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**What are the combined effects of negative emotions and illness cognitions on self-care in people with type 2 diabetes? A longitudinal structural equation model**

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**What are the combined effects of negative emotions and illness cognitions on self-care in people with Type 2 diabetes? A longitudinal structural equation model**

**Abstract**

**Objective** To explore whether negative emotions mediate the effect of diabetes cognitions on diabetes self-care and conversely whether diabetes cognitions mediate the effect of negative emotions on diabetes self-care.

**Design** Longitudinal observational study in adults with Type 2 diabetes.

**Main outcome measures** Self-reported depression and anxiety (Diabetes Wellbeing Questionnaire), cognitions (Illness Perceptions Questionnaire-Revised; Beliefs about Medicines Questionnaire), and diabetes self-care (Summary of Diabetes Self-Care Activities Scale) were completed at baseline and six months. Analyses used structural equation modelling.

**Results** Baseline medication concerns were associated with elevated symptoms of depression and anxiety at follow-up, but emotions did not mediate medication concern's effect on diabetes self-care. Baseline depression and anxiety symptoms were associated with specific diabetes cognitions over time, but these cognition domains did not mediate emotion's effect on diabetes self-care. Personal control remained independent of emotions and was associated with diabetes self-care over time.

**Conclusions** Negative emotions did not act directly or alongside cognitions to influence diabetes self-care. The reciprocal relationship between diabetes cognitions and emotions suggests cognitive restructuring, in addition to other mood management intervention techniques would likely improve the emotional wellbeing of adults with Type 2 diabetes.

Likewise, personal control beliefs are likely important intervention targets for improving self-care.

**Key words:**

Depression, anxiety, illness cognitions, diabetes self-care, structural equation modelling, longitudinal

## 1 **Introduction**

2

3           In adults with diabetes, symptoms of depression and anxiety are prevalent (Anderson,  
4 Freedland, Clouse, & Lustman, 2001; Grigsby, Anderson, Freedland, Clouse, & Lustman,  
5 2002) and associated with increased glycosylated haemoglobin (HbA1c) (Lustman et al.,  
6 2000), morbidity (de Groot, Anderson, Freedland, Clouse, & Lustman, 2001), and mortality  
7 (Park, Katon, & Wolf, 2013). Both biological (Rustad, Musselman, & Nemeroff, 2011) and  
8 behavioural (Gonzalez et al., 2008) mechanisms influence relationships between symptoms  
9 of depression and anxiety and poorer diabetes health outcomes. However, a detailed  
10 understanding of the behavioural mechanisms responsible for the relationship between  
11 depression and anxiety and poorer diabetes health outcomes is lacking.

12           A behavioural theory used to understand what motivates self-care behaviour in the context  
13 of illness is the Common Sense Self-Regulation Model (CS-SRM) (Leventhal, Meyer, &  
14 Nerenz, 1980). The CS-SRM argues that when presented with a health threat we initiate  
15 parallel cognitive and emotional responses. Indeed the CS-SRM hypothesises that reciprocal  
16 causal relationships exist between illness cognitions and emotional responses, which then go  
17 on to determine the types of illness self-care and emotional coping behaviours implemented  
18 by an individual. Thus it provides an appropriate framework to explore how depression and  
19 anxiety operates in the context of chronic illness.

20           The cognitive response of the CS-SRM includes an appraisal of the health threat to  
21 generate an illness representation framework. This includes illness cognitions about the  
22 perceived cause of the health threat, associated symptoms, and their likely duration and  
23 predictability. It also includes cognitions about the degree of personal and treatment  
24 resources available for health threat management, its impact on functioning, and the extent to  
25 which a person has a coherent understanding of the health threat. A person's illness

26 representation framework determines the types of self-care behaviours a person might  
27 implement to manage the health threat.

28           Specifically in the context of diabetes, cross-sectional observational studies have  
29 confirmed the importance of the relationship between illness cognitions and diabetes self-  
30 care. Having an optimistic diabetes appraisal including perceiving diabetes treatments to be  
31 effective and believing that one has personal resources available for managing diabetes  
32 demonstrates relatively consistent associations with improved adherence to one or more  
33 diabetes self-care behaviours: diet, exercise, and medication taking (Broadbent, Donkin, &  
34 Stroh, 2011; Hampson, Glasgow, & Foster, 1995; Hampson, Glasgow, & Toobert, 1990;  
35 Searle, Norman, Thompson, & Vedhara, 2007). Conversely, having a pessimistic appraisal of  
36 diabetes including perceiving diabetes to cause a high number of physical and social  
37 consequences (Barnes, Moss-Morris, & Kaufusi, 2004; Broadbent et al., 2011; Hampson et  
38 al., 1990) in addition to perceiving diabetes as an unpredictable condition (Barnes et al.,  
39 2004) is associated with lower adherence to diabetes self-care behaviours.

40           The CS-SRM acknowledges with equal emphasis the role of the emotional response  
41 to the health threat. This includes an emotional reaction (e.g. depression and anxiety), thus  
42 coping behaviours are simultaneously initiated to manage these emotions, for example,  
43 avoidance of medical settings. The relationship between diabetes emotional responses and  
44 coping behaviours (e.g. avoidance, withdrawal, denial) to our knowledge has not been  
45 directly assessed, but indirectly inferred from studies demonstrating lower rates of adherence  
46 among people with higher levels of depression (Gonzalez et al., 2008).

47           Empirical studies based on the CS-SRM have largely used cross-sectional designs and  
48 focussed on investigating direct pathways leading from illness cognitions to diabetes self-care  
49 behaviours. These studies have not taken into account the hypothesised reciprocal

50 relationships that occur between illness cognitions and emotional responses and their  
51 subsequent combined effects on diabetes self-management. Thus studies have only tested  
52 partial aspects of the CS-SRM. In the context of diabetes, cross-sectional evidence across  
53 nine studies indicates that having a pessimistic cognitive appraisal of diabetes heightens a  
54 person's experience of negative emotions or vice versa (Hudson, Bundy, Coventry, &  
55 Dickens, 2014). However, we are aware of no longitudinal studies which have explored  
56 simultaneously the direct and indirect pathways through which illness cognitions and  
57 emotional responses operate to have downstream effects on diabetes self-care.

