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1 **Diagnosis and Management of Aspergillus Diseases: Executive Summary of**
2 **the 2017 ESCMID-ECMM-ERS Guideline**

3
4 **Andrew J. Ullmann^{1,61,62}, Jose M. Aguado^{2,61,62}, Sevtap Arikan-Akdagli^{3,61,62}, David W. Denning^{4,5,6,62},**
5 **Andreas H. Groll^{7,61,62}, Katrien Lagrou^{8,61,62}, Cornelia Lass-Flörl^{9,61,62}, Russel E. Lewis^{10,61}, Patricia**
6 **Munoz^{11,12,13,61,64}, Paul E. Verweij^{14,61,62}, Adilia Warris^{15,61,62}, Florence Ader^{16,17,63}, Murat Akova^{18,61,62},**
7 **Maiken C. Arendrup^{19,61,62}, Rosemary A. Barnes^{20,62}, Catherine Beigelman-Aubry^{21,63}, Stijn**
8 **Blot^{22,23,63}, Emilio Bouza^{11,12,13,61,62}, Roger J. M. Brüggemann^{24,61}, Dieter Buchheidt^{25,61,62}, Jacques**
9 **Cadranel^{26,63}, Elio Castagnola^{27,61}, Arunaloke Chakrabarti^{28,62}, Manuel Cuenca-Estrella^{29,61,62}, George**
10 **Dimopoulos^{30,63}, Jesus Fortun^{31,61,62}, Jean-Pierre Gangneux^{32,61,62}, Jorge Garbino^{33,61,62}, Werner J.**
11 **Heinz^{1,61,62}, Raoul Herbrecht^{34,61}, Claus P. Heussel^{35,2}, Christopher C. Kibbler^{36,62}, Nikolai Klimko^{37,62},**
12 **Bart Jan Kullberg^{24,61,62}, Christoph Lange^{38,39,40,63}, Thomas Lehrnbecher^{41,62}, Jürgen Löffler^{1,61,62},**
13 **Olivier Lortholary^{42,61,62}, Johan Maertens^{43,61,62}, Oscar Marchetti^{44,61,62}, Jacques F. Meis^{45,61,62}, Livio**
14 **Pagano^{46,62}, Patricia Ribaud⁴⁷, Malcolm Richardson^{4,61,62}, Emmanuel Roilides^{48,49,61,62}, Markus**
15 **Ruhnke^{50,61,62}, Maurizio Sanguinetti^{51,61,62}, Donald C. Sheppard^{52,61,62}, János Sinkó^{53,61}, Anna**
16 **Skiada^{54,61,62}, Maria J. G. T. Vehreschild^{55,56,57,62}, Claudio Viscoli^{58,61,62}, Oliver A. Cornely^{55,57,59,60,61,62,64}**

17

18 1 Department of Infectious Diseases, Hematology and Oncology, University Hospital Wuerzburg,
19 Wuerzburg, Germany

20 2 Infectious Diseases Unit, University Hospital Madrid, Madrid, Spain

21 3 Department of Medical Microbiology, Hacettepe University Medical School, Ankara, Turkey

22 4 The National Aspergillosis Centre, Wythenshawe Hospital, ECMM Excellence Center of Medical
23 Mycology, Manchester, United Kingdom

24 5 The University of Manchester, Manchester, United Kingdom

25 6 Manchester Academic Health Science Centre, Manchester, United Kingdom

- 26 7 Department of Paediatric Hematology/Oncology, Center for Bone Marrow Transplantation,
27 University Children's Hospital Münster, Münster, Germany
- 28 8 Department of Microbiology and Immunology, ECMM Excellence Center of Medical Mycology,
29 University Hospital Leuven, Leuven, Belgium
- 30 9 Institute of Hygiene, Microbiology and Social Medicine, ECMM Excellence Center of Medical
31 Mycology, Medical University Innsbruck, Innsbruck, Austria
- 32 10 Infectious Diseases Clinic, Sant'Orsola-Malpighi Hospital, University of Bologna, Bologna, Italy
- 33 11 Department of Medical Microbiology and Infectious Diseases, Hospital General Universitario
34 Gregorio Marañón, Madrid, Spain
- 35 12 CIBER Enfermedades Respiratorias - CIBERES (CB06/06/0058), Madrid Spain
- 36 13 Medicine Department, School of Medicine, Universidad Complutense de Madrid, Madrid, Spain
- 37 14 Department of Medical Microbiology, Radboud University Medical Centre, Center of Expertise in
38 Mycology Radboudumc/CWZ, ECMM Excellence Center of Medical Mycology, Nijmegen,
39 Netherlands
- 40 15 MRC Centre for Medical Mycology, Institute of Medical Sciences, University of Aberdeen,
41 Aberdeen, United Kingdom
- 42 16 Department of Infectious Diseases, Hospices Civils de Lyon, Lyon, France
- 43 17 Inserm 1111, French International Centre for Infectious Diseases Research (CIRI), Université
44 Claude Bernard Lyon 1, Lyon, France
- 45 18 Department of Medicine, Section of Infectious Diseases, Hacettepe University Medical School,
46 Ankara, Turkey
- 47 19 Department Microbiological Surveillance and Research, Statens Serum Institute, Copenhagen,
48 Denmark
- 49 20 Department of Medical Microbiology and Infectious Diseases, Institute of Infection and
50 Immunity, School of Medicine, Cardiff University, Cardiff, UK

- 51 21 Department of Diagnostic and Interventional Radiology, Centre Hospitalier Universitaire Vaudois
52 (CHUV), Lausanne, Switzerland
- 53 22 Department of Internal Medicine, Ghent University, Ghent, Belgium
- 54 23 Burns, Trauma and Critical Care Research Centre, University of Queensland, Brisbane, Australia
- 55 24 Radboud Center for Infectious Diseases, Radboud University Medical Centre, Center of Expertise
56 in Mycology Radboudumc/CWZ, ECMM Excellence Center of Medical Mycology, Nijmegen,
57 Netherlands
- 58 25 Medical Clinic III, University Hospital Mannheim, Mannheim, Germany
- 59 26 Department of Pneumology, University Hospital of Tenon and Sorbonne, University of Paris,
60 Paris, France
- 61 27 Infectious Diseases Unit, Istituto Giannina Gaslini Children's Hospital, Genoa, Italy
- 62 28 Department of Medical Microbiology, Postgraduate Institute of Medical Education & Research,
63 Chandigarh, India
- 64 29 Instituto de Salud Carlos III, Madrid, Spain
- 65 30 Department of Critical Care Medicine, Attikon University Hospital, National and Kapodistrian
66 University of Athens, Medical School, Athens, Greece
- 67 31 Infectious Diseases Service, Ramón y Cajal Hospital, Madrid, Spain
- 68 32 Univ Rennes, CHU Rennes, Inserm, Irset (Institut de Recherche en santé, environnement et
69 travail) – UMR_S 1085, Rennes, France
- 70 33 Division of Infectious Diseases, University Hospital of Geneva, Geneva, Switzerland
- 71 34 Department of Hematology and Oncology, University Hospital of Strasbourg, Strasbourg, France
- 72 35 Diagnostic and Interventional Radiology, Thoracic Clinic, University Hospital Heidelberg,
73 Heidelberg, Germany
- 74 36 Centre for Medical Microbiology, University College London, London, UK
- 75 37 Department of Clinical Mycology, Allergy and Immunology, North Western State Medical
76 University, St. Petersburg, Russia

77 38 International Health and Infectious Diseases, University of Lübeck, Lübeck, Germany
78 39 Clinical Infectious Diseases, Research Center Borstel, Leibniz Center for Medicine & Biosciences,
79 Borstel, Germany
80 40 German Center for Infection Research (DZIF), Tuberculosis Unit, Hamburg-Lübeck-Borstel-Riems
81 Site, Lübeck, Germany
82 41 Division of Paediatric Haematology and Oncology, Hospital for Children and Adolescents, Johann
83 Wolfgang Goethe-University, Frankfurt, Germany
84 42 Department of Infectious and Tropical Diseases, Children's Hospital, University of Paris, Paris,
85 France
86 43 Department of Haematology, ECMM Excellence Center of Medical Mycology, University Hospital
87 Leuven, Leuven, Belgium
88 44 Infectious Diseases Service, Department of Medicine, Lausanne University Hospital, Lausanne,
89 Switzerland
90 45 Department of Medical Microbiology and Infectious Diseases, Canisius-Wilhelmina Hospital,
91 Center of Expertise in Mycology Radboudumc/CWZ, ECMM Excellence Center of Medical
92 Mycology, Nijmegen, Netherlands
93 46 Department of Hematology, Università Cattolica del Sacro Cuore, Roma, Italy
94 47 Quality Unit, Pôle Prébloc, Saint-Louis and Lariboisière Hospital Group, Assistance Publique-
95 Hôpitaux de Paris, Paris, France
96 48 Infectious Diseases Unit, 3rd Department of Paediatrics, Faculty of Medicine, Aristotle University
97 School of Health Sciences, Thessaloniki, Greece
98 49 Hippokration General Hospital, Thessaloniki, Greece
99 50 Department of Hematology and Oncology, Paracelsus Hospital, Osnabrück, Germany
100 51 Institute of Microbiology, Fondazione Policlinico Universitario A. Gemelli – Università Cattolica
101 del Sacro Cuore, Rome, Italy

- 102 52 Division of Infectious Diseases, Department of Medicine, Microbiology and Immunology, McGill
103 University, Montreal, Canada
- 104 53 Department of Hematology and Stem Cell Transplantation, Szent István and Szent László
105 Hospital, Budapest, Hungary
- 106 54 First Department of Medicine, Laiko Hospital, National and Kapodistrian University of Athens,
107 Athens, Greece
- 108 55 Department I of Internal Medicine, ECMM Excellence Center of Medical Mycology, University
109 Hospital of Cologne, Cologne, Germany
- 110 56 Center for Integrated Oncology, Cologne-Bonn, University of Cologne, Cologne, Germany
- 111 57 German Centre for Infection Research (DZIF) partner site Bonn-Cologne, Cologne, Germany
- 112 58 Ospedale Policlinico San Martino and University of Genova (DISSAL), Genova, Italy
- 113 59 CECAD Cluster of Excellence, University of Cologne, Cologne, Germany
- 114 60 Clinical Trials Center Cologne, University Hospital of Cologne, Cologne, Germany
- 115 61 ESCMID Fungal Infection Study Group (EFISG)
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130

131 **Corresponding author**

132 Prof. Oliver A. Cornely, MD, FECMM, FIDSA, FAAM, FACP

133 Department I for Internal Medicine

134 ECMM Excellence Center of Medical Mycology

135 University Hospital

136 Kerpener Str. 62

137 50937 Cologne

138 Germany

139 Tel. +49 221 478 85523

140 Fax +49 221 478 1421 445

141 E-mail: Oliver.cornely@uk-koeln.de

142 **Abstract**

143 The European Society for Clinical Microbiology and Infectious Diseases, the European Confederation
144 of Medical Mycology and the European Respiratory Society Joint Clinical Guidelines focus on diagnosis
145 and management of aspergillosis. Of the numerous recommendations a few are summarized here.
146 Chest computed tomography as well as bronchoscopy with bronchoalveolar lavage (BAL) in patients
147 with suspicion of pulmonary invasive aspergillosis (IA) are strongly recommended. For diagnosis, direct
148 microscopy preferably using optical brighteners, histopathology and culture are strongly
149 recommended. Serum and BAL galactomannan is recommended as markers for the diagnosis of IA.
150 PCR should be considered in conjunction with other diagnostic tests. Pathogen identification to species
151 complex level is strongly recommended for all clinically relevant *Aspergillus* isolates; antifungal
152 susceptibility testing should be done in patients with invasive disease in regions with resistance found
153 in contemporary surveillance programmes. Isavuconazole and voriconazole are the preferred agents
154 for first line treatment of pulmonary IA, while liposomal amphotericin B is moderately supported.
155 Combinations of antifungals as primary treatment options are not recommended. TDM is strongly
156 recommended for patients receiving posaconazole suspension or any form of voriconazole for IA
157 treatment, and in refractory disease, where a personalized approach considering reversal of
158 predisposing factors, switching drug class and surgical intervention is also strongly recommended.
159 Primary prophylaxis with posaconazole is strongly recommended in patients with acute myelogenous
160 leukaemia or myelodysplastic syndrome receiving induction chemotherapy. Secondary prophylaxis is
161 strongly recommended in high-risk patients. We strongly recommend treatment duration based on
162 clinical improvement, degree of immunosuppression and response on imaging.

163

164 **Introduction**

165 This is the third fungal diagnosis and management clinical guideline published in cooperation with
166 various European scientific societies [1-9]. This part of the guideline regarding invasive and chronic
167 aspergillosis is a condensation of all the recommendations made by the guideline subcommittees and
168 presented in tables for easier and faster reading. More details on how the recommendations were
169 arrived at are planned in supplementary publications. This *Aspergillus* guideline will follow the style of
170 other guidelines by including diagnostic and therapeutic guidance. Other scientific groups have
171 published guidelines on this topic previously and all follow the common goal to provide clinicians with
172 best guidance in their everyday working environment. Our goal was to provide a comprehensive
173 European guideline focusing on the life-threatening diseases caused by *Aspergillus* spp.

174

175 **Methods**

176 Author panel recruitment and organisation was similar to what was done previously [10]. In brief,
177 experts in the field were nominated by the three societies ESCMID, ECMM, and ERS. The total 53
178 authors were grouped into their special fields of expertise. Subgroup coordinators were responsible
179 for the first draft of recommendations. There were two face-to-face meetings followed by numerous
180 electronic exchanges. Some of the first recommendations were presented at ECCMID 2014. This
181 summary was reviewed and approved by all authors and sent to the ESCMID guideline director for
182 public review. Then the final version was submitted to Clinical Microbiology and Infection for
183 additional peer review and subsequent publication. Only the rationale of the chronic pulmonary
184 aspergillosis (CPA) guideline was published ahead of time [11].

185 Questions were predefined and modified where appropriate and the strength of recommendation and
186 quality of evidence was slightly modified (Table 1) [12]. Diagnostic tests are regarded as interventions.

187

188 **Summary of Recommendations**

189 **Diagnostic Procedures**

190 Early diagnosis of invasive aspergillosis (IA) is a challenge and should be based on the integration of
191 clinical, radiological and microbiological data.

192

193 **Thoracic Imaging**

194 In patients at risk for IA with fever of unknown origin or clinical symptoms of lower respiratory tract
195 infection who remain febrile despite broad-spectrum antibacterial treatment, thin-section chest
196 computed tomography (multidetector (MDCT), multislice (MSCT), spiral CT, high resolution CT) at
197 optimized dose (according to ALARA “As Low As Reasonably Achievable” principle) is the imaging
198 modality of choice **(AII)** [13-23]. Pulmonary CT angiography may be of interest in the early diagnosis of
199 IA by depicting directly vessel occlusion at the level of a suspicious fungal lesion with a potential high
200 negative predictive value regarding imaging evaluation [24-26], and is required in case of haemoptysis
201 **(AII)**. In selected patients where CT is not wanted or feasible, MRI of the lungs may represent an
202 alternative imaging to thin-section MSCT [27-32], PET-CT being of modest interest in the diagnostics
203 of IA [33, 34].

