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Citation for final published version:

Rajendram, Rathie, Taylor, Peter N. , Wilson, Victoria J., Harris, Nicola, Morris, Olivia C., Tomlinson, Marjorie, Yarrow, Sue, Garrott, Helen, Herbert, Helen M., Dick, Andrew D., Cook, Anne, Gattamaneni, Rao, Jain, Rajni, Olver, Jane, Hurel, Steven J., Bremner, Fion, Drummond, Suzannah R., Kemp, Ewan, Ritchie, Diana M., Rumsey, Nichola, Morris, Daniel, Lane, Carol, Palaniappan, Nachi, Li, Chunhei, Pell, Julie, Hills, Robert , Ezra, Daniel G., Potts, Mike J., Jackson, Sue, Rose, Geoffrey E., Plowman, Nicholas, Bunce, Catey, Uddin, Jimmy M., Lee, Richard W. J. and Dayan, Colin M. 2018. Combined immunosuppression and radiotherapy in thyroid eye disease (CIRTED): a multicentre, 2 × 2 factorial, double-blind, randomised controlled trial. *Lancet Diabetes and Endocrinology* 6 (4) , pp. 299-309. 10.1016/S2213-8587(18)30021-4

Publishers page: [http://dx.doi.org/10.1016/S2213-8587\(18\)30021-4](http://dx.doi.org/10.1016/S2213-8587(18)30021-4)

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1 Combined immunosuppression & radiotherapy in thyroid eye disease (CIRTED): a multi-  
2 centre, factorial randomised controlled trial

3

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51

52 Word count 4,004

53 **Abstract**

54 *Background*

55 Thyroid eye disease is a disabling inflammatory orbital condition which causes visual  
56 dysfunction and psychological morbidity. Standard treatment is with systemic corticosteroids,  
57 but the additional benefit of orbital radiotherapy and antiproliferative immunosuppression is  
58 unclear.

59  
60 *Methods*

61 Participants all received a 24 week course of oral prednisolone and were also randomised to  
62 receive radiotherapy or sham-radiotherapy, and azathioprine or placebo, in a 2x2 factorial  
63 design. The primary outcomes were a binary composite clinical outcome score and  
64 ophthalmopathy index at 48 weeks and clinical activity score at 12 weeks. (ISRCTN  
65 22471573).

66  
67 *Findings*

68 126 adults with active moderate-to-severe thyroid eye disease were randomised. 103  
69 provided outcome data, of which 84 completed their allocated treatment of radiotherapy or  
70 sham-radiotherapy, and 57 continued to take azathioprine or placebo until 48 weeks. Pre-  
71 specified intention-to-treat analysis of the binary clinical composite outcome measure  
72 revealed an odds of improvement for azathioprine of  $OR_{(adj)}=2.56$  (95%CI 0.98, 6.66;  
73  $p=0.05$ ) and for radiotherapy of  $OR_{(adj)}=0.89$  (95%CI 0.36, 2.23;  $p=0.80$ ). In a post-hoc  
74 analysis of patients completing their allocated therapy, improvement was more frequent on  
75 azathioprine ( $OR_{(adj)}=6.83$ ; 95%CI 1.66, 28.1;  $p=0.008$ ) than radiotherapy ( $OR_{(adj)}=0.71$ ;  
76 95%CI 0.26, 1.95;  $p=0.50$ ). The ophthalmopathy index, clinical activity score and number  
77 of adverse events (azathioprine N=161, radiotherapy N=156) did not differ between treatment  
78 groups.

79  
80 *Interpretation*

81 In patients receiving oral prednisolone for 24 weeks, the addition of radiotherapy was not  
82 beneficial. With regard to azathioprine, our conclusions are limited by a high number of  
83 withdrawals from treatment. However, these results suggest that disease severity at 48 weeks  
84 was reduced in participants who completed azathioprine treatment.

85  
86 *Funding*

87 *National Eye Research Centre, Above and Beyond and Moorfields Eye Charity, supported by*  
88 *infrastructural investment from the National Institute for Health Research*

89

90

**Research in Context**

Active moderate-to-severe thyroid eye disease is currently treated with systemic corticosteroids, but outcomes are often sub-optimal. Corticosteroids are most effective when administered intravenously, but this is inconvenient, and oral administration remains common in global clinical practice. However, uncertainty remains about the additional benefit of orbital radiotherapy and antiproliferative immunosuppressive drugs.

**Evidence before this study**

Previous retrospective case series have reported that the antiproliferative immunosuppressive drug azathioprine reduces disease severity and the need for rehabilitative surgery, but no prior RCTs have been completed. The evidence base for orbital radiotherapy is stronger, but conflicting, especially in the context of systemic corticosteroid treatment.

**Added value of this study**

Eighty per cent of subjects completed radiotherapy, but no significant short (12 week) or long-term (48 week) benefit resulted over and above the improvement seen with a 24-week tapering course of oral corticosteroids. Less strong conclusions can be drawn with regard to azathioprine, as many patients did not complete treatment due to abnormalities in monitoring blood tests or side-effects, but those that continued azathioprine for more than 24 weeks benefitted, predominantly due to a prevention of deterioration after the end of corticosteroid treatment.

