

# ORCA - Online Research @ Cardiff

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository:https://orca.cardiff.ac.uk/id/eprint/115250/

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Drew, Joseph, Razin, Eran and Andrews, Rhys 2019. Rhetoric in municipal amalgamations: A comparative analysis. Local Government Studies 45 (5), pp. 748-767. 10.1080/03003930.2018.1530657

Publishers page: https://doi.org/10.1080/03003930.2018.1530657

### Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See http://orca.cf.ac.uk/policies.html for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



# Rhetoric in Municipal Amalgamations: A Comparative Analysis

Joseph Drew (University of Technology Sydney)

Eran Razin (Hebrew University of Jerusalem)

Rhys Andrews (Cardiff University)

**Abstract:** There is a large literature that seeks to evaluate municipal amalgamations ex post, but a relative dearth of scholarly inquiry into the practical political task of persuading the public to accept amalgamations ex ante. We address this important gap in the literature by conducting a rhetorical analysis to ascertain what types of arguments are believed to be efficacious for persuasion on amalgamation. We find evidence to suggest belief in the efficacy of persuading the public through recourse to various projected dreadful consequences, particularly amongst opponents of amalgamation. We conclude by considering some of the reasons behind the observed rhetorics and briefly outline one possible solution.

Forthcoming in: Local Government Studies

Corresponding author: Joseph.Drew@uts.edu.au

### Introduction

There is a large body of scholarly work that empirically analyses local government amalgamations, mostly on an *ex post* basis (see, for example Dollery and Grant 2013; Reingewertz 2012; Sancton 2005; Andrews 2015). Generally, the evidence has focussed on the potential for scale efficiencies to improve financial sustainability and the results have been rather mixed. By way of contrast, there is a relative dearth of scholarly inquiry regarding the rhetoric of amalgamation – that is, how amalgamations are 'sold' to the general public (notable exceptions include Savitch et al. 2010; Drew et al. 2016). This is a somewhat surprising gap in the literature because ultimately successful implementation of local government amalgamation comes down to the act of persuading key stakeholders (Grant and Drew 2017).

Rhetoric is the art of 'discover[ing] the means of coming as near such success as the circumstance of each particular case allow' (Aristotle 2012, 7) and in classical times was broadly defined to include the study of *ethos* (the character or portrayed character of the rhetor), the *pathos* (the emotions of the listeners and rhetor) and the *logos* (the reasoning through speech required to prove a truth or apparent truth). Recent scholarly inquiry has defined rhetoric more narrowly as 'an economics of language, the study of how scarce means are allocated to the insatiable desires of people to be heard' (McCloskey, 1988, xx) or 'of how men (sic) succeed or fail in discovering together, in discourse, new levels of truth (or at least agreement) that neither side suspected before' (Booth 1974, 11) but has largely continued the pre-Socratic tradition of studying rhetoric through comparison of important exemplars (Riker, 1991). Moreover, contemporary rhetorical analysis has largely focussed on the *logos* – with great emphasis being placed on discovering the types of ideas that are most likely to persuade in disputatious public policy debates (see, Hirschman 1991).

The most effective methodology to discern what types of rhetoric are believed to be persuasive is to catalogue the rhetorics actually employed (Savitch et al. 2010; Riker, 1996). The syllogistic reasoning for this type of rhetorical analysis was set out by William H. Riker (1991) who sought to develop a theory of rhetoric on the foundational tenet that experienced politicians, who are skilled rhetors, would act rationally to persuade the public. Thus, he argued, the frequency with which rhetors employed a particular type of argument could be used as a reliable proxy for the type of rhetoric that was believed to be efficacious. Moreover, Riker (1991, 57) noted that by studying rhetoric in widely different contexts and circumstances one might reasonably expect to discern the types of rhetoric that were 'widely believed to be persuasive'. Indeed, Riker (1991, 59) used this methodology to hypothesise that 'unlikely dreadful consequences' would prove most persuasive in public policy debates and justified his position according to the well-known evidence on negativity bias (the idea that people are more likely to feel aggrieved by real or potential losses, than gains of the same magnitude, and act on such grievances; Tversky and Kahneman, 1979). Indeed, recent scholarship suggests that 'just as negative frames can be more powerful than positive frames in shaping peoples judgements, they can also be "stickier" than positive frames' – suggesting that grievances arising from predictions of dreadful consequences might be held longer and

thus be acted upon even when action must be deferred to the end of a lengthy political cycle (Boydstun et al. 2017, 2).

Around the same time that Riker was setting out his founding principles for the development of a theory of rhetoric, another eminent scholar, Albert O. Hirschman, was also exploring the intricacies of public policy rhetoric. By studying rhetoric in a wide variety of contexts Hirschman (1991) proposed that all persuasive arguments could be described by just six theses. Notably four of his theses worked through unlikely dreadful consequences, but the typology of Hirschman (1991) demonstrated that there were distinct genres within this group which were founded on quite different world-views and thus worthy of individual attention by practitioners and scholars. Moreover, Hirschman (1991) claimed that the six theses, taken as a whole, were employed to frustrate more fruitful deliberative public policy rhetoric that 'makes people think....makes people see things in new ways...conveys information and knowledge, and makes people more reflective' (Chambers 2009, 335).

This paper addresses two important questions that have thus far largely escaped scholarly inquiry with respect to municipal amalgamations. First, what types of rhetorical arguments are believed, by rhetors, to be most effective for amalgamation debates? And second, given rhetor's beliefs, what can be done to foster a more fruitful rhetoric (for instance, one that does not employ predictions of unlikely dreadful consequences)? Indeed, the public policy prescriptions for eliciting more deliberative rhetoric are particularly important because they point the way to how the vast extant literature on amalgamations, that have hitherto been largely contained to debates amongst scholars, might be brought to bear in the practical political task of persuading stakeholders on municipal amalgamation.

