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1 Effects of subcritical and supercritical CO<sub>2</sub> sorption on deformation and failure  
2 of high-rank coals

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7  
8 *Abstract:*

9 This paper presents the results of an extensive experimental analysis aimed at establishing the  
10 effects of subcritical and supercritical CO<sub>2</sub> sorption on deformation and failure of coals. Two  
11 high-rank anthracitic coals from the South Wales coalfield, obtained from different locations  
12 and depths of 150 m and 550 m, are employed for that purpose. The investigations include i)  
13 determination of unconfined compressive strengths and elastic moduli of the cores both non-  
14 saturated and saturated with CO<sub>2</sub> at 2.1 MPa, 4.3 MPa and 8.5 MPa, ii) assessing the  
15 dependence of the parameters obtained on CO<sub>2</sub> pressure, iii) analysing the effect of CO<sub>2</sub>  
16 saturation on failure patterns of the samples tested and iv) determination of the particle size  
17 distribution after the failure of the samples. Based on the results of twenty coal specimens  
18 tested, it is demonstrated that CO<sub>2</sub> sorption reduces the uniaxial compressive strengths and  
19 elastic moduli by between 29% and 83% for the range of pressures studied. The reductions  
20 observed increase gradually up to 4.3 MPa and then reach a plateau. By accommodating the  
21 effect of effective stress on compressive strength values, it is shown that chemical weakening  
22 of high rank coals is mostly associated with sorption of subcritical CO<sub>2</sub>, with negligible impact  
23 of supercritical CO<sub>2</sub> on further parameter reduction. Inspection of failure patterns during  
24 uniaxial compression suggests that non-saturated coal specimens fail through axial splitting  
25 with rapid crack propagation and high outburst of coal pieces while the failure of cores  
26 subjected to CO<sub>2</sub> injection occurs through multiple fractures with negligible material outburst.  
27 The post-failure analysis demonstrates that CO<sub>2</sub> treated samples disintegrate on smaller  
28 particles than non-saturated specimens, as up to 5.6 more CO<sub>2</sub> saturated coal pieces passed  
29 through the sieves considered in this study than non-saturated pieces. It is claimed that this  
30 study presents novel insights into the geomechanical response of high rank anthracitic coals to  
31 high pressure CO<sub>2</sub> injection.

32  
33 *Keywords:* Coal, Carbon sequestration, Geomechanics, Strength, Elastic modulus, CO<sub>2</sub>  
34 adsorption

## 37 1. Introduction

38 Geological sequestration in unmined coal seams offers a prospect of delivering greenhouse gas  
39 emissions reductions and at the same time offsetting the costs of CO<sub>2</sub> capture, transportation  
40 and storage as the injection of CO<sub>2</sub> in the coal beds allows the production of a value-added  
41 product such as methane (White et al., 2005). In general, numerous studies have shown that  
42 coal can hold at least twice the volume of CO<sub>2</sub> as CH<sub>4</sub> (Jones et al., 2004; White et al., 2005).  
43 However, coals are a mixture of inorganic minerals and organic material that may be affected  
44 during the gas injection and adsorption process (Karacan, 2007; Gathitu et al., 2009). Hence,  
45 understanding the response of coal under applied stress and storage conditions is of importance  
46 for the integrity and safety of the coal seams targeted for CO<sub>2</sub> sequestration and the overlying  
47 strata. This paper aims to enhance such understanding by presenting the experimental  
48 investigation of the effects of sub-critical and supercritical carbon dioxide saturation on high-  
49 rank coal failure and elastic deformation under uniaxial compressive stress conditions.

50 The most favourable coal seams for sequestration are occurring at depths where pressure and  
51 temperature may exceed the critical values of CO<sub>2</sub>, i.e. 750 m (White et al., 2005). At such  
52 depths, high rank coals offer a great prospect of storing CO<sub>2</sub> as the maximum sorption capacity  
53 generally increases with coal rank (Li et al., 2011; Busch and Gensterblum, 2010). This is  
54 related to the fact that high rank coals predominantly contain micropores which provide most  
55 of the surface area where gas can adsorb (White et al., 2005).

56 To date, most research efforts were focused in investigating the geomechanical behaviour of  
57 lignite and bituminous coals predominantly exposed to CO<sub>2</sub> in the sub-critical state (Viete and  
58 Ranjith, 2006; Perera et al., 2011; Ranjith and Perera, 2012; Perera, 2013; Perera et al., 2013;  
59 Hol et al., 2014; Masoudian et al., 2014; Vishal et al., 2015; Ranathunga et al., 2016a; 2016b).  
60 Several studies indicated that CO<sub>2</sub> saturation and induced swelling cause crack initiation and  
61 enhancement of the fracture lines along the coal increasing the total pore volume (Larsen, 2004;  
62 Liu et al., 2010; Hol et al., 2012; Liu et al., 2015). However, very little is known about how  
63 anthracitic coals respond to compression after being treated with CO<sub>2</sub>, in particular in the  
64 supercritical state.

65 Hence, the geomechanical response of high-rank anthracitic coals under a range of both  
66 subcritical and supercritical CO<sub>2</sub> injection pressures up to 8.5 MPa is investigated and  
67 discussed in this paper. The results of uniaxial compressive tests of two sets of coal samples  
68 obtained from different depths and locations in the South Wales Coalfield are presented. In

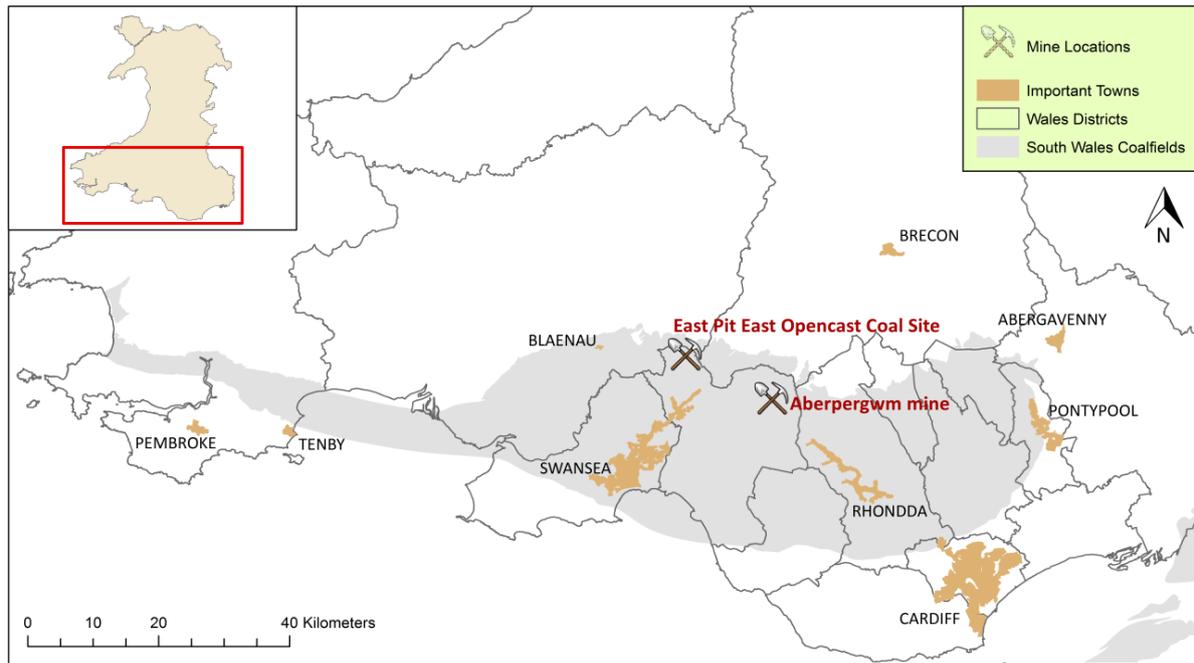
69 total, eight samples are tested in natural air-dried state without CO<sub>2</sub> saturation. Twelve  
70 specimens were exposed to different sub-critical and supercritical CO<sub>2</sub> pressures for two weeks  
71 before testing. Based on the stress-strain data obtained, unconfined compressive strengths and  
72 elastic moduli are calculated and presented. In addition, the change in the measured parameters  
73 with an increase in gas saturation pressure is shown and discussed. The reductions in elastic  
74 moduli and unconfined compressive strengths are quantified by applying a fitting curve to the  
75 experimentally determined values and obtaining the parameters related to the reduction of  
76 deformation properties as a function of gas pressure. Failure patterns of non-saturated and CO<sub>2</sub>  
77 saturated specimens of both coals are analysed and discussed, based on the photographs taken  
78 before and after the coal failure. The distribution of particle sizes after the failure of the samples  
79 is also examined.

80

## 81 2. Methodology

### 82 2.1. Samples

83 Coal blocks were collected from two different coal mines, the East Pit East Opencast Coal Site  
84 and the Aberpergwm drift coal mine from depths of 150 m and 550 m, respectively. Both coal  
85 mines are located in Wales as a part of the South Wales Coalfield (Fig. 1). Coal extracted from  
86 the East Pit East Opencast Coal Site is locally known as Black Diamond while coal from the  
87 Aberpergwm mine is from a 9ft seam layer and in the future text they will be referred as BD  
88 and AB, respectively. The coal blocks obtained on site were wrapped in cling film and put in  
89 plastic bags to minimize the oxidation of the coal surfaces and preserve chemical and physical  
90 properties. Upon arrival in the laboratory, the sealed blocks were labelled and stored in the  
91 constant room temperature environment.



92

93 **Fig. 1.** South Wales Coalfield and locations of the East Pit East Opencast Coal site and the Aberpergwm  
 94 drift mine.

95

96 Coal cores were drilled out of the coal blocks using a coring machine. Water was used as a  
 97 cooling agent while drilling. Diamond core drilling bit with an internal diameter of 36 mm was  
 98 used to obtain the coal cores from the Black Diamond and 9ft seam blocks (Fig. 2). Preparation  
 99 of coal samples for the experiments was conducted following the ASTM D2013/D2013M  
 100 (2012) standard of practice. As the drying of coal at temperatures higher than 70°C might create  
 101 new cracks and small fissures leading to alteration of the physical structure of coal (e.g. Gathitu  
 102 et al., 2009), an air-drying method following the ASTM D3302/D3302M (2015) was applied.

103



104

105 **Fig. 2.** A typical coal block used for the extraction of coal samples for uniaxial compressive tests.

106

107 A total of twenty coal cores were selected for the uniaxial compressive testing. Although a  
108 larger number of coal cores has been extracted, only the ones with minimum fractures or small  
109 inconsistencies were chosen. The dimensions of the selected samples are shown in Table 1  
110 together with the measured values of mass and density for each core. The average densities of  
111 both BD and AB samples are the same, i.e.  $1376 \text{ kg/m}^3$ .

