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1	Modelling the role of material depletion, grain coarsening and revegetation
2	in debris flow occurrences after the 2008 Wenchuan earthquake
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11 Abstract

12 A large amount of debris was generated by the co-seismic mass wasting associated with the 13 2008 M_w 7.9 Wenchuan earthquake. The abundance of this loose material along the slopes 14 caused more frequent debris flows, triggered by less intense and/or shorter rainfalls. However, 15 both the triggering rainfall and the debris flow frequency seem to have normalised 16 progressively during the past decade. Although changes of rainfall thresholds for post-seismic 17 debris flows were recorded after several major earthquakes, the factors controlling these 18 changes remain poorly constrained. With the aid of a virtual experiment, we investigate the 19 roles of material depletion, grain coarsening and revegetation of the co-seismic debris on the 20 propagation and deposition of debris flows initiated by runoff, as well as their influence on the 21 triggering rainfall thresholds. We employ a Geographic Information System (GIS)-based 22 simulation of debris flow initiation by runoff erosion, which we first calibrate on the 14th 23 August 2010 Hongchun gully event that occurred near the Wenchuan earthquake epicentre. We 24 obtain, by investigating each of the aforementioned processes, changing critical rainfall intensity-duration thresholds for given debris flow runout distances. Grain coarsening appears 25 26 to play a major role, which is consistent with published laboratory experiments, while material 27 depletion and revegetation do not seem able to account alone for the actual quick decay of 28 debris flow frequency. While the virtual experiment has proven useful in identifying the first-29 order controls on this decay, model improvements and verification over multiple catchments 30 are needed to make the results useful in hazard assessments.

31

32

Keywords: debris flow evolution; material depletion; grain coarsening; revegetation; rainfall thresholds; Wenchuan earthquake

33 **1. Introduction**

34 The 2008 M_w 7.9 Wenchuan earthquake (Sichuan, China) triggered a great number of co-seismic landslides (Fan et al., 2018b; Huang and Fan, 2013), many of which were later 35 36 remobilised into catastrophic debris flows triggered by rainfalls (Tang et al., 2011). A sharp 37 increase of the frequency of debris flows was observed soon after the earthquake (Domènech 38 et al., 2018; Fan et al., 2018b, 2018a, 2018c; Huang and Fan, 2013) in combination with a 39 reduction of the debris flow-triggering rainfall thresholds (Guo et al., 2016b, 2016a). However, 40 debris flows frequency and rainfall thresholds in the Wenchuan earthquake-struck area seem 41 to have normalised already (Zhang and Zhang, 2017), following a decay similar to that 42 observed in other mountainous regions hit by strong earthquakes (Hovius et al., 2011; Marc et 43 al., 2015).

44 Kean et al. (2013) grouped the debris flows initiated by runoff into two categories: mass 45 failure of the channel sediment by sliding along a discrete failure plane and grain-by-grain 46 bulking by hydrodynamic forces (runoff erosion). Investigations carried out so far, and discussed in the following paragraphs, suggest that the evolution of debris flow activity is 47 48 strongly controlled by: (1) the depletion of the erodible material by successive landsliding (e.g., 49 Saito et al., 2014; Zhang and Zhang, 2017); (2) grain coarsening, that increases the hydraulic 50 conductivity, favouring water drainage and limiting bed entrainment (e.g., Abancó and 51 Hürlimann, 2014; Cuomo et al., 2016; Hu et al., 2017; Zhang and Zhang, 2017) and (3) 52 revegetation, that reduces the soil erodibility, increases its shear strength and its infiltration 53 capacity (e.g., Hales, 2018; Reubens et al., 2007; Schwarz et al., 2010; Zhu and Zhang, 2016).

54 Depletion of the hillslope material is a primary cause of decreasing debris flow volumes 55 under a given hydrological forcing (Saito et al., 2014), which has been observed in the 56 Wenchuan earthquake-affected area also through the decreasing of runout distances and deposition widths over time (Zhang and Zhang, 2017), and has been reproduced by numerical simulations of debris flows (van Asch et al., 2014). These led the authors to conclude that rainfall thresholds increase after successive rain events as a result of a depletion of erodible material in the channels. Nevertheless, the frequency of debris flows decreased significantly in the Wenchuan earthquake-affected area even though most of the co-seismic debris is still in place (Domènech et al., 2018; Fan et al., 2018c).

63 The preferential washing away of the finest particles and the consequent progressive coarsening of the debris flow material observed in the Wenchuan earthquake-affected area 64 65 (Chen et al., 2014) has been linked with the decreasing runout and deposition distances (Zhang et al., 2013; Zhang and Zhang, 2017) as soil erodibility decreased progressively (Chang et al., 66 67 2011). Experiments on artificial instrumented slopes demonstrated the controlling role of soil 68 grading and, particularly, of that of the smallest particles in the initiation and kinematics of 69 flow-like landslides (Hu et al., 2017; Wang and Sassa, 2003, 2001). Hu et al. (2017) found the internal erosion of the smallest soil fraction and its effect on the hydraulic conductivity $(k_s,$ 70 m/s) to be a critical factor in the nucleation and development of instability that leads to flow-71 72 like landslides in loose granular assemblies.

73 Field investigations (Julian and Torres, 2006; Zhu and Zhang, 2016), laboratory tests 74 (Mamo and Bubenzer, 2001) and numerical simulations (Shen et al., 2017) have been 75 conducted to analyse the effect of revegetation on soil erosion and slope stability. A significant 76 increase of the soil shear strength has been observed (e.g., Veylon et al., 2015; Waldron and 77 Dakkessian, 1981; Wu, 2013;), that leads to an increased stability. Shen et al. (2017) modelled 78 the effects of revegetation on hillslope erosion adopting the approach described by Zhu and Zhang (2016). They linked the changes of critical erosive shear stress (τ_c , kPa) and coefficient 79 of erodibility (k_d, kPa) with the revegetation using the Root Mass Density $(RMD, kg/m^3)$. This 80

quantity describes the ratio between the mass of dry roots and the mass of the root-permeateddry soil:

$$83 \quad RMD = \frac{M_R}{M_S} \tag{1}$$

84 where M_R (kg) is the dry mass of roots and M_s (kg) is the dry mass of the entire sample.