58 Our study thus tested the salience of the CS-SRM. We longitudinally explored using  
59 structural equation modelling (SEM) both direct and indirect (mediated) relationships  
60 between diabetes cognitions, negative emotions, and diabetes self-care behaviours. We used  
61 SEM to explore if: i) cognitions can have a direct effect on diabetes self-care and also an  
62 indirect effect mediated through negative emotions; ii) negative emotions can have a direct  
63 effect on diabetes self-care and also an indirect effect mediated through cognitions. The  
64 hypothesised nature and direction of effects between variables is detailed below. It was not  
65 possible to define a priori the specific cognition-emotion pathways that would demonstrate a  
66 relationship with diabetes self-care because no prior studies have examined simultaneously  
67 these multiple mediator pathways over time in adults with type 2 diabetes.

### 68 ***Study hypotheses***

- 69 i) Having a pessimistic cognitive appraisal of diabetes will be directly associated  
70 with lower adherence to diabetes self-care (cognitions → diabetes self-care).
- 71 ii) Having a pessimistic cognitive appraisal of diabetes will be indirectly associated  
72 with lower adherence to diabetes self-care via heightened negative emotions  
73 (cognitions → emotions → diabetes self-care)

- 74       iii)     Heightened negative emotions will be directly associated with lower adherence to  
75             diabetes self-care (emotions → diabetes self-care)
- 76       iv)     Heightened negative emotions will be indirectly associated with lower adherence  
77             to diabetes self-care via pessimistic cognitive appraisals of diabetes (emotions →  
78             cognitions → diabetes self-care)

## 79   **Materials and Method**

80

### 81   *Participants*

82       At baseline people with Type 2 diabetes were recruited consecutively (face to face) from a  
83       UK diabetes outpatient clinic (central Manchester) from May 2011 to October 2011 (ethical  
84       approval reference 11/NW/0069). Participants were followed up at six months to coincide  
85       with their next bi-annual review at the outpatient clinic. Outpatients were eligible for  
86       inclusion if they had diagnosed Type 2 diabetes and were  $\geq 18$  years old, but were ineligible  
87       if they had an impairment that was deemed inappropriate for participation by the person  
88       themselves, a carer or their medical team (e.g. lacked capacity, high risk of suicide).

### 89   *Measures*

90

91       The following data were collected at baseline and six months follow-up after informed  
92       consent:

#### 93   *Demographic and Clinical Characteristics (baseline only)*

94

95       Self-reported demographics: age, gender, and ethnicity. Clinical characteristics were  
96       extracted from medical records: diabetes duration, diabetes medication type, number of  
97       diabetes complications (retinopathy, neuropathy, nephropathy, cardio-vascular,



98 cerebrovascular, peripheral vascular, and metabolic), and number of other health co-  
99 morbidities (according to International Classification of Diseases categories ICD-10) (World  
100 Health Organization, 2010).

### 101 *Depression and Anxiety*

102

103 Depressive and anxious symptoms were measured using the Diabetes Wellbeing  
104 Questionnaire (DWBQ) (Bradley, 1994). The DWBQ has four subscales: depression (six  
105 items), anxiety (six items), energy (four items), and positive wellbeing (six items). DWBQ  
106 items are responded to on a four point Likert scale. Only the depression and anxiety  
107 subscales were used. These subscales were adapted from Zung's self-rating depression  
108 (Zung, Richards, & Short, 1965) and anxiety (Zung, 1974) scales specifically for use among  
109 the diabetes population. The DWBQ depression and anxiety subscales demonstrate high  
110 concurrent validity with the Hospital Anxiety and Depression scale (Pincus, Griffiths,  
111 Isenberg, & Pearce, 1997). Higher DWBQ scores indicate higher depressive and anxious  
112 symptoms.

### 113 *Diabetes Illness Cognitions*

114

115 Illness cognitions were measured using the revised Illness Perception Questionnaire  
116 (IPQ-R) (Moss-Morris et al., 2002) and the Beliefs about Medicines Questionnaire-specific  
117 (BMQ-specific) (Horne, Weinman, & Hankins, 1999). The IPQ-R assesses the following  
118 illness cognition domains (subjective beliefs; 70 items): identity (symptoms attributed to  
119 diabetes), timeline acute/chronic (diabetes duration), timeline cyclical (predictability of  
120 diabetes), cause (cause of diabetes), consequences (impact of diabetes), personal control  
121 (availability of individual resources for managing diabetes), treatment control (efficacy of  
122 treatments for managing diabetes), illness coherence (degree of diabetes understanding), and  
123 emotional representations (negative emotions experienced because of diabetes). All IPQ-R

124 items use a five point Likert scale excluding identity, which has a binary yes/no response  
125 based on whether symptoms are experienced and attributed to diabetes. All yes responses  
126 receive a score of one and are summed. High scores on each subscale indicate stronger  
127 endorsements of the construct measured. The BMQ-specific (Horne et al., 1999) has two  
128 subscales: medication concerns (perceived negative effects of taking medications; 5 items)  
129 and medication necessity (perceived need for taking medication to manage diabetes; 5 items).  
130 Both subscales contain five point Likert response items; higher scores indicate a stronger  
131 degree of belief in the construct.

### 132 *Diabetes Self-Care Behaviours*

133

134 The Summary of Diabetes Self-Care Activities Scale (SDSCA) (Toobert, Hampson, &  
135 Glasgow, 2000) was used to measure diabetes self-care behaviours. Participants indicated the  
136 extent to which they adhered to the following behaviours over the last seven days (eight point  
137 Likert scale ranging from zero to seven days): i) general diet (following a healthy eating  
138 plan), ii) specific diet (fruit and vegetable and fat intake), iii) exercise, iv) self-monitoring of  
139 blood glucose (SMBG), v) foot care, and vi) medication adherence. Higher scores indicate  
140 greater adherence. We combined scores across the individual SDSCA items to generate a  
141 single overall outcome measure of diabetes self-care. The diabetes self-care outcome  
142 represents the mean number of days per week a person adhered to their multi-dimensional  
143 diabetes self-care routine, an approach used by others to determine overall levels of diabetes  
144 self-care (Walker, Gebregziabher, Martin-Harris, & Egede, 2015).