112 Crushed samples passed through a sieve size of  $0.212 \text{ mm}$  were used for the Proximate and  
113 Ultimate analyses, and the results are presented in Table 2. Proximate analysis was performed  
114 in accordance to British Standard (BS 1016-104.3, 1998; BS 1016-104.4, 1998; BS 1016-  
115 104.1, 1999), while the Ultimate analysis was conducted following the BS 1016-106.1.1 (1996)  
116 and BS 1016-106.4.2 (1996). Both BD and AB coals contain high percentage of fixed carbon  
117 content, i.e. 90.9% and 88.7%, respectively. Moisture contents, ash contents and volatile matter  
118 contents for both samples are relatively low, i.e. 1.65%, 1.65%, 5.82% for BD coal and 0.91%,  
119 4.62%, 5.73% for AB coal, respectively. Based on the results obtained and the comparison with

120 the ASTM D388 (2015) classification of coal rank, both BD and AB coals can be classified as  
 121 high rank anthracitic coals.

122 **Table 1**  
 123 Dimensions and physical properties of core samples used in the uniaxial compressive tests.

Sample	Diameter (cm)	Length (cm)	L/D ratio	Mass (g)	Density (g/cm <sup>3</sup> )
<i>Black Diamond</i>					
BD1	3.6	7.6	2.1	107.4	1.376
BD2	3.6	7.6	2.1	107.5	1.376
BD3	3.6	7.7	2.1	108.4	1.373
BD4	3.6	7.6	2.1	108.3	1.378
BD5	3.6	7.5	2.1	106.5	1.377
BD6	3.6	7.5	2.1	106.2	1.377
BD7	3.6	7.3	2.0	103.1	1.374
BD8	3.6	7.1	2.0	101.0	1.378
BD9	3.6	7.4	2.1	104.6	1.370
BD10	3.6	7.2	2.0	101.7	1.380
<b>Average</b>	<b>3.6</b>	<b>7.5</b>	<b>2.1</b>	<b>105.4</b>	<b>1.376±0.003</b>
<i>9ft Aberpergwm</i>					
AB1	3.6	7.5	2.1	108.3	1.391
AB2	3.6	6.9	1.9	98.5	1.376
AB3	3.6	6.8	1.9	96.5	1.376
AB4	3.6	7.9	2.2	113.3	1.389
AB5	3.6	5.6	1.6	78.9	1.367
AB6	3.6	5.6	1.6	80.2	1.392
AB7	3.6	6.0	1.7	83.9	1.364
AB8	3.6	6.4	1.8	89.4	1.365
AB9	3.6	5.3	1.5	74.7	1.365
AB10	3.6	7.5	2.1	106.7	1.379
<b>Average</b>	<b>3.6</b>	<b>6.6</b>	<b>1.8</b>	<b>93.0</b>	<b>1.376±0.011</b>

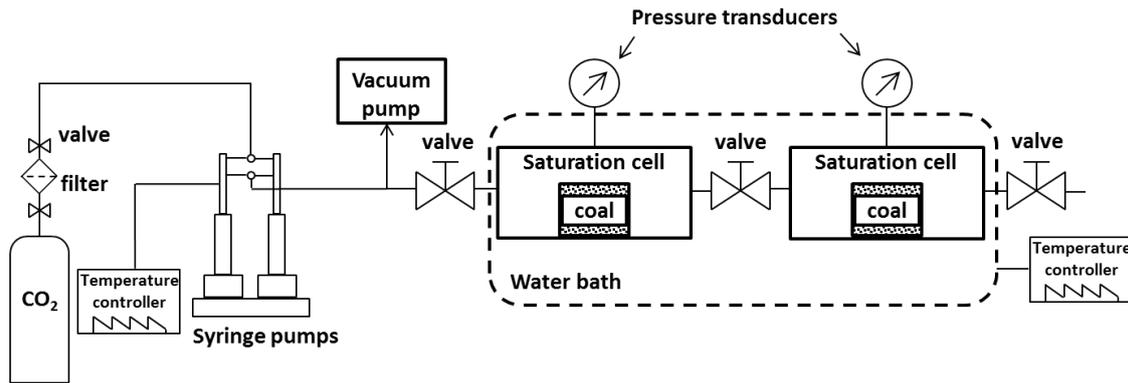
124  
 125 **Table 2**  
 126 Results of the Proximate and Ultimate Analyses.

Characterization test	Black Diamond	9ft Seam
<i>Proximate analysis</i>		
Moisture content, %	1.65±0.12	0.91±0.3
Ash content, %	1.65±0.38	4.62±0.3
Volatile matter, %	5.82±0.21	5.73±0.08
Fixed carbon content, %	90.88	88.73
<i>Ultimate analysis</i>		
Total carbon content, %	90.12±0.11	89.5±0.66
Total sulphur content, %	0.95±0.02	0.87±0.04

## 127 2.2. Experimental procedure

128 In total, twenty coal specimens were tested via an unconfined uniaxial compressive test. Four  
129 natural (non-saturated) specimens from each coal seam (BD and AB) were analysed without  
130 CO<sub>2</sub> saturation. Six specimens from each coal seam were saturated with CO<sub>2</sub> at designated  
131 pressures before uniaxial compression.

132 Saturation of samples with carbon dioxide was performed in a manometric sorption cell,  
133 manufactured by GDS Instruments, which has been used as a saturation chamber for this  
134 purpose (Fig. 3). The cell can tolerate pressures up to 20 MPa and temperatures up to 338K.  
135 The adsorption cell contains two cavities, each with a volume of approximately 150 cm<sup>3</sup>. Each  
136 chamber is fitted with a GDS Instruments pressure transducer measuring up to 32 MPa and  
137 with an accuracy of 0.15%. The cell was placed in a stainless steel tank filled with deionised  
138 water which was heated to the designated temperature using Thermo Haake temperature  
139 controller with an accuracy of ±0.01K. A high pressure injection unit consisting of a dual  
140 syringe Teledyne Isco 500D pump system was employed to pressurize CO<sub>2</sub> to the required  
141 experimental pressures. The capacity of each syringe pump is 507.38 mL with a pressure range  
142 between 0.07 – 25.9 MPa and a standard pressure accuracy of 0.5%. Constant temperature of  
143 the pumps was achieved using a Huber Pilot One Ministat 125 temperature controller which  
144 circulates deionised water contained in the 2.75 L water tank through heating jackets. Syringe  
145 pumps were connected to a liquid withdrawal carbon dioxide cylinder with 99.99% purity in  
146 which the tube runs down the centre of the pressurised cylinder and draws the liquid up through  
147 the valve. Due to possible contaminants within the cylinder, a filter was fitted at the top of the  
148 cylinder. Before injecting CO<sub>2</sub>, samples and the pipeline had been vacuumed for one hour to  
149 remove any trapped air. Buchi vacuum pump with a pressure of -0.09 MPa was used for that  
150 purpose. After that, CO<sub>2</sub> was injected at a designated pressure and kept constant for two weeks.  
151 The saturation time of two weeks was based on the work of Zagorščak (2017) who  
152 demonstrated that this is sufficient time for the CO<sub>2</sub> to adsorb on the intact specimens of the  
153 high-rank coals.



154

155 **Fig. 3.** Schematic of the system used for CO<sub>2</sub> saturation of the samples.

156

157 Upon the completion of saturation, chambers were slowly depressurized to avoid any sudden  
 158 change in pressure which could damage the samples. After removing the specimens from the  
 159 saturation chamber, they were wrapped in a plastic cling film and tested within a maximum  
 160 time of one hour.

161 Uniaxial compressive tests were performed using a Shimadzu Autograph Load Cell AG-I with  
 162 maximum load capacity of 20 kN. Specimens were placed between top and bottom steel  
 163 platens. To minimize the impact of a potential unevenness of the sample surface on measured  
 164 results, two steel blocks were placed between the sample and the top platen. Blocks were able  
 165 to move with respect to each other when facing uneven surface allowing equal distribution of  
 166 stress on the coal specimen. Upon sample placement, the axial load on the specimen was then  
 167 increased and measured continuously.

168 An attached smart controller showed test force and displacement in real-time, allowing fine  
 169 position adjustment. Specimens were subjected to a constant loading rate of 0.1 mm/min where  
 170 the axial displacement of the samples was recorded simultaneously using the built-in  
 171 displacement transducer of the loading machine. Both the uniaxial compressive strength (UCS)  
 172 and elastic modulus (E) were calculated following the ASTM D7012 (2014).

173

### 174 2.3. Experimental conditions

175 Table 3 summarizes the number of specimens and saturation conditions. Results obtained on  
 176 natural (non-saturated) specimens represent a baseline for all other tests carried out on samples  
 177 saturated with CO<sub>2</sub> under different saturation conditions.

178 **Table 3**  
 179 Saturation pressures applied to the coal samples.

Samples	Saturation pressures (MPa)		
	1 <sup>st</sup> step ~2.1 MPa	2 <sup>nd</sup> step ~4.3 MPa	3 <sup>rd</sup> step ~8.5 MPa
<i>Black Diamond</i>			
BD1, BD2, BD3, BD4		No saturation	
BD5	2.12	-	-
BD6	2.12	-	-
BD7	-	4.32	-
BD8	-	4.35	-
BD9	-	-	8.56
BD10	-	-	8.55
<i>9ft Aberpergwm</i>			
AB1, AB2, AB3, AB4		No saturation	
AB5	2.05	-	-
AB6	2.05	-	-
AB7	-	4.25	-
AB8	-	4.25	-
AB9	-	-	8.46
AB10	-	-	8.46

180

181 Due to the fact that transition from subcritical to supercritical CO<sub>2</sub> can cause changes in the  
 182 sorptive potential of CO<sub>2</sub> affecting coal's behaviour (e.g. Perera et al., 2013), temperature of  
 183 the manometric sorption system was maintained at 313±0.01K (40±0.01°C) enabling carbon  
 184 dioxide to achieve its supercritical state at high pressures. It should be noted that pressure  
 185 values mentioned in this study are absolute pressure values calculated assuming the  
 186 atmospheric pressure of 101 325 Pa. If an average hydrostatic gradient of 0.01 MPa/m and an  
 187 average thermal gradient of 0.03 K/m (°C/m) with an average surface temperature of 285K  
 188 (12°C) are assumed (e.g. Gensterblum, 2013), results of this study represent conditions existing  
 189 up to approximately 900 m of depth.

190

#### 191 2.4. Sieve Analysis

192 In order to get further insights into the post-failure particle size distribution, Black Diamond  
 193 specimens were analysed immediately after the failure, i.e. four non-saturated specimens and  
 194 six CO<sub>2</sub> saturated specimens. Sieves with openings of 6.3 mm, 4 mm, 2 mm, 1.18 mm, 0.6 mm  
 195 and 0.425 mm were used. Calculation of the mass passing through a certain sieve followed a  
 196 procedure stated in BS 1337-2 (1990).

