

ORCA - Online Research @ Cardiff

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository:https://orca.cardiff.ac.uk/id/eprint/128545/

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Hysi, Pirro G., Choquet, Helene, Khawaja, Anthony P., Wojciechowski, Robert, Tedja, Milly S., Yin, Jie, Simcoe, Mark J., Patasova, Karina, Mahroo, Omar A., Thai, Khanh K., Cumberland, Phillippa M., Melles, Ronald B., Verhoeven, Virginie J. M., Vitart, Veronique, Segre, Ayellet, Stone, Richard A., Wareham, Nick, Hewitt, Alex W., Mackey, David A., Klaver, Caroline C. W., McGregor, Stuart, The Consortium for Refractive Error and Myopia, Peng Khaw, Foster, Paul J., UK Eye and Vision Consortium, ., Guggenheim, Jeremy, andMe Inc, ., Rahi, Jugnoo S., Jorgenson, Eric and Hammond, Christopher J. 2020. Meta-analysis of 542,934 subjects of European ancestry identifies 336 novel genes and mechanisms predisposing to refractive error and myopia. Nature Genetics 52, pp. 401-407. 10.1038/s41588-020-0599-0

Publishers page: http://doi.org/10.1038/s41588-020-0599-0

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See http://orca.cf.ac.uk/policies.html for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



1 Meta-analysis of 542,934 subjects of European ancestry identifies 336

novel genes and mechanisms predisposing to refractive error and

3 myopia

- 4 Pirro G. Hysi^{1,2,3†}, Hélène Choquet^{4†}, Anthony P. Khawaja^{5,6†}, Robert Wojciechowski^{7,8†}, Milly S Tedja^{9,10†},
- 5 Jie Yin⁴, Mark J. Simcoe², Karina Patasova¹, Omar A. Mahroo^{1,5}, Khanh K Thai⁴, Phillippa M
- 6 Cumberland^{3,12}, Ronald B. Melles¹³, Virginie J.M. Verhoeven^{9,10,11}, Veronique Vitart¹⁴, Ayellet Segre¹⁵,
- 7 Richard A. Stone¹⁶, Nick Wareham⁶, Alex W Hewitt¹⁷, David A Mackey^{17,18}, Caroline CW Klaver^{9,10,19,20},
- 8 Stuart MacGregor²¹, The Consortium for Refractive Error and Myopia, Peng Khaw⁵, Paul J. Foster^{5,22}, The
- 9 UK Eye and Vision Consortium, Jeremy A. Guggenheim²³, 23andMe Inc., Jugnoo S Rahi^{3,5,12,24*}, Eric
- 10 Jorgenson^{4*}, and Christopher J Hammond^{1,2*}

11

12

2

Author Information:

- 13 1 King's College London, Section of Ophthalmology, School of Life Course Sciences, London, UK
- 2 King's College London, Department of Twin Research and Genetic Epidemiology, London, UK
- 15 3 University College London, GOSH Institute of Child Health, London, UK
- 4 Division of Research, Kaiser Permanente Northern California, Oakland, California, USA
- 5 NIHR Biomedical Research Centre, Moorfields Eye Hospital NHS Foundation Trust and UCL Institute of Ophthalmology,
 London, UK
- 6 Department of Public Health and Primary Care, Institute of Public Health, University of Cambridge School of Clinical
 Medicine, Cambridge, UK
- 21 7 Department of Biophysics, Johns Hopkins University, Baltimore, MD, USA
- 22 8 Wilmer Eye Institute, Johns Hopkins School of Medicine, Baltimore, MD, USA
- 23 9 Department of Ophthalmology, Erasmus Medical Center, Rotterdam, The Netherlands
- 24 10 Department of Epidemiology, Erasmus Medical Center, Rotterdam, The Netherlands
- 25 11 Department of Clinical Genetics, Erasmus Medical Center, Rotterdam, The Netherlands
- 26 12 Ulverscroft Vision Research Group, UCL Great Ormond Street Institute of Child Health, University College London, UK
- 27 13 Kaiser Permanente Northern California, Department of Ophthalmology, Redwood City, CA, USA
- 28 14 Institute of Genetics and Molecular Medicine, The University of Edinburgh, United Kingdom
- 29 15 Department of Ophthalmology, Harvard Medical School, Massachusetts Eye and Ear, Boston, MA, USA
- 30 16 University of Pennsylvania School of Medicine, Philadelphia, PA, USA
- 31 17 Department of Ophthalmology, Royal Hobart Hospital, Hobart, Tasmania
- 18 Centre for Ophthalmology and Visual Science, University of Western Australia, Lions Eye Institute, Perth, WA, WA 6009,
 Australia
- 34 19 Dept. Ophthalmology, Radboud University Medical Center, Rotterdam
- 35 20 Institute of Molecular and Clinical Ophthalmology Basel, Switzerland
- 36 21 QIMR Berghofer Medical Research Institute, Brisbane, Australia
- 37 22 Division of Genetics and Epidemiology, UCL Institute of Ophthalmology, London, UK.
- 38 23 Cardiff University, School of Optometry & Vision Sciences, UK
- 24 Department of Ophthalmology and NIHR Biomedical Research Centre Great Ormond Street Hospital NHS Foundation
 Trust

⁴¹

<sup>42
43 &</sup>lt;sup>†</sup> These authors jointly led this work

^{*} These authors jointly supervised this work

Abstract

Refractive errors, in particular myopia, are a leading cause of morbidity and disability world-wide and their prevalence is rising, largely due to cultural and environmental changes. Genetic investigation is a valuable tool to better understand the molecular mechanisms underlying abnormal eye development and impaired vision. We conducted a meta-analysis of genome-wide association studies involving 542,934 European participants and identified 336 novel genetic loci associated with refractive error that explain an additional 4.6% of spherical equivalent heritability, or an improvement by a third over the previous estimates. Collectively, all associated genetic variants explain 18.4% of heritability and improve the accuracy of myopia prediction (AUC=0.75). Our results suggest that refractive error is genetically heterogeneous, driven by genes participating in the development of every anatomical component of the eye. In addition, our analyses suggest that genetic factors controlling circadian rhythm and pigmentation are also involved in the development of myopia and refractive error. These results may make possible predicting refractive error and the development of personalized myopia prevention strategies in the future.

