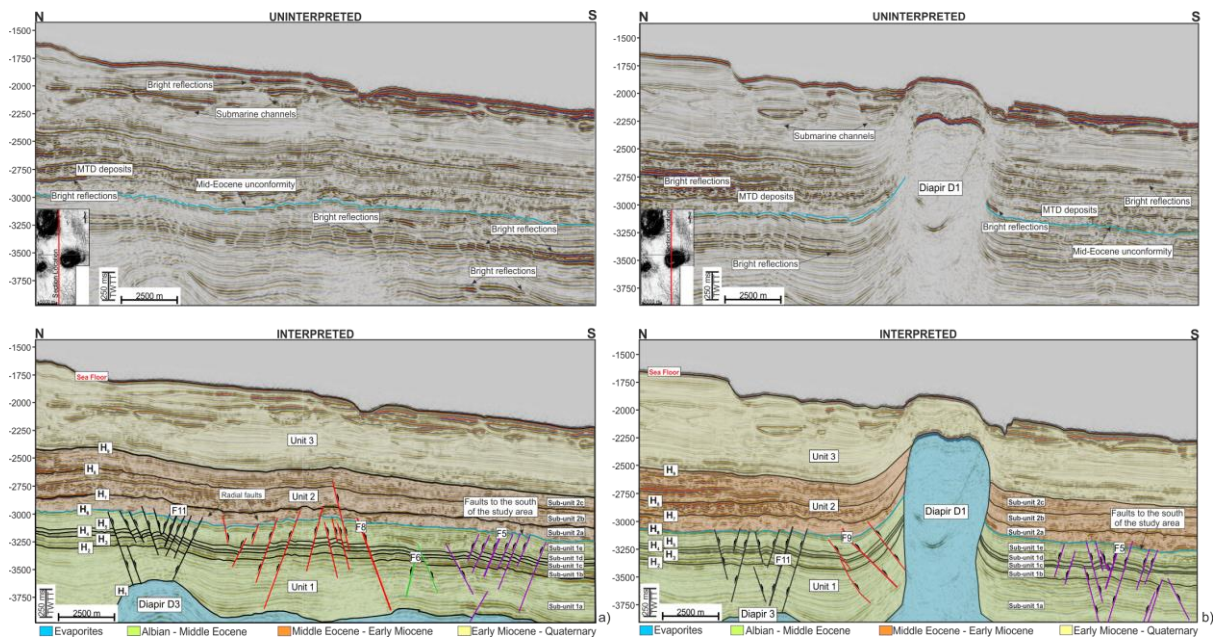


Figure 8: Uninterpreted and interpreted N-S seismic sections across the study area. a) Seismic section showing faults adjacent to diapirs D1, D2 and D3, and faults to the south of the study area. Bright reflections occur close to the sea floor, in Unit 2 to the north and in sub-units 1a to 1c for faults adjacent to D1 and D2 and to the south Faults adjacent to D1 and D3 offset Unit 2, whereas faults to the south are restricted to Unit 1. Representative faults observed in this section include F5, F6, F8 and F11. b) Seismic section showing that strata are deformed in the proximities of D1, and the radial character of the faults. Faults related to D3 and faults in the Southern Area form tilt blocks to the north and the south of this section, respectively. Representative faults observed in this section include F5, F9 and F11. The blue line represents the Mid-Eocene unconformity (horizon H₆).