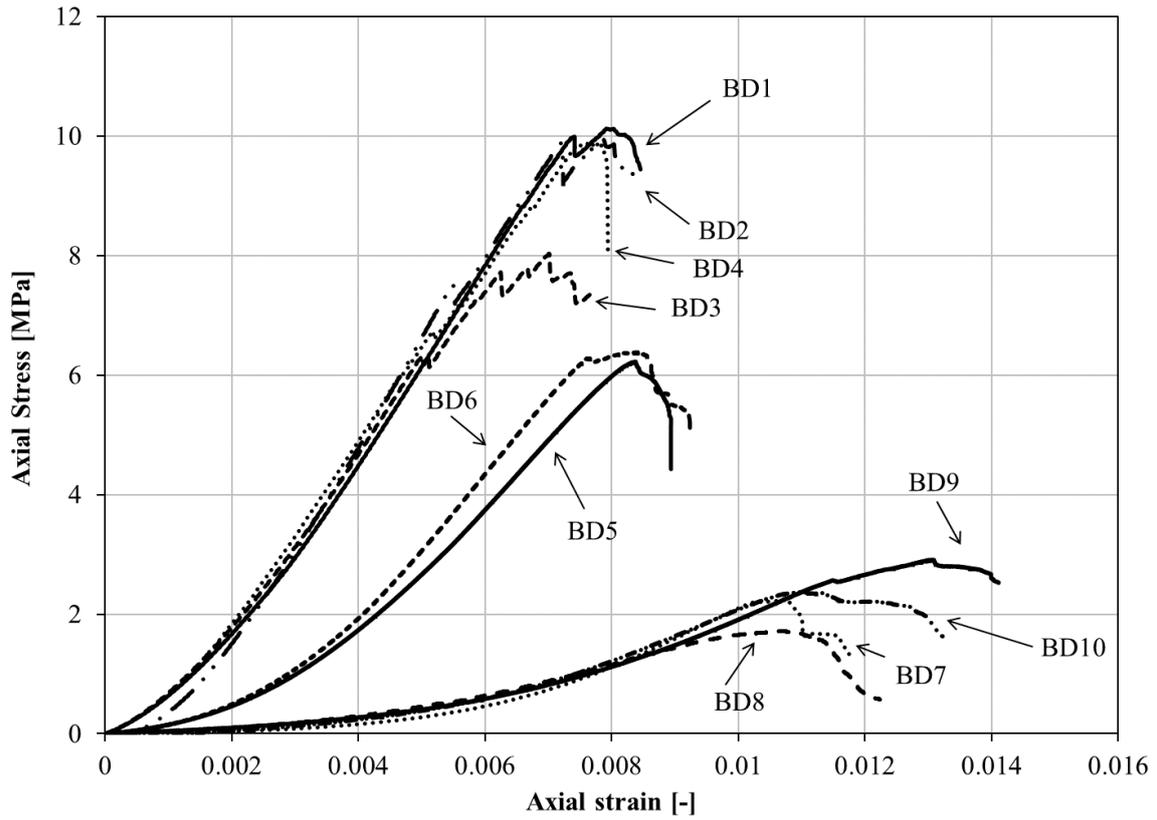
### 198 3. Experimental results and analysis

199 Axial stress versus strain curves for non-saturated and CO<sub>2</sub> saturated specimens from Black  
200 Diamond and 9ft Aberpergwm coal seams are presented in Fig. 4 and Fig. 5, respectively. By  
201 comparing the figures, it can be observed that the stress-strain behaviour of the samples  
202 saturated with CO<sub>2</sub> is different than of non-saturated samples. In particular, the samples  
203 exposed to CO<sub>2</sub> can be compressed more for the same value of applied stress than the non-  
204 saturated samples.

205 Slopes of the curves and maximum recorded stress values of 10 MPa obtained on non-saturated  
206 BD specimens are comparable (Fig. 4). The exception is sample BD3 which experienced failure  
207 at a lower value of applied stress, i.e. 8 MPa. Fig. 5 shows that the slopes of the curves of non-  
208 saturated AB specimens are also comparable, however; maximum recorded stress values range  
209 between 5.9 MPa and 9.1 MPa.

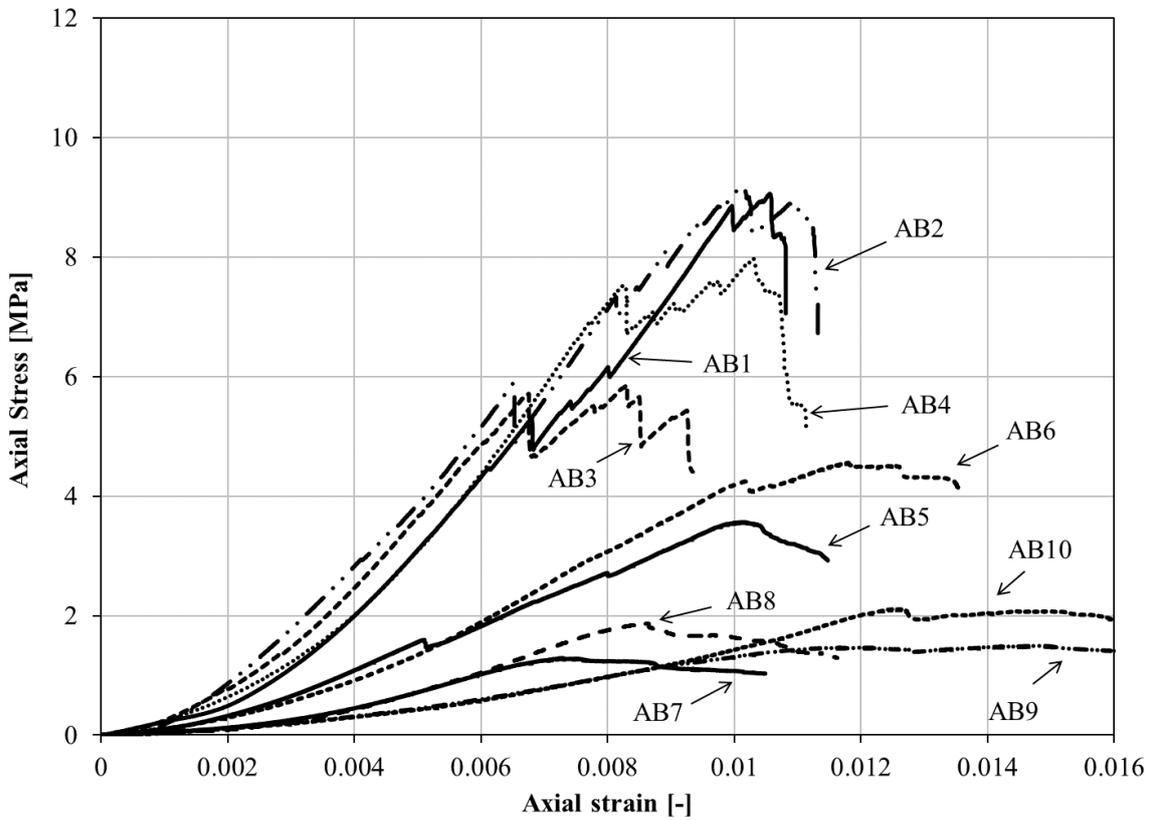
210 Experimental results of the two BD specimens saturated with CO<sub>2</sub> at 2.1 MPa show comparable  
211 behaviour with maximum recorded stress values of 6.3 MPa (Fig. 4). Although both AB  
212 specimens saturated at 2.1 MPa show similar slopes of the curves, maximum stress values are  
213 3.6 MPa and 4.6 MPa (Fig. 5).

214 Two BD specimens saturated at 4.3 MPa and two saturated at 8.5 MPa show peak stress values  
215 of 2.3 MPa and 1.7 MPa, and 2.9 MPa and 2.4 MPa, respectively (Fig. 4). Similarly, AB  
216 samples saturated at 4.3 MPa show maximum stress values of 1.3 MPa and 1.9 MPa, while  
217 samples saturated at 8.5 MPa show maximum stresses of 1.5 MPa and 2.1 MPa (Fig. 5).



218

219 **Fig. 4.** Axial stress versus strain curves of natural and CO<sub>2</sub>-saturated Black Diamond specimens.



220

221 **Fig. 5.** Axial stress versus strain curves of natural and CO<sub>2</sub>-saturated 9ft Aberpergwmm specimens.

222

223 Calculated values of elastic moduli and unconfined compressive strengths of BD and AB  
 224 samples are presented in Table 4. Based on the unconfined compressive strengths and elastic  
 225 moduli of non-saturated specimens and samples saturated at different pressures of each coal,  
 226 average values are also calculated and presented. In addition, reductions of average values of  
 227 CO<sub>2</sub>-saturated samples with respect to the average values of non-saturated samples are shown.  
 228 The relationship between the average elastic modulus of non-saturated samples and CO<sub>2</sub>-  
 229 saturated samples used to calculate the reductions presented in Table 4 is:

$$\Delta E = \left(1 - \frac{E_{CO_2}}{E_{natural}}\right) \times 100 \quad (1)$$

230 where  $\Delta E$  is the reduction in elastic modulus,  $E_{natural}$  (GPa) and  $E_{CO_2}$  (GPa) are the elastic  
 231 moduli of natural (non-saturated) and CO<sub>2</sub>-saturated specimens, respectively.

232 Similarly, reduction in unconfined compressive strength ( $\Delta UCS$ ) is expressed as:

$$\Delta UCS = \left(1 - \frac{UCS_{CO_2}}{UCS_{natural}}\right) \times 100 \quad (2)$$

233 where  $UCS_{natural}$  (MPa) and  $UCS_{CO_2}$  (MPa) are the unconfined compressive strengths of  
 234 natural (non-saturated) and CO<sub>2</sub>-saturated samples, respectively.

235 **Table 4.**

236 Unconfined compressive strengths and elastic moduli of natural (non-saturated) and CO<sub>2</sub>-saturated  
 237 Black Diamond and 9ft Aberpergwm coal samples.

Specimen	L/D ratio	UCS (MPa)	Average UCS (MPa)	$\Delta UCS$ (%)	E (GPa)	Average E (GPa)	$\Delta E$ (%)
<b>Black Diamond</b>							
<i>Natural (non-saturated)</i>							
BD1	2.1	10.12	9.51	-	1.51	1.59	-
BD2	2.1	9.97	$\pm 0.86$	-	1.72	$\pm 0.08$	-
BD3	2.1	8.03			1.53		
BD4	2.1	9.90			1.57		
<i>2.1 MPa saturated</i>							
BD5	2.1	6.23	6.31	-33.7	1.04	1.13	-29.0
BD6	2.1	6.38	$\pm 0.08$		1.21	$\pm 0.08$	
<i>4.3 MPa saturated</i>							
BD7	2.0	2.26	1.99	-79.1	0.48	0.37	-76.8
BD8	2.0	1.72	$\pm 0.27$		0.26	$\pm 0.11$	
<i>8.5 MPa saturated</i>							
BD9	2.1	2.91	2.65	-72.2	0.39	0.40	-74.8
BD10	2.0	2.38	$\pm 0.27$		0.42	$\pm 0.02$	