**Implications of all the available evidence**

These results do not support the use of radiotherapy in thyroid eye disease in patients also treated with systemic corticosteroids. They also provide evidence in favour of the use of anti-proliferative immunosuppressive agents such as azathioprine beyond the period of corticosteroid therapy to improve long-term clinical outcomes.

92 **Introduction**

93 Active moderate-to-severe thyroid eye disease, also known as Graves' orbitopathy or thyroid  
94 associated orbitopathy) occurs in 5-10% of cases of Graves' disease(1). It can be both  
95 visually disabling and cosmetically disfiguring and substantially impairs quality of life(1-3).  
96 The aim of treatment is to suppress orbital inflammation and reduce consequent tissue re-  
97 modelling in extraocular muscles, orbital fat and other periocular soft tissues(4, 5).  
98 Immunosuppressive therapies, in particular corticosteroids(1, 4, 6), are the mainstay of  
99 treatment for active moderate-to-severe thyroid eye disease (1). However, they are typically  
100 withdrawn after 24 weeks of treatment to limit cumulative toxicity regardless of whether they  
101 are administered via the oral or intravenous route(7), and given that active disease lasts 1–2  
102 years, recurrence at the time of withdrawal often occurs(1, 7-9).

103

104 Consequently, the avoidance of corticosteroid side-effects, improvement in treatment efficacy  
105 and maintenance of long-term disease control are major goals for the field of thyroid eye  
106 disease as a whole. However, efforts to use monoclonal antibody therapies to more  
107 selectively suppress disease are still either early in their route to market(10), or have failed to  
108 demonstrate definitive treatment benefit(11, 12). Hence, given the proven short-term efficacy  
109 of corticosteroids in the treatment of active moderate-to-severe thyroid eye disease, it is  
110 likely that they will remain the gold-standard first-line treatment for several years to come,  
111 and the need to find adjunctive therapies to augment and sustain their benefit remains very  
112 real.

113

114 To date, the only non-corticosteroid conventional immunosuppressant drug to have been  
115 evaluated in RCTs is cyclosporine A(13, 14), which was found to be beneficial, but its use  
116 has not been widely adopted because of concerns about side-effects(6). An alternative  
117 strategy is to use an antiproliferative agent such as azathioprine as it is better tolerated than  
118 cyclosporine A(15, 16) and although ineffective as monotherapy(17), retrospective data  
119 indicates that in combination with corticosteroids it reduces disease severity and the need for  
120 rehabilitative surgery(18). In addition to immunosuppression, non-pharmaceutical treatment

121 of active thyroid eye disease with orbital radiotherapy has been advocated for decades, and  
122 older RCTs demonstrated that this was more effective when used in combination with  
123 corticosteroids(19, 20). However, subsequent studies either questioned the role of orbital  
124 radiotherapy or concluded that its benefit was limited to improvement in oculomotility(21-  
125 23). This has generated significant controversy, in particular due to concerns about the entry  
126 criteria, trial design and radiotherapy administration in Gorman et al's paper(22), which has  
127 led to disparity in practice. Orbital radiotherapy has now been largely abandoned in North  
128 America, whereas in European centres, including the UK, it is still routinely used(6, 23-25).  
129 As it is administered daily over 2-3 weeks and patients are typically of working age, this also  
130 has significant implications for the use of healthcare resources and patients' time.  
131 Furthermore, only two relatively small studies have evaluated the additional effect of  
132 radiotherapy when combined with a high-dose course of systemic corticosteroids(19, 20), and  
133 clinical outcomes beyond 24 weeks have rarely been reported for any intervention in thyroid  
134 eye disease. We therefore sought to evaluate the long-term benefit of orbital radiotherapy and  
135 antiproliferative immunosuppression with azathioprine in the context of sustained systemic  
136 corticosteroid treatment for active moderate-to-severe thyroid eye disease .

137

## 138 **Methods**

### 139 *Study design and participants*

140 We undertook this factorial design multicentre RCT in 6 centres in the UK. Patients aged 20-  
141 75 years were recruited to receive either azathioprine or placebo, *plus* either orbital  
142 radiotherapy or sham-radiotherapy, in *combination* with a standardised 24-week tapering oral  
143 prednisolone regime (**Supplementary Table 1 and Supplementary Figure 1**). In brief, all  
144 patients received an initial oral prednisolone dose of 80mg / day, which reduced to 20mg /  
145 day by 6 weeks, 10mg / day by 15 weeks and 5mg / day by 21 weeks. In accordance with the  
146 factorial design, study recruits were then randomly allocated into 4 groups 2 weeks after  
147 starting corticosteroids: azathioprine plus orbital radiotherapy, azathioprine plus sham-  
148 radiotherapy, placebo plus orbital radiotherapy, or placebo plus sham-radiotherapy. Full  
149 protocol details, including pre-specified primary and secondary outcome measures and

150 statistical analyses, have been previously peer-reviewed, published and are openly  
151 available(26). Trial registration was assigned retrospectively on 1 February 2006  
152 (ISRCTN22471573) following regulatory permissions, but prior to starting recruitment.