### 145 *Statistical Analysis*

146

147 Data were non-normally distributed. Descriptive statistics are reported as means and  
148 standard deviations given our relatively large sample size. Mann-Whitney U tests and  
149 Pearson chi-square tests were used to compare demographic and clinical characteristics

150 between completers and non-completers at follow-up. Bootstrapping (10,000 resamples) was  
151 applied to account for non-normally distributed outcomes (Mooney & Duval, 1993).

152 *Analytical model building*  
153

154 We used a two-phase approach to building and testing our analytical models of the  
155 relationships between cognitions, emotions, and diabetes self-care. In Phase 1 we used  
156 traditional bivariate regression models to statistically test hypothesised direct and indirect  
157 pathways from cognitions and emotions to diabetes self-care; in Phase 2 we used SEM  
158 procedures, with measured variables only, to simultaneously evaluate the multiple pathways  
159 identified as statistically significant in Phase 1, to arrive at the final models. As well as  
160 testing the statistical significance of each individual pathway within the model, SEM also  
161 provides an overall assessment of how well hypothesised relationships reflect actual observed  
162 relationships in the sample dataset, providing an overall test of model validity (Kline, 2005).  
163 Goodness of fit indices are used to evaluate the overall model (See Table 1) (Kline, 2005).

164 [INSERT TABLE 1 HERE]

165 *Phase 1 Bivariate Analyses*  
166

167 Whilst the CS-SRM explicitly states that cognitions and emotions have the potential to  
168 directly and indirectly affect illness management behaviours, the specific pathways that apply  
169 longitudinally in the context of an outpatient Type 2 diabetes population are not known. We  
170 undertook initial (Phase 1) bivariate regression analyses in order to empirically identify  
171 potentially important direct and indirect relationships between cognitions, emotions, and  
172 diabetes self-care, for subsequent simultaneous testing using SEM. This step was necessary  
173 because simultaneous entry of all plausible directional pathways between the eight illness  
174 cognition domains, depression, anxiety, and diabetes self-care would have led to high

175 multicollinearity due to inter-correlated cognition domains and an unacceptably low  
 176 participant to parameter ratio, affecting the reliability of the path coefficients. The bivariate  
 177 phase was therefore used to filter out non-existent or very weak paths as a first step. We  
 178 therefore used a high alpha-level to avoid prematurely excluding potentially important  
 179 pathways and a pathway was retained for use in SEM analyses if it was statistically  
 180 significant in bivariate regression analyses at an alpha of  $\leq 10\%$ .

181 Bivariate regression models were constructed to evaluate the direct effects summarised  
 182 below:

Baseline explanatory variables (Time 1)	Directional pathway	Outcome variables at follow-up (Time 2)
Cognitions	→	Emotions
Emotions	→	Cognitions
Cognitions	→	Diabetes self-care
Emotions	→	Diabetes self-care

183

184 Bivariate regression analyses also provided a test of indirect effects. Because we were limited  
 185 to two time points of data collection, we applied a modified version of the Baron and Kenny  
 186 (1986) approach to test for the presence of indirect effects (mediation). We used Cole and  
 187 Maxwell's (2003) two step procedure.

- 188 i. Step one: Identify if the baseline explanatory variable (time 1) has a directional effect  
 189 on the hypothesised mediator at follow-up (time 2) (i.e. regress the mediator at time 2  
 190 on both the explanatory and mediator variable at baseline, time 1)
- 191 ii. Step two: Identify if the baseline mediator variable (time 1) has a directional effect on  
 192 the outcome variable at follow-up (time 2) (i.e. regress the outcome variable at time 2  
 193 on both the mediator and outcome variable at baseline, time 1).

194 This two-step approach allowed us to use our two waves of data collection so that: i) the  
195 effect of the explanatory variable on the mediator variable and ii) the effect of the mediator  
196 variable on the outcome variable were both tested using prospective analyses as opposed to  
197 limiting one aspect of our mediation pathway to a contemporaneous analysis only.

#### 198 *Phase 2 SEM Model specification*

199

200 We produced separate SEM models for depression and anxiety because of  
201 multicollinearity between these variables ( $r=0.71$ ). In each model we initially included all  
202 pathways identified as (separately) statistically significant at an alpha of  $\leq 10\%$  in the Phase 1  
203 bivariate regression analyses. Starting from this initial model, we sequentially trimmed  
204 pathways from the model, at each step removing the pathway with the highest p value, until  
205 all remaining pathways were significant at an alpha of  $\leq 5\%$ . This approach allows the  
206 generation of parsimonious models and promotes translation into clinical interventions  
207 (Kline, 2005).

208 In a subsequent step we assessed the impact of potential confounders on the relationships  
209 in the final models. The impact of each potential confounder was explored separately to  
210 retain statistical power and reliability of the estimates (see phase 1 bivariate analyses for  
211 rationale). The confounders examined were: age, gender, ethnicity (white vs non-white),  
212 diabetes duration, number of diabetes complications, number of co-morbidities, and  
213 medication type (oral medication insulin/injection therapy). SEM was conducted using IBM  
214 SPSS version 19 (IBM SPSS Statistics, 2010) and Analysis of Moment Structures (AMOS)  
215 (Arbuckle, 2007) statistical software and used complete cases analyses.

## 216 **Results**

217

218 Figure 1 shows the flow of participants through the study. Of the 441 participants  
219 approached at baseline, 261 completed baseline questionnaires (59% response rate). Of these,  
220 194 participants completed six month follow-up questionnaires (74% retention rate). A  
221 greater proportion of completers were of white ethnicity than non-completers (72.2% vs  
222 43.1%,  $p \leq 0.001$ ). No other differences were found. Table 2 summarises socio-demographic  
223 and clinical characteristics of the 194 participants who returned follow-up questionnaires.  
224 Table 3 summarises mean scores on self-report measures at six months follow-up.

225 INSERT FIG 1 AND TABLES 2 AND 3 HERE]

### 226 *Bivariate regression analyses*

227 Statistical appendix 1 (online supplement) presents regression coefficients and p values for all  
228 bivariate regression pathways tested. Pathways that showed a relationship with the outcome  
229 variable at  $\alpha \leq 10\%$  are highlighted and were included for robust simultaneous testing  
230 using SEM. Figures 2 and 3 summarises the final depression and anxiety models. They  
231 include only those pathways that remained statistically significant using an alpha of 0.05  
232 when evaluated simultaneously alongside other explanatory and outcome variables using  
233 SEM.