204 No CT scanning technique is 100% sensitive or specific for pulmonary IA [35-37]: Classical CT findings
205 of angioinvasive aspergillosis include macronodule(s) >1 cm, which may be surrounded by a halo of
206 ground-glass attenuation (halo sign, early phase, inconstant) [36, 38-40], pleural based wedge-shaped
207 areas of consolidation [41], alveolar consolidations [36, 42, 43], masses (especially in SOT recipients)
208 [15, 38], internal low attenuation[44], reverse halo sign [45], cavity or air-crescent sign (delayed
209 finding), ground glass opacities and pleural effusion [17, 35, 46]. Bronchoinvasive forms may appear
210 as tracheal or bronchial wall thickening, centrilobular nodules with tree in bud appearance [14] in a
211 patchy distribution, predominant peribronchial areas of consolidation [47] or bronchopneumonia [46]
212 (Table 2).

213

214 **Bronchoalveolar Lavage and Biopsies**

215 Other diagnostic procedures include early bronchoalveolar lavage (BAL) **(AII)** [48-54], guided by CT-
216 findings [55, 56], and less frequently CT-guided transthoracic biopsies, video-assisted thoracoscopic
217 surgery (VATS), open lung biopsies, transbronchial biopsies or convex endobronchial ultrasound
218 transbronchial needle aspiration (EBUS-TBNA), the latter technique appearing to be a promising
219 procedure in this setting [28, 57-72]. Contraindications to these techniques need to be considered.

220

221 **Imaging of other Sites**

222 Moreover, according to clinical symptoms, paranasal CT, CT or MRI of the CNS as well as abdominal CT
223 may also be required. In particular, findings of sinusitis with bone erosion may be observed,
224 intracranial and/or intraorbital extension of the disease being best evaluated by MRI [73-75]. In the
225 brain, due to direct spread from paranasal sinuses or haematogenous dissemination, meningeal
226 enhancement or empyema, cerebral abscess, mycotic aneurysms as well as haemorrhagic lesions and
227 rarely stroke may be seen [76-79].

228

229 **Microscopy and Culture**

230 Both microscopy and culture should be attempted on appropriate specimens from patients at risk for
231 IA **(AII)** with a priority for culture in most cases where insufficient material is available. Demonstrating
232 tissue invasion by hyphae through microscopic examination of biopsy or autopsy material provides a
233 diagnosis of proven invasive fungal infection. However, the sensitivity of microscopy for IA is 50% at
234 best [80]. Specimens may be examined as a wet mount preparation with or without the addition of
235 10% potassium hydroxide. Fluorescent dyes such as Calcofluor White™ or Blancophor™ have the
236 advantages of increased sensitivity, rapid turnaround time, and broad applicability but are not specific
237 for *Aspergillus* **(AII)**. Gomori's methenamine silver stain (GMS) and periodic acid-Schiff (PAS) can be
238 applied to histological sections and smears and should be conducted in all cases in which IA is
239 considered a possibility (Table 3). Respiratory secretions from patients with suspected aspergillosis
240 must be processed rapidly for culture to prevent overgrowth by bacteria and yeasts. To achieve

241 optimal recovery of *Aspergillus* from BAL fluid, centrifugation of the sample is advised with
242 investigation of the sediment **(AIII)**. It is recommended that cultures of high volume untreated sputum
243 and BAL should be performed as opposed to culturing small volumes of digested, liquefied samples
244 [81] (Table 4). Specific media to support fungal growth are recommended. Species identification to the
245 complex level should be done for clinically relevant isolates from patients who need antifungal
246 treatment, and for epidemiological purposes (AIII) (Table 5).

247

248 **Non-Culture Based Assays**

249 Galactomannan (GM) detection in fluids (especially BAL) is more sensitive than culture for diagnosis of
250 IA. GM is reported as optical density index (ODI). In serum samples an ODI cut-off of 0.5 results in high
251 sensitivity in haematological patients in the absence of mould-active prophylaxis **(AI)** (Table 6). Serial
252 screening for serum GM in prolonged neutropenia and in allogeneic stem cell transplantation
253 recipients during the early engraftment phase has a high sensitivity and negative predictive value (NPV)
254 for IA **(AII)** [82]. Serial screening is not recommended in patients on mould-active prophylaxis [83].

255 Sensitivity of serum GM testing is significantly lower in non-neutropenic versus neutropenic patients
256 [84]. Decrease of the ODI during the first two weeks of antifungal therapy is a reliable predictor of a
257 satisfactory response in cancer patients [85]. GM detection in BAL specimens has an excellent
258 performance with evidence that ODI of 0.5–1.0 has decreased predictive values compared with results
259 of >1.0 [86] **(AII)** (Table 7). The test also has diagnostic value in patients undergoing lung
260 transplantation or who are in intensive care [87-89]; a sensitivity of 100% and a specificity of 90.4%
261 was defined at cut-off of 1.5 [87].

262 (1-3)- β -D-glucan (BDG) is a constituent of the cell wall of many species and genera of fungi and is
263 released into body fluids in association with fungal infection. A limited role is given for the exclusive
264 testing of the BDG in diagnosing IA **(BII)** (Table 8), however, the combination with GM or PCR improves
265 specific detection [90].

266 The *Aspergillus* lateral flow LFD assay can be performed on serum and on BAL samples, but at the time
267 of writing this assay is not commercially available [91] (Table 9).

268 *Aspergillus* PCR has been applied mostly to blood and BAL fluid. For both sample types, a combination
269 with other biomarkers increases the likelihood of IA [92, 93]. The performance of serum PCR is not
270 significantly different from that of whole blood [94-97]. Prospective screening of high-risk
271 haematological patients by a combination of GM and PCR improves the diagnostic accuracy and is
272 associated with an earlier diagnosis [98, 99] (Table 10 and 11).

273 On hyphal positive biopsy samples molecular detection of fungi is strongly recommended **(AII)**. If no
274 hyphae are visible the diagnostic yield of molecular methods is lower (Table 12). Recommendations
275 for storage of original samples and isolates are given in table 13. Antibody detection tests are not
276 supported for the diagnosis of IA **(CII)** (Table 14).

277

278 **Antifungal Susceptibility Testing**

279 Resistance to antifungal agents is an increasing problem in *Aspergillus* diseases [100-102]. *Aspergillus*
280 species can be intrinsically resistant to polyenes and azoles [103], or may acquire resistance following
281 exposure to azole compounds [104]. Acquired resistance to azoles is mainly found in *Aspergillus*
282 *fumigatus* and is reported globally [100, 101, 105-108]. Resistance may also develop through exposure
283 to azole fungicides in the environment [109-112]. As resistant spores are present in ambient air,
284 patients may present with azole-resistant *Aspergillus* disease without previous azole therapy [113,
285 114]. Individual *Aspergillus* colonies from a single specimen may harbour different resistance profiles
286 [117], hence multiple colony testing (up to 5 colonies) is recommended to increase sensitivity for azole-
287 resistance detection **(BIII)**.

288 In clinical laboratories, species identification to complex level is recommended for all clinically
289 significant isolates **(BIII)**. Some species are intrinsically resistant to either azoles or amphotericin B
290 (AmB) (Tables 5, 15, 16 and 17).

291 Antifungal susceptibility testing of *Aspergillus* isolates should be performed in patients with invasive
292 disease with the exception of azole naïve patients in regions with no resistance found in contemporary
293 surveillance programmes and regularly for epidemiological purposes including ≥ 100 isolates. This is
294 particularly important in patients who are unresponsive to antifungal treatment, or in patients who
295 are clinically suspected having an azole-resistant pathogen **(AIII)** (Table 15). If MIC-testing is not
296 available, routine agar screening can be used to detect azole resistance (Table 16) [118]. However,
297 such isolate should be referred to a mycology reference laboratory for MIC testing. Clinical breakpoints
298 for interpretation of azole and AmB MICs against *Aspergillus* are currently available for European
299 Committee on Antimicrobial Susceptibility Testing (EUCAST) microdilution method but remain
300 undetermined for Clinical & Laboratory Standards Institute (CLSI) methodology. Accordingly, EUCAST
301 **(AII)** or CLSI broth microdilution methods **(BII)** can be used for determination of routine MICs for
302 clinical guidance and for epidemiological resistance surveillance **(AII)**. Both itraconazole and
303 voriconazole **(AII)** should be tested to ensure detection of the voriconazole-resistance mutation
304 TR₄₆/Y121F/T289A [118]. Posaconazole resistance without itraconazole resistance has not been
305 reported (Table 17). EUCAST **(BIII)** or CLSI broth microdilution methods **(CIII)** can be used to determine
306 AmB MICs but although a correlation between MIC and clinical outcome exist for *A. terreus* and *A.*
307 *flavus* it remains to be documented for *A. fumigatus* due to the scarcity of resistant isolates (Table
308 17).

309 Voriconazole and isavuconazole are recommended for the treatment of IA due to species showing high
310 AmB MICs (Table 19). Liposomal AmB (L-AmB) or AmB lipid complex (ABLC) are recommended for
311 species with intrinsic high azole MICs (Table 18 and 20). In aspergillosis due to *A. fumigatus* specifically,
312 voriconazole or isavuconazole are recommended if the isolate is voriconazole susceptible (EUCAST MIC
313 ≤ 1 mg/l) **(AI)**. If resistant (voriconazole MIC > 2 mg/l), L-AmB therapy is recommended **(AII_u)**. It is
314 unknown if patients infected with *A. fumigatus* with voriconazole MIC 2 mg/l (intermediate), respond
315 less well to voriconazole monotherapy. These patients may have an increased probability of failing
316 voriconazole monotherapy, and combination therapy with an echinocandin or L-AmB monotherapy

317 should be considered for invasive disease **(AIII)** (Table 20). In azole-resistant CPA, L-AmB or micafungin
318 can be considered **(BII)** if surgical intervention is precluded [11]. In settings with environmental azole
319 resistance, no change to the primary regimen for IA is recommended when resistance rates are <10%
320 **(AIII)**. If azole resistance rates are >10%, first line therapy with voriconazole plus echinocandin **(BIII)** or
321 L-AmB **(BIII)** is recommended.

322

323 **Therapeutic Drug Monitoring (TDM)**

324 Patients with IA often have multiple conditions associated with their underlying disease and its
325 treatment that affects the absorption, distribution, metabolism, and clearance of antifungal
326 medications [119]. As a result, standardized dosing recommendations for antifungals used in the
327 prevention or treatment of IA may not achieve effective or safe drug exposures in all patients.
328 Moreover, a subset of patients with severe infections or difficult to treat sites (e.g. CNS) or infections
329 caused by *Aspergillus* spp. with elevated MICs may require higher drug exposures. Therapeutic drug
330 monitoring (TDM) is often the most direct laboratory approach for identifying patients at jeopardy for
331 treatment failure or toxicity because of inadequate or excessive drug exposures, and can be used to
332 fine-tune antifungal dosing to improve the probability of optimal outcomes (Table 21).

333

334 **Itraconazole**

335 For itraconazole, a serum trough of 0.5-4 mg/L (measured by HPLC) is recommended for prophylaxis
336 **(All [efficacy], BII [safety])** and a trough of 1-4 mg/L is recommended during the treatment of IA **(All**
337 **[efficacy], BII [safety])** [120-125]. Itraconazole has an active metabolite, OH-itraconazole that is
338 present in similar (1:1) concentrations as the parent itraconazole compound when patients are at
339 pharmacokinetic steady state. OH-itraconazole concentrations may be reported separately when
340 samples are analysed by HPLC or LC/MS/MS, but will included in the overall report of “itraconazole”
341 concentrations if samples are analysed by bioassay [126, 127]. Therefore, the target range for
342 itraconazole is higher when reported by bioassay (i.e. 3-17 mg/L) but may vary by lab depending on

343 the reference standards used. Samples should be acquired within 5-7 days of starting therapy. Repeat
344 TDM is recommended the following week to confirm the patient remains in the therapeutic range, and
345 repeated thereafter as clinically indicated if there are changes in the patient's clinical condition,
346 concomitant medications known to interact, or suspected toxicity (Table 22). Steady-state
347 concentrations can often be predicted from earlier (non-steady) state samples through
348 pharmacokinetic models or computerized dosage-assistance. In centres where these tools are
349 available, sampling before day 5-7 may be preferable.

350

351 **Voriconazole**

352 A plasma trough concentration of 1-5.5 mg/L is considered adequate for most patients receiving
353 voriconazole prophylaxis or treatment (**All, safety and efficacy**) [128-133]. However, a trough of 2-
354 6 mg/L (**All, safety and efficacy**) is recommended in patients treated for severe infections (multifocal
355 or disseminated disease, CNS infections, infection with pathogen with elevated MICs, e.g. an MIC of 2
356 ml/L) [130, 131]. TDM is strongly recommended in children due to the much higher rates of drug
357 elimination and potential for underdosing, especially with the lower voriconazole doses recommended
358 in the past (**All**) [134, 135]. Plasma levels should be monitored between 2-5 days after initiation of
359 therapy, and repeated the following week to confirm the patient remains in the therapeutic range.
360 Repeated monitoring is indicated until steady state level in the therapeutic range is confirmed, if there
361 are changes in the patient's clinical condition, concomitant medications, or suspected toxicity (Table
362 23).

363

364 **Posaconazole**

365 For patients receiving posaconazole suspension, a plasma trough of >0.7 mg/L is recommended during
366 prophylaxis (**BII efficacy**) [136, 137]; and a trough of >1 mg/L is recommended if the patient is receiving
367 treatment for suspected or documented IA (**All efficacy**) [138]. Currently, no studies have defined an
368 upper plasma target that is associated with toxicity, although pharmacokinetic studies supporting the

369 registration of the new posaconazole tablet and intravenous formulations with the EMA used a
370 provisional cut-off of 3.75 mg/L [139-141]. Posaconazole plasma trough levels should be monitored on
371 day 5 of therapy or soon thereafter, and repeated as clinically indicated.

372 For most patients prescribed posaconazole, we recommend using the newer tablet formulation (or
373 intravenous formulation, if tablet formulation is contraindicated) rather than the suspension **(AII)**, as
374 tablets are more likely to consistently achieve target plasma levels and are less affected by GI-
375 dependent drug interactions [139]. Currently, there is limited evidence to suggest that all patients
376 receiving posaconazole tablets or IV formulation for prophylaxis require routine TDM; however, our
377 opinion is that when treating suspected or documented *Aspergillus* infections, TDM could still be useful
378 if the pathogen has elevated MICs, is unresponsive to treatment, or in the event of unexplained toxicity
379 **(BIII)**. Until further data are available, we recommend using TDM monitoring strategies and plasma
380 trough targets as detailed above suggested for the suspension formulation (Table 24).

