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Citation for final published version:

Liu, Zhijin, Wen, Huamei, Hailer, Frank , Dong, Fang, Yang, Zuomin, Liu, Tao, Han, Ling, Shi, Fanglei, Hu, Yibo and Zhou, Jiang 2019. Pseudogenization of Mc1r gene associated with transcriptional changes related to melanogenesis explains leucistic phenotypes in *Oreonectes cavefish* (Cypriniformes, Nemacheilidae). *Journal of Zoological Systematics and Evolutionary Research* 57 (4) , pp. 900-909. 10.1111/jzs.12286

Publishers page: <https://doi.org/10.1111/jzs.12286>

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1 **Title:** Pseudogenization of *Mc1r* gene associated with transcriptional  
2 changes related to melanogenesis explains leucistic phenotypes in  
3 *Oreonectes* cavefish (Cypriniformes, Nemacheilidae)

4

5 Zhijin Liu<sup>1,2,†,\*</sup>, Huamei Wen<sup>1,†</sup>, Frank Hailer<sup>3,†</sup>, Fang Dong<sup>2,4,†</sup>, Zuomin Yang<sup>5</sup>, Tao  
6 Liu<sup>1</sup>, Ling Han<sup>1</sup>, Fanglei Shi<sup>2</sup>, Yibo Hu<sup>2,\*</sup>, Jiang Zhou<sup>1,2,\*</sup>

7 <sup>1</sup> Guizhou Normal University / State Key Laboratory Cultivation for Karst Mountain  
8 Ecology Environment of Guizhou Province, Guiyang, Guizhou, 550001, China.

9 <sup>2</sup> CAS Key Laboratory of Animal Ecology and Conservation Biology, Institute of  
10 Zoology, Chaoyang District, Beijing, 100101, China.

11 <sup>3</sup> School of Biosciences, Cardiff University, Sir Martin Evans Building, Museum  
12 Avenue, Cardiff, CF10 3AX, United Kingdom.

13 <sup>4</sup> Institute of Physical Science and Information Technology, Anhui University, Hefei,  
14 Anhui, 230601, China.

15 <sup>5</sup> School of Life Sciences, Qufu Normal University, Qufu, Shandong, 273165, China.

16 † Contributed equally

17 \* Correspondence: Jiang Zhou, zhoujiang@ioz.ac.cn; Yibo Hu, huyb@ioz.ac.cn;  
18 Zhijin Liu, liuzj@ioz.ac.cn

19

20 Short running title: Leucistic *Oreonectes* cavefish

## 21 Abstract

22 Organisms that have colonized underground caves encounter vastly different selective  
23 pressures than their relatives in above-ground habitats. While disruption of certain  
24 pigmentation genes has been documented in various cave-dwelling taxa, little is  
25 known about wider impacts across pigmentation and other gene pathways. We here  
26 study the timeframe and transcriptional landscape of a leucistic and blind cypriniform  
27 fish (*Oreonectes daqikongensis*, Nemacheilidae) that inhabits karst caves in Guizhou,  
28 China. Based on data from the mitochondrial *ND4*, *ND5* and *Cytb* genes we show that  
29 the divergence between *O. daqikongensis* and its most closely related pigmented  
30 species occurred ca. 6.82 million years ago (95% HPD, 5.12–9.01), providing ample  
31 time for widespread phenotypic change. Indeed, we found that the DNA sequence of  
32 *Mclr* (melanocortin-1 receptor), a key gene regulating the biosynthesis of melanin in  
33 most vertebrates, is pseudogenized in *O. daqikongensis*, caused by a 29-bp deletion in  
34 the protein-coding region. Furthermore, 99,305 unigenes were annotated based on the  
35 transcriptome of skin tissue of *Oreonectes* fish. Among the differentially expressed  
36 unigenes, 7,326 (7.4% of the total unigenes) had decreased expression and 2,530  
37 (2.5% of the total unigenes) had increased expression in *O. daqikongensis* skin. As  
38 predicted, the expression of *Mclr* and 18 additional genes associated with melanin  
39 biosynthesis were significantly down-regulated in the skin tissue of *O. daqikongensis*,  
40 but not in its congener. Our results, integrating with other studies on cavefishes,  
41 suggest that loss of pigmentation was caused by coding region loss-of-function  
42 mutations along with widespread transcriptional changes, resulting from extended  
43 evolutionary time as a cave-dwelling form.

44 **Keywords:** *Mclr*, *Oreonectes daqikongensis*, cavefish, frameshift mutation, leucism,  
45 transcriptome.

