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1 Loss of Tuberous Sclerosis Complex 2 sensitizes tumors to nelfinavir-

2 bortezomib therapy to intensify endoplasmic reticulum stress induced cell

3 death

4

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37 Abbreviations: AMPK (AMP-activated protein kinase), ANOVA (analysis of variance), ATF4 (activating transcription factor 4), BiP (binding immunoglobulin protein), BTZ (bortezomib), 38 39 CASP (caspase), CHOP (C/EBP homologous protein), CI (combination index), ddCT (delta-40 delta threshold cycle), DMEM (Dulbecco's modified Eagle's medium), DMSO (dimethyl 41 sulfoxide), DTT (dithiothreitol), ELT3 (Eker rat leiomyoma-derived cells), ER (endoplasmic 42 reticulum), ERO1L (endoplasmic reticulum oxidoreductase 1 alpha), ETO (etoposide), FBS (foetal bovine serum), GADD34 (growth arrest and DNA damage-inducible protein 34), 43 GATOR (GTPase-activating protein activity toward RAGs), HSPA5 (heat shock protein family 44 45 A [HSP70] member 5), IMPACT (impact RWD domain protein), IRE1 α (inositol-requiring and ER-to-nucleus signaling protein 1α), K-RAS (KRAS Proto-Oncogene, GTPase), MEF (mouse 46 47 embryonic fibroblast), mTORC1 (mammalian/mechanistic target of rapamycin complex 1), NFV (nelfinavir), PARP (poly[ADP-ribose] polymerase), PBS (phosphate buffered saline), 48 49 PERK (PRKR-like endoplasmic reticulum kinase), PPI (protein phosphatase 1), PTEN 50 (Phosphatase And Tensin Homolog), RAP (rapamycin), RIPA (radio immunoprecipitation assay), RPMI (Roswell Park Memorial Institute), SD (standard deviation), SESN2 (sestrin 2), 51 52 TPG (thapsigargin), TRIB3 (tribbles homologue 3), TS (tuberous sclerosis), TSC (tuberous 53 sclerosis complex), UPR (unfolded protein response), XBP1 (X-box binding protein 1).

55 Abstract

56 Cancer cells typically lose homeostatic flexibility because of mutations and dysregulated 57 signaling pathways involved in maintaining homeostasis. Tuberous Sclerosis Complex 1 (TSC1) and TSC2 play a fundamental role in cell homeostasis, where signal transduction 58 through TSC1/TSC2 is often compromised in cancer, leading to aberrant activation of 59 60 mechanistic target of rapamycin complex 1 (mTORC1). mTORC1 hyperactivation increases 61 the basal level of endoplasmic reticulum (ER) stress via an accumulation of unfolded protein, 62 due to heightened *de novo* protein translation and repression of autophagy. We exploit this intrinsic vulnerability of tumor cells lacking TSC2, by treating with nelvinavir to further 63 enhance ER stress while inhibiting the proteasome with bortezomib to prevent effective 64 protein removal. We show that TSC2-deficient cells are highly dependent on the 65 66 proteosomal degradation pathway for survival. Combined treatment with nelfinavir and bortezomib at clinically relevant drug concentrations show synergy in selectively killing 67 68 TSC2-deficient cells with limited toxicity in control cells. This drug combination inhibited 69 tumor formation in xenograft mouse models and patient-derived cell models of TSC and 70 caused tumor spheroid death in 3D culture. Importantly, 3D culture assays differentiated 71 between the cytostatic effects of the mTORC1 inhibitor, rapamycin and the cytotoxic effects of the nelfinavir/bortezomib combination. Through RNA sequencing, we determined that 72 73 nelfinavir and bortezomib tip the balance of ER protein homeostasis of the already ERstressed TSC2-deficient cells in favour of cell death. These findings have clinical relevance in 74 75 stratified medicine to treat tumors that have compromised signaling through TSC and are 76 inflexible in their capacity to restore ER homeostasis.

77 Keywords:

78 Nelfinavir; Bortezomib; Cancer; mTOR; ER stress

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81 **1. Introduction**

82 Cancer cells often exhibit enhanced endoplasmic reticulum (ER) stress, due to a combination 83 of inappropriately activated protein synthesis, high mutational load, oxidative stress and 84 relative nutrient depletion that leads to the accumulation of misfolded protein [1]. The unfolded protein response (UPR) pathway restores ER homeostasis by three main 85 mechanisms; by slowing the rates of global protein translation, by targeting unfolded 86 87 protein to proteolytic degradation pathways (such as through autophagy and the proteasome), and through enhancement of protein folding orchestrated by molecular 88 89 protein chaperones within the ER. If the UPR fails to restore the ER protein folding 90 environment in a timely manner, cell death will ensue.

91 Mechanistic target of rapamycin (mTOR) (also referred to as mammalian target of 92 rapamycin) orchestrates cell growth control by functioning as a key regulator of protein 93 translation. Hyperactivation of mTOR complex 1 (mTORC1) is known to elevate the basal 94 levels of ER stress through inappropriately high levels of protein synthesis and an 95 accumulation of unfolded protein [2]. Aberrant signal transduction through mTORC1 can 96 also potently repress autophagy (reviewed in [3]). When autophagy is compromised, the 97 proteasome becomes the primary proteolytic pathway to clear unfolded protein aggregates from the cell, thereby restoring ER homeostasis and preventing cell death [4]. 98