While independent studies investigated the effects of the aforementioned processes 85 individually, a comparative quantification of their role is lacking. By means of a virtual 86 87 experiment, here we analyse the role of material depletion, grain coarsening and revegetation 88 of the co-seismic debris at catchment scale. We compare their influence on the propagation and 89 deposition of debris flows, initiated by runoff, as well as on the rainfall thresholds. Even though 90 we use a site-specific setting as our baseline, we follow the input of Weiler and McDonnell 91 (2004, 2006), who proposed the use of virtual experiments for a systematic examination of the 92 first-order controls on complex and coupled hydro-mechanical processes. Virtual experiments, 93 defined as numerical experiments with a model driven by collective field intelligence, can allow 94 to assess the main and essential process constraints, whereas the irregular bedrock and surface 95 topography and the spatial variability in soil properties make the isolation of causes and effects 96 challenging in field studies (Weiler and McDonnell, 2006). A number of physically-based 97 models have been proposed to simulate rainfall-induced soil erosion, transportation and 98 deposition (Cuomo et al., 2015): the Water Erosion Prediction Project (WEPP) model (Nearing 99 et al., 1989), the Limburg Soil Erosion Model (LISEM; De Roo, 1996), the EUROpean Soil 100 Erosion Model (EUROSEM; Morgan et al., 1998), and the Erosion-Deposition Debris flow 101 Analysis (EDDA 1.0) model (Chen and Zhang, 2015), among others. In this parametric study, 102 aimed at identifying the first-order process constraints, a modified version of van Asch et al. 103 (2014)'s model, implemented in PCRaster GIS environment (Karssenberg et al., 2001), has 104 been chosen for its simplicity and ease to use and modify. An early version of this model was

applied by van Asch et al. (2014) to our study area, proving itself useful in reproducing the main features of the actual debris flow event, on which it was calibrated. In this work, we first re-calibrated the model on the 14th August 2010 debris flow event that occurred at Hongchun gully (Sichuan, China). Then, relations from the literature that characterise the three aforementioned processes are integrated into the model and used to simulate possible scenarios of evolutions of debris flow activity. The results are then discussed in terms of debris flow volumes and runout and of changes of the critical rainfall thresholds.

112 **2. Study area**

113 The Hongchun gully (103°30'21" E, 31°4'12" N) is a left-bank tributary of the upper 114 course of the River Min (Minjiang). Its outlet is located just upstream of the urban centre of 115 the town of Yingxiu, very close to the epicentre of the 2008 Wenchuan earthquake. (Fig. 1a). It subtends a catchment area of 5.35 km², with elevations ranging from 880 to 1700 m a.s.l. 116 (Fig. 1b). The bedrock is mainly composed of deeply fractured and highly weathered granitic 117 118 rock, Sinian pyroclastic rock, Carboniferous limestone and Triassic sandstone (Tang et al., 119 2011). The volume of co-seismic debris generated by the co-seismic mass wasting in the catchment can be quantified in about 9.3 x 10^6 m³. 120

On 14th August 2010 at 03:00 h, a large debris flow occurred in the gully (Fig. 1c). It 121 was preceded by 162.1 mm precipitation accumulated during 33 h (from 17:00 h on 12th August 122 to 02:00 h on 14th August, local time). During the hour prior to the debris flow initiation, a 123 124 rainfall intensity of 16.4 mm/h was recorded (Fig. 1d). The debris flow initiated in the erosive 125 rills on the co-seismic deposits in the upper reaches of the catchment, due to the overland flow 126 that progressively eroded the deposits and transported the debris into the gully (Tang et al., 127 2011). Eyewitnesses indicated that the largest surge moved between 03:00 h and 04:30 h. It resulted in a volume of about 7.11 x 10^5 m³ (Tang et al., 2011) forming a deposition fan at the 128

129 outlet of the catchment, with about $4 \times 10^5 \text{ m}^3$ reaching the River Min (Li et al., 2013), 130 obstructing its course and thus flooding the newly reconstructed Yingxiu town and causing 131 dozens of victims.



132

Figure 1. Study area and triggering rainfall. a) General view of the epicentral area of the Wenchuan earthquake and its location in Sichuan, China. The study area is indicated by a black square; b) map of the Hongchun gully displaying the co-seismic landslide deposits; c) aerial

photo taken on 15th August 2010 showing the depositional fan of the 14th August 2010 debris
flow; d) hourly and cumulative rainfall between 12th and 14th August 2010 recorded in Yingxiu.

139 **3. Data and methods**

140 3.1 Topography, co-seismic deposits and rainfall data

141 The model runs on a 10 m resolution Digital Elevation Model (DEM). Information on the landslide deposits was obtained from a detailed inventory compiled through polygon-based 142 143 visual interpretation of high-resolution satellite images and aerial photographs (Fig. 1b) 144 (Domènech et al., 2018; Fan et al., 2018c). A total of 202 co-seismic landslides were identified 145 in the study area. The average depth of the deposits of co-seismic debris (d, m) was estimated for each mapped area using the empirical relationship proposed by Tang et al. (2011), 146 calibrated through the analysis of 62 deposits of various size in Hongchun gully and in the 147 148 nearby Shaofang gully:

149
$$d = 1.2 \ln S_L - 5.6$$
 (2)

where S_L (m²) is the individual landslide area. *d* is thus estimated to range from 0.4 to 8.6 m, with an average value of 4 m. It results in a range of volumes of the individual deposits between 59 m³ and over 1.2×10^6 m³. The total volume results approximately equal to 9.1×10^6 m³.

