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Reservoir and vector evolutionary pressures shaped the adaptation of *Borrelia*.

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Abstract

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The life cycle of spirochetes of the genus Borrelia includes complex networks of vertebrates and ticks. The tripartite association of Borrelia-vertebrate-tick has proved ecologically successful for these bacteria, which have become some of the most prominent tick-borne pathogens in the northern hemisphere. To keep evolutionary pace with its double-host life history, Borrelia must adapt to the evolutionary pressures exerted by both sets of hosts. In this review, we attempt to reconcile functional, phylogenetic, and ecological perspectives to propose a coherent scenario of Borrelia evolution. Available empirical information supports that the association of Borrelia with ticks is very old. The major split between the tick families Argasidae-Ixodidae (dated some 230-290 Mya) resulted in most relapsing fever (Rf) species being restricted to Argasidae and few associated with Ixodidae. A further key event produced the diversification of the Lyme borreliosis (Lb) species: the radiation of ticks of the genus *Ixodes* from the primitive stock of Ixodidae (around 217 Mya). The ecological interactions of Borrelia demonstrate that Argasidae-transmitted Rf species remain restricted to small niches of one tick species and few vertebrates. The evolutionary pressures on this group are consequently low, and speciation processes seem to be driven by geographical isolation. In contrast to Rf, Lb species circulate in nested networks of dozens of tick species and hundreds of vertebrate species. This greater variety confers a remarkably variable pool of evolutionary pressures, resulting in large speciation of the Lb group, where different species adapt to circulate through different groups of vertebrates. Available data, based on ospA and multilocus sequence typing (including eight concatenated in-house genes) phylogenetic trees, suggest that ticks could constitute a secondary bottleneck that contributes to Lb specialization. Both sets of adaptive pressures contribute to the resilience of highly adaptable meta-populations of bacteria.

41 Keyw

Keywords: Borrelia; evolutionary pressure; tick-Borrelia-reservoir interaction

43 Highlights

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- Ancestral *Borrelia* could be a primitive symbiont that led to relapsing fever spp.
- Lyme borreliosis species diversified after the *Ixodes* genus split.
- Lb species lost vertical passage capacity after transmission through reservoirs.
- Disparate Argasidae/Ixodidae life cycles favoured differential *Borrelia* evolution.
- Phylogenetic analyses suggest selection pressure on Lb by tick vectors.

1. Introduction

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52 The genus Borrelia is a large assemblage of bacterial species that has gained a great deal of 53 attention in the recent decades because of their importance for human health in the northern 54 hemisphere (Burgdorfer et al., 1982; Lane et al., 1991; Steere et al., 1983). Studies have demonstrated that Borrelia is phylogenetically related to the genera Treponema, Brachyspira, and 55 56 Leptospira, which include not only important pathogens but also free-living and non-pathogenic bacteria that can colonize the midgut of Arthropoda (Loh et al., 2017). These genera belong to the 57 58 family Spirochaetaceae that also includes the genera Clevelandina, Cristispira, Diplocalyx, 59 Hollandina, Pillotina, Spirochaeta, and Sphaerochaeta (Paster, 2011; Euzéby, 2013). 60 Infected ticks can transmit Borrelia to a large group of vertebrates (e.g., reptiles, birds, and small mammals). Borrelia adapted to this dual vertebrate-tick life cycle, which is crucial for the 61 62 persistence of natural foci. There are two major groups of species of *Borrelia*, namely relapsing fever (Rf)¹ and Lyme borreliosis (Lb). The Borrelia spp. included in the Rf group are commonly 63 64 transmitted by ticks of the family Argasidae, but some species of Ixodidae also may serve as 65 vectors. The species included in the Lb group, also known as the "Borrelia burgdorferi sensu lato 66 complex of species", are transmitted exclusively by a few species of ticks within the genus *Ixodes*. 67 All species of *Borrelia* have a transstadial transmission, i.e., the pathogen survives moulting within 68 the vector from one life stage to the next. In addition, Rf species show transovarial transmission, 69 i.e., the pathogen is passed from female ticks to the offspring. The latter has never been recorded in 70 Lb species. This transovarial (also called vertical) transmission in the tick, however, produces only 71 low and variable rates of infection in the progeny (Barbour and Hayes, 1986). Based on the 72 relatively low transovarial transmission rates, it has been proposed that the temporal transmission to 73 vertebrates evolved as an 'amplifying force', allowing the bacteria to persist in natural foci (Tsao, 74 2009). 75 After the characterization of the genus *Borrelia*, new Rf species were described, associated with 76 different vectors (Cutler et al., 2017). The species were collectively considered under the rather 77 arbitrary groupings of Old World and New World borreliae, using the prevailing concept at that 78 time of "one species-one vector" (Cutler et al., 2017). The discovery of B. burgdorferi s.l., now 79 considered to be a large complex of 21 species, and its association with humans as the etiological agent of the Lyme borreliosis (Steere et al., 1983), promoted a reconsideration of the complexity of 80 81 the genus. At that time, the partial availability of data on the epidemiological cycle of each species

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resulted in the conviction that the Rf species were transmitted only by argasid ticks, and Lb species

¹ Abbreviations: Rf, and LB: relapsing fever or Lyme borreliosis groups of species of Borrelia, respectively; osp: outer surface protein

by ticks of the family Ixodidae (except for the human louse-borne *Borrelia recurrentis*). This dogma held for many years, but new Rf species transmitted by ixodid ticks were discovered, such as *B. miyamotoi*, *B. lonestari*, and *Candidatus* "B. texasensis" (Fukunaga and Koreki, 1995; Lin et al., 2005; Varela et al., 2004), requiring its reconsideration. These species are believed to use what are regarded as "sub-niches" of the vertebrates in which some Lb species thrive. It is still necessary to study how the *ecological* relationships of *Borrelia* could explain the evolutionary pressures that promote the different molecular machinery of each group.

This review is not devoted to revisiting the molecular peculiarities of *Borrelia*. Excellent reviews on this topic are available elsewhere (i.e., Anguita et al., 2003; de Taeye et al., 2012; Kung et al., 2013; Pal and Fikrig, 2003; Schwan and Piesman, 2002; Tsao, 2009). Instead, we aimed to use the available empirical grounds to understand the diverse evolutionary pressures that may have influenced the evolution of both groups of *Borrelia*, Rf and Lb, proposing an evolutionary line associated with geological and tick evolutionary events. We review the similarities in some of the molecular mechanisms *Borrelia* use to survive in either the tick or the vertebrate. We hypothesize that the large set of biotic interactions of Lb species with ticks and vertebrates explains the genetic variability of this group compared to Rf. We further analyse available molecular data and conclude that tick-derived evolutionary pressures have a critical impact in the evolution of *Borrelia*.