Inactivating mutations in either Tuberous Sclerosis Complex 1 (TSC1) or TSC2 give rise to 99 100 Tuberous Sclerosis (TS), a genetic disorder where patients are predisposed to mTORC1-101 dependent tumor growth in various organs including the brain, kidney, eyes, lung, heart, 102 and skin (for review see [5]). Functional loss of TSC2 and resulting activation of mTORC1 was 103 shown to upregulate the proteasome [6]. We hypothesise that mTORC1-driven cancers have 104 an increased dependency on the proteasome for survival in response to ER stress. 105 Therefore, a feasible therapeutic strategy might be to inhibit the proteasome with the aim 106 to increase ER stress beyond a tolerated survival threshold. In support of this concept, selective cytotoxicity of proteasome inhibitors has been shown in cancer cell models with 107 108 heightened mTORC1 signaling [7,8]. However, the proteasome inhibitor, bortezomib, had 109 little efficacy as a single agent in preventing renal cystadenoma development in vivo in a 110 Tsc2+/- mouse model [9]. This study suggested that targeting the proteasome alone is 111 unlikely to cause cytotoxicity in mTORC1-active tumors. We therefore examined the effects 112 of targeting the proteasome with bortezomib in combination with nelfinavir. Nelfinavir was 113 originally used as a HIV protease inhibitor but has shown activity against a broad range of 114 cancer models. One of its proposed mechanisms of anti-cancer action is via induction of ER 115 stress [10]. Bortezomib has enhanced activity against advanced haematological 116 malignancies such as multiple myeloma, recurrent multiple myeloma and mantle cell 117 lymphoma when combined with nelfinavir and this combination is well tolerated in patients 118 [11]. Combined nelfinavir and bortezomib therapy is cytotoxic to breast cancer, acute 119 myeloid leukaemia, non-small cell lung cancer and myeloma cancer models [12,13,14], 120 prompting several clinical trials (ClinicalTrials.gov: NCT01164709, NCT02188537, 121 NCT01555281).

122 In an effort to stratify therapy, we wanted to determine whether specific inactivation of 123 TSC2, a key regulator of mTORC1, would sensitise cell and tumor models to combined 124 nelfinavir and bortezomib treatment. Previously we showed that combined treatment with 125 nelfinavir and the autophagy inhibitor, chloroquine, was sufficient to kill TSC2-deficient cell 126 lines or cancer cells with a high level of mTORC1 signal transduction and ER stress burden 127 [15]. In this study, using both in vitro and in vivo mTORC1-hyperactive tumor models, we 128 reveal that mTORC1-hyperactive cell lines and tumors are sensitive to combination 129 nelfinavir and bortezomib induced cytotoxicity mediated through ER stress, while normal 130 cells are able to tolerate this drug combination through intact compensatory mechanisms.

131 **2. Results**

132 2.1. ER stress is elevated upon combined treatment with nelfinavir and bortezomib in Tsc2 133 /- MEFs.

To assess ER stress induction after combined nelfinavir and bortezomib treatment, we analysed downstream ER stress markers by western blotting. As a control we employed MG132 to inhibit the proteasome. Nelfinavir and bortezomib individually enhanced the level of ER stress in *Tsc2-/-* MEFs, as shown by increases in ATF4, CHOP and GADD34 protein levels, while induction of ATF4, CHOP and GADD34 in *Tsc2+/+* MEFs was less evident (Figure 1A). Combined nelfinavir and bortezomib treatment further elevated the protein levels of ATF4, CHOP and GADD34 compared to single drug treatment, particularly in *Tsc2-/-* MEFs.

141 We next analysed Xbp1 mRNA splicing, which is a functional readout of ER stress and IRE1 α 142 activation. Tharpsigargin was employed as a control drug to induce ER stress. We observed 143 more *Xbp1* mRNA splicing upon nelfinavir treatment in both the *Tsc2+/+* and *Tsc2-/-* MEFs. 144 Bortezomib treatment did not result in Xbp1 mRNA splicing as a single agent and did not 145 further enhance the splicing of Xbp1 mRNA when combined with nelfinavir (Figure 1B). To 146 confirm this differential ER stress induction between cells with and without Tsc2, we 147 examined the mRNA levels of Chop and Bip (Figure 1C). In untreated cells, both the Chop 148 and *Bip* mRNA in *Tsc2-/-* MEFs were 5-fold and 2-fold higher, respectively, when compared 149 to Tsc2+/+ MEFs, indicating that ER stress is basally elevated in Tsc2-/- MEFs. The Tsc2-/-150 MEFs were particularly sensitive to nelfinavir treatment (either as a single agent or in 151 combination with bortzomib), resulting in a 2.5 - 3.3-fold higher level of Chop expression 152 and a 1.8 - 2.2-fold higher level of *Bip* expression when compared to the *Tsc2+/+* cells. 153 Bortezomib also induced a 3-fold increase in Chop mRNA and a 2.4-fold increase in Bip 154 mRNA in the Tsc2-/- cells compared to the control cells. These data demonstrate that both 155 nelfinavir and bortezomib treatment induce a higher ER stress burden in cells lacking Tsc2. To examine whether drug treatment was inducing CHOP via the PERK pathway, we 156 employed a PERK inhibitor, GSK2606414 (Figure 1D). CHOP expression induced by nelfinavir 157 and bortezomib was markedly repressed with GSK2606414, revealing that these drugs are 158 159 inducing an ER stress response through PERK.

Given that bortezomib promotes ER stress via proteasomal inhibition and that nelfinavir has also been reported to inhibit the proteasome [16], we examined proteasome activity through either detection of polyubiquitinated protein (Figure 1A), or levels of chymotrypsinlike activity (Figure 1E) after drug treatment. As expected, treatment with bortezomib alone, or in combination, greatly enhanced the levels of polyubiquitinated protein and effectively reduced chymotrypsin-like activity, indicating robust proteasome inhibition. At 20 μ M, nelfinavir did not show proteasome inhibition in either assay.

167 Elevation of protein synthesis by mTORC1 hyperactivation likely drives ER stress in *Tsc2*-168 deficient cells, but has not been examined to date. We analysed *de novo* protein synthesis using pulse-chase [35 S]-methionine labelling experiments of *Tsc2-/-* and wild-type control cells in the presence or absence of nelfinavir and bortezomib (Figure 1F). We observed that the *Tsc2-/-* cells had almost 4-fold elevation of protein synthesis compared to wild-type, showing that basally ER stressed *Tsc2-/-* cells maintain a high level of protein synthesis. After 6 h of nelfinavir and bortezomib dual treatment, protein translation was markedly reduced.

174 **2.2.** Proteasome inhibition induced death of Tsc2 deficient cells which is enhanced by 175 nelfinavir.