---

**9ft Aberpergwm***Natural (non-saturated)*

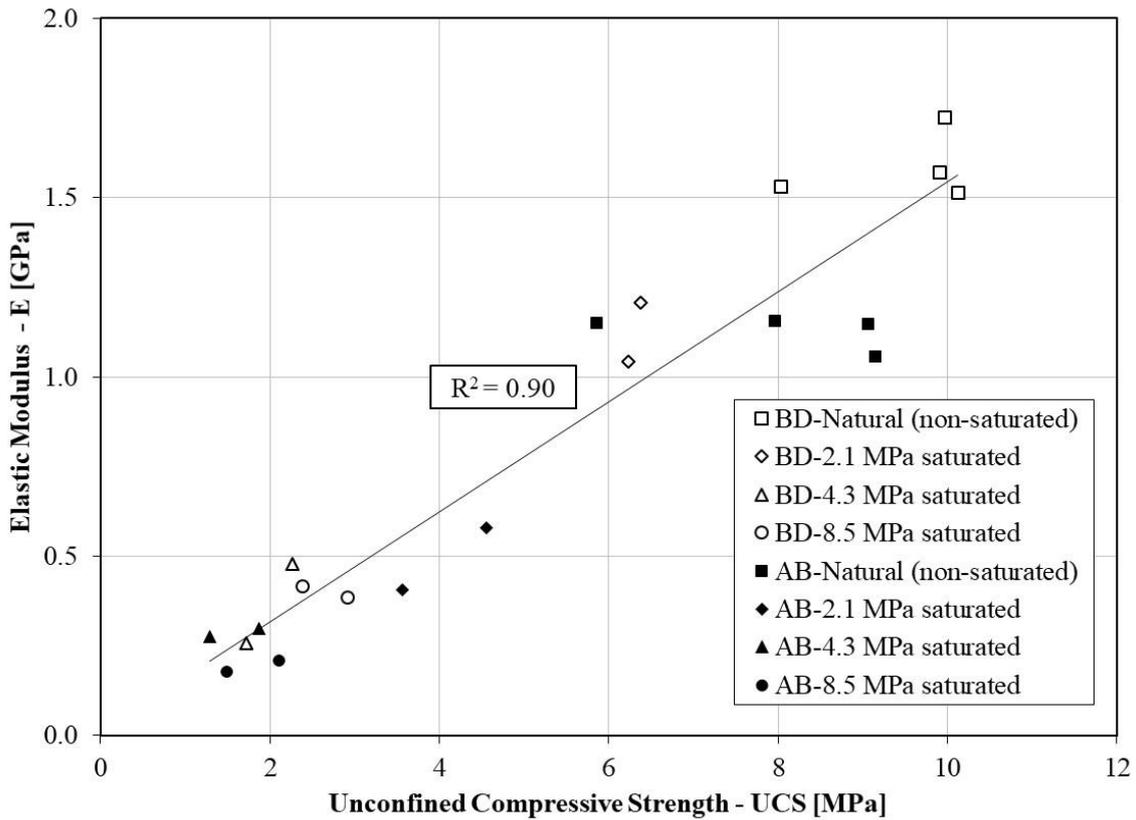
AB1	2.1	9.06	8.01	-	1.15	1.13	-
AB2	1.9	9.15	$\pm 1.33$	-	1.06	$\pm 0.04$	-
AB3	1.9	5.86			1.15		
AB4	2.2	7.97			1.16		
<i>2.1 MPa saturated</i>							
AB5	1.6	3.57	4.06	-49.3	0.41	0.49	-56.3
AB6	1.6	4.56	$\pm 0.49$		0.58	$\pm 0.08$	
<i>4.3 MPa saturated</i>							
AB7	1.6	1.29	1.58	-80.3	0.28	0.29	-74.5
AB8	1.8	1.87	$\pm 0.29$		0.30	$\pm 0.01$	
<i>8.5 MPa saturated</i>							
AB9	1.5	1.50	1.80	-77.5	0.18	0.19	-82.9
AB10	2.1	2.11	$\pm 0.31$		0.21	$\pm 0.02$	

---

238

239 It can be seen from Table 4 that the average elastic moduli of non-saturated BD and AB  
240 specimens are 1.59 GPa and 1.13 GPa, respectively. BD specimens saturated with CO<sub>2</sub> at 2.1  
241 MPa, 4.3 MPa and 8.5 MPa exhibit the average elastic moduli of 1.13 GPa, 0.37 GPa and 0.4  
242 GPa, respectively. The average elastic moduli of AB specimens saturated with CO<sub>2</sub> at 2.1 MPa,  
243 4.3 MPa and 8.5 MPa pressures are 0.49 GPa, 0.29 GPa and 0.19 GPa, respectively.

244 In order to establish a relationship between the measured parameters of all samples, unconfined  
245 compressive strengths versus elastic moduli of all tested specimens are plotted in Fig. 6. Elastic  
246 modulus and unconfined compressive strength show linear relationship, i.e. samples with  
247 higher strength show higher values of elastic modulus.



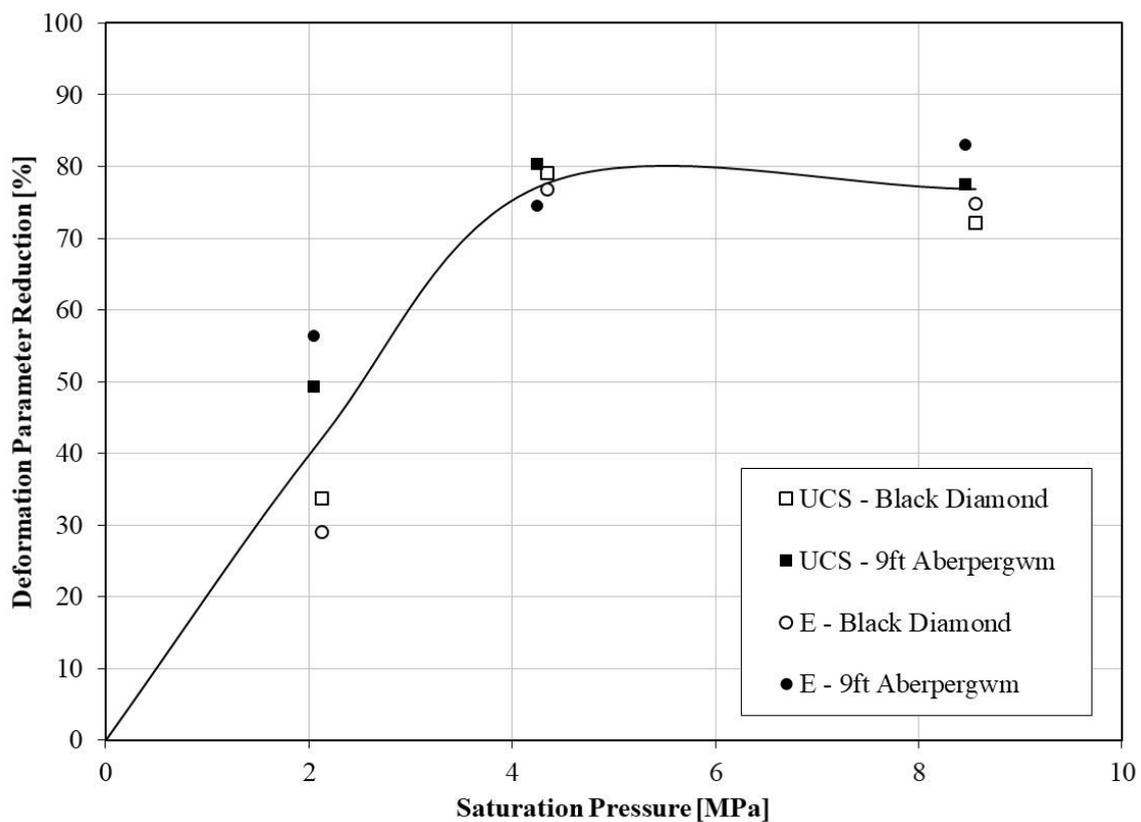
248

249 **Fig. 6.** Uniaxial compressive strengths vs elastic moduli of natural (non-saturated) and CO<sub>2</sub>-saturated  
 250 coal specimens.

251 Average values of reduction of measured parameters of both coals versus the gas pressure are  
 252 plotted in Fig. 7. A deviation of parameters between the coals saturated at 2.1 MPa can be  
 253 observed. In particular, BD samples experienced 29% average reduction in elastic modulus and  
 254 34% average reduction in compressive strength. AB samples showed 49% and 56% average  
 255 reductions in compressive strength and elastic modulus, respectively. As mentioned earlier,  
 256 Perera et al. (2011) and Ranathunga et al. (2016a) conducted a deformation analysis on lignite  
 257 coals saturated with CO<sub>2</sub> at 2.1 MPa and 2.0 pressure, respectively. Hence, by comparing the  
 258 reduction values of samples saturated with CO<sub>2</sub> at 2.1 MPa in this study (i.e. reductions  
 259 between 29% and 56%) to the reduction values reported in the literature by Perera et al. (2011),  
 260 i.e. 7-19%, and Ranathunga et al. (2016a), i.e. 6-16%, it can be concluded that saturation of  
 261 anthracite coal with CO<sub>2</sub> has a more detrimental effect on the measured parameters than of  
 262 lignite coal subjected to CO<sub>2</sub> at the same pressure. Also, the reduction of parameters measured  
 263 in this study at 8.5 MPa (72-83%) is greater than the one reported by Ranathunga et al. (2016a)  
 264 for lignite (40-58%) saturated at 8 MPa, however comparable to the result reported by Perera  
 265 et al. (2013) for bituminous coal (71-79%) saturated at 8 MPa.

266 By observing the shape of the curve presented in Fig. 7, it can be inferred that the calculated  
 267 reductions in measured parameters increase gradually up to 80% at 4.3 MPa and then reach a  
 268 plateau. Such findings for high rank anthracitic coals are different from the work of Perera et  
 269 al. (2013) and Ranathunga et al. (2016a) who reported that bituminous and lignite coals exhibit  
 270 a sudden reduction in mechanical parameters during the transition from subcritical CO<sub>2</sub> at 6  
 271 MPa to supercritical CO<sub>2</sub> at 8 MPa.

272 This might be related to the difference in sorption behaviour of low ranks coals compared to  
 273 high ranks coals. Siemons and Busch (2007) and Gensterblum et al. (2010) have shown that  
 274 low rank coals exhibit half of their sorption at higher pressures (e.g. 3.77 MPa) while high rank  
 275 coals show the opposite behaviour, i.e. half of the sorption is reached at lower pressures (e.g.  
 276 0.96 MPa). Similar observation was made by Zagorščak (2017) where BD and AB cores  
 277 exhibited half of their maximum sorption at pressures lower than 1 MPa. Consequently, it can  
 278 be expected that majority of structural re-arrangement and its effect on coal strength and elastic  
 279 modulus occurred in the subcritical region, up to 4.3 MPa in this case, whereas no significant  
 280 change has been observed with further increase in gas pressure.