2. Molecular machinery of Borrelia spp.

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Recent research using genomics, transcriptomics, and proteomics has provided unprecedented knowledge of the molecular mechanisms that borreliae use to survive in the tick and vertebrate hosts. Infection and colonization of such a disparate set of hosts pose major challenges to these bacteria. First, the bacteria must escape and survive invertebrate and vertebrate immune systems (Hajdušek et al., 2013; Kurtenbach et al., 2002). Second, within the tick midgut, they have to overcome toxic components resulting from tick blood digestion and anti-microbial peptides (Abraham et al., 2016). Third, Borrelia must survive the breakdown of tissues associated with tick moulting. To face these challenges, Borrelia have evolved quite interesting molecular strategies. The genome of *Borrelia* consists of one chromosome and a variable number of plasmids that represent up to 40% of the genome (Grimm et al., 2005). Borrelia is the bacterial genus in which the largest number of plasmids has been reported (Casiens et al., 2000), allowing ample exchange of genetic material within and between species (Grimm et al., 2005). Studies suggest that many plasmid genes encode proteins important to borreliae persistence in various vertebrates (Barbour and Garon, 1987). Gene composition in the chromosome is highly conserved among Borrelia species, but the genetic information in the plasmids varies greatly among species and strains within a species (Grimm et al., 2005). Lipoproteins (e.g., the outer surface proteins, or osp) play an important role in the molecular mechanisms of adaptation of these bacteria to both the tick and the vertebrates.

2.1. Entering the tick with the blood meal

The process of blood digestion in ticks greatly differs from that in insects. In ticks, digestion is a slow intracellular process (Arthur, 1965; Balashov, 1972). With few exceptions, Argasidae feed quickly and several times (around 40–60 minutes per feeding). The virgin females of Argasidae start digesting their blood meal, but blood digestion does not proceed further than initial stages until mating occurs. Virgin females of Ixodid metastriate ticks take only a small quantity of blood before mating (Sonenshine, 1991). The unmated females will remain attached to the host for several weeks without feeding much more. When mating occurs, the female will imbibe a huge meal, increasing its weight approximately 100 times in a very short time (Sonenshine, 1991). The more primitive prostriate *Ixodes* ticks, however, may copulate both in the absence of hosts and while the female engorges. In these case, autogenous spermatogenesis must precede host contact (Kiszewski et al., 2001).

The Rf species enter the tick very quickly and can be detected in the gut lumen a few minutes after the beginning of the attachment of Argasidae (Schwan and Piesman, 2002). Because of the tick's fast feeding, a rapid transmission from the vertebrates is necessary to ensure a successful acquisition. Probably this is why Rf species are acquired by ticks only during a certain level of spirochetemia (Lopez et al., 2011). The Lb species can be detected in the midgut of the tick within the first 24 hours of attachment, well before significant amounts of blood have been imbibed (Schwan and Piesman, 2002). In both argasid and ixodid ticks, the first step of blood digestion is concentration of the blood meal by elimination of the excess water. Ixodid ticks use their salivary glands to concentrate the water and shuttle it back to the host, whilst argasids use their coxal organs to excrete the excess of water out of the tick (Sonenshine, 1991). The concentration of the blood, together with the proteolytic activity in the gut lumen, could be responsible for the clearance of the bacteria from the gut and the low number of Borrelia in the next stage of the tick. Most bacteria could be wiped out of the gut, so this step seems to be a critical part of the life cycle of Borrelia inside the tick (Tsao, 2009). However, the low number of borreliae in the next tick stage could also result from the proteolytic activity associated with tick moulting. As far as we know, no empirical evidence exists explaining how borreliae overcomes these processes.

It is currently accepted that after a brief colonization of the tick gut, every Rf species quickly migrates to the salivary gland of the argasid vectors (Schwan and Piesman, 2002). This rapidity may explain the fast transmission times of Rf species from their tick vectors to the vertebrate. It has been demonstrated that *Borrelia turicatae* is transmitted by *Ornithodoros turicata* by about 15

151 seconds after attachment (Boyle et al., 2014) and then remains in the body of starved ticks for years 152 (Schwan and Piesman, 2002). The Lb species, transmitted by ixodid ticks, display a complex 153 behaviour leading to the transcription of some proteins, believed to "anchor" the Lb species to the tick gut and preventing the immediate passage to the salivary gland. Only after moulting does a new 154 155 blood feeding trigger migration of Lb species from the tick gut to the haemocoel and salivary glands 156 for transmission into the vertebrate. This distinction seems to be more related to genetic differences between the two groups of Borrelia than to the particularities of the physiology of ixodid and 157 argasid ticks. For example, B. miyamotoi, an Rf transmitted by Ixodidae, follows the same route as 158 159 other Rf species. This species can be found in the salivary gland of the next, newly moulted stage, even without the compulsory blood meal necessary to trigger its migration necessary for Lb species 160 161 (Barbour, 2014). 162 The Lb species remain in the tick gut for the complete moulting period without migrating to the 163 salivary glands. In some ticks transmitting *Borrelia*, this period may last for months. By the time 164 the ixodid tick is fully engorged, Lb species have upregulated a series of genes specifically expressed in the tick environment. Among these, perhaps the most studied is ospA, which is co-165 166 expressed with ospB, and both are encoded in the linear plasmid lp54 (Barbour and Garon, 1987). It 167 has been proposed that host neuroendocrine stress hormones produced in the skin of hosts in 168 response to tick attachment bind Lb species, inducing ospA expression (Scheckelhoff et al., 2007). 169 In consequence, once Lb species access the tick gut, ospA and ospB are already upregulated. OspA 170 and ospB are functionally equivalent, anchoring the borreliae to the tick gut cells (Tilly et al., 2016). 171 After moulting, when ticks take a new blood meal, the expression of ospA and ospB is 172 downregulated and *ospC* is upregulated. 173 In addition to lipoproteins, the Hk1-Rrp1 pathways, present in both Rf and Lb, are also required for 174 successful colonization of the tick midgut. At least in the Lb species, the Hk1-Rrp1-deficient 175 mutants are cleared during the tick feeding because they cannot protect themselves against antimicrobial products of the tick gut (Caimano et al., 2011; He et al., 2011; Kostick et al., 2011). 176 177 The fact that the Hk1-Rrp1 pathway components are present in both Rf and Lb suggests that this is a primitive molecular machinery that could be present in the common ancestor of both groups of 178 179 Borrelia. The system Hk1-Rrp1 potentially constitutes an essential system for the survival of 180 borreliae during larval and nymphal tick blood meals. Lb species deficient in either protein are 181 virulent in vertebrates but are killed within the tick gut soon after feeding (Caimano et al., 2011; He et al., 2011; Kostick et al., 2011). Of interest, Lb species over-expressing Rrp1 are non-infective for 182 183 mice (Kostick et al., 2011), suggesting that, similar to osp proteins, Rrp1 should be differentially regulated during the life cycle of *Borrelia*. For a comprehensive list of the currently identified proteins necessary for the survival of Lb species in ticks, see Kung et al. (2013).