234

### 235 *Structural Model of Relationships between Diabetes Cognitions, Negative Emotions, and* 236 *Diabetes Self-Care*

237

#### 238 *SEM model: Diabetes Cognitions, Depression and Diabetes Self-Care*

239

240 The solid directional arrows in Figure 2 summarises the final SEM of the longitudinal  
241 relationships between cognitions, depression, and diabetes self-care. Only three pathways

242 remained statistically significant when evaluated simultaneously. Participants who were  
243 more concerned about their diabetes at baseline were more likely to demonstrate higher  
244 depressive symptoms at six months; thus demonstrating a direct effect from cognitions  
245 (explanatory variable) to emotions (mediator). As such these findings met Cole and  
246 Maxwell's (2003) step one criterion for the initial part of the cognition → emotion →  
247 diabetes self-care pathway. However, as indicated by an absent directional pathway from  
248 baseline depression to diabetes self-care at six months, the effect of the mediator (depression)  
249 on the outcome (diabetes self-care) was not supported. Conversely, participants with higher  
250 depression scores at baseline were more likely to believe that their diabetes was unpredictable  
251 (timeline cyclical) at six months follow-up. Thus demonstrating a direct effect from emotions  
252 (explanatory variable) to cognitions (mediator variable). This finding met Cole and  
253 Maxwell's (2003) step one criteria for the emotion → cognition → diabetes self-care  
254 pathway. However, the pathway leading from baseline timeline cyclical (mediator variable)  
255 to diabetes self-care (outcome variable) at six months follow-up is absent from Figure 2. The  
256 effect of the mediator on the outcome was not supported according to Cole and Maxwell's  
257 (2003) step two criteria. Baseline personal control beliefs acted autonomously from  
258 depression and had a direct effect on adherence to diabetes self-care at six months follow-up.  
259 Individuals who felt more confident in their ability to manage their diabetes at baseline  
260 showed reduced adherence to their diabetes treatment regimens over time.

261 We evaluated the statistical fit of the model using the goodness of fit indices and criteria  
262 summarised in Table 1. The model shown in Figure 2 had evidence of good statistical fit on  
263 all model fit indices ( $\chi^2=36.47$ ,  $df_m=27$ ,  $p=0.11$ ; RMSEA=.05, CFI=.98, SRMR=.05, N=154).

264 [INSERT FIGURE 2 HERE]

265 *SEM model: Diabetes cognitions, Anxiety, and Diabetes Self-Care*

266

267 The solid arrows in Figure 3 depicts the final SEM for the directional relationships between  
268 cognitions, anxiety, and diabetes self-care. Five pathways were statistically significant using  
269 an alpha of 0.05. Figure 3 shows that individuals who were more concerned about their  
270 diabetes at baseline had greater symptoms of anxiety at six months. Thus indicating a direct  
271 effect of cognitions (explanatory variable) on anxiety (mediator variable). However because a  
272 pathway leading from baseline anxiety (mediator variable) to diabetes self-care (outcome  
273 variable) at six months follow-up is absent, Cole and Maxwell's (2003) step two criteria for  
274 establishing longitudinal mediation for the cognition → emotion → diabetes self-care  
275 pathway was not supported. Conversely, individuals who were more anxious at baseline had  
276 higher beliefs in the unpredictable nature of diabetes (timeline cyclical), attributed greater  
277 importance to their diabetes medications for managing their condition (medication necessity),  
278 and had greater concerns about the potential consequences of their diabetes medications  
279 (medication concerns). Thus demonstrating the direct effect of anxiety (explanatory variable)  
280 on cognitions (mediator variables) and met Cole and Maxwell's (2003) step one criteria for  
281 the initial part of the emotion → cognition → diabetes self-care pathway. However because  
282 Figure 3 does not include any directional pathways leading from baseline timeline cyclical,  
283 medication necessity, and medication concerns to diabetes self-care the effect of the mediator  
284 (cognitions) on the outcome (diabetes self-care) was not supported. Consistent with the  
285 depression model, baseline personal control beliefs acted independently of emotions to  
286 influence the degree of adherence to diabetes self-care at six months follow-up.

287 We evaluated the overall model fit of all of the directional pathways included in our  
288 anxiety model, using model fit indices and criteria described in Table 1. The model shown in  
289 Figure 3, had evidence of good statistical fit on all fit indices, excluding the model chi-square  
290 statistic ( $\chi^2=57.45$ ,  $df_m=40$ ,  $p=.04$ ;  $RMSEA=.04$ ,  $CFI=.97$ ,  $SRMR=.05$ ,  $N=153$ ).

291 [INSERT FIGURE 3 HERE]



292 *Potential confounders*

293

294           In both models the statistical significance of directional pathways remained  
295 unchanged after controlling for potential confounders, with three exceptions. In both models  
296 the directional pathway leading from baseline personal control to diabetes self-care became  
297 statistically non-significant when number of diabetes complications was added as a covariate.  
298 Specifically for the depression model, baseline depression scores did not explain variance in  
299 the timeline cyclical cognition at six months, after controlling for diabetes treatment regimen.  
300 Similarly, for anxiety, the directional pathway from baseline medication concerns to anxiety  
301 at six months follow-up was not significant when diabetes duration was controlled for.

## 302 **Discussion**

303

304           This is the first study to simultaneously examine directional relationships between  
305 cognitions, emotions, and diabetes self-care in an outpatient type 2 diabetes population. Our  
306 findings support our theoretically driven hypothesis that cognitions have direct effects on  
307 diabetes self-care. Indeed, we found that personal control beliefs operated independently of  
308 emotions to influence adherence to diabetes self-care over time. However contrary to our  
309 hypothesis about the nature of this relationship, we found that individuals who felt more  
310 confident in their ability to self-manage their diabetes actually adhered less to their diabetes  
311 self-care treatments over time. Furthermore, this effect was not sustained once number of  
312 diabetes complications was added as a covariate to both the depression and anxiety models.

