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Citation for final published version:

Xie, Zhihua and Stoesser, Thorsten 2020. A three-dimensional Cartesian cut-cell/volume-of-fluid method for two-phase flows with moving bodies. Journal of Computational Physics 416 , 109536. 10.1016/j.jcp.2020.109536

Publishers page: http://dx.doi.org/10.1016/j.jcp.2020.109536

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# A three-dimensional Cartesian cut-cell/volume-of-fluid method for two-phase flows with moving bodies

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# Abstract

A three-dimensional Cartesian cut-cell method for the large-eddy simulation of two-phase flows with moving bodies is presented in this study, which combines a volume-of-fluid method to capture the air-water interface and a moving body algorithm on a stationary, non-uniform, staggered, Cartesian grid. The filtered Navier–Stokes equations are discretised using the finite volume method with the PISO algorithm for velocity-pressure coupling and the dynamic Smagorinsky subgrid-scale model is employed to compute the effect of the unresolved (subgrid) scales of turbulence on the large scales. In the present study, the small cut-cells are unmodified and due to the use of an implicit time integration no instabilities occur during the computations. The versatility and robustness of the present two-phase flow model is illustrated via various two- and three-dimensional flow problems with fixed/moving bodies, such as dambreak flows with and without a square cylinder, a moving gate, water entry and exist of a circular cylinder, and landside-generated

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waves. Good agreement is obtained between the numerical results and the corresponding experimental measurements.

*Keywords:* Cartesian cut-cell method, Finite volume method, two-phase flow, moving bodies, volume-of-fluid method

#### 1 1. Introduction

Two-phase flows with moving bodies appear in many scientific and engi-2 neering applications, e.g. marine renewable energy, hydrodynamics of open 3 channels, naval architecture, hydraulic structures, coastal and offshore engi-4 neering, biomedical engineering, oil-and-gas transportation systems, and geo-5 physical flows. These applications typically have the motion of a deformable 6 gas-liquid/liquid-liquid interface and its interaction with a fixed/moving structure. Development of computational methods for predicting such flows, 8 which involve turbulence, breaking waves, air entrainment, impact and fluid-9 structure interaction is highly challenging. 10

A key requirement for simulating numerically free-surface/two-phase flows 11 is the tracking or capturing of the interface [1, 2]. Numerous methods have 12 been proposed and used to simulate free-surface/two-phase flows on a fixed 13 mesh, such as marker-and-cell [3], volume-of-fluid (VOF) [1, 4, 5], front-14 tracking [6], level set [7, 8], phase field [9]. Alternatively, moving mesh [10] 15 and meshless (particle) [11] methods have been proposed. In addition, recent 16 developments include three-phase flow methods [12, 13]. Among these meth-17 ods, the VOF method, a transport equation for the volume fraction of the two 18 phases, is probably the most popular method on a fixed grid and is widely 19 used due to its inherent properties of: mass conservation, computational effi-20

ciency and easy implementation. From a general point of view, there are two 21 classes of algorithms to solve the transport equation of the volume fraction: 22 geometric and algebraic computation [5]. In the geometric VOF methods 23 [1], interfaces are first reconstructed from the volume fraction data so that 24 a geometric profile is found which approximates the actual interface loca-25 tion. Then changes in volume fraction are calculated by integrating volume 26 fluxes across cell boundaries, using flux splitting or unsplitting schemes. In 27 the algebraic computation [14–16], the interface is captured by solving the 28 transport equation of the volume fraction with a differencing scheme with-29 out reconstructing the interface, such as the flux-corrected transport scheme 30 [14] and using the normalised variable diagram (NVD) [17] concept to switch 31 between different differencing schemes [15]. 32

Most two-phase flows in engineering applications are turbulent and there-33 fore need different treatment for the turbulence. In many engineering prac-34 tices, only the time-averaged flow is of interest. Therefore the Reynolds-35 averaged Navier–Stokes (RANS) equations are usually solved, in which all 36 of the unsteadiness is averaged out and all of the effects turbulence on the 37 mean flow is modelled by one of a number of available turbulence models. 38 As a result, RANS models cannot provide instantaneous flow characteristics. 39 The increase in computer power has led to the development of more power-40 ful but more computationally demanding methods. The most accurate and 41 most straightforward approach is the mthod of direct numerical simulation 42 (DNS), in which the Navier–Stokes equations are solved directly without any 43 modification. All scales of the turbulent flow are captured with DNS, which 44 implies that the grid size must be no larger than the Kolmogorov scale [18]. 45

Due to the high demand of DNS in terms of the number of grid points (which 46 is proportional to  $Re^{9/4}$  in 3D, where Re is the Reynolds number), it has been 47 mostly used for relatively low Reynolds number flows or flows in a relatively 48 small flow domain. As DNS is out of reach for practical applications, recent 49 developments have focused on the method of large-eddy simulations (LES) 50 [19, 20], in which the large-scale eddies are resolved, i.e. calculated directly, 51 while the effects of the small eddies on the large-scale turbulence are approx-52 imated. LES has been already employed for practical problems where the 53 Reynolds number is high and the computational domain is large. 54

To deal with complex geometries with fixed or moving motion in engineer-55 ing applications, overlapping grids, boundary-fitted grids and unstructured 56 grids can be used. Unstructured grids provide great flexibility in conform-57 ing to complex boundaries, and can easily be refined or coarsened in specific 58 regions of the flow domain depending on the flow feature. However, they re-59 quire additional computational efforts and further complicate the algorithm 60 implementation as there is no pre-defined order of the control volumes and 61 their geometric layouts need to be calculated. Furthermore, generating high-62 quality boundary-fitted or unstructured grids is usually very cumbersome 63 [21], especially for moving body problems, where the mesh has to be regen-64 erated at every time step. Cartesian grid methods which can simulate flow 65 with complex geometries on fixed Cartesian grids, avoid these problems. The 66 primary advantage of the Cartesian grid method is that only little modifica-67 tion of the flow solver on Cartesian grids is needed to account for complex 68 (immersed) geometries. It also has the advantage of simplified grid genera-69 tion and simulating flows with moving boundaries whilst avoiding deforming 70

grids. The two most popular methods are the immersed boundary method 71 [21-25] and the Cartesian cut-cell method [26-31]. There are some examples 72 for two-phase flows with moving bodies using immersed boundary method 73 in two-dimensional (2D) [32] and three-dimensional (3D) [33] simulations. 74 Compared to immersed boundary methods, the Cartesian cut-cell method 75 is very attractive as it enforces strict conservation of mass, momentum and 76 energy, and in particular near solid boundaries. The present study focuses on 77 the Cartesian cut-cell method hence only relevant studies using this method 78 are mentioned hereafter. 79

The Cartesian cut-cell method is based on a stationary Cartesian back-80 ground grid, in which the solid boundary is intersected with boundary cells 81 (named as cut-cells), and regular grid cells are truncated to conform to the 82 solid (immersed) boundary interface. There is no modification for standard 83 regular grid cells whereas special treatments are needed for the cut-cells. For 84 fixed, solid boundaries, the Cartesian cut-cell method has been developed 85 for 2D Poisson [34], and advection-diffusion [35] equations. It has also been 86 applied to study single-phase fluid flow problems, such as for 2D aeroacous-87 tics [36], 2D hypersonic boundary layer transition [37], 2D shallow water 88 equations [38], 2D incompressible viscous flow [27, 28, 31], 2D compress-89 ible viscous flow [39], 3D inviscid flow [40], 3D incompressible viscous flow 90 [30], 3D compressible viscous flow [41, 42], and 3D LES studies [43-45]. The 91 Cartesian cut-cell method has also been used to study free-surface/two-phase 92 flows in 2D using a two-fluid approach [46], height function [47], volume-of-93 fluid method [48–50], in the form of a coupled level-set and volume-of-fluid 94 approach [51], and 3D DNS and LES studies [52, 53]. 95