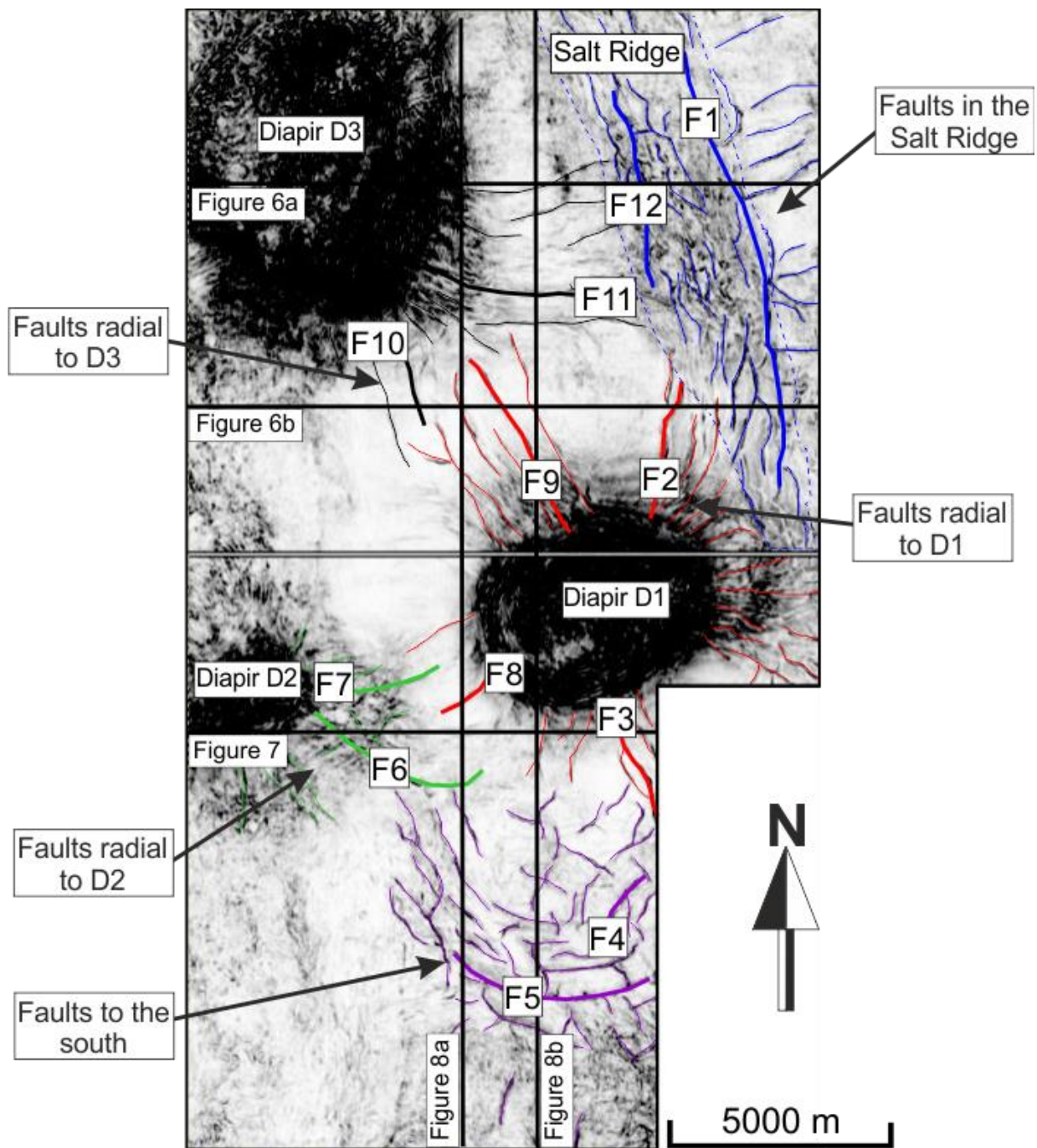
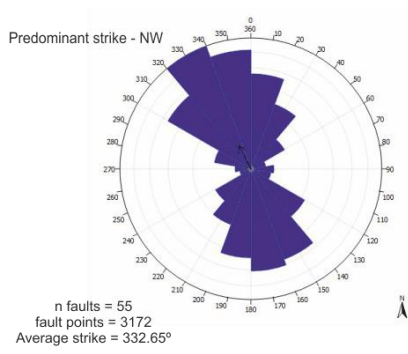
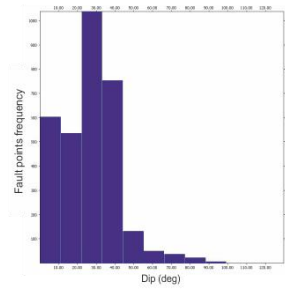


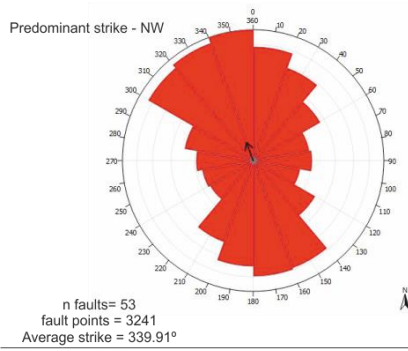
Figure 9: Variance time-slice at -3500 ms showing the locations of main fault families interpreted in the study area. Faults associated with the Salt Ridge are represented by the colour blue, whereas faults adjacent to D1 are in red. Faults to the south of the study area and adjacent to diapirs D2 and D3 are respectively shown in purple, green and black colours.