313           Consistent with the CS-SRM (Leventhal et al., 1980) and CBT treatment models  
314 (Beck et al., 1979), we identified a reciprocal relationship between cognitions and emotions.  
315 Diabetes medication concerns had a longitudinal effect on depressive and anxious symptoms.  
316 Equally higher levels of depression and anxiety influenced diabetes cognition domains over

317 time, specifically: timeline cyclical, medication necessity (anxiety only), and medication  
318 concerns (anxiety only). These relationships identify potentially salient mechanisms to target  
319 when managing negative emotions in the context of Type 2 diabetes. However, contrary to  
320 our hypotheses, our findings did not support the combined effects of these cognition-emotion  
321 pathways on diabetes self-care. More specifically negative emotions had no direct effect on  
322 diabetes self-care. Despite finding that medication concerns increased both depressive and  
323 anxious symptoms over time, neither depression nor anxiety mediated the effect of  
324 medication concerns on diabetes self-care, as indicated by these pathways being absent from  
325 the models. Conversely, we found no evidence to support the hypothesis that diabetes  
326 cognitions mediate the effect of depression and anxiety on diabetes self-care. Although we  
327 identified an explanatory effect of depression and/or anxiety on three illness cognition  
328 domains over time, none of these domains demonstrated associations with diabetes self-care.

### 329 *Strengths and limitations*

330

331 Our study used a longitudinal design, thus our findings about the directional relationships  
332 in the models are robust (Kenny, 1979). A relatively large sample was recruited (n=261) of  
333 which 73.3% (n=194) were retained at six months follow-up. A quarter of our sample were  
334 individuals from black and minority ethnic groups, making it representative of the wider UK  
335 diabetes outpatient population. The use of SEM enabled multiple pathways to be modelled  
336 simultaneously, yielding a more valid representation of the competing relationships between  
337 cognitions, emotions, and diabetes self-care (Kline, 2005) and allowed a theoretically driven  
338 approach to our analyses. The validity of our findings is bolstered further due to confirmation  
339 that observed directional pathways between variables remained unchanged when potential  
340 demographic and clinical confounders were accounted for, excluding the confounding roles

341 of diabetes complications, diabetes duration, and medication type - the implications of which  
342 are discussed below.

343         Limitations of our study include a relatively short follow up period, which may have  
344 prevented the detection of important associations. Participants' health in this study was likely  
345 stable given their mean diabetes duration of 14 years and because they were recruited from  
346 ambulatory outpatient clinics as opposed to settings that care for more severely ill patients.  
347 The temporal relationships that exist between illness cognitions, emotions, and diabetes self-  
348 care are largely unknown. There may be critical incidents in a person's diabetes illness  
349 trajectory that trigger change (e.g. complication onset), but to measure this would require  
350 approaches with much longer follow-up intervals. Relatedly, this study was limited to two  
351 data collection time points, which prevented the full testing of theoretically driven indirect  
352 pathways across three time points. We attempted to overcome this issue by implementing the  
353 Cole and Maxwell (2003) two-step procedure, which allowed us to test each hypothesised  
354 directional pathway longitudinally. However, we need to be mindful that our findings from  
355 our hypothesised mediators to diabetes self-care may not accurately reflect relationships that  
356 could have occurred had we been able to obtain data from a third follow-up time point.  
357 Second, because this study was exploratory, specifically in relation to identifying the  
358 longitudinal cognition-emotion profiles relevant to a Type 2 diabetes outpatient population,  
359 we did not want to discount potentially important relationships (Rothman, 1990), so no  
360 adjustments for multiple testing (bonferroni corrections) were made.

361 ***What are the combined effects of negative emotions and illness cognitions on self-care in***  
362 ***adults with type 2 diabetes?***

363

364         Our findings have identified that illness cognitions can remain independent of emotions  
365 and have directional effects on diabetes self-care. Contrary to previous cross-sectional

366 findings showing an association between high levels of confidence in personal capabilities for  
367 managing diabetes (personal control) and improved adherence (Broadbent et al., 2011;  
368 Watkins et al., 2000); our findings showed that patients who felt more confident in their  
369 ability to manage diabetes demonstrated *reduced* adherence to their diabetes self-care  
370 behaviours over time. The mean diabetes duration of our sample was 14 years, therefore  
371 participants may have developed automatic habitual coping behaviours for managing  
372 diabetes, consistent with findings in hypertension, where habit strength was the strongest  
373 predictor of adherence (Phillips, Leventhal, & Leventhal, 2013). Participants in our sample  
374 possibly felt confident in undertaking their day-to-day diabetes management routines, but  
375 these routines likely deviated from the recommendations of health care professionals,  
376 identifying the need for regular reviews of diabetes self-care behaviours during clinical  
377 consultations. The role of clinical confounders warrants attention. The directional effect of  
378 personal control on diabetes self-care was no longer statistically significant when number of  
379 diabetes complications was included as a covariate in both the depression and anxiety  
380 models. This finding may not be surprising given that the presence of diabetes related  
381 complications has been identified as a key motivator for change in diabetes self-care  
382 behaviours (van Puffelen et al., 2015). This has important clinical implications about how we  
383 can support the *prevention* of future diabetes complications and identified the need to harness  
384 patients personal control beliefs effectively using intervention techniques such as  
385 motivational interviewing (Miller & Rollnick, 2012).

386 Our study reinforces the claims of the CS-SRM (Leventhal et al., 1980) and highlights the  
387 salience of reciprocal relationships between cognitions and emotions, which can contribute to  
388 the maintenance and exacerbation of depression and anxiety in diabetes. Consistent with  
389 cognitive-behavioural therapy (Beck, 1964) and our hypotheses, having a pessimistic  
390 appraisal of diabetes treatments heightened participant's experience of depression and anxiety

391 over time. But equally depression and anxiety influenced participants beliefs about diabetes  
392 in a pessimistic manner, likely occurring because of altered attentional control processes in  
393 response to arousal (Cameron, 2003). In heightened states of arousal attention can become  
394 focussed on somatic symptom detection, thus a person's diabetes cognitive illness  
395 representation is updated in response to identified somatic changes. But equally mood may be  
396 unhelpfully used as a heuristic for physical health (Leventhal et al., 1980). Somatic symptoms  
397 of depression and anxiety (including shaking, sweating, low energy) overlap with symptoms  
398 of hypoglycaemia, thus leading to the misattribution of physical symptoms provoked by  
399 emotions, to diabetes. The longitudinal relationships observed in our study between  
400 cognitions and emotions are largely consistent with cross-sectional findings (Hudson et al.,  
401 2014). However we did not identify longitudinal associations between increased perceived  
402 consequences and poorer emotional health and likewise lower perceptions of personal control  
403 and poorer emotional health, despite cross-sectional studies consistently reporting these  
404 effects (Hudson et al., 2014).