Rainfall data with hourly resolution were retrieved from a rain gauge installed in
Yingxiu. It is located at 800 m a.s.l., 600 m from the Hongchun gully outlet (Fig. 1d).

155 3.2 Model description

156 In the model, erosion by runoff occurs when the bed shear stress (τ , kPa) is larger than 157 the critical erosive shear stress at initiation of soil erosion (τ_c , kPa), and the volumetric 158 concentration of solids in the debris flow (C_v) is smaller than an equilibrium value $(C_{v\infty})$. We 159 use the expression for the latter as proposed by Takahashi et al. (1992):

160
$$C_{V\infty} = \frac{\rho_w tan\theta}{(\rho_s - \rho_w)(tan\phi_{bed} - tan\theta)}$$
(3)

161 where ρ_w (kg/m³) is the density of water, ρ_s (kg/m³) is the density of the solids, ϕ_{bed} 162 (°) is the internal friction angle of the bed material and θ (°) is the slope angle. The erosion rate 163 can be expressed as (Takahashi et al., 1992):

164
$$i = \delta_e \frac{a_c}{d_L} U = \delta_e \frac{C_{V\infty} - C_V}{C_{V*} - C_{V\infty}} \frac{q_t}{d_L}$$
(4)

165 where δ_e is a non-dimensional coefficient of erosion rate that has been obtained 166 through back-analysis, a_c (m) is the depth within the sediment layer where $\tau_c = \tau$, d_L is 167 assumed to be the same as that of the source material of the debris flow, U (m/s) is the sectional 168 mean velocity of the flow, C_{v*} is the volumetric fraction of solids in the erodible bed and q_t 169 (m²/s) is the total discharge of the sum of sediment and water per unit width expressed as (van 170 Asch et al., 2014):

171
$$q_t = (H_s + H_w)V = (H_s + h_r T_s)V$$
 (5)

172 where H_s (m) is the equivalent height of solids, H_w (m) is the equivalent height of water, 173 V (m/s) is the flow velocity, and T_s (s) is the time step duration. h_r is calculated using a simple-174 lumped infiltration model that ignores the effect of the initial moisture content and sorpetivity 175 of the soil (van Asch et al., 2014):

176
$$h_r = (r - k_s)$$
 (6)

177 where r (m/s) is the rain intensity.

The solid materials of a debris flow begin to deposit when *V* is smaller than a critical flow velocity (V_e , m/s), and at the same time C_v is larger than $C_{v\infty}$. We use the V_e proposed by Takahashi et al. (1992):

181
$$V_e = \frac{2}{5d_L} \left(\frac{gsin\theta_e \rho}{0.02\rho_s}\right)^{0.5} \lambda^{-1} h^{1.5}$$
(7)

182 where g (m/s²) is the gravity acceleration, h (m) is the flow height, θ_e (°) is the flattest 183 slope on which a debris flow that comes down through the change in slope does not stop, and 184 ρ (kg/m³) is the bulk density of the debris flow. θ_e and ρ are defined as:

185
$$\theta_e = atan\left(\frac{C_v(\rho_s - \rho_w)tan\phi_{bed}}{C_v(\rho_s - \rho_w) + \rho_w}\right)$$
(8)

186
$$\rho = C_v (\rho_s - \rho_w) + \rho_w \tag{9}$$

187 Moreover:

188
$$\lambda^{-1} = \left(\frac{C_{\nu*}}{C_{\nu}}\right)^{1/3} - 1$$
 (10)

189 The deposition rate (i, m/s) can be expressed as (Takahashi et al., 1992):

$$190 i = \delta_d \left(1 - \frac{V}{pV_e} \right) \frac{c_{V\infty} - c_V}{c_{V*}} V (11)$$

191 where δ_d is a non-dimensional coefficient of deposition rate obtained through back-192 analysis and p(<1) is a non-dimensional coefficient to describe the initiation of the depositing 193 process. A value of 0.67 for the latter is recommended by Takahashi et al. (1992).

Assuming turbulent flow conditions, which seem likely in steep and rough channels (Montgomery and Buffington, 1997), *V* is calculated using the Manning's equation when C_v is below an arbitrarily chosen limit of 0.4 (van Asch et al., 2014).

197
$$V = \frac{h^{2/3} \sin^{1/2}}{n}$$
(12)

198 where $n \,(\text{m}^{1/3}/\text{s})$ is the Manning's number equal to 0.04 (van Asch et al., 2014). For $C_v >$ 199 0.4 (van Asch et al., 2014), a simple equation of motion is used:

$$200 \quad \frac{\partial V}{\partial t} = g\left(\sin\theta\,\cos\theta - k\tan\theta - S_f\right) \tag{13}$$

201 where *k* is the lateral pressure coefficient (taken equal to 1; van Asch et al. (2014), and 202 S_f is a resistant factor depending on the rheology of the flow:

203
$$S_f = \cos^2\theta \tan\varphi' + \frac{1}{\rho gh} \left(\frac{3}{2} \tau_c + \frac{3\mu}{h} V \right)$$
(14)

204 where φ' (°) is the apparent friction angle of the flow for a certain pore water pressure, 205 and μ (kPa·s) is its dynamic viscosity.

206 3.3 Model calibration

The model simulates the initiation of debris flow by surface runoff. It is an improved version of the model written by van Asch et al. (2014). δ_e , and k_s were calibrated by back analysis to match the volume and shape (by visual estimation and matching degree (Fan et al., 2018a) of the 14th August 2010 debris flow fan deposit at the outlet of the catchment, and the time that the debris flow reached the River Min (as reported in Tang et al., 2011). In the model, the River Min was assumed to be flowing below 895 m a.s.l. (Ouyang et al., 2015).