We speculated that combined nelfinavir and bortezomib treatment might selectively induce 176 cell death in Tsc2-/- MEFs compared to Tsc2+/+ MEFs. We quantified cell death by flow 177 178 cytometry with DRAQ7 labelling (Figures 2A and 2B). DRAQ7 is a membrane impermeable 179 far-red fluorescent DNA-binding dye that measures cell death via increased membrane permeability. Both MG132 and bortezomib as single agents caused selective cell death in 180 181 the Tsc2-/- MEFs but not in Tsc2+/+ MEFs, revealing that cells devoid of Tsc2 are dependent 182 on the proteasome for their survival. Combined treatment of nelfinavir with bortezomib enhanced cell death in the Tsc2-/- MEFs ($83.2\% \pm 9.2$ cell death), with minimal toxicity 183 observed in the Tsc2+/+ MEFs (17.5% ± 7.7). The low level of cell death in the Tsc2+/+ MEFs 184 185 is not significantly different to the DMSO vehicle control. A similar pattern was observed for 186 the nelfinavir/MG132 combination. To validate these findings, we utilised Tsc2-deficient and 187 re-expressing ELT3 rat smooth muscle cells [17]. These results mirrored that seen in the 188 Tsc2-/- MEFs (Supplementary Figure 1A and 1B).

189 To further examine cell death in Tsc2-/- MEFs we quantified DNA fragmentation after 190 treatment (Figure 2C). We observed significant induction of DNA fragmentation after 191 bortezomib treatment, which was further enhanced when combined with nelfinavir. No 192 DNA fragmentation was evident with nelfinavir treatment alone. We next analysed several 193 apoptosis markers by western blot (Supplementary Figure 1C). We observed cleavage of caspase8, caspase 3 and PARP in Tsc2-/- MEFs upon treatment with bortezomib alone or co-194 195 treatment with nelfinavir and proteasome inhibitors, whilst no marked cleavage was observed in wild-type cells. We could partially but significantly rescue Tsc2-/- MEFs from 196 197 nelfinavir/bortezomib-induced cell death by inhibiting apoptosis with the pan caspase 198 inhibitor, Z-VAD-FMK, suggesting cell death is partly mediated through caspase activation 199 (Figure 2D).

200 To determine whether other sporadic cancer cell lines were also sensitive to combined 201 nelfinavir and bortezomib treatment, we examined human NCI-H460 lung cancer and HCT116 colon cancer cell lines, which both have elevated levels of mTORC1 signaling. Both 202 203 cell lines showed sensitivity to the treatment (Figures 3A and 3B). Combined treatment 204 caused cell death at levels of $58.1\% \pm 18.5$ in NCI-H460 cells and $55.1\% \pm 5.8$ in HCT116 cells, 205 significantly higher than with either agent alone. Both cell lines showed a higher level of 206 caspase 8, caspase 3, and PARP cleavage following dual treatment when compared to single 207 drug treatments (Figure 3C). Elevated levels of CHOP and GADD34 were observed in 208 nelfinavir and bortezomib treated cells, suggesting that cell death was likely mediated209 through the ER stress pathway.

210 **2.3.** Synergy of nelfinavir and bortezomib in inducing cell death in Tsc2-/- MEFs

We next assessed evidence for synergy between nelfinavir and bortezomib in inducing cell 211 212 death. Tsc2+/+ and Tsc2-/- MEFs were treated with nelfinavir and bortezomib at a range of 213 concentrations, both separately and in combination. Cells were then analysed by flow 214 cytometry using DRAQ7 labelling (Figures 4A-D) revealing that nelfinavir has little cytotoxic effect as a single agent, especially at low doses (Figure 4A), whilst bortezomib potently 215 induces cell death, more so in Tsc2-/- than Tsc2+/+ cells (Figure 4B). Whilst Tsc2+/+ MEFs 216 217 can tolerate high concentrations of nelfinivir and bortezomib in combination (Figure 4C), 218 Tsc2-/- MEFs are acutely sensitive to lower drug concentrations (Figure 4D). CompuSyn software was used to calculate combination index (CI) values based on mean cell death, 219 which are shown as Chou-Talalay plots for Tsc2+/+ (Figure 4E) and Tsc2-/- (Figure 4F) MEFs. 220 221 Values below CI = 1 indicate synergy between nelfinavir with bortezomib. Figure 4F shows 222 that nelfinavir and bortezomib act synergistically to induce cell death in Tsc2-/- MEFs at all 223 concentrations used in this experiment.

224 2.4. Combined nelfinavir and bortezomib inhibit tumor spheroid formation and outgrowth 225 of Tsc2-/- cells

226 Based on the concentrations of nelfinavir and bortezomib that demonstrated synergy in 227 Tsc2-/- MEFs, we utilised 20 nM bortezomib with 20 µM nelfinavir in tumor formation assays. Nelfinavir alone did not impact colony formation and growth, but bortezomib 228 treatment impaired growth by $36 \pm 12\%$ (Figure 5A). When bortezomib was combined with 229 230 nelfinavir, tumor growth was completely inhibited. To investigate whether nelfinavir and 231 bortezomib could kill already established tumors, Tsc2-/- MEFs were cultured as spheroids 232 using 3D cell culture before being treated over 96 h. Nelfinavir and bortezomib as single 233 drug treatments and also in combination were compared to the mTORC1 inhibitor, 234 rapamycin. Due to the prolonged treatment time compared to the 2D experiments, we utilised lower concentrations of nelfinavir (10 μ M) and bortezomib (10 nM) in this 235 236 experiment. Cell death was measured by DRAQ7 staining intensity (Figure 5B) and compared 237 to spheroid size (Figure 5C). Combined nelfinavir and bortezomib treatment caused a 238 significant increase in DRAQ7 staining compared to both the single drug treatments and also 239 when compared to rapamycin. However, rapamycin visibly shrank the overall size of the 240 spheroid whereas treatments with either nelfinavir or bortezomib did not. To further 241 determine viability, the treated spheroids shown in Figure 5B were plated into 2D cell 242 culture systems and allowed to regrow without the presence of drug. Spheroid outgrowth 243 was then measured over 72 h (Figure 5D, graphed in 5E). Spheroids treated with rapamycin, 244 although shrunken, still contained viable cells that could rapidly grow out into culture. 245 Spheroids treated with either nelfinavir or bortezomib also grew back, while there was no 246 evidence of outgrowth in the combined treatment with nelfinavir and bortezomib. The lack 247 of cell recovery and the high level of DRAQ7 staining indicate that combined treatment with 248 nelfinavir and bortezomib effectively prevents re-growth of spheroids through induction of 249 cell death, whereas rapamycin shrank spheroids which then regrew when treatment was 250 withdrawn, as previously reported in clinical studies with rapalogues [18,19]. The effect of 251 nelfinavir and bortezomib in Tsc2-/- MEFs was validated in ELT3-V3 cells that showed a 252 similar response (Supplementary Figure S2A-C). To further investigate how drug treatments 253 affected tumor size and integrity, phalloidin (green) was used to stain the actin 254 cytoskeleton, and DRAQ7 (far red) to counterstain nuclei, following drug treatment of Tsc2-255 /- MEFs. Figure 5F shows that rapamycin-treated spheroids retain a similar degree of actin 256 fluorescence as DMSO-treated controls, whereas nelfinavir and bortezomib-treated 257 spheroids exhibit comparatively less actin and nuclear staining. The weak nuclear staining 258 and the collapse of the nuclear envelope is indicative of DNA fragmentation and suggests 259 that nelfinavir-bortezomib is killing cells in the spheroid rather than causing senescence. 260 This data supports our findings showing that rapamycin can shrink tumors, but without cytotoxic effects, while nelfinavir-bortezomib treatment is effective at causing cell death. 261