The evidence suggests that the tick gut is a hostile environment for the survival of borreliae, probably because of the production of antimicrobial peptides with borreliacidal activity (Sonenshine et al., 2005). A defensin-like peptide is upregulated in *Ixodes* ticks after infection with *B. burgdorferi* (Rudenko et al., 2005), together with the expression of some other genes potentially involved in the oxidative stress response. In addition, blood digestion products appear to be toxic for *Borrelia*. Tick microbiota can also affect the survival of *Borrelia* in the tick gut (Narasimhan et al., 2014). It has been proposed that the function of ospA-ospB is to protect Lb species from host-derived bactericidal antibodies in the feeding tick gut (Battisti et al., 2008). However, Tsao (2009) proposed that the "retention" of Lb in the tick gut has an epidemiological role and that the bacteria access the tick salivary glands only when ticks start to feed. The timing of Lb migration to the salivary gland would be critical for successful transmission to the vertebrate.

2.2. Infection of the vertebrate host and evasion of the immune system

All Rf species (including those associated to Ixodidae) access the salivary glands soon after being acquired within the blood and therefore are ready to be inoculated into the vertebrate as soon as the tick begins to feed. There is a possibility that the reports of Lb transmission within a few hours after tick feeding starts may refer to *B. miyamotoi* (Rf) and not to Lb (Barbour, 2014; Cutler et al., 2017). The ecological inferences from observations on argasids are difficult to apply to Rf species transmitted by Ixodidae because these ticks will enter a long period of moulting and the subsequent feeding stage will last for days. The ecological necessity of a fast migration of the Rf species to the salivary glands cannot be explained as an epidemiological trait driven only by the ecology of the tick, and probably is an ancestral trait of the primitive borreliae that has been conserved during evolution.

As mentioned above, *ospC* is upregulated in Lb species only when a new blood intake occurs in Ixodidae. It was initially postulated that changes in tick gut temperature and pH during feeding promote the downregulation of *ospA-ospB* and the upregulation of *ospC* (Ramamoorthi and Scholl-Meeker, 2001). However, transcriptional regulation of these genes do not occur *in vitro* when cultures of Lb species are exposed to different temperatures and/or pH. Thus, other components of the blood may trigger the transcriptional regulation of these proteins. OspC binds plasminogen to support the migration of the borreliae across the tick body (Coleman et al., 1997; Lagal et al., 2006;

215 Önder et al., 2012).

The borreliae need to circumvent recognition by the vertebrate complement during and after transmission by the tick. The complement system is a complex network of proteins activated in a

218 cascade. The main force driving speciation of the Lb species is the ability to evade the sera of 219 different groups of mammals, birds, or reptiles (Kurtenbach et al., 2002). The complement of the 220 species of vertebrates that can circulate a species of *Borrelia* cannot produce the complement 221 membrane attack component (MAC) against these bacteria. For a complete description of borreliae 222 inhibition of the three pathways of the vertebrate complement, see de Taeye et al. (2012). It is now 223 well-established that a tick protein present in the salivary glands, Salp15, selectively binds ospC 224 (Anderson and Valenzuela, 2007; Hovius et al., 2008; Narasimhan et al., 2007; Ramamoorthi et al., 2005). This protein inhibits T-cell activation (Anguita et al., 2002) and is selectively increased in B. 225 226 burgdorferi-infected tick salivary glands during the engorgement of I. scapularis (Ramamoorthi et al., 2015). Other proteins, Salp20 and Salp25D, have been identified in other species of Ixodes 227 228 (Tyson et al., 2007). This family of proteins has now been described in several tick genera as 229 blocking the activation of host alternative complement pathway and preventing the formation of 230 reactive oxygen species (Das et al., 2001). The selective binding ospC-salp15 must be regarded as the exploitation of existing tick immunosuppressive mechanisms by the borreliae. The bacteria also 231 232 take indirect advantage of tick salivary proteins other than salp15 that inhibit complement activation 233 at the tick bite site; see the following comprehensive studies for an overview (Couvreur et al., 2008; Das et al., 2001; Gillespie et al., 2001; Lawrie et al., 1999, 2005; Nuttall, 1999; Schuijt et al., 234 235 2011a, b; Tyson et al., 2007, 2008). 236 After entry into a competent vertebrate, persistent infection must be established by evading the 237 immune system through an antigenic variability. In Rf species, this step is accomplished mainly by 238 the variable major proteins (Vmp). These Vmps occur in two size classes: the variable large proteins (Vlps) and the variable small proteins (Vsps). As reported by Schwan and Piesman (2002), 239 240 a single cell of Borrelia hermsii can give rise to 30 antigenic variants, each of which expresses a 241 unique Vmp that confers a specific serotype (Barbour and Restrepo, 2000; Stoenner et al., 1982). 242 One interesting finding is that both Rf and Lb species share a similar mechanism: the Vsp33 of Rf 243 species is homologous to the ospC expressed by Lb species (Carter et al., 1994; Marconi et al., 244 1993; Margolis et al., 1994; Schwan and Piesman, 2002; Wilske et al., 1993). The Vsps are 245 expressed by Rf to evade the immune response of the vertebrate by inhibiting CD4+T cell activation. These findings point to the conclusion that the Vsp of the Rf group is phylogenetically 246 247 related to the ospC of the Lb group and that both are involved in the transmission from tick to

3. The probable scenario of emergence and evolution of Borrelia

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vertebrate hosts.