To answer the questions regarding what types of rhetorical arguments are believed to be efficacious for persuasion on amalgamation and what can be done to improve the fruitfulness of rhetoric, we first briefly review the remit of local government in each jurisdiction and the nature of recent structural reform debates. Next, we examine the rhetoric of amalgamation proponents according to the nuanced typology of Hirschman with a view to identifying the types of arguments commonly believed to be efficacious. This leads us to propose what appears to be a missing element from the typology. Thereafter we explicate on the lines of opposition rhetoric with respect to our amended typology. We conclude by considering some of the reasons behind the observed rhetorics and briefly outline one possible solution.

## **Comparative perspectives**

Hirschman's *Rhetoric of Reaction* (1991) is based on a number of historical case studies derived from Europe and America. The strength of this approach is that the theses were tested in a variety of contexts which is a necessary pre-requisite for drawing generalisations regarding the types of rhetorics that are widely believed to be efficacious. We mirror this approach by examining amalgamation rhetoric from three Westminster-style local government systems (all with roots in the British tradition), that have very different spatial structures and remits, which have experienced disparate outcomes from amalgamation

debates. To contextualise our analysis, we now provide a short account of municipal amalgamation debates in each of the three countries.

### Australia

Australia is a federation of six states (and two territories), which independently regulate their respective local government entities according to legislation (the exception is the Australian Capital Territory which does not employ a system of local government). Local government in Australia has a limited remit relative to its peers in Israel and Wales – with a historical emphasis on the provision of road infrastructure, solid waste disposal and (in some instances) supply of water and sewer services. In recent times, the scope of Australian local government has been expanded to include important roles in economic development, promotion of cultural cohesiveness and public order and safety. This increased remit has arisen as a combined result of higher tiers of government vacating important mandates, increased responsiveness to citizen demands and the need to address local market failure. Indeed, since the end of the 1993 financial year aggregate Australian local government spending has increased by an average annual rate of 8.68% p.a. (Grant and Drew 2017). Partly in response to the financial sustainability problems engendered as a result of rapidly expanding municipal expenditure, successive state governments have proposed and executed a number of forced local government amalgamations (Abelson and Joyeux 2015). Thus, in 1994 the state of Victoria reduced the number of municipalities from 210 to 78, in 2008 Queensland reduced the number of councils from 157 down to 73 and in 2016 the state of New South Wales (NSW) reduced the number of municipalities from 152 down to 128. For the purposes of our analysis of rhetoric we will focus on the most recent Australian amalgamations – the NSW amalgamations prosecuted by the governing Liberal-National party coalition which is situated on the right of political ideology. Because local government is not recognised in the Constitution it is generally held that state governments can force amalgamation subject to the constraint of the common law principle of procedural fairness. However, it would be quite wrong to assume that the state governments have executed the municipal forced amalgamations without consequences – Australia has experienced five cases of deamalgamation (one in Victoria and four in Queensland) and more are likely in view of the promise of the political opposition in NSW to allow de-amalgamation if it is successful in securing power at the 2019 state elections (Grant and Drew 2017). Moreover, the political parties executing forced amalgamations have suffered significant voter backlash – which in the most recent example contributed to the political demise of the Premier and Deputy Premier of NSW. Therefore, it can hardly be said that the amalgamations have proved to be an unmitigated success.

### Israel

Israel is a rather centralized unitary state with only two levels of government: central and local. The share of local government in public spending is only about one-half of the figure for the UK. It is higher than in Australia, but the rest of public spending in Australia is split between state and federal levels whereas in Israel it is concentrated at the unitary central state. Local autonomy in Israel is also rather constrained – a legacy of the socialist roots of

the state, the British colonial roots of local government legislation, and apparently also of the perpetual Israeli-Arab conflict. Economic stagnation and diminishing dominance of central state leaders led to de-facto political decentralization in the 1970s and 1980s, followed by reforms influenced by neoliberal conceptions in the early 2000s (Razin and Hazan 2004). The dependency of Israeli local authorities on self-generated revenues, particularly on the profitable non-residential property tax, grew immensely in the mid- 1980s, although central state grants and transfers remained close to 40 percent of local government revenues.

The establishment, abolition, boundary change and amalgamation of local authorities in Israel is the prerogative of the Minister of Interior, who only has to appoint a municipal boundary commission and study its recommendations before making the decision. Rulings of the High Court of Justice have practically constrained the absolute power of the Minister. Moreover, high political barriers for local government reforms are a product of centralization that diminishes the perceived urgency of reforms, the links between local and national politics in a multiparty coalition government structure and ethno-religious heterogeneity (Razin 2004).

Municipal amalgamations have occurred occasionally on an ad-hoc basis since the establishment of the state in 1948. A reform discourse linked to rational comprehensive planning and welfare state logic evolved in the late 1960s, evident primarily in a failed attempt to establish an upper-tier metropolitan municipality for the inner parts of the Tel Aviv metropolis in 1973 (Razin 1996). Neoliberal ideals of efficiency and reduced burden of a bloated public bureaucracy on the private sector inspired renewed interest in amalgamations since the 1990s. The economic crisis during the second Palestinian *Intifada* (uprising) served as a window of opportunity for Israel's Ministry of Finance to implement long-pursued neoliberal reforms, among them a 2003 municipal amalgamation reform imposed – by the ruling Likud Party (which is situated on the political right) – through emergency legislation. The reform was promoted solely as an efficiency measure assumed to save a substantial amount of money to the public purse by reducing the number of local authorities from 266 to 150-200. The final move was modest, but still unprecedented, both in scope (12 amalgamations that involved 27 local authorities), and in the mechanism applied (legislation rather than a lengthy boundary commission procedure). However, the Knesset later on deamalgamated four of the 12 amalgamated municipalities – all Arab and Druse ones – caving in to political pressures, bringing the number of local authorities in Israel to 257 in 2016. Evaluations did hint at modest cost savings (Reingewertz 2012); nevertheless, economies of scale have been substantial in Israel up to a population size of between 7,000 and 10,000.