381

382 **Isavuconazole**

383 Although dose-response and plasma concentration-response relationships for isavuconazole have
384 been reported in animal models, limited data are currently available to define a target therapeutic
385 range or support the need for routine TDM for this agent [142]. Our opinion is that TDM could still be
386 useful in the clinical assessment or monitoring of patients receiving isavuconazole therapy **(CIII)** if
387 patients are unresponsive to treatment, have unexpected toxicity, pharmacokinetic drug-drug
388 interactions, or if isavuconazole is being used to treat pathogens with elevated MICs or sanctuary sites
389 such as the CNS. In the absence of well-defined therapeutic targets, documentation of a plasma trough
390 in the range of 2-3 mg/L (mean concentration range from phase II/III clinical studies) after day 5
391 (including loading doses) suggests adequate drug exposure (Table 25).

392

393 **Flucytosine**

394 In rare circumstances, flucytosine may be used in combination with other antifungals for the treatment
395 of triazole-resistant *Aspergillus* spp. In this scenario, weekly measurement of peak serum
396 concentrations 2 hours following an oral dose **(AII)** are needed to confirm that peak concentrations
397 are 50-100 mg/L in order to reduce the risk of toxicity. Trough concentrations required for efficacy are
398 unknown but a level of 25-50 mg/mL is recommended based upon experience from cryptococcosis
399 [143, 144].

400

401 **Hospital Environment**

402 Standards for the hospital environment in immunosuppressed adults and children requires special
403 attention. Patients need to be segregated from construction or renovation **(AII_n)**, potted plants **(BII)**,
404 and flowers in wards and in patients' rooms **(CIII)** [145-150]. Published data support the
405 recommendation to accommodate patients in special hospital rooms with positive air pressure and
406 HEPA filters **(BII)** or laminar airflow **(BII_n)**. However, data were with historical controls, underpowered,
407 or described by multivariate analysis describing high-risk situations for IA [151-154]. Protective masks
408 for patients are proven not to be effective outside of the protected area **(CII)** [155]. Filters for water
409 supply, especially in showers, are recommended **(BII)** [156-160]. No data are available to support
410 regular environmental air sampling to prevent infections. However, indoor sampling is advisable to
411 monitor filter efficacy **(BIII)** [161, 162].

412

413 **Treatment Strategies**

414 Two strategies are accepted for managing patients with haematological malignancy at risk for IA: 1)
415 the patient receives primary prophylaxis or 2) the patient receives no prophylaxis but is monitored at
416 least twice weekly using biomarkers. The decision between the two strategies depends on local
417 epidemiology, access to rapid diagnostics and patient characteristics. Breakthrough fungal diseases
418 may appear through either symptoms or a disease-identifying biomarker or imaging result. Figure 1
419 depicts a consensus algorithm for patient management.

420

421 **Primary Prophylaxis**

422 At least three studies describe a number of patients who succumbed with IA missed prior to death
423 [163-165]. Although diagnostic procedures improved since then, they are not satisfactory. For this
424 reason, patients known to be at high risk for IA may receive primary prophylaxis, especially patients
425 with profound and prolonged neutropenia or with active graft-versus-host disease (GvHD) (Table 26).

426

427 **Aspergillosis in Haematological Malignancy and Haematopoietic Stem Cell Transplantation**

428 In patients treated for haematological diseases, prolonged severe neutropenia is the most important
429 risk factor for the development of IA. T cell depleted grafts, glucocorticosteroids and other immune
430 suppressive drugs have been identified as further risk factors for IA in the later course after HSCT, even
431 in non-neutropenic patients [166]. In fact, up to two thirds of patients with IA diagnosed after
432 allogeneic HSCT are not neutropenic [167], and the median time of diagnosis of IA after allogeneic
433 HSCT is 82 days (range, 3 - 6542 days) [168].

434

435 **Treatment**

436 Providing a definite diagnosis of IA is a continuously challenging endeavour for clinicians. The
437 EORTC/MSG definitions are only designed for clinical studies. For clinical decision-making, these
438 definitions could have a deleterious outcome since confirmation of a proven or probable diagnosis
439 would delay the start of therapy [169]. Any patient at risk considered by the responsible clinician as
440 having IA should receive antifungal therapy (**AIII**) (Tables 27-28). Physicians should consider IV to oral
441 switch in stable and PK-reliable patients. Treatment duration depends on clinical response and on
442 immune reconstitution or recovery from GvHD. Good partial or complete remission requires no
443 persistent clinical, including imaging (scarring allowed) or microbiological evidence of disease. The
444 range of the duration of treatment (3 to >50 weeks) is huge and the evidence base to support any

445 particular recommendation is weak [170-173]. Close monitoring (e.g. non-enhanced CT or, if
446 applicable, biomarkers) is suggested once antifungal treatment is discontinued.

447 Additional adjunctive therapy such as the administration of G-CSF or G-CSF-primed granulocyte
448 infusions (data mainly from paediatric populations) received only a weak supportive recommendation
449 (CIII). In refractory cases, G-CSF (or IFN γ) has immunomodulatory effects [174-179]. No controlled trials
450 have been performed and only anecdotal data with small numbers of patients exist. Persistent
451 neutropenia is related with treatment failure, recovery from neutropenia enhances the efficacy of
452 antifungal agents. A recent Cochrane review investigating the efficacy of granulocyte transfusions
453 indicated no mortality difference for any kind of infection in patients with neutropenia [180].

454

455 **Fever-driven (“Empiric”), and Diagnosis-driven (“Pre-emptive”) Therapy**

456 As an alternative to prophylaxis, patients could receive the classical empirical administration of
457 antifungal agents during fever refractory to broad-spectrum antibacterial agents. Empiric treatment is
458 defined as a fever-driven treatment approach. Patients who would qualify for this approach are
459 patients receiving induction or remission chemotherapy for acute leukaemia or MDS or conditioning
460 chemotherapy for haematopoietic stem cell transplantation. Empiric antifungal treatment is expected
461 to reduce morbidity [181-186] and mortality [187, 188] (Table 29). The duration of empiric antifungal
462 treatment is set by the following rules applied in randomized clinical trials: If the patient is afebrile and
463 has no active infection or infiltrates, then antifungal therapy can be discontinued after recovery of
464 leukocyte counts [188-190]. Today, antifungal stewardship may warrant clinical trials on empiric
465 treatment duration, but no such trial has been conducted so far.

466 Pre-emptive treatment is a diagnosis driven strategy. In most cases, it is defined by positive GM testing.
467 However, chest CT with pulmonary infiltrates could apply as well. The use of BDG and PCR testing as
468 alternative biomarkers for galactomannan have considerable merit [191, 192], though BDG is not
469 specific for *Aspergillus* disease. In haematological patients, false positive BDG often results from
470 contaminated infusions [193-196]. Very few authors wait for *Aspergillus*-associated suggestive

471 radiological signs including nodule, halo sign, wedge-shaped area of consolidation, or – late in the
472 course of invasive aspergillosis – the air crescent sign, before starting antifungal treatment. Treatment
473 choices are as recommended in targeted treatment.

474

475 **Adult Patients without Haematological Malignancy**

476 **Epidemiology**

477 Approximately 43-80% of the cases of IA appear in patients without a haematological malignancy [52,
478 197-200], although these patients are rarely included in the seminal studies of antifungals [170, 171,
479 173]. The proportion of these patients is even increased when exposed to spore concentrations of >25
480 cfu/m³ in hospital air [201-204]. The non-haematological populations at risk for IA include solid organ
481 transplant recipients (SOT), patients treated with prolonged high dose glucocorticosteroids, or with
482 other immunosuppressants, patients with advanced AIDS or neoplasia, COPD, liver failure, liver
483 cirrhosis, influenza as well as critically ill patients requiring ICU admission [52, 197-199, 205-208].
484 These patients frequently do not fulfil the EORTC/MSG criteria for invasive aspergillosis [169].
485 Confirmation of diagnosis may be delayed resulting in high mortality rates. At the same time drug-drug
486 interactions and toxicity can occur more frequently compared to haematological patients [52].
487 Physicians need to be aware of the specific risk factors, clinical manifestations and management
488 challenges in order to improve outcome. In SOT recipients the average incidence of IA ranges from 0.1
489 to 11.6% [209, 210], with the highest risk in small bowel (11.6%) and lung (8.6%) transplant recipients,
490 followed by patients receiving liver (4.7%), heart (4.0%), pancreas (3.4%), and kidney (1.3%) grafts
491 [209-211]. Half the cases will occur in the first three months after transplantation, in patients with
492 post-surgical risk factors. Late aspergillosis is more common in elderly recipients, and patients with
493 pronounced immunosuppression due to rejection or post-transplant neoplasia or chronically impaired
494 graft function [210, 212]. With the exception of lung transplantation, in which universal prophylaxis is
495 still common, antifungal prophylaxis will target SOT recipients with additional risk factors [211]. Risk
496 factors for early IA in all SOT recipients – Including heart transplants – comprise renal failure requiring

497 replacement therapy, re-intervention, CMV disease, and high environmental exposure to mould
498 spores [211, 213-215]. In liver transplantation, high model for end-stage liver disease (MELD) score,
499 transplantation in fulminant hepatic failure, high intraoperative transfusion needs or re-
500 transplantation are considered indications for post-surgical prophylaxis [216-224]. In lung transplant
501 recipients, risk factors include previous respiratory tract colonization with *Aspergillus*, single lung
502 transplant, CMV disease and acquired hypogammaglobulinaemia [225-227]. In kidney transplantation
503 risk factors include COPD, delayed graft function, bloodstream infection, and acute graft rejection
504 [228] and an >1.25 mg/kg/day average dose of prednisone [229]. Finally, some polymorphisms in
505 defence genes have also been suggested to increase risk in transplant recipients [230, 231].

506 The incidence of IA in HIV patients has decreased since the advent of new antiretroviral therapy (2.2
507 cases per 10,000/year), but mortality remains high (38%) [232]. IA typically appears in patients with
508 low CD4 counts and associated conditions such as neutropenia, advanced cirrhosis, liver
509 transplantation or glucocorticosteroid therapy [233-241]. As in other non-haematological populations,
510 EORTC/MSG criteria only detect half of the IA cases diagnosed among HIV-infected patients [232] and
511 in a recent series of autopsies of AIDS patients, only 12% of the patients with IA had been diagnosed
512 ante mortem [242] (Table 30).

513 IA may affect 0.3% of patients with liver cirrhosis [243]. Both acute liver failure and advanced cirrhosis,
514 mainly alcoholic hepatitis treated with glucocorticosteroids, have been recognized as risk factors for
515 IA [205, 244-246]. A low level of clinical suspicion explains that 53% of the cases of IA in cirrhotic
516 patients are only recognized post-mortem [247] and that liver disease is independently associated with
517 IA-related mortality [199, 248].

518 IA has also been described in apparently immunocompetent patients in a critical condition as a
519 complication of ARDS, COPD, influenza, pneumonia, burns, severe bacterial infection, surgery, and
520 malnutrition. Incidence is 4-6/1,000 ICU admissions and the mortality is higher than 70% in most series
521 [245, 249-252]. Glucocorticosteroid treatment was the major host factor [253, 254] and as in cirrhotic
522 or HIV positive patients delayed diagnosis is common [255, 256]. COPD patients requiring

523 glucocorticosteroids represent a group with especially high mortality [249, 257 , 258]. Risk factors
524 include admission to ICU, chronic heart failure, and antibiotic treatment and, above all, the cumulative
525 dose of glucocorticosteroids [257].
526 Pulmonary and CNS aspergillosis predominates in these populations, but disseminated disease,
527 fulminant and atypical forms may occur [203, 214, 225, 251, 259-267]. The sensitivity of most
528 diagnostic methods is lower in non-haematological patients. Isolation of *Aspergillus* from respiratory
529 cultures has a much lower positive predictive value so over-diagnosis has to be prevented [197, 268-
530 272]. Regarding imaging findings, angioinvasive presentation included in the EORTC/MSG criteria is
531 uncommon in this setting [273]. Airway invasive radiological presentation was present in 37% of heart
532 transplant recipients and was associated with delayed diagnosis and poorer prognosis [214, 274]. In
533 COPD and HIV-positive patients, the most common radiological presentation was an alveolar infiltrate
534 [273, 275, 276]. Experience with biomarkers and PCR is still scarce in these populations, but the
535 combination of at least two different methods appears to be the best diagnostic approach [277-285]
536 (Table 31).

537

538 **Treatment**

539 Despite no comparative studies of antifungal therapy in non-haematological patients voriconazole
540 remains the first option, since it has been related to reduced mortality [216, 286-288] (Table 32).
541 Combination therapy is uncommon, although retrospective data was encouraging in SOT recipients
542 [289]. The risks of drug-drug interactions and toxicity are very important in these populations and TDM
543 is advisable [290-295]. In patients with liver insufficiency, L-AMB is usually the first therapeutic option.
544 Antifungal resistance is not a common problem despite prophylaxis [296, 297], although some cases
545 have been reported [298-300]. Finally, immune reconstitution syndrome may occur after therapy
546 initiation [301].
547 Most lung recipients receive antifungal prophylaxis. Targeted prophylaxis is preferred in the remaining
548 SOT with risk factors [211, 213, 302-305]. However, significant variation in practice has been noted

549 [221, 304, 306, 307]. In order to avoid drug-drug interactions and toxicity, echinocandins or inhaled
550 amphotericin are preferentially used [308-311], although voriconazole has also demonstrated its
551 efficacy and safety in this setting [217, 220, 312-314]. Duration of prophylaxis is adjusted to the
552 presence of risk factors and, with the exception of lung recipients, is usually limited to 3-4 weeks [215]
553 (Table 33).

554

555 **Special Considerations in Children**

556 Presenting symptoms, distributions and patterns of diseases and vulnerability to IA are similar
557 between children and adults. However, differences exist in epidemiology and underlying conditions,
558 usefulness of newer diagnostic tools, pharmacology of antifungal agents and evidence from
559 interventional phase III studies. Recommendations for paediatric patients are based on efficacy in
560 phase II and III trials in adults, the availability of paediatric pharmacokinetic data, safety data and
561 supportive efficacy data. In addition, regulatory approval is considered as well. Therapeutic drug
562 monitoring is always recommended when mould-active azoles are used as prophylaxis or treatment.

563 Primary antifungal prophylaxis may be indicated in paediatric patients at 'high risk' for developing
564 invasive fungal diseases, and specifically IA. An incidence rate of IFDs of $\geq 10\%$ is usually considered as
565 high risk. High-risk populations include children with de novo or recurrent leukaemia (e.g. AML, ALL
566 depending on treatment protocol), bone marrow failure syndromes with profound and persistent
567 neutropenia (e.g. MDS, VSAA), allogeneic HSCT recipients, patients with chronic granulomatous
568 disease and those undergoing lung transplantation. For patients with haematological disorders, the
569 mould-active oral azoles are the first choice to prevent IA in children, although neither itraconazole
570 nor posaconazole are licensed for use in patients <18 years of age. Due to the lack of paediatric data,
571 recommendations for lung and high-risk liver transplant patients correspond to those given for adults
572 [213, 315]. Secondary prophylaxis to prevent recurrence of IA when risk factors are persisting is
573 recommended with an antifungal targeted at the previous *Aspergillus* species, which caused the first
574 episode (see below and Table 34).