153

154 Eligible patients had a clinical activity score(27)  $\geq 4$  (worst eye) OR  $\geq 2$  (worst eye) with a  
155 history of proptosis or motility restriction of less than 6 months duration. They were also  
156 required to have a past or present history of abnormal thyroid function or a clinical diagnosis  
157 of thyroid eye disease made and confirmed by  $\geq 2$  muscle involvement on computed  
158 tomography or magnetic resonance imaging scan. The clinical activity score was scored out  
159 of 7 at the enrolment visit as its last 3 items (decreasing proptosis, decreasing visual acuity  
160 and decreasing eye movement) require a change in consecutive measurements to be  
161 calculated. This therefore cannot be done at the first assessment, but at all subsequent visits  
162 clinical activity score was scored out of 10. If study recruits *either* had a  $< 6$  month history  
163 of thyroid eye disease (defined as time since first symptom) *or* an improvement in any item  
164 of clinical activity score 2 weeks after starting the trial prednisolone regime, they were  
165 considered to have active disease and were randomised at the second trial visit. Key  
166 exclusion criteria included age  $< 20$  or  $> 75$  years, dysthyroid optic neuropathy, abnormal  
167 thiopurine methyltransferase activity and use of radioiodine or any immunomodulatory or  
168 cytotoxic drugs within the last 3 months (thyroidectomy was permitted).

169

#### 170 *Randomisation and masking*

171 Patients' eligibility for the study was assessed by the ophthalmic investigators at each trial  
172 centre. Allocation to treatment groups was by remote computerised randomisation and  
173 minimisation was used to reduce baseline disparities in potential confounding variables  
174 between trial interventions. These included smoking status at the time of thyroid eye disease  
175 diagnosis, thyroid status on enrolment, previous corticosteroid use, gender, disease  
176 severity, study centre, disease duration, age greater than 60 years and disease  
177 activity. Patients, clinicians (both ophthalmic and endocrine) and data analysts were all  
178 masked. Only the trial co-ordinators (who monitored trial subjects blood results), pharmacists



179 and radiographers were unmasked. The success of masking for ophthalmic investigators and  
180 patients was assessed at study completion or withdrawal by asking them to declare which  
181 treatments they thought had been administered.

182

### 183 *Procedures*

#### 184 *Orbital radiotherapy*

185 Twenty gray (Gy) of radiation was administered to the retrobulbar orbit in 10-12 fractions  
186 over 2 to 3 weeks. Subjects receiving sham-radiotherapy also attended and underwent all the  
187 same procedures other than no radiation being delivered. Extensive effort was used across  
188 trial centres to ensure participants were unable to identify if they were receiving sham  
189 therapy, including use of a noise emitting device to simulate treatment administration(26) (for  
190 details of the radiotherapy procedures at each trial centre see **Supplementary Text 2**)

191

#### 192 *Azathioprine*

193 Treatment dose varied between 100mg and 200mg daily (dispensed as 50 mg tablets),  
194 depending on body weight. Matched placebo tablets and packaging were used and the dose  
195 was adjusted according to a standard algorithm dependent on patients' blood test results.  
196 Again, extensive effort was taken to ensure participants were unaware if they were receiving  
197 placebo, including identical blood tests and random placebo dose adjustments. To reduce the  
198 risk of serious adverse events, patients with abnormal thiopurine methyltransferase activity  
199 who are at increased risk of developing bone marrow suppression (low activity) or  
200 hepatotoxicity (high activity) with azathioprine were not enrolled.

201

#### 202 *Follow-up and withdrawals*

203 Follow-up continued for a minimum of 48 weeks. Withdrawn subjects were returned to their  
204 referring ophthalmologist, however they were invited to attend assessment visits at the early  
205 (co-primary) and late (primary) outcome measure assessment times of 12 and 48 weeks to  
206 obtain data in accordance with the planned intention-to-treat analyses. Withdrawal criteria  
207 included worsening of disease (defined as a 2-point increase in clinical activity score or

208 development of optic neuropathy) and sustained blood test abnormalities (leucopenia,  
209 lymphopenia or abnormal liver function tests despite dose adjustment of azathioprine or  
210 placebo).

211

### 212 *Ethical approval and Trial Oversight*

213 The trial protocol was given a favourable opinion by the UK's National Health Service South  
214 West Central Bristol Research Ethics Committee (REC reference: 05/Q2006/62). Clinical  
215 Trial Authorisation was given by the Medicines and Healthcare products Regulatory Agency  
216 (MHRA, reference: 03299/0003/001-0001; ISRCTN22471573) with the University of Bristol  
217 acting as the legal sponsor. Research governance and local Research and Development  
218 approvals were obtained across all sites prior to the start of recruitment. All participants gave  
219 written informed consent, and the conduct of the trial was subject to independent Data Safety  
220 Monitoring Committee and Trial Steering Committee review for the duration of the study.