The data presented suggest a scenario for the evolution of *Borrelia*. Available findings support the conclusion that *Borrelia* is a relative of other spirochetes associated with Arthropoda (i.e., Gupta et al., 2013). Our arguments for the probable evolution of *Borrelia* spp. are as follows: (i) borreliae are associated with the primitive stock of ticks before the Argasidae–Ixodidae split; (ii) Rf species are the most primitive and remained mainly associated with argasid ticks after the Argasidae-Ixodidae split; (iii) Lb species derived from the primitive Rf group after the split of the prostriate group of ticks (Ixodidae) before the complete separation of land masses; and (iv) the evolution of the Lb species results from its association with the Ixodes ricinus group and the interactions with their vertebrate.

3.1. The evolution of ticks and its role in the speciation of primitive Borrelia

We adhere to Mans et al. (2016) as the most robust phylogenetic reconstruction of tick evolution. According to their hypothesis, ticks most probably originated in what is now known as Australia or Southern Africa, and then spread about 390 million of years ago (Mya). These estimations are based on mitochondrial DNA clocks. Following the same approach, the Ixodidae–Argasidae split has been calculated as having taken place about 290 Mya (Figure 1). The separation of the main lineages of *Ixodes* is estimated to have occurred about 217 Mya. Data concur that the Ixodidae–Argasidae split took place between the early Permian and early Triassic periods. The supercontinents Gondwana and Pangaea were colonized by ticks, with further speciation processes. The speciation of the stem classes of Mammalia took place ca. 235–205 Mya, and the oldest bird fossil dates to only 150 Mya (Mans et al., 2016).

We postulate an association of commensalism between primitive *Borrelia* and ancestral ticks that was established before the Ixodidae–Argasidae split. Afterwards, *Borrelia* adapted to a transmission through a vertebrate. Many bacteria of the phylum Spirochaetes are symbiotically associated with Arthropods (Berlanga et al., 2011). Studies of the microbiome of ticks indicate the presence of species of the phylum Spirochaetes (like *Treponema* and *Brevinema*) in the tick gut as part of the normal microbiome of ticks (our unpublished data). Except for a few Rf species transmitted by Ixodidae and *B. recurrentis*, transmitted by the louse, all Rf species are exclusively transmitted by argasid ticks (Cutler et al., 2017). There is a univocal association between each Rf species and the species of Argasidae that transmit them (Cutler et al., 2017) that does not exist in the Lb species. This pattern suggests adaptation (and possible speciation) of Rf species to their tick vectors that is older than the associations of Lb species and *Ixodes*. According to the well-supported phylogenies of the genus *Borrelia*, based on the multi-locus sequence typing scheme (MLST), Rf species are basal to the rest of the phylogenetic tree of the genus (Supplementary material 1). Most Rf species are transmitted from the female tick to the offspring. Exceptions are the Rf *B. hermsii* transmitted

by Ornithodoros hermsi, and B. duttonni transmitted by O. moubata, in which the vertical

transmission is rare (Schwan and Piesman, 2002). Vertical transmission is also present in the Rf B.

287 *miyamotoi*, transmitted by *Ixodes* ticks. On the other hand, all Lb species lack vertical transmission.

We speculate that vertical transmission could be an ancestral feature of Rf species (lost in some Rf

lineages, and, as far as it is known, also absent in every Lb species).

3.2. The adaptation of Borrelia to ticks

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All extant Lb species express the same proteins interacting with the tick gut. Of special interest are the ospA-ospB proteins. Margos et al. (2009) demonstrated that the gene expressing ospA exists only in Lb species. It is more parsimonious to consider that the borreliae were associated with ticks before its split than to consider two different events of association, one for the Rf group and another for the Lb group. This hypothesis also is supported by the fact that a few species of the Rf group are associated with ixodid ticks. The ospA-ospB proteins should be present in the primitive Lb stock, before the speciation of the primitive Ixodes, because now they appear in every Lb species independently of the species of vector. Figure 2 includes the summarized lineages of Rf and Lb species based on 16S rRNA sequences available in GenBank (see also Supplementary material 2 for the complete phylogenetic tree). The topic, however, warrants additional research because some "intermediate" species of Borrelia (e.g., lying out of the two main clusters of Rf and Lb) have been reported as associated with ticks of the genus Hyalomma (B. turcica), to the Australian Bothriocroton ("Candidatus B. tachyglossi) or to Amblyomma geoemydae, also associated to reptiles (Kalmár et al., 2015; Loh et al., 2017; Takano et al., 2011, 2012). Probably B. turcica is the best characterized species of the group above. However, only data regarding its transstadial transmission in the tick (Kalmár et al., 2015), its finding in blood of imported tortoises and the molecular characterization of 16S and gyrB (Takano et al., 2011) have been carried out. The studies by Kalmár et al. (2015) and those by Takano et al. (2012) place the reptile-associated Borrelia near the Rf group but in a branch slightly separated of the main clade. Further elaboration on the topic would be purely speculative until new empirical data is available. There is thus an ample field of research on *B. turcica* and other species associated to ticks parasites of reptiles.

The species of Lb for which empirical evidence exists exhibit similar strategies for exploiting the saliva of the tick. Probably, the best characterized interaction is ospC binding with Salp15 produced by the salivary glands of the tick. The infection of the tick by Lb species upregulates Salp15 (Ramamoorthi et al., 2005), suggesting a manipulation of a tick protein that is older than the association of ticks and Lb species. Even considering the variability in *ospC* sequences (Gatewood et al., 2009; Qiu et al., 2008), the mechanism is the same for every Lb species for which evidence exists, supporting the hypothesis of only one original stock of Lb species. Figure 3 includes the

summarized lineages of Lb species based on ospC and ospA (see also Supplementary material 3 for the complete tree of sequences available on GenBank, which includes information on the species of tick). It is important to consider that the phylogenetic signal in ospC does not track either the species of Lb or the association with species of ticks. This has been reported to be the result of a horizontal gene transfer between species of *Borrelia* because the locus ospC is in a plasmid (Lin et al., 2002). The phylogeny based on ospC does not overlap that generated by 16S rRNA, although it has been reported that both phylogenies are coherent (Attie et al., 2007; Qiu et al., 2004). However, the phylogenetic tree built on ospA sequences (Figure 3B) displays an association between the species of *Borrelia* and the tick vector, which we will elaborate in the next section. It is interesting to note that recent evidence (Rudenko et al., 2016) indicates that some rare ospC types may circulate among non-*Ixodes* ticks in southeastern USA. Rudenko et al. (2016) proposed a separation or overlapping transmission cycles of ospC strains not restricted to *Ixodes* ticks and rodents.