575 Diagnostic procedures used in children are not different from those used in adults but their
576 performance may differ. Suggestive abnormalities (e.g. halo sign, air crescent sign) on CT-chest as
577 described in adults are less common in children in which non-specific masses or infiltrates predominate
578 [316-318]. The GM test on blood and BAL samples has a similar sensitivity and specificity profile
579 compared to adults [319-327]. The BDG test is not specific for *Aspergillus* and is not validated in
580 children. Higher baseline levels are reported in healthy children and therefore the cut-off is yet
581 unknown [328-332].

582 General management principles of IA are consistent with those in adults and include prompt initiation
583 of antifungal therapy, control of predisposing conditions (e.g. reduction or discontinuation of
584 glucocorticosteroids in immunosuppressed, administration of colony-stimulating factors in
585 neutropenic patients), and surgical interventions on a case by case basis using a multidisciplinary
586 approach. Voriconazole is recommended as the first line agent to treat IA in all children except
587 neonates **(AII)**. L-AmB is first choice for neonates **(AIII)** and may replace voriconazole as first line
588 treatment in areas or institutions with a high prevalence of azole-resistant *A. fumigatus*. Upon
589 diagnosis of invasive pulmonary aspergillosis, thorough evaluation for further sites of infection is
590 required and should include the CNS. The optimal duration of therapy is determined by the resolution
591 of all signs and symptoms and reversal of the underlying deficit in host defences. For salvage therapy
592 and breakthrough infections, a switch to a different class of antifungals is recommended [123, 132,
593 138, 170, 171, 177, 333-341] (Table 35).

594 If a fever-driven (empiric) strategy is used in at risk paediatric haematological patients, caspofungin or
595 L-AmB are recommended until resolution of fever and neutropenia [342-344]. Treatment
596 recommendations for a diagnosis-driven (pre-emptive) strategy correspond to those made for
597 targeted treatment [185, 186, 345, 346].

598

599 **Secondary Prophylaxis**

600 Secondary prophylaxis is a treatment strategy to prevent recurrence of IA during a subsequent risk
601 period of immunosuppression. Patients with a history of IA previously successfully treated with
602 antifungals entering a subsequent risk period of immunosuppression, e.g. allogeneic HCT (early phase),
603 chemotherapy resulting in severe neutropenia (i.e. $<500/\mu\text{L}$ and at least for 7 days), acute GvHD $>I^\circ$ or
604 extensive chronic GvHD, or T-cell suppressing therapy, including steroids, are at risk. Agents for
605 secondary prophylaxis are listed in table 36.

606

607 **Treatment of Refractory Disease**

608 Refractory IA is defined as progression of disease and should be differentiated from stable disease
609 [349]. Patients with radiological evidence of progression and persisting elevated GM have a very high
610 probability of treatment failure resulting in death. Assessment of response should use composite
611 outcome parameters including clinical, radiological, and mycological criteria. Radiological progression
612 following or closely preceding neutrophil recovery should be carefully evaluated and is not necessarily
613 indicative of failure. Keeping this in mind, assessing response 2 weeks after treatment initiation
614 generally allows predicting the response, especially recognizing oncoming failure [350]. In case of GM
615 negative IA, early assessment of response may be difficult and could require a longer time of therapy.
616 If failure ascertained, look for poor vascular supply (i.e. sinusitis requiring surgical treatment),
617 microbiological confirmation is recommended since identification of the fungus at the species level is
618 pivotal. If a viable organism is recovered, susceptibility testing is recommended, especially regarding
619 azole resistance. On the other hand, azole concentration should be monitored as well (see chapters
620 on resistance and therapeutic drug monitoring within this guideline) [38, 349, 351-359]. The choices
621 of antifungal agents in refractory disease are listed in table 37.

622

623 **Chronic Pulmonary Aspergillosis (CPA)**

624 CPA is an indolent destructive disease of the lungs usually complicating other pulmonary conditions
625 occurring in non- or mildly immunocompromised patients [360, 361]. Its manifestations include

626 chronic cavitary pulmonary aspergillosis (CCPA), which if left untreated may progress to chronic
627 fibrosing pulmonary aspergillosis (CFPA), *Aspergillus* nodule and single aspergilloma [11, 362].
628 Subacute invasive pulmonary aspergillosis (previously chronic necrotizing pulmonary aspergillosis) is
629 also a cavitating destructive lung disease usually found in moderately immunocompromised patients
630 which progresses more rapidly, typically over 1 to 3 months. The diagnosis of CPA requires a
631 combination of characteristics: one or more cavities with or without a fungal ball present or nodules
632 on thoracic imaging, either direct evidence of *Aspergillus* infection (culture or microscopy from biopsy)
633 or an IgG antibody response to *Aspergillus* spp. and exclusion of alternative diagnoses (especially
634 mycobacterial infection), all present for at least 3 months [11, 363]. Over 90% of patients have
635 circulating *Aspergillus* antibody (precipitins) **(All)** [364]. A positive culture of *A. fumigatus* respiratory
636 tract secretion (BAL, bronchoscopy aspiration) is not diagnostic because many different pathologies
637 are attributable to the fungus, and it may be an airway colonizing fungus or a plate contaminant in the
638 laboratory.

639 If a fungal ball is seen, then only a positive test of *Aspergillus* IgG or precipitins confirms pathogenicity.
640 Patients may have CPA and other infections concurrently (see below).

641 The distinctive hallmark of CCPA is new and/or expanding cavities with thick or thin walls in those with
642 chronic lung disease. An intracavitary fungal ball may be present, often with pleural thickening and
643 extensive parenchymal destruction and/or fibrosis. Patients may have CPA and other infections
644 concurrently, especially bacterial including *Pseudomonas aeruginosa* infection or tuberculosis and
645 non-tuberculous mycobacterial infection. *Aspergillus* nodules, which may be single or multiple, may
646 mimic malignancy as well as nodules seen in rheumatoid arthritis, coccidioidomycosis, tuberculosis,
647 non-tuberculous mycobacterial infection and – rarely – actinomycosis or rheumatoid arthritis.
648 Typically, *Aspergillus* nodules appear rounded, some with low attenuation or cavitation within. Some
649 are spiculated, a common feature of carcinoma [362].

650 If technically feasible single aspergilloma should be surgically removed, preferably via video-assisted
651 thoracic surgery technique with due consideration to risks as recommended [365]. Long term oral

652 antifungal therapy is strongly recommended in patients with CCPA, partly to reduce general and
653 respiratory symptoms [366, 367], but also to minimise haemoptysis and prevent lung destruction and
654 fibrosis **(AII)** itraconazole or voriconazole are effective for CCPA **(AIII)** [11]. Oral posaconazole is a
655 potential alternative treatment **(BII)** [11]. Six months of therapy is the recommended minimum **(AI)**
656 [11]. Relapse is common after discontinuation. Intravenous therapy for CPA is useful in patients who
657 fail or are intolerant of triazoles or have triazole resistant *A. fumigatus*. Prednisolone may be
658 considered for underlying symptom control only if patients are adequately treated with antifungals.
659 Mild and moderate haemoptysis usually responds to tranexamic acid; severe haemoptysis should be
660 arrested with bronchial artery embolization (Table 38).

661

662 **Conclusions**

663 This executive summary is a comprehensive guideline covering many aspects of *Aspergillus* diseases.
664 It provides guidance for clinicians on prevention of disease, diagnostic procedures, resistance issues
665 and treatment of IA as well as chronic pulmonary aspergillosis. The guideline group intends to provide
666 additional publications supporting the rationale of recommendations given.
667 Finally, the guideline group provides comprehensive tables explaining various options for specific
668 situations.

669 **Table 1. Strength of recommendation and quality of evidence**

Strength of Recommendation (SoR)	Definition
Grade A	Societies <u>strongly</u> support a recommendation for use
Grade B	Societies <u>moderately</u> support a recommendation for use
Grade C	Societies <u>marginally</u> support a recommendation for use
Grade D	Societies support a recommendation <u>against</u> use
Quality of Evidence (QoE)	Definition
Level I	Evidence from at least 1 properly* designed randomized, controlled trial (orientated on the primary endpoint of the trial)
Level II	Evidence from at least 1 well-designed clinical trial (incl. secondary endpoints), without randomization; from cohort or case-controlled analytic studies (preferably from >1 centre); from multiple time series; or from dramatic results of uncontrolled experiments
Level III	Evidence from opinions of respected authorities, based on clinical experience, descriptive case studies, or reports of expert committees
Added Index	Source of Level II Evidence
r	Meta-analysis or systematic review of RCT
t	Transferred evidence i.e. results from different patients' cohorts, or similar immune-status situation
h	Comparator group: historical control
u	Uncontrolled trials

a	For published abstract presented at an international symposium or meeting
* poor quality of planning, inconsistency of results, indirectness of evidence etc. would lower the SoR	

670

671 **Table 2. Recommendations for imaging and bronchoalveolar lavage**

Population	Intention	Intervention*	SoR	QoE	Comment	Ref.
Neutropenia, fever or clinical symptom of pneumonia, empiric antibiotics, failing to achieve defervescence, e.g. FUO	To detect pulmonary infiltrates	Chest CT and thin section multi-detector CT (MDCT)	A	II	Within 12-24h after the beginning of fever, dose optimization recommended	[21, 31, 35, 368]
Haemoptysis	To identify vessel occlusion	Chest angio-CT / pulmonary CT angiography	B	II		[24-26]
Haemoptysis	To identify vessel erosion	Chest angio-CT / pulmonary CT angiography	A	II		[24-26, 369, 370]

Any	To identify possible underlying fungal or other infectious disease	BAL	A	II		[21, 49-54]
Any	To obtain appropriate specimens for microscopy, culture and PCR	CT-guided BAL	A	III		[55, 56]

672 *, Diagnostic tests are interventions; SoR, Strength of recommendation; QoE, Quality of evidence; FUO, fever of unknown origin; CT, computed tomography;

673 BAL, bronchoalveolar lavage; PCR, polymerase chain reaction

674

675 **Table 3. Microscopic examinations**

Popula tion	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	To identify fungal elements in histological sections and stains	Histological examination Gomori's methenamine silver stain Periodic acid-Schiff	A	III	Histopathology is an essential investigation Inability to definitively distinguish other filamentous fungi GMS: removes cellular background; more sensitive to hyphal elements PAS: advantage of counter stain to check cellular detail	[80, 371-373]
Any	To identify fungal elements in histological sections and stains	Fluorescent dyes: Calcofluor white™, Uvitex 2B, Blancophor™	A	II	Not specific to <i>Aspergillus</i> but high sensitivity and the micromorphology may provide info on the fungal class (e.g. <i>Aspergillus</i> : typically dichotomous and septate, Mucorales: pauci-septate and 90° angle branching, yeast: budding) Rapid turnaround time Broad applicability May be applied to frozen sections, paraffin-embedded tissue	[374-378]

Any	To identify fungal elements in histological sections and stains	Immunohistochemistry Monoclonal antibody WF-AF-1 or EB-A1 In situ hybridization	B	II	Have the potential to provide genus and species specific data Commercially available monoclonal antibodies WF-AF-1 is specific for <i>A. fumigatus</i> , <i>A. flavus</i> , and <i>A. niger</i> Time consuming and not broadly available	[374-378]
Any	To identify fungal elements in fresh clinical specimens (e.g. BAL)	Application of fluorescent dyes Calcofluor white™ or Uvitex 2B or Blancophor™	A	II	Essential investigation Not specific for <i>Aspergillus</i> species High sensitivity Rapid turn-around time Broad applicability No species identification but the micromorphology may provide info on the fungal class (e.g. <i>Aspergillus</i> : typically dichotomous and septate, Mucorales: pauci-septate and 90° angle branching, yeast: budding)	[80, 373, 379]

676 SoR, Strength of recommendation; QoE, Quality of evidence; BAL, bronchoalveolar lavage; HE, haematoxylin-eosin; GMS, Gomori's methenamine silver

677 stain; PAS, Periodic acid-Schiff; CNS, central nervous system

678

679 **Table 4. Sample selection and pre-analytical respiratory sample treatment**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	To achieve a homogenous sample of viscous samples such as sputum	Liquefaction using a mucolytic agent, e.g. Pancreatin®, Sputolysin®, or using sonication and 1,4-dithiothreitol	A	III	Essential investigation High volume sputum culture (entire sample) shown to significantly increase recovery	[81, 380]
Any	To achieve optimal recovery of <i>Aspergillus</i> from BAL by centrifugation and investigation of the sediment	Centrifugation of BALs or bronchial aspirates	A	III	Essential investigation Isolation of <i>Aspergillus</i> dependent on volume cultured	[81]

680 SoR, Strength of recommendation; QoE, Quality of evidence; BAL, bronchoalveolar lavage; PCR, polymerase chain reaction

681

682 **Table 5. Culture and *Aspergillus* species identification**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	Primary isolation from deep sites samples (e.g. biopsies, blood, CSF)	Culture on SDA, BHI agar, PDA at 30°C and 37°C for 72 h	A	III	Blood inhibits conidiation; BHI can help to recover some isolates; Isolation of several colonies or isolation of the same fungus from a repeat specimen enhance significance	[81, 381, 382]
	Primary isolation from non-sterile samples, e.g. sputum, respiratory aspirates	Culture on SDA, BHI agar, PDA with gentamicin plus chloramphenicol at 30°C and 37°C for 72 h	A	III	High volume sputum culture (entire sample) shown to significantly increase recovery; Quantitative cultures are not discriminative for infection or colonization	
	Identification of species complex	Macroscopic and microscopic examination from primary cultures	A	II	Colony colour, conidium size, shape and septation. Colour of conidia and conidiophore and conidiogenesis (tease or tape mounts are preferred); Expertise needed for interpretation	
	Identification of species complex (and species	Culture on identification media at 25-30°C, 37°C	A	II		

	identification of <i>A. fumigatus</i> specifically)	and 50°C (2% MEA and Czapek-Dox Agar) and microscopic examination			Thermotolerance test (growth at 50 °C for species confirmation of <i>A. fumigatus</i>)	
	Identification at species level	MALDI-TOF MS identification	B	II	In house databases are often used to improve identification rates	[383-386]
	Identification at species level	Sequencing of ITS, beta-tubulin and calmodulin	A	III	Not necessary in organisms with typical growth, but in cases of atypical growth	[387, 388]
	To study outbreaks	Microsatellite and CSP analysis	C	II	To study outbreaks (which in general may comprise more than one genotype)	[389-391]
			B	II	To study colonisation patterns	[392]

SoR, Strength of recommendation; QoE, Quality of evidence; CSF, cerebrospinal fluid; SDA, Sabouraud dextrose agar; BHI, brain heart infusion; PDA, potato dextrose agar; MEA, malt extract agar; MALDI-TOF MS, matrix-assisted laser desorption ionization time-of-flight mass spectrometry identification; ITS, internal transcribed spacer; CSP, Cell surface protein