221

### 222 *Outcomes*

223 As the principle objective of the trial was to evaluate treatment success and failure at the late  
224 time-point of 48 weeks, our primary outcome measures of disease severity binary clinical  
225 composite outcome measure (**BOX 1**) and Ophthalmopathy Index (**Supplementary Table 2**)  
226 were selected to quantify the change in ocular deformity and visual dysfunction. An early,  
227 12-week, assessment of disease activity using the clinical activity score was given lower  
228 priority and designated as a co-primary outcome (we expected that all participants would  
229 have a significant improvement in clinical activity score by 48 weeks in accordance with the  
230 natural history of the disease(28)). Secondary outcome measures included Total Eye Score

#### **Box 1 Calculation of the Binary Clinical Composite Outcome Measure**

##### ***Major Criteria***

- An improvement of  $\geq 1$  grade in diplopia score
- An improvement of  $>8$  degrees of eye movement in any direction
- A reduction of  $\geq 2$  mm in proptosis

##### ***Minor Criteria***

- A reduction of  $\geq 2$  mm in lid aperture
- 9 ▪ An improvement of  $\geq 1$  grade in soft tissue involvement
- An improvement in best-corrected visual acuity of  $\geq 1$  line on the Snellen chart
- Subjective improvement

***All items refer to the worst eye***

***Response to treatment is calculated as follows***

*Improved* = improvement in  $\geq 1$  major criteria or  $\geq 2$  minor criteria

*No Change* = improvement or deterioration in  $\leq 1$  minor criterion

*Worse* = deterioration in  $\geq 1$  major or  $\geq 2$  minor criteria (even if other criteria improve)

231 (Supplementary Table 3) as an additional assessment of disease severity, patient-reported  
232 Graves' Ophthalmopathy Quality of Life score and health economic indices.

233

234 *Statistical analyses*

235 Planned statistical analyses were pre-specified in our protocol paper, based on a sample size  
236 of 100 complete datasets at 48 weeks(26). These were undertaken according to CONSORT  
237 guidelines for RCTs. As required by the factorial design, the primary intention-to-treat  
238 analysis (ITT) combined the treatment groups to compare radiotherapy versus sham-  
239 radiotherapy and azathioprine versus placebo for each of the two primary outcomes at 48  
240 weeks follow up. This analysis was made using multivariable regression models, adjusting  
241 for minimisation variables, the factorial design, and the value of the outcome variable at  
242 baseline. Statistical significance was defined in advance as a p-value of <0.05. Patients who  
243 had no outcome data for the primary analyses had data imputed using last observation carried  
244 forward if they had data available between 24-48 weeks. Analysis was performed for all  
245 primary outcomes (binary clinical composite outcome, ophthalmopathy index and clinical  
246 activity score). Patients who withdrew from treatment due to side-effects, disease  
247 progression or personal preference, were encouraged to continue to attend for follow-up  
248 assessments and their data included in the intention-to-treat analyses. Since there were a large  
249 number of withdrawals from treatment (although most trial subjects still returned for  
250 assessment at the primary endpoint visit), a post-hoc as-per-protocol analysis was conducted  
251 including only patients who had not withdrawn and continued to receive their assigned  
252 treatment. Testing for interaction was performed using likelihood ratio tests. Additional  
253 sensitivity analyses were performed for the binary clinical composite outcome measure,  
254 including recoding those who withdrew due to deterioration, irrespective of their final status  
255 at 48 weeks (as they may have received alternative rescue therapy). The secondary outcome  
256 measures of Total Eye Score and Graves ophthalmopathy quality-of-life score were also  
257 compared across treatment groups, however patient-reported health economic analyses were  
258 not completed due to insufficient data. All statistical analyses were undertaken using  
259 STATA version 12 (STACORP, College Station, TX, USA).

260 *Study Sponsor and role of the funding source*

261 The study sponsor was the University of Bristol. Funding was provided by the UK's National  
262 Eye Research Centre, Above and Beyond and Moorfields Eye Charity supported by  
263 infrastructural investment from the National Institute for Health Research. The sponsor and  
264 funders had no role in the study design, in the collection, analysis, and interpretation of data,  
265 in the writing of the report or in the decision to submit the paper for publication. In addition,  
266 the corresponding author had full access to all of the data and the final responsibility to  
267 submit for publication (PNT RH CMD and RL had access to the raw data).

268

269 **Results**

270 *Study Population*

271 126 people were recruited and randomised between February 2006 and October 2013 (71  
272 patients from Moorfields Eye Hospital, 34 from Bristol Eye Hospital, 7 from Manchester Eye  
273 Hospital, 5 from the Western Eye Hospital, 4 from University College London Hospital, 4  
274 from Gartnavel General Hospital and 1 from the University Hospital of Wales). The flow of  
275 study participants is shown in **Figure 1**. Data on both the primary outcomes at 48 weeks was  
276 provided by 103 participants, and these were analysed after data-lock (which included  
277 separate 3 year assessments on a minority of trial subjects) on 7<sup>th</sup> October 2016. Baseline  
278 characteristics of the minimisation variables by group are shown in **Table 1**. Individuals  
279 allocated to azathioprine had a relatively lower proportion of non-Caucasian patients (not a  
280 criterion used for minimisation).