Refractive errors (RE) occur when converging light rays from an image do not clearly focus on the retina. They are the seventh most prevalent clinical condition¹ and the second leading cause of disability in the world². The prevalence of RE is rapidly increasing, mostly driven by a dramatic rise in the prevalence of one of its forms, myopia (near-sightedness). Although the causes of such a rise over a short time are likely due to environmental and cultural changes from the mid-20th century³, RE are highly heritable⁴. Several studies^{5,6} have previously sought to identify genes controlling molecular mechanisms leading to RE and myopia. However, the variance and heritability that can be attributed to known genetic factors is modest⁷ and our knowledge of pathogenic mechanisms remains partial. Here, we conduct a meta-analysis combining data from quantitative spherical equivalent and myopia status from large and previously unpublished genome-wide association studies (GWAS) of more than half a million subjects from the UK Biobank, 23andMe and the Genetic Epidemiology Research on Adult Health and Aging (GERA) cohorts, with subsequent replication and meta-analysis with data previously reported from the Consortium for Refractive Error and Myopia (CREAM).

Results

Association Results.

Analyses were restricted to subjects of European ancestry (Supplementary Figure 1) and combined results from quantitative measures of spherical equivalent and categorical myopia status. Spherical equivalent quantifies RE; a negative spherical equivalent, below a certain threshold defines myopia. We used results obtained from GWAS of directly measured spherical equivalent in 102,117 population-based UK Biobank participants⁸, and 34,998 subjects participating in the GERA Study⁹ and combined them with results of analyses of self-reported myopia in 106,086 cases and 85,757 controls from the customer base of 23andMe, Inc. (Mountain View, CA), a personal genomics company¹⁰. Additionally, we included results from an analysis on the refractive status inferred using demographic and self-reported information on age at first use of prescription glasses among the UK Biobank participants not contributing to the quantitative GWAS (108,956 likely myopes to 70,941 likely non-myopes, see Supplementary Methods). All analyses were adjusted for age, sex and main principal components. To obtain an overall association with RE, we meta-analyzed the results from all studies by using the z-scores

89 from the GWAS of the spherical equivalent and the negative values of z-scores from the case-control

90 studies (23andMe and UK Biobank), since myopia is negatively correlated with spherical equivalent. As

- 91 expected, the large total sample size of the discovery meta-analysis (N=508,855) led to a nominally large
- 92 genomic inflation factor (λ =1.94). The LD score regression intercept was (1.17), and the (intercept-
- 1)/(mean(chi^2)-1) ratio of 0.097 is fully in line with the expectations of polygenicity¹¹.
- 94 We found associations for 438 discrete genomic regions (Figure 1, Supplementary Table 1), defined by
- markers contiguously associated at conventional level of GWAS significance ^{12,13} of p<5x10⁻⁰⁸, separated
- by more than 1 Mbp from other GWAS-associated markers, as recommended elsewhere ¹⁴. Among them,
- 97 308 loci, including 14 on chromosome X, were not described in previous GWAS studies of refractive
- 98 error⁷. The observed effect sizes were consistent across all the studies (Supplementary Table 1 and
- 99 Supplementary File 1). The association with RE was statistically strongest for rs12193446 (p=9.87x10⁻¹
- 100 ³²⁸), within *LAMA2*, a gene previously associated with RE^{5,6}, mutations of which cause muscular
- dystrophy¹⁵. Consistent with these *LAMA2* properties, polymorphisms located within the genes coding
- for both major *LAMA2* receptors, $DAG1^{16}$ (p= $\frac{1.67}{1.67}$ x10⁻⁰⁸ for rs111327216) and $ITGA7^{17}$ (p=8.57x10⁻⁰⁹ for
- 103 rs17117860) which are also known causes of muscular dystrophy^{18,19}, were significantly associated with
- 103 13171178007 which are also known causes of muscular dystrophy , were significantly associated as the control of the contro
- 105 We compared our discovery meta-analysis findings with GWAS results from 34,079 participants in the
- 106 CREAM consortium, who were part of a previously reported meta-analysis⁷. To avoid any potential
- overlap with the UK Biobank participants, only non-UK European CREAM participants were used for
- replication. Despite the vast power differential, 55 of the SNPs that showed the strongest association in
- their respective regions in the discovery meta-analysis were significant after Bonferroni correction in the
- replication sample. A further 142 had a false discovery rate (FDR) < 0.05 and 192 were nominally
- significant at P < 0.05 (Supplementary Table 2). The effect sizes observed in the discovery and replication
- samples were strongly correlated (Pearson's r=0.91, Supplementary Figure 2). Meta-analysis of all five
- 113 cohorts (discovery and replication) expanded the number to 449 associated of regions of variable length
- and number of SNPs (Supplementary Figure 3), of which 336 regions were novel (Supplementary Table
- 115 3).
- 116 Most of the 449 RE-associated regions contained at least one gene linked to severe ocular
- manifestations in the Online Mendelian Inheritance In Man (OMIM) resource or other genes with
- 118 interesting link to eye disease (Supplementary Table 4). Although most loci identified through our meta-
- analyses were novel, several of them hosted genes that harbor mutations leading to myopia or other RE
- phenotypes. Several genes significantly associated with RE were linked to Mendelian disorders affecting
- 121 corneal structure, some of which code for transcription factors involved in corneal development²⁰
- (Supplementary Table 5). Mutations in these genes cause corneal dystrophies (SLC4A11, p=5.81x10⁻¹¹ for
- 123 rs41281858, *TCF4*, p=4.14x10⁻⁰⁸, rs41396445; *LCAT*, p=1.26x10⁻¹⁰, rs5923; and *DCN*, p=3.67x10⁻⁰⁹,
- 124 rs1280632), megalocornea (*LTBP2*, p=1.91x10⁻²⁴, rs73296215) and keratoconus (*FNDC3B*, p=1.89x10⁻¹⁴,
- rs199771582, previously described⁷). Eleven RE-associated genes were linked to anomalies of the
- 126 crystalline lens (Supplementary Table 6), including genes linked to autosomal dominant cataracts (PAX6
- previously linked to myopia²¹, p=8.31x10⁻¹¹, rs1540320; *PITX3*, p=1.05x10⁻¹⁰, rs7923183; *MAF*,
- 128 p=5.50x10⁻⁰⁹, rs16951312; *CHMP4B*, p=9.95x10⁻¹¹, rs6087538; *TDRD7*, p=4.79x10⁻⁰⁸, rs13301794) and
- lens ectopia (*FBN1*, p=3.30x10⁻²⁴, rs2017765; *ADAMTSL4*, p=8.19x10⁻¹⁴, rs12131376). Some of the genes
- affected several eye components. For example, LTBP2 variants are also associated with congenital
- glaucoma²², and *COL4A3* (rs7569375, p=1.14x10⁻⁰⁸) causes Alport syndrome, which manifests with
- abnormal lens shape (lenticonus) and structural changes in the retina.
- 133 Association was also observed within or near 13 genes known to harbor mutations causing
- microphthalmia (Supplementary Table 7), including TENM3 (p=2.48x10⁻¹¹, rs35446926); OTX2