684 **Table 6. Galactomannan testing in blood samples**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Patients with prolonged neutropenia or allogeneic stem cell transplantation recipients not on mould-active prophylaxis	Prospective screening for IA	GM in blood*	A	I	Highest test accuracy requiring 2 consecutive samples with an ODI \geq 0.5 or retesting the same sample Prospective monitoring should be combined with HRCT and clinical evaluation	[82, 94, 393-397]
		Draw samples every 3-4 days	C	III		
Patients with prolonged neutropenic or allogeneic stem cell transplantation recipients on mould active prophylaxis	Prospective screening for IA	GM in blood*	D	II	Low prevalence of IA in this setting with consequently low PPV of blood GM test Prophylaxis may have a negative impact on sensitivity of the test or the low yield may be due to decreased incidence of IA	[398, 399]
Patients with a haematological malignancy <ul style="list-style-type: none"> • Neutropenic patients • Non-neutropenic patients 	To diagnose IA	GM in blood*	A B	II II	Significantly lower sensitivity in non-neutropenic patients	[319, 394, 400, 401]

ICU patients	To diagnose IA	GM in blood*	C	II	Better performance in neutropenic than in non-neutropenic patients	[89, 402]
Solid organ recipients	To diagnose IA	GM in blood*	C	II	Low sensitivity, good specificity Most data for lung SOT	[319, 403, 404]
Any other patient	To diagnose IA	GM in blood*	C	II	Piperacillin/tazobactam may no longer be responsible for false positive results according to recent studies Cross reactivity in case of histoplasmosis, fusariosis, talaromycosis (formerly: penicilliosis) False positive results reported due to ingestion of ice-pops, transfusions, antibiotics, Plasmalyt® infusion	[401, 405-412]
Cancer patients	To monitor treatment	GM in blood*	A	II		[85, 359, 413]

685 SoR, Strength of recommendation; QoE, Quality of evidence; *, serum or plasma; GM, galactomannan; IA, invasive aspergillosis; ICU, intensive care unit;

686 ODI, optical density index; PPV, positive predictive value; SOT, solid organ transplantation

687

688 **Table 7. Galactomannan testing in samples other than blood**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	To diagnose pulmonary IA	To apply GM test on BAL fluid	A	II	GM in BAL is a good tool to diagnose, optimal cut-off to positivity 0.5 to 1.0	[86, 414-418]
Any	To diagnose cerebral IA	To apply GM test on cerebrospinal fluid	B	II	No validated cut-off	[419, 420]
Any	To detect GM in tissue	To apply GM test on lung biopsies	B	II	Using a cut-off 0.5 resulted in a sensitivity of 90 % and a specificity of 95%; specimens need to be sliced, precondition for doing so is that sufficient material is available; dilution in isotonic saline	[373, 421]

689 SoR, Strength of recommendation; QoE, Quality of evidence; BAL, bronchoalveolar lavage; GM, galactomannan; IA, invasive aspergillosis

690

691 **Table 8. β -D-glucan assays**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Mixed population: adult ICU, haematological disorders, SOT	To diagnose IFD	Diagnostic assay	C	II	5 different assays Overall sensitivity of 77% and specificity of 85% Specificity limits its value in this setting	[90, 422]
		Screening assays	C	II	Two or more consecutive samples: sensitivity: 65%; specificity: 93% Studies included once to thrice weekly. Varies with assay and cut-off: Wako assay sensitivity: 40-97%, specificity: 51-99%	[90, 422]
Adult haematological malignancy and HSCT	To diagnose IFD	Diagnostic assay	C	II	Overall sensitivity: 50-70%, specificity: 91-99%	[193-195, 423-428]
ICU – mixed adult immunocompromised patients (haematology, SOT,	To diagnose IA	Diagnostic assay	C	II	Overall sensitivity: 78 -85%, specificity: 36-75%, NPV: 85-92% Specificity increased at higher cut-off values	[429, 430]

cancer, immunosuppressive therapy, liver failure, HIV)						
ICU – mixed adult population: SOT, liver failure, immunosuppressed		Screening assays	C	III	Sensitivity: 91%, specificity: 58%, PPV: 25%, NPV: 98%. Positive mean of 5.6 days before positive mould culture High false positive rate in early ICU admission	[431]
Adult haematological malignancy and HSCT	To diagnose IA	Diagnostic assay	C	II	Overall sensitivity: 57-76%, specificity: 95-97%	[422, 423, 429]
		Screening assays	C	II	Overall sensitivity: 46%, specificity: 97% Confirmation with GM increases specificity Data suggests BDG is unsuitable for ruling out diagnosis of IA	

692 SoR, Strength of recommendation; QoE, Quality of evidence; HSCT, haematopoietic stem cell transplantation; ICU, intensive care unit; SOT, solid organ

693 transplantation; IFD, invasive fungal disease; PPV, positive predictive value; NPV, negative predictive value; GM, galactomannan; BDG, β -D-glucan test; IA,

694 invasive aspergillosis

695 **Table 9. Lateral flow device antigen test for IA**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Haematological malignancy and solid organ transplant	To diagnose IA	LFD applied on BAL samples	B	II	Retrospective study. Sensitivity and specificity of BAL LFD tests for probable IPA were 100% and 81% (PPV 71%, NPV 100%), 5 pts with possible IPA had positive LFD, no proven IA	[432]
Haematopoietic stem cell transplantation	To diagnose IA	LFD applied on serum samples	B	II	Prospective screening in 101 patients undergoing allogeneic HSCT	[433]
Immunocompromised patients	To diagnose IA	LFD applied on BAL samples	B	II	Retrospective study. Sensitivities for LFD, GM, BDG and PCR were between 70 and 88%. Combined GM (cut off >1.0 OD) with LFD increased the sensitivity to 94%, while combined GM (cut off >1.0 OD) with PCR resulted in 100% sensitivity (specificity for probable/proven IPA 95-98%).	[434]

696 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; BDG, β -D-glucan test; BAL, bronchoalveolar lavage; GM,
 697 galactomannan; HSCT, haematopoietic stem cell transplantation; IFD, invasive fungal diseases; LFD, lateral device flow; NPV, negative predictive value; PCR,
 698 polymerase chain reaction; PPV, positive predictive value

699

700 **Table 10. PCR on bronchoalveolar lavage or cerebrospinal fluid**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Patients undergoing allogeneic stem cell transplantation recipients not on mould-active prophylaxis	To diagnose IA	BAL PCR	B	II		[435]
Patients with pulmonary infiltrates and haematological malignancies and prolonged neutropenia	To diagnose IA	BAL PCR	B	II	Methodically different in-house assays, better performance in patients without antifungal treatment, PCR and galactomannan: increases specificity	[359, 415, 434, 436-456]

ICU patients, mixed populations	To diagnose IA	BAL PCR	B	II	Commercially available Aspergillus PCR assays with good performance data.	[81][414][454][457][458][459]
Patients with haematological malignancies	To diagnose CNS aspergillosis or meningitis	CSF PCR	B	II	113 CSF samples from 55 immunocompromised patients sensitivity 100%, specificity 93% (retrospective)	[419, 460-463]

701 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis ; BAL, bronchoalveolar lavage; PCR, polymerase chain reaction; ICU,

702 intensive care unit; CNS, central nervous system; CSF, cerebrospinal fluid.

703

704 **Table 11. PCR on whole blood, serum or plasma**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Patients with haematological malignancies	To diagnose IA	PCR on blood samples	B	II	Meta-analysis: 16 studies PCR single positive test: Sensitivity: 88%, specificity: 75%; PCR 2 consecutive positive tests: Sensitivity: 75%, specificity: 87%	[464]
	To diagnose IA	PCR on serum samples			97% of protocols detected threshold of 10 genomes/ml serum volume >0.5 ml, elution volume <100 µl, sensitivity: 86%; specificity: 94%	[465]
	To diagnose IA	PCR on whole blood samples			First blood PCR assay to be compatible with EAPCRI recommendations, fever driven: Sensitivity: 92%, specificity: 95%, negative PCR result to be used to rule out IA	[466]
Haematopoietic stem cell transplantation	To diagnose IA	Prospective screening PCR on whole blood samples	B	II	Combination of serum and whole blood superior	[94-97]

	To diagnose IA	Prospective screening PCR on blood samples	B	II	Addition of GM and PCR monitoring provides greater accuracy, PPV 50-80%, NPV 80-90%	[98]
	To diagnose IA	PCR and GM in BAL	A	II		[396]

705 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; PCR, polymerase chain reaction; EAPCRI, European *Aspergillus* PCR

706 Initiative; GM, galactomannan, PPV, positive predictive value; NPV, negative predictive value; BAL, bronchoalveolar lavage

707

708 **Table 12. Molecular diagnostics on biopsies**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Biopsy with visible hyphae	To detect and specify a fungus	Broad range PCR	A	II	High sensitivity (> 90 %) and high specificity (99 %); various molecular based techniques available	[373, 467]
Biopsy with no visible hyphae	To detect and specify a fungus	Broad range PCR	C	II	Sensitivity (57 %) and specificity (96 %); ability to distinguish other fungi; performance only in addition to other tests	[373, 467]
Biopsy with visible hyphae	To detect and specify a fungus	Broad range PCR on wax embedded specimens	A	II	TaKaRa DEXPAT kit and QIAamp DNA mini kit detected less than 10 conidia/sample	[468, 469]
Any	To detect and specify a fungus	Fresh tissue samples	B	II	<i>Aspergillus</i> PCR performance analysis yielded sensitivity/specificity rates of 86% / 100% (79 patients, retrospective study)	[58]

709 SoR, Strength of recommendation; QoE, Quality of evidence; PCR, polymerase chain reaction

710 **Table 13. Storage of original samples and isolates**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	To prevent loss of viability of <i>Aspergillus</i> in clinical samples, and to reflect the original fungal content	Clinical samples for culture - short-term storage: 4°C to prevent loss of viability and to reflect the original fungal content	A	III		[98, 381]
	To prevent degradation of biomarkers, e.g. GM in serum or BALs or bronchial washes	Complete assay soon after delivery to laboratory. Avoid short or long-term storage of serum at 4°C	A	I	GM in serum degrades with short-term and long-term storage at 4°C; BAL fluid GM ODI remain stable; testing of pos./neg. serum and BAL fluid pools showed no decline in GM index over 11 months at -20°C	[80, 371-373, 395]
	Short-term maintenance of <i>Aspergillus</i> isolates	Repeated sub-culture	A	I	Viability maintained for several years by frequent sub-culture; Transfer once a month; Maintain at average ambient room temperature	[98, 381]

	Long-term preservation of <i>Aspergillus</i> isolates	Water storage/storage under mineral oil/silica gel storage/freeze-drying freezing (-80°C/ceramic beads/liquid nitrogen)	A	I	Long-term storage means storage periods of 5 years or longer; No further transfers required during this period	
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711 SoR, Strength of recommendation; QoE, Quality of evidence; GM, galactomannan; BAL, bronchoalveolar lavage; ODI, optical density index

712

713 **Table 14. Antibody based diagnosis of invasive aspergillosis[11]**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	To diagnose IA	<i>Aspergillus</i> -specific antibodies by EIA: Serion (Germany), Omega (France), Bio-Rad (France), Dynamiker (China)	C	II	Antibodies take a mean of 11 days to develop after onset of illness; detectable in 29% to 100% of patients during course of acute IA	[470-477]
		Precipitating antibodies by agar gel double diffusion (Microgen Ltd. UK) or counter-immuno-electrophoresis	C	III		[478]
		Agglutinating antibodies by indirect haemagglutination (EliTech/Fumouze, France)	C	II	Consider false-negative results due to hypogammaglobulinaemia	[478]
		Specific immunoglobulins to <i>Aspergillus</i> by ImmunoCap®	C	III		No reference found

714 SoR, Strength of recommendation; QoE, Quality of evidence; EIA, enzyme immunoassay; IA, invasive aspergillosis

715 **Table 15. Indications for testing for azole resistance in clinical *Aspergillus* isolates**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
All clinically relevant <i>Aspergillus</i> isolates (in patient groups or regions with known azole resistance)	Identify azole resistance	Reference MIC testing	A	II	In situations where rapid testing is available	[105, 111, 114, 116, 300, 479-489]
Clinically relevant <i>Aspergillus</i> isolates in patient groups with high prevalence of azole resistance or patients unresponsive to treatment	Identify isolates with intrinsic resistance	Species identification to complex level	A	III	Some species are intrinsically resistant – e.g. <i>A. calidoustus</i> (azole resistant) and <i>A. terreus</i> (AmB resistant)	[103, 490]
Clinically relevant <i>A. fumigatus</i> isolates	Identify azole resistant <i>A. fumigatus</i>	Routine azole agar screening	B	III	Identifies resistant colonies that require MIC-testing	[118, 491]
All isolates –resistance surveillance	Determine the local epidemiology of azole resistance	Periodical reference MIC testing of <i>A. fumigatus</i> complex	A	II	Test at least 100 isolates	[105, 111, 114, 300,

						482-485, 487-489]
Azole-resistant isolates	Determine nature and trends in Cyp51A mutation distribution	Cyp51A-gene mutation analysis	A	II	Test resistant isolates from surveillance survey	[107]

716 SoR, Strength of recommendation; QoE, Quality of evidence; AmB, Amphotericin B; MIC, minimum inhibitory concentration

717 **Table 16. Azole susceptibility testing: Timing, methods, and number colonies**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	Confirm or reject azole resistance in clinical <i>A. fumigatus</i> isolates when antifungal treatment is considered	Azole agar screening test followed by reference MIC test where needed	A	III	MIC testing as soon as the strain is isolated and without waiting for species ID	[103, 114]
	Detect azole-resistant <i>A. fumigatus</i> genotypes in a single culture	Reference MIC testing of multiple colonies (up to 5 colonies)	B	III	Multiple genotypes, i.e. azole-susceptible and azole-resistant, may be present	[115, 492, 493]
		Routine azole agar screening (up to 5 colonies)	B	III	One resistant colony can be identified among 4 susceptible samples together as recently validated.	[118, 491]
	Confirm or reject azole resistance by a validated method	MIC test using EUCAST method and EUCAST BPs (S, I, R)	A	III	Applicable to all <i>Aspergillus</i> spp. Breakpoints established for most species	[494-496]

		MIC test using CLSI method and CLSI ECVs (wild-type/non-wild-type)	B	III	Breakpoints not established	[496]
	MIC testing of various <i>Aspergillus</i> spp.	Etest®	C	III	Confirmation by reference test recommended.	[497-501]

718 SoR, Strength of recommendation; QoE, Quality of evidence; MIC, minimum inhibitory concentration

719 **Table 17. Azole MIC testing: Choice of azole compounds**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Any	To determine susceptibility to itraconazole	MIC (EUCAST/CLSI)	A	III	In general, a sensitive marker for azole resistance in <i>Aspergillus</i> ; test itraconazole and voriconazole as a minimum	[495, 496, 502-506]
Any	To determine susceptibility to voriconazole	MIC (EUCAST/CLSI)	A	III	Resistance/reduced susceptibility to other azole(s) may accompany that of voriconazole; isolated voriconazole resistance described related to TR ₄₆ mutation	[114, 494, 496, 504-507]
Any	To determine susceptibility to posaconazole	MIC (EUCAST/CLSI)	B	III	Posaconazole resistance without itraconazole resistance not reported so far; current EUCAST breakpoint will misclassify approximately 15% susceptible isolates as I/R	[300, 486, 495, 496, 504-509]
Any	To determine susceptibility to isavuconazole	MIC (EUCAST/CLSI)	A	III	MIC often similar to voriconazole, but needs testing separately, if isavuconazole is to be used; lower MIC of isavuconazole as compared	[495, 504, 505, 507, 510-512]

					to itraconazole and voriconazole for <i>A. lentulus</i> and <i>A. udagawae</i> (<i>A. fumigatus</i> complex) (CLSI)	
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720 SoR, Strength of recommendation; QoE, Quality of evidence; MIC, minimum inhibitory concentration; EUCAST, European Committee on Antimicrobial