## 46    **Introduction**

47    Uncovering the speciation process and genetic basis of phenotypic adaptations of  
48    animals to a specific environment is a key goal in evolutionary and comparative  
49    zoology. Underground rivers and caves are a globally widespread ecosystem that have  
50    been independently colonized by numerous animals (McGaugh et al., 2014;  
51    Bilandžija et al., 2013). Since light is lacking year-round, many animals inhabiting  
52    such habitats show degeneration of skin pigmentation (Gross & Wilkens, 2013).  
53    However, the timeframes on which cave dwellers have evolved their specialized  
54    phenotypes, and the molecular mechanisms underlying such phenotypes, remain  
55    largely unknown.

56        The melanocortin-1 receptor (MC1R) gene plays a key role in the regulation of  
57    melanin biosynthesis (Majerus & Mundy, 2003). The MC1R receptor protein encoded  
58    by *Mclr* gene belongs to the G-protein coupled receptors family, which has seven  
59    transmembrane  $\alpha$ -helices.  $\alpha$ -MSH ( $\alpha$ -Melanocyte-Stimulating hormone) binds to and  
60    activates MC1R receptor at the membrane of melanophore cells and promotes the  
61    biosynthesis of cAMP (cyclic adenosine monophosphate), followed by the synthesis  
62    of melanin (Barsh & Cotsarelis, 2007). High levels of basal *Mclr* signaling cause  
63    increased expression of the microphthalmia-associated transcription factor (MITF),  
64    tyrosinase (TYR), tyrosinase-related protein 1 (TYRP1) and other melanogenesis-  
65    related genes, which leads to increased eumelanin synthesis (Nishimura, 2011).

66        *Mclr* has been identified as the key candidate gene for animal pigmentation, and  
67    several *Mclr* mutations have been shown to explain the presence of leucistic/light-  
68    colored phenotypes (Majerus & Mundy, 2003). Leucism describes a partial loss of  
69    pigmentation, with phenotypes such as white or patchily white-colored skin, hair or

70 feathers, but pigmentation still being partially present, e.g. the pigment cells in the  
71 eyes which are not affected by the leucistic condition. Albinism, on the other hand, is  
72 a phenotype with complete absence of melanin, resulting in albinistic skin,  
73 feathers/hair and eyes. Vertebrates with albinism are not only white (or sometimes  
74 pale yellowish) in exterior coloration, but also possess pale eyes, often pink or red in  
75 color due to blood vessels showing through. In mammals, the leucistic phenotype of  
76 *Ursus americanus kermodei* is caused by the substitution of tyrosine by cysteine at the  
77 298<sup>th</sup> amino acid (Y298C) of MC1R (Ritland, Newton & Marshall, 2001). The  
78 substitution of arginine by cysteine at 18<sup>th</sup> amino acid and arginine by tryptophan at  
79 the 109<sup>th</sup> amino acid (R18C and R109W) of MC1R also result in the light-coated  
80 phenotype of *Chaetodipus intermedius* (Nachman, Hoekstra & D'Agostino, 2003). In  
81 reptiles, the light-colored skins are also associated with mutations of *Mclr* in lizards  
82 and geckos (Mendes et al., 2018; Machado et al., 2019). In birds, the leucistic  
83 phenotype of the plumage in *Falco rusticolus* is caused by the substitution of valine  
84 by isoleucine at the 128<sup>th</sup> amino acid of MC1R (Zhan et al., 2012; Johnson, Ambers &  
85 Burnham, 2012). Furthermore, melanistic plumage polymorphism in lesser snow  
86 geese (*Anser caerulescens*) and arctic skuas (*Stercorarius parasiticus*) is tightly  
87 associated with sequence variation within the *Mclr* gene (Mundy et al., 2004). In fish,  
88 two different *Mclr* alleles (963 and 969 bp long) were found in wild populations of  
89 guppies (*Poecilia reticulata*) and individuals with the 963 bp *Mclr* allele tended to  
90 show less black pigmentation than those with the 969 bp allele (Ayumi et al., 2011).

91 The genus *Oreonectes* (Cypriniformes, Nemacheilidae) was first described by  
92 Günther (1868), with *O. platycephalus* as the type species, and a total of 18 species of  
93 *Oreonectes* are currently considered as valid (Deng et al., 2016; Günther, 1868).  
94 Besides *O. platycephalus*, *Oreonectes* fish are semi-cave-dwelling or cave-restricted