For moving bodies, Cartesian cut-cell methods have been developed for 96 solving the 2D heat [54] and 2D shallow water equations [55], 2D viscous flow 97 [26], 3D rarefied gas flows [56], 3D inviscid flow [57], and 3D compressible 98 viscous flow [58–60]. Some early development of the cut-cell method for 99 single-phase flows can be found in [29]. For 2D two-phase flows, different 100 approaches have been employed for the interface tracking/capturing, such 101 as the Langrangian marker [61], level-set method [62], and the two-fluid 102 approach [63, 64]. In 3D, the Euler equations have been solved together 103 with a density function to capture the air-water interface for a water impact 104 problem in [65] and a cut-cell method with moving body has been developed 105 to study the 3D wave impact problem with a single-phase volume-of-fluid 106 method in [66]. Recently, there are some development for fluid-structure 107 interaction problems for 2D two-phase flow [67] and 3D single-phase flow 108 [68]. To date, there has been relatively little work on cut-cell methods with 109 moving bodies for the 3D Navier–Stokes equations with two-phase flows and 110 turbulence. 111

The objective of this paper is, therefore, to present and validate thor-112 oughly an efficient finite volume method based on the Cartesian cut-cell 113 method for the unsteady, turbulent, incompressible, two-phase Navier-Stokes 114 equations with moving bodies on a three-dimensional, non-uniform, stag-115 gered. Cartesian grid. The method of large-eddy simulation is employed to 116 compute directly large-scale turbulence of the flow by solving the filtered 117 Navier–Stokes equations and employing the dynamic Smagorinsky sub-grid 118 scale model to account for the unresolved (subgrid) scales of turbulence. 119 An algebraic VOF scheme is employed and modified in cut-cells to capture 120

the air-water interface in the two-phase flow model. Solid boundaries are re-121 solved by the Cartesian cut-cell method, with detailed 3D cut-cell generation 122 and finite volume discretisation. The moving body algorithm (as an internal 123 source function by taking the solid volume change into account) is imple-124 mented to simulate moving bodies on a fixed Cartesian grid. Moreover, an 125 implicit time integration scheme is used for time integration, which prevents 126 common instability problems in small cut-cells as reported in the literature. 127 The organisation of this paper is as follows. The description of the math-128 ematical model for the two-phase flow is described in Section 2. The nu-129 merical method and implementation of the cut-cell method are presented 130 in Section 3. The versatility, robustness and accuracy of the present two-131 phase flow model is demonstrated by solving various 2D and 3D two-phase 132 flow problems with moving bodies in Section 4. Finally, the paper ends with 133 conclusions in Section 5. 134

#### 135 2. Mathematical model

#### 136 2.1. Governing equations

The governing equations used for the incompressible immiscible Newtonian two-phase flow are based on the Navier–Stokes equations, given as:

$$\boldsymbol{\nabla} \cdot \boldsymbol{u} = 0, \tag{1}$$

$$\frac{\partial(\rho \boldsymbol{u})}{\partial t} + \boldsymbol{\nabla} \cdot (\rho \boldsymbol{u} \otimes \boldsymbol{u}) = -\boldsymbol{\nabla} p + \boldsymbol{\nabla} \cdot [\mu(\boldsymbol{\nabla} \boldsymbol{u} + \boldsymbol{\nabla}^T \boldsymbol{u})] + \rho \boldsymbol{g}, \qquad (2)$$

where  $\boldsymbol{u}$  is the velocity vector, t is the time, p is the pressure,  $\boldsymbol{g}$  is the gravitational acceleration vector,  $\rho$  and  $\mu$  are the density and dynamic viscosity 141 of the fluid.

142 2.2. Interface modelling

# 143 2.2.1. Volume-of-fluid method

The volume-of-fluid method is employed here to capture the air-water interface in the two-phase flow solver during the simulation. F is the volume fraction defined as:

$$F = \begin{cases} 1, & \text{if only water is present;} \\ 0, & \text{if only air is present.} \end{cases}$$
(3)

The air-water interface is then within the cells where 0 < F < 1. A particle on the surface stays on the surface and the volume fraction F has a zero material derivative:

$$\frac{\mathrm{d}F}{\mathrm{d}t} = \frac{\partial F}{\partial t} + \boldsymbol{u} \cdot \boldsymbol{\nabla}F = 0.$$
(4)

#### 150 2.2.2. Physical properties

After interface capturing for the volume fraction field, the momentum equation (Eq. (2)) is closed with the constitutive relations for the density and dynamic viscosity of the fluid as given by:

$$\rho = F\rho^{\mathbf{w}} + (1 - F)\rho^{\mathbf{a}},\tag{5}$$

$$\mu = F\mu^{w} + (1 - F)\mu^{a}, \tag{6}$$

<sup>154</sup> where the superscripts 'w' and 'a' denote water and air, respectively.

#### 155 2.3. Moving body algorithm

In order the simulate the moving body in a fixed Cartesian grid system, 156 rather than applying a interpolated body force over the grid as used in the 157 IBM, the source function approach [69, 70] is employed here. The motion 158 of a solid can be followed and interacts with the background Cartesian grid 159 as shown in Fig. 1. At time t, considering a cell containing a solid with 160 its volume as  $V_{\text{solid}}(t)$ , if the volume of solid increases  $\partial V_{\text{solid}}(t)/\partial t > 0$ , the 161 volume of fluids decreases, and vice versa. Thus, the conservation of mass 162 for the continuity equation (Eq. (1)) in a cell with volume V can be modified 163 as: 164

$$\boldsymbol{\nabla} \cdot \boldsymbol{u} = \frac{1}{V} \frac{\partial V_{\text{solid}}(t)}{\partial t} = \psi(t), \tag{7}$$

where  $\psi(t)$  is a internal source function depending on the volume change of the solid in the cell at time t. Thus, the momentum equation (Eq. (2)) is also modified as:

$$\frac{\partial(\rho \boldsymbol{u})}{\partial t} + \boldsymbol{\nabla} \cdot (\rho \boldsymbol{u} \otimes \boldsymbol{u}) = -\boldsymbol{\nabla} p + \boldsymbol{\nabla} \cdot [\mu(\boldsymbol{\nabla} \boldsymbol{u} + \boldsymbol{\nabla}^T \boldsymbol{u})] + \rho \boldsymbol{g} + \rho \boldsymbol{u} \psi(t). \quad (8)$$

#### 165 2.4. Subgrid-scale model

The large-eddy simulation (LES) approach is adopted in this study, for which the large-scale eddies are solved and a subgrid-scale model is employed to compute the unresolved scales of turbulence. The governing equations used for incompressible two-phase flow are based on the spatially filtered Navier–Stokes equations of Eq. (7) and Eq. (8), given as:

$$\boldsymbol{\nabla} \cdot \bar{\boldsymbol{u}} = \psi(t), \tag{9}$$



Figure 1: Schematic of 2D cut-cells undergoing a volume change due to boundary motion in a two-phase flow model. The light blue and red colours show the fluid regions for the water and air where the solid domain is represented as yellow region. The yellow area between the red dashed line and blue solid line on the top are cut-cells whose volume of solid increases  $\partial V_{\text{solid}}(t)/\partial t > 0$ ; the yellow area with mesh inside between the red dashed line and blue solid line on the bottom are cut-cells whose volume of solid decreases  $\partial V_{\text{solid}}(t)/\partial t < 0$ . The arrangement of variables (p, u, v) on a staggered Cartesian grid are also shown, where the velocities are stored on the face of the control volume and the pressure is stored at the centre of the control volume.

$$\frac{\partial(\rho\bar{\boldsymbol{u}})}{\partial t} + \boldsymbol{\nabla} \cdot (\rho\bar{\boldsymbol{u}} \otimes \bar{\boldsymbol{u}}) = -\boldsymbol{\nabla}\bar{p} + \boldsymbol{\nabla} \cdot [\mu(\boldsymbol{\nabla}\bar{\boldsymbol{u}} + \boldsymbol{\nabla}^T\bar{\boldsymbol{u}})] + \rho\boldsymbol{g} + \rho\bar{\boldsymbol{u}}\psi(t) + \boldsymbol{\nabla}\boldsymbol{\tau}^{\text{sgs}}, \quad (10)$$

where the overbar  $\bar{\cdot}$  denotes the spatial filtering over the grid in Cartesian coordinates (x, y, z),  $\bar{\boldsymbol{u}} = (\bar{u}, \bar{v}, \bar{w})$  is the filtered velocity vector and  $\bar{p}$  is the filtered pressure.