213 3.4 Effects of material depletion, grain coarsening and revegetation

In order to analyse the effect of the decreasing availability of erodible material due to successive debris flows events in the catchment, the parameters calibrated through back analysis were kept unchanged, while the output of one simulation was used as the input for the next simulation. For simplicity, and to eliminate the effect of rainfall variability, we kept using the 14th August rainfall pattern in all simulations. We repeated the simulations until the runofferoded material was insufficient to generate a debris flow that reached the outlet of the catchment.

221 Grain coarsening was accounted for in the model by increasing the mean diameter of 222 the solid grains (d_{50}) and, consequently, the k_s of the granular assembly. As a matter of fact, 223 research carried out in the Wenchuan earthquake-affected area (Chen et al., 2014; Zhang et al., 224 2014; Zhang and Zhang, 2017) indicates that actual successive debris flows events were 225 characterised by increasingly coarser material due to the preferential loss of the finest particles. 226 Evidence of this was provided experimentally by Hu et al. (2017) on artificial slopes. For loose 227 granular slopes prepared at a given relative density, the authors evaluated significant changes of k_s and d_{50} in dependence of the progressing erosion of the granular fraction that can be 228 229 transported by seepage through the soil pores. In this research, the model calibration was 230 performed using d_{50} resulting from the highest percentage of small particles (dimension 231 smaller than 0.5 mm). For the successive simulations, d_{50} was increased to account for the 232 decreasing proportion of small erodible particles, until they were completely washed away. In 233 parallel, k_s increases due to the increasing pore size and pore network connectivity. To reproduce this, we associated to each d_{50} a value of k_s following the trend observed by Hu et 234 235 al. (2018, 2017). The different values of d_{50} and k_s were later compared and discussed with 236 those obtained from other studies performed in the study area. For all simulations, we used 237 each time the input layer containing the full amount of co-seismic material.

238 Regarding the revegetation effect, Zhu and Zhang (2016) simulated the process by 239 increasing τ_c , and decreasing k_d :

$$240 \quad i = k_d (\tau - \tau_c) \tag{15}$$

241 Changes of τ_c are introduced in eq. 14 accordingly.

To quantify the effect of the revegetation, we used the results obtained by Shen et al. (2017) in the Xiaojiagou Ravine, 5 km away from our study area. The authors quantified the revegetation on a hillslope in the years 2010, 2013 and 2015 using the *RMD* (Zhu and Zhang, 2016). Then, they related the changes of *RMD* with those of τ_c and k_d using the empirical relationships proposed by Zhang et al. (2013) and Zhu and Zhang (2016), and considering the 2010 condition as that of a bare slope:

248
$$au_c^{coeff} = \frac{\tau_c for \ a \ given \ RMD}{\tau_c for \ a \ bare \ slope}$$
 (16)

249
$$k_d^{coeff} = \frac{k_d for \ a \ given \ RMD}{k_d for \ a \ bare \ slope}$$
 (17)

Shen et al. (2017) found an increase of *RMD* by 0.16% in 2013 (mid-level revegetation) and of 0.4% in 2015 (high-level revegetation). For 2013, this was translated into an increase of τ_c by 80% and a decrease of k_d by 40%. In 2015, the increment of τ_c was of 140% and a decrease of k_d by 60% compared to 2010.

254 **3.5** Assessment of the changing rainfall thresholds

A parametric analysis was conducted to analyse the influence of material depletion, grain coarsening and revegetation on the critical rainfall in terms of intensity-duration (ID) thresholds. Taking the result of the calibrated model as the initial condition, the evolution of the ID curves was analysed, separately, for each process. For instance, the ID curves for the years 2010, 2013 and 2015 were calculated to analyse the effect of the revegetation. Each curve refers to the amount of rainfall, within a given period of time, necessary to generate a debris flow by runoff erosion that reaches the outlet of the gully. 262 **4. Results**

263 4.1 Model calibration

264 The best-fit model parameters used during the calibration at Hongchun gully are listed in Table 1. d_{50} , $\rho_s C_{v*}$, ϕ_{bed} , τ_c , δ_d , μ and n have been taken from the literature as specified 265 below. On the other hand, δ_e , k_s were calibrated by back analysis. Assuming a high proportion 266 267 of small particle content in the co-seismic deposits of the Wenchuan earthquake (between 2% 268 and 26% (Wang et al., 2017), the grain size distribution obtained by Hu et al. (2017) in a co-269 seismic deposit from Wenjia gully, which range from 0.1 to 22% of small particle content, has 270 been used. Therefore, a d_{50} of 1.9 mm of the source material that corresponds to the maximum 271 percentage of small particle contents (22%), i.e. the co-seismic situation before the erosion started, was chosen. It is of the same order of magnitude as the d_{50} obtained by Zhang et al. 272 (2014) in the 24th June 2008 debris flow events occurred in Pubugou Ravine (0.7 mm), which 273 274 is approximately 5 km away from Hongchun gully with a similar geology mainly composed of igneous rocks such as granodiorite and diorite and quaternary deposits. C_{v*} and ϕ_{bed} were 275 276 chosen equal to 0.65 and 35°, respectively. The first one is based on the flume experiments 277 carried out by Takahashi et al. (1992) and later used by Chen and Zhang (2015) and Shen et al. 278 (2017) during their simulations. Shen et al. (2017) obtained the ϕ_{bed} from field and laboratory 279 tests carried out in the Xiaojiagou Ravine, located beside Pubugou Ravine, at 6.0 km from Hongchun gully and composed of igneous rocks as well. δ_d was chosen based on the results 280 obtained by van Asch et al. (2014) which is equal to 0.0001. Both τ_c and μ are based on the 281 282 results of simulations carried out in Hongchun gully (Ouyang et al., 2015) and Shuida gully 283 (van Asch et al., 2014), with 1 kPa and 1 kPa-s. Regarding δ_e and k_s , a sensitivity analysis has 284 been carried out to check their influence (Fig. 2). Results for three different values of δ_e (0.01, 0.1 and 1) and k_s (0.0015 m/h, 0.003 m/h and 0.006 m/h) are presented in Fig. 2a-c and Fig. 285 286 2d-f, respectively. It can be seen as the amount of debris flows generated and its velocity of the flow increases for higher values of δ_e . Conversely, for higher values of k_s the generated volume of debris flow and its velocity decreases. Considering the volume of debris flow at the depositional fan (red dot), calculated by Tang et al. (2011) from field investigations, and the time of arrival of the main event at the river (dashed red line), described also by Tang et al.