### Wales

Since the mid-nineteenth century, the pendulum of local government reform in Wales has swung from the creation of a small number of county councils and urban boroughs responsible for all local services in 1888, their replacement by a comprehensive two-tier structure in 1974, and back to the re-creation of 22 large unitary authorities during the 1990s (Chandler 2007). Following devolution in 1998, a marked divergence began to emerge between the English and Welsh approaches to local government structure. In England, further municipal amalgamations occurred during the 2000s on a voluntary basis. By contrast, while

there was a widespread perception that Welsh local governments were too small for the efficient and effective delivery of key strategic services, there was a reluctance to indulge in compulsory or voluntary reorganization. In part, this reflected a preference for shared services models (Welsh Government 2004), but also the political dominance of the Labour Party (located on the political left) at the local and national levels.

While proposals for reorganization lay dormant during the first ten years of devolution, debates about the appropriate structure for Welsh local government returned with a vengeance in the wake of the global financial crisis. These debates initially resulted in a review of the local government system which suggested that a rationalization of the existing number of councils might be necessary (Simpson 2011). However, rather than opting for a straightforward reduction in the number of Welsh councils, politicians in Wales initially chose to introduce regional delivery boards for the largest strategic services (education and social services) still under the sole control of local authorities. Nevertheless, calls for a reduction of the numbers of those authorities have persisted.

In 2013, a Commission on Public Service Governance and Delivery was convened to review the provision of local public services in Wales. The Commission recommended reducing the number of local authorities from 22 to 10, 11 or 12 through a process of voluntary and forced mergers (Commission on Public Service Governance and Delivery 2014). Subsequently, in 2015, plans were developed by the Welsh Government to reduce the number of local authorities from 22 to eight. However, the difficulties the Labour Party experienced in forming a new government following the Welsh Assembly elections of May 2016, including the ousting of the public services minister of the time by the Welsh nationalist party, led plans for full-blown reorganization to be withdrawn. As a result, the preferred option for local government reform is currently the establishment of combined authorities, with provision for voluntary amalgamation in exceptional circumstances.

# Comparative Approach

It is clear that our comparison nations conducted debates in very disparate circumstances and this should therefore allow us to form robust judgements regarding the types of amalgamation arguments believed by rhetors to be efficacious.

Our analysis revolved around a survey of newspaper articles, television interviews and government promotional material (collected through an internet survey) for the years coinciding with the amalgamation debates under study (New South Wales Australia 2011-2016; Israel 2003; Wales 2013-2016). Methodologically the written materials were read and coded according to the type of rhetoric employed (see Tables 1 and 3 for definitions of the Hirschman (1991) rhetorical typology) and the major motivation for the article. We then constructed a ranking regarding the relative frequency with which the rhetorical positions were employed (Tables 2 and 4). Our approach was a more formalised version of the rich traditions of rhetorical analysis going back to at least pre-Socratic times, and also employed by contemporary eminent scholars such as Booth, Hirschman, Riker, Pollit and Hupe (2011), and Finlayson and Martin (2008) 'who reject a restrictive Methodism that narrows human

reason to one particular fact and puts most facts and most reasons beyond reasoning' (McCloskey 1998, p. 158).

# **Proponent rhetoric**

The typology of Hirschman is most useful for analysing what kinds of arguments are believed to be most efficacious because it allows us to discern between different kinds of Riker's (1991) dreadful consequence arguments. Hirschman would have referred to amalgamation proponent rhetorics as 'progressive' theses and opponent rhetorics as 'reactionary' theses. Collectively he referred to the six theses as 'intransigent rhetorics' (due to their use for frustrating more deliberative rhetorics) and made a somewhat feeble plea for rhetors to avoid same in favour of more helpful rhetoric, but failed to specify the conditions necessary to give rise to such rhetoric. We first review proponent rhetoric theses, providing what we feel to be the most representative exemplars of the typology (see Table 1) and noting how the common motivation of the first two theses (dreadful consequences) is delineated by the degree of confidence exhibited about the future ability of policy interventions to mitigate said dreadful consequences.

# [PLEASE INSERT TABLE 1 HERE]

The Imminent Danger thesis emphasises the dangers of passivity in view of looming threats of one kind or the other. Moreover, the associated synergist claim asserts that the proposed reforms will complement past reforms (or indeed future reforms (Drew et al. 2016)). It thus, suggests a progressive camp imbued with an inordinate degree of confidence – confidence in the ability to correctly perceive future challenges and confidence in the efficacy of public policy interventions proposed. This line of dreadful consequence rhetoric was only rarely used in Australia, Israel and Wales (see Table 2). The reason why this might have been the case is that the world has proved to be a very unpredictable place – certainly in a public policy sense – since the turn of the third millennia (for instance, few saw the effect that looser public regulation on the banking industry in the United States would have on the Global economy). Thus, it is likely that the progressive camp have lost the requisite confidence in the predictability of public policy, which forms a necessary foundation for prosecution of the Imminent Danger thesis.