The term  $\tau^{\text{sgs}} = \rho(\bar{u}\bar{u} - \bar{u}\bar{u})$  is the subgrid-scale (SGS) stress tensor and the anisotropic part of the SGS term is approximated by an eddy-viscosity model of the form [71]:

$$\boldsymbol{\tau}^{\text{sgs}} - \frac{1}{3} \text{trace}(\boldsymbol{\tau}^{\text{sgs}}) \boldsymbol{I} = 2\mu_t \bar{\boldsymbol{S}}, \qquad (11)$$

where I is the unit tensor and  $\bar{S}$  is the strain rate tensor of the resolved field.  $\mu_t$  is the turbulent eddy viscosity defined as:

$$\mu_t = \rho C_d \bar{\Delta}^2 \left| \bar{\boldsymbol{S}} \right|, \text{ and } \left| \bar{\boldsymbol{S}} \right| = \sqrt{2 \bar{\boldsymbol{S}} \bar{\boldsymbol{S}}},$$
(12)

with the cut-off length scale  $\overline{\Delta} = (\Delta x \Delta y \Delta z)^{1/3}$  and the coefficient  $C_d =$ 179  $\frac{1}{2} \frac{L_{ij} M_{ij}}{M_{ij} M_{ij}}$  is calculated by the dynamic Smagorinsky model [72] in the present 180 study, where  $L_{ij} = \hat{\bar{u}}_i \hat{\bar{u}}_j - \hat{\bar{u}}_i \hat{\bar{u}}_j$  and  $M_{ij} = \hat{\Delta}^2 \left| \hat{\bar{S}} \right| \hat{\bar{S}}_{ij} - \bar{\Delta}^2 \left| \hat{\bar{S}} \right| \hat{\bar{S}}_{ij}$ , and the 181 hat  $\hat{\cdot}$  represents spatial filtering over the test filter. The symbol for spa-182 tial filtering '-' is dropped hereinafter for simplicity. The advantage of the 183 dynamic Smagorinsky model is that the dissipation of energy from the large-184 scale turbulence is approximated in analogy to dissipation on a molecular 185 level without the need for empirical input (due to the dynamic approxima-186 tion of the Smagorinsky constant) and hence has found to work well for many 187 applications of practical interest [19]. 188

#### 189 2.5. Initial and boundary conditions

In order to completely describe the mathematical model it is necessary to 190 define the boundary conditions in a computational domain. For the moving 191 body, the motion is known and the velocity and position of the solid body's 192 boundaries can be prescribed for every time step. For the outlet, the zero-193 gradient or radiation boundary condition is applied for the flow. As both 194 fluids in the air and water are solved simultaneously in the present two-phase 195 flow model, the kinematic and dynamic free surface boundary conditions are 196 inherently implemented in the VOF method and they do not need to be 197 specified explicitly at the air-water interface. 198

In the computation, the initial flow field at t = 0 has to be prescribed. For calculations with the fluids initially at rest, the flow field is initialised with zero velocity and hydrostatic pressure, and the volume fraction of the air-water interface is computed from the initial water depth. For the moving body, the velocity in the solid is initialised with its moving velocity.

# <sup>204</sup> 3. Numerical method

#### 205 3.1. Finite volume discretisation

One option of discretising the governing equations is the finite volume method (FVM). In the FVM, also known as the control volume method, the entire flow domain is divided into a number of control volumes surrounding each grid point. The differential equation is integrated over each control volume (CV) in order to derive the algebraic equation containing the grid-point values of  $\varphi$ , where  $\varphi$  is the dependent variable. The FVM is conservative and can deal with complex geometries [18, 73], thus it is particularly suitable for modelling interfacial flows with moving bodies due to the requirement of mass conservation, the deformed interface and moving boundaries, and therefore it is adopted in the present study.

<sup>216</sup> Consider a volume of fluid  $\Omega$  which has an arbitrary domain, the surface <sup>217</sup> of the control volume is S and the unit outward normal vector to the face <sup>218</sup> f is n. All the governing equations can be recast into a general integral <sup>219</sup> formulation as below

$$\iiint_{\Omega} \frac{\partial}{\partial t} (\rho \varphi) \mathrm{d}\Omega + \iint_{S} (\rho \boldsymbol{u} \cdot \boldsymbol{n}) \varphi \mathrm{d}S = \iint_{S} \Gamma \frac{\partial \varphi}{\partial n} \mathrm{d}S + \iiint_{\Omega} Q_{\varphi}^{\mathrm{S}} \mathrm{d}\Omega, \quad (13)$$

where  $\varphi$  denotes the dependent variable,  $\Gamma$  is the viscosity and  $Q_{\varphi}^{S}$  is the source term in the control volume.

Table 1 shows the various values of  $\varphi$ ,  $\Gamma$  and  $Q_{\varphi}^{\rm S}$  in the general integral formulation to represent the Navier–Stokes equations and the volume fraction equation. It is noted that the final form of the continuity equation (9) used here is obtained under the assumption that the fluid is incompressible.

Table 1: Values of  $\varphi$ ,  $\Gamma$  and  $Q_{\varphi}^{\rm S}$  in the general integral formulation to represent the Navier–Stokes equations.

Equation	arphi	Γ	$Q^{ m S}_{arphi}$
Continuity	1	0	$ ho\psi(t)$
Momentum	$\boldsymbol{u}$	$\mu + \mu_t$	$-\boldsymbol{\nabla}p+\rho\boldsymbol{g}+\rho\boldsymbol{u}\psi(t)$
Volume fraction	F	0	$ ho F oldsymbol{ abla} \cdot oldsymbol{u}$

# 226 3.2. Computational grid

In this study, a staggered, Cartesian grid, which has the advantage of 227 strong coupling between the velocity and the pressure is used to discretise 228 the flow domain. Figure 2(a) shows a typical variable arrangement in a 3D 220 Cartesian grid, in which the velocities are located at the centre of the CV's 230 face, and the pressure, all other scalar variables and the volume fraction F231 are stored at the CV's centre. Figure 2(b) shows a typical control volume 232 used in the present study, in which P is the present grid point (or node), the 233 upper-case letter E, W, N, S, B, and R denote neighbouring nodes on the 234 east, west, north, south, back, and front with respect to the central node P. 235 The lower-case e, w, n, s, b, and r denote the corresponding face of the CV 236 whereas c denotes the centre of the CV. 237

Thus, the CV's volume is obtained as

$$\Omega = \iiint_{\Omega} \mathrm{d}\Omega = \Delta x \Delta y \Delta z. \tag{14}$$

The area of the face A is similarly calculated, *e.g.*, the one of the east face  $A_{\rm e}$  is

$$A_{\rm e} = \iint_{\rm e} \mathrm{d}S = \Delta y \Delta z. \tag{15}$$

Unless stated otherwise the variable on the face is predicted with linear interpolation

$$\varphi_{\rm e} = \lambda_{\rm e} \varphi_{\rm P} + (1 - \lambda_{\rm e}) \varphi_{\rm E}, \qquad (16)$$

where  $\lambda_{\rm e}$  is the interpolation factor defined as

$$\lambda_{\rm e} = \frac{|\rm eE|}{|\rm PE|}.\tag{17}$$

Analogous expressions can be derived for all other faces (f=w, n, s, b, r) by making appropriate index substitutions and will not be shown here.



(a) variable arrangement (

(b) notation in a control volume

Figure 2: Variables used for the CV (i, j, k) in a 3D staggered grid. Velocities u(i, j, k), v(i, j, k), and w(i, j, k) are stored at the centre of the east, back, and north face of the CV. Pressure and other scalar quantities  $\varphi(i, j, k)$  are stored at the centre of the CV.  $\Delta x$ ,  $\Delta y$ , and  $\Delta z$  are the CV's length, and i, j, and k are the unit vectors in the x, y, and z directions, respectively.

# 240 3.3. Navier-Stokes solver

#### 241 3.3.1. Temporal discretisation

A backward finite difference method is used for the time derivative, which leads to an implicit scheme for the Navier–Stokes equations

$$Q_{\varphi}^{\mathrm{T}} = \iiint_{\Omega} \frac{\partial}{\partial t} (\rho \varphi) \mathrm{d}\Omega = \frac{\rho_{\mathrm{c}}^{n+1} \varphi^{n+1} - \rho_{\mathrm{c}}^{n} \varphi^{n}}{\Delta t} \Omega, \qquad (18)$$

where  $\Delta t$  is the time step and the superscripts n + 1 and n mean the value in current and previous time step, respectively. The implicit scheme has the advantage of unconditional stability and thus can prevent the instability problem in small cut-cells discussed in Section 3.5.