3.3. The adaptation of Borrelia to vertebrates

It has been acknowledged that the transmission rates of extant borreliae to the eggs of the ticks are very low, in the species in which it has been observed. These low rates would impair the persistence of the bacteria in foci in consecutive generations of ticks if they were the only mechanism of circulation (Tsao, 2009). We consider that the primitive *Borrelia*, or an extinct ancestor, managed to reach the salivary gland of the tick and then passed into the feeding lesion in a vertebrate. The circulation of the pathogen can be amplified by the non-systemic, or co-feeding transmission, representing a potential route by which infected ticks may infect naïve ticks (Randolph et al., 1996; Tsao, 2009) by recently deposited borreliae into the feeding lesion by an infected tick infecting another closely or subsequently feeding tick. The relative importance of the co-feeding transmission in the presence of systemic transmission in nature is unknown (Piesman and Happ, 2001).

Ticks of the family Argasidae are burrow dwellers, and there is no reason to believe that this behaviour was not present in the stock of primitive ticks (Mans et al., 2012). This factor is of special importance because the use of burrowing animals as hosts constitutes a relatively secure source of food for ticks living in the shelter. However, the population of argasid ticks in a burrow commonly consists of only a few dozen specimens at different stages (Vial et al., 2006). Therefore, ticks feeding on burrowing animals guaranteed the transmission of primitive *Borrelia* to the next tick stages because of the close co-occurrence of both ticks and burrowing vertebrates. If the persistence of the borreliae in the local population of ticks in a burrow was enhanced by the vertebrate transmission route (according to the ecological hypotheses elaborated by Tsao, 2009), the competing strains of borreliae transmitted exclusively by transovarial passage would be excluded from the genetic pool because of their lower fitness. Accordingly, vertebrate transmission is an

advantageous ecological strategy for *Borrelia*, forcing the loss of unused genes (Moran, 2002), such as those implicated in transovarial transmission, once the resilience of the borreliae is secured by transmission through the vertebrate bridge. These genes could be lost by species in which the vertebrate route is resilient to keep their circulation and retained by species in which encounters with vertebrates have low probabilities, like the argasid living in burrows seasonally occupied by vertebrates.

It is open to speculation whether the primitive borreliae could gain access to the host lesion *via* the tick coxal fluid or the saliva. Some investigations demonstrated that *B. duttonii* is transmitted by contamination of infected coxal fluid and tick bite (Burgdorfer, 1951). In addition, the mode of transmission varies with the stage of the tick: *O. moubata* nymphs transmit *B. duttonii* in the saliva, and adults transmit primarily via the coxal fluid (Burgdorfer, 1951). Nevertheless, because not every argasid produces coxal fluid while feeding, this mechanism may not to be universal for entry of Rf species into a vertebrate. For example, *Ornithodoros hermsi* (transmitting *B. hermsii*) produces coxal fluid off the host only after the feeding is complete (Schwan and Piesman, 2002). Furthermore, it has been demonstrated that some Rf species, like *B. coraciae*, do not leave the tick body *via* coxal fluid (Lane and Manweiler, 1988), whereas others copiously do (*B. johnsoni* in the bat tick *Carios keyelli*, Schwan et al., 2009). Thus, the coxal fluid does not seem to be a consistent route for transmission of Rf species.

A selective pressure on borreliae may have appeared when their primitive ancestors acquired abilities to evade the immune system of the vertebrates. Whereas proteins in argasid saliva modulate the host's immune response (e.g., Nunn et al., 2005), empirical evidence is lacking for Rf species exploiting these proteins, although the similarities between ospC and Vsp could provide evidence for Rf exploiting these proteins. The evolutionary pressure by the vertebrate can be hypothesized to be the origin of the Vlp and Vsp that Rf species use to evade the antibody response of the vertebrate. Both *vsp* and *vlp* genes are located in plasmids, which underpin antigenic variation during relapsing fever and are subjected to lateral transmission (Barbour, 2016).

3.4. Summarizing and consolidating the scenarios

We believe that enough proofs support the hypothesis that the Rf species persisted in ticks after the split into the two families, currently known to have occurred about 230–290 Mya (Mans et al., 2016). Therefore, the new lineage of Ixodidae already carried a set of primitive Rf species, which still widely persist in Argasidae and in some genera of Ixodidae. However, no Lb species are found in ticks of the family Argasidae, implying that events of speciation giving rise to Lb species occurred after the split of the genera of the family Ixodidae. Every Lb species investigated so far uses the same molecular strategies to colonize the tick gut and to enter the salivary glands and

exploit similar mechanisms of the tick saliva, gaining access to the vertebrate evading its immune system. Available data suggest that it is more plausible to consider the complete stock of Lb species evolving from existing Rf species, in a lineage of *Ixodes*, that further spread through Laurasia (Figure 1). Therefore, the split of Lb species should have occurred after the genus *Ixodes* separated from the rest of the ticks, something that is not dated before 217 Mya (Mans et al., 2016). That primitive Lb stock then evolved into different species according to regional environmental pressures, including particular adaptations to local prevailing vertebrates. The split of the genus Ixodes, the divergence of the mammalia form clades, and the separation of the land masses of Laurasia are all concurrent in time and dated around 235-249 Mya (Mans et al., 2016). After Laurasia broke into the Palaearctic and Nearctic, Lb species became genetically isolated, excluding punctual population turnovers (Qiu et al., 2008). Our view is that the split between Rf and Lb is very old; therefore, it makes no sense to elaborate on a "European" or "American" origin of the Lb stock: the common ancestor appeared from an Rf stock before the land masses of Laurasia separated and then evolved under pressure of environmental traits and vertebrate availability. The movements of ticks and the carried Lb stock between land masses (like the east of Russia, Japan, and western Nearctic) or the variability of B. burgdorferi s.s. in both Nearctic and Palearctic (Qiu et al., 2008; Margos et al., 2012; Walter et al., 2017) require further research. The recording of a new species of the complex, B. chilensis, in the Neotropics (Ivanova et al., 2014) has a special interest considering that North and South America remained separated until about 3 Mya. The complete MLST phylogeny available in Supplementary Material 1 supports a basal phylogenetic position of B. chilensis regarding the other Lb species. Therefore, the species could have probably evolved earlier, when Afrotropical and Neotropical regions remained joined in one land mass. A complete sequencing of this species would provide important data regarding its proteome in comparison with other Lb species.