### [PLEASE INSERT TABLE 2 HERE]

The second proponent rhetoric advanced by Hirschman (1991) was the Desperate Predicament thesis (and associated Fracasomania claim). Proponents of reform wishing to employ this argument typically paint a grim picture of public affairs and use this as motivation for proposing drastic intervention. Moreover, it is argued that in view of the grave outlook immediate action must be taken, irrespective of possible unintended side-effects. Notably proponents of reform employing this type of dreadful consequence argument demonstrate less confidence about the future and their ability to propose efficacious interventions, than do their political allies who might employ the Imminent Danger thesis. Moreover, in proffering advice to 'progressives' in 1993 Hirschman nominated this thesis as the most dispensable of the three extant theses, noting that it was likely to suffer from

diminishing returns. Given our observations regarding the unpredictability of public policy outcomes this millennia it is no surprise that this thesis was favoured in the Welsh context, and also performed an important role as catalyst for the Australian debates. If we might borrow from McCloskey's (1998) conception of rhetoric as the study of how to meet the insatiable desire to be heard, then we can see that a desperate predicament argument lends itself admirably to getting the attention of the press. It also, of course, taps into most people's inherent negativity bias, that Riker (1991) believed to be so critical for persuasion. This was particularly important in Wales and Australia for generating political will and public appetite for renewed proposals for structural change.

The last proponent thesis developed by Hirschman (1991) was the Futility of Resistance argument. This argument asserts that history is both linear in direction and inevitable, and therefore that attempts to resist the tide of change are futile and potentially knavish. Notably, whilst the Futility thesis shares with the Imminent Danger thesis a confidence in predicting the future, it eschews the idea that interventions can permanently alter the tide of history. Moreover, it differs fundamentally from the other two theses which present arguments to show that the public policy intervention is 'necessary' to avoid dreadful consequences – instead the Futility of resistance argument asserts that the intervention is somehow 'fated'. This is indeed a difficult argument to sustain in view of recent historical events. Notably, the thesis was not employed at all in the Israeli amalgamation debates – perhaps contradictory to a rather conflictual political culture that shuns compromises, particularly those concerning territorial identities. By way of contrast, the Futility of Resistance thesis was drawn upon with relative regularity in Australia – here, the argument was prosecuted in terms of the continued waves of municipal reform which have seen the number of municipalities almost halve from 1,067 in 1910 to just 537 today. In Wales, policy-makers continually sought to convey a sense of the inevitability of reorganization, pointing, in particular, to the distinctive Welsh social and economic environment and a sense that local government in the country did not measure up to its counterpart in England (points that have been strongly contested by the Welsh local government community itself).

It could be argued that the first two theses appeal more to anxiety than reason, whilst the latter eschews reason entirely in favour of resigning to fate. This leads us to believe that there might be room for an argument based principally on reason, one which shuns anxiety regarding dreadful consequences in preference to hope and adopts a belief that the future is made, rather than pre-ordained. By examining the rhetoric surrounding municipal amalgamations we have identified just such an argument – the thesis of which we shall call the 'Merely Good'. Thus, in Australia it was argued that the reforms would be efficient and hence result in better services and infrastructure for residents – this 'merely good' rhetoric was employed more often than any other argument. However, subsequent political failure would seem to suggest that the volume of 'merely good' rhetoric failed to win opponents of reform over to the policy intervention. In similar vein, 'merely good' rhetoric dominated debate in Israel – and, once again, the emphasis was on the efficiency implications for improved local government services. In Wales, 'merely good' rhetoric was the second most prominent line of argument and centred on questions of efficiency, effectiveness and strategic

capacity – with the implication being, yet again, the hope of better services for residents. Thus if we are to accept Riker's (1991) reasoning it seems that proponents of amalgamation believed that 'merely good' arguments were likely to prove efficacious notwithstanding the fact that they also employed some dreadful consequence arguments of the Desperate Predicament type (for motivation).

Augmenting Hirschman's (1991) typology with a merely good rhetoric is important because it largely points the way to the more deliberative rhetoric which Hirschman claims to favour (but fails to fully explicate). It would also seem to partly contradict Riker's (1991) claim that unlikely dreadful consequence arguments would be most widely believed to be persuasive by experienced political rhetors rationally pursuing their policy. Moreover, because the thesis of the Merely Good requires a belief in the efficacy of public policy intervention, but does not rely on unlikely dreadful consequences to make a case for acting, it is unlikely to get the same sort of media penetration as the alarmist theses and probably requires a more sophisticated and attentive audience – an audience capable of understanding the argument in the light of the common good. However, the argument would not seem to be susceptible to diminishing returns (like the Desperate Predicament thesis), or to observations regarding the sometimes contrary nature of history (as are the Imminent Danger and Futility of resistance theses). Nor is the thesis of the Merely Good dependent on the circumstances surrounding its genesis – hence the belief in its efficacy – interventions which aim to improve matters for citizens can always be proposed, irrespective of extant policy conditions.

We now examine the lines of opponent rhetoric which might be drawn upon in response to the proponent arguments.

# **Opponent rhetoric**

In the typology of Hirschman (1991) proponent rhetoric theses are assigned counterpoint opponent theses. Thus, there are two nuanced versions of dreadful consequence arguments and one fatalist argument in the extant typology (see Table 3 for brief descriptions and exemplars).

# [PLEASE INSERT TABLE 3 HERE]

The Jeopardy thesis – which is the counter-argument to the Imminent Danger thesis – commences with an acknowledgement of at least some of the positive objectives for the proposed public policy intervention. However, opponents of reform seeking to defeat the Imminent Danger thesis in this manner assert that the positive outcomes are not worth the dreadful side-effects which will be brought about by implementing the proposal. This line of argument was most popular in Israel where it was asserted that larger municipalities might well lead to corruption and large deficits (see Table 4). In Australia (where the thesis was also prominently employed), the emphasis was mainly on the loss of community voice following amalgamation – particularly where amalgamations occurred between municipalities of disparate size. This argument was only occasionally deployed in Wales, where some commentators pointed towards the contrasting identities of the local authorities composing the favoured amalgamations of the Welsh Government.