(p=6.15x10⁻¹¹, rs928109); VSX2, (p=4.60x10⁻¹⁰, rs35797567); MFRP, (p=2.85x10⁻¹⁶, rs10892353) and the previously identified 6 TMEM98, (p=3.49x10⁻⁴³, rs62067167). Association was also found for VSX1135

136

(p=4.59x10⁻⁰⁸ for rs6050351), a gene that is closely regulated by VSX2²³ and believed to play important 137

- roles in eye development²⁴. Many of the genes nearest associated SNPs have been linked to inherited 138
- 139 retinal disease (Supplementary Table 8), including 32 genes linked to cone-rod dystrophies, night
- 140 blindness and retinitis pigmentosa, and age-related macular degeneration (HTRA1/ARMS2). Among
- genes in novel regions associated with RE, ABCA4 (p=3.20x10⁻¹⁰ for rs11165052), and ARMS2/HTRA1 141
- (p=5.72x10⁻²³ for rs2142308) are linked to macular disorders and numerous others to retinitis 142
- pigmentosa, retinal dystrophy and other retinal diseases, such as FBN2, (p=8.63x10⁻¹¹, rs6860901), 143
- *TRAF3IP1* (p=5.71x10⁻¹⁶, rs7596847), CWC27 (p=1.84x10⁻¹⁸, rs1309551). Significant association was 144
- found near other genes of interest such as DRD1 (p=4.51x10⁻¹⁶, rs13190379), a dopamine receptor. 145
- Together, these results are consistent with previous suggestions of light transmission and transduction 146
- in RE^{7,25}. 147
- Wnt signaling has previously been implicated in experimental myopia²⁶. We found significant association 148
- near several Wnt protein-coding genes (WNT7B, a gene previously associated with axial length²⁷, 149
- p=1.42x10⁻²⁶ for rs73175083; WNT10A, previously associated with central corneal thickness²⁸, 150
- $p=1.65 \times 10^{-17}$ for rs121908120 and WNT3B, $p=8.52 \times 10^{-16}$ for rs70600), suggesting that organogenesis 151
- through Wnt signaling is likely to be involved in RE. Significant association were found at genes coding 152
- for key canonical (e.g. rs13072632 within the CTNNB1 gene, p=7.30x10⁻²⁷; AXIN2, rs9895291, p=1.40x10⁻²⁷ 153
- ⁰⁸) and non-canonical Wnt pathway members (NFATC3, rs147561310, p=1.493x10⁻¹²) or at genes coding 154
- for both (RHOA, rs7623687, p=1.81x10 $^{-11}$ or the previously described TCF7L2, rs56299331, p=9.38x10 $^{-46}$; 155
- 156 Supplementary Table 9).
- Similar to previous published analyses²⁵, we found associations for genes involved in sodium, potassium, 157
- calcium magnesium and other cation transporters (Supplementary Table 10). The involvement of genes 158
- 159 related to glutamatergic synaptic transmission was also notable (Supplementary Table 11). Glutamate is
- a first synapse transmitter released by photoreceptors towards bipolar cells and is the main excitatory 160
- neurotransmitter of the retina, and expression of genes participating in glutamate signaling pathways is 161
- significantly altered in myopia models²⁹. These associations support the involvement in RE pathogenesis 162
- 163 of neurotransmission and neuronal depolarization and hyperpolarization that was also suggested
- 164 before⁷. Associations with *POU6F2* gene intronic variants (rs2696187, p=1.11x10⁻¹¹) also suggests
- involvement of factors related to development of amacrine and ganglion cells³⁰. Other genes at RE-165
- associated loci were annotated to infantile epilepsy, microcephaly, severe learning difficulty, or other 166
- 167 inborn diseases affecting the central nervous system (CNS) in OMIM (Supplementary Table 12).
- 168 Polymorphisms in genes linked to oculocutaneous albinism (OCA) were significantly associated with RE
- (Supplementary Table 13), although typically association was found for SNPs not strongly associated 169
- 170 with other pigmentation traits³¹. Strong association with RE was found near the OCA2 gene causing OCA
- type 2 (p=1.37x10⁻¹⁵, rs79406658), OCA3 (*TYRP1*, p=1.18x10⁻¹¹, rs62538956), OCA5 (*SLC39A8*, p=4.03x10⁻¹⁵ 171
- 17 , rs13107325), OCA6 (*C10orf11*, p=1.73x10 $^{-16}$, rs12256171). In addition, significant association was 172
- found near genes linked to ocular albinism (OA) on chromosome X (TBL1X and GPR143³², p=2.20x10⁻¹⁸, 173
- rs34437079) and Hermansky-Pudlak Syndrome albinism (BLOC1S1, p=2.4610⁻²², for rs80340147; note 174
- 175 that this gene forms a conjoint read-through transcript the BLOC1S1-RDH5 with RDH5). Other associated
- markers were located within genes involved in systemic pigmentation also previously associated with 176
- RE^{7} , such as RALY (p=3.14x10⁻¹⁸, rs2284388), TSPAN10 (p=2.22x⁻⁵⁰, rs9747347), as well as melanoma 177
- (MCHR2, $p=2.37x10^{-15}$ for rs4839756). 178