281

282 *Intention-to-treat analysis*

283 *Binary Clinical Composite Outcome Measure (primary outcome)*

284 The difference in the binary clinical composite outcome measure between individuals  
285 randomised to azathioprine versus placebo tablets was on the threshold of our pre-specified  
286 significant p-value of <0.05, but did not meet this (the adjusted OR<sub>(adj)</sub> of the binary clinical  
287 composite outcome measure's improvement on azathioprine was 2.56; 95%CI 0.98, 6.66;  
288 p=0.05, **Table 2 Figure 2A**). In contrast, there was no improvement with orbital radiotherapy

289 (OR<sub>(adj)</sub> =0.89, 95%CI 0.36, 2.23, p=0.80). Also, with regard to the factorial design, there  
290 was no evidence of interaction between azathioprine and radiotherapy (p<sub>int</sub> = 0.86) and the  
291 combination of azathioprine and orbital radiotherapy did not offer additional advantage over  
292 azathioprine alone. An overview of the impact on the binary clinical composite outcome  
293 measure of azathioprine and orbital radiotherapy is shown in **Supplementary Figure**  
294 **2A+2B**. Furthermore, additional sensitivity analyses in which withdrawn patients were coded  
295 to unfavourable outcomes regardless of their status at 48 weeks enhanced rather than lessened  
296 the improvement observed with azathioprine treatment (OR<sub>(adj)</sub> 3.65; 95%CI 1.34, 9.86;  
297 p=0.01) ( **Supplementary Table 4**).

298

#### 299 *Ophthalmopathy Index (primary outcome)*

300 Analysis of all patients revealed that the ophthalmopathy index fell between week 12 (mean  
301 9.15, SD 0.39) and week 48 (mean 8.43, SD 0.38, p=0.04). No additional benefits were seen  
302 with either azathioprine or orbital radiotherapy. Individuals randomised to azathioprine had  
303 an adjusted Beta (B)<sub>(adj)</sub> of 0.46 (95%CI -1.04, 1.95; p=0.55) and in those randomised to  
304 orbital radiotherapy B<sub>(adj)</sub> was -0.89 (95%CI -2.34, 0.56; p=0.23) (**Table 2**). There was also  
305 no evidence of an interaction between azathioprine and radiotherapy in their effect on  
306 ophthalmopathy index (p<sub>int</sub> = 0.51).

307

#### 308 *Clinical Activity Score (co-primary outcome)*

309 Across all subjects, substantial improvement in median clinical activity score was seen over  
310 the study period from 5 (IQR 4 - 5) at baseline to 3 (IQR 2- 4; p<0.0001) at week 12, and 2  
311 (IQR 1-3; p<0.0001) at week 48 (**Figure 2B, 2C**). The majority of patients n=97 (70.0%)  
312 improved their clinical activity score by week 12 and 96 (98%) of the 98 patients with  
313 clinical activity score data at 48 weeks showed improvement in their clinical activity score  
314 versus baseline. No difference in the change in clinical activity score at 12 weeks was  
315 observed between individuals who received treatment with azathioprine versus not receiving  
316 azathioprine, or who received radiotherapy versus sham radiotherapy B<sub>(adj)</sub>= -0.01 (95%CI -  
317 0.69, 0.68; p=0.99 – **Table 2**). There was no interaction between azathioprine and

318 radiotherapy in their effect on clinical activity score ( $p_{\text{int}}= 0.48$ ). There was also no evidence  
319 that azathioprine or orbital radiotherapy improved clinical activity score at week 48  
320 (**Supplementary Table 5**).

321

#### 322 *Total Eye Score (secondary outcome)*

323 Total Eye Score improved considerably over the study period with a mean at baseline of 15.1  
324 (95%CI 13.8, 16.3) falling to a mean of 9.36 (95%CI 8.12, 10.6;  $p < 0.0001$ ), but this was  
325 not affected by the addition of either azathioprine or orbital radiotherapy (**Supplementary**  
326 **Table 6**).

327

#### 328 *Graves Ophthalmopathy Quality of Life (secondary outcome)*

329 Across all subjects, mean Graves ophthalmopathy quality of life visual function was higher  
330 (improved) at 12 weeks than at baseline (71.5 - 95%CI 66.1, 76.9 vs 64.1 - 95%CI 58.5,  
331 70.0;  $p=0.002$ ), and at week 48 (75.5 - 95%CI 70.3, 80.7;  $p<0.001$  versus baseline). Graves  
332 ophthalmopathy quality of life visual appearance was also higher at 12 weeks than at baseline  
333 (58.0 - 95%CI 52.5, 63.5 vs 53.2 - 95%CI 47.9, 58.6;  $p=0.007$ ) and at week 48 (61.3 -  
334 95%CI 55.6, 67.1;  $p=0.001$  versus baseline). Individuals who had an improvement in the  
335 binary clinical composite measure at week 48 had a higher Graves ophthalmopathy quality of  
336 life visual function ( $B=17.9$  - 95%CI 7.07, 28.6;  $p<0.001$ ) and a higher Graves  
337 ophthalmopathy quality of life visual appearance ( $B_{\text{(adj)}}=11.5$  - 95%CI 0.60, 23.6;  $p=0.06$ ).  
338 There was no clear benefit from the addition of either azathioprine or orbital radiotherapy  
339 with regard to long-term Graves ophthalmopathy quality of life visual function or visual  
340 appearance (**Supplementary Table 7, Supplementary Figure 3**).