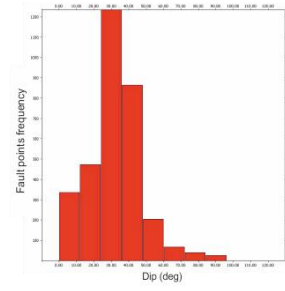
Salt Ridge



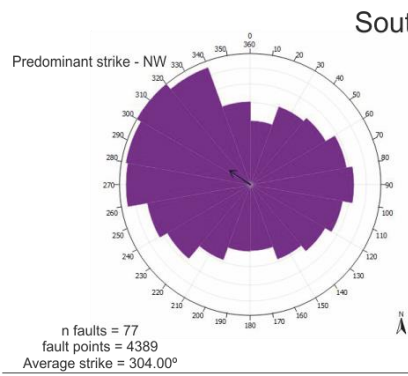
a)



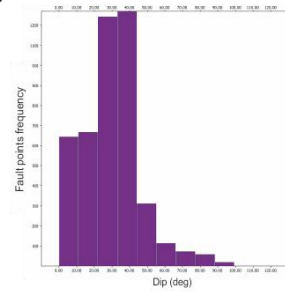
Diapir D1



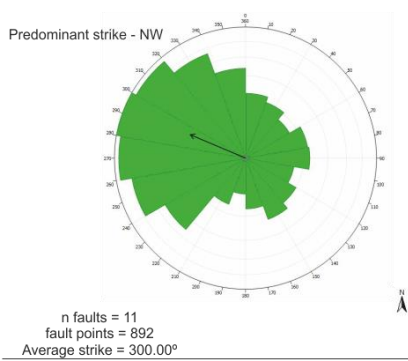
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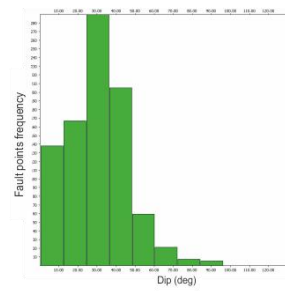
South of the study area



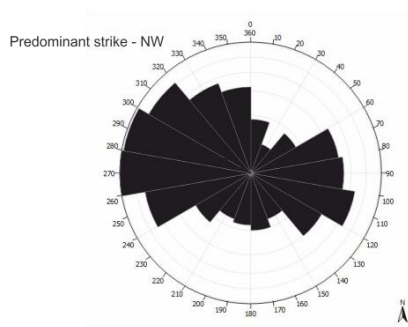
c)



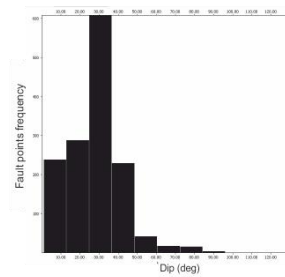
Diapir D2



d)



Diapir D3



e)

Figure 10: Rose diagrams showing the strike and histograms showing the dip magnitude for the faults in the study area. Predominant strikes for the Salt Ridge are NW-SE and NE-SW. Faults close to D1 trend preferentially towards NW and NNE. In the south of the study area, faults are predominantly NW-SE and E-W. Faults predominantly strike to NW and SW close to D2. In diapir D3, faults show a predominant NW strike and a secondary SW strike.