281

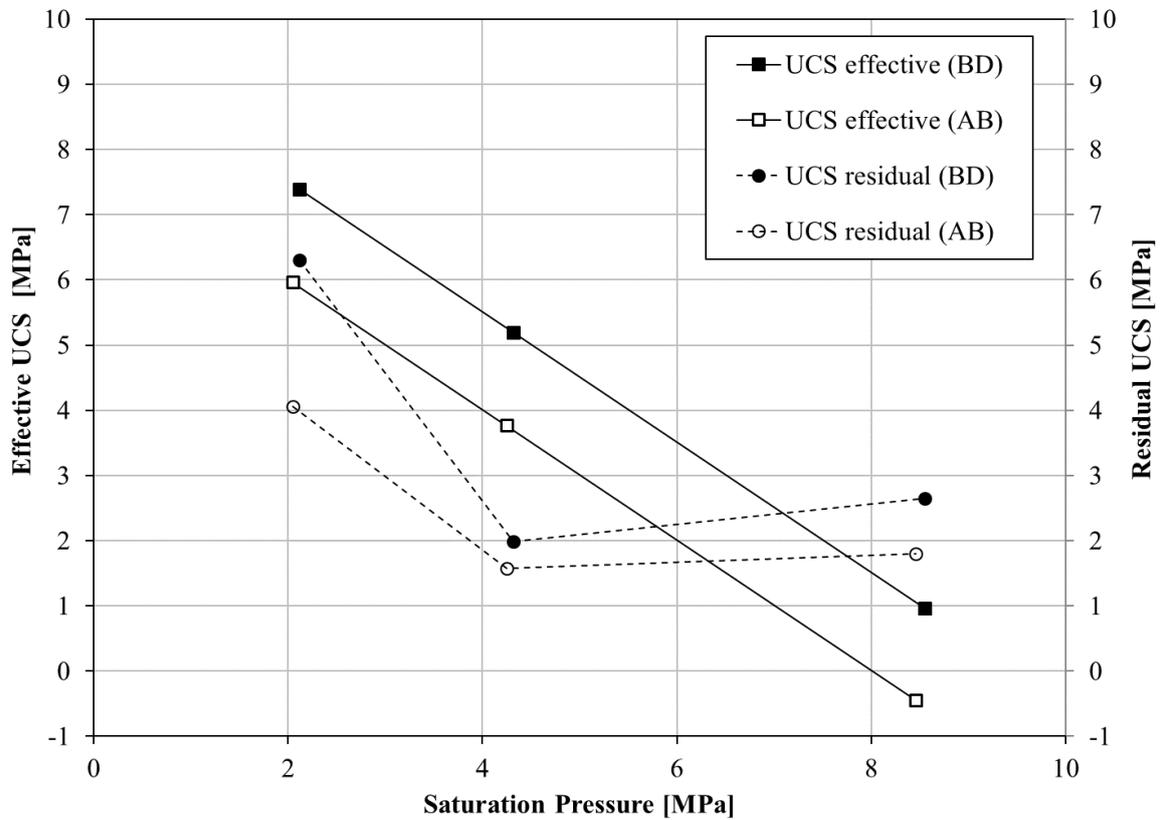
282 **Fig. 7.** Reduction of deformation parameters with an increase in CO<sub>2</sub> saturation pressure.

283

284 It has been previously suggested that coal samples subjected to sorbing gas are weakened  
285 through reduction of effective stress and the internal gas energy release (Wang et al., 2013).  
286 Hence, in order to get further insight into the effect of CO<sub>2</sub> sorption and try to distinguish  
287 between the effect of effective stress and the alteration of coal structure induced by CO<sub>2</sub>  
288 sorption on the strength reduction, both the effective and the residual unconfined compressive  
289 strengths versus the saturation pressures are presented in Fig. 8. The effective unconfined  
290 compressive strength values were calculated by subtracting the saturation pressures from the  
291 unconfined compressive strength determined on samples not saturated with CO<sub>2</sub>. It should be  
292 noted that during the uniaxial compressive testing, the gas pressure in the samples was not  
293 measured. However, it was assumed that due to slow sorption of the CO<sub>2</sub>, especially in its  
294 subcritical state, on the microporous matrix of the high rank coals and testing the samples  
295 within one hour of being removed from the saturation chamber (Zagorščak, 2017), the pressure  
296 inside each coal sample remained close to the saturation pressure. Hence, the saturation  
297 pressure, which represents the maximum limit to the potential pressure in the fractures, was  
298 taken for calculation of the effective unconfined compressive strength. The residual unconfined  
299 compressive strength values represent the average strength values measured on samples  
300 saturated with CO<sub>2</sub> at different pressures.

301 Since compressive strengths should index with effective stresses (Wang et al., 2013), Fig. 8  
302 suggests that at sorption pressures of 2.1 MPa and 4.3 MPa, the compressive strengths are  
303 reduced by more than the applied saturation pressure. This implies that potentially chemical or  
304 other influence of CO<sub>2</sub> sorption, such as reduction of breakdown pressures, is reducing the  
305 strength as it is known that carbon dioxide dissolves in coal enabling physical structure  
306 rearrangements (e.g. Larsen, 2004). In particular, residual strengths are up to 32% and 62%  
307 lower than the effective compressive strengths for samples saturated at 2.1 MPa and 4.3 MPa,  
308 respectively. Hence, the effect of CO<sub>2</sub> sorption on the structural rearrangement increases with  
309 saturation pressure within the subcritical region. However, further inspection of Fig. 8 shows  
310 that residual strengths of coals saturated at 8.5 MPa are higher than effective compressive  
311 strengths. Therefore, it can be inferred that the maximum alteration of the coal structure due to  
312 CO<sub>2</sub> adsorption was achieved at 4.3 MPa and that increasing the saturation pressure from  
313 subcritical CO<sub>2</sub> to its supercritical state had negligible impact on further reduction of strength.  
314 As previously mentioned, the results are contrary to the findings suggested in the literature that  
315 CO<sub>2</sub> phase transition from subcritical to supercritical state results in 40% and 46 % greater

316 strength reduction for bituminous and brown coals, respectively (e.g. Perera et al. 2013;  
 317 Ranathunga et al., 2016a).



318  
 319 **Fig. 8.** Comparison between the Effective UCS and Residual UCS with respect to CO<sub>2</sub> saturation  
 320 pressure.

321

### 322 3.1. Parametrisation of changes in UCS and E

323 The observed reductions in elastic modulus and unconfined compressive strength values are  
 324 quantified by fitting a simple model to the experimental results and obtaining the fitting  
 325 parameters. Since the change in measured parameters is caused by gas sorption, such change  
 326 can be mathematically related to gas pressure (Masoudian et al., 2014).

327 Following the approach that the elastic modulus reduction is most commonly modelled using  
 328 a Langmuir (1918) equation,  $\Delta E$  can be written as (Masoudian et al., 2014):

$$\Delta E = \Delta E_{max} \frac{P}{P_E + P} \quad (3)$$

329 where  $\Delta E_{max}$  is the maximum reduction in elastic modulus (Langmuir parameter),  $P$  is the gas  
 330 pressure (MPa) and  $P_E$  is the Langmuir pressure (MPa) of elastic modulus reduction.

331 Similarly,  $\Delta UCS$  can be written as (Masoudian et al., 2014):

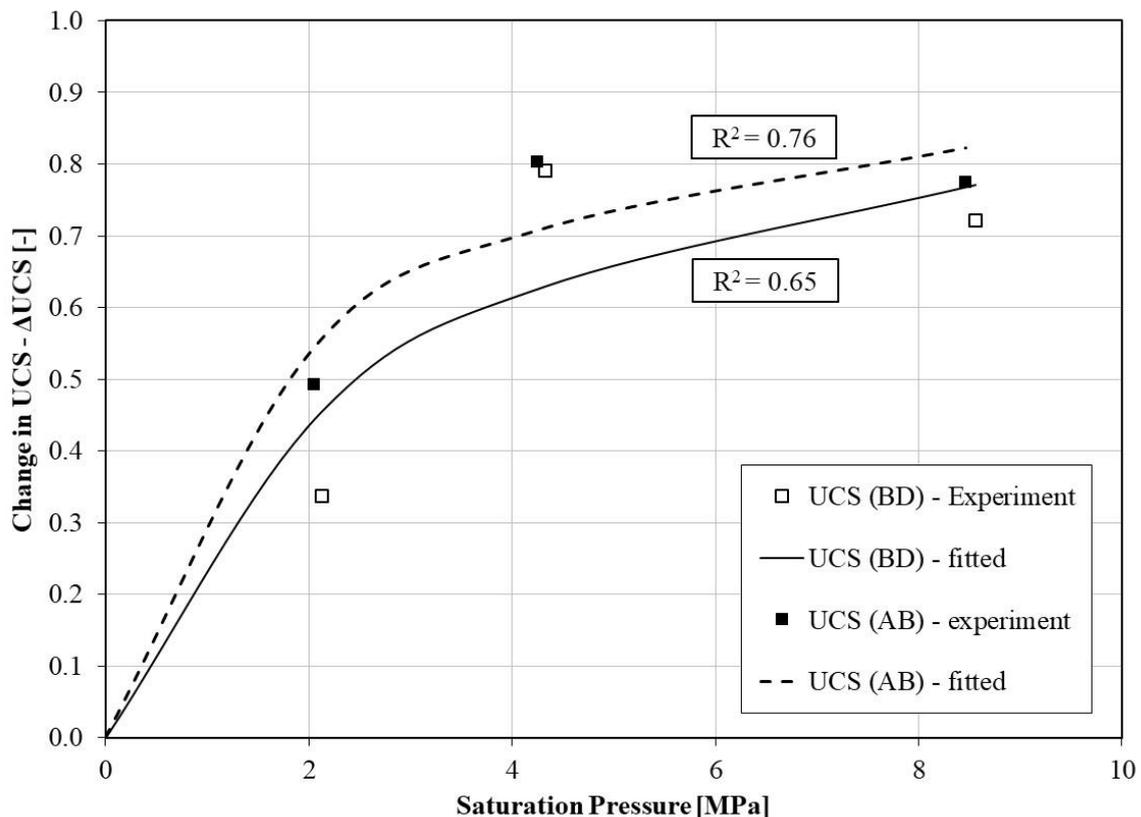
$$\Delta UCS = \Delta UCS_{max} \frac{P}{P_{UCS} + P} \quad (4)$$

332 where  $\Delta UCS_{max}$  is the maximum reduction in strength (Langmuir parameter) and  $P_{UCS}$  is the  
 333 Langmuir pressure (MPa) of unconfined compressive strength reduction.

334 The fitting of the Langmuir curve to the experimental data was conducted using the sum of the  
 335 squared differences with a target function which was minimized with respect to the Langmuir  
 336 parameters using the Excel solver function.