721 Susceptibility Testing; CLSI, Clinical & Laboratory Standards Institute

722

723 **Table 18. Amphotericin B susceptibility testing**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Clinically relevant isolate	Confirm or reject AmB resistance when antifungal treatment is considered	MIC test	C	III	Acquired resistance to amphotericin B is very rare and therefore correlation with clinical outcome has not been documented apart from the poorer outcome for high MIC species (<i>A. terreus</i> and <i>A. flavus</i> compared to <i>A. fumigatus</i>).	[513-516]
Clinically relevant isolate	Interpretation of MIC (EUCAST)	MIC test using EUCAST method and EUCAST break points (S, I, R)	B	III	MIC break points proposed for <i>A. fumigatus</i> and <i>A. niger</i>	[495, 517, 518]
					Epidemiologic cut-offs established for <i>A. flavus</i> , <i>A. fumigatus</i> , <i>A. niger</i> and <i>A. terreus</i>	
					<i>A. terreus</i> is not considered a good target for AmB. <i>A. flavus</i> may be in vitro resistant	
Clinically relevant isolate	Interpretation of MIC (CLSI)	MIC test using CLSI method and CLSI ECVs (wild-type/non-wild-type)	B	III	ECVs proposed for <i>A. fumigatus</i> , <i>A. flavus</i> , <i>A. nidulans</i> , <i>A. niger</i> , <i>A. terreus</i> , <i>A. versicolor</i> . No clinical break points. <i>A. terreus</i> and <i>flavus</i> , e.g. with MIC below the ECV are not good targets for AmB. No clinical data that <i>A. fumigatus</i> with MIC 2	[519]

					will respond to AmB although classified as wildtype according to CLSI ECVs.	
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724 SoR, Strength of recommendation; QoE, Quality of evidence; AmB, amphotericin B; CLSI, Clinical & Laboratory Standards Institute; ECV, epidemiological cut-

725 off value; EUCAST, European Committee on Antimicrobial Susceptibility Testing; MIC, minimum inhibitory concentration

726

727 **Table 19. Antifungal regimens in intrinsic resistance**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Amphotericin B MIC ≥ 1 mg/L	To cure IA	Replace AmB with azole, if azole tested susceptible	B	II		[17, 170, 520-525]
IA due to <i>A. terreus</i>	To cure IA	Voriconazole	A	II	Avoid AmB	[162, 526, 527]
		Isavuconazole	A	II		
		Posaconazole	B	III		
		Itraconazole	B	III		
IA due to <i>A. calidoustus</i>	To cure IA	Lipid formulation of AmB	A	II	Avoid azoles	[103, 528]
IA due to <i>A. tubingensis</i> (<i>A. niger</i> complex)	To cure IA	Other than azole monotherapy	C	III	Higher azole MIC common, but no data on clinical impact	[501, 529, 530]
IA due to <i>A. lentulus</i> (<i>A. fumigatus</i> complex)	To cure IA	Other than azole monotherapy				
IA due to <i>A. alliaceus</i> (<i>A. flavus</i> complex)	To cure IA	Other than AmB monotherapy	C	III	Avoid AmB	[531]

IA due to <i>A. niger</i> complex	To cure IA	Other than itraconazole and isavuconazole	B	III	Isavuconazole, posaconazole, and voriconazole MIC in general 1 dilution higher compared to <i>A. fumigatus</i> ; itraconazole MIC in general 2 steps higher; limited clinical data	[501, 512]
IA due to <i>A. nidulans</i>	To cure IA	Voriconazole	C	III	AmB MIC elevated, poor clinical responses in chronic granulomatous disease	[532, 533]

728 SoR, Strength of recommendation; QoE, Quality of evidence; AmB, amphotericin B; IA, invasive aspergillosis; MIC, minimum inhibitory concentration

729 **Table 20. Optimal therapy in documented azole-resistance**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Isolate with voriconazole MIC =2 mg/ml	To cure IA	Voriconazole + echinocandin combination therapy or L-AmB monotherapy for IA (as well as for CPA)	A	III	The probability of voriconazole treatment failure may be higher than in voriconazole MIC <2.	[534-536]
Isolate with posaconazole MIC >0.5 mg/ml [537]	To cure IA	L-AmB	A	II _u		[113, 114, 538]
		AmB lipid complex	C	III		No reference found.
		Voriconazole & anidulafungin	B	III		[534]
		Posaconazole & caspofungin	C	III	Posaconazole not licensed for primary treatment	[539]
		Caspofungin or micafungin	C	III	Patients with contra-indications to AmB & other azoles	No reference found.

730 SoR, Strength of recommendation; QoE, Quality of evidence; AmB, Amphotericin B; CPA, chronic pulmonary aspergillosis; IA, invasive aspergillosis; L-AmB,

731 Liposomal amphotericin B; MIC, minimum inhibitory concentration

732 **Table 21. Therapeutic drug monitoring**

Clinical scenarios where antifungal therapeutic drug monitoring may be indicated	Examples, comments
Populations with increased pharmacokinetic variability	Impaired gastrointestinal function; hepatic dysfunction; children, elderly patients, obese patients, critically-ill patients
Changing pharmacokinetics	Intravenous to oral switch, changing gastrointestinal function, changing hepatic or function, physiological-instability
Interacting medications	<p>Patient receiving medication known to induce cytochrome P450 enzymes especially CYP3A4, antacids, proton-pump inhibitors (itraconazole capsules, posaconazole suspension), antiretroviral medications.</p> <p>Patients should have medication records screened using drug interactions screening database before starting and stopping antifungals (example: www.fungalpharmacology.org, fungal-druginteractions.org, or http://www.aspergillus.org.uk/content/antifungal-drug-interactions)</p>
Poor prognosis disease	Extensive or bulky infection, lesions contiguous with critical structures, CNS infection, multifocal or disseminated infection
Compliance concerns	Important issue with longer-term consolidation therapy or secondary prophylaxis in outpatient setting

Suspected breakthrough infection	TDM can establish whether fungal disease progression occurred in the setting of adequate antifungal exposure
Suspected drug toxicity, especially neurotoxicity (voriconazole)	Exposure-response relationships are described for other toxicities (e.g., hepatotoxicity), the utility of TDM to prevent their occurrence is less well established

733 CNS, central nervous system; TDM, therapeutic drug monitoring

734

735 **Table 22. Itraconazole therapeutic drug monitoring**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
All patients receiving itraconazole treatment for IA	Improve efficacy	Measure serum trough level on day 5 of therapy or soon after	A	II	Target itraconazole level >1 mg/L to 4 mg/L by HPLC. Hydroxy-itraconazole metabolite concentrations generally reported separately by HPLC or LC/MS/MS methods, but included in "itraconazole" concentration report by bioassay. Therapeutic range by bioassay may vary by laboratory but typically fall in the range of (3-17 mg/L)	[122, 127, 540-542]
All patients receiving itraconazole for prophylaxis for IA	Improve efficacy	Measure serum trough level on day 5 of therapy or soon after	A	II	Target itraconazole level >0.5 mg/L (HPLC) or > 3 mg/L (bioassay)	[124]
Patients receiving itraconazole	Reduce toxicity	Measure serum trough level on day 5 of therapy or soon after	B	II	Toxicity was associated with itraconazole levels >17.1 mg/L by itraconazole bioassay, which correspond to ~4 mg/L by HPLC	[127]

736 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; HPLC, high performance liquid chromatography; LC, liquid

737 chromatography; MS, mass spectrometry

738 **Table 23. Voriconazole therapeutic drug monitoring**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
All patients receiving voriconazole treatment for IA	Improve efficacy, safety and compliance	Measure plasma trough level after 2-5 days of therapy or soon after	A	II	Target range of 1-5.5 mg/L	[128-131, 133, 543-545]
		Repeat plasma trough level	B	II	Repeat during second week of therapy, additional samples as clinically indicated and outlined in the text	[128-131, 133, 543-545]
All patients receiving voriconazole prophylaxis for IA	Improve efficacy, safety and compliance of prophylaxis	Measure serum trough level after 2-5 days of therapy or soon after, and 4 days after change of dose	A	II	As above; most studies investigated voriconazole treatment rather than prophylaxis	[132, 546, 547]
Patients with IA due to <i>Aspergillus</i> strains of reduced azole	Improve efficacy of treatment for isolates with MIC=2 mg/ml	Measure serum trough level after 2 to 5 days of therapy or soon after and 4 days after change of dose	A	II	Trough >2 mg/L recommended on the basis of PK/PD analysis	[131, 548]

susceptibility MIC =2 mg/ml						
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739 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; MIC, minimum inhibitory concentration; PK, pharmacokinetic; PD,

740 pharmacodynamic

741 **Table 24. Posaconazole therapeutic drug monitoring**

Population	Intention	Intervention	SoR	QoE	Comments	Ref.
Patients receiving posaconazole suspension for treatment of IA	Improve efficacy, compliance	Serum trough level on day 5 of therapy or soon after	A	II	Target level >1 mg/L. Gastroresistant tablet or intravenous formulation preferred for most patients, consider switch to tablet or IV, if no therapeutic levels with oral suspension Repeat determination as clinically appropriate Longed half-life gives similar results for random sampling and true trough samples	[138]
Patients receiving posaconazole suspension for prophylaxis to prevent IA	Improve efficacy, compliance	Serum trough level on day 5 of therapy or soon after.	C	II	Target level >0.7 mg/L Adequate tissue concentrations may occur despite serum concentration <0.7 mg/L Repeat determination as clinically appropriate	[136, 137, 549-552]

Patients receiving posaconazole	Improve safety	Measure serum trough level on day 5 of therapy or soon after	C	III	<p>If treatment failure or toxicity suspected, TDM may be indicated in patients receiving gastroresistant tablet or intravenous formulation</p> <p>Posaconazole exposures between 0.5-3.75 mg/L are well studied and considered safe and effective with all three formulations</p> <p>Posaconazole plasma levels above this exposure range may be associated with toxicity</p>	[120,121]
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742 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; IV, intravenous; TDM, therapeutic drug monitoring; AML, acute

743 myeloid leukaemia; HSCT, haematopoietic stem cell transplantation; GvHD, graft versus host disease

744

745 **Table 25. Isavuconazole therapeutic drug monitoring**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
All patients receiving isavuconazole	Improve efficacy safety and compliance	Measure serum trough level on D5 of therapy or soon after	C	III	Limited data to support routine TDM but may be indicated in the setting of treatment failure, drug interactions, or if toxicity is suspected The long half-life of isavuconazole (130 hours) may support use for TDM in some clinical situations to confirm drug clearance prior to starting medications metabolized by CYP3A4, especially chemotherapy agents	FDA advisory briefing documents

746 SoR, Strength of recommendation; QoE, Quality of evidence; TDM, therapeutic drug monitoring; FDA, Food and Drug Administration

747 **Table 26. Primary prophylaxis**

Population	Intention	Intervention	SoR	QoE	Comment	Ref
Haematological malignancies, e.g. AML with prolonged and profound neutropenia	Lower incidence of IA	Posaconazole 200 mg tid suspension or 300 mg tablet qd	A	I	AML/MDS induction only. TDM especially with oral suspension. Tablets more bioavailable, bridging with posaconazole IV formulation possible	[553]
		L-AmB 12.5 mg biw, nebulized, with undetermined dose of fluconazole	B	I	AML	[554, 555]
		ABLC 3 mg/kg 3x/weekly	C	II _h	No difference to L-AmB regimen	[556]
		Micafungin 50 mg qd	C	II _t		[560, 561]
		L-AmB 10 mg/kg q7d	C	II _u		[562]
		L-AmB 50 mg abs q2d	C	II _u		[563]
		L-AmB 15 mg/kg q14d	C	II _u		[564]
		Voriconazole	C	II _t	Not better than fluconazole	[565]

		Itraconazole 400 mg/d, oral solution	D	II	No difference to fluconazole (n=195) and more toxicity	[121, 557-559]
Acute lymphoblastic leukaemia, remission induction chemotherapy	Lower incidence of IA	L-AmB 5 mg/kg biw	D	I	L-AmB more toxic than placebo, no significant reduction in IA rate	[566]
Autologous HSCT or treatment of haematological malignancies besides acute leukaemia	Lower incidence of IA	Any mould active agent	D	III		No reference found.
Allogeneic HSCT (until neutrophil recovery)	Lower incidence of IA	Posaconazole 200 mg tid suspension or 300 mg tablet qd	B	II _t	Neutropenia duration approximately identical, TDM*	[553]
		L-AmB 12.5 mg biw, nebulized, with fluconazole	B	II _t		[554]
		Voriconazole 200 mg bid	C	I	Not better than fluconazole, TDM	[567, 568]

		Micafungin 50 mg/d	C	I	But no difference in subgroup analysis for aspergillosis	[560]
		Itraconazole 400 mg/d oral solution	D	I	Toxicity issues; TDM	[554, 559]
Allogeneic HSCT (after neutrophil recovery and no GvHD)		Any antifungal agent	D	III	No study demonstrated outcome advantage	
Allogeneic HSCT (with moderate to severe GvHD and/or intensified immunosuppression)		Posaconazole 200 mg tid suspension or 300 mg tablet qd	A	I	TDM	[569]
		Voriconazole 200 mg bid	C	II	Not better than fluconazole; TDM	[567, 568]
		Itraconazole 400 mg/d, oral solution	C	II	Toxicity issues; TDM	[559]
		Micafungin 50 mg/d	C	III	Only few patients with GVHD	[560]
Allogeneic HSCT (until neutrophil recovery)	To reduce IA attributable mortality	Posaconazole 200 mg tid suspension or 300 mg tablet qd	B	II _t	Neutropenia duration approximately identical. TDM	[553]
Allogeneic HSCT (after neutrophil recovery, without GvHD)		Any other antifungal	D	III	No study demonstrated outcome advantage	

Allogeneic HSCT (with moderate to severe GvHD and/or intensified immunosuppression)		Posaconazole 200 mg tid suspension or 300 mg tablet qd	A	II	Mainly IFD-attributable mortality, TDM	[569]
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748 SoR, Strength of recommendation; QoE, Quality of evidence; qd, once daily; bid, twice daily; tid, thrice daily; AML, acute myeloid leukaemia; MDS,

749 myelodysplastic syndrome; TDM, therapeutic drug monitoring; ABLC, amphotericin B lipid complex; L-AmB, Liposomal amphotericin B; HSCT,