262 **2.5.** Nelfinavir and bortezomib treatment downregulates pro-survival and upregulates 263 pro-apoptosis genes, likely mediated through ER stress

264 To gain a better understanding of the early changes that nelfinavir and bortezomib cause to 265 gene expression within cells, RNA sequencing was performed in Tsc2+/+ and Tsc2-/- MEFs 266 after 6 h of combined treatment or DMSO vehicle control. Figure 6A shows a panel of genes 267 associated with ER stress, a selection of which is highlighted graphically in Figure 6B (raw data in Supplementary Table 1). Tsc2-/- MEFs expressed higher basal levels of all the ER 268 269 stress genes shown in the panel (Figure 6A, Supplementary Figure S3A and Supplementary 270 Table 2). Following nelfinavir and bortezomib treatment, this expression was further 271 increased (Supplementary Figure S3B and Supplementary Table 3), with expression in Tsc2-272 /- cells mostly significantly higher than that of the Tsc2+/+ MEFs (Figure 6B). Figure 6C 273 describes the changes of a panel of pro-survival and pro-death genes in Tsc2+/+ versus Tsc2-274 /- dual-treated MEFs (genes selected based on AmiGO "cell death"). Figure 6C shows 275 expression of pro-survival genes to be decreased, and pro-death genes to be increased in 276 drug treated Tsc2-/- cells compared to treated Tsc2+/+ MEFs. The overall RNA sequencing 277 data is shown visually in a volcano plot (Figure 6D and Supplementary Table 4), with the 278 genes in Figure 6C highlighted. To validate that the Tsc2+/+ MEFs could efficiently restore 279 ER homeostasis, while the Tsc2-/- MEFs could not, we carried out a time course of 280 nelfinavir-bortezomib treatment (Figure 6E). We observed a strong initial increase in ATF4 281 and CHOP protein in both cell lines at 6 h of treatment, which was downregulated by 16 h to 282 a level that was not significantly different to untreated. However, after 24 h of treatment, 283 the protein expression of ATF4 and CHOP was enhanced in the Tsc2-/- MEFs, suggesting an 284 inability to efficiently restore ER homeostasis. In contrast, the protein levels of ATF4 and 285 CHOP remained low in the *Tsc2*+/+ MEFs after 24 h of treatment.

286 **2.6.** Nelfinavir and bortezomib treatment reduced tumor volume in ELT-V3 mouse 287 xenografts, correlating with increased CHOP expression in tumor tissue

288 To determine the anti-tumor efficacy of nelfinavir and bortezomib in vivo, mice bearing 289 Tsc2-null ELT3 xenograft tumors were treated with the drugs as single agents or in 290 combination. Thirty-five days after commencement of treatment, combined nelfinavir and 291 bortezomib decreased tumor growth by approximately 70% which was a significant 292 decrease compared with vehicle-treated mice (Figure 7A). The single agent treatments 293 slowed tumor growth but not significantly. While combined treatment of nelfinavir and 294 bortezomib is well tolerated in patients [11], combined treatment was not well tolerated in mice. In the combination group, 11/14 mice died or were euthanized due to excessive 295 toxicity compared with 2/14 in the vehicle treated group, 5/14 in the nelfinavir alone group 296 297 and 5/14 in the bortezomib alone group. Immunohistochemical analysis of xenograft tumor tissue sections revealed a modest increase in CHOP positive cells after nelfinavir and 298 299 bortezomib combined treatment (Figure 7B). The heterogeneity of CHOP staining likely 300 reflects cycles of ER stress induction and recovery in these cells. By western blot analysis, a 301 higher level of ATF4 protein and PARP cleavage was observed in tumors from mice that 302 were treated with both nelfinavir and bortezomib (Figure 7C), which indicates an elevated 303 level of ER stress and cell death upon combined drug treatment.

304 3. Discussion

305 In this study, using clinically relevant drugs that could be repositioned to treat tumors 306 displaying high ER stress profiles, we reveal that mTORC1-overactive cells are sensitive to 307 combined nelfinavir and bortezomib treatment. We show that nelfinavir and bortezomib act 308 to amplify ER stress levels, and combine synergistically to promotecell death. Whilst wild-309 type cells tolerate this drug combination with minimal cell death, cytotoxicity in Tsc2-310 deficient cells is evident even at low drug concentrations and is likely attributable to their 311 inability to manage the ER stress burden. Indeed, we see that ER stress is not fully restored 312 in the Tsc2-deficient cells after 24 h of combined drug treatment, as observed by a 313 reoccurrence of ATF4 and CHOP protein expression (Figure 6E). It was previously reported 314 that Tsc2-deficient cells have a truncated ER stress response [20], which fits with our 315 observation that cells lacking functional Tsc2 are compromised in their ability to reduce 316 their ER stress burden and restore ER homeostasis. Tsc2 functions as an important component of the survival arm during ER stress as it is positioned downstream of several ER 317 stress-mediated survival pathways. One pathway involves GADD34, which associates with 318 319 Tsc2 to recruit protein phosphatase 1 (PP1) to dephosphorylate and activate Tsc2, thus 320 repressing mTORC1 signal transduction. We observed high protein expression levels of 321 GADD34 after ER stress induction in all our cell lines, more so in *Tsc2-/-* MEFs.