species, rendering the genus an interesting model to study evolutionary consequences  
ocolonization of cave habitats (Deng et al., 2016). One particularly specialized species  
is *O. daqikongensis*, which was only recently described (Deng et al., 2016). The  
species exhibit numerous typical characteristics of cave dwellers (e.g., lack of eyes),  
but is the only leucistic *Oreonectes* fish which lack pigmentation (Fig.1a and b) (Deng  
et al., 2016). We here ask the following evolutionary questions: what is the timeframe  
on which *O. daqikongensis* evolved the leucistic phenotype? Is the *Mclr* gene  
involved in the occurrence of leucism? More broadly, how is the translational  
landscape of the melanogenesis pathway changed in this species? To answer these  
questions, we first reconstructed a dated phylogeny of five *Oreonectes* species based  
on mitochondrial *Cytb*, *ND4* and *ND5* gene sequences. To determine whether the  
*Mclr* gene is functional in *O. daqikongensis*, the locus was sequenced in *O.*  
*daqikongensis* and another three *Oreonectes* species. Finally, to illuminate the  
evolutionary impacts of long-term cave-dwelling on *Oreonectes* fishes, determine the  
function of other melanogenesis-related genes in *O. daqikongensis*, we further  
collected skin tissue samples of *O. daqikongensis* and its close-related species with  
pigmentation and performed whole-transcriptome sequencing.

## **Materials and methods**

### **Sample collection**

Fifteen individuals of *O. daqikongensis*, 16 *O. jiarongensis* individuals, six *O.*  
*dongliangensis* individuals and ten *O. shuolongensis* individuals were collected in  
Libo and Sando county of Guizhou Province (Fig. 1). These samples have been  
deposited in the Museum of Guizhou Normal University (for catalog numbers see  
Table 1).

## Phylogenetic analyses and divergence time estimation

DNA extraction was performed for all the collected samples according to the manufacturer's instructions (Qiagen Tissue Kit). To infer the phylogenetic relationships of *Oreonectes* species, the mitochondrial DNA genes (*ND4*, *ND5* and *Cyt b*) of each one individual of herein studied four *Oreonectes* species were amplified with primer sets for Nemacheilidae mitogenome (Rui et al. 2012; Wang et al. 2016; Table 2). Mitogenome sequences of the other 53 species in Nemacheilidae, two species in Cobitidae and two species in Balitoridae were obtained from GenBank (Supplementary Table S1). Sequences were aligned using ClustalX (Thomps et al., 1997) and rechecked by eye. MEGA v7.0 (Sudhir et al., 2016) was used to estimate genetic distances between the taxa in *Oreonectes* based on P-distance method. The Bayesian Inference (BI) of phylogenetic reconstruction was employed using MrBayes 3.1.2 (Ronquist & Huelsenbeck, 2003), using species from Cobitidae (*Cobitis sinensis* and *Misgurnus anguillicaudatus*) and Homalopteridae (*Jinshaia sinensis* and *Sinogastromyzon sichangensis*) as outgroups. MODELTEST 3.06 (Posada & Crandall, 1998) was run to determine the appropriate model of sequence evolution in a likelihood ratio test. For Bayesian phylogenetic inference, four Markov chain Monte Carlo (MCMC) runs were performed for 100,000 generations, sampling every ten generations. The initial 5% of trees were discarded as burn-in.

A Bayesian tree for estimating the divergence time was reconstructed in BEAST 1.61 (Drummond & Rambaut, 2007) using the GTR +I+G model, which provided the best fit. Trees were produced based on two independent runs of 10 million MCMC steps each sampling every 2,000<sup>th</sup> iteration, discarding the initial 25% of values as burn-in. We utilized the calibrated Yule model, as recommended by Heled &

Drummond (2012) for analysis of sequences from different species. We employed calibration points obtained from the dated Nemacheilidae phylogenetic tree by Wang et al. (2016) to (1) place a log normally distributed prior on the age of the root of the tree containing all samples of *Oreonectes* species and outgroups, based on estimated divergence time between Cobitidae and Nemacheilidae at approximately 30 million years ago (Mya; Frickhinger, 1991), and (2) a prior for the origination of the genus *Cobitis* as 13.8–15.9 Mya (Zhou, 1992). An uncorrelated lognormal model of lineage variation with a constant population size tree prior was employed (Drummond et al., 2012). Convergence of two independent MCMC runs was assessed in Tracer v.1.6 (available at <http://tree.bio.ed.ac.uk>), as was convergence of model parameter values, i.e. effective sample size [ESS] values being >200. The tree and posterior distribution were summarized with TreeAnnotator v.1.5.3 and visualized by FigTree v.1.4.3 (available at <http://tree.bio.ed.ac.uk>).