291 (2011), the best fit has been found to be with $\delta_e = 0.1$ and $k_s = 0.003$ m/h.

292

293 Table 1. Parameters used during the calibration of the 14th August 2010 debris flow event

in Hongchun gully. d_{50} , $\rho_s C_{\nu*}$, ϕ_{bed} , τ_c , δ_d , μ and n have been taken from the literature. On

295 the other hand, δ_e , k_s were calibrated by back analysis.

d_{50}	ρ_w	ρ_s	C_{v^*}	$oldsymbol{\phi}_{bed}$	$ au_c$	δ_{e}	$\boldsymbol{\delta}_{d}$	k _s	μ	n
(mm)	(kg/m ³)	(kg/m ³)		(°)	(kPa)			(m/h)	(kPa⋅s)	
1.9	1000	2600	0.65	35	1	0.1	0.0001	0.003	1	0.04

 d_{50} = mean grain size; ρ_w = density of water; ρ_s = density of solid particles; Cv^* = volume fraction of solids in the erodible bed; ϕ_{bed} = friction angle of soil; τ_c = yield strength; δ_e = coefficient of erosion rate; k_s = soil infiltration capacity; μ = dynamic viscosity; n = Manning's number



Figure 2. Temporal evolution of the calculated volume at the depositional fan (dashed black line) and part of the deposit that reached the river (black line) for the 14th August 2010 event in Hongchun gully using PCRaster. The debris flow simulation started on 13th August 2010 at 14:00 h and lasted for 24 h. Coefficient of erosion rate $\delta_e = 0.01$ (a), $\delta_e = 0.1$ (b), $\delta_e = 1$ (c). Soil infiltration capacity $k_s = 0.0015$ (d), $k_s = 0.003$ (e), $k_s = 0.006$ (f). The red dot indicates the volume estimated by Tang et al. (2011) in the depositional fan. The time of arrival of the main debris flow is indicated by a dashed red line (03:00 am, Tang et al., 2011).

306 The results of the calibrated model are presented in Fig. 3. The debris flow event simulation started from 13th August 2010 at 14:00 h and lasted for 24 h. The code is able to 307 308 reproduce the deposition of the debris flow, mostly accumulated along the main channel and 309 at the outlet of the catchment, blocking the River Min (Fig. 3a). The simulated debris flow fan has an area of 113,280 m², which is larger than the 75,740 m² mapped from observations made 310 by Tang et al. (2011) (depositional fan in Fig. 3a) representing a matching degree (Fan et al., 311 312 2018a) of 0.67. This mismatch could be partly due to the fact that the mapping has been done 313 using an aerial image that prevents the identification of some parts of the fan submerged into 314 the river and that the picture was taken one day after the event, being some material from the 315 fan already eroded. Actually, the part of the simulated deposit that does not match with the 316 field mapping (Tang et al., 2011) is the one located downstream with a maximum flow height 317 between 1 and 4 m. With this height, the material flooded into the River Min is submerged and 318 thus the area of the fan mapped in the field is underestimated. On the other hand, it also could 319 be due to the fact that the model is not able to reproduce, exactly, the spreading of the 320 depositional fan as it was already observed by van Asch et al. (2014). The simulated debris flow reached the river 14 hours after the initiation of the simulation, i.e., around 14th August 321 322 2010 at 04:00 h (Fig. 3b). It represents one hour of delay regarding the observations made by 323 the eyewitness who indicated that the most important debris flows started around 03:00 h (Tang 324 et al., 2011). This delay could be due to the failure of a debris dam upstream in the Hongchun gully, that the code is not able to simulate, and which increased the flow discharge, the 325 326 transported debris volume (Tang et al., 2011) and consequently, its velocity and capacity of 327 erosion. This effect was already observed during the calibration of the model when increasing 328 the non-dimensional coefficient of erosion rate (Fig. 2a-c). The total volume simulated on the depositional fan is about 6.5 x 10^5 m³ from which, about 5.7 x 10^5 m³ reached the river with a 329

330 maximum thickness of 17 m (Fig. 3b). There is an underestimation of the material deposited in the fan of about 9% with respect to the one mapped by Tang et al. (2011), i.e. $7.11 \times 10^5 \text{ m}^3$. 331 332 The difference could be a result of other processes observed during the debris flow propagation. 333 As a matter of fact, entrainment, collapses of the sidewalls, channel damming and breaching can enhance the debris flow volume (Chen et al., 2006; Hu et al., 2016) but cannot be accounted 334 335 for in our simplified model. Nevertheless, the 9% of difference indicates that they were not 336 very relevant in this case and our model is able to reproduce the amount of material transported 337 at the depositional fan satisfactorily.