Functional properties of the associated markers

- 182 Among the significantly associated markers, 367 unique markers were frameshift or missense variants
- 183 (Supplementary Table 14). Several are non-synonymous, such as the R141L mutation (rs1048661) within
- 184 LOXL1, a gene that causes pseudoexfoliation syndrome and glaucoma³³ and A69S (rs10490924) in
- 185 ARMS2, associated with increased susceptibility to age-related macular degeneration³⁴. Other
- 186 associated variants with predicted deleterious consequences were located in several genes, such as RGR
- (p=6.89x10-68, rs1042454), a gene previously associated with RE^{7,10} and also retinitis pigmentosa³⁵, and
- 188 within the FBN1 gene, near clusters of mutations that cause Marfan Syndrome and anterior segment
- dysgenesis³⁶.

181

- 190 Because the functional link between other associated variants and development of RE phenotypes is less
- obvious, we next performed gene-set enrichment analyses to identify properties that are significantly
- shared by genes identified by the meta-analysis. An enrichment analysis of Gene Ontology processes
- 193 (Supplementary Table 15) found enrichment for genes participating in RNA Polymerase II transcription
- regulation (p= $1x10^{-06}$) and nucleic acid binding transcription factor activity (p= $1.10x10^{-06}$), suggesting
- that many of the genetic associations we identified interfere with gene expression. "Eye development"
- 196 (p=6.10x10⁻⁰⁶) and "Circadian regulation of gene expression" (p=1.10x10⁻⁰⁴) were also significantly
- 197 enriched.
- 198 A transcription factor binding site (TFBS) enrichment analysis identified significant (FDR < 0.05) over-
- representation of sites targeted by GATA4, EP300, RREB1, for which association was observed in the
- 200 meta-analyses (Supplementary Table 16). Binding sites of transcription factors involved in eye
- 201 morphogenesis and development such as MAF (whose mutations cause autosomal cataract), FOXC1 and
- 202 PITX2 (anterior segment dysgenesis) or CRX (cone-rod dystrophy) were also enriched. CRX and PAX4,
- binding sites were also significantly enriched; these transcription factors are two of the regulators of
- 204 circadian rhythm and melatonin synthesis³⁷ alongside *OTX2*, for which SNP significant association was
- observed in our RE meta-analysis (p=6.15x10⁻¹¹ for rs928109). All of these enriched gene-sets are
- observed for the first time in a GWAS analysis, although the presence of some of the mechanisms that
- relate them to RE and myopia were hypothesized before³⁸.
- 208 Many of the variants associated with RE in our analyses were located within or near genes that are
- 209 expressed in numerous body tissues (Supplementary Figure 4), and in particular from the nervous
- 210 system, consistent with our evidence of extraocular, central nervous system involvement in RE. Within
- the eye, these genes were particularly strongly expressed in eye tissues such as cornea, ciliary body,
- 212 trabecular meshwork³⁹ and retina⁴⁰ (Supplementary Figure 5, Supplementary Table 17). A stratified LD
- score regression applied to specifically expressed genes (LDSC-SEG)⁴¹ revealed the results of the GWAS
- are most strongly correlated with genes expressed in the retina and basal ganglia in the central nervous
- system but these correlations are not significant after multiple testing correction (Supplementary Figure
- 216 6 and Supplementary Table 18). It is possible that the strength of these correlations was constrained by
- 217 the fact that in most cases, available expression levels were measured in adult samples, while refractive
- 218 error and myopia are primarily developed in younger ages.
- 219 A Summary data-based Mendelian Randomization (SMR) analysis⁴² integrating GWAS with eQTL data
- from peripheral blood⁴³ and brain tissues⁴⁴ found concomitant association with RE and eQTL
- 221 transcriptional regulation effects for 159 and 97 genes respectively (Supplementary Tables 19 and 20). A
- 222 similar analysis integrating GWAS summary data with methylation data from brain tissues found
- association with both RE and changes in methylation for 134 genes (Supplementary Table 21).

Pleiotropy and genetic effects shared between RE and other conditions

Examining the GWAS Catalog⁴⁵, some of the genetic variants reported here were previously associated with RE, and with other traits, in particular intraocular pressure, intelligence and education; the latter two are known myopia risk factors (Supplementary Table 22). We used LD score regression to assess the correlation of genetic effects between RE and other phenotypes from GWAS summary statistics (Supplementary Table 23). RE genetic risk was significantly correlated with intelligence, both in childhood⁴⁶ (r_g =-0.27, p=4.76x10⁻⁰⁹) and adulthood (fluid intelligence score r_g =-0.25, p=1.56x10⁻³⁹), educational attainment (defined as the number of years spent in formal education, r_g=-0.24, p=3.36x10⁻¹ ⁵⁴), self-reported cataract (r_g =-0.31, p=4.70x10⁻¹⁰) and intraocular pressure (IOP, r_g =-0.14, p=1.04x10⁻¹²).

Higher educational attainment appears to cause myopia as demonstrated by Mendelian randomization (MR) studies⁴⁷. A gene by environment interaction GWAS for spherical equivalent and educational attainment (using age at completion of formal full-time education as a proxy) was conducted in 66,242 UK Biobank participants. Despite the relatively well-powered sample, only one locus yielded evidence of statistically significant interaction (rs536015141 within *TRPM1*, p=2.35x10⁻⁰⁹, Supplementary Table 24), suggesting that the true relationship between RE and education is compounded by several factors and may not be linear in nature, as suggested recently⁴⁸. TRPM1 is localized in rod ON bipolar cell dendrites, and rare mutations cause congenital stationary night blindness⁴⁹, often associated with high myopia.