Normally, protein synthesis is down-regulated upon ER stress as an efficient strategy to prevent further build-up of unfolded protein within the ER. We observed that *Tsc2*-deficient cells have elevated protein synthesis despite the higher background levels of ER stress, with 325 a 3 to 4-fold increase in protein synthesis in *Tsc2-/-* MEFs compared to wild-type (Figure 1F). 326 The elevated levels of protein synthesis would likely enhance ER stress within the Tsc2-327 deficient cells. As well as promoting translation, mTORC1 hyperactivation increases the 328 activity of the proteasome while reducing autophagy [6]. Downregulation of autophagy 329 means the proteasome becomes the principal mechanism to reduce ER stress via protein 330 degradation in mTORC1-driven cells. However, the proteasome inhibitor, bortezomib, had a lack of in vivo activity against renal tumors in Tsc2+/- mice as a single agent [9], perhaps 331 332 reflecting a failure to induce a sufficient level of ER stress. This problem could potentially be 333 overcome by using a combination of two ER stress inducing agents, such as nelfinavir and 334 bortezomib.

335 Bortezomib (Velcade, Janssen-Cilag) was the first FDA-approved proteasome inhibitor found 336 to have clinical promise for treating cancer. Bortezomib was originally approved for the 337 treatment of advanced multiple myeloma and more recently for mantle cell lymphoma. 338 Next generation proteasome inhibitors (marizomib and carfilzomib) are currently being 339 tested in clinical trials. Bortezomib's action is to specifically bind to the catalytic site of the 340 26S proteasome to inhibit enzyme activity. As a consequence of inhibiting the ubiquitin-341 proteasome system, bortezomib markedly changes the survival status of cancer cells. The 342 synergy observed between nelfinavir and bortezomib is unlikely due to ER stress alone, but 343 probably involves other processes impacted by treatment. Additional processes affected upon proteasome inhibition include cell cycle control, apoptosis, angiogenesis, 344 345 transcriptional regulation and DNA-damage response (see review [21]). Although the 346 nelfinavir and bortezomib combination showed considerable toxicity in mice in our study, a 347 recent phase I clinical trial (clinicaltrials.gov: NCT01164709) in bortezomib-refractory 348 multiple myeloma combining bortezomib with nelfinavir was well tolerated, safe and showed promising activity [11]. Supporting this, treatment of patients with a recommended 349 350 dose for a phase II trial of advanced haematological malignancies showed that 9 relapse 351 patients whose malignancies were resistant to bortezomib had either a partial response or 352 clinical benefit when bortezomib was combined with nelfinavir, with no apparent increase in 353 toxicity [11].

Our work demonstrates for the first time that functional loss of TSC2 and subsequent 354 355 mTORC1 hyperactivation sensitises cells to combined proteasomal inhibition and ER stress 356 induction. Our findings have clinical relevance in stratified medicine, where cancers with 357 compromised signal transduction through TSC1/2-mTORC1 (via upstream pathways e.g. 358 oncogenic K-RAS or loss of PTEN) may be sensitive to nelfinavir and bortezomib. Our data 359 implies that a high ER stress burden and hyperactive mTORC1 signaling could function as 360 predictive biomarkers of drug efficacy when considering combined nelfinavir and 361 bortezomib treatment.

362

365 4. Materials and Methods

366 **4.1.** Cell culture and reagents

 $Tsc2^{+/+}$ p53^{-/-} and $Tsc2^{-/-}$ p53^{-/-} mouse embryonic fibroblasts (MEFs) were a kind gift from 367 David Kwiatkowski (Harvard University, Boston, USA) in 2004 and have been previously 368 369 characterised [22]. Eker rat leiomyoma-derived Tsc2-deficient ELT3-V3 cells and matching 370 control TSC2-expressing ELT3-T3 cells generated in Astrinidis et al, 2002 [23], were kindly 371 provided in 2006 by Cheryl Walker (M.D. Anderson Cancer Center, Houston, USA). Human 372 lung carcinoma (NCI-H460) cells were purchased from ATCC in 2012 while HCT116 cells were 373 provided in 2015 by Nick Leslie (Heriot Watt University, Edinburgh). All cell lines were 374 mycoplasma free and regularly tested for mycoplasma infection using the Venor GeM 375 Classic PCR kit from CamBio. All cell lines were cultured in Dulbecco's Modified Eagle's 376 Medium (DMEM, Lonza, Basel, Switzerland, BE12-604F), supplemented with 10 % (v/v) 377 foetal bovine serum (FBS, 10270106, Thermo Fisher Scientific), 100 U/ml penicillin and 100 378 μg/ml streptomycin (P4333, Sigma-Aldrich, Dorset, UK) in a humidified incubator at 37 °C, 5 % (v/v) CO₂. Nelfinavir mesylate hydrate (PZ0013), thapsigargin (T9033), MG132 (C2211) 379 380 and etoposide (E1383) were purchased from Sigma, while bortezomib (CAS 179324-69-7), 381 rapamycin (CAS 53123-88-9), Z-VAD-FMK (CAS 161401-82-7), and GSK2606414 were 382 purchased from Merck Millipore (Hertfordshire, UK).

383 **4.2.** mRNA extraction, reverse transcription, XBP1 splicing, Chop and Bip qPCR

Samples were collected and analysed as previously described [15]. *Bip* was analysed using
 Quantitect primers (QT00172361, Qiagen).

386 4.3. Western Blotting

Both live and dead cells were collected and lysed in radio immunoprecipitation assay (RIPA) 387 388 buffer (R0278) supplemented with Complete Mini protease inhibitor cocktail (11836170001), PhosSTOP phosphatase inhibitor cocktail (04906837001) and 1 mM 389 390 dithiothreitol (DTT, D0632) (all purchased from Sigma). Following sonication, equal amounts 391 of protein were loaded and western blotting was performed as previously described [24]. 392 Protein from xenograft tumors were extracted by AllPrep DNA/RNA/Protein Mini Kit using 393 the manufacturers protocol (Qiagen). Antibodies towards C/EBP homologous protein 394 (CHOP, #2895), inositol-requiring and ER-to-nucleus signaling protein 1α (IRE1 α , #3294S), ATF4 (#11815), caspase-3 (#9662), caspase-8 (mouse specific #4927, human specific #9746), 395 396 PARP (#9542), TSC2 (#3990) and β -actin (#4967) were purchased from Cell Signaling Technology (Danvers, USA). Growth arrest and DNA damage-inducible protein 34 (GADD34, 397 398 also known as Protein phosphatase 1 regulatory subunit 15A [PPP1R15A], 10449-1-AP) 399 antibody was purchased from Proteintech (Manchester, UK). Ubiquitin antibody was from 400 BioMol (PW8810). Densitometry was carried out using ImageJ (version 1.51j8).