# [PLEASE INSERT TABLE 4 HERE]

By way of contrast, the Perversity thesis (which Hirschman describes as the foil to the Desperate Predicament argument) does not require any initial admission of likely positive outcomes arising from the proposed public policy intervention. Instead, the Perversity thesis draws on a world-view of an unpredictable and even chaotic future to suggest that the outcomes from the reform will be precisely the opposite of those predicted by the proponents of reform. As such, the Perversity thesis plays to the powerful rhetorical trope of irony thus making it 'the single most popular and effective weapon in the annals of reactionary rhetoric' (Hirschman 1991, 140). Indeed, the Perversity thesis was the most common rhetoric employed in both the NSW debates (the argument being that it would generate diseconomies and thus erode sustainability) and Wales debates (where the rhetoric of perversity was deployed by drawing attention to the transitional costs of making reorganization happen, especially at a time when budgets were shrinking anyway). Notably, this type of dreadful consequence rhetoric was only used sporadically in Israel – probably due to the alternate emphasis on Jeopardy rhetoric.

The Futility thesis is the final reactionary thesis proposed by Hirschman (1991). Hirschman (1993) was at pains to note that the Futility thesis should not be seen as a watered down version of its more prominent peer: Perversity. Rather Hirschman (1993) emphasised that the Futility thesis was motivated by an alternate world view that posited history as determined by inviolable laws. The fact that proponents of reform might attempt to meddle in the march of history creates room for the suspicion amongst opponents of reform that perhaps the intervention proposed is a mere smokescreen for an alternate and somewhat nefarious objective. The Futility thesis was only employed sporadically in Australia, where it was claimed that the amalgamations might be motivated by a desire of the state government to ingratiate itself with the developer lobby. The Futility thesis was more prominent in Israel, where the suspicion arose that the government was merely attempting to promote the interests of particular ethnic or political groups. Futility arguments were the least prominent reactionary rhetoric in Wales (where some opponents of reform asserted that plans for reorganization were an attempt to strengthen Labour's local powerbase).

Given our development of the Merely Good thesis in section 2, we must also consider whether a specific counterpoint thesis is required to be added to the extant typology. The claim that an intervention should be pursued merely because it is good, may not necessarily require the development of a specific opponent counterpoint given that all of the extant opponent theses would seem to be suitable responses. For instance, the claim that amalgamation would lead to greater efficiency in Australia was, in fact, met with a counterclaim that the proposal would reduce efficiency (a Perversity argument). Similarly, Merely Good rhetoric in Wales (regarding the potential for savings) was disputed by Perversity arguments questioning projected savings and drawing attention to the likely costs of reorganisation, whilst in Israel (focussed on the potential for more capable leadership in large municipalities) it was met predominately with Jeopardy arguments (regarding diverse dreadful side effects). Thus, it is clear that a specific counter-thesis for Merely Good rhetoric is not *necessarily* indicated (nor does the Rikerian perspective require a counterpoint).

However, if we wish to have more deliberative rhetoric – consistent with the Aristotelian deliberation which focuses on 'the weighing of factors relevant for choosing a course of action' (Chambers 2009, 332) – then the dreadful consequence rhetoric of Hirschman (1991), despite being effective for winning an argument, will likely leave us unsatisfied. Indeed, Hirschman in expressing a hope for more fruitful dialogue might well have considered the possibility of what we might call Merely Not Good rhetoric. This type of rhetoric would seek to discover 'new levels of truth' (Booth 1974, 11) through subjecting prima facie good objectives to rational inquiry. For instance, the claim made in all three nations that larger municipalities are more efficient begs the question about whether efficiency is indeed an *ipso* facto good. When one reviews the rhetoric relating to efficiency one becomes immediately aware that efficiency is never an 'end' (in an Aristotelian teleological sense), but merely the means to achieving an 'end'. For instance, in Australia, Israel and Wales, arguments asserting that amalgamations would be more efficient were invariably connected to the reasons for desiring efficiency – to provide better services and infrastructure for residents. Thus deliberative replies to the Merely Good arguments of enhanced efficiency might point to the fact that efficiency is little more than a means for obtaining wants and that these wants (better infrastructure and services) must be weighed against other (often competing) wants specific to government services, such as equity, due process, and responsiveness (Goodin and Wilenski 1984). In so doing, the debate would become more deliberative and cause people to reflect on what they really value in local government.

### **Conclusion**

A review of amalgamation rhetoric in three widely different contexts employing the methodology of Riker (1991) through the interpretive lens of Hirschman (1991) suggests that both proponents and opponents believe that some of the most efficacious arguments for persuasion certainly include recourse to rather unlikely dreadful consequences. For proponents of amalgamation it seems that there is some belief that arguments of dreadful consequences arising from a chaotic and unpredictable public policy environment would prove effective. On the other hand, opponents of amalgamation believed that dreadful consequence arguments, founded on a world-view of unpredictable futures, would prove most effective (although in the context of Israel the future was according to a world-view of predictability and orderliness). Fatalist theses were shunned by both proponents and opponents of amalgamation in Australia and Wales, but only by proponents of amalgamation in Israel – suggesting that there was generally a belief that fatalist perspectives were unlikely to persuade in this particular type of disputatious public policy.

However, both the predictive typology of Hirschman (1991) and the hypothesis of Riker (1991) failed to predict that Merely Good rhetoric – which shuns both dreadful consequences and fatalism – would be held to be persuasive among proponents of amalgamation. Moreover, it seems that this giving of reasons, set apart from fear regarding relatively unlikely dreadful consequences, seems to be the type of more fruitful rhetoric that Hirschman (1991) offered a closing plea for in his seminal work, but failed to specify. However, a Merely Not Good rhetoric was eschewed by opponents of amalgamation thus curtailing the

kind of fruitful deliberative dialogue that scholars believe would give rise to better policy outcomes (see, Chambers 2009; Macedo 1999).