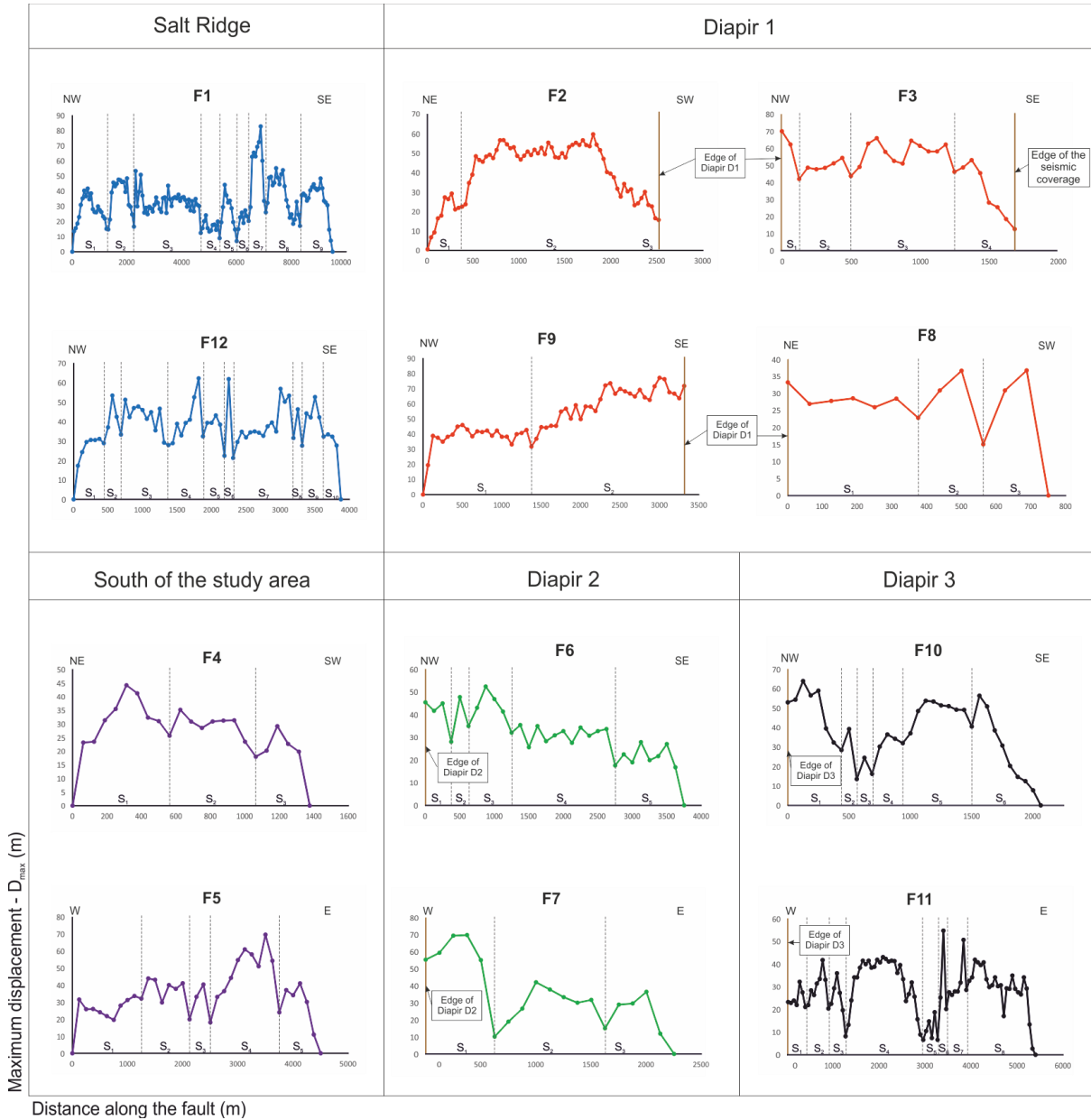


Figure 11: Displacement-length (D-x) plots for twelve representative faults. Faults are classified as C-type and M-type profiles based on Muraoka and Kamata (1983), as described in this work. Displacement curves were created along horizon H₅. Fault displacement and distance along the fault length are displayed in metres. Dotted lines and S1 to Sn denote distinct fault segments later linked to form a continuous fault plane.