401

402 **4.4.** Late cell death assay and determination of drug synergy

403 Cell death was quantified as previously described [15]. To determine synergy, cells were 404 treated with a range of drug concentrations and the affected fraction was used to 405 determine combination index (CI) values using CompuSyn software (ComboSyn, Inc.) using a 406 non-constant ratio approach.

407 4.5. DNA fragmentation ELISA

DNA fragmentation was measured with the Cell Death Detection ELISA kit (Roche) according to the manufacturer's protocol. This is a one-step colorimetric sandwich ELISA that quantifies DNA fragments. *Tsc2+/+* and *Tsc2-/-* MEFs were plated in 96-well plates and incubated overnight. Drugs were added to the cells and incubated for 24 h and DNA fragmentation assayed. The relative quantity of immobilized antibody histone complex was determined photometrically (at 405 nm) using 2,2,0-azino-bis-3-ethylbenzothiazoline-6sulfonic acid as a peroxidase substrate.

415 **4.6 Proteasome Activity Analysis**

416 Proteasomes were extracted from live cells 2 h post-treatment and their chymotrypsin-like

417 proteasome activity determined according to a previously described protocol [25].

418 **4.7 Soft Agar Assay, spheroids and outgrowth**

419 Soft agar assays, spheroid formation and outgrowth analysis was performed as previously 420 described [26]. For phalloidin staining, spheroids were grown over 96 h and drug treated for 72 h, before fixing in 4% paraformaldehyde for 30 min. Spheroids were permeabilized using 421 422 0.1% Triton-X100 for 45 min before staining with ActinGreen 488 Ready Probes Reagent 423 (R37110, Thermo Fisher) as per manufacturers protocol. Finally, spheroids were stained 424 with 3 µM DRAQ7 (DR71000, Biostatus) before transfer to a glass-bottomed plate and 425 imaged using a Zeiss LSM 880 confocal microscope with Zen software. Analysis was 426 performed using ImageJ v1.50i.

427 **4.8 RNA-Seq sample preparation, sequencing and analysis**

428 Total RNA quality and quantity was assessed using Agilent 2100 Bioanalyser and a RNA Nano 429 6000 kit (Agilent Technologies). 100-900 ng of total RNA with a RIN value >8 was depleted of 430 ribosomal RNA and the sequencing libraries were prepared using the Illumina® TruSeg® 431 Stranded total RNA with Ribo-Zero Gold[™] kit (Illumina Inc.). The steps included rRNA 432 depletion and cleanup, RNA fragmentation, 1st strand cDNA synthesis, 2nd strand cDNA 433 synthesis, adenylation of 3' ends, adapter ligation, PCR amplification (12-cycles) and 434 validation. The manufacturer's instructions were followed except for the cleanup after the 435 ribozero depletion step where Ampure[®]XP beads (Beckman Coulter) and 80% Ethanol were 436 used. The libraries were validated using the Agilent 2100 Bioanalyser and a high-sensitivity 437 kit (Agilent Technologies) to ascertain the insert size, and the Qubit[®] (Life Technologies) was 438 used for guantitation. Following validation, the libraries were normalized to 4 nM, pooled 439 together and clustered on the cBot[™] 2 following the manufacturer's recommendations. The 440 pool was then sequenced using a 75-base paired-end (2x75bp PE) dual index read format on 441 the Illumina[®] HiSeq2500 in high-output mode according to the manufacturer's instructions. 442 Quality control checks of the resultant reads were performed using FastQC before mapping to the UCSC mouse mm10 reference genome using Tophat and Bowtie. Differentially 443 444 expressed transcripts were identified using a DeSeq2 analysis [27] on normalised count data 445 with the design formula setup to analyse all pairwise comparisons in the dataset using 446 contrasts. The resultant p-values were corrected for multiple testing and false discovery 447 issues using the FDR method. Genes involved in cell survival were selected based on 448 GO:0008219 (cell death) the complete list AmiGo 2 from on 449 (http://amigo.geneontology.org/amigo/landing).

450 **4.9 Protein translation assay**

This was performed as in [28], using EasyTag[™] EXPRESS-[³⁵S] Protein Labeling Mix
 (NEG772007MC, Perkin Elmer).

453

454 4.10 ELT-3 mouse xenograft

455 All animal experimental procedures were approved by the Institutional Animal Care and Use 456 Committee of CrownBIO prior to conduct. During the study, the care and use of animals was 457 conducted in accordance with the regulations of the Association for Assessment and 458 Accreditation of Laboratory Animal Cate (AAALAC). A mouse xenograft model was established using ELT3-V3 cells inoculated into 9-10 week old female NOD/SCID mice (HFK 459 460 Bio-Technology Co. Ltd. (Beijing, China)). Sample size was based upon using a two tailed t-461 test, assuming unequal variance and large effect size of 0.8 with 60 % power at the 10 % 462 significance level. Exponentially growing ELT3-V3 cells were used for tumor inoculation. One 463 week prior to cell inoculation, all the mice were implanted with $17-\beta$ estradiol pellets (2.5 mg, 90-day release, Innovative Research of America). Mice were inoculated subcutaneously 464 at the right flank with ELT3-V3 cells (5×10⁶) in 0.2 ml of PBS. Tumor volumes were measured 465 in two dimensions using a calliper, and the volume was expressed in mm³ using the formula: 466 V = 0.5 a \times b² where a and b are the long and short diameters of the tumor, respectively. 467 Grouping and treatments began when the mean tumor size reached 186 mm³. Fourteen 468 469 mice were assigned per treatment group using a randomized block design, based on their 470 tumor volumes to receive one of the following treatments: 1) vehicle (4 % (v/v) DMSO, 5 %471 (v/v) PEG, 5 % (v/v) TWEEN 80 in saline); 2) nelfinavir, 50 mg/kg dissolved in vehicle; 3) 472 bortezomib, 0.5 mg/kg dissolved in 0.04 % (v/v) mannitol solution; 4) nelfinavir, 50 mg/kg 473 and bortezomib, 0.5 mg/kg. Treatments were administered intraperitoneally on days 1, 3, 5, 8, 10, 12, 15 and 17. Dosages were reduced to 30 mg/kg nelfinavir and 0.3 mg/kg 474 475 bortezomib on day 8 due to toxicity. Tumor volumes were measured three times per week.