The question naturally arises regarding why opponents of amalgamation clung so tightly to dreadful consequence rhetorics, despite a proffered pathway to a fear and fatalism free dialogue. Part of the answer seems to lie in the fact that proponents were in political power and therefore had the ability to ultimately force amalgamation onto the public. The fact that proponents attempted persuasion was probably due as much to a desire not to harm long-term political prospects, than a need to persuade in order to execute the reforms. By way of contrast, opponents could only hope to stave off amalgamation by persuading a very large proportion of the population to feel exceedingly aggrieved by the amalgamation and act on their grievance – and thus signal to incumbents that there would ultimately be a high political price to pay should they proceed with amalgamations. To persuade so many people to feel so strongly on a public policy issue requires that one be able to give strongly valued reasons, and as we have previously noted negativity bias suggests that people are more likely to act on grievances relating to large losses (with a high degree of stickiness), even when the probability of the dreadful consequence is relatively low (Boydstun et al. 2017). Indeed, by emphasising relatively unlikely events the rhetor may well encourage auditors to overestimate the probability of said event actually transpiring and thus cause more people to act on their perceived grievance (Riker 1991).

Another reason for why opponents may have favoured dreadful consequence rhetoric lies in the fact that amalgamation, like many political issues, is a contest set in the context of political scarcity – quite simply, everybody can't win (Riker 1988). Moreover, the distribution and severity of gains or losses are quite asymmetrical. For instance, it is a relatively wide group of local government taxpayers and resident consumers who stand to gain from amalgamation (through putatively cheaper or better services), but often in a rather marginal way. By way of contrast those who lose from amalgamation are, in the main, a relatively narrow group of political representatives and executives who stand lose in a profound way (most notably their jobs; Drew 2018). It is thus not entirely surprising to find that opponents of amalgamation employed somewhat strong rhetorics aimed at defeating the proposals, rather than more deliberative rhetoric aimed at finding good reasons for assent or compromise (Booth 1974). Otherwise stated, opponents of amalgamation may have been far more likely to have felt that dreadful consequences would arise for them personally, thus making them more inclined to employ rhetorics of this type.

It would seem remiss of us to neglect to outline at least one way in which more fruitful inquiry might be encouraged (notwithstanding the constraints of space). Perhaps the best known mechanism for doing so is through the use of mini-publics – citizen juries and the like – to hear and assess arguments made by amalgamation proponents and opponents (see, for example, Quirk 2006; Macedo 1999). The advantages of using a mini-public are two-fold and respond directly to the important elements of rhetoric identified in the work of McCloskey (2000) and Booth (1974) respectively – the insatiable desire to be heard and the search for new levels of truth. First, a mini-public is a captive audience and therefore no longer requires proponents and opponents of amalgamation to make exaggerated claims of dreadful

consequences in order to be heard. Instead, with attention secured, proponents and opponents of the reform may be more inclined to use Merely Good and Merely Not Good theses. Second, a mini-public allows for the interrogation of claims by 'jurists' – an opportunity to discover truth or new levels of truth (what is good or not good) – particularly if the minipublic is well resourced and has access to appropriate expertise. Indeed, where dreadful consequence rhetoric is of an unlikely nature, interrogation is likely to reveal the improbability of the claim, or allow for small adjustments to be made to mitigate risks (Riker 1991; for example, mechanisms such as ward structures might have been used to ensure that communities from much smaller constituent entities were not politically disenfranchised). However, for a mini-public to be effective there are a few conditions that must be met. In particular, the sample must be representative of the community (which requires that it be sufficiently large) and there must be equality of opportunity built into the process (Davidson and Elstub 2014). It is also critical that the deliberations be binding and that they are conveyed to the general public – otherwise the benefits might be restricted to only a small part of the population (Quirk 2006). Thus, mini-publics offer some promise for encouraging more fruitful inquiry in relation to local government amalgamations and this approach might profitably be pursued further by scholars in future research.

Given recent global trends to populism, and divisive and expensive (in both political and pecuniary terms) amalgamation debates, it seems more important than ever for us to consider the form and conditions necessary for alternate public policy discourses. We believe our identification that proponent amalgamation rhetors believe that Merely Good rhetoric could be efficacious, combined with our prescription to interrogate dreadful consequence rhetoric of amalgamation opponents addresses important gaps in the analysis of municipal amalgamations in the scholarly literature and thus gives us hope and a road map to fulfil Hirschman's (1991, 170) plea for 'a more democracy friendly dialogue'.

\_\_\_\_\_

# References

Abelson, P., and R. Joyeux. 2015. "New development: Smoke and mirrors – Fallacies in the New South Wales Government's views on local government financial capacity." *Public Money & Management* 35(4): 315–320.

Andrews, R. 2015. Vertical Consolidation and Financial Sustainability: Evidence from English Local Government. *Environment and Planning C*, 33: 1518-1545.

Aristotle 2012. Aristotle: The Art of Rhetoric. London: Collins Classics.

Booth, W. 1974. *Modern Dogma and the Rhetoric of Assent*. Chicago: University of Chicago Press.

Boydstun, A., A. Ledgerwood, and J. Sparks. 2017. A Negativity Bias in Reframing Shapes Political preferences Even in Partisan Contexts. *Social Psychological and Personality Science*, DOI: 10.1177/1948550617733520: 1-9.

Chambers, S. 2009. "Rhetoric and the Public Sphere: Has Deliberative Democracy Abandoned Mass Democracy?" *Political Theory* 37(3): 323-350.

Chandler, J. 2007. *Explaining local government: Local government in Britain since 1800*. Manchester: Manchester University Press.

Commission on Public Service Governance and Delivery 2014. *Full Report*. Cardiff: Welsh Government.

Davidson, S. and S. Elstub. 2014. "Deliberative and Participatory Democracy in the UK." *British Journal of Politics and International Relations* 16: 367-385.

Dollery, B. and B. Grant. 2013. Introduction to the Special Edition on Amalgamation. *Public Finance & Management*, 13(2): 1-5.