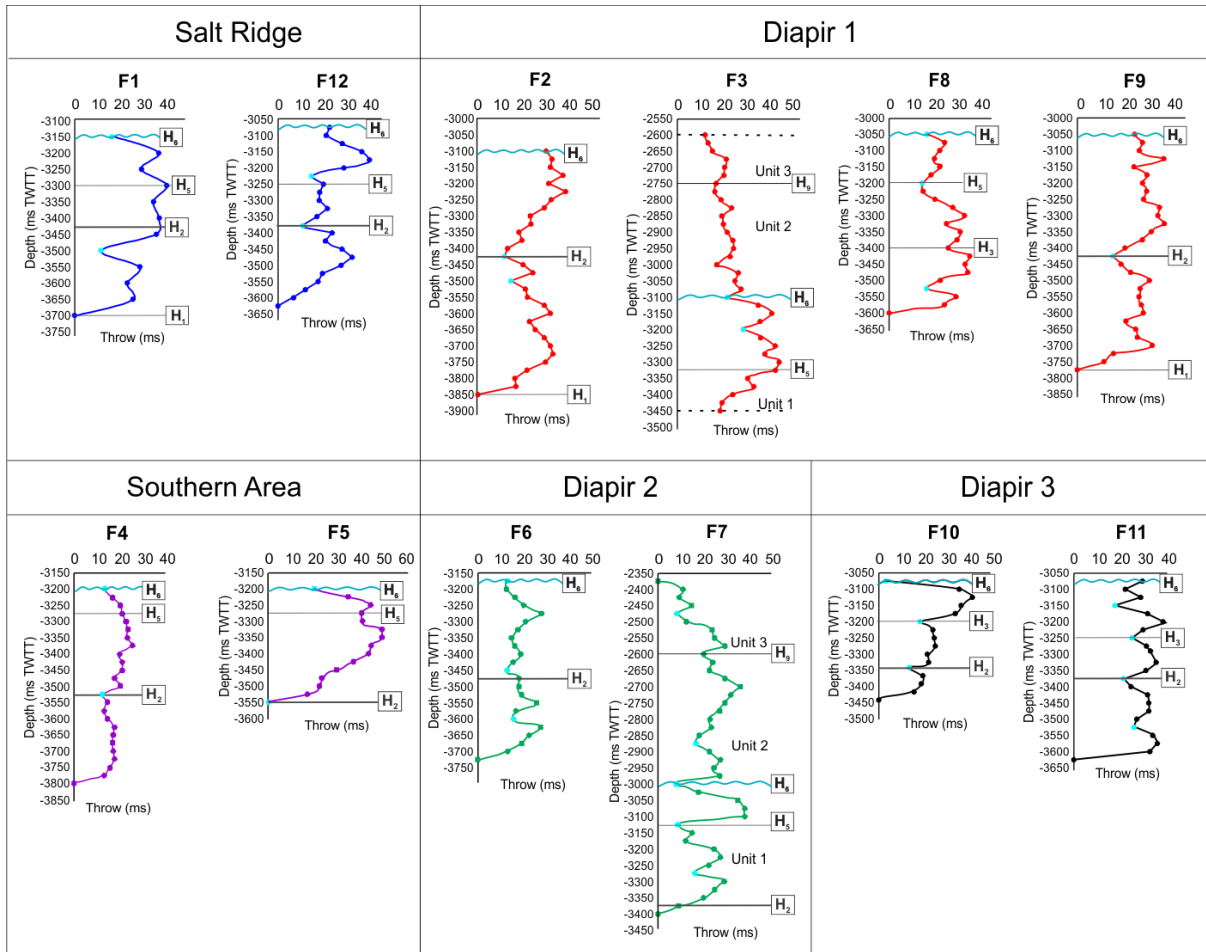


Figure 12: Throw-depth profiles for eight representative faults with both depth and throw measured in ms TWTT. Three throw profiles were identified based on these plots: asymmetric, M-type and skewed M-type. Grey lines indicate the horizons cut by the faults. The maximum throw for the majority of the faults occurs at horizon H₅. Throw minima are indicated by blue dots. The thick black line represents horizon H₂, where the first throw minima for most of the faults is recorded, whilst the blue line represents the Mid-Eocene unconformity (horizon H₆).