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4. Uncovering the ecological relationships among ticks, *Borrelia*, and vertebrates

The analysis of the ecological relationships among ticks, vertebrates, and *Borrelia* spp. reveals the patterns underlying them. Previous studies (Estrada-Peña et al., 2015, 2017) applied the general framework of graph tenets to the recorded associations among the partners. The power of a network analysis lies in its ability to detect associations, thus uncovering ecological patterns that are otherwise difficult to identify. In addition, the networks summarize information on ecological relationships in clusters of interacting organisms for which the "strength of the interaction" can be measured. The network of the recorded ecological interactions among ticks, *Borrelia* spp., and vertebrates is included as Supplementary material 4 and 5. The network is a large improvement over

421 its original version (Estrada-Peña and de la Fuente, 2017; Estrada-Peña et al., 2017) because it has 422 almost twice the number of records (>10,000) and includes both Rf and Lb species, as well as every 423 species of tick and associated vertebrates. Data in the network offer the most complete information about the ecological relationships of 424 425 Borrelia and therefore of the framework on which evolutionary pressures act. It shows a holistic 426 view of the community, with each group of organisms displaying the recorded ecological relationships as communities, each one formed by a different number of species of ticks, 427 428 vertebrates, and borreliae that interact more among each other than with organisms of other 429 communities. A visual inspection shows two prominent communities of interacting organisms 430 (Nearctic at left, Eastern Palearctic at right) that are separated but connected to a central 431 community, composed predominantly of species interacting with the tick *I. ricinus* (western 432 Palearctic). The rest of the network consists of groups of organisms separated from these 433 communities. Some communities, most notably those formed by Rf species, consist of a few species 434 of ticks and vertebrates and lie completely separated from the main giant component of the network. 435 The network also shows other relationships like *I. granulatus* or *I. turdus*, which are eastern 436 Palearctic ticks but share hosts and species of Borrelia with I. persulcatus, a tick distributed from 437 eastern Europe to Japan. 438 Of interest, the network reflects the associations of B. burgdorferi s.s. with both Palearctic and Nearctic ticks and vertebrates and includes it in an intermediate node, in the same cluster of I. 439 440 ricinus. Ixodes auritulus is placed along the Nearctic cluster, as well as other non-Ixodes ticks (e.g., 441 Amblyomma americanum, the vector of the Rf species B. lonestari) and the species of Argasidae 442 transmitting Rf borreliae in the Nearctic, like O. coriaceus, O. talaje, or O. hermsi. However, O. 443 turicata and O. parkeri (Nearctic) are clustered in a different community, together with O. tholozani 444 and B. persica (non-Nearctic). Ixodes pararicinus, a neotropical species, is clearly separated into a 445 different community. Other Rf species and their transmitting ticks are segregated into different 446 communities, like O. erraticus, O. tartakowskyi, and O. verrucosus, together with B. crocidurae, B. 447 latyshewii, and B. caucasica. These communities reflect an ecological distance between the Rf and 448 the Lb stocks. 449 The Lb species circulate through dense, interconnected, and redundant networks of ticks and 450 vertebrates, presumed to be developed to minimize competition (Estrada-Peña et al., 2015). Each 451 group of Lb species is associated with a hierarchy of ticks and vertebrates. Additionally, each Lb

species is associated with an assemblage of primary ticks that have the largest host range and with a group of secondary ticks, nested within the main community of vertebrates and Lb species. The

vector status of most of these secondary tick species has never been studied, but they are strongly

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linked to the main stream of vertebrates circulating different species of *Borrelia*. Therefore, the secondary ticks, which could be considered "specialists", tend to associate with generalist ticks,

outlining a nested pattern of interactions.

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We also approached the evolution of Borrelia through a phylogenetic analysis. We used 458 459 phylogenetic trees of both ticks and vertebrates to calculate the Faith's phylogenetic distance (PD; 460 Faith, 1992) of the partners on which each species of *Borrelia* has been recorded, summarized in 461 Figure 2. The PD is a simple measure of the length of the branches in a phylogenetic tree linking 462 any two taxa. A consistent association between the group of borreliae (Rf or Lb) and the PD of 463 vertebrates in not straightforward. For instance, most Lb species have higher PD of vertebrates than 464 Rf species. Furthermore, B. miyamotoi has higher PD of vertebrates than B. lusitaniae. Finally, 465 from the data displayed in Table 1, the highest PD of vertebrates obtained for B. burgdorferi s.s. is consistent with records in a wide range of vertebrates of two continents. Additional conclusions can 466 467 be drawn from the PD of ticks. Most Lb species are circulated by ticks with higher PD than Rf species. It would be reasonable to hypothesize that in addition to the well-demonstrated speciation 468 of the Lb species along groups of vertebrates, a phylogenetic gradient of Lb species should be 469 470 expected along the species of ticks. If the ecological pressures tend to minimize competition, the 471 strains of Lb should change according to the vector.

We built phylogenies of the Rf and Lb species with the available *16S rRNA* and *ospA* and *ospC* sequences and MLST scheme. The MLST uses a series of eight housekeeping concatenated genes, providing more than 4000 base pairs for analysis. It is the *de facto* standard for identification of *Borrelia* (Margos et al., 2008; 2009). We assembled 717 sequences of 16S rRNA and 1654 strains of MLST genes to produce phylogenetic trees for which information about the vertebrate, the tick, and/or the geographical origin was available.