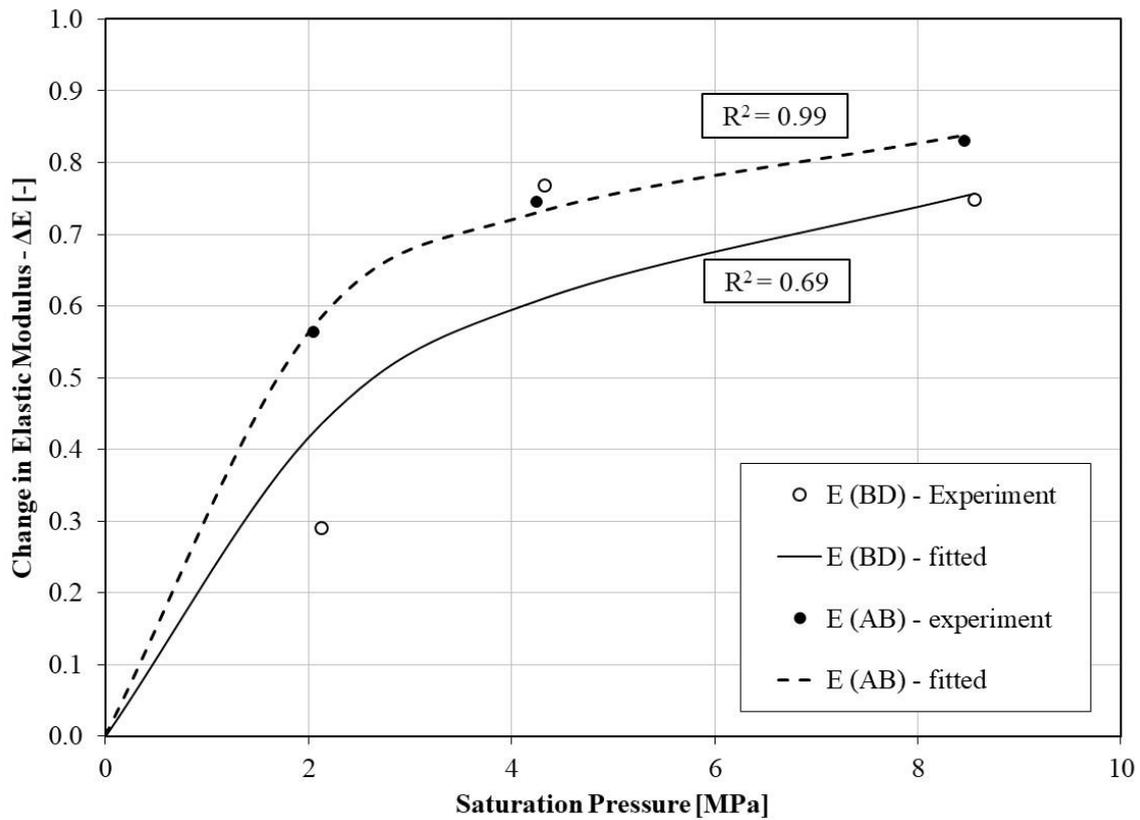
337 Fig. 9 and Fig. 10 present the curves fitted to the experimental data of unconfined compressive  
 338 strength and elastic modulus reductions of both samples as a function of CO<sub>2</sub> pressure,  
 339 respectively. The parameters obtained using the fitting approach related to the reduction of  
 340 deformation parameters of both coals are shown in Table 5. The unconfined compressive  
 341 strength and elastic modulus of CO<sub>2</sub> saturated AB coal experience half of their maximum  
 342 reduction at 1.69 MPa and 1.53 MPa gas pressures, respectively. In comparison, BD  
 343 experienced half of the maximum reduction of unconfined compressive strength and elastic  
 344 modulus at 2.53 MPa and 2.74 MPa, respectively.

345



346

347 **Fig. 9.** The unconfined compressive strength reduction isotherms fitted to the calculated unconfined  
 348 compressive strength reduction values of BD and AB coals.



349  
 350 **Fig. 10.** The elastic modulus reduction isotherms fitted to the calculated elastic modulus reduction  
 351 values of BD and AB coals.

352

353 **Table 5.**

354 Fitted parameters of the proposed model for reduction of unconfined compressive strength and elastic  
 355 modulus of BD and AB coals.

Deformation parameter	Fitted (Langmuir) parameters	
	$P_{UCS}$ (MPa), $P_E$ (MPa)	$UCS_{max}$ (-), $E_{max}$ (-)
<i>Black Diamond</i>		
Unconfined compressive strength	2.53	1.0
Elastic modulus	2.74	1.0
<i>9ft Aberpergwm</i>		
Unconfined compressive strength	1.69	0.98
Elastic modulus	1.53	0.99

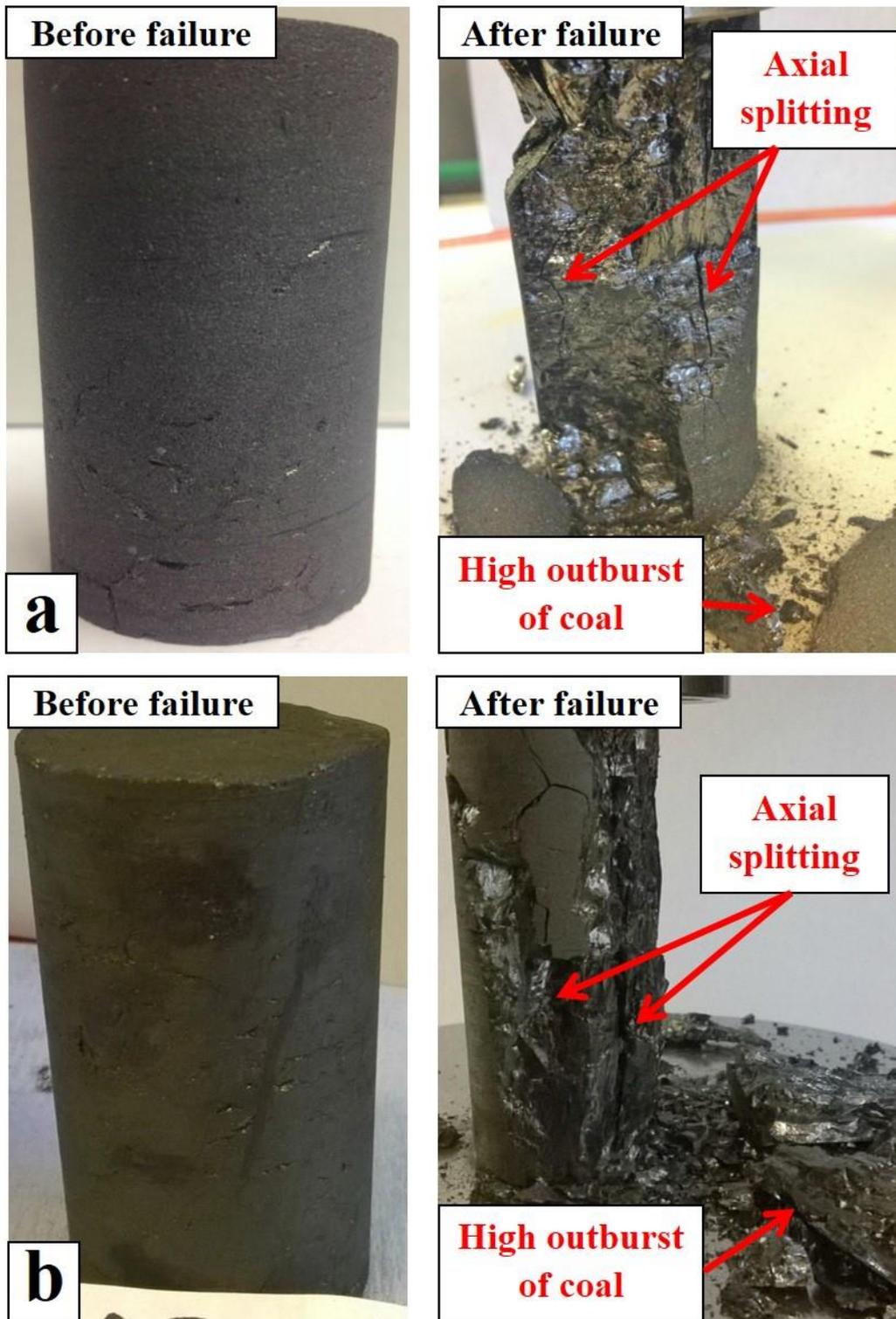
356

357

358        3.2. Failure patterns

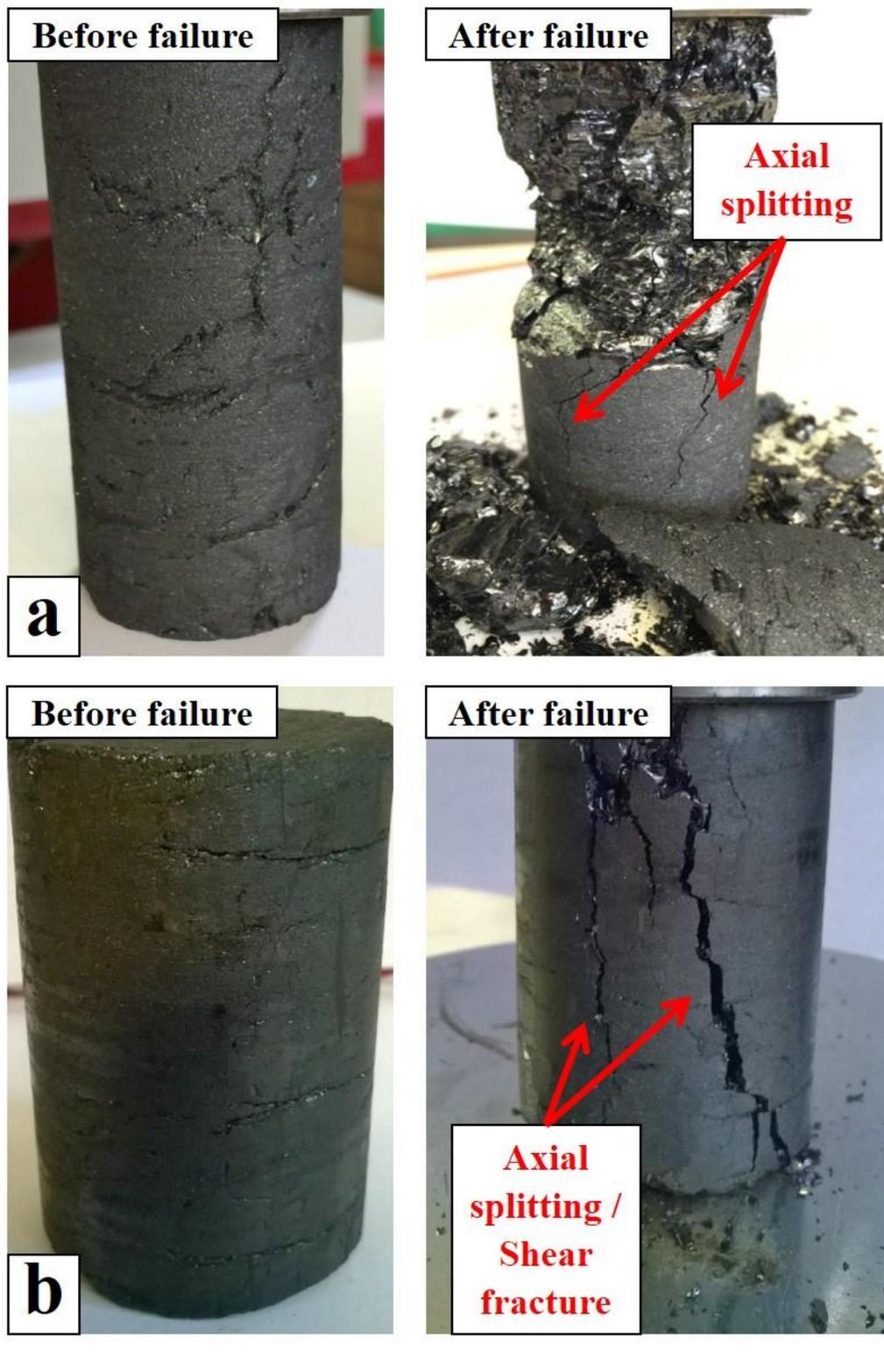
359        To visualize the effect of CO<sub>2</sub> saturation on failure mechanism of the coals considered in this  
360        study, photographs were taken before and after the failure of both natural and CO<sub>2</sub> saturated  
361        specimens. The photographs containing natural (non-saturated) samples and samples saturated  
362        at 2.1 MPa, 4.3 MPa and 8.5 MPa are shown in Fig. 11, 12, 13 and 14, respectively.