405 It is important to acknowledge that depression made no statistically significant  
406 contribution to the timeline cyclical cognition domain when modelled alongside a person's  
407 diabetes medication treatment regimen. The intensity of a person's medication regimen varies  
408 as a function of their degree of blood glucose dysregulation. Thus it is plausible that  
409 individuals with poorer blood glucose control who as a result are prescribed more intensive  
410 diabetes medication regimens experience greater levels of depression. As such diabetes  
411 treatment regimens have the potential to moderate the degree of depression experienced and  
412 ultimately the extent to which this goes on to influence a person's appraisal of their diabetes  
413 in a moderated-mediation pathway. In addition, the explanatory effect of medication  
414 concerns on anxiety became statistically non-significant when diabetes duration was included  
415 as a model covariate. Consistent with the CS-SRM, it is likely that individuals with a longer

416 diabetes duration have developed effective coping strategies for managing their threatening  
417 diabetes medication perceptions and thus have emotionally adjusted to these concerns. As  
418 such it is important to consider how salient mechanisms of action within CS-SRM differ  
419 depending on the context of a person's illness trajectory (e.g. newly diagnosed vs stable  
420 condition).

421 Whilst our findings identified the importance of reciprocal relationships between  
422 cognitions and emotions, the absence of their combined effects on diabetes self-care is  
423 surprising and contrary to our research hypotheses. Among individuals who are experiencing  
424 more severe symptoms of depression and anxiety, these cognition-emotion pathways and vice  
425 versa, may well go on to influence diabetes self-care behaviour. Indeed, it is worthy to note,  
426 that these relationships were identified in our study, when neither emotions nor cognitions  
427 were explicitly manipulated. Thus the degree of explanatory effects is attenuated. In addition  
428 participants in our sample showed relatively low levels of depression and anxiety symptoms,  
429 which may at least partly account for our null findings. Previous studies that have shown a  
430 relationship between depression and diabetes outcomes over time have included clinically  
431 depressed populations (Dirmaier et al., 2010; Katon et al., 2010; Lin et al., 2004).  
432 Nonetheless, our sample's mean levels of depression and anxiety are consistent with others  
433 who have used the DWBQ in people with Type 2 diabetes (French et al., 2008; Paschalides et  
434 al., 2004), and thus can be considered representative of a general diabetes outpatient  
435 population.

### 436 *Clinical implications*

437 Psychological interventions to date that have addressed depression and anxiety in the  
438 context of diabetes have improved mental health outcomes but corresponding achievements  
439 in diabetes health outcomes (HbA1c) are lacking (Harkness et al., 2010). By testing the CS-

440 SRM longitudinally a comprehensive model the illness specific cognitive-behavioural  
441 pathways through which depression and anxiety operate in the context of diabetes can be  
442 developed. This will allow the development of modified interventions that better integrate the  
443 management of physical and mental health, a priority identified for health care  
444 commissioners (Imison et al., 2011), whilst also decreasing the burden of care for patients  
445 with multimorbidity (Mercer et al., 2012). Cognitive-behavioural therapy (Beck, 1976) is a  
446 treatment that can target the causal mechanisms outlined in the CS-SRM. Our study should  
447 be replicated in a larger sample with moderation analyses to compare cognition, emotion, and  
448 behavioural outcome profiles among people who meet diagnostic thresholds for depression  
449 and/or anxiety with those who do not. This will help to isolate pathways that need to be  
450 addressed in self-management interventions based on patient clinical presentations and will  
451 lead to the development of more personalised and efficient psychological medicine.

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**Conflicts of Interests:** None

**Author contributions:** Study design: JH, CB, PC, CD, DR; study management: JH; statistical analysis: DR, AW; JH. All authors contributed to writing the manuscript.



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**Table 1: Goodness of Fit Indices used to evaluate models**

Goodness of fit index	Statistical interpretation
Model chi-square $\chi^2$	Smaller $\chi^2$ = better model fit. Requires a true null hypothesis.
Comparative Fit Index (CFI)	Values close to 0.95 indicate a good fit.
Root Mean Square Error of Approximation (RMSEA)	Values $\leq 0.06$ indicate good fit.
Standardised Root Mean Square Residual (SRMR)	Values $\leq 0.10$ indicate good fit.