#### **DNA extraction, primer design, polymerase chain reaction and DNA sequencing of *Mc1r* gene**

The candidate *Mc1r* gene was amplified by polymerase chain reaction (PCR). Primers used in this study are MC1R-F (5'-GAATATCAGAGGTGTGCTGAAGC-3') and MC1R-R (5'-TCCTTGAGAGTCTTGCGCAG-3') which were designed based on alignments of the flanking regions of *Mc1r* in *Astyanax mexicanus*, *Carassius aumtus* and *Triplophysa rosa*. The *Mc1r* coding region was amplified for two individuals each of *O. jiarongensis*, *O. dongliangensis* and *O. shuilongensis*, and three individuals of *O. daqikongensis*. PCR was carried out in 50 µl reaction mixtures containing 50 mM KCl, 10 mM Tris-HCl, 1.5 mM Mg<sup>2+</sup>, 200 µmol of each dNTP, 0.2 µmol of each primer, 1.5 U Hotstart Taq DNA polymerase (QIAGEN), 1 µg/µL BSA and ≤10 ng of genomic DNA. The PCR cycling conditions were as follows: initial denaturation at

95°C for 5 min, followed by 32 cycles each of denaturation (95°C for 30 sec), annealing (at 58°C for 30 sec), and elongation (at 72°C for 60 sec) with a final elongation step at 72°C for 10 min. The PCR products were examined for specificity following electrophoresis on 1.5% agarose gels, then sequenced on an ABI 3130 xl DNA Sequencer (Applied Biosystems).

### **DNA sequence analysis of the *Mc1r* gene**

DNA sequencing data was read and assembled by SeqMan II (DNASTAR Lasergene, version 6). The open reading frame (ORF) analysis and amino acid sequence inference was completed by using the search ORF program of EditSeq 6.1 (DNASTAR Lasergene, version 6.1). Clustalw2 (<http://www.ebi.ac.uk/Tools/msa/clustalo>) was applied to perform nucleotide alignment. The protein transmembrane structure was predicted and functionally analyzed using TMHMM v.2.0 (<http://www.cbs.dtu.dk/services/TMHMM/>), and MODELLER (<https://swissmodel.expasy.org/>; Eswar et al., 2008) was used to construct the three-dimensional structure of the MC1R protein.

### **Total RNA isolation, library preparation, and sequencing**

Skin tissues were isolated from the rear of three *O. daqikongensis* and three *O. jiarongensis* individuals immediately after capture in the wild. The isolated tissues were immediately put into liquid nitrogen, frozen and stored at -80°C. Total RNA was isolated from each sample of tissue using TRIzol reagent (Life Technologies, CA, US) according to the manufacturer's instructions. The purity and content of each RNA sample were measured using the Qubit RNA Assay Kit in a Qubit 2.0 Fluorometer (Life Technologies, CA, USA) and confirmed by running total RNA samples on 1 % agarose gels.

One paired-end (PE) cDNA library was generated for each sample. Illumina sequencing was conducted at Beijing Novogene Biological Information Technology Co., Ltd., Beijing, China (<http://www.novogene.com/>) using the Illumina TruSeq<sup>TM</sup> RNA Sample Preparation Kit (Illumina, San Diego, CA, USA) following the manufacturer's recommendations (Kircher Stenzel & Kelso, 2009). Briefly, mRNA was purified from total RNA using poly-T oligo-attached magnetic beads. Fragmentation was carried out using divalent cations under an elevated temperature in the proprietary Illumina fragmentation buffer. First-strand cDNA was synthesized using random oligonucleotides and Super Script II (Life Technologies). Second-strand cDNA synthesis was subsequently performed using DNA polymerase I and RNase H. Remaining overhangs were converted into blunt ends via exonuclease/polymerase activities, and enzymes were then removed. After adenylation of 3' ends of DNA fragments, Illumina PE adapter oligo nucleotides were ligated to prepare for hybridization. To preferentially select cDNA fragments of 300 bp in length, the library fragments were purified with the AMPure XP system (Beckman Coulter, Beverly, MA, USA). DNA fragments with ligated adaptor molecules on both ends were selectively enriched using Illumina PCR Primer Cocktail in a 10-cycle PCR (polymerase chain reaction). Products were purified (AMPure XP system) and quantified using the Agilent High-Sensitivity DNA assay on the Agilent Bioanalyzer 2100 system (Agilent Technologies Co. Ltd., Beijing, China). Clustering of the index-coded samples was performed on a cBot Cluster Generation System using the TruSeq PE Cluster Kit v3-cBot-HS (Illumina) according to the manufacturer's instructions. After cluster generation, the library preparations were sequenced on an Illumina HiSeq 4000 platform and 2x150 bp paired-end reads were generated.