To further explore the nature of the relationship between RE and IOP, we built MR models using genetic effects previously reported for ${\rm IOP}^{50}$. On average, every 1 mmHg increase in IOP predicts a 0.05-0.09 diopters decrease in spherical equivalent (Supplementary Table 25, Supplementary Figure 7). We also built a MR model to assess the relationship between intelligence and spherical equivalent, but statistical evidence in this case points towards genetic pleiotropy rather than causation (Supplementary Table 26). This suggests that both myopia and intelligence are often influenced by the same factors, but without direct causal path linking one to the other. We found no significant genetic correlations between RE and the glaucoma endophenotype vertical cup to disc ratio (r_g =-0.01, p=0.45), or hair pigmentation (r_g =-0.03, p=0.35). Therefore, RE and pigmentation may have different allelic profiles with limited sharing of genetic risk.

Conditional analysis and risk prediction

We subsequently carried out a conditional analysis⁵¹ on the meta-analysis summary results and found a total of 904 independent SNPs significantly associated with RE. 890 of these markers were available in the EPIC-Norfolk Study, an independent cohort that did not participate in the RE meta-analysis (Supplementary Figure 8). These markers alone explained 12.1% of the overall spherical equivalent phenotypic variance in a regression model or 18.4% (SE=0.04) of the spherical equivalent heritability. Newly associated markers found in our meta-analysis, but not in the previous large GWAS⁷, explain 4.6% (SE=0.01) of the spherical equivalent phenotypic variance in EPIC-Norfolk Study, which is an improvement of one third compared to heritability explained by previously associated markers⁷.

Predictive models, based on the above-mentioned 890 SNPs, along with age and sex, were predictive of myopia (versus all non-myopia controls) with areas under the receiving operating characteristic curve (AUC) of 0.67, 0.74 and 0.75 (Figure 2), depending on the severity cutoff for myopia (\leq -0.75D, \leq -3.00D and \leq -5.00D respectively). The performance of the predictions appears not to improve for myopia definitions of -3.00D or worse, suggesting that the information extracted from our meta-analysis is more representative of the genetic risk for common myopia seen in the general population, than for more severe forms of myopia, which may have a distinctive genetic architecture.

272

Analysis of the distribution of effects and number of associated variants needed to explain all RE heritability

273 Using information from over half a million population-based participants SNPs identified in these 274 analyses still only explain 18.4% of the spherical equivalent heritability. We next assessed how many 275 common SNPs are likely to explain the entire heritable component of RE, and what sample sizes are likely to be needed in the future to identify them, using the likelihood-based approach described 276 elswhere⁵². We estimate that approximately 13,808 (SE=969) polymorphic variants are likely to be 277 behind the full RE heritability. Similar to other quantitative phenotypic traits that are previously 278 published⁵², our analyses estimate that 10.3% (SE=1.0%) of the phenotypic variance is likely explained by 279 280 a batch of approximately 543 (SE=81) common genetic variants of relatively large effect size and a 281 further 20.8% (SE=0.9%) of the entire phenotypic variance explained by the remainder. With increased 282 sample sizes, we project that the proportion of variance explained will continue to improve fast but will 283 start plateauing for sample sizes above one million, after which further increases in sample size will 284 likely yield ever diminishing additional phenotypic variance (Supplementary Figure 9).

285

286

300

301

302

303

304

305

306

307

Discussion

- Our results provide evidence for at least two major sets of mechanisms in the pathogenesis of RE. The first affect intraocular pressure, eye structure, ocular development and physiology, and the second are CNS-related, including circadian rhythm control. Contributors to RE include all anatomical factors that alter refractive power relative to eye size, light transmittance, photoconductance and higher cerebral functions.
- 292 The findings implicate almost every single anatomical components of the eye, which along with the 293 central nervous system participate in the development of RE. The healthy cornea contributes to 70% of the optical refractive power of the eyes⁵³ and genes involved in corneal structure, topography and 294 295 function may directly contribute to RE through direct changes in the corneal refraction. Our results show 296 that several genes involved in lens development also contribute to RE in the general population. It is 297 unclear if their contribution is mediated through alterations in biomechanical properties that affect 298 eyes' ability to accommodate, changes to the lens refractive index, or alterations in light transmission 299 properties that impair the ability to focus images on the retina.
 - Many retinal genes are implicated in the development of refractive error, reflecting the role of light in mediating eye growth and the importance of the retina's role in light transduction and processing⁷. Associations with RE at genes coding for gated ion channels and glutamate receptors point to the photoreceptor-bipolar cell interface as a potentially key factor in RE. Rare mutations in several of our associated genes cause night blindness, implicating the rod system in the pathophysiology of RE, but many also affect cone pathways. The *TRPM1* gene, important for rod ON bipolar cell polarity⁵⁴, is also implicated in the gene-education interaction analysis. Associations observed for the *VSX1* and VSX2, its negative regulator, genes implicate the cone bipolar cells⁵⁵.
- The association with genes involved in pigmentation, including most of the OCA-causing genes, raises questions about the relationship between melanin, pigmentation and eye growth and development. These associations are unlikely to be influenced by any cryptic population structure in our samples, which our analyses were designed to control. None of the major pigmentation-associated SNPs³¹ was directly associated with RE and there was no significant correlation of genetic effects between RE and pigmentation.

The mechanisms linking pigmentation with RE are unclear. Foveal hypoplasia⁵⁶ and optic disc⁵⁷ 314 dysplasias are common in all forms of albinism⁵⁸. Although melanin synthesis is disrupted in albinism, 315 both melanin and dopamine are synthesized through shared metabolic pathways. Disc and chiasmal 316 lesions in albinism are often attributed to dopamine⁵⁹, but we found limited evidence supporting an 317 association with RE for genetic variants involved in dopamine signaling. The scarcity of association with 318 319 RE for genes involved in dopamine-only pathways contrasts with the abundance of association for genes 320 involved in pigmentation and melanin synthesis. This may suggest that melanin metabolism is connected 321 to RE through other mechanisms that are independent from the metabolic pathways it shares with 322 dopamine production. Melanin reaches the highest concentrations in the retinal pigment epithelium at 323 the outmost layer of the retina, and anteriorly, in the iris and variations in pigmentation may affect the intensity of the light reaching the retina. Light exposure is a major protective factor for development of 324

myopia^{60,61} It is possible that pigmentation plays a role in light signal transmission and transduction. 325 326 Animal model experiments suggest that in addition to local ocular mechanisms, emmetropization (the 327 process by which the eye develops to minimize refractive error) is strongly influenced by the CNS⁶². The

328 strong correlation of genetic risks between RE and intelligence and association found for genes linked to

329 severe learning disability support an involvement of the CNS in emmetropization and RE pathogenesis.