750 haematopoietic stem cell transplantation; GvHD, graft versus host disease; IFD, invasive fungal disease

751

752 **Table 27. Targeted therapy of pulmonary disease – First line**

Population	Intention	Intervention	SoR	QoE ¹	QoE ²	QoE ³	Comment	Ref.
¹ Neutropenia (non- allo HSCT recipients)	To increase response and survival rate	Isavuconazole 200 mg iv tid day 1-2, then 200 mg qd oral	A	I	II _t	II _t	D III, if mould active azole prophylaxis less adverse effects than voriconazole	[173, 512, 633, 634]
² Allo-HSCT (during neutropenia)		Voriconazole 2x 6 mg/kg IV (oral 400 mg bid) on day 1, then 2x 4 mg/kg IV (oral 200 to 300 mg bid)	A	I	II _t	II _t	C III for start with oral; D III, if prior mould active azole prophylaxis; TDM	[170, 172, 512, 635]
		L-AmB 3 mg/kg	B	II	II _t	II _t		[171]
³ Allo-HSCT (w/o neutropenia) or other non-		Combination of voriconazole 6/4 mg/kg bid (after one week oral possible (300 mg bid)) + anidulafungin 200/100 mg	C	I	II _t	II _t	No significant difference compared to voriconazole, in GM positive (subgroup) better survival; TDM	[172, 635]
	Caspofungin 70 mg qd day 1, followed by 50 mg qd (if body weight <80kg)	C	II	II	II		[618-620]	

neutropenic patients		Itraconazole 200 mg q12h iv on day 1, then 200 mg/qd	C	III	II _{t,a}	II _{t,a}	D III for start with oral, TDM D III, if mould active azole prophylaxis	[512, 542]
		AmB lipid complex (ABLC) 5 mg/kg	C	III	III	III		[636]
		Micafungin 100 mg	C	III	III	III		[637-639]
		AmB colloidal dispersion (ABCD) 4-6 mg/kg	D	I	II _t	II _t		[142]
		Conventional AmB 1-1.5 mg/kg	D	I	II _t	II _t		[170]
		Other combinations	D	III	III	III	Efficacy unproven	[640]
Life-threatening haemoptysis	Bridging until neutrophil recovery	Arterial embolization, emergency surgical intervention	B	III	III	III		[641]

753 SoR, Strength of recommendation; QoE, Quality of evidence; allo-HSCT, allogeneic haematopoietic stem cell transplantation; bid, twice daily; tid, thrice

754 daily, qd, once daily; IA, invasive aspergillosis; IV, intravenous; TDM, therapeutic drug monitoring; GM, galactomannan

755 **Table 28. Targeted therapy of extrapulmonary disease – First line**

Population	Intention	Intervention	SoR	QoE	Comment	Ref
Suspected or proven IA of the central nervous system	To increase response and survival rate	Surgical debridement, if surgically possible	A	II _u		[642, 643]
		Voriconazole	A	II _u	N=5/5	[170]
					N=81, 48 proven cases, 33 probable cases, TDM recommended targeting trough concentration of 2-5.5 mg/L	[642]
		Posaconazole	D	III	8 patients documented in studies (5 failures)	[644]
		Itraconazole	D	III		
		Lipid formulations of AmB	B	III	Case collections, animal data	[645-647]
		cAmB	D	I	Renal toxicity	[189, 648-650]
		Echinocandins	D	III	Insufficient tissue penetration	[646]

Patients with clinical suspicion of or proven invasive sinus aspergillosis	To cure	Surgery	A	III	Need to be considered on an individual basis and decision	
		Local antifungal therapy	C	III		
Voriconazole		A	II _t	N=8/7, TDM recommended	[170, 651]	
L-AmB		A	II _t	Active against mucormycosis as well since mixed infections occur or cannot be differentiated	[171]	
Posaconazole, itraconazole, echinocandins		C	III	Not well specified in studies, TDM recommended for posaconazole and itraconazole	[652, 653]	
Patients with invasive sinus aspergillosis (all levels of certainty: suspected through proven)						

756 SoR, Strength of recommendation; QoE, Quality of evidence; TDM, therapeutic drug monitoring; AmB, Amphotericin B, cAmB, conventional amphotericin B;

757 L-AmB, liposomal amphotericin B

758

759 **Table 29. Fever-driven (“empiric”) approach**

Population	Intention	Intervention	SoR	QoE	Comment	Ref
Chemotherapy for haematological malignancies or HSCT, neutropenia <500/ μ L \geq 96 h, fever (>38°C), and parenteral broad spectrum antibacterial therapy \geq 96 h (some centres consider 48h)	Reduction in the incidence of IA and/or related mortality	Caspofungin 70 mg qd day 1, followed by 50 mg qd (if body weight <80kg)	A	I	Caspofungin was associated with a significantly higher rate of survival than L-AmB (subgroup analysis).	[188]
		L-AmB 3 mg/kg	B	I	Less toxicity in comparison to cAmB but more renal toxicity compared to echinocandin	[188, 189]
		Voriconazole 6 mg/kg bid IV (oral 400 mg bid) on day 1, then 4 mg/kg bid IV (oral 200 to 300 mg bid)	B	II	Failed the 10% non-inferiority cut-off when compared with L-AmB, but first-line for aspergillosis. Activity of azoles empirical therapy for persistent fever may be limited in patients receiving prophylaxis with an agent of the same class. TDM*	[190]
		Itraconazole 200 mg qd iv	C	II	Activity of azoles empirical therapy for persistent fever may be limited in patients receiving prophylaxis with an agent of the same class. TDM*	[666]
		ABLC 5 mg/kg qd	C	I	Infusion-related toxicity (fever, chills, hypoxia)	[667]

		ABCD 4 mg/kg	C	I	Same as above	[668]
		cAmB 0.5-1 mg/kg qd	D	I	Poor tolerance due to extreme toxicity	[189, 342, 649, 650, 666, 668]
		Micafungin 100 mg qd	B	II		[669]
		Fluconazole	D	II _r	No activity against Aspergillus	[670]

760 SoR, Strength of recommendation; QoE, Quality of evidence; L-AmB, liposomal amphotericin B; cAmB, conventional amphotericin B; IV, intravenous; TDM,

761 therapeutic drug monitoring; ABLC, amphotericin B lipid complex; ABCD, amphotericin B colloidal dispersion

762 **Table 30. Non-haematological patients at high risk**

Population	Intention	Intervention	SoR	QoE	Ref.
SOT lung with pre-transplantation colonization and <i>Aspergillus</i> in intraoperative culture OR CMV disease OR higher donor age OR prolonged ischaemia time OR receiving daclizumab OR bronchial anastomotic ischemia OR bronchial stent OR single lung SOT	To identify patients with high risk of IA	Consider prophylaxis	B	III	[210, 226, 692] [215, 693-695]
SOT lung with repeated acute and chronic rejection			B	II _t	[696, 697]
SOT heart with re-operation, CMV infection, haemodialysis, other episode of IA in the program within 2 months	To identify patients with high risk of IA	Consider prophylaxis	A	II _h	[204]
SOT heart with airborne <i>Aspergillus</i> spores in ICU			A	II	[203, 204]
SOT heart with sirolimus OR tacrolimus OR hypogammaglobulinemia			B	II _h	[212, 698]
SOT liver with one of the following characteristics: requirement for dialysis OR retransplantation OR fulminant hepatic failure OR MELD score >30	To identify patients with high risk of IA	Consider prophylaxis	B	II _h	[210, 211, 217, 224, 308, 311, 607, 699-702]

SOT liver with one of the following characteristics: ICU admission or corticosteroid requirement previous 2-4 weeks to transplant OR >15 units of packed red blood cells during transplant surgery OR reoperation involving the intraabdominal cavity OR choledochojejunostomy			C	III	
SOT kidney with one of the following characteristics: Pre-transplant COPD OR delayed graft function OR post-transplant blood stream infection OR acute graft rejection	To identify patients with high risk of IA	Consider prophylaxis	A	II _h	[228]
COPD with one of the following characteristics: high (systemic) cumulative glucocorticosteroid dose OR refractory to antibiotic therapy OR admission to the intensive-care unit	To identify patients with high risk of IA	Consider prophylaxis	A	II _t	[232, 257, 703, 704]
HIV with CD4 count <100 cells/ μ l	To identify patients with high risk of IA	Consider prophylaxis	A	II _h	[232]
ICU patients with either COPD OR requiring glucocorticosteroids therapy	To identify patients with high risk of IA	Consider prophylaxis	A	II _h	[257, 703, 704]
ICU patients with either acute liver failure OR burns OR severe bacterial infection OR malnutrition			B	III	

ICU or SOT recipients with Increased environmental exposure	To identify patients with high risk of IA	Consider prophylaxis	A	II	[203, 204, 705, 706]
Liver insufficiency	To identify patients with high risk of IA	Consider prophylaxis	B	II _h	[244, 707]
Burn patients with positive fungal cultures	To identify patients with high risk of IA	Consider prophylaxis	A	II _h	[708, 709]
Percentage of total body surface area burn injury; length of stay	with high risk of IA	prophylaxis	B	III	[706]
Patient receiving one of the following: TNF- α blockers, basiliximab, daclizumab, infliximab, etanercept, alemtuzumab, adalimumab, rituximab, abatacept	To identify populations at high risk of IA	Consider prophylaxis	C	III	No reference found.

763 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; CMV, cytomegalovirus; ICU, intensive care unit; COPD, chronic

764 obstructive pulmonary disease; BAL, bronchoalveolar lavage

765

766 **Table 31. Diagnosis-driven (“pre-emptive”) approach in non-haematological patients**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
COPD	To diagnose IA	Respiratory culture	A	II _u	Isolation of <i>Aspergillus</i> in culture from admitted patients with COPD represents IA in at least 22% of patients	[257, 281, 282, 710]
COPD	To diagnose IA	GM BAL	B	II _u	Sensitivity/specificity of BAL GM >1.0 cut-off is 67% / 96%, at GM >0.5 cut-off is 89% / 88%	[281]
Underlying respiratory disease	To diagnose IA	Lateral flow device BAL	C	II	Sensitivity / specificity 77% / 92% (Not commercially available at the time of writing)	[285]
HIV	To diagnose IA	Direct microscopy	A	II _h	50% positive	[232]
HIV	To diagnose IA	GM BAL	B	II _u	53% positive	[232]
HIV	To diagnose IA	GM serum	B	II _u	34% positive	[232]
HIV	To diagnose IA	Histology	A	II _u	75% positive	[232]
ICU	To diagnose IA	BDG serum	B	II _u	Autopsy study, non-haematological immunocompromised critically	[429]

					ill patients with lower respiratory tract infection. Using 140 pg/ml cut-off, sensitivity/specificity 100% / 70%	
ICU	To diagnose IA	BDG serum	B	II _u	BDG appeared a mean of 6.5 days before <i>Aspergillus</i> was grown	[711]
ICU	To diagnose IA	Respiratory culture	B	II _u		[89, 712, 713]
ICU	To diagnose IA	GM BAL	C	II _u	Using cut-off ODI 0.5 sensitivity/specificity 88-90% / 87-100%	[89, 712, 713]
ICU	To diagnose IA	SeptiFast®	C	II _h	Sensitivity/specificity 66% / 98%, PPV 93%, NPV 88%	[714, 715]
Non haematological	To diagnose IA	Culture	A	II _h	Very low PPV of <i>Aspergillus</i> spp. culture from respiratory samples	[197]
Non haematological	To diagnose IA	Culture	A	II _h	Sensitivity of BAL higher for non-neutropenic patients	[52]
Non-haematological	To diagnose IA	GM serum	C	II	Using cut-off of 0.5 ng/ml sensitivity/specificity 60% / 89%	[710]

Non-haematological	To diagnose IA	MycAssay Aspergillus®	C	II	Sensitivity, specificity, PPV, and NPV of first sample/any sample were 87%/93%, 87%/82%, 34%/34%, 92%/100%	[278]
SOT, any	To diagnose IA	Respiratory culture	D	II	Low sensitivity and specificity	[87, 282]
SOT, any	To diagnose IA	GM BAL	B	II	Using cut-off ODI 1.0 sensitivity/specificity 100% / 91%	[716]
SOT, any	To diagnose IA	High-resolution chest computed tomography	A	III	Bilateral bronchial wall thickening and centrilobular opacities, tree-in-bud pattern (65%), ground-glass opacities and/or bilateral areas of consolidation (23%)	[214, 717]
SOT, any	To diagnose IA	Lateral flow device BAL	C	II	N=11 SOT	[284, 434, 718]
SOT Heart	To diagnose IA	Respiratory culture	A	II _h	Overall positive predictive value (PPV) 60-70%, PPV 88-100% with respiratory specimens other than sputum; recovery of <i>A. fumigatus</i> PPV 78-91%	[271]
SOT Heart	To diagnose IA	High-resolution computed tomography	A	II _h	Provided significant additional information in 41%; positive with a normal chest X-ray in 18%	[274]

		of the thorax				
SOT Lung	To diagnose IA	BDG serum	C	II _u	Sensitivity/specificity 64%, 9%, PPV 14%, NPV 50%	[719]
SOT Lung	To diagnose IA	GM BAL	B	II	Using cut-off ODI 1.5 sensitivity/specificity 100% / 90%	[87, 88, 720, 721]
SOT Lung	To diagnose IA	PCR of respiratory samples	B	II		[88]

767 SoR, Strength of recommendation; QoE, Quality of evidence; COPD, chronic obstructive pulmonary disease; BDG, β -D-glucan; IA, invasive aspergillosis; ICU,
768 intensive care unit; SOT, solid organ transplantation; PPV, positive predictive value; GM, galactomannan; ODI, optical density index; NPV, negative predictive
769 value; BAL, bronchoalveolar lavage; PCR, polymerase chain reaction

770 **Table 32. Treatment in non-haematological patients**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
HIV	To treat IA	Voriconazole	A	III	Consider drug-drug interactions with antiretroviral drugs.	[722]
SOT Heart	To treat IA	Itraconazole	C	III	6 patients cured with itraconazole 200-400 mg/d Erratic absorption and interaction with calcineurin inhibitors and other agents	[723]
SOT, any	To treat IA	Voriconazole	A	III	e.g. Herbrecht study 11 SOT; voriconazole increases the levels of anti-calcineurin immunosuppressors, TDM; monitor liver function tests especially in liver transplant recipients.	[170, 214, 287, 333, 607-609, 673, 724-726]
SOT, any	To treat IA	L-AmB	A	II		[678, 727, 728]
SOT, any	To treat IA	Voriconazole &	B	II	40 SOT voriconazole & caspofungin (n=40) vs amphotericin B (n=47).	[289]

		caspofungin			Survival benefit in pts with <i>A. fumigatus</i> or renal insufficiency	
SOT, if voriconazole contraindicated	To treat IA	Caspofungin	B	III	Complete response 83%; response 7/9 monotherapy and 7/10 combination	[621, 622, 686, 729]

771 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; SOT, solid organ transplantation; L-AmB, Liposomal amphotericin B; SOT,