## **The assembly of transcriptome data, gene annotation and analysis**

Raw sequence data reads in FASTA format were first processed using in-house Perl scripts. In this step, clean reads were obtained by removing adapters, poly-N, and low-quality sequences from the raw read data. To generate a common and nonredundant *Oreonectes* transcriptome database for further RNA-seq analyses, transcriptome assembly was carried out based on clean data from *O. jiarongensis* using Trinity software (v.2.0.6), with all parameters set as default (Grabherr et al., 2011). The resulting transcriptome assembly was trimmed with a custom perl script to remove contigs under 500 base pairs (Maytin et al., 2018). Before annotation, unigenes were picked from the transcriptome with CD-hit (Li & Godzik, 2006). Intactness of the assembled *O. shuilongensis* transcriptome was assessed with the software tool BUSCO (Benchmarking Universal Single-Copy Orthologs) that is based on evolutionarily informed expectations of gene content, with default settings (Simão et al., 2015; Waterhouse et al. 2018). The unigenes were then annotated with BLASTx (BLAST + v.2.2.25) by querying these to the following databases: NCBI non-redundant protein sequences (Nr), NCBI non-redundant nucleotide sequences (Nt), the Protein Family database (Pfam), Swiss-Prot, Gene Ontology (GO), the Eukaryotic Orthologous Groups database (KOG) and the Kyoto Encyclopedia of Genes and Genomes (KEGG). The E-value cutoff was set at  $1 \times 10^{-5}$ .

#### **Gene expression quantification and identification of differentially expressed genes (DEGs)**

We mapped trimmed Illumina reads from the skin tissue from *O. daqikongensis* and *O. jiarongensis* to *Oreonectes* transcriptome using Bowtie2 with default parameters (Langmead, 2010). Gene expression levels were estimated by RSEM (v.1.2.15) for each sample (Li & Dewey, 2011). First, the read count for each gene was obtained from the result of clean data mapped back onto the assembled transcriptome

reference. Subsequently, the read count of each sequenced library was adjusted with the edgeR program package to ‘fragments per kb per million mapped (FPKM). The edgeR package (<http://bioconductor.org/packages/release/bioc/html/edgeR.html>) was used to obtain the “base mean” value for identifying DEGs. A false discovery rate (FDR)  $\leq 0.05$  and the absolute value of  $\log_2$  ratio  $\geq 1$  (two-fold change difference) were set as the thresholds for the significance of the gene expression difference between two groups. In addition, information for DEGs was collected from unigenes annotations, and these genes were subjected to GO and KEGG significant enrichment analyses to identify biological functions and metabolic pathways in which these genes participate. Furthermore, we collected information for candidate genes involved in melanogenesis.

## Results

### Phylogenetic analysis and divergence time estimation

To infer the phylogenetic relationships of *Oreonectes* species, the phylogeny of five *Oreonectes* species and other 53 species in Nemacheilidae was reconstructed using two species in Cobitidae and two species in Balitoridae as outgroups. Five mitochondrial fragments were amplified for each one individual of herein studied four *Oreonectes* species and the continuous mitochondrial sequence were aligned, including the complete sequences of *ND4* (1,382 bp), *ND5* (1,837 bp) and *Cytb* (1,141 bp) (Table 2). In the concatenated alignment (*ND4*: 1<sup>st</sup> -1,382<sup>nd</sup> bp, *ND5*: 1,383<sup>rd</sup> - 3,219<sup>th</sup> bp, *Cytb*: 3,220<sup>th</sup> -4,360<sup>th</sup> bp) that comprised 4,360 bp across 61 species, we found 2290 variable sites out of the alignment (Supplementary data 1). The genetic distances among *Oreonectes* species ranged from 0.010 to 0.149 (Table 3). GTR+I+G model was the best fitting model for each of these three mitochondrial genes

individually, and the same substitution model was also identified as the best-fitting model for the concatenated sequence, with a gamma shape parameter of 1.0419, a transition/transversion ratio of 6.23 and base frequencies of A =0.3226, C= 0.2148, G= 0.1366 and T= 0.3260. Phylogenetic analyses resulted in virtually identical tree topologies (Fig. 1d), with a basal divergence among *Oreonectes* species at ca. 17.53 Mya (95% HPD, 13.93–22.13 Mya), and a split between *O. daqikongensis* and its most closely-related species (*O. dongliangensis* and *O. jiarongensis*) at 6.82 Mya (95% HPD, 5.12–9.01 Mya; Fig. 1d).