**Table 2: Demographic and clinical characteristics of participants at 6 months follow-up**

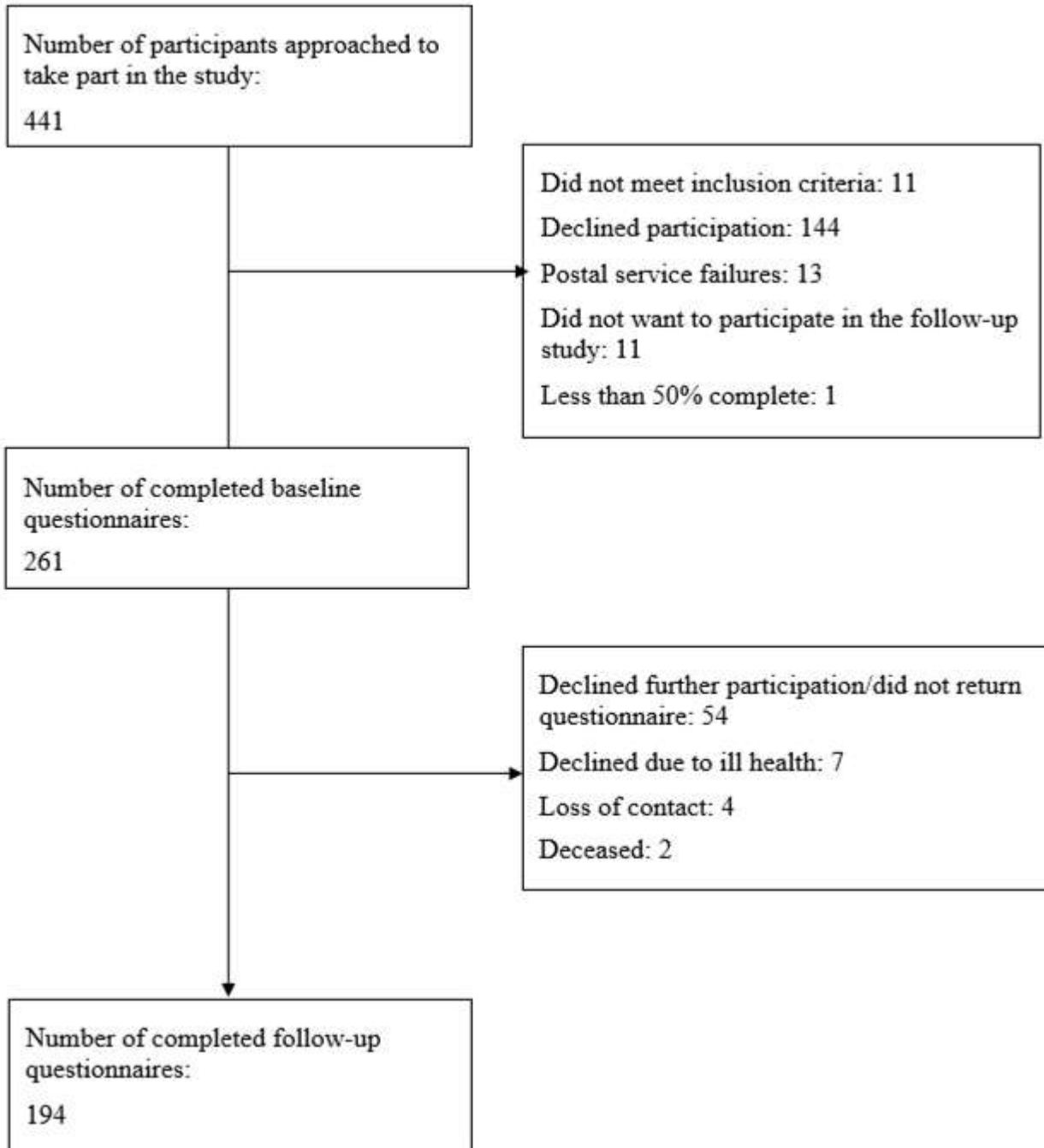
Variable		Mean/ Frequency	Standard Deviation/ Percentage
Gender	Male	120	61.9
	Female	74	38.1
Age/years	mean	62.8	11.9
	median	63.0	55.0-72.0
Ethnicity	White	140	72.2
	Black	25	12.9
	Asian	24	12.4
	Mixed race	4	2.1
	Other/prefer not to say	1	0.52
Diabetes duration/years	mean	14.3	8.8
	median	13.0	8.3-19.0
Diabetes treatment regimen	Diet/oral hypoglycaemics	53	27.3
	Injections/Combination	128	66.0
	No access to medical records/missing data	13	6.7
Clinical outcomes			
HbA1c mmol/mol		65.6	16.7
Number of complications		2.0	1.2
Number of other co-morbidities		1.5	1.2

**Table 3: Follow-up scores on self-report measures of depression, anxiety, diabetes cognitions, and diabetes self-care**

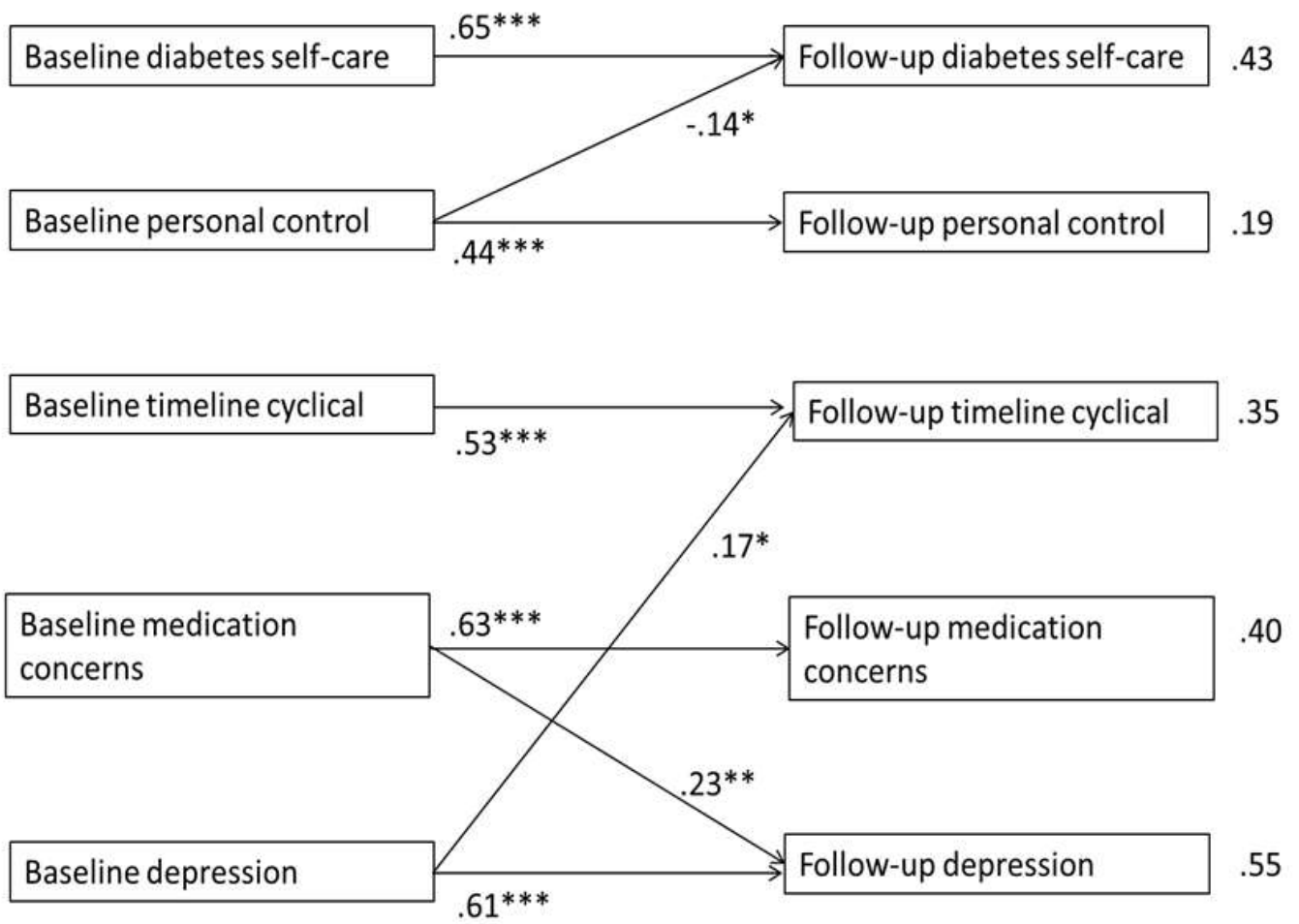
Variables	Mean	Standard Deviation	Cronbach's alpha
<b><i>Well-being questionnaire</i></b>			
Depression	4.7	3.6	0.84
Anxiety	5.4	4.2	0.83
<b>Illness Perception Questionnaire-Revised</b>			
Identity	3.8	3.2	0.77
Timeline acute/chronic	4.2	0.7	0.73
Timeline cyclical	2.9	1.0	0.82
Consequences	3.3	0.8	0.80
Personal control	4.0	0.7	0.77
Treatment control	3.6	0.6	0.53
Illness coherence	3.6	0.9	0.90
Emotional representations	2.7	1.0	0.88
<b><i>Beliefs about Medicines Questionnaire</i></b>			
Medication necessity	4.1	0.8	0.89
Medication concerns	2.8	1.0	0.80
<b><i>Summary of diabetes self-care activity scale</i></b>			
General diet	5.0	2.1	0.92
Specific diet (fruit & veg)	4.7	2.3	Single item NA
Specific diet (saturated fat)	4.5	2.0	Single item NA
Exercise	2.3	2.3	0.79
Self-monitoring of blood glucose	4.6	2.7	0.90
Foot care	3.7	2.6	0.65
Medication adherence	6.8	0.9	Single item NA
Global diabetes self-care	3.9	1.3	0.62