#### 248 3.3.2. Spatial discretisation

The Navier-Stokes equations are discretised in space using a finite volume formulation on a non-uniform, staggered, Cartesian grid as shown in Figure 2(b). The high-resolution scheme [74] is used for the advection terms. The second-order central difference scheme is used for diffusion terms, pressure gradient terms and the pressure correction equations. However in cut cells, all these disretised terms will need to be modified and this will be discussed in detail in Section 3.5.

Substituting all the discretised terms into Eq. (13) and subtracting the continuity equation  $\partial \rho / \partial t + \nabla \cdot (\rho \boldsymbol{u}) = \rho \psi(t)$  multiplied by  $\varphi_{\mathrm{P}}^{n+1}$ , leads to

$$a_{\rm P}^{\varphi}\varphi_{\rm P}^{n+1} = \sum a_{\rm nb}^{\varphi}\varphi_{\rm nb}^{n+1} + b_{\rm P}^{\varphi},\tag{19}$$

where  $a^{\varphi}$  is the coefficient, the subscripts P and nb = E, W, N, S, B, R denote the variables in the present and neighbouring cells respectively and  $b_{\rm P}^{\varphi}$  is the source term.

The algebraic equations are solved by the SIP (Strongly Implicit Procedure) method or Bi-CGSTAB (Bi-Conjugate Gradients Stablized) Method [75] in this study.

#### 264 3.3.3. Pressure-velocity coupling

In the incompressible Navier–Stokes equations pressure and velocity are decoupled as the pressure term does not appear in the continuity equation. For some numerical discretisations this may cause convergence problems. However, when a staggered mesh is used, as in this work, coupling occurs as a result of the discretisation, as velocity updates on cell faces contain pressure terms. In this study, the PISO algorithm [76] is employed for the pressure-velocity coupling. The PISO algorithm is used to calculate the corrected pressure twice and after solving the pressure correction equations, the updated pressure and velocity are added by the pressure and velocity correction terms respectively. Here only a brief summary is presented and more details can be found in [77]

For a guessed pressure distribution  $p^*$ , the discretised momentum equations can be solved to produce the fluid velocities  $u^*$ , which satisfy

$$a_{\rm P}^{u} u_{\rm f}^{*} = \sum a_{\rm nb}^{u} u_{\rm nb}^{*} + b_{\rm P}^{u} + A_{\rm f} (p_{\rm P}^{*} - p_{\rm nb}^{*}).$$
(20)

To obtain the pressure correction, the updated fluid velocities are substituted into the discretised continuity equation Eq. (9) and the resulting pressure correction equation has the following form

$$a_{\rm P}^{p} p_{\rm P}' = \sum a_{\rm nb}^{p} p_{\rm nb}' + b_{\rm P}',$$
 (21)

where the term  $b'_{\rm P}$ , called the mass residual, in the pressure correction equation is the left-hand side of the discretised continuity equation evaluated in terms of the fluid velocities  $u^*$ .

In the PISO algorithm [76], a second correction step is introduced as

$$a_{\rm P}^{p} p_{\rm P}^{\prime\prime} = \sum a_{\rm nb}^{p} p_{\rm nb}^{\prime\prime} + b_{\rm P}^{\prime\prime}, \qquad (22)$$

where the coefficients have the same value in the first pressure correction equation shown in Eq. (21) and the source term has been changed based on the value of first velocity correction u'.

After solving the first and second pressure corrections, the solutions are

updated as

$$p = p^* + p' + p'',$$

$$u = u^* + u' + u'',$$
(23)

where

$$\boldsymbol{u}_{\rm f}' = \frac{A_{\rm f}}{a_{\rm P}^{\boldsymbol{u}}} (p_{\rm P}' - p_{\rm nb}'),$$

$$\boldsymbol{u}_{\rm f}'' = \frac{\sum a_{\rm nb}^{\boldsymbol{u}} \boldsymbol{u}_{\rm nb}' + A_{\rm f} (p_{\rm P}'' - p_{\rm nb}'')}{a_{\rm P}^{\boldsymbol{u}}}.$$
(24)

<sup>282</sup> 3.4. VOF solver for interface capturing

A key requirement for simulating two-phase flows is a method for calcu-283 lating the shape of the interface. Numerous methods have been proposed and 284 used for the simulation of free-surface/two-phase flows. However, the VOF 285 method for capturing the interface is one of the most popular approaches 286 due to its advantages: mass conservation, computational efficiency and easy 287 implementation. From a general point of view, there are two classes of algo-288 rithms to solve the F transport equation (Eq. (4)): algebraic and geometric 289 computation [78]. Excellent reviews on the VOF methods can be found in 290 [78, 79]. 291

Considering the advantages of the VOF method and efficiency in alge-292 braic computation, the high resolution VOF scheme Compressive Interface 293 Capturing Scheme for Arbitrary Meshes (CICSAM) is employed in this study 294 to capture the air-water interface for two-phase flows. CICSAM is a high res-295 olution scheme based on the normalised variable diagram used by Leonard 296 [17]. It contains two high resolution schemes and the weighting factor is 297 based on the angle between the interface and the direction of motion. An 298 outline of CICSAM is given below. Refer to [80] for more details. 299

300 The normalised variable  $\tilde{F}$  is defined as

$$\tilde{F} = \frac{F - F_{\rm U}}{F_{\rm A} - F_{\rm U}},\tag{25}$$

where the subscript A indicates the acceptor and U the upwind cell. The Hyper-C scheme [17], which follows the upper bound of the Convection Boundedness Criteria (CBC) is used as it is highly compressive and can convert a smooth gradient into a sharp step.

$$\tilde{F}_{f_{CBC}} = \begin{cases} \min\left\{1, \frac{\tilde{F}_{D}}{c_{D}}\right\}, & \text{when } 0 \leq \tilde{F}_{D} \leq 1\\ \tilde{F}_{D}, & \text{when } \tilde{F}_{D} < 0, \tilde{F}_{D} > 1 \end{cases}$$
(26)

where subscript D indicates donor cell,  $c_{\rm D} = \sum_{\rm f} \max\left\{\frac{-V_{\rm f}\Delta t}{\Omega_{\rm D}}, 0\right\}$  is the Courant number of the donor cell and  $V_{\rm f}$  is the volumetric flux. However, the Hyper-C scheme is inadequate to preserve the shape of an interface which lies tangentially to the flow direction. Thus CICSAM switches to the ULTIMATE-QUICKEST (UQ) scheme [17]

$$\tilde{F}_{f_{UQ}} = \begin{cases} \min\left\{\frac{8c_{D}\tilde{F}_{D} + (1 - c_{D})(6\tilde{F}_{D} + 3)}{8}, \tilde{F}_{f_{CBC}}\right\}, & \text{when } 0 \leq \tilde{F}_{D} \leq 1\\ \tilde{F}_{D}, & \text{when } \tilde{F}_{D} < 0, \tilde{F}_{D} > 1 \end{cases}$$
(27)

310 in this case.

Thus, depending on the angle between the interface and the flow, CIC-

312 SAM combines these two schemes, then

$$\tilde{F}_{\rm f} = \gamma_{\rm f} \tilde{F}_{\rm f_{\rm CBC}} + (1 - \gamma_{\rm f}) \tilde{F}_{\rm f_{\rm UQ}}, \qquad (28)$$

<sup>313</sup> in which the weighting factor is given as

$$\gamma_{\rm f} = \min\left\{k_{\gamma} \frac{\cos(2\alpha_{\gamma}) + 1}{2}, 1\right\},\tag{29}$$

where  $k_{\gamma}$  is a constant introduced to control the dominance of the different schemes and the recommended value is  $k_{\gamma} = 1$ ,  $\alpha_{\gamma}$  is the angle between the vector normal to the interface and the vector which convects the centres of donor and acceptor cells.