330 Results from gene-set enrichment analysis demonstrate an interesting evolution with increasing sample sizes. While smaller previous studies were sufficiently powered to discover enrichment of low, cell-level 331 properties, such as cation channel activity and participation in the synaptic space structures²⁵, 332

333 significantly more powered recent studies have found additional evidence for enrichment and

334 involvement of more integrated physiological functions, such as light signal processing in retinal cells

335 and others⁷. Beyond the identification of a much larger number of genes and explaining significantly

336 higher proportions of heritability, our results, based in a considerably more statistically powered sample,

337 uphold the previous findings and support the involvement of the same molecular and physiological

338 mechanisms that were previously described.

347

348

349

350

351

354

355

356

357

358

339 In line with expectations from a higher power of association to discover genes and gene sets individually responsible for even smaller proportions of the refractive error variance⁶³, we find evidence for even 340 higher regulatory mechanisms, that act more holistically over the eye development or integrate eye 341 342 growth and homeostasis with other processes of extraocular nature. For example, we found evidence 343 that binding sites of transcription factors involved in the control of circadian rhythm are significantly enriched among genes associated with refractive error. Circadian rhythm is important in 344 emmetropization and its disruption leads to myopia in animal knock-out models³⁸, potentially through 345 346 dopamine-mediated mechanisms, or changes in IOP and diurnal variations.

Most of the loci identified through our meta-analysis are not subject to particularly strong and systematic evolutionary pressures (Supplementary Figure 10). The variability in minor allele frequencies observed across loci associated with RE may therefore be the result of genetic drift. However, given the variety of the different visual components whose disruptions can result in RE, this variability may also be the result of overall balancing forces which encourage high allelic diversity of genes involved in RE, providing additional buffering capacity to absorb environmental pressures⁴⁸ or genetic disruptions on

352 353 any of the individual components of the visual system.

Our results cast light on potential mechanisms that contribute to RE in the general population and have identified the genetic factors that explain a considerable proportion of the heritability and phenotypic variability of RE. This allows us to improve significantly our ability to make predictions of myopia risk and generate novel hypotheses on how multiple aspects of visual processing affect emmetropization, which may pave the way to personalized risk management and treatment of RE in the population in the future.

Online Methods provided separately

362

363

364

366

367

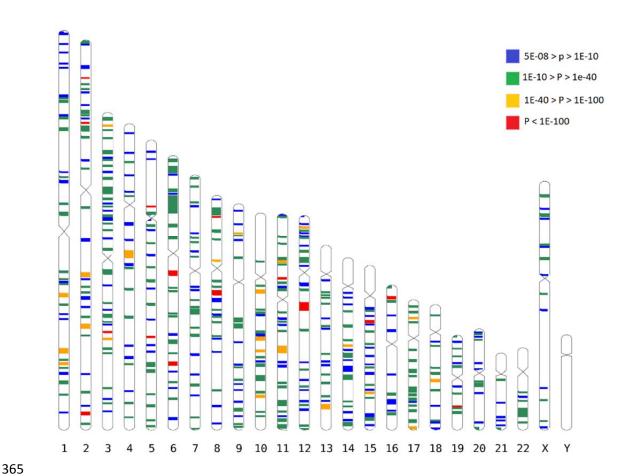
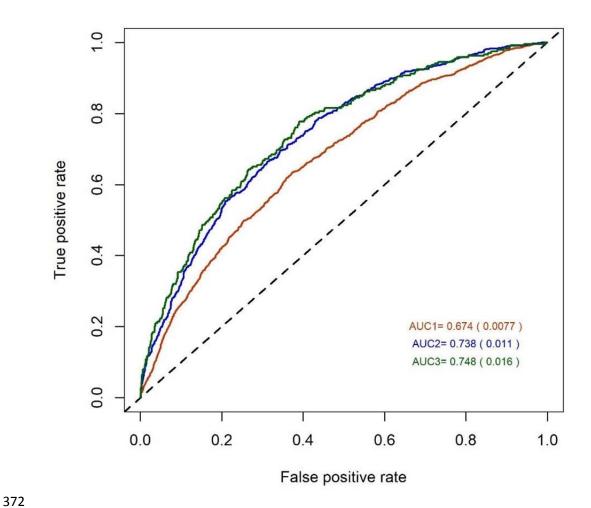


Figure 2. Receiver Operating Characteristic (ROC) curves for myopia predictions, using information from 890 SNP markers identified in the meta-analysis. The three different colors represent three different curves for each of the different definition of myopia: red – all myopia (< -0.75D), blue – moderate myopia (< -3.00 D) and green - severe myopia (defined as < -5.00 D).