341

#### 342 *As-per-protocol analysis*

343 Sixty individuals did not withdraw from study treatment before 48 weeks, completed their  
344 therapy period as allocated and were included in the as-per-protocol analysis. Ten of these  
345 patients were randomised to azathioprine and sham-radiotherapy, 17 were randomised to  
346 orbital radiotherapy and placebo alone, 12 were randomised to azathioprine and orbital

347 radiotherapy and 21 were randomised to sham-radiotherapy and placebo. Individuals in the  
348 as-per-protocol analysis appeared similar at baseline to those who were withdrawn from  
349 study treatment, although there was a higher percentage of non-Caucasians in those recruited  
350 from the larger study centres (**Supplementary Table 8**).

351

352 In the as-per-protocol analysis, individuals randomised to receive azathioprine (n=22) had a  
353 higher odds ratio of improvement in their disease severity measured by the primary binary  
354 clinical composite outcome measure at 48 weeks ( $OR_{(adj)}=6.83$ , 95%CI 1.66, 28.1;  $p=0.008$ ).  
355 No benefit was seen in individuals randomised to receive orbital radiotherapy ( $OR_{(adj)} 1.32$ ,  
356 95%CI 0.36, 4.84;  $p=0.67$ , **Table 3 Figure 2A**). To assess the effect of the duration of  
357 exposure to azathioprine we also conducted a comparative analysis of patients who continued  
358 to receive their allocated treatments at 12 weeks (n=84), 24 weeks (n= 79) and 36 weeks  
359 (n=68). This indicated that benefit was observed with  $\geq 24$  weeks of azathioprine exposure  
360 (**Figure 2A, Supplementary Table 9 and Supplementary Figure 2A**). Individuals  
361 receiving azathioprine also had a modest improvement in total eye score ( $B_{(adj)}= -3.23$ ,  
362 95%CI -6.42, 0.03;  $p=0.05$ , **Supplementary Table 6**). However, the as-per-protocol analysis  
363 did not reveal any benefit in ophthalmopathy index, clinical activity score or Graves  
364 ophthalmopathy quality of life of being randomised to receive either azathioprine or orbital  
365 radiotherapy (**Table 3**).

366

#### 367 *Withdrawals from the study*

368 There was a high number of patients who withdrew from their allocated treatment (n=66,  
369 52.4%) (**Figure 1**), but the majority of these (n=45, 68.2%) returned for primary outcome  
370 evaluation. Twenty-five withdrawals were within the first 12 weeks (**Figure 3**). Withdrawals  
371 were less in non-Caucasians and in participants at two of the study centres (Moorfields and  
372 Bristol Eye Hospitals). Before 48 weeks there were 40 withdrawals in those randomised to  
373 receive azathioprine and 34 withdrawals in those randomised to receive orbital radiotherapy.  
374 Overall, 103 participants provided outcome data, of which 84 completed their allocated  
375 treatment of radiotherapy or sham-radiotherapy, and 57 continued to take azathioprine or

376 placebo until 48 weeks. Participants randomised to receive azathioprine had increased odds  
377 of withdrawal compared to those who did not  $OR_{(adj)}=2.82$  (95%CI 1.23, 6.45)  $p=0.01$   
378 (**Supplementary Table 10**). The reasons for withdrawal are presented in **Supplementary**  
379 **Figure 4**. Patients receiving azathioprine had an increased odds of withdrawal due to  
380 precautionary blood test abnormalities or side effects  $OR=9.10$  (95%CI 2.60, 31.9)  $p=0.001$   
381 (**Supplementary Table 11**). However, unlike patients receiving placebo, patients taking  
382 azathioprine did not withdraw due to deterioration following cessation of steroid treatment at  
383 24 weeks (**Figure 3C**). No baseline characteristics predicted withdrawal due to either  
384 azathioprine or orbital radiotherapy although the highest odds of withdrawal for disease  
385 deterioration was in the sham-radiotherapy and placebo group (**Supplementary Table 12**).  
386 There was no evidence of bias between treatment groups with regard to failure to provide  
387 data at 48 weeks (**Supplementary Table 13** and **Supplementary Table 14**).

388

#### 389 *Rescue therapy (including surgery) and adverse events*

390 Twenty-one (47%) of the trial subjects who withdrew from study treatment but provided  
391 outcome data were documented to have received additional therapy (**Supplementary Table**  
392 **15**). In most cases this was additional steroid therapy continuing until the endpoint of the  
393 study (week 48). Surgery was however required in 5 individuals, 3 of whom were in the  
394 azathioprine group (3 orbital decompressions, 1 lid surgery and 1 strabismus correction). The  
395 number of individuals experiencing an adverse event did not differ across the treatment  
396 groups (azathioprine  $N=161$ , radiotherapy  $N=156$ ). (**Supplementary Table 16** and  
397 **Supplementary Table 17**).