The final expression for the face value of F is

$$F_{\rm f} = (1 - \beta_{\rm f})F_{\rm D} + \beta_{\rm f}F_{\rm A},\tag{30}$$

<sup>319</sup> where the weight factor  $\beta_{\rm f}$  is obtained by

$$\beta_{\rm f} = \frac{\tilde{F}_{\rm f} - \tilde{F}_{\rm D}}{1 - \tilde{F}_{\rm D}}.\tag{31}$$

It is noted that the normalised variable in Eq. (25) will be divided by 320 zero if the volume fraction F has the same value in the acceptor and upwind 321 cell. In the numerical implementation, the numerator and denominator of 322 the weighting factor in Eq. (31) are multiplied by  $(F_A - F_U)$ , resulting in a 323 modified expression for the normalised variable on the face (not shown here), 324 in order to avoid the singularity in the computation. In the present study, 325 for cut-cells, the flux across each face of the control volume is modified when 326 solving the volume fraction F equation, which will be discussed in Section 3.5. 327

#### 328 3.5. Cartesian cut-cell method

For Cartesian cut-cell methods, an instability problem might occur in 329 small cells when explicit schemes are used. Thus, the cell-merging technique 330 [81] as well as slightly modifying control volumes [66] are usually employed 331 to avoid numerical instability, both of which effectively increase the size of 332 the cut cell. As an alternative, flux-redistribution schemes [57-59] may be 333 employed to deal with small cut-cells. This problem is very cumbersome es-334 pecially for moving body problems as cut-cells require updating/modification 335 in every time step. In the present study, the cut cells are not modified and 336 it is found that numerical instabilities are absent due to the implicit scheme 337 used for time integration. 338

#### 339 3.5.1. Cut-cell information

In the present study, the geometry of the solid is represented by a general level set function LS(x, y, z, t), in which the boundary of the moving body is represented by LS(x, y, z, t) = 0 while the fluid domain has the value of LS(x, y, z, t) > 0 and the solid domain is LS(x, y, z, t) < 0. The cutcell interface between the fluid and solid is calculated by a piecewise linear interface (as shown in Fig. 1), which is a straight-line in 2D and a plane in 346 3D.

For each 3D Cartesian grid cell, the area of each surface and the total volume available for fluids needs to be calculated. A  $\theta$  function is defined here, the value of which is 1 for a point accessible to fluid and 0 for a point inside a solid. The average of this function over a control volume or cell face is the fraction of the volume or area available to the flow. By using this

approach, the volume of the solid can be obtained as:

$$V_{\text{solid}}(t) = (1 - \theta(t))V.$$
(32)

It is worth noting that  $\theta$  is a function of time t here, for a stationary body, the  $\theta$  function is only needed to be calculated once at the beginning as used for a fixed body simulation.

In order to calculate the area and volume of the cut-cell, how the solid 350 boundary cuts the Cartesian cell needs to be determined first. The marching 351 tube algorithm [82] is employed and the grid nodes can be classified as inside 352 or outside of the solid based on the sign of the level set function LS(x, y, z, t). 353 For 3D, there are 8 grid nodes in each cell so that  $2^8$  configurations are 354 possible. By using the inversion and rotation of different configurations, the 355 256 cases can be reduced to 15 cases as shown in Fig. 3 for a typical cut cell 356 in a 3D Cartesian grid, which includes zero, one, two, three, and four points 357 being cut through the Cartesian grid cell. 358

Once the configuration of the cut cell has been determined, the intersec-359 tion point along each edge can be obtained by linear interpolation of the level 360 set function of two neighbouring grid nodes. Once the point of intersection 361 of the line with the cut plane is found, the geometric information can be 362 calculated. Finally, the face areas and total volume of the truncated cell are 363 calculated by numerical integration. In contrast to a full fluid cell, the spatial 364 discretisation at cell faces and cell centres are modified in a cut cell, which 365 will be presented in the following terms. 366





(a) zero point

(b) one point





(c) two points







(d) three points







(e) four points

Figure 3: Example of 3D cut cell configurations, which show how many points have been cut through a Cartesian grid cell: (a) zero point (either pure fluid or solid cell); (b) one point; (c) two points; (d) three points; (e) four points. There are 256 cases in total, but after inversion and rotation, the 256 cases can be reduced to 15 cases shown here. \$23\$

# 367 3.5.2. Advection term

The finite volume discretisation of the advection term in Eq. (13) is obtained as

$$Q_{\varphi}^{C} = \iint_{S} (\rho \boldsymbol{u} \cdot \boldsymbol{n}) \varphi dS = \sum_{f} (\theta A)_{f} [(\rho \boldsymbol{u} \cdot \boldsymbol{n}) \varphi]_{f}$$
$$= \sum_{f} (\rho \boldsymbol{u} \cdot \boldsymbol{n} \theta A)_{f} \varphi_{f}$$
$$= \sum_{f} m_{f} \varphi_{f}, \qquad (33)$$

where the subscript f denotes the corresponding face of the control volume, A is the area of the face and m is the mass flux through the face

$$m = \rho \boldsymbol{u} \cdot \boldsymbol{n} \boldsymbol{\theta} \boldsymbol{A}. \tag{34}$$

In cut cells, the mass flux has also to be modified by the  $\theta$  function on the boundary. If  $\theta = 0$  (such as the west face of the first case in Fig. 3(e)), there is no mass flux through the face and the advective flux is obtained as

$$m_{\rm f} = 0. \tag{35}$$

The mass flux at the faces of the momentum control volume can be obtained by the interpolation of values of  $\rho$  and  $\boldsymbol{u}$ , such as  $m_{\rm f} = \rho_{\rm f} \boldsymbol{u}_{\rm f} \cdot \boldsymbol{n} \theta_{\rm f} A_{\rm f}$ , however, the mass conservation in the momentum control volume can be only guaranteed to the accuracy of the interpolation procedure [18]. Thus, in this study, the  $m_{\rm f}$  is obtained from the interpolation of the mass fluxes, which is already available at the faces of the continuity control volumes. For interface capturing, the face value  $F_{\rm f}$  is obtained from the CICSAM scheme in Eq. (31). For the momentum equations, the face value  $\varphi_{\rm f}$  can be obtained from different schemes and more details can be found in [74]. A high resolution scheme [73], which combines the high-order accuracy with monotonicity, is used in this study to discretise the value at the face as

$$\varphi_{\rm f}^{\rm HRS} = \varphi_{\rm f}^{\rm FOU} + \Phi(r_{\rm f}^m)\Psi(r_{\rm f}^{\varphi})(\varphi_{\rm f}^{\rm SOU} - \varphi_{\rm f}^{\rm FOU}), \qquad (36)$$

in which  $\varphi_{\rm f}^{\rm FOU}$  and  $\varphi_{\rm f}^{\rm SOU}$  are the corresponding values obtained from the first-order and second-order upwind schemes.

 $\Psi()$  is the limiter function where the minmod limiter [83], which is one of the simplest second-order TVD (Total Variation Diminishing) schemes, is used here

$$\Psi(r) = \max[0, \min(r, 1)].$$
(37)

Similar results are obtained by using other limiter functions and a general review of various limiter functions can be found in [84] and will not be repeated here.  $r_{\rm f}^{\varphi}$  represents the ratio of successive gradients of  $\varphi$  on the solution mesh and obtained as

$$r_{\rm f}^{\varphi} = \frac{\varphi_{\rm A} - \varphi_{\rm D}}{\varphi_{\rm D} - \varphi_{\rm U}},\tag{38}$$

where subscripts D, A, and U denote donor cell, acceptor cell, and upwind
 cell, respectively.

As discussed in the previous study [74],  $\Phi()$  is the step function and  $r_{\rm f}^m$ is the variation for the mass, defined as the ratio of the mass flux between <sup>399</sup> the conservative and non-conservative forms

$$r_{\rm f}^m = \frac{(\rho \boldsymbol{u} \cdot \boldsymbol{n} \theta A)_{\rm f}}{\rho_{\rm c} (\boldsymbol{u} \cdot \boldsymbol{n} \theta A)_{\rm f}}.$$
(39)

400 The step function  $\Phi()$  takes the form

$$\Phi(r) = \begin{cases} 1, & \text{if } |r| \leq 1; \\ 0, & \text{otherwise,} \end{cases}$$
(40)

which means that the present high resolution scheme switches to the firstorder upwind scheme when the density on the cell face is larger than the
density in the cell centre.