Drew, J. (2018). "How Losers can Turn into Winners in Disputatious Public Policy: A Heuristic for Prospective Herestheticians." *Australian Journal of Political Science*. In Print.

Drew, J., B. Grant, and N. Campbell. 2016. "Progressive and Reactionary Rhetoric in the Municipal Reform Debate in New South Wales Australia." *Australian Journal of Political Science* 51(2): 323-337.

Drew, J., M. Kortt, and B. Dollery. 2015. "No Aladdin's Cave in New South Wales? Local Government Amalgamation, Scale Economies and Data Envelopment Specification." *Administration & Society*, DOI: 10.1177/0095399715581045.

Finlayson, A. and Martin, J. 2008. 'It Ain't What You Say...': British Political Studies and the Analysis of Speech and Rhetoric. *British Politics*, 3: 445-464.

Glanville, B. 2016. Woollahra Council Loses Legal Challenge Against NSW Government Over Forced Amalgamation. *Australian Broadcasting Commission*, 20 July, 2016.

Goodin, R. and P. Wilenski. 1984. "The Logical Underpinnings of Administrative Principles." *Public Administration Review* 44(6): 512-517.

Grant, B. and J. Drew. 2017. *Local Government in Australia: History, Theory and Public Policy*. Singapore: Springer Palgrave.

Hirschman, A. 1991. *The Rhetoric of Reaction: Perversity, Futility, Jeopardy*. Cambridge: Belknap Press.

Hirschman, A. 1993. "The Rhetoric of Reaction – Two Years Later." *Government and Opposition* 28(3): 292–314.

McCloskey, D. 1998. The Rhetoric of Economics. Madison: University of Wisconsin Press.

Macedo, S. 1999. (ed), *Deliberative Politics: Essays on Democracy and Disagreement*. Oxford: Oxford University Press.

Pollitt, C. and Hupe, P., 2011. Talking about government: The role of magic concepts. *Public Management Review*, 13(5): 641-658.

Quirk, B. 2006. "Innovation in Local Democracy: The London Borough of Lewisham." *Local Government Studies* 32(3): 357-372.

Razin, E. 2004. "Needs and Impediments for Local Government Reform: Lessons from Israel." *Journal of Urban Affairs* 26(5): 623-640.

Razin, E., and A. Hazan. 2004. "Municipal Boundary Conflicts Between Jewish and Arab Local Authorities in Israel: Geography of Administration or Geopolitics?" *Geografiska Annaler, Series B, Human Geography* 86(2): 79-94.

Reingewertz, Y. 2012. "Do Municipal Amalgamations Work? Evidence from Municipalities in Israel." *Journal of Urban Economics* 72(2-3): 240-251.

Riker, W. H. 1988. Liberalism Against Populism. Waveland Press: Illinois.

Riker, W. H, 1991, Heresthetic and Rhetoric in the Spatial Model, in: Enelow, J, Hinich, M, 1991, *Advances in the Spatial Theory of Voting*, Cambridge University Press: New York, 46-65.

Riker, W. H. 1996. *The Strategy of Rhetoric – Campaigning for the American Constitution*. Yale University Press: London.

Saulwick, J. 2016. There Are Reasons to Merge Councils, But Not the Ones Mike Baird is Using *Sydney Morning Herald*, 17 May, 2016.

Sancton, A. 2005. The Governance of Metropolitan Areas in Canada. Public Administration and Development, 25: 317-327.

Savitch, H., Vogel, R. and Y. Lin. 2010. Beyond the Rhetoric – Lessons from Louisville's Consolidation. *American Review of Public Administration*, 40(1): 3-28.

Simpson, J. 2011. *Local, regional, national: What services are best delivered where?* Cardiff: Welsh Assembly Government.

State Parliament of NSW (Hansard). 2014a. Legislative assembly 10 September 2014 [full transcript].

### URL:

<a href="https://www.parliament.nsw.gov.au/prod/parlment/hansart.nsf/V3Key/LA20140910038">https://www.parliament.nsw.gov.au/prod/parlment/hansart.nsf/V3Key/LA20140910038</a>.

Consulted 8 October 2015.

State Parliament of NSW (Hansard). 2014b. Legislative assembly 14 May 2015 [full transcript]. URL: <a href="http://www.lgnsw.org.au/files/imce-uploads/127/lg-amalgamations-parliamentary-debate-extract-14.5.15.pdf.Consulted 14 January 2017">http://www.lgnsw.org.au/files/imce-uploads/127/lg-amalgamations-parliamentary-debate-extract-14.5.15.pdf.Consulted 14 January 2017</a>.

Tversky, A. and D. Kahneman. 1979. Prospect Theory: An Analysis of Decision Under Risk. *Econometrica* 47: 263-91.

**Table 1:** Progressive Rhetoric in Municipal Amalgamation Debates.

<b>Proponent Position</b>	Australia	Israel	Wales
Imminent Danger Thesis	Example: 'In	'When one local	'We have a
Emphasises the danger of passivity	the next 20	authority	once in a
and the need to act to avoid	years a further	provides free	generation
impending disaster.	two million	social activities	opportunity to
	people will	for its residents,	reform and
	make the city	because it has	reshape our
	their home, and	the resources,	councils. The
	most of them	while its	old ways of
	will settle in	neighbour's	doing things
	Sydney's west	welfare	will not work in
	To cope with	department	the future. Our
	this growth and	collapses	plans are
	Sydney's	because of	ambitious and
	emerging role	excessive	we must make
	as a global city,	demand and	the most of this
	we need a	acute manpower	chance to
	modern, more	shortage, it is	change' (Welsh
	connected	hard to justify	Government
	system of	the opposition	spokesperson,
	government'	of the former to	BBC interview,
	(Toole 2015, p.	amalgamation'	14/06/2015)
	3).	(Rechter, 2003)	
Desperate Predicament Thesis	Example: 'This	'The mayor is	'We are well
The old order must be smashed	is an incredible	unable to	aware that what
and the new rebuilt regardless of	\$1 million daily	expropriate land	we propose will
possible consequences.	loss for local	for the public	incur costs, and
	councilsthis	good, to layoff	will be
	cannot be	unneeded	disruptive and
	sustained'	employees or to	controversial -
	(Hansard,	recruit	but we are
	2014a)	employees	convinced that
		based on	doing nothing would be
		qualifications	would be worse'
		In many	
		municipalities expenditures on	(Williams Commission,
		salaries	2014)
		amounted to	2014)
		90% of the	
		budget and no	
		money was left	
		for projects'	
		(Halabi, 2003).	
Futility of Resistance Thesis	Example:	None	'Change is
Appeals to the historical	'[M]any of our		inevitable and
inevitability of the proposed	growing		essential so that
reforms.	suburbs are		our public
1010111101	2202105 are	l .	car pache