398

#### 399 *Masking*

400 Of the 69 patients and 71 doctors who recorded their perceived trial allocation for  
401 azathioprine or placebo on study completion or withdrawal, 30 patients (43%) and 29 doctors  
402 (41%) were incorrect. For radiotherapy and sham-radiotherapy, of the 70 patients and 67  
403 doctors, 23 patients (33%) and 33 doctors (49%) were incorrect.

404



405 **Discussion**

406 CIRTED fulfilled its target sample size, with more than 100 complete data sets at 48 weeks.  
407 Improvement in our primary, co-primary and secondary outcome measures (binary clinical  
408 composite outcome measure, clinical activity score and Graves ophthalmopathy quality-of-  
409 life score) across all groups confirmed the previously reported benefits of high dose systemic  
410 corticosteroid therapy in active moderate-to-severe thyroid eye disease (**Figures 2B and 2C**).  
411 In this context, orbital radiotherapy did not confer additional patient benefit in any pre-  
412 specified outcome measure either in the short (12-week) or longer term (48-week).  
413 Radiotherapy was delivered early in the treatment (before 12 weeks); hence it is unlikely that  
414 this result is significantly confounded by the high withdrawal rate later in the treatment  
415 course.

416  
417 Less strong conclusions can be drawn with regard to azathioprine as comparatively few  
418 patients completed the full course of treatment. Nonetheless, the improvement in the binary  
419 clinical composite outcome measure observed in the azathioprine-treated group of subjects  
420 that was on the threshold of statistical significance in our intention-to-treat analysis ( $p=0.05$ )  
421 is likely to be real as the effect was sustained or enhanced in our sensitivity analyses  
422 (**Supplementary Table 4, Supplementary Table 9**). This is reinforced by the post-hoc as-  
423 per-protocol analysis results which showed substantial benefit in favour of azathioprine  
424 ( $OR_{(adj)}=6.83$   $p=0.008$ ). Of note, patient outcomes improved particularly in those receiving  
425 azathioprine for 24 weeks or more (figure 3A). Since steroid therapy was stopped at 24  
426 weeks (as is common practice in thyroid eye disease), this suggests that the key benefit of  
427 azathioprine is to prevent relapse after withdrawal of steroids. This observation is consistent  
428 with the generally recognised role of azathioprine as a steroid-sparing agent, used to prevent  
429 relapse in other autoimmune conditions, and this is further reinforced by the findings of the  
430 MINGO study using an alternative antiproliferative agent (mycophenolate sodium) in thyroid  
431 eye disease. Furthermore, this view is supported by analysis of the binary clinical composite  
432 outcome measure components indicating that azathioprine did not increase major  
433 improvement rates overall but did reduce major deterioration in the binary clinical composite

434 outcome measure ( $p=0.004$ , **Supplementary Figure 2A**), plus the observation that late  
435 withdrawal (after 24 weeks) due to deterioration was not seen in patients treated with  
436 azathioprine (**Figure 3C**).

437

438 A major feature of this study was the high rate of withdrawal from patients' allocated  
439 treatment. In all study groups, early withdrawals (before 24 weeks) due to disease  
440 deterioration were seen as the steroid dose was reduced and this was not mitigated by orbital  
441 radiotherapy (**Figure 3C**). Our masked protocol necessarily set strict thresholds for  
442 withdrawal due to abnormal monitoring blood tests (white cell counts and liver function),  
443 which together with treatment side-effects led to more common withdrawals in those  
444 allocated to azathioprine (**Figure 3B**). Hence, it is likely that in usual clinical practice  
445 azathioprine treatment would be continued in a higher percentage of patients. Importantly,  
446 many of those withdrawing from treatment still completed their study follow-up visits until  
447 the primary endpoint (48 weeks), resulting in the outcomes for over 80% of randomised  
448 subjects being available for our intention-to-treat analysis.

449

450 The other key methodological point to consider is our use of two primary outcome measures  
451 at 48 weeks. As we have previously published (26), this was because of the lack of fully  
452 validated long-term disease severity measures in thyroid eye disease. We also wished to  
453 mitigate the theoretical limitations of composite binary scoring systems, in particular with  
454 regard to baseline variability between treatment groups, by using a continuous variable with  
455 regression analyses in mind. However, our minimisation strategy was successful in balancing  
456 baseline features across trial arms and the binary clinical composite outcome measure has  
457 since become the preferred end-point for thyroid eye disease studies as it is more sensitive to  
458 change(21, 23). We have therefore focused on this rather than the ophthalmopathy index  
459 which has not been a primary endpoint in other recent trials.