338

Figure 3. Best simulation of the 14th August 2010 debris flow event at Hongchun gully using PCRaster: (a) General view of the calculated flow height and zoom in of the depositional fan at the outlet of the catchment. Parameters used in the simulation are described in Table 1. (b) Temporal evolution of the calculated volume at the depositional fan (dashed black line) and part of the deposit that reached the river (black line). The red dot indicates the volume (Tang et al., 2011) in the depositional fan. The time of arrival of the main debris flows at the river is indicated with a dashed red line (3:00 am, Tang et al., 2011).

349 Regarding the material depletion, the volumes of debris flow triggered in 5 successive 350 simulations, accounting for the erosion of the co-seismic deposits after each simulation, are 351 presented in Fig. 4a. The largest events were generated during the first three simulations, where 648,431 m³, 631,560 m³ and 609,605 m³ were deposited at the depositional fan, consecutively. 352 353 Then, for the following two simulations, the eroded material decreased dramatically until no 354 erosion occurred during the fifth simulation. In general, most of the erosion was given in the 355 main channels where a larger amount of accumulated water is present (Fig. 5). The amount of 356 material evacuated from the catchment after four simulations represents only the 25% of the 357 total co-seismic landslides triggered by the earthquake (Table 2). Therefore, there is still a 75% 358 of material remaining along the hillslopes that is not mobilized as debris flow under the chosen 359 input rainfall event. In this case, since the erosion is mostly given in the main channels, once 360 the material has been washed away, the runoff in the remaining deposits is not enough to generate a debris flow. However, in other settings (e.g. Zhang and Zhang, 2017), it is likely 361 362 that the erosion of the debris deposits toes that are located in steep slopes induce an instability 363 in the whole deposit, providing additional material to the main channel that could enlarge the 364 final total volume or contribute to the next simulation.

365

366 **Table 2.** Results obtained during the simulation of the material depletion. Each simulation was 367 computed using the remaining material in the loose deposits that was not eroded in the previous 368 one. The debris flow at the depositional fan and the accumulated loose material evacuated from 369 the catchment after each simulation are listed.

Simulation	Volume of depositional fan	Accumulated material evacuated from the
number	(m ³)	catchment (%)
1	648,431	7
2	631,560	14
3	609,605	20
4	438,108	25
5	0	25



371

Figure 4. Evolution of the simulated debris flow volumes that reach the outlet of Hongchun gully for each process: a) material depletion after each simulation (Table 2). The available erodible material before each simulation is represented; b) grain coarsening in terms of small particles content (Table 3); c) revegetation for a given RMD (Table 4). The corresponding year for each RMD, according to Shen et al. (2017), is also shown.





Figure 5. Simulated evolution of the co-seismic deposits due to material depletion. Initial thickness (in meters) of the co-seismic landslide deposits before simulating the 14th August 2010 debris flow event in Hongchun gully (a). Non-eroded material after four simulations using the calibrated parameters in PCRaster (Table 1) and using the remaining material of the previous simulations as input for the following one (b).

383

The influence of the grain coarsening is shown in Fig. 4b. With the decreasing of the small particles content (dimension smaller than 0.5 mm), and consequent increase of d_{50} , and k_s (Fig. 6), there is a reduction of the total volume of debris flow. From a content of small particles of 22% to a content of 18%, the simulated volume at the depositional fan decreases from 648,431 m³ to 602,556 m³ (Table 3). With the content decreasing to 16%, 14% and 12%, the volume decrease becomes more pronounced, down to a minimum amount of just 51,511 390 m³. For lower contents of small particles, erosion of the co-seismic deposits does not occur at 391 all in our test conditions. These results reveal the prime control of the small particles content 392 on the hillslope erosion. This is in agreement with the experimental results presented by Hu et 393 al. (2017), who suggested that the small particles play an important role in the initiation and 394 runout of debris flows. In this regard, a high content of small particles may be the key to the 395 generation and the sustainment of large positive pore pressure excess, which is a key 396 contributor to the initiation and runout of debris flow (Iverson et al., 1997). However, the 397 numerical approach used in this research focuses on the initiation of debris flows by runoff 398 erosion, while it does not account for the generation of pore water pressures directly. Thus, it 399 cannot offer an explicit simulation of the internal instability phenomena triggered by the 400 reduction of the available shear strength upon reduction of suction, saturation and generation 401 of positive pore water pressures (Fredlund and Rahardjo, 1993). The decreasing of the small 402 soil fraction, and the consequent increasing of d_{50} and k_s is translated into a reduction of *i* (eq. 403 4) and of h_r (eq. 6). Conceptually, the increase of k_s hinders the generation of excess of rain 404 and the consequent runoff with sufficient capacity of erosion. On the other hand, the larger the 405 d_{50} the higher the energy (runoff) necessary to destabilize the sediment layer is. In terms of 406 time, the rate at which the grain coarsening proceeds should mostly depend on the rain and on the debris flow events that wash away the smaller particles. In this research, the d_{50} 407 408 corresponding to the exhaustion of fine particles is 3.5 mm. According to the observations 409 made by Zhang et al. (2014) in the Pubugou Ravine, this mean grain size is in the range between 410 the debris flow occurred in 2008 and 2010 suggesting that: 1) the washing away of fine particles 411 in the Wenchuan earthquake-affected area is a rapid process that might be completed in less 412 than two years, and 2) this process produces an increase of the critical rainfall thresholds after this period of time (Guo et al., 2016a; Yu et al., 2014; Zhou and Tang, 2014). 413

415 **Table 3.** d_{50} and k_s used for each small particle content (dimension smaller than 0.5 mm) (Fig.

			Volume of
Small particle	d 50	k _s	depositional
content (%)	(mm)	(m/h)	fan (m ³)
22	1.9	0.003	648,431
18	2.3	0.003	602,556
16	2.4	0.004	447,907
14	2.5	0.005	289,602
12	2.7	0.007	51,511
9	2.9	0.008	0
6	3.1	0.009	0
4	3.2	0.010	0
2	3.3	0.012	0
0	3.5	0.018	0

416 5). The resulting simulated volume at the depositional fan is shown.