The summarized phylogenetic trees obtained from 16 rRNA, *ospA* and *ospC*, and MLST are included as Figures 3 and 4 and Supplementary material 1, respectively. Within the limits of data availability, these trees point to an evolutionary filter of some Lb species regarding the tick species with which they are associated. A visual inspection shows that the Lb species do not cluster around a species of tick, meaning that primary processes of speciation are driven by the vertebrate (as originally proposed by Kurtenbach et al., 2002), and only secondarily by the tick. In the case of the tree built on the 16S rRNA, clustering along ticks is observed for *B. miyamotoi*, with a high support value. The MLST-derived (Supplementary Material 1) tree confirms that (i) the strains of *B. miyamotoi* are associated with different species of ticks; (ii) there is a clear separation of *B. bissettiae* around *I. scapularis*, *I. spinipalpis*, and *I. pacificus*; (iii) *B. valaisiana* is restricted to *I. ricinus* and *B. bavariensis* to *I. persulcatus*, but with a branch associated with *I. ricinus*; (iv) there is

an unexpectedly large variety of strains of *B. yangtzensis*; and (v) there is a large gradient of *B. afzelii* along *I. ricinus* and *I. persulcatus*.

These empirical results could be interpreted in several ways, and no data support one hypothesis more than others. The different vertebrates on which ticks feed at a regional scale may act as a secondary filter of the evolution of borreliae transmitted by *Ixodes*. This hypothesis has been proposed by Vollmer et al. (2011): the biogeography of the vertebrate would impact the phylogeography of *Borrelia* spp. For instance, both *B. garinii* (circulated through birds) and *B. afzelii* (circulated through small mammals) show a different gradient of strain differentiation because of the various local or regional movements of the main vertebrates in which they have been recorded. However, results on the phylogeny of these species based on *ospA* (Figure 3B) clearly support the linkage of these species of *Borrelia* to several species of ticks. We propose that this is an example of species of *Borrelia* evolving along the lines imposed by different species of ticks colonizing different environmental niches, as we already proposed in a previous study in which clear association between MLST patterns, species of ticks and environmental niche was reported (Estrada-Peña et al., 2016).

Available data support that ticks could exert a secondary pressure that produces a further selection of *Borrelia*. It is interesting to note that the strains of *B. garinii* detected in *I. pavlovskyi* cluster near the strains of *B. garinii* detected in *I. persulcatus* and are separated from those in *I. ricinus*. *Ixodes pavlovskyi* and *I. persulcatus* have a parapatric distribution, the latter being sympatric in a small portion of its range with *I. ricinus*. A further interpretation could be founded on the climate zonation observed in the eastern Palearctic, following mainly a latitudinal gradient. Such zonation would produce an obvious variation in the life cycle of the tick, which could further filter the strains of bacteria.

The tick, as an ecosystem, could be the filter that exerts a secondary adaptation of *Borrelia*, probably as a result of two not self-exclusive reasons:

a. The microbiome of each species of tick is exerting different pressures in the borreliae hosted by the tick. Some laboratory experiments (Narasimhan et al., 2014) have demonstrated that the presence of some common bacteria in the ticks can modify the behaviour of *Borrelia* in the vector. On the other hand, increasing evidence points to the manipulation of the tick molecular machinery by pathogens (Cabezas-Cruz et al., 2017), such as induction of transcriptional reprogramming of infected cells, increasing tick fitness, and epigenetic modulation of the tick gene expression, resulting in a potential transmission across generations. Additional work and new methods focused on processing big data sets are necessary to address this hypothesis.

b. Each species of tick is actually evolving different "strains" of borreliae, either because the finely tuned molecular adaptations of tick—*Borrelia* or because different climate conditions impact the life cycle of the tick. Previous experimental work shows that tick transmission imposes stochastic population bottlenecks on *B. burgdorferi* s.s. (Rego et al., 2014), supporting field data: ticks do not share strains of borreliae (Estrada-Peña et al., 2016, 2017). These bottlenecks could contribute to the variable prevalence of particular strains in geographically different areas (Rego et al., 2014). Taken together, data from laboratory observations, phylogenetic trees of *Borrelia* spp., and observations of the interactions among the three partners in the natural network are compatible with a multi-niche hypothesis for the evolution of *Borrelia* spp., which is evident in Lb species and at least in *B. miyamotoi*. Parts of the molecular machinery of *Borrelia* spp. (at least those revealed by MLST) are evolving by the variability of the ecological niche occupied by the ticks. Because several species of ticks can circulate the borreliae, the genetic variability of the bacteria reflects this adaptation to the "tick environment", wiping the strains that do not fit in a particular combination of tick+environment.

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5. Conclusions

- The bacteria of the genus *Borrelia* have a dual life cycle involving a tick vector and a vertebrate.
- Different evolutionary pressures have forced a strict dependence on both partners for the survival of
- 542 Borrelia. These pressures drive the evolution of two groups of Borrelia, the Rf, transmitted by both
- argasid and ixodid ticks, and the Lb, circulated exclusively by ixodid ticks. To outline the probable
- evolution of *Borrelia*, we aimed to reconcile the molecular data available for *Borrelia* with the
- existing estimations of the evolution of ticks, geological land movements, and ecological
- relationships between ticks and vertebrates.
- Available data suggest that the association of *Borrelia* with ticks is a very old one. The Rf species
- are the most ancient, probably evolving from tick symbionts, originally associated with the
- primitive pool of ticks. After the Argasidae–Ixodidae split occurring about 230–290 Mya, the
- restricted niche of Argasidae promoted the evolution of the Rf species associated with one species
- of tick and a few vertebrates. A few species remained associated to Ixodidae, circulating in a
- completely different ecological scenario. No Lb species are known to be transmitted by Argasidae;
- therefore, Lb species evolved after the split of the genus *Ixodes*.
- The Lb species circulate through communities of generalist+specialist *Ixodes* spp. that act as a
- bridge between many host species. Different communities of interacting organisms use only one
- species of tick but share multiple vertebrates. This highly connected network of interactions

minimizes competition, exploiting a multiple niche and allowing molecular polymorphism and functional plasticity. Such a complex set of interactions produces a strain specialization of Lb species in which ticks could play the role of secondary filter, mainly driven by interactions with different ticks in the nested networks, a feature not paralleled in most Rf species.