460

461 The key strengths of this RCT include the use of minimisation, low rates of loss-to-follow-up  
462 (including of withdrawn patients) and the success of our extensive efforts to mask both

463 azathioprine and radiotherapy treatment allocation to both the patients and clinicians  
464 (including the use of sham radiotherapy). In addition, we observed no evidence of interaction  
465 between the two interventions (radiotherapy and azathioprine), which is supportive of our  
466 choice of a factorial design. Conversely, a major limitation of our study was the high  
467 withdrawal rate, particularly for those randomised to receive azathioprine. Therefore, our  
468 conclusions with regard to the efficacy of this treatment need to be interpreted with caution.  
469 We also permitted patients to enrol in the trial and start systemic corticosteroid therapy  
470 before their thyroid function tests were normalised. This potentially confounds the  
471 interpretation of our data with the benefit of returning to euthyroidism, but we judged  
472 intervening with immunosuppression in the early active phase of disease to outweigh this  
473 risk. Furthermore, given that demonstration of clinical improvement following a 2-week  
474 course of high-dose oral steroids was a key entry criterion, our results cannot be extrapolated  
475 to infer the value of radiotherapy or azathioprine in patients with steroid refractory disease.  
476 Oral corticosteroid therapy was used in this study and given to all study participants as this  
477 was the standard of care in the study centres at the time of trial initiation and remains  
478 commonly prescribed in many regions of the world including North America (29).

479  
480 In summary, our results suggest that low-dose orbital radiotherapy confers no additional short  
481 or long-term treatment benefit when combined with a six-month reducing course of oral  
482 corticosteroids. Our findings with regard to azathioprine are less definitive, but taken together  
483 indicate that, if tolerated, azathioprine improves 48-week clinical outcomes in patients with  
484 active moderate-to-severe thyroid eye disease. This supports the use of long-term  
485 antiproliferative treatments in combination with systemic corticosteroids for the treatment of  
486 active moderate-to-severe thyroid eye disease, consistent with established practice in other  
487 autoimmune conditions.

488

489

490 **Table and figure headings**

491	Table 1	Characteristics of the 4 trial groups
492	Table 2	Intention to treat analysis Binary Composite Clinical Outcome Measure,
493		Ophthalmopathy Index and Change in Clinical Activity Score
494	Table 3	As per protocol analysis Binary Composite Clinical Outcome Measure,
495		Ophthalmopathy Index and Change in Clinical Activity Score
496		
497	Figure 1	Consort Diagram
498	Figure 2A	Odds ratio of having an improved Binary Composite Clinical Outcome
499		Measure score by treatment and duration in study
500	Figure 2B	Boxplot of Clinical Activity Score at baseline, week 12 and week 48 by
501		whether a participant was randomised to azathioprine
502	Figure 2C	Boxplot of Clinical Activity Score at baseline, week 12 and week 48 by
503		whether a participant was randomised to radiotherapy
504	Figure 3A	Kaplan Meier survival showing withdrawals from treatment (all reasons)
505	Figure 3B	Kaplan Meier survival showing withdrawals from treatment (side effects and
506		abnormal blood results)
507	Figure 3C	Kaplan Meier survival showing withdrawals from treatment (deterioration)
508		

509

510 **Acknowledgements**

511 The authors wish to thank all the participants involved in this study. We would also like to  
512 thank the Trial Steering Committee comprised of Professor Maarten Mourits, Professor John  
513 Lazarus, Professor John Sparrow; and the Data Monitoring Committee: Dr Roberto Melotti,  
514 Dr Irene Stratton, Professor John Forrester, Miss Gill Adams. The study was designed with  
515 the help of Dr Alan Montgomery (Department of Community Based Medicine, University of  
516 Bristol) and Dr Rosemary Greenwood (Research and Development Support Unit, United  
517 Bristol Healthcare Trust) who gave statistical support and advice on trial design. Research  
518 oversight was led on behalf of the Sponsor by Dr Birgit Whitman, Head of Research  
519 Governance, University of Bristol. This study was also supported by the National Institute for  
520 Health Research (NIHR) Biomedical Research Centre based at Moorfields Eye Hospital NHS  
521 Foundation Trust and UCL Institute of Ophthalmology, UK. The views expressed are those  
522 of the authors and not necessarily those of the National Health Service, the NIHR or the  
523 Department of Health.

524 We also wish to thank the following individuals for their assistance in this study:

525 University of the West of England, Centre for Appearance Research: Paul White (statistical  
526 support); Jane Murray, Emma Williams (data collection); Laura Kingston (data collection  
527 and administration)

528 University of Bristol and University Hospitals Bristol: Morag Adams, Freny Kalapesi, Dov  
529 Hersch, Annie Hinchcliffe, Sarah Smith, Helen McCarthy, Mel Watson, Sally Goodman ,  
530 Dorothy Griffiths, Sue Cowley, Sandra Hollinghurst.

531 Moorfields Eye Hospital and St Bartholomew's Hospital: Wen Xing, Emma Dawson, Kelly  
532 Mackenzie, Tarang Gupta, Tahrina Salam, Hannah Timlin and Morag Griffiths

533 University Hospital of Wales: Pranav Kumar, Anna Scholz and Praneet Bolusani

534 Gartnavel General Hospital: Maria Elena Gregory and Anna Tarantini

535

536

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538

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548 All authors approved the final manuscript.

549

550 **Declaration of Interest**

551 The authors report no declarations of interest

552

553 **References**

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640 *plastic and reconstructive surgery*. 2015;31(2):127-31.
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