## Figure headings and captions

Figure 1: Flow chart of participants recruited and retained at each stage of the study

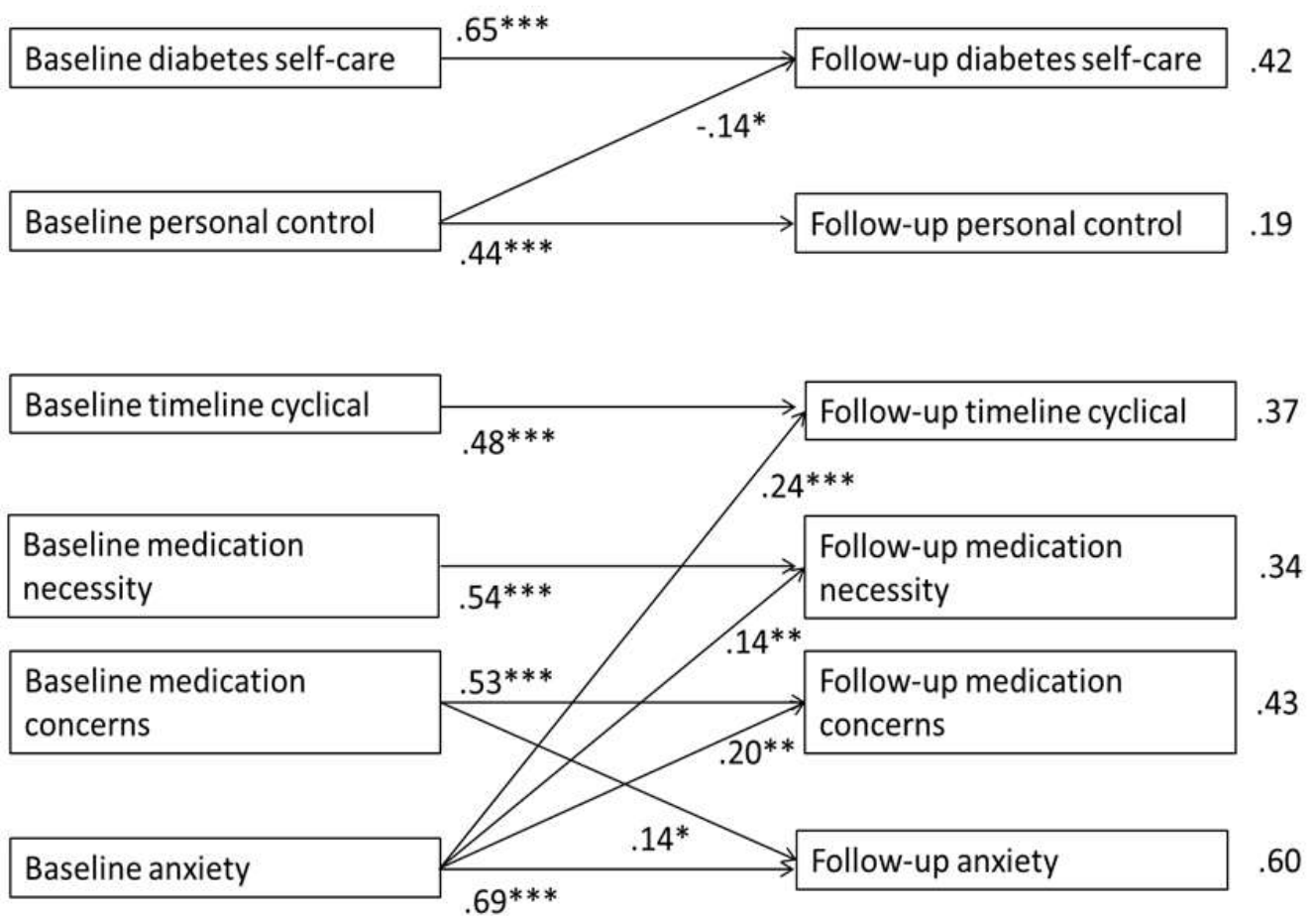


**Figure 2: Final model of the simultaneous effect of cognitions and depression on diabetes self-care**





**Figure 3: Final model of the simultaneous effect of cognitions and anxiety on diabetes self-care**



**Figure captions:**

**Figure 1:** Recruitment and retention flow diagram

**Figure 2 & 3:** Statistics reported next to directional arrows are standardised regression coefficients. Those aligned left refer to auto-regressive pathways. Those aligned right refer to directional pathways. Statistics adjacent to outcome variable detail the percentage variance explained. All baseline variables were specified to correlate with each other.

Key: \* $p \leq 0.05$ , \*\* $p \leq 0.01$ , \*\*\* $p \leq 0.001$