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- 830 Legends for Figures
- Figure 1. The hypothesis of evolution of the genus *Borrelia*, linking data on main geological
- 832 events, land movements, and presumed evolution of ticks.
- Parts of the figure are based on Mans et al. (2016), which includes the presumed date of important
- evolutionary events (column A), schemes of the movements of land masses (B), the assumed
- evolution of ticks (C) based on molecular clocks of mitochondrial DNA, and our proposed
- evolution of the genus *Borrelia*.
- 837 Figure 2. The phylogenetic diversity and species diversity of the vertebrates and ticks
- 838 circulating *Borrelia* spp.
- Phylogenetic diversity and species diversity for vertebrates (A) and ticks (B) circulating the species
- 840 of Borrelia in the Lyme borreliosis group. Phylogenetic diversity and species diversity of the
- vertebrates (C) and ticks (D) circulating the species of *Borrelia* in the relapsing fever group. PD:
- phylogenetic diversity; Div: diversity of species.
- 843 Figure 3. 16S rRNA phylogenetic trees of Lb and Rf Borrelia and association with tick
- 844 vectors.
- Phylogenetic trees were built using Lb (A) and Rf (B) Borrelia 16S rRNA sequences. Sequences
- were collected from GenBank and aligned using MAFFT (Katoh and Standley, 2013). Redundant
- sequences (>99% identity) were removed using CD-HIT (Limin et al., 2012). The number of gap-
- 848 free sites was increased using MaxAlign, which excluded poorly aligned sequences (Gouveia-
- Oliveira et al., 2007). The final alignments contained 209 and 160 nucleotide sequences and 955
- and 1213 gap-free positions for Lb 16S rRNA and Rf 16S rRNA trees, respectively. The best-fit
- model of sequence evolution was selected based on Corrected Akaike Information Criterion (cAIC)
- and Bayesian Information Criterion (BIC) implemented in Molecular Evolutionary Genetics
- Analysis (MEGA) 6 (Tamura et al., 2013). The Kimura-2 parameters (Kimura, 1980) model, which
- had the lowest values of cAIC and BIC, was chosen to build both 16S rRNA trees. Neighbour
- joining (NJ) and maximum likelihood (ML) methods, implemented in MEGA 6, were used to select
- the best topology explaining the evolution of each group of sequences. The NJ and ML topologies
- were nearly the same. To simplify the graphical representation, only the NJ topology is shown, and
- major branches containing similar sequences were collapsed. Bootstrap values (>60%) of clusters
- 859 recovered with NJ and ML are shown. The full trees are provided in Newick format as
- supplementary material. When available, information on tick species that host the *Borrelia* was
- added next to the sequence.

Figure 4. OspC (A) and ospA (B) phylogenetic trees of Lb Borrelia and association with tick vectors.

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Phylogenetic trees were built using ospC (A) and ospA (B) nucleotide sequences of Lb Borrelia. Sequences were collected from GenBank and aligned using MAFFT (Katoh and Standley, 2013). Redundant sequences (>99% identity) were removed using CD-HIT (Limin et al., 2012). The number of gap-free sites was increased using MaxAlign, which excluded poorly aligned sequences (Gouveia-Oliveira et al., 2007). The final alignments contained 386 and 188 nucleotide sequences and 427 and 626 gap-free positions for ospC and ospA, respectively. The best-fit models of sequence evolution were selected based on Corrected Akaike Information Criterion (cAIC) and Bayesian Information Criterion (BIC) implemented in Molecular Evolutionary Genetics Analysis (MEGA) 6 (Tamura et al., 2013). The Generalised Time Reversible (GTR) (Tavaré, 1986) model, which had the lowest values of cAIC and BIC, was chosen to build the ospC and ospA trees. Neighbour joining (NJ) and maximum likelihood (ML) methods, implemented in MEGA 6, were used to select the best topology explaining the evolution of each group of sequences. The two topologies were nearly the same. To simplify the graphical representation, only the NJ topology is shown, and major branches containing similar sequences were collapsed. Bootstrap values of the clusters recovered with NJ and ML are shown. The full trees are provided in Newick format as supplementary material. When available, information on tick species that host the *Borrelia* was added next to the sequence.

Supplementary Material

- 883 **Supplementary material 1.** The phylogenetic tree of the phylogeny of the species of *Borrelia* 884 based on the MLST scheme for which information exists about the species of ticks or vertebrates on 885 which they were recorded. The tree is built with 8 in-house concatenated genes, aligned and free of 886 gaps, as obtained from https://pubmlst.org/borrelia/ (accessed, August 2017). Neighbour joining 887 (NJ) and maximum likelihood (ML) methods, implemented in MEGA 6, were used to select the best topology explaining the evolution of each group of sequences. The two topologies were nearly 888 889 the same. To simplify the graphical representation, only the NJ topology is shown. The label of 890 each sequence is formed by a sequential number for internal use only, the species of *Borrelia*, the 891 species of tick or vertebrate, and the MLST strain number, according to the standards of the method 892 (Margos et al., 2008).
- 893 **Supplementary material 2.** The Newick files of the 16S sequences of *Borrelia* spp. available in GenBank with information on the tick or the vertebrate of record. Species included in the groups Rf
- or Lb are provided separately.
- 896 **Supplementary material 3.** The Newick files of the *ospA* and *ospC* sequences of *Borrelia* spp.
- available in GenBank with information on the tick or the vertebrate of record.
- 898 Supplementary material 4. The network of interactions among species of Borrelia, ticks, and 899 vertebrates. The network is based on published reports, approximately from the year 1980. All 900 methods used to build the network already have been published (Estrada-Peña et al., 2015). The 901 network contains only the names of the species of ticks and *Borrelia* to improve the readability. A 902 complete version of the same drawing, with labels for every organism included, is available as 903 Supplementary Material 4. In this network, circles (nodes) are interacting organisms, and links 904 mean for interactions among. The colours of the nodes and links are random and indicate a cluster 905 or communities of organisms that interact more among themselves than with the rest of the nodes. 906 The topology of the network reflects the relationships (interactions) among the clusters. The size of 907 each node is proportional to its centrality in the network, and the size of its label is proportional to 908 its weighted degree, a weighted measure of the number of reports of the organism.
- Supplementary material 5. The network of interactions among species of *Borrelia*, ticks, and vertebrates. The network is based on published reports, from approximately 1980. All methods used to build the network already have been published (Estrada-Peña et al., 2015). The network contains labels for every organism included and can be zoomed in to improve readability. In this network, circles (nodes) are interacting organisms, and links indicate interactions. The colours of the nodes and links are random and indicate clusters or communities of organisms that interact more among themselves than with the rest of the nodes. The topology of the network reflects the relationships

- 916 (interactions) among the clusters. The size of each node is proportional to its centrality in the
- 917 network, and the size of its label is proportional to its weighted degree.