#### **Pseudogenization of *Mc1r* gene in *O. daqikongensis***

The amplicon length of *Mc1r* gene ranged from 971–1,004 bp. The protein coding region of the *Mc1r* gene of *O. jiarongensis*, *O. dongliangensis* and *O. shuilongensis* was 988 bp, while it was only 955bp in *O. daqikongensis* (Supplementary Fig. S1 and Supplementary data 2). The *Mc1r* sequences from 15 *O. daqikongensis* individuals all had a 29 bp deletion (starting at nucleotide position 236 of the alignment), a six bp insertion (starting at nucleotide position 819) and a nine bp deletion (starting at nucleotide position 867). The first 29 bp deletion caused a frame-shift mutation of *Mc1r* gene of *O. daqikongensis*, resulting in a premature STOP codon (TGA; starting at nucleotide position 279-281), while *Mc1r* sequences were intact in *O. jiarongensis*, *O. dongliangensis* and *O. shuilongensis* (Fig. 1e). Thus, *Mc1r* is pseudogenized in *O. daqikongensis*, showing truncation of the protein within the intracellular loop. The MC1R receptor protein sequence of *O. daqikongensis* was predicted to be incapable of generating a functional transmembrane domain through TMHMM analysis, while the *Mc1r* receptor proteins of other *Oreonectes* species with pigmented skin (*O. jiarongensis*, *O. dongliangensis* and *O. shuilongensis*) were predicted to contain an intact transmembrane domain (Fig. 2a and 2b). Similarly, the three-dimensional

structure of the *Mclr* receptor protein predicted by MODELLER exhibited disrupted transmembrane domain in *O. daqikongensis* (Fig. 2c and 2d), but not in the other species.

#### **Sequencing, *de novo* assembly, and annotation of the *Oreonectes* Transcriptome**

After trimming adapters and removing low quality reads, 67,824,194 – 44,390,242 pair-end reads were retained for three individuals of *O. daqikongensis* and three individuals of *O. jiarongensis*. Then *de novo* assembly of *O. jiarongensis* transcriptome was performed with all the clean data of three individuals of *O. jiarongensis*. We got 99,305 unigenes and the total length, average length and N50 value of the unigenes are 207,947,183 bp, 2,039 bp, and 3,571 bp, respectively (Fig. 3a). Of the 4,584 Benchmarking Universal Single-Copy Orthologs (BUSCOs) for Actinopterygii, 89.60% were complete and a further 5.14% were fragmented in *O. jiarongensis* transcriptome. It suggested that the *de novo* assembly was relatively successful comparing to several fish transcriptomes assembly (Supplementary Fig. S2 and Supplementary Table S2). We then annotated the unigenes using seven functional databases. Finally, 71,877 (NR: 72.38%), 77,110 (NT: 77.65%), 66,773 (Swissprot: 67.24%), 42,045 (KOG: 42.34%), 57,845 (KEGG: 58.25%), 71,542 (GO: 72.24%), and 63,813 (Pfam: 64.26%) of the unigenes were annotated (Fig. 3b).

#### **Identification of differentially expressed genes (DEGs)**

The mapping rate for transcriptome of *O. daqikongensis* and *O. jiarongensis* to *O. jiarongensis* transcriptome assembly was  $72.34\% \pm 3.1 \times 10^{-3}$  and  $75.26\% \pm 2.8 \times 10^{-3}$ , respectively, suggesting that the transcriptome was well-assembled. We identified 9,856 unigenes as differentially expressed, based on a >2-fold change between *O. daqikongensis* and *O. jiarongensis* with an FDR corrected *P*-value (*q*-value) less than

0.05 ( $\log_2$ -fold changes  $[\log_2FC] > 1$ ,  $[-\log_{10}q\text{-value}] > 1.3$ ). Among the differentially expressed unigenes, 7,326 (7.4% of the total unigenes) had decreased expression and 2,530 (2.5% of the total unigenes) had increased expression in *O. daqikongensis* skin (Fig. 4a). Nineteen genes belonging to the GO term “melanin biosynthetic process” and KEGG pathway “melanogenesis” (04916) were down-regulated, in which the expression level of twelve genes (including *Mc1r*) were low in *O. daqikongensis* (Fig. 4b and 4c).

## Discussion

The divergence between *O. daqikongensis* and its most closely related species with pigmentation (*O. dongliangensis* and *O. jiarongensis*) was estimated to be ~ 6.82 Mya (Fig 1d and Supplementary Fig 1), and our data suggested that the pseudogenization of *Mc1r* occurred in the leucistic *O. daqikongensis* after its divergence from non-leucistic congeners. Given the lack of informative variable sites in the *Mc1r* alignment between *O. daqikongensis* and its closest relative, estimation of the *Mc1r* pseudogenization time is infeasible (Supplementary Fig. S1; Zhao et al., 2010). Nevertheless, the presence of several indels in *O. daqikongensis Mc1r*, along with the widespread and drastic transcriptional changes in this lineage, it appears that *O. daqikongensis* represents a relatively ancient cave-dwelling species in which widespread adaptations and loss-of function mutations have accumulated. This renders our study species an interesting system to understand long-term evolutionary consequences of cave dwelling.