Merely Good Thesis (absent in	constrained by boundaries that date back to the horse and cart days' (Office of Local Government 2014, p. 4)	'Globally, large	services can become more efficient, effective, accessible and responsive' (Carwyn Jones, First Minister of Wales, BBC interview 20/1/2014).
Hirschman) This argument seeks to assert that the proposed reforms are merely good (as opposed to 'necessary' or 'inevitable' underlying the alternative theses).	every council to back this program. It will deliver more resources to councils and create the efficiencies and economies that ratepayers deserve' (Hansard, 2015b).	municipalities led by capable mayors provide better service than small ones. In large municipalities many of the resources are spent directly to the community, and are not wasted on unneeded jobs and cronyism, such as in many Arab municipalities' (Asad, 2003).	opportunity here for local government to make significant savings for taxpayers and if councils work together, plan well and involve their staff there is the opportunity for savings even greater than the £650m we have identified' (Leighton Andrews, Public Services Minister, Welsh Government, 24/11/2015)

Adapted from Grant and Drew (2017)

**Table 2:** Frequency with Which Proponent Arguments Were Employed

	Australia	Israel	Wales
Imminent Danger	Rarely employed	Rarely employed	Rarely employed
<b>Desperate Predicament</b>	2nd most common	Rarely employed	Most common
<b>Futility of Resistance</b>	Rarely employed	Never	Rarely employed
Merely Good	Most common	Most common	2nd most common

**Table 3:** Opponent Rhetoric in Municipal Amalgamation Debates.

<b>Opponent Position</b>	Australia	Israel	Wales
Jeopardy	Example:	'Small	'It's the wrong
The program involves	'we've found	municipalities of	time for a new
unacceptable consequences of one	this an	less than 5000	map - we
sort or another and is the	abhorrent	inhabitants exist	should be
reactionary response to the	thing for us to	in other countries	concentrating
Imminent Danger thesis.	be forced to	and are managed	on services.
	merge, it will	efficiently. Some	How can we
	have a huge	large cities, in	have one eye
	impact on our	contrast, lack	on shrinking
	community	sufficient revenues	budgets and
	both in cost	and under	another on re-
	and in the loss	incompetent and	organisation?'
	of our	corrupt leadership	(anonymous
	community's	created huge	Welsh Labour
	interest'	deficits' (Golan,	Paty source,
	(Glanville,	2003).	BBC
	2016)		interview,
			11/06/2015).
Perversity	Example: DEA	'Transition to	'How are we
Unintended consequences from	evidence	"more advanced"	going to pay
reform including effects opposite	produced by	party politics will	for this? There
to the proposed goal. This is the	Drew et al.	make Arab society	are quite a lot
reactionary response to the	(2015) to	more proactive,	of upfront
Desperate Predicament Thesis.	suggest that	but in some	costs. The
	diseconomies	localities kinship-	work that we've done
	of scale (and hence lower	based politics may	
		be replaced be	suggests
	rates of	ethnic politics	something like
	technical	(religion-based	£200m. Do we
	efficiency) will	conflicts)' (Sweid,	want to spend that £200m on
	result from	2003).	
	proposed		reorganisation
	amalgamations		or do we want
			to spend it on services?'
			Steve Thomas,
			CEO of the
			Welsh Local
			Government
			Association,
			BBC
			interview,
			21/01/2014).
Futility	Evample: 'If	'Sometimes, an	'Shoving
1 uttity	Example: 'If		_
	the government	amalgamation	together

Proposed reforms will not improve the circumstances at	could not cook the books to	justified by efficiency hides	existing councils in a
which they are aimed; they are a	arrive at an	damaging	botched party-
smoke screen for a political	impressive	improper	political stitch-
agenda. This is Hirschman's	figure to	motivations	up serves no-
(1991) suggested reactionary	demonstrate	seemingly an	one aside from
response to the Futility of	savings from	efficient	the Labour
Resistance thesis.	merged	amalgamation of	party' (Peter
	councils, then	three small	Black, Liberal
	it is fair to say	municipalities.	Democrat
	that is not the	Practically each	Welsh
	reason they're	can become more	Assembly
	being	efficient by itself,	Member, BBC
	merged This	but between them	interview,
	has created	is one of the most	17/06/2015).
	accusations	beautiful areas in	
	that the	Israel that attracts	
	process reflects	real estate	
	a political	developers'(Golan	
	gerrymander'	2003).	
	(Saulwick,	,	
	2016)		

Adapted from Grant and Drew (2017)

 Table 4: Frequency with Which Opponent Arguments Were Employed

	Australia	Israel	Wales
Jeopardy	2nd most	Most common	Rarely
	common		employed
Perversity	Most common	Rarely	Most common
		employed	
Futility	Rarely	2nd most	Rarely
	employed	common	employed
Merely Not Good	Never	Rarely	2nd most
		employed	common