363



364

365 **Fig. 11.** Failure patterns of non-saturated coal samples; A) Black Diamond, B) 9ft Aberpergwm.



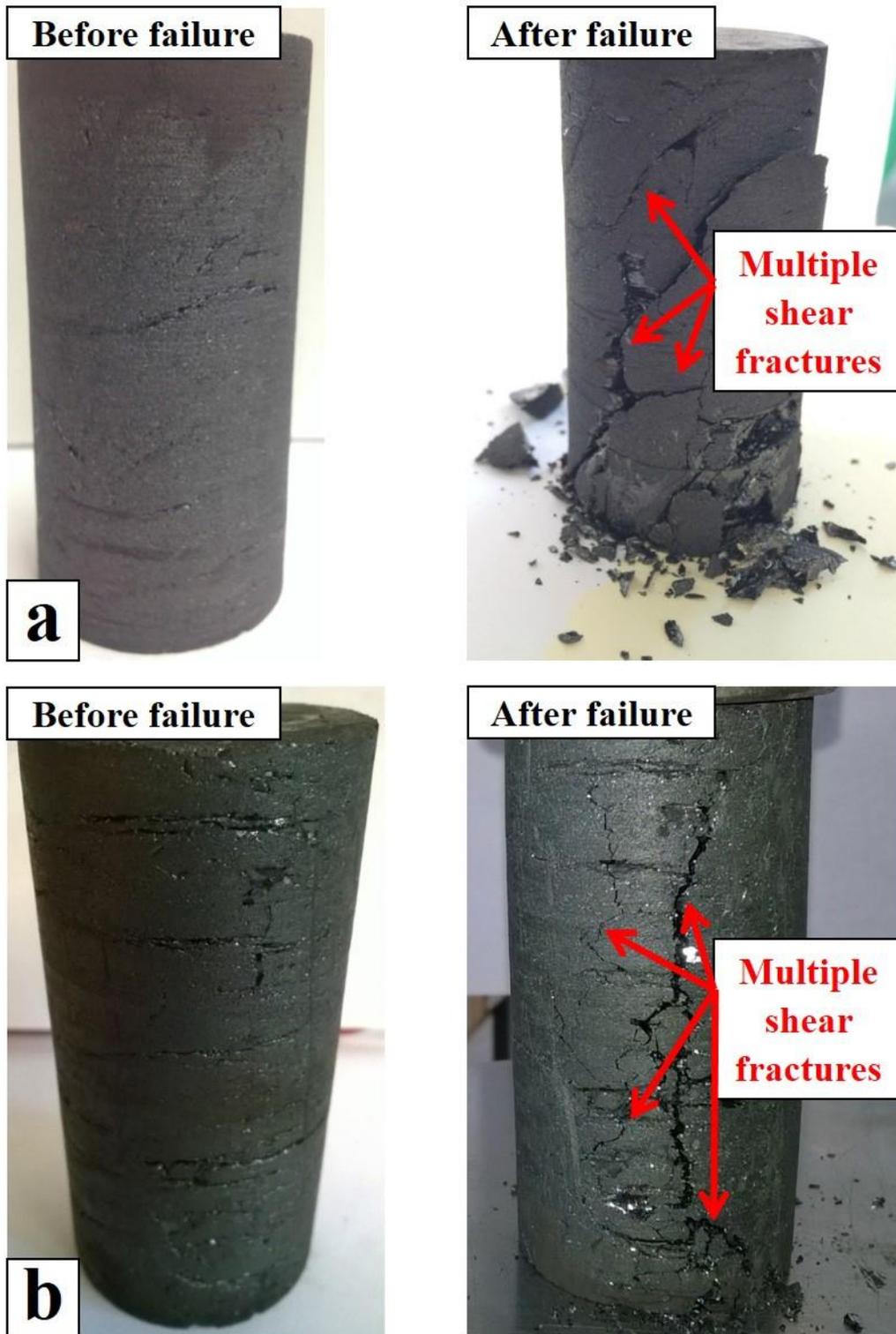
366

367 **Fig. 12.** Failure patterns of samples saturated with CO<sub>2</sub> at 2.1 MPa; A) Black Diamond, B) 9ft  
 368 Aberpergwm.



369

370 **Fig. 13.** Failure patterns of samples saturated with CO<sub>2</sub> at 4.3 MPa; A) Black Diamond, B) 9ft  
 371 Aberpergwm.



372

373 **Fig. 14.** Failure patterns of samples saturated with CO<sub>2</sub> at 8.5 MPa; A) Black Diamond, B) 9ft  
 374 Aberpergwm.

375 Non-saturated coal samples failed predominantly through axial splitting where a number of  
 376 axial cracks propagated along the entire length of the specimens (Fig. 11). Such splitting

377 occurred with rapid and unstable crack initiation and propagation, common for brittle materials,  
378 outbursting the samples into pieces.

379 Samples saturated with CO<sub>2</sub> at 2.1 MPa showed similar behaviour to those of non-saturated  
380 samples (Fig. 12). However, a set of non-longitudinal fractures was also visible, especially on  
381 the AB sample. Predominant shear failure occurred in samples saturated at 4.3 MPa of CO<sub>2</sub>  
382 (Fig. 13). In addition, a set of axial fractures was visible for both samples suggesting that the  
383 overall failure could be a combination of fracture propagation in axial and non-axial directions.  
384 For coals saturated in supercritical CO<sub>2</sub>, i.e. at 8.5 MPa, multiple shear fractures orientated in  
385 different directions occurred with negligible material outburst during failure (Fig. 14).

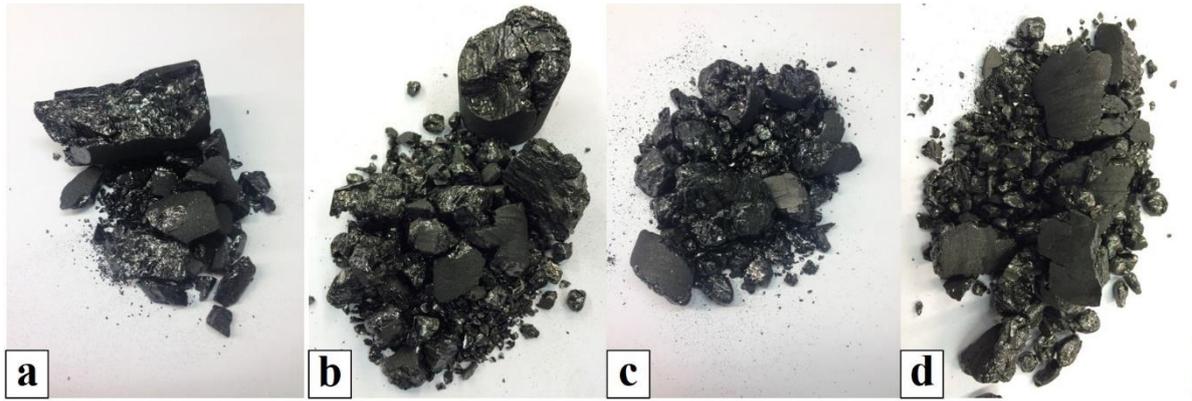
386 Interestingly, both BD and AB samples showed comparable behaviour in terms of failure  
387 patterns. The only distinction is for specimens saturated at 2.1 MPa where BD exhibited higher  
388 outburst of the material than the AB coal showing predominantly axial splitting within the BD  
389 coal. This is in accordance with the strength parameter reduction (Fig. 7) where it is shown that  
390 AB samples saturated at 2.1 MPa lost more than half of their original strength while BD  
391 samples lost a third of their original strength. Consequently, higher residual strength of the BD  
392 coal could have resulted in a behaviour more similar to the natural, non-saturated samples.

393 Overall, by comparing failure types of non-saturated and CO<sub>2</sub> saturated specimens, a  
394 distinction can be observed. While former ones show predominantly axial splitting, the latter  
395 ones fail through a visible shear plane and existing fractures weakened by the CO<sub>2</sub> sorption. In  
396 unconfined compressive tests, irregular longitudinal splitting is the most common failure  
397 mechanism observed leading to an abrupt failure (Jaeger et al. 2007). Where the rock is fully  
398 ductile, a network of shear fractures will develop accompanied by plastic deformation of  
399 individual grains (Jaeger et al. 2007).

400

### 401 3.3. Post-failure sieve analysis

402 To assess the impact of CO<sub>2</sub> sorption on coal structure over the range of pressures used in this  
403 study, a post-failure sieve analysis of ten BD coal specimens was conducted. Fig. 15 shows  
404 coal particles as a result of a failure of both non-saturated and CO<sub>2</sub> saturated BD samples under  
405 axial compression. The failure of the non-saturated sample resulted in a large coal lump  
406 accompanied by a number of smaller particles. Conversely, CO<sub>2</sub> saturated samples show more  
407 gradual distribution of the coal particles.



408

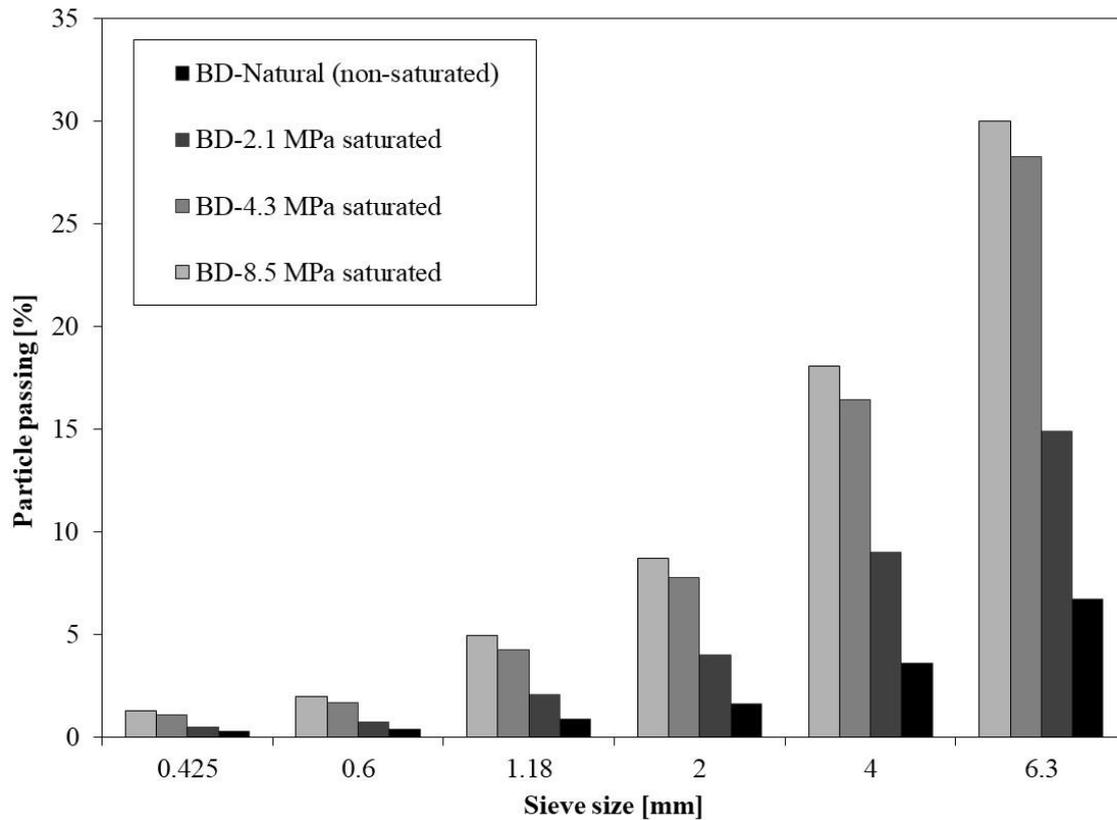
409 **Fig. 15.** Black Diamond coal post-failure particles; (a) natural (non-saturated) sample, (b) 2.1 MPa  
410 saturated sample; (c) 4.3 MPa saturated sample, (d) 8.5 MPa saturated sample.