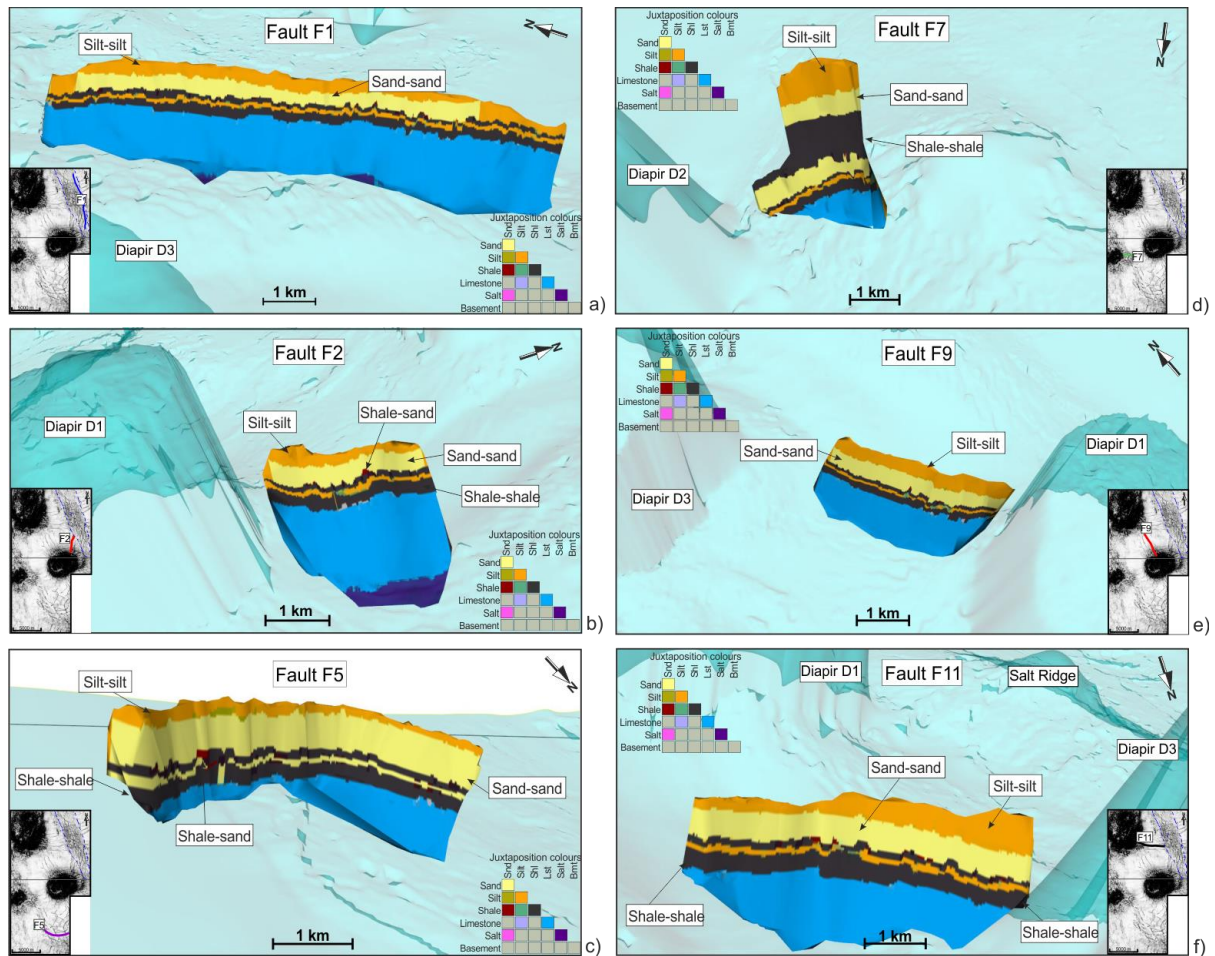


Figure 13: Juxtaposition diagrams for representative faults in the study area. a) Fault F1 showing juxtaposed sand units in its central part and a permeable interval defined by a shale interval. Close to the fault terminations juxtaposed limestone and sand-shale and sand-silt contacts are observed. b) Fault F2 showing sand units delimited vertically and laterally by impermeable units. c) Juxtaposition diagram for fault F5 showing an upper sand body laterally delimited by contacting silt units to W. d) Fault F7, showing that juxtaposed sand bodies are delimited vertically by a thin shale layer close to Diapir D2 e) Fault F9 showing a sand body isolated by a sit-silt juxtaposition at the NW and SE terminations. f) Fault 11 showing the sand-sand contact delimited vertically by a silt-silt juxtaposition that increases in thickness towards the Diapir D3. The diagrams use a colour code chart to indicate the degree of juxtaposition between distinct units. Superimposed shale units are indicated in brown along the fault trace, whereas sand juxtaposition is defined in yellow.