417



Figure 6. Relationship between the content of small particles (dimension smaller than 0.5 mm), k_s (at a given relative density (Hu et al., 2018)) and d_{50} : this relationship has been used to simulate the effect of the grain coarsening (Fig. 4b).

423 The effects of the vegetation restoration over time (2010, 2013 and 2015) are shown in 424 Fig. 4c. There is a decrease of the calculated total volume of debris flows. From 2010 to 2013 425 the decrease is of about 27% which is higher than that from 2013 to 2015 (6%). The reason 426 relies on the higher increment of τ_c and decrease of δ_e from 2010 to 2013 than that from 2013 427 to 2015 (Table 4). Nevertheless, the results indicate that although the vegetation restoration is 428 reducing the hillslopes erosion, the calculated debris flow volume is still considerable with 429 459,765 m³ of material that reach the depositional fan in 2015. This may be related to the fact 430 that the arboreal revegetation is a slow processes and in 2015 the vegetation has not fully 431 recovered to the pre-seismic levels (Yang et al., 2018). At this point, it is important to stress 432 that these results are based on the values obtained by Shen et al. (2017) in another area which is close to Hongchun gully. Nevertheless, the entire gully may not follow the same history of 433 434 the study area analysed by Shen et al. (2017) as the revegetation can proceed at different rates 435 depending on terrain conditions such as aspect, slope, soil type, etc. Furthermore, vegetation 436 restoration takes place only where landslide remobilisations no longer occur or slope 437 movements are very low (e.g. creep deformation). In other words, if substantial remobilisations 438 are observed via satellite imagery during the period 2010-2015, these slopes cannot be 439 considered with the same degree of vegetation as the dormant ones during the analysis of 2015. 440 Hence, these results must be taken only as a first approach that indicates the potential of the 441 revegetation in mitigating the hillslope erosion.

442

443 **Table 4.** δ_e and τ_c used to reproduce the effect of the revegetation. The values refer to the co-444 seismic deposits in the years 2010, 2013 and 2015 according to the *RMD* obtained by Shen et 445 al. (2017). The simulated debris flow at the depositional fan is listed.

			Volume of	
			depositional	
<i>RMD</i> (%)	δ_{e}	$\tau_c (kPa)$	fan (m ³)	
0	0.10	1.0	648,431	
0.16	0.06	1.8	483,520	
0.40	0.04	2.4	459,765	
	<i>RMD</i> (%) 0 0.16 0.40	RMD (%) δ _e 0 0.10 0.16 0.06 0.40 0.04	<i>RMD</i> (%) δ _e τ _c (kPa) 0 0.10 1.0 0.16 0.06 1.8 0.40 0.04 2.4	

446

In summary, among the three analysed processes, grain coarsening of the loose deposits is the factor that reduces the hillslope erosion the most, and hence limits the consequent generation of debris flows in the short term (from 2008 to 2015).

450 4.3 Influence of material depletion, grain coarsening and revegetation on the critical rainfall 451 thresholds

452 The changes on the critical rainfall threshold as a consequence of material depletion, 453 grain coarsening and vegetation restoration have been calculated by a power law (Fig. 7):

$$454 \quad I = \alpha D^{-\beta} \tag{18}$$

455 where *I* (mm/h) is the intensity of a rainfall event of a duration *D* (h) from the beginning until 456 the occurrence of the debris flow and α and β are constants.

457 The curves have been built by interpolating simulated rainfall events, with a given 458 intensity and duration, and considering whether they produced a debris flow at the depositional 459 fan or not. The effect of the antecedent rainfall has not been considered in the analysis directly: it can influence the initial moisture content, especially for the short and intense events just 460 461 before the triggering rain (some hours to 1 day), and thus the critical threshold curves (van 462 Asch et al., 2014). Furthermore, the antecedent rainfall does not play an important role for high 463 intensity rains triggering debris flow by runoff. Additionally, the antecedent rainfall that 464 occurred in Hongchun gully within the last 24 hours preceding the debris flow was relatively 465 small (see Fig. 1).

466 The changes on the critical rainfall threshold as a consequence of the material depletion 467 are shown in Fig. 7a. There is a shift of the ID curve after two simulations due to the depletion 468 of material in the main channels. However, for the further simulations, the critical rainfall to 469 generate sufficient runoff for a given runout distance until the outlet of the catchment cannot be calculated because of the lack of material to be eroded. After four simulations, the 470 471 exhaustion of most of this material prevents the generation of debris flow until the depositional 472 fan. As mentioned earlier, this effect is partly a consequence of the limitations of the code as a 473 strong erosion at the toe of the co-seismic landslides at the main channels would lead to their 474 collapse bringing additional material for the next events.

475 Conversely, the effects of the grain coarsening on the rainfall thresholds are much more 476 evident (Fig. 7b). As expected, the critical rainfall threshold increases with the decreasing of 477 the content of small particles. In other words, the fines of the co-seismic deposits are washed 478 away, over time, and the rainfall necessary to generate sufficient runoff increases. From 22% 479 to 2% of small particle content, we observe a gradual increase of the critical rainfall threshold. 480 This increase is even more accentuated between 2% and 0.1%. This large increase, which 481 relates to the corresponding large increase of k_s (Fig 6), reveals that the runoff erosion is very 482 sensitive to small changes of the small particles content where this content is very low.