References:

- 1. Vos, T. *et al.* Global, regional, and national incidence, prevalence, and years lived with disability for 328 diseases and injuries for 195 countries, 1990–2016: a systematic analysis for the Global Burden of Disease Study 2016. *The Lancet* **390**, 1211-1259 (2017).
- 379 2. WHO. The Global Burden of Disease. 2004 Update ISBN-13: 9789241563710. *ISBN-10* 380 **651629118**(2008).
- 381 3. Williams, K.M. *et al.* Increasing Prevalence of Myopia in Europe and the Impact of Education. *Ophthalmology* **122**, 1489-97 (2015).
- Sanfilippo, P.G., Hewitt, A.W., Hammond, C.J. & Mackey, D.A. The heritability of ocular traits. Surv Ophthalmol **55**, 561-83 (2010).
- 5. Kiefer, A.K. *et al.* Genome-wide analysis points to roles for extracellular matrix remodeling, the visual cycle, and neuronal development in myopia. *PLoS Genet* **9**, e1003299 (2013).
- Verhoeven, V.J. *et al.* Genome-wide meta-analyses of multiancestry cohorts identify multiple new susceptibility loci for refractive error and myopia. *Nat Genet* **45**, 314-8 (2013).
- 7. Tedja, M.S. *et al.* Genome-wide association meta-analysis highlights light-induced signaling as a driver for refractive error. *Nat Genet* **50**, 834-848 (2018).
- 391 8. Cumberland, P.M. *et al.* Frequency and Distribution of Refractive Error in Adult Life: 392 Methodology and Findings of the UK Biobank Study. *PLoS One* **10**, e0139780 (2015).
- Kvale, M.N. *et al.* Genotyping Informatics and Quality Control for 100,000 Subjects in the
 Genetic Epidemiology Research on Adult Health and Aging (GERA) Cohort. *Genetics* 200, 1051-60 (2015).
- 396 10. Pickrell, J.K. *et al.* Detection and interpretation of shared genetic influences on 42 human traits. 397 *Nat Genet* **48**, 709-17 (2016).
- 398 11. Bulik-Sullivan, B.K. *et al.* LD Score regression distinguishes confounding from polygenicity in genome-wide association studies. *Nat Genet* **47**, 291-5 (2015).
- 400 12. Dudbridge, F. & Gusnanto, A. Estimation of significance thresholds for genomewide association scans. *Genet Epidemiol* **32**, 227-34 (2008).
- 402 13. Pe'er, I., Yelensky, R., Altshuler, D. & Daly, M.J. Estimation of the multiple testing burden for genomewide association studies of nearly all common variants. *Genet Epidemiol* **32**, 381-5 (2008).
- 405 14. Wood, A.R. *et al.* Defining the role of common variation in the genomic and biological architecture of adult human height. *Nat Genet* **46**, 1173-86 (2014).
- 407 15. Oliveira, J. *et al.* LAMA2 gene analysis in a cohort of 26 congenital muscular dystrophy patients. *Clin Genet* **74**, 502-12 (2008).
- 409 16. Colognato, H. *et al.* Identification of dystroglycan as a second laminin receptor in oligodendrocytes, with a role in myelination. *Development* **134**, 1723-36 (2007).
- 411 17. Burkin, D.J. & Kaufman, S.J. The alpha7beta1 integrin in muscle development and disease. *Cell Tissue Res* **296**, 183-90 (1999).
- 413 18. Ervasti, J.M. & Campbell, K.P. Dystrophin-associated glycoproteins: their possible roles in the pathogenesis of Duchenne muscular dystrophy. *Mol Cell Biol Hum Dis Ser* **3**, 139-66 (1993).
- 415 19. Mayer, U. *et al.* Absence of integrin alpha 7 causes a novel form of muscular dystrophy. *Nat* 416 *Genet* **17**, 318-23 (1997).
- 417 20. Jean, D., Ewan, K. & Gruss, P. Molecular regulators involved in vertebrate eye development. 418 *Mech Dev* **76**, 3-18 (1998).

- Hammond, C.J., Andrew, T., Mak, Y.T. & Spector, T.D. A susceptibility locus for myopia in the normal population is linked to the PAX6 gene region on chromosome 11: a genomewide scan of dizygotic twins. *Am J Hum Genet* **75**, 294-304 (2004).
- 422 22. Ali, M. *et al.* Null mutations in LTBP2 cause primary congenital glaucoma. *Am J Hum Genet* **84**, 423 664-71 (2009).
- Clark, A.M. *et al.* Negative regulation of Vsx1 by its paralog Chx10/Vsx2 is conserved in the vertebrate retina. *Brain Res* **1192**, 99-113 (2008).
- 426 24. Heon, E. *et al.* VSX1: a gene for posterior polymorphous dystrophy and keratoconus. *Hum Mol Genet* **11**, 1029-36 (2002).
- 428 25. Hysi, P.G. *et al.* Common mechanisms underlying refractive error identified in functional analysis 429 of gene lists from genome-wide association study results in 2 European British cohorts. *JAMA* 430 *Ophthalmol* **132**, 50-6 (2014).
- 431 26. Ma, M. *et al.* Wnt signaling in form deprivation myopia of the mice retina. *PLoS One* **9**, e91086 432 (2014).
- 433 27. Miyake, M. *et al.* Identification of myopia-associated WNT7B polymorphisms provides insights into the mechanism underlying the development of myopia. *Nat Commun* **6**, 6689 (2015).
- Cuellar-Partida, G. *et al.* WNT10A exonic variant increases the risk of keratoconus by decreasing corneal thickness. *Hum Mol Genet* **24**, 5060-8 (2015).
- 437 29. Stone, R.A. *et al.* Image defocus and altered retinal gene expression in chick: clues to the pathogenesis of ametropia. *Invest Ophthalmol Vis Sci* **52**, 5765-77 (2011).
- 439 30. Zhou, H., Yoshioka, T. & Nathans, J. Retina-derived POU-domain factor-1: a complex POU-domain gene implicated in the development of retinal ganglion and amacrine cells. *J Neurosci* 441 16, 2261-74 (1996).
- Hysi, P.G. *et al.* Genome-wide association meta-analysis of individuals of European ancestry identifies new loci explaining a substantial fraction of hair color variation and heritability. *Nat Genet* 50, 652-656 (2018).
- 445 32. Fabian-Jessing, B.K. *et al.* Ocular albinism with infertility and late-onset sensorineural hearing loss. *Am J Med Genet A* **176**, 1587-1593 (2018).
- Thorleifsson, G. *et al.* Common sequence variants in the LOXL1 gene confer susceptibility to exfoliation glaucoma. *Science* **317**, 1397-400 (2007).
- 449 34. Rivera, A. *et al.* Hypothetical LOC387715 is a second major susceptibility gene for age-related macular degeneration, contributing independently of complement factor H to disease risk. *Hum Mol Genet* **14**, 3227-36 (2005).
- 452 35. Morimura, H., Saindelle-Ribeaudeau, F., Berson, E.L. & Dryja, T.P. Mutations in RGR, encoding a light-sensitive opsin homologue, in patients with retinitis pigmentosa. *Nat Genet* **23**, 393-4 (1999).
- 455 36. Robinson, P.N. *et al.* Mutations of FBN1 and genotype-phenotype correlations in Marfan syndrome and related fibrillinopathies. *Hum Mutat* **20**, 153-61 (2002).
- 457 37. Rohde, K., Moller, M. & Rath, M.F. Homeobox genes and melatonin synthesis: regulatory roles 458 of the cone-rod homeobox transcription factor in the rodent pineal gland. *Biomed Res Int* **2014**, 459 946075 (2014).
- 460 38. Chakraborty, R. *et al.* Circadian rhythms, refractive development, and myopia. *Ophthalmic Physiol Opt* **38**, 217-245 (2018).
- 462 39. Carnes, M.U., Allingham, R.R., Ashley-Koch, A. & Hauser, M.A. Transcriptome analysis of adult 463 and fetal trabecular meshwork, cornea, and ciliary body tissues by RNA sequencing. *Exp Eye Res* 464 **167**, 91-99 (2018).
- 465 40. Ratnapriya, R. *et al.* Retinal transcriptome and eQTL analyses identify genes associated with agerelated macular degeneration. *Nat Genet* **51**, 606-610 (2019).