#### 404 3.5.3. Diffusion term

The finite volume discretisation of the diffusion term in Eq. (13) is obtained as

$$Q_{\varphi}^{\mathrm{D}} = \iint_{S} \Gamma \frac{\partial \varphi}{\partial n} \mathrm{d}S = \sum_{\mathrm{f}} \Gamma_{\mathrm{f}} \frac{\partial \varphi}{\partial n} (\theta A)_{\mathrm{f}}, \qquad (41)$$

where the viscosity on the face is obtained by the harmonic mean [85], for
example, on the east face

$$\Gamma_{\rm e} = \frac{\Gamma_{\rm P} \Gamma_{\rm E}}{\lambda_{\rm e} \Gamma_{\rm P} + (1 - \lambda_{\rm e}) \Gamma_{\rm E}},\tag{42}$$

where  $\lambda_{e} = |eE| / |PE|$ . Analogous expressions can be derived for all other faces (f=w, n, s, b, r) by making appropriate index substitutions and will not be shown here. <sup>412</sup> The gradient at the face is calculated by the finite difference approach as

$$\frac{\partial \varphi}{\partial n} = \frac{\varphi_{\rm nb} - \varphi_{\rm P}}{\Delta_{\rm Pnb}},\tag{43}$$

<sup>413</sup> where  $\Delta_{Pnb}$  is the distance from the present point P to the neighbouring <sup>414</sup> point nb.

When the control volume is a cut cell, special attention has to be paid to the spatial discretisation. When the face of a momentum control volume is on the wall, the diffusion flux is obtained as

$$\iint_{S} \Gamma \frac{\partial \varphi}{\partial n} \mathrm{d}S = \sum_{\mathrm{f}} \Gamma_{\mathrm{f}} \frac{\partial \varphi}{\partial n} (\theta A)_{\mathrm{f}} + \tau_{\mathrm{w}} [(1-\theta)A]_{\mathrm{f}}, \tag{44}$$

where  $\frac{\partial \varphi}{\partial n}$  is calculated by the finite difference approach in (43) and  $\tau_{\rm w}$  is the shear stress of the wall on the face of the control volume.

# 420 3.5.4. Source term

The finite volume discretisation of the source term in Eq. (13) is obtained as below:

423 Pressure term. The finite volume discretisation of the pressure term is ob424 tained as

$$Q_{\varphi}^{\mathbf{p}} = \iiint_{\Omega} - \boldsymbol{\nabla} p \mathrm{d}\Omega = -\boldsymbol{\nabla} p \theta_{\mathrm{c}} \Omega, \qquad (45)$$

<sup>425</sup> and the pressure gradient is calculated as

$$\nabla p = \left(\frac{\partial p}{\partial x}, \frac{\partial p}{\partial y}, \frac{\partial p}{\partial z}\right)$$

$$= \left(\frac{p_{\rm e} - p_{\rm w}}{\Delta x}, \frac{p_{\rm b} - p_{\rm r}}{\Delta y}, \frac{p_{\rm n} - p_{\rm s}}{\Delta z}\right).$$
(46)

Body force term. The finite volume discretisation of the body force term is
obtained as

$$Q_{\varphi}^{\mathrm{B}} = \iiint_{\Omega} \rho \boldsymbol{g} \mathrm{d}\Omega = \rho_{\mathrm{c}} \boldsymbol{g} \theta_{\mathrm{c}} \Omega, \qquad (47)$$

where the value in the centre of the control volume is obtained by the volumeaveraging of two values on the face of the control volume.

Internal source term. The finite volume discretisation of the internal source
term is obtained as

$$Q_{\varphi}^{\mathrm{I}} = \iiint_{\Omega} \rho \boldsymbol{u} \psi(t) \mathrm{d}\Omega = \rho_{\mathrm{c}} \boldsymbol{u} \psi_{\mathrm{c}}(t) \theta_{\mathrm{c}} \Omega.$$
(48)

432 3.6. Procedure

In summary, the overall solution procedure of the present two-phase flow
model with moving bodies for one time step is detailed as:

- (1) Setup boundary conditions and moving bodies with pre-specified motion: Section 2.5.
- (2) Calculate the cut-cell information and the  $\theta$  function for the area and volume available for the flow in each cells, and compute the internal source function  $\psi(t)$ : Section 3.5.1.
- (3) Solve the volume-of-fluid function F: Section 3.4.
- (4) Update the fluid properties, density  $\rho$  and dynamic viscosity  $\mu$ : Section 2.2.2.
- (5) Calculate the turbulent eddy viscosity  $\mu_t$  if the dynamic SGS model is used: Section 2.4.

- (6) Setup the coefficients  $a^{\boldsymbol{u}}$  and  $b_{\mathrm{P}}^{\boldsymbol{u}}$  based on the latest pressure  $p^*$  and velocity  $\boldsymbol{u}$  and solve for the intermediate velocity  $\boldsymbol{u}^*$ : Section 3.3.3.
- (7) Setup the coefficients  $a^p$  and  $b'_p$  and solve for the first pressure correction p' and calculate u': Section 3.3.3.
- (8) Setup the coefficients  $b_{\rm P}''$  and solve for the second pressure correction p'' and calculate u'': Section 3.3.3.

(9) Update the pressure  $p = p^* + p' + p''$  and the velocity  $u^* + u' + u''$ : Section 3.3.3.

(10) Go back to step (6) if the mass residule is larger than a threshold,
otherwise go to next time level in step (1): Section 3.3.

#### 454 4. Results and discussion

A grid convergence study of the two-phase flow model, the benchmark 455 problem of a 3D collapse of a water column without a structure is carried out 456 first to test the interface capturing method at various grid resolutions. The 457 validation of the cut-cell method is then demonstrated by studying dambreak 458 flow interacting with a fixed square cylinder. Numerical results are quantita-459 tively compared with experimental data in terms of velocity and force acting 460 on the cylinder. With the goal to validate the cut-cell method involving mov-461 ing bodies, a single-phase flow problem for a moving cylinder in a quiescent 462 fluid is studied followed by a two-phase flow with moving bodies. Therefore, 463 2D dambreak flow over a wet bed is studied with the movement of the gate 464 being simulated directly using the proposed moving cut-cell method, and the 465

computed water surface behavior is compared qualitatively with experimental observations. After that, the validation of the Cartesian cut-cell/VOF method with moving bodies is further demonstrated by studying the exit and entry of a circular cylinder into a fluid. Finally, the method is employed to study 3D water wave generation due to a sliding wedge.

#### 471 4.1. Convergence study - 3D collapse of a water column

The classical benchmark case of a 3D dambreak flow is considered, which has been studied in detail experimentally in [86] and numerically, for instance in [87]. The motion of the water (i.e. water height on the wall and spread length on the bottom) during water collapse were recorded in the experiment and are used here to validate the present two-phase flow model.

The computational domain is  $4a \times a \times 4a$  in the streamwise, spanwise, 477 and vertical directions, respectively. Initially, a column of water with 2a478 height and a width is placed on the bottom left of the tank and a = 0.25 m 479 is used here. Here, b and c denote the time history of the remaining height 480 and surge front position of the water column and the schematic is shown in 481 Fig. 4. The computational domain is discretised with three different sets of 482 uniform meshes with  $32 \times 8 \times 32$ ,  $64 \times 16 \times 64$ , and  $128 \times 32 \times 128$  in the 483 streamwise, spanwise, and vertical directions, respectively. 484

Fig. 4 depicts snapshots of the remaining water height (left) and surge fron position (right) as computed together with experimental data [86]. As far as the water column height is concerned, simulation results on all three grids are in very good agreement with the experimental data (Fig. 4, left). As far as the surge front position is concerned, due to the fact that the dam cannot be removed instantaneously in the experiment, a small time



Figure 4: Results of the numerical simulations on three different meshes together with experimental data [86] for remaining water column height b (left) and surge front position c (right), both as a function non-dimensional time.

lag in the experimental data should exist, which is also observed in other
numerical simulations. In general, the numerical results converge to the
correct solution when refining the mesh and there is only a marginal difference
in surge position between the medium and fine mesh simulations.