Investigators were not blinded to the group allocation. Due to lower numbers of mice than
anticipated at Day 17, groups were compared non-parametrically using the Kruskal-Wallis
test and pairwise comparisons.

479 **4.11** Immunohistochemistry

480 Tumors were snap frozen in optimal cutting temperature compound and cryostat sectioned 481 at 10 µm thickness. Sections were warmed to room temperature for 30 min, fixed in ice cold acetone for 5 min and air-dried for 30 min. Following blocking in 5% (v/v) normal goat 482 serum (NGS) in Tris Buffered Saline (pH 7.6) 0.1 % (v/v) Tween-20, sections were incubated 483 over-night at 4°C with 1/1000 rabbit monoclonal antibody against CHOP(Abcam, ab179823), 484 485 blocked with Envision peroxidase block and incubated for 30 min in Envision rabbit polymer, 486 before detection with DAB chromogen (all DAKO). Slides were counterstained with 487 haematoxylin, dehydrated through an ethanol series and xylene, before mounting in DPX medium (Fisher Scientific). 5 fields from each tumor were scored for percentage of cells 488 489 staining positively for CHOP (ImageJ, v1.51j8).

490 4.12 Statistical analysis

491 At least three independent, biological repeats were performed for each experiment. Exact 492 sample size is indicated in each figure legend. Results are expressed as mean \pm standard 493 deviation (SD), unless otherwise specified in the figure legend. Data analysis was carried out 494 using a one-way ANOVA followed by LSD post-hoc test, or an independent samples Kruskal-495 Wallis test as appropriate. Significance is reported at * p < 0.05, ** p < 0.01, *** p <0.001, 496 and NS = not significant.

497

498 **Conflict of Interest Statement**

- 499 RJE is non-executive director of Biostatus Ltd, the vendor of DRAQ7.
- 500

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- 593
- 594 Figure Legends

595 Figure 1 – Nelfinavir markedly enhances ER stress in *Tsc2-/-* MEFs when combined with 596 proteasome inhibitors. (A) Tsc2+/+ and Tsc2-/- MEFs were treated for 6 h with either DMSO 597 vehicle, 20 µM nelfinavir (NFV), 1 µM MG132, 50 nM bortezomib (BTZ), alone or in 598 combination where indicated. Cells were harvested and total protein levels of TSC2, IRE1 α , 599 ATF4, CHOP, GADD34 and β -actin were detected by western blot. Anti-ubiguitin antibodies 600 were used to determine the relative level of poly-ubiquitinated protein (n=3). (B) Xbp1 601 mRNA splicing was determined following treatments as indicated. PCR products were resolved on agarose gels (unspliced = 480 bp upper band, spliced = 454 bp lower band, n=3). 602 603 The proportion of spliced Xbp1 (Xbp1s) is graphed below. (C) Chop and Bip mRNA levels 604 were analysed following 6 h dual treatment and standardised against Actb mRNA (n=3). (D) 605 Tsc2-/- MEFs were pre-treated with 2 µM GSK2606414 (PERK inhibitor) for 30 min, where 606 indicated, before being treated with 20 μ M nelfinavir and 50 nM bortezomib for 6 h. Protein 607 expression for CHOP and β -actin was then determined by western blot analysis (n=3). (E) 608 The proteasome activity of drug-treated samples, as indicated, was determined by 609 monitoring the turnover of the fluorescent chymotrypsin-like substrate (n=3). Statistics 610 given are relative to the Tsc2+/+ DMSO control. (F) Levels of protein synthesis were 611 determined for control, single and dual treated cells as indicated (n=5).

612 Figure 2 – Nelfinavir enhances the cytotoxicity of bortezomib in Tsc2-/- but not in Tsc2+/+ 613 **MEFs.** (A) Tsc2+/+ and Tsc2-/- MEFs were treated with either DMSO vehicle, 1 μ M MG132, 614 50 nM bortezomib (BTZ), 20 μ M nelfinavir (NFV) alone or in combination where indicated 615 over 24 h. Cells were then subjected to flow cytometry following DRAQ7 staining. DRAQ7 616 exclusion (below line) represents the viable cell population, whilst positive DRAQ7 staining 617 (above line) indicates cell death. The number of DRAQ7-stained Tsc2+/+ and Tsc2-/- MEFs 618 are graphed in (B) (n=3). (C) Tsc2+/+ and Tsc2-/- MEFs were treated for 24 h with either 619 DMSO, 20 μ M nelfinavir (NFV), 50 nM bortezomib (BTZ) as single agents or in combination, 620 as indicated, and then subjected to DNA fragmentation assays (n=5). (D) Tsc2+/+ and Tsc2-/-621 MEFs were treated for 24 h with 20 μ M NFV combined with 50 nM BTZ in the presence or 622 absence of 20 µM Z-VAD-FMK and analysed for cell death by flow cytometry with DRAQ7 623 staining. The number of DRAQ7-stained Tsc2+/+ and Tsc2-/- MEFs are graphed (n=3).

624 Figure 3 – Nelfinavir enhances the cytotoxicity of bortezomib in human lung and colon 625 cancer cell lines. (A) NCI-H460 lung cancer and HCT116 colon cancer cells were treated with either DMSO vehicle, 50 nM bortezomib (BTZ), 20 μ M nelfinavir (NFV) alone, or NFV 626 627 combined with BTZ over 24 h. Cells were then subjected to flow cytometry with DRAQ7 628 staining. DRAQ7 exclusion (below line) represents the viable cell population, whilst positive 629 DRAQ7 staining (above line) indicates cell death. The number of DRAQ7-stained cells are 630 graphed in (B) (n=3). (C) With the addition of Etoposide (100 μ M), cells were treated as in 631 (A) and total protein levels of Caspase-8 (CASP8), Caspase-3 (CASP3), PARP, GADD34, CHOP 632 and β -actin were measured by western blot analysis (n=3).