772 solid organ transplantation; TDM, therapeutic drug monitoring

773

774 **Table 33. Prophylaxis in non-haematological patients**

Population	Intervention	Intention	SoR	QoE	Comment	Reference
SOT Lung	Universal* prophylaxis	To prevent IA	A	I	Invasive fungal infection appeared at a median of 35 days	[304, 315, 730]
	Targeted* prophylaxis	To prevent IA	C	III		[315, 694, 731]
	Inhaled cAmB	To prevent IA	B	II _h	25 mg/day for 4 days, followed by 25 mg/week for 7 weeks. More adverse events in inhaled deoxycholate vs lipid-based Breakthrough IA in 7-10%	[732, 733]
	Inhaled lipid-based AmB	To prevent IA	A	I	More adverse events with inhaled deoxycholate vs lipid-based but similar efficacy; various possible protocols: 50mg/day for 4 days, then 50mg/week for 7 weeks; 50mg/day for 2 weeks, then once weekly for 10 weeks; 25mg thrice weekly between day 1 and day 60 post SOT and once weekly between day 60 and day 180	[724, 732-735]

	Voriconazole	To prevent IA	A	III	Voriconazole 2x200 mg/d more hepatotoxic than itraconazole 2x200 mg/d. Usual duration of prophylaxis 3-6 months; monitor liver and skin toxicity	[303, 304, 315, 731, 736]
	Voriconazole pre-emptive, if colonized	To prevent IA	B	II _u	Breakthrough IA <2% at 6 months	[731]
	Voriconazole for three months	To prevent IA	C	II	No effect of voriconazole on the incidence of IA (45% vs 49%)	[303]
SOT Heart	Universal* prophylaxis with itraconazole or inhaled AmB	To prevent IA	C	I		[214, 737, 738]
	Universal* prophylaxis with itraconazole or inhaled AmB	To prevent IA	C	II	IA rates 5% without prophylaxis, 1.5% with itraconazole 2x200 mg, 0% with inhaled AmB	[214, 737, 738]
	Targeted* prophylaxis with echinocandins	To prevent IA	A	II _t	Prophylaxis in 10% of patients, IA rate reduced from 9% to 2%, attributable mortality from 6% to 2%; duration dependant of risk	[215]

					factors persistence	
SOT Liver	Targeted* prophylaxis with lipid AmB	To prevent IA	B	III	IA rate reduced, mortality unaffected	[607, 739-741]
	Targeted* prophylaxis with echinocandins	To prevent IA	A	I	Standard dosed echinocandins reduced IA rate; duration of prophylaxis usually 21 days post SOT	[217, 308, 311, 742]

775 SoR, Strength of recommendation; QoE, Quality of evidence; * targeted prophylaxis = only if additional risk factors; universal prophylaxis = to all patients in

776 population; IA, invasive aspergillosis; SOT, solid organ transplantation; AmB, Amphotericin B; cAmB, conventional amphotericin B deoxycholate

777

778 **Table 34. Prophylaxis in children at high risk**

Population	Intention	Intervention	SoR	QoE	Comment	Ref
Allogeneic HSCT, pre-engraftment phase; Allogeneic HSCT, post-engraftment phase, GvHD and augmented immunosuppression; High-risk patients with de novo or recurrent leukaemia, bone marrow failure syndromes with prolonged and profound neutropenia	Prevention of IA	Itraconazole	A/B*	II _t	TDM recommended; Approved indication; not approved EU < 18 years	[122, 559, 570-579]
		Posaconazole	A	II _t	TDM recommended; only supportive paediatric data for ≥ 13 years of age	[136, 137, 338, 553, 569, 580-586]
		Voriconazole	A	II _t	Not approved for <2 years; Inference from efficacy from HSCT trials and supportive studies; TDM recommended	[130, 131, 135, 546, 567, 568, 587-593]
		Liposomal amphotericin B	B	II _t /III*	Not approved for prophylaxis; Optimal dose of alternate administration unknown; Alternative if triazoles are not tolerated / contraindicated	[563, 594-599]

		Micafungin	B	II _t /III*	No definite evidence (trend only) for prophylactic efficacy against <i>Aspergillus</i> spp. Alternative if triazoles are not tolerated or contraindicated	[560, 600-604]
Chronic granulomatous disease (CGD) patients	Prevention of IA	Itraconazole	A	II	Approved indication; not approved in the EU for < 18 years; TDM recommended	[122, 575-578, 605, 606]
		Posaconazole	A	III	Not EU approved for children < 18 years; TDM recommended; PK and safety data for children ≥ 4 years	[136, 137, 582-585]

779 * SoR = B for allogeneic HSCT post-engraftment phase, GvHD (graft versus host disease) and augmented immunosuppression

780 * QoE = III for allogeneic HSCT post-engraftment phase, GvHD and augmented immunosuppression

781 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; TDM, therapeutic drug monitoring; HSCT, haematopoietic stem cell
782 transplantation

783

784 **Table 35. Treatment in children**

Population	Intention	Intervention	SoR	QoE	Comment	Ref
Any paediatric population other than neonates	Treatment proven/probable IA	Voriconazole 18 mg/kg/d iv day 1, followed by 16 mg/kg/d iv or 18 mg/kg/d po in 2 divided dosages (up to 14 years and < 50 kg); if >15 yrs or >12 yrs and >50 kg use adult dosing recommendations	A	II _t	Not approved in patients <2 yrs; TDM recommended.	[130, 131, 135, 170, 333, 546, 589-592, 607-613]
Any paediatric population other than neonates	Treatment proven/probable IA	L-AmB 3 mg/kg/d	B	II _t	Comparison between 2 dosages of L-AmB, no comparison to voriconazole	[171, 597, 599, 614-617]
Any paediatric population other than neonates	Treatment proven/probable IA	Caspofungin 70 mg/m ² day 1, followed by 50 mg/m ² /d (max. 70 mg/d)	C	II _t	Study prematurely stopped due to low accrual	[616, 618-628]
Neonates	Treatment proven/probable IA	L-AmB 3 mg/kg/d	A	III		[629-632]

785 SoR, Strength of recommendation; QoE, Quality of evidence; IA, invasive aspergillosis; TDM, therapeutic drug monitoring; L-AmB, liposomal amphotericin B

786 **Table 36. Secondary prophylaxis**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Previous IA and undergoing allogeneic HSCT or entering risk period with non-resectable foci of <i>Aspergillus</i> disease	To reduce risk of IA recurrence	Secondary prophylaxis with an <i>Aspergillus</i> active antifungal proven to be effective in the actual patient	A	II	Results compared to historical data, mostly in allogeneic HSCT setting	[654-659]
		Voriconazole	A	II _h	IA: 31/45 pts, 1 year cumulative incidence of IFD 6.7±3.6%, TDM	[654]
		Caspofungin 70 mg day 1, followed by 50 mg/d IV until stable engraftment, followed by 400 mg itraconazole suspension PO	B	II _h		[658]
		L-AmB followed by voriconazole	C	II	Fungal infection related mortality 28% despite lipid-based AmB	[657, 660]
Previous IA and with resectable foci of <i>Aspergillus</i> disease	To reduce risk of IA recurrence	Surgical resection following by secondary prophylaxis	B	III	Timing and methods of surgery important. Concomitant administration of appropriate antifungal compound justified.	[661-665]

before entering risk period					Indication for surgical intervention by appropriate specialist. Interdisciplinary consensus needed.	
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787 SoR, Strength of recommendation; QoE, Quality of evidence; HSCT, haematopoietic stem cell transplantation; IA, invasive aspergillosis, IFD, invasive fungal

788 disease; TDM, therapeutic drug monitoring, PO, per os; L-AmB, liposomal amphotericin B

789 **Table 37. Antifungal drugs in refractory disease**

Population	Intention	Intervention	SoR	QoE	Comment	Ref
Haematological patients with refractory IA	Achieve complete or partial response, or stable disease, improve survival	Switch to another drug class	A	III		
		Any combination	C	III	No prospective study demonstrated superiority of combination therapy over monotherapy	[671]
		Voriconazole	A	II		[333, 672-674]
		L-AmB 3-5 mg/kg	B	II	Majority voted for BII others for All	[598, 675, 676]
		ABLC 5 mg/kg	C	II		[636, 676-678]
		ABCD			No longer commercially available	[679, 680]
		Caspofungin 70 mg qd day 1, followed by 50 mg qd (if body weight <80kg)	B	II	Very few data in case of voriconazole/posaconazole failure	[335, 674, 681-687]
		Micafungin 75-200 mg qd	C	II		[638, 688]

		Posaconazole 200 mg qid or 400 bid suspension or 300 mg tablet bid day 1, followed by 300 mg qd	B	II		[138, 336, 689, 690]
		Itraconazole	D	III	In case of refractoriness to voriconazole	
		Itraconazole oral forms	C	II	Poor bioavailability	[126]
		Itraconazole IV formulation			Commercially not available everywhere	[542, 691]

790 SoR, Strength of recommendation; QoE, Quality of evidence; L-AmB, Liposomal amphotericin B; ABLC, amphotericin B lipid complex; ABCD, amphotericin B

791 colloidal dispersion; IV, intravenous; TDM, therapeutic drug monitoring; qd, once daily; bid, twice daily; qid, four times daily

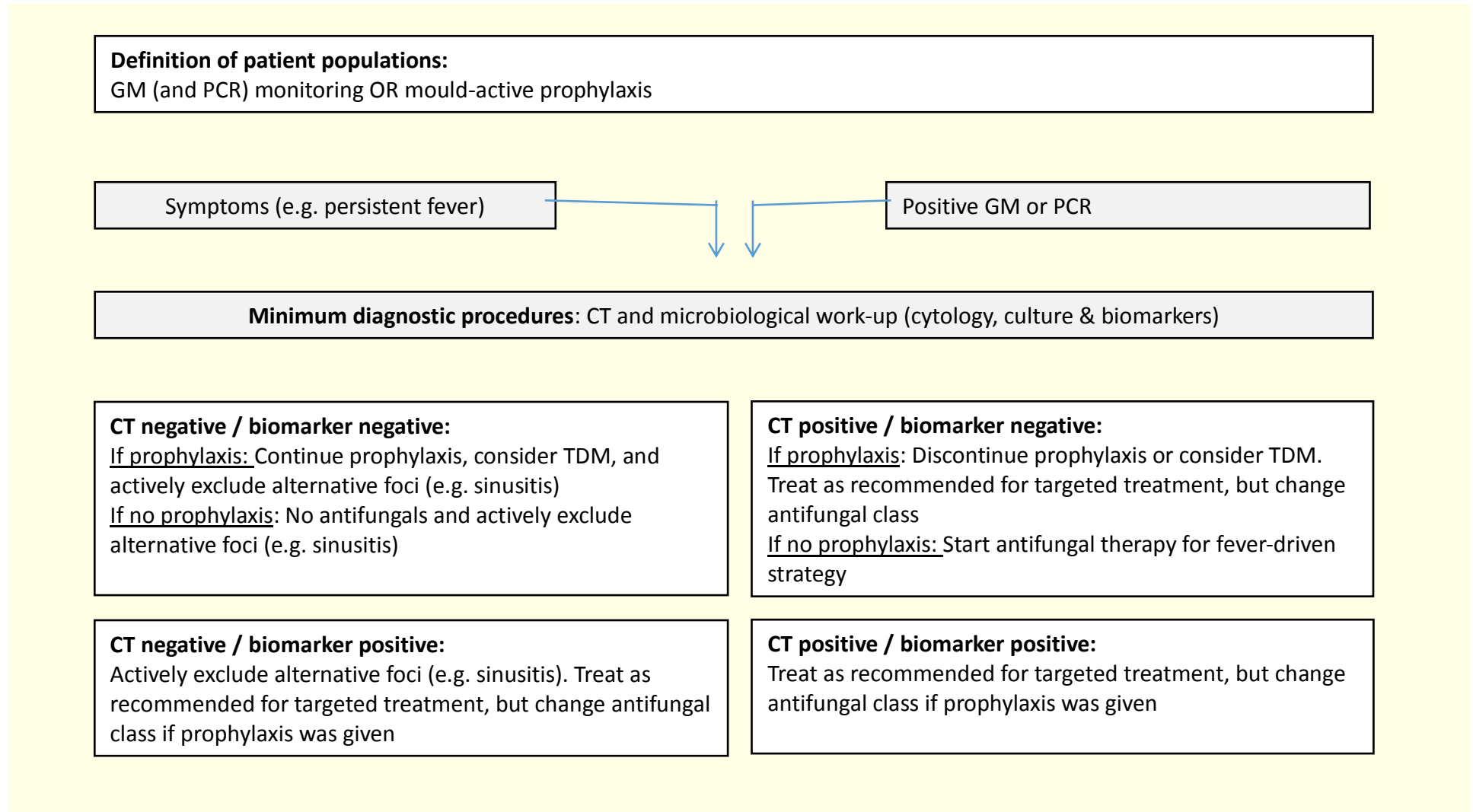
792

793 **Table 38. Chronic pulmonary aspergillosis**

Population	Intention	Intervention	SoR	QoE	Comment	Ref.
Cavitary or nodular pulmonary infiltrate in non-immuno-compromised patients	Diagnosis or exclusion of CPA	Direct microscopy for hyphae	A	II _t	Positive microscopy is a strong indicator of infection, not studied in CPA, but in ABPA	[743]
		Histology	A	II	In CPA histology distinguishes between CNPA and CCPA	[744]
		Fungal culture (respiratory secretion)	A	III	Bacterial culture plates are less sensitive than fungal culture plates	[269]
Cavitary or nodular pulmonary infiltrate in non-immuno-compromised patients	Diagnosis or exclusion of CPA	<i>Aspergillus</i> IgG antibodies	A	II	IgG and precipitins test standardization incomplete	[364]
CPA patients with progressive disease	Control of infection	Itraconazole: Start 200 mg bid, adjust with TDM	A	II	No data to indicate which agent is preferable	[364, 745]

		Voriconazole Start 150-200 mg bid, adjust with TDM			Voriconazole preferred for CNPA and patients with fungal balls to minimize risk of resistance	[366, 746, 747]
		Posaconazole 400 mg bid (oral suspension) 300 mg qd (delayed release tablets)	B	II	Higher rate of adverse events, if some adverse events with itraconazole and voriconazole	[748]

794 SoR, Strength of recommendation; QoE, Quality of evidence; CPA, chronic pulmonary aspergillosis; ABPA, allergic bronchopulmonary aspergillosis; SAIA,
795 subacute invasive aspergillosis; CNPA, chronic necrotising pulmonary aspergillosis; CCPA, chronic cavitary pulmonary aspergillosis; qd, once daily; bid, twice
796 daily; TDM, therapeutic drug monitoring
797



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2886

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2913 antifungal discovery company. He acts or has recently acted as a consultant to Astellas, Sigma Tau,
2914 Basilea, Scynexis, Cidara, Biosergen, Quintiles, Pulmatrix, Pulmocide and Zambon. In the last 3 years,
2915 he has been paid for talks on behalf of Astellas, Dynamiker, Gilead, Merck and Pfizer. He is a
2916 longstanding member of the Infectious Disease Society of America Aspergillosis Guidelines group,
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