As fish living in caves, *O. daqikongensis*, *A. mexicanus*, and *Sinocyclocheilus anshuiensis* all have the leucistic phenotype (McGaugh et al., 2014; Bilandžija et al., 2013; Gross, Borowsky & Tabin, 2009; Gross & Wilkens, 2013; Jeffery et al., 2015;

Stahl & Gross, 2015; Yang et al., 2016). Similar selective pressures can lead to the parallel evolution of identical or similar traits in distantly related species, often referred to as adaptive phenotypic convergence (Christin, Weinreich & Besnard, 2010; Stern, 2013; Storz, 2016). A critical mechanism underlying phenotypic convergence is genetic convergence, including the same metabolic and regulatory pathways, protein-coding genes, or even identical amino acid substitutions in the same gene (Christin, Weinreich & Besnard, 2010; Stern, 2013; Storz, 2016; Mundy, 2005). Compared to the cavefish *A. mexicanus*, the mechanism of leucism in *Oreonectes* cavefish was also caused by pseudogenized *Mclr*, representing a remarkable scenario of genetic convergence (Gross, Borowsky & Tabin, 2009). The pseudogenization of *Mclr* in *O. daqikongensis* could directly or indirectly led to the low-level expression of dozens of melanogenesis related genes. As a result, the biosynthesis of melanin is blocked, leading to the albino phenotype.

In *A. mexicanus*, the reduced melanin phenotype of *A. mexicanus* is also due to the *Oca2* gene mutation, and the molecular mechanism and the degree of degeneration in skin pigmentation vary in different populations and circumstances. In Molino cave, *A. mexicanus* lost the 21<sup>st</sup> exon of *Oca2*, while in Pachón cave it was the deletion of exon 24-3'UTR which resulted in leucism (Protas et al., 2006). In the case of *S. anshuiensis*, genome analysis discovered that a mutation of glycine to arginine (G420R) in exon 4 of the *Tyr* gene encoding tyrosinase might result in leucism of the skin (Yang et al., 2016). Tyrosinase is the rate-limiting oxidase for controlling the production of melanin. It can catalyze the production of melanin and other pigments from tyrosine by oxidation (Kumar et al., 2011). Furthermore, a deletion of *Mpv17* might have also played a role in the leucism of *S. anshuiensis*. In the case of *O. daqikongensis*, besides the genes directly regulated by MC1R, other melanogenesis-

related genes involved in the Wnt signaling pathway and MAPK signaling pathway were also down-regulated (Fig. 4b). Our results, integrating with above-mentioned studies on other cavefishes, suggest that loss of pigmentation was caused by various kinds of coding region loss-of-function mutation along with widespread transcriptional changes, resulting from extended evolutionary time as a cave-dwelling form.

*Oreonectes* fishes could be chosen as representatives of three key nodes of the evolutionary process from a surface-dwelling lifestyle, to semi-cave dwelling, and finally to a permanent cave existence. Almost all *Oreonectes* species show some cave-related traits, such as part or complete eye degeneration and leucism, which makes this genus a good study system of micro-evolution. Therefore, additional genome information of *Oreonectes* species (*de novo* genome assembly, e.g.) ought to be an indispensable resource for a more comprehensive understanding of the adaptive evolutionary mechanism of cavefishes.

## **Declarations**

## **Acknowledgments**

We are further grateful to Kai Gao, Jian Chen, Zhonglong Cen and Gang Li for their help in sampling and laboratory work. We thank Martin Burrows and Wenhua Xiong for assistance in writing and data analysis, and two anonymous reviewers for their helpful feedback.

## **Funding**

This project was supported by the key project of Science-technology basic condition platform from The Key Project of Science and Technology Program of Guizhou Province “Study of biological and ecological values for Fanjingshan World Natural Heritage Nomination of Tongren City (3052 2015 Qiankehe SY)”, The Ministry of Science and Technology of the People’s Republic of China (Grant No. 2005DKA21402), Provincial Governor Fund of Guizhou (Qian-Sheng-Zhuan-He-Zi (2010) 14) project and the Youth Innovation Promotion Association of Chinese Academy of Sciences (2011077).

## **Authors’ contributions**

Zhijin Liu, Huamei Wen and Fang Dong performed the experiments, analysed the data, wrote the paper, and participated in the design of the study. Frank Hailer, Fanglei Shi, Zuomin Yang, Tao Liu and Ling Han participated in data analysis, interpretation, and drafting the manuscript. Jiang Zhou and Yibo Hu conceived the study, participated in its design and coordination, and helped to draft the manuscript. All authors read and approved the final manuscript.

## **Ethics approval and consent to participate**

402 Our experimental procedures complied with the current laws on animal welfare and  
403 research in China, and were specifically approved by the Animal Research Ethics  
404 Committee of the Institute of Zoology, Chinese Academy of Sciences.