411

412 Based on the results of the sieve analysis of ten BD specimens, the average percentage of  
413 particles passing through a certain sieve for natural and CO<sub>2</sub> saturated specimens was  
414 calculated. Comparison of the obtained average values with respect to sieve size is presented  
415 in Fig. 16.

416 Specimens saturated with CO<sub>2</sub> show higher percentage of particles passing through sieves than  
417 non-saturated specimens. On average, 7%, 15%, 28% and 30% of the total coal mass of non-  
418 saturated, 2.1 MPa saturated, 4.3 MPa saturated and 8.5 MPa saturated coal specimens passed  
419 through the largest sieve size of 6.3 mm, respectively. Such results confirm the previous  
420 assumption by Wang et al. (2011) that CO<sub>2</sub> saturated coals would result in smaller particles  
421 after the failure than the non-saturated specimens indirectly suggesting the micro-fracturing of  
422 the coal caused by CO<sub>2</sub> sorption. Additionally, it can be inferred from Fig. 16 that the  
423 percentage of particles passing through sieves depends on saturation pressure.

424



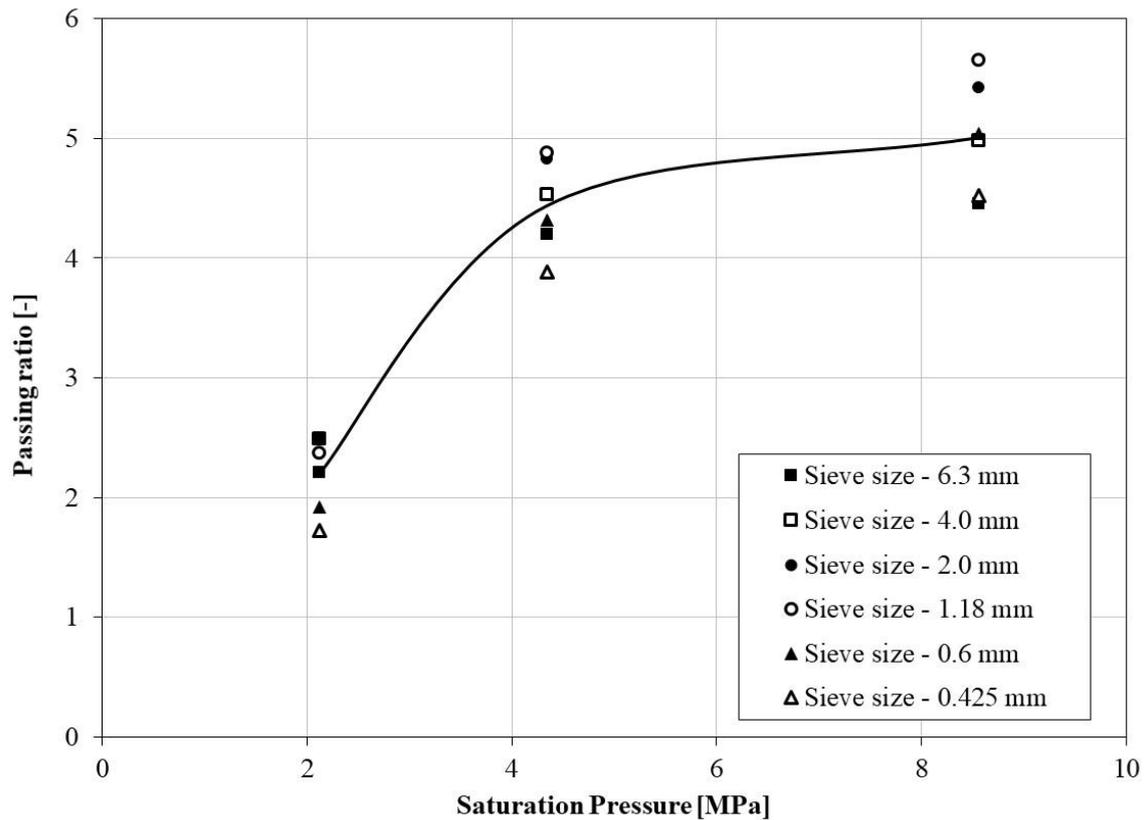
425

426 **Fig. 16.** Comparison of the post-failure particle size distribution of natural (non-saturated) and CO<sub>2</sub>  
 427 saturated Black Diamond samples.

428

429 In order to assess the difference in percentages of particles passing through each sieve between  
 430 the non-saturated and CO<sub>2</sub> saturated samples, Fig. 17 shows the passing ratio versus the gas  
 431 saturation pressure for each sieve. Passing ratio is calculated as the average percentage of  
 432 particles passing through an individual sieve for CO<sub>2</sub> saturated samples divided by the average  
 433 percentage of particles passing for natural (non-saturated) coal specimens.

434 Fig. 17 shows that for specimens saturated at 2.1 MPa, there are 1.7 to 2.5 more particles  
 435 passing through the sieves compared to non-saturated specimens. For specimens saturated at  
 436 4.3 MPa and 8.5 MPa, the amount of particles passing through all the sieves compared to non-  
 437 saturated specimens is 3.9-4.9 and 4.5-5.6 times higher, respectively. Hence, as the saturation  
 438 pressure increases, the passing ratio steeply increases up to 4.3 MPa and then shows a more  
 439 gradual further increase with gas pressure.



440

441 **Fig. 17.** Passing ratio versus the saturation pressure for particles passing through sieves of different  
 442 sizes.

443

#### 444 4. Discussion and Conclusions

445 The main aim of this study was to investigate the influence of sub- and supercritical CO<sub>2</sub>  
 446 sorption on elastic deformation and failure of unconfined coal specimens subject to axial load.  
 447 Two high rank coals collected from different locations of the South Wales Coalfield and from  
 448 depths of 150 m (Black Diamond coal) and 550 m (Aberpergwm 9ft coal) were considered and  
 449 in total, 20 samples were tested.

450 Based on the results of this study, unconfined compressive strengths and elastic moduli exhibit  
 451 a linear relationship demonstrating that any loss in the elastic modulus is accompanied by the  
 452 corresponding loss in the compressive strength of high rank coals.

453 The results also show that samples of both coals experience maximum reduction in elastic  
 454 modulus and strength at 4.3 MPa, i.e. between 75% and 80%, where CO<sub>2</sub> is in the gaseous  
 455 state. However, additional increase in CO<sub>2</sub> pressure to its supercritical state at 8.5 MPa had  
 456 negligible effect on further reduction in measured parameters which was measured to be  
 457 between 72% and 83%. Hence, this leads to the conclusion that the reduction of elastic modulus

458 and compressive strength of high rank coals is related to their sorptive behaviour. In other  
459 words, by achieving more than half of their maximum sorption capacity in the low pressure  
460 subcritical region, the corresponding structural rearrangement and consequent reduction in  
461 measured geomechanical parameters of high rank coals occurs in the same pressure region.  
462 This has been further confirmed by accommodating the effect of effective stress which  
463 suggested that chemical weakening of coal through its structural rearrangement occurs in the  
464 subcritical region and that there is a negligible further weakening effect due to the presence of  
465 supercritical CO<sub>2</sub> which is contrary to the findings previously reported in the literature for  
466 lignite and bituminous coals.

467 Although BD coal showed higher absolute values of unconfined compressive strengths and  
468 elastic moduli than the AB coal, the reduction percentage of deformation parameters of both  
469 samples is similar, especially at 4.3 MPa and 8.5 MPa saturation pressures. Hence, despite both  
470 anthracite coals considered in this study being from different locations and depths of the South  
471 Wales Coalfield, similar trends obtained on the samples of both coals suggest that  
472 geomechanical property alterations are rank related.

473 Visual inspection of the failure patterns showed that non-saturated specimens failed  
474 predominantly through axial splitting with high outburst of the material during compression,  
475 while the failure of the cores saturated with CO<sub>2</sub> occurred through a combination of shear  
476 fractures oriented in different directions with negligible material outburst common for ductile  
477 materials. Possible formation of micro fractures in the CO<sub>2</sub> saturated samples, previously  
478 suggested in the literature, was further confirmed by the results of the post-failure sieve  
479 analysis. The results showed that on average, only 7% of non-saturated particles passed through  
480 6.3 mm sieve, while 2.1 MPa saturated, 4.3 MPa and 8.5 MPa saturated coals showed 15%,  
481 28% and 30% of particles passing through the same sieve, respectively. Under those  
482 circumstances, it can be concluded due to the fact that CO<sub>2</sub> treated samples disintegrated on  
483 smaller particles than specimens without any CO<sub>2</sub> saturation after the failure, geomechanical  
484 property changes are a result of the weakened coal structure through the enhancement of the  
485 existing and inducement of new fractures.

486 Overall, this study demonstrated the weakening effect of CO<sub>2</sub> on high-rank anthracitic coals  
487 making them more ductile and less resistive to deformation under applied stress. Presented  
488 observations imply that the reduction of the coal deformation properties due to CO<sub>2</sub> sorption  
489 could contribute to the overall performance of a coal seam subject to CO<sub>2</sub> sequestration. On  
490 one hand, coal weakening could have a potentially negative impact on the structural integrity

491 of the CO<sub>2</sub> storage reservoir which could be eliminated by targeting coal seams with  
492 structurally sound overburden.

493 On the other hand, enhancement of existing fractures and creation of new ones could be a  
494 positive feature from the CO<sub>2</sub> injection standpoint as newly formed fractures could offer  
495 additional pathways for CO<sub>2</sub> flow and increase the amount of CO<sub>2</sub> injected in seams by  
496 offsetting the impact of coal swelling on CO<sub>2</sub> injectivity. Furthermore, reduction in elastic  
497 modulus of the target coals would result in increasing the fracture apertures under high pressure  
498 gas flow, again enabling easier access for the CO<sub>2</sub> molecules to the sorption sites. Based on the  
499 abovementioned, it can be implied that high rank coals could offer a good prospect of storing  
500 CO<sub>2</sub>. Hence, future work will focus to enhance the current understanding of how the changes  
501 in deformation properties induced by CO<sub>2</sub> sorption affect the coal's transport and storage  
502 properties.

503 According to the author's knowledge, this is the first experimental analysis of anthracite coal's  
504 behaviour as well as the behaviour of South Wales coals dealing with the change in deformation  
505 properties of coals subject to sub- and supercritical CO<sub>2</sub> pressures up to 8.5 MPa.

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512

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