- 41. Finucane, H.K. *et al.* Heritability enrichment of specifically expressed genes identifies diseaserelevant tissues and cell types. *Nat Genet* **50**, 621-629 (2018).
- 469 42. Zhu, Z. *et al.* Integration of summary data from GWAS and eQTL studies predicts complex trait gene targets. *Nat Genet* **48**, 481-7 (2016).
- 471 43. Westra, H.J. *et al.* Systematic identification of trans eQTLs as putative drivers of known disease associations. *Nat Genet* **45**, 1238-1243 (2013).
- 473 44. Qi, T. *et al.* Identifying gene targets for brain-related traits using transcriptomic and methylomic data from blood. *Nat Commun* **9**, 2282 (2018).
- 45. Buniello, A. *et al.* The NHGRI-EBI GWAS Catalog of published genome-wide association studies, targeted arrays and summary statistics 2019. *Nucleic Acids Res* **47**, D1005-D1012 (2019).
- 46. Benyamin, B. *et al.* Childhood intelligence is heritable, highly polygenic and associated with FNBP1L. *Mol Psychiatry* **19**, 253-8 (2014).
- 479 47. Mountjoy, E. *et al.* Education and myopia: assessing the direction of causality by mendelian randomisation. *BMJ* **361**, k2022 (2018).
- 48. Pozarickij, A. *et al.* Quantile regression analysis reveals widespread evidence for gene-482 environment or gene-gene interactions in myopia development. *Commun Biol* **2**, 167 (2019).
- 483 49. Audo, I. *et al.* TRPM1 is mutated in patients with autosomal-recessive complete congenital stationary night blindness. *Am J Hum Genet* **85**, 720-9 (2009).
- Khawaja, A.P. *et al.* Genome-wide analyses identify 68 new loci associated with intraocular pressure and improve risk prediction for primary open-angle glaucoma. *Nat Genet* **50**, 778-782 (2018).
- 488 51. Yang, J. *et al.* Conditional and joint multiple-SNP analysis of GWAS summary statistics identifies additional variants influencing complex traits. *Nat Genet* **44**, 369-75, S1-3 (2012).
- Zhang, Y., Qi, G., Park, J.H. & Chatterjee, N. Estimation of complex effect-size distributions using summary-level statistics from genome-wide association studies across 32 complex traits. *Nat Genet* 50, 1318-1326 (2018).
- 493 53. Zadnik, K. *et al.* Normal eye growth in emmetropic schoolchildren. *Optom Vis Sci* **81**, 819-28 (2004).
- 495 54. Li, Z. *et al.* Recessive mutations of the gene TRPM1 abrogate ON bipolar cell function and cause complete congenital stationary night blindness in humans. *Am J Hum Genet* **85**, 711-9 (2009).
- 497 55. Chow, R.L. *et al.* Vsx1, a rapidly evolving paired-like homeobox gene expressed in cone bipolar cells. *Mech Dev* **109**, 315-22 (2001).
- 56. Struck, M.C. Albinism: Update on ocular features. *Current Ophthalmology Reports* **3**, 232-237 (2015).
- 501 57. Mohammad, S. *et al.* Characterization of Abnormal Optic Nerve Head Morphology in Albinism Using Optical Coherence Tomography. *Invest Ophthalmol Vis Sci* **56**, 4611-8 (2015).
- 503 58. Yahalom, C. *et al.* Refractive profile in oculocutaneous albinism and its correlation with final visual outcome. *Br J Ophthalmol* **96**, 537-9 (2012).
- 505 59. Lopez, V.M., Decatur, C.L., Stamer, W.D., Lynch, R.M. & McKay, B.S. L-DOPA is an endogenous ligand for OA1. *PLoS Biol* **6**, e236 (2008).
- 507 60. Karouta, C. & Ashby, R.S. Correlation between light levels and the development of deprivation myopia. *Invest Ophthalmol Vis Sci* **56**, 299-309 (2014).
- 509 61. Wu, P.C., Tsai, C.L., Wu, H.L., Yang, Y.H. & Kuo, H.K. Outdoor activity during class recess reduces myopia onset and progression in school children. *Ophthalmology* **120**, 1080-5 (2013).
- 511 62. Troilo, D., Gottlieb, M.D. & Wallman, J. Visual deprivation causes myopia in chicks with optic nerve section. *Curr Eye Res* **6**, 993-9 (1987).
- de Leeuw, C.A., Neale, B.M., Heskes, T. & Posthuma, D. The statistical properties of gene-set analysis. *Nat Rev Genet* **17**, 353-64 (2016).