In order to further study the convergence rate of the present method, 495 the free surface profiles obtained by the simulations on the three meshes at 496 an instant t = 0.2 s (shown in the schematic of Fig. 4) are compared with 497 the benchmark solution. An additional simulation with an even finer mesh 498  $256 \times 64 \times 256$  is carried out until t = 0.2 s and the so-computed air-water 499 interface is taken as the benchmark solution. Fig. 5 shows the calculated  $L_1$ , 500  $L_2$ , and  $L_{inf}$  errors with respect to the benchmark solution. It can be seen 501 that the convergence rate is between first-order and second-order, and it is 502 more close to second-order when approaching the fine mesh. This is expected 503 as although second-order discretisation is used for the diffusion term, the 504



Figure 5: Error norm as a function of grid resolution for the 3D collapse of a water column. Lines for first-order and second-order behavior are also plotted for reference.

<sup>505</sup> advection term is discretised by a high-resolution scheme, which combines <sup>506</sup> the high order accuracy with monotonicity for the first-order scheme.

#### 507 4.2. 3D dambreak flow interacting with a fixed square cylinder

In this section, a 3D two-phase flow interacting with a fixed structure is 508 considered to verify and validate the implementation of the Cartesian cut-509 cell method. The dambreak flow interacting with a square cylinder is often 510 considered as a benchmark case and this has been studied in [70], among 511 others. The computational setup (shown in Fig. 6) is the same as in [70] 512 except that a full domain is considered in the present study, rather than 513 using only half of the domain with symmetry boundary conditions along 514 the centre of the domain as was used by [70]. The tank dimensions are 1.6 515 m  $\times$  0.61 m  $\times$  0.60 m in the streamwise, spanwise, and vertical direction, 516 respectively. The initial height of the water behind a thin gate is 0.4 m and a 517



Figure 6: Computational setup for the 3D dambreak flow over a square cylinder. The red dot indicates the location where velocity measurements were carried out.

thin layer of water of 0.01 m depth is set downstream of the gate. The square 518 cylinder (with 0.12 m edge) is placed 0.5 m downstream of the gate and the 519 lateral distance to the sidewalls is 0.24 m. In the experiments, the net force 520 on the cylinder was measured and an LDV system was employed to monitor 521 the fluid velocity at a fixed location (0.146 m upstream of the centre of the)522 cylinder and 0.026 m off the floor of the tank) indicated as a red point in 523 Fig. 6. The computational domain is discretised with a  $160 \times 61 \times 60$  uniform 524 mesh, where  $\Delta x = \Delta y = \Delta z = 0.01$  m, the same as used in [70]. 525

Fig. 7 presents snapshots of the air-water interface as the flow interacts with the square cylinder. Initially, the water column collapses due to gravity and a two-dimensional bore is generated. When the bore hits the cylinder, three-dimensional flow is observed. Part of the bore reflects back in front of



Figure 7: Snapshots of the air-water interface at selected instants in time



Figure 8: Time-history of horizontal velocity (left) and force acting on the square cylinder (right). The location where velocities are measured is indicated as red point in Fig. 6).

the cylinder while other parts wrap around the cylinder. The separated two bores merge together in the wake zone downstream and start to climb on the end wall. After that, the main bore collapses and is reflected back from the end wall to catch up with the reflected bore from the cylinder, generating splash-up and air entrainment. These snapshots qualitatively agree well with the simulation results in [70].

The fluid velocity and net force acting on the cylinder as a function of time are plotted in Fig. 8. Overall, the numerical results (solid lines) agree well with the experimental data (symbol), especially peak value of horizontal velocity and phase of the force acting on the square cylinder, which demonstrates the accuracy of the Cartesian cut-cell method in the two-phase flow model.

# 542 4.3. Moving circular cylinder in a quiescent fluid

After validating the two-phase flow model interacting with a fixed body, moving body problems are considered with the goal to validate the moving

body algorithm with the cut-cell method. First, a single-phase flow problem 545 of a moving circular cylinder in a quiescent fluid is studied. At each time 546 step the solid volume (Eq. (7)) and hence the cut-cells require updating as 547 the cylinder changes its position whilst fluid movement is computed in the 548 entire domain. The computational domain is  $[-10D, 30D] \times [-20D, 20D]$ 549 with a uniform mesh of  $1280 \times 1280$ . The cylinder is initially positioned at 550 x = 20D and suddenly set into motion with a constant velocity of  $-U_{\rm mov}$ 551 moving to the left until x = 0D. Zero velocity fluid is set for the initial 552 condition and the no-slip boundary condition is applied at all boundaries. A 553 Reynolds number of  $Re = U_{\rm mov}D/\nu = 40$  is considered. A constant time step 554 with CFL number of 1.0 is used in the simulation in order to demonstrate the 555 capability of the implicit time integration treatment for the cut-cell method. 556 Fig. 9 shows snapshots of vorticity contours around the impulsively mov-557 ing circular cylinder at non-dimensional time of 1, 5, 10, and 20. A symmetric 558 vortex pair develops during the movement of the cylinder. The flow reaches 559 a steady state and no vortex shedding occurs at this Reynolds number, in 560 analogy to many other studies of constant flow past a stationary cylinder at 561 Re = 40. The drag coefficient  $C_D$  as a function of time is plotted in Fig. 10 562 and the value obtained at the final stage is compared with other experimen-563 tal and computational studies for fixed and moving cylinders in Table 2. It 564 is worth mentioning that although we use a large CFL number (1.0) and 565 the non-dimensional computational time is shorter than the case for fixed 566 cylinder, our simulation compares well with other studies. 567



Figure 9: Snapshots of contours of the vorticity around a moving circular cylinder for Re = 40 at non-dimensional times of 1, 5, 10, and 20.



Figure 10: Drag coefficient of a circular cylinder moving through a quiescent fluid at Re = 40.

Table 2: Comparison between the experiment, other simulations, and the present study for a cylinder at Re = 40.

Study	method	$C_{\mathrm{D}}$
Tritton [88]	experiment	1.59
Fornberg [89]	fixed cylinder	1.50
Marella et al. $[90]$	fixed cylinder	1.52
Shirgaonkar et al. $[91]$	moving cylinder	1.52
Wu et al. [92]	moving cylinder	1.554
Present	moving cylinder	1.58



Figure 11: Computational setup for the 2D dambreak flow over a wet bed (not to scale). The gate is moving with a constant vertical velocity  $U_{\text{gate}} = 1.5 \text{ m/s}$ .

# 568 4.4. Dambreak over a wet bed with a moving gate

After successful validation of the moving body algorithm using the cut-569 cell method for single-phase flows, moving body problems for two-phase flows 570 are considered. The dambreak flow over a wet bed with a moving lock gate 571 is studied using experimental data reported in [93]. In the experiment, the 572 tank has two parts with a lock gate separating a channel and a lock as shown 573 in Fig. 11. The length of the channel downstream is 9.55 m covered by 0.018574 m deep water and the length of the lock upstream is 0.38 m and its initial 575 height of water is 0.15 m. In the experiment, the gate separating the lock 576 and the channel was moved upward with an approximate constant velocity 577 of  $U_{\rm gate} = 1.5$  m/s. The computational domain of dimensions 9.93 m  $\times$  0.3 578 m is discretised by a  $800 \times 80$  non-uniform mesh in the streamwise, vertical 579 directions, respectively. The fine mesh of  $\Delta x = \Delta y = 0.0025$  m is deployed 580 near the gate at the lower part of the computational domain. 581

The calculated progression of the dambreak flow over a wet bed is visualised by the blue contours indicating the water face in the right column of Fig. 12. Also depicted in the left column are photographs of the experi-

ment of [93] at the same instants in time as the numerical simulation. Due 585 to gravity, the water in the lock (left of the gate) pushes the still water 586 on the wet bed away after removal of the gate. The simulation produces a 587 "mushroom"-shaped jet initially, which agrees well with the experiment. The 588 direct simulation of the moving gate plays an important role here, because 589 some fluid is dragged upwards with the gate and the initial release of the 590 water is restricted and somewhat delayed. Later, the "mushroom" jet devel-591 ops into a plunging jet, touching down on the water surface and generating 592 a secondary jet with an air cavity underneath; simultaneously the remains of 593 the "mushroom" jet impinge on the water surface on the upstream side of the 594 front with air entrainment during the breaking process. Overall, a good qual-595 itative match is obtained between the simulation and experiment in terms of 596 behaviour of the fluid, capturing well the breaking waves, splash-up and air 597 entrainment. 598