Figure 4 – Nelfinavir and bortezomib synergise to selectively kill *Tsc2-/-* MEFs. Dose response curves were performed in *Tsc2+/+* and *Tsc2-/-* MEFs using flow cytometry as a readout of cell death for nelfinavir (A), bortezomib (B) and the combination (C, D) (n=3). Synergy was assessed by examining cell death across a range of bortezomib concentrations, with or without 20 μ M nelfinavir and calculated using CompuSyn software (E, F). Graphs show mean +/- S.E.M.

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Figure 5 – Nelfinavir and bortezomib prevent tumor spheroid growth in Tsc2-/- MEFs. (A) 640 641 *Tsc2-/-* MEFs were plated in soft agar and treated over 11 days with either 20 μ M nelfinavir (NFV), 20 nM bortezomib (BTZ), as single agents or in combination. Images of the colonies 642 643 were taken and the diameters measured using ImageJ. Scale bar is 20 μ m (n=4, with >100 644 spheroids measured per condition, per replicate). (B) Tsc2-/- MEF spheroids were treated with DMSO vehicle control, 10 µM nelfinavir combined with 10 nM bortezomib (NFV BTZ), 645 or 25 nM rapamycin (RAP), for 96 h. DRAQ7 was added for the final 36 h to monitor cell 646 647 death before images were taken and quantified ($n \ge 3$, average of 12 spheroids per condition per replicate). (C) Spheroid diameter was determined from phase contrast images of (B) 648 649 after 96 h drug treatment and plotted against DRAQ7 staining intensity. (D) Spheroids were 650 re-plated onto standard tissue culture plates and grown under drug-free conditions. Images 651 were taken every 24 h and the area of outgrowth calculated using Image J. Scale bar is 200 μ m. Relative outgrowth areas are graphed in (E). Statistics compare the 72 h timepoint. 652 653 Graphs in A, B and E shown mean +/- S.E.M. (F) Treated spheroids were stained using phalloidin (actin - green in merged images) and DRAQ7 (nuclei - white) and imaged using 654 655 confocal microscopy. A representative slice (x63 oil lens) through the spheroid is shown with 656 a scale bar of 30 μ m, alongside the maximum projection (x20 lens) with a scale bar of 75 657 μm.

Figure 6 – Nelfinavir and bortezomib upregulate early response genes for ER stress and 658 659 cell death in Tsc2-/- MEFs, whilst pro-survival genes are downregulated. Tsc2+/+ and Tsc2-660 /- MEFs were treated with either DMSO vehicle or combined nelfinavir (20 μ M) and bortezomib (50 nM) for 6 h before processing for RNA sequencing (n=3). (A) Heatmap of a 661 662 panel of ER stress-linked genes, a selection of which are highlighted graphically in (B). (C) Paired heatmaps from dual treated cells showing early response genes linked to cell survival 663 664 and death which are highlighted in a volcano plot (D). (E) Tsc2+/+ and Tsc2-/- MEFs were 665 treated with either DMSO vehicle or combined nelfinavir (20 μ M) and bortezomib (50 nM) for 6 h, 16 h, and 24 h before extracting protein for western blot and probing for ATF4, 666 667 CHOP, or β -actin (n=3).

Figure 7 – Nelfinavir and bortezomib significantly reduce tumor volume in ELT3-V3 mouse
xenografts, likely mediated through increased CHOP activity. ELT3-V3 tumor xenografts
(n=14 per group) were treated with either vehicle control, 5mg/ml nelfinavir (NFV),
0.05mg/ml bortezomib (BTZ), or NFV and BTZ in combination by intraperitoneal injection.
(A) Tumor volume was recorded over 35 days before remaining mice were euthanised.

Significant reduction of tumor volume was observed at day 35 when comparing combination to vehicle control. (B) Representative images of tumors stained with haematoxylin (to indicate cell nuclei, blue) and an antibody against CHOP (brown). The percentage of CHOP-positive cells per treatment is indicated. (C) Western blot for ATF4, PARP cleavage, or β -actin were carried out in triplicate per treatment.

678 Figure S1 - Nelfinavir enhances the cytotoxicity of bortezomib in ELT3-V3 but not in ELT3-

T3 cells (A) ELT3-V3 and ELT3-T3 cells were treated with either DMSO vehicle, 1 μ M MG132, 50 nM bortezomib (BTZ), 20 μ M nelfinavir (NFV) alone, or NFV combined with either MG132 or BTZ over 24 h. Cells were then subjected to flow cytometry with DRAQ7 staining. DRAQ7 exclusion (below line) represents the viable cell population, whilst positive DRAQ7 staining (above line) indicates cell death. The number of DRAQ7-stained ELT3-V3 and ELT3-T3 cells are graphed in (B) (n=3). (C) Cells were treated as in (A) and total protein levels of Caspase-8 (CASP8), Caspase-3 (CASP3), PARP, and β-actin were measured by western blot analysis.

686 Figure S2 – Nelfinavir and bortezomib prevent tumor spheroid growth in ELT3-V3 cells. (A) ELT3-V3 spheroids were treated with DMSO vehicle control, 10 µM nelfinavir (NFV) 687 combined with 10 nM bortezomib (BTZ), or 25 nM rapamycin (RAP), for 96 h. DRAQ7 was 688 689 added for the final 36 h to monitor cell death before images were taken and guantified. (B) 690 Spheroid diameter was determined from phase contrast images of (A) after 96 h drug 691 treatment and plotted against DRAQ7 staining intensity. (C) Spheroids were then re-plated 692 onto standard tissue culture plates and grown under drug-free conditions. Images were 693 taken every 24 h and the area of outgrowth calculated using Image J.

Figure S3 – *Tsc2-/-* MEFs have an increased basal level of ER stress which is exacerbated by nelfinavir and bortezomib treatment. (A) RNA sequencing data from DMSO vehicle-treated *Tsc2+/+* and -/- MEFs was compared for basal gene expression changes. Genes linked to the ER stress response are highlighted. (B) RNA sequencing data from DMSO vehicle-treated *Tsc2-/-* MEFs and those treated with nelfinavir and bortezomib was compared for gene expression changes. Genes linked to the ER stress response are highlighted (n=3).





















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