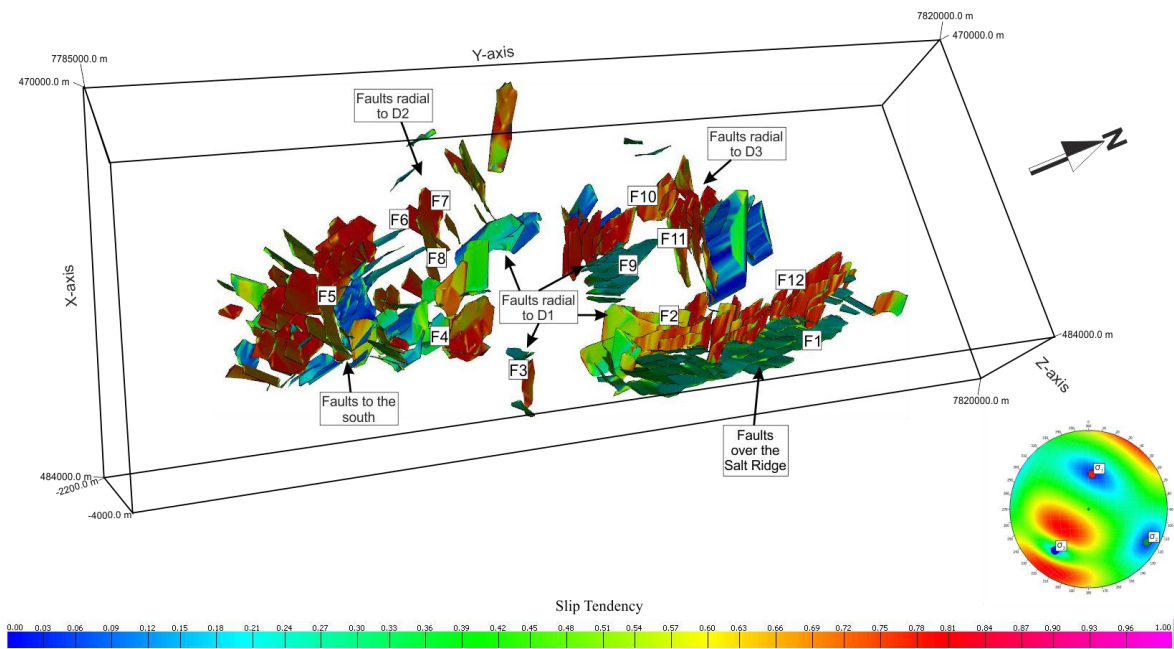


Figure 14: Slip tendency model for faults in the study area considering the paleostress tensor for the faults over the Salt Ridge. Slip tendency values range from 0.03 to 0.82, with an average of 0.53. Faults striking to NW show larger slip tendency values than faults striking to NE.