#### 599 4.5. Water exit and entry of a circular cylinder

Water exit and entry of solid objects have both theoretical and prac-600 tical applications, especially in ocean and offshore engineering. Such fluid-601 structure interaction flows have been studied numerically using the boundary 602 element method (BEM) [94], 2D single-phase free surface models with par-603 tial cell treatment [32] and 3D two-phase flow models with the immersed 604 boundary method [33]. The same parameters as those used in [32] are cho-605 sen here: the radius of a circular cylinder is R = 1.0 m and its centre is 606 initially located at a distance d = 1.25 m below the still water level. The 607 gravitational acceleration is  $g = 1.0 \text{ m/s}^2$  and the upward velocity of the 608 cylinder is  $U_{\text{cylinder}} = 0.39 \text{ m/s}$ . As the focus is on the impact region, a 609



Figure 12: Visualised dambreak flow as observed in the experiment [93] (a) and as numerically predicted (b). The gate is simulated with a constant upward velocity  $U_{\text{gate}} = 1.5$  m/s.

smaller computational domain  $20R \times 10R$  is used and it is discretised by a uniform mesh  $400 \times 200$  in the streamwise and vertical directions, respectively. The mesh resolution  $\Delta x = \Delta y = 0.05R$  is the same as in [32, 33] for the fine mesh region in a non-uniform grid. The simulation is carried out until the non-dimensional time  $T = |U_{cylinder}t/D| = 3$ .

Fig. 13 shows snapshots of the air-water interface together with the cylin-615 der for the water exit problem. As the cylinder reaches T = 0.2, the air-water 616 interface deforms in the region above the cylinder. At T = 0.4 and T = 0.6, 617 more significant water surface deformation is observed taking the shape of 618 the cylinder in its vicinity. At these two time instants, the predicted results 619 compare well with the BEM results by [94] (red symbols). At T = 0.8 and 620 T = 1.0, a thin layer of fluid is found on the surface of the cylinder and 621 as displaced fluid flows back into the water body a depression of the water 622 surface is observed on either side of the cylinder. The thin layer of fluid 623 around the cylinder eventually dries up (T = 2.0) before the cylinder exists 624 the water completely (T = 3.0). The present results are similar to those 625 obtained by the single-phase flow model of [32] and very close to those given 626 by the two-phase flow model of [33]. The thin water film over the cylinder 627 appears better resolved with two-phase flow models. 628

For the water entry problem, the overall setup is the same as the water exist problem except that the cylinder starts at a distance d = 1.25 m above the still water level and moves with a constant downward velocity  $U_{\text{cylinder}} = -1.0 \text{ m/s}$ .

Fig. 14 shows profiles of the air-water interface at selected instants in time for water entry of a moving cylinder. Two oblique jets are generated



Figure 13: Snapshots of the air-water interface profile (blue line) for water exit of a horizontal cylinder. Also plotted are the BEM results of [94] in (c) and (d).

during the impact and the triple point between air-water-solid moves along the cylinder surface. There is a water surface depression when the cylinder moves further downward. After the cylinder is completely submerged, the elevated areas of water meet in the centre above the cylinder and generate a small upward jet. The simulation results agree with those given in [32] albeit a slightly different jet shape occurs, probably due to the different approach used here.

# 642 4.6. Water wave generation by a 3D sliding wedge

The water waves generated by a 3D sliding wedge has been studied both 643 experimentally and numerically in [95]. In the experiments, the initial po-644 sition of the wedge varied from subaerial to submerged. The subaerial case 645 has been studied in [33, 95] and here the focus is on the submerged wedge 646 case. The setup is the same as in the experiment and details can be found in 647 [95]. The computational domain of 6.6 m  $\times$  3.7 m  $\times$  3.3 m is discretised by 648 a  $220 \times 160 \times 220$  uniform Cartesian grid in the streamwise, spanwise, and 649 vertical directions, respectively, with its origin being setup at the shoreline 650 along the central plane. The motion of the sliding wedge in the simulation 651 is prescribed and is the same as in the experiment. 652

Fig. 15 shows snapshot of the air-water interface profile together with the location of the wedge as it moves down the slope. At the beginning, the submerged wedge induces downward velocity above the wedge, which causes a depressed air-water interface above the wedge and push the water surface upward in front of the wedge. A small positive wave and a large negative wave are generated as the wedge reaches its full speed. A positive wave is also generated behind the wedge when it moves down. It can be seen that



Figure 14: Profiles of the air-water interface for water entry of a horizontal cylinder at slected instants in time.

three-dimensional waves are generated due to the 3D wedge, propagating laterally offshore. In the shoreline region, because of the slide movement, the runup height has negative values at the beginning and a rebounding wave causes the shoreline to rise up later and propagate outward.

In order to quantitatively assess the simulation's accuracy, the time history of the computed water surface elevation and the wave gauge data of the experiment are plotted in Fig. 16 for comparison. The two wave gauges (one in front of the wedge's initial location and the other is at the side of the wedge's initial location shown in Fig. 15). The overall agreement between simulation and measurement is quite convincing, especially at the beginning of the sliding process.

#### 671 5. Conclusions

In this paper, a Cartesian cut-cell/volume-of-fluid method has been de-672 veloped for the computation of 3D two-phase flows with moving bodies. The 673 method is based on the 3D two-phase flow model over fixed complex topog-674 raphy of [96] to which a moving body algorithm with the source function 675 approach [69, 70] has been added. The method of large-eddy simulation has 676 been adopted, i.e. it is solves the space filtered Navier–Stokes equations and 677 employs the dynamic Smagorinsky subgrid-scale model to compute the un-678 resolved scales of turbulence. The finite volume method has been adopted 679 to discretise spatial derivatives with the PISO algorithm for the pressure-680 velocity coupling, and a backward finite difference discretisation to integrate 681 the equations in time, leading to a fully implicit scheme for the govern-682 ing equations. The air-water interface is captured using the high resolution 683



Figure 15: Air-water interface at selected instants in time. The wedge's initial position is 0.1 m below the mean water level. The time interval between plots is 0.3 s. The two wave gauges are located at (x, z) = (1.83, 0) and (x, z) = (1.2446, 0.635) shown as vertical lines on the top left figure.



Figure 16: Computed and measured time history of the water surface elevation at two wave gauges. The location of wave gauges (a) and (b) are at (x, z) = (1.83, 0) and (x, z) = (1.2446, 0.635) relative to the centre of initial shoreline.

VOF scheme CICSAM, and detailed implementation of the Cartesian cut-cell
 method has been discussed for fixed and moving bodies.

In order to validate this method, several benchmark cases with different 686 features have been studied. A 3D collapse of a water column has been com-687 puted first. The position of the water front and height of the remaining water 688 surface have been compared with the experimental data and good agreement 689 between numerical and experimental data has been obtained. Thereafter, 690 3D dambreak flow over a vertical square cylinder has been calculated, where 691 quantitative comparisons for the velocity and force on the cylinder have been 692 made between numerical simulations and corresponding experimental data. 693 The occurrence of plunging jet impact and subsequent splash-up have been 694 captured well in the numerical simulation. Then a moving circular cylinder in 695 a quiescent fluid has been studied with the aim to validate the moving body 696

represented by the cut-cell method. Next, a 2D dambreak flow over a wet 697 bed with a moving gate and the water exit and entry of a cylinder have been 698 carried out. The air-water interface profiles have been compared with exper-699 imental data and other numerical results, in which good agreement has been 700 obtained. Finally, a 3D water wave generation due to a submerged sliding 701 wedge has been simulated to show the capability of the present 3D two-702 phase flow model with moving bodies. Snapshots of the air-water interface 703 have been presented and computed water surface profiles showed convincing 704 agreement with experimental data. 705

This study demonstrates the capability of the present Cartesian cutcell/volume-of-fluid model to predict 2D and 3D two-phase flow with moving bodies. The model can act as a complementary approach to experimental investigations to gain further insight into the kinematics and dynamics of three-dimensional wave-structure interaction problems. Future research will focus on the fully coupled fluid-structure interaction and also the adaptive mesh method in order to reduce the computational effort.

#### 713 6. Acknowledgements

We would like to thank the EPSRC projects (number EP/G014264/1 and EP/G015341/1) for helping to fund this work. Z.X. is partially supported by the the Royal Society Advanced Newton Fellowship (NAF/R1/201156). Constructive comments from anonymous reviewers for the improvement of the manuscript are gratefully acknowledged.

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