405 **Competing interest**

406 The authors declare that they have no competing interests.

407 **Consent for publication**

408 All authors gave final approval for publication.

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422 **Table 1.** Summary of sample information.

Species	Museum catalog number & GenBank accession number	Sampling location
<i>O. daqikongensis</i>	GZNU20110128002-2011012806	N 25°17'05.1"
	GZNU20151225001-20151225007	E 107°44'54.3"
	mtDNA (NC_039131, GZNU20151225002)	
<i>O. jiarongensis</i>	GZNU20120128001-20120128006	N 25°27'58.82"
	GZNU20151221001-20151221007	E 108°06'47.49"
	mtDNA (KU987437, GZNU20151221001)	
<i>O. dongliangensis</i>	GZNU20160815012-20160815017	N 25°19'48.95"
	mtDNA (KY626008, GZNU20160815012)	E 108°01'18.53"
<i>O. shuilongensis</i>	GNUG20090723002-20090723003	N 25°50'41.87"
	GNUG20160627001-20160627008	E 107°52'57.76"
	mtDNA (KF640641, GZNU20160627001)	

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434 **Table 2.** Primers used for the mtDNA and *MC1R* gene amplifications.

Gene	Primers	Sequence (5' to 3')	Amplicon length
mtDNA	L14724	GAC TTG AAA AAC CAC CGT TG	1192 bp
	H15915	CTC CGA TCT CCG GAT TAC AAG AC	
	L11008	TTG ACT ACC CAA AGC CCA	1249 bp
	H12256	CTT AGA GGG CAA TAG GTG TAA	
	L12166	TGA CAC TGA ATA AAT ACA GCC CT	1022 bp
	H13187	TGA CAC TGA ATA AAT ACA GCC CT	
	L13003	GGT TCC ATT ATT CAC AGC CT	1270 bp
	H14272	TAG GGT TAG TTG CTG TGG C	
	L14080	AAT GGC TCA GCA GCT AAA G	1355 bp
	H15431	TAA TAA ATG GGT GTT CTA CTG G	
<i>MC1R</i>	MC1R-F	GAATATCAGAGGTGTGCTGAAGC	971-1004 bp
	MC1R-R	TCCTTGAGAGTCTTGCGCAG	

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437 **Table 3.** Genetic distances based on P-distance method among *Oreonectes* species.

<i>O. daqikongensis</i>				
<i>O. dongliangensis</i>	0.103			
<i>O. jiarongensis</i>	0.101	0.010		
<i>O. shuilingensis</i>	0.106	0.094	0.091	
<i>O. platycephalus</i>	0.149	0.148	0.147	0.142

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579 **Figure legends**

580 **Figure 1.** (a) Map of sampling locations: 1. *O. shuilongensis*, 2. *O. jiarongensis*, 3. *O.*  
581 *dongliangensis*, and 4. *O. daqikongensis*. (b) Photo of *O. daqikongensis*, and (c) *O.*  
582 *jiarongensis*. (d) Phylogeny and divergence times among loaches (Nemacheilidae).  
583 Red dots denote the utilized calibration points (see Methods). Blue bars show the 95%  
584 posterior credibility intervals of divergence times. Number above on each node  
585 indicates the Bayesian posterior probability. Red branches indicate the the genus  
586 *Oreonectes*. (e) Schematic of MC1R receptor structure and ORF-disrupting mutations  
587 of *Mclr* genes. The first line shows the disrupted sequence of *O. daqikongensis*, lines  
588 below represent intact sequences of *O. jiarongensis*, *O. dongliangensis* and *O.*  
589 *shuilongensis*. The codon containing an ORF-disrupting mutation (marked red and  
590 underlined) is indicated by a box.

591 **Figure 2.** Two-dimensional model of MC1R of (a) *O. jiarongensis* and (b) *O.*  
592 *daqikongensis*. (c) Three-dimensional structure of MC1R of *O. jiarongensis*, and (d)  
593 and *O. daqikongensis*.

594 **Figure 3.** (a) Length distribution of assembled unigenes in *O. jiarongensis*  
595 transcriptome. (b) Gene ontology classifications of unigenes from the *O. jiarongensis*  
596 transcriptome.

597 **Figure 4.** (a) Differentially expressed genes for *O. daqikongensis* skin tissue vs. *O.*  
598 *jiarongensis* skin tissue. Red dots: up-regulated genes: green: down-regulated genes.  
599 (b) Schematic mechanisms of signaling pathways for melanogenesis. Down- regulated  
600 genes in *O. daqikongensis* skin are shown in red. (c) Expression levels of  
601 melanogenesis-related down-regulated genes of *O. daqikongensis* and *O. jiarongensis*  
602 measured in FPKM, expression values are mean  $\pm$  SD of at least three independent  
603 experiments.