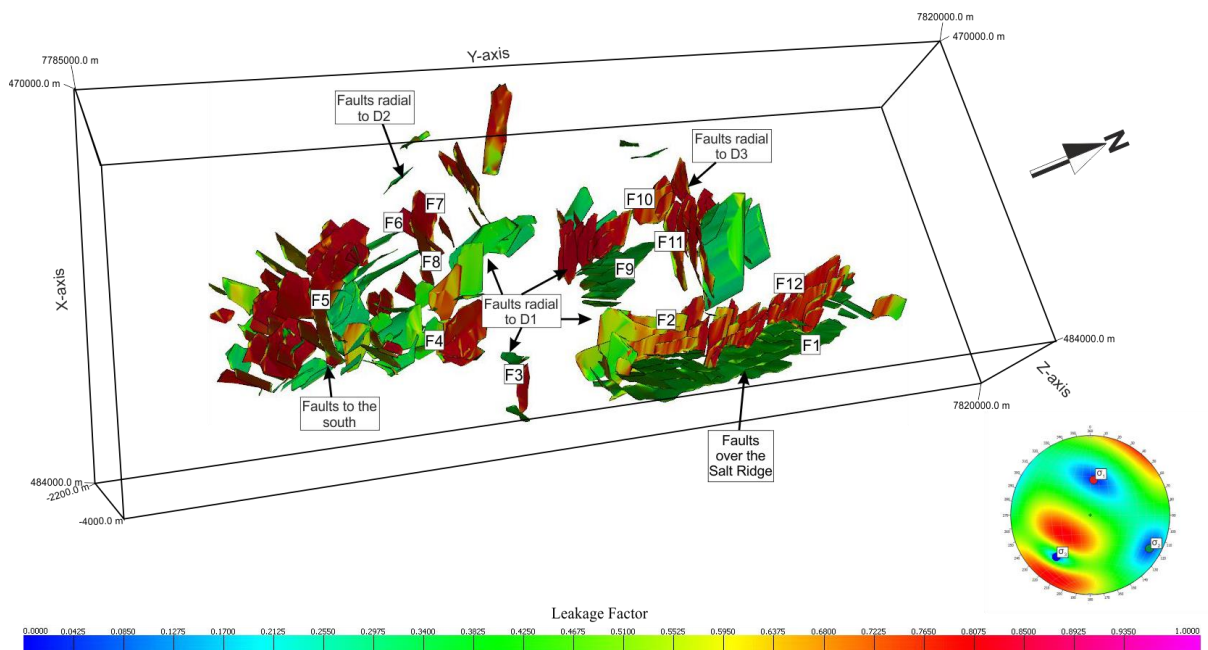


Figure 15: Leakage Factor model for faults in the study area considering the paleostress tensor for the faults over the Salt Ridge and a fluid pressure of 9 MPa. Leakage factors for the study area range from 0.27 to 0.85.

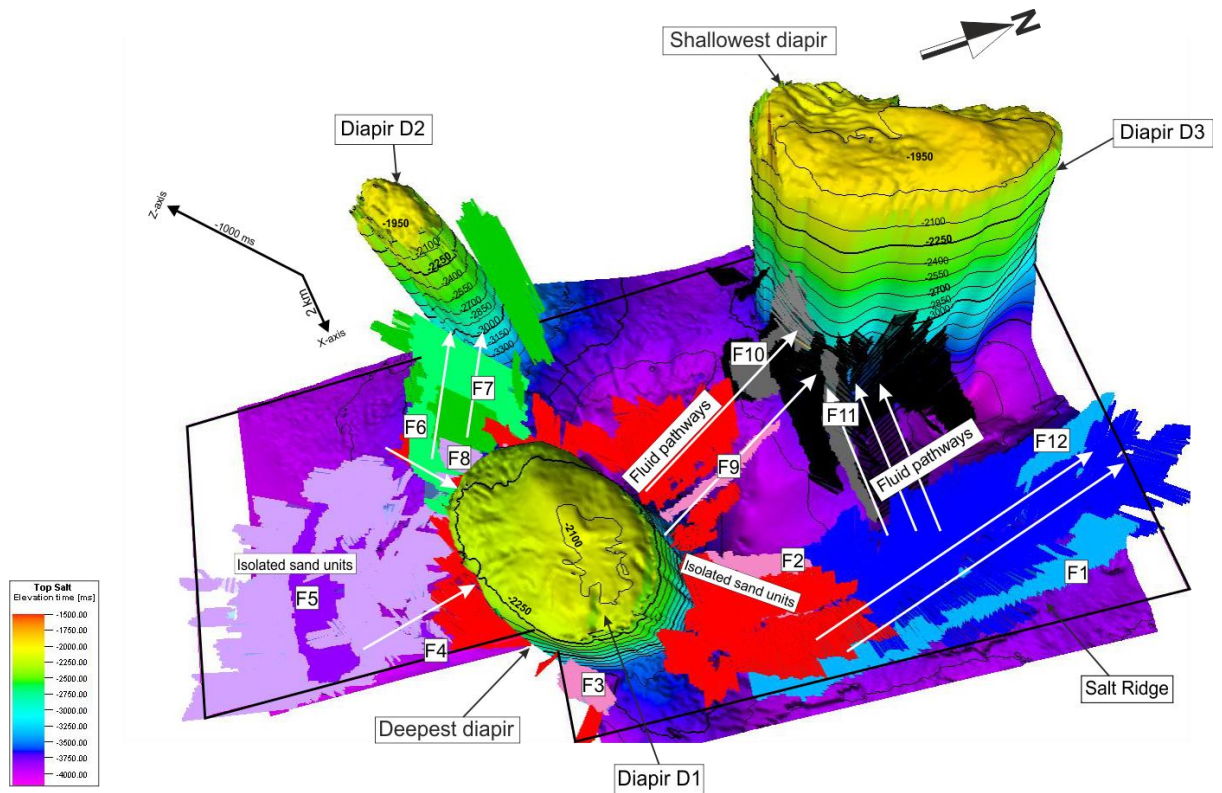


Figure 16: 3D representation of faults associated with halokinesis in the study area. The white arrows indicate a preferential fluid flow direction based on the leakage factor and juxtaposition analysis from south to north-northwest, in direction to D3. Isolated sand units were found in the vicinity of fault F2, adjacent to D1, and fault F5, in the southern part of the study area.