483 For the revegetation of the co-seismic deposits, the evolution of the ID curve is shown 484 in Fig. 7c. The lowest critical rainfall threshold is given for the bare ground case (2010) and it 485 increases as the vegetation colonises the loose deposits in 2013 (mid-level revegetation) and 486 2015 (high-level revegetation). This increment is more evident from 2010 to 2013 where the 487 differences between δ_e and τ_c are more significant than between 2013 and 2015. On the one 488 hand, the period from 2010 to 2013 comprises one year more than the 2013-2015, thus the time 489 allowed for the vegetation to recover is longer. On the other hand, in the revegetation analysis 490 carried out by Yang et al. (2018) in the Wenchuan earthquake-affected area from 2008 to 2015,

the vegetation recovery trend tends to slow down for the years 2014 and 2015, which would





Figure 7. Evolution of the rainfall thresholds for debris flows with deposition at the outlet of Hongchun gully as a consequence of: (a) material depletion of the co-seismic deposits; (b) grain size coarsening of the co-seismic deposits. The grain size evolution has been quantified in terms of percentage of small particle content (dimension smaller than 0.5 mm) (from 22% to 0.1%); (c) revegetation of the co-seismic deposits. The equation of the best-fitted power law and its coefficient of determination are shown for the lowest and highest rainfall threshold of each process.

502 The values of the ID threshold constant α (eq. 18) found for the three analysed 503 parameters range from 65 to 90 and β from -0.86 to -0.555. They fit with those calculated by 504 van Asch et al. (2014) in Wenjia ($\alpha = 62$; $\beta = -0.705$) and Shuida gullies ($\alpha = 83$; $\beta = -0.71$) 505 during the events that occurred between September 2008 and 2010, and during August 2010, 506 respectively. Conversely, α values are much higher than the ones obtained by other authors at 507 regional scale indicating that the mean rainfall intensity required is higher. Exponent values, 508 which define the variation of the rainfall intensity threshold towards higher rainfall durations, 509 remain in the same order of magnitude: Guo et al. (2016b) found that the threshold increased annually from $I = 5.46D^{-0.75}$ in 2008 to $I = 17.14D^{-0.75}$ in 2013 for rainfall durations of 1 510 511 to 135 h after analysing data for 252 rainfall-induced debris flows in the Wenchuan earthquake-512 affected area. The upper limit of rainfall conditions that did not trigger debris flows was determined as $I = 45.91D^{-0.63}$. Guo et al. (2016b) proposed an ID threshold for the Wenchuan 513 earthquake-affected area as $I = 4.2D^{-0.62}$ (2 h < D < 56 h) for the post-earthquake debris flow 514 events and $I = 11.8D^{-0.87}$ (2 h < D < 56 h) for debris flow during the period of 2009-2013. 515 On the other hand, Ma et al. (2017) obtained $I = 41D^{-0.33}$ for Dujiangyan and $I = 15.2D^{-0.8}$ 516 and $I = 26D^{-0.7}$ for Yingxiu. In contrast with the study presented here and the one performed 517 518 by van Asch et al. (2014), which correspond to two large events with large triggering rainfalls, 519 the ID thresholds calculated at regional scale are usually defined by the lowest triggering 520 rainfall (Guo et al., 2016b) being mandatory the smallest debris flow events that require the 521 smallest amount of rainfall. Furthermore, due to the high temporal and spatial variability of 522 rainfalls in mountainous areas, it is difficult to determine the exact triggering rainfall event, 523 which is commonly underestimated (Abancó et al., 2016; Nikolopoulos et al., 2014).

524 **5. Discussion and conclusion**

We used an improved version of the code written by van Asch et al. (2014) in PCRaster environmental modelling language (Karssenberg et al., 2001) to analyse the influence of material depletion, grain coarsening and revegetation of the co-seismic deposits on the triggering condition and characteristics of runoff-generated debris flows. We calibrated the model on the 14th August 2010 debris flow event that occurred in Hongchun gully and ran it parametrically in the same catchment.

Grain coarsening has been found to be the most limiting factor for the generation of 531 532 debris flows, as progressive grain coarsening and the related increase of hydraulic conductivity 533 produce a significant increase of the critical rainfall thresholds. Field observations suggested 534 that the wash-away of the finest soil fraction can be a rather quick process that occurs over just 535 a few years (Zhang et al., 2014) and during a few consecutive debris flows occurring in the 536 same area. This hinders the generation of additional debris flows even though most of the co-537 seismic debris remains in place. On the other hand, our quantification of the influence of the 538 material depletion might be biased by the abundance of co-seismic debris in the selected study 539 area. It also might be underestimated because of limitations of the code, which lacks the 540 modelling of sediment supply from further slope instabilities and entrainment of bed material. 541 Revegetation of the co-seismic deposits seems to have a little influence on debris flow occurrence in the short term, as large increases in soil strength seem only achievable by 542 543 extensive root systems that take several years to develop. However, it also influences hydraulic 544 properties of the soil, and this was not accounted for in this study.

The modelling approach is affected by several limitations, some of which are intrinsic to the simplified nature of numerical approaches in general. The initiation of debris flow by runoff is an underlying hypothesis of the study, made to limit the number of variables and focus

548 on the relative importance of the investigated processes. Obviously, initiation by runoff is not 549 granted in other areas and in time, as it depends on the nature and state of the debris and bed 550 material, its degree of saturation, its water retention behaviour, and its (evolving) hydraulic 551 conductivity (Cuomo and Della Sala, 2013). Moreover, the relatively small size of the study area, including only one catchment, challenges the representativeness of the results for the 552 553 much wider Wenchuan earthquake-affected region. However, it is apparent that the modelling 554 approach, regarded as a conceptual, parametric, virtual experiment has been able to identify 555 and rank the first-order controls on the post-earthquake evolution of runoff-generated debris 556 flow occurrence and characteristics in a way consistent with observations and with 557 experimental results from the literature. The approach can be considered as a prototype study 558 to be expanded and improved in studies targeting larger areas and aimed at providing usable 559 insight in post-earthquake debris flow hazard assessments.

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