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# A three-dimensional Cartesian cut-cell/volume-of-fluid method for two-phase flows with moving bodies

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## Abstract

A three-dimensional Cartesian cut-cell method for the large-eddy simulation of two-phase flows with moving bodies is presented in this study, which combines a volume-of-fluid method to capture the air-water interface and a moving body algorithm on a stationary, non-uniform, staggered, Cartesian grid. The filtered Navier–Stokes equations are discretised using the finite volume method with the PISO algorithm for velocity-pressure coupling and the dynamic Smagorinsky subgrid-scale model is employed to compute the effect of the unresolved (subgrid) scales of turbulence on the large scales. In the present study, the small cut-cells are unmodified and due to the use of an implicit time integration no instabilities occur during the computations. The versatility and robustness of the present two-phase flow model is illustrated via various two- and three-dimensional flow problems with fixed/moving bodies, such as dambreak flows with and without a square cylinder, a moving cylinder in a quiescent fluid, dambreak flow over a wet bed with a moving gate, water entry and exist of a circular cylinder, and landside-generated

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waves. Good agreement is obtained between the numerical results and the corresponding experimental measurements.

*Keywords:* Cartesian cut-cell method, Finite volume method, two-phase flow, moving bodies, volume-of-fluid method

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## 1. Introduction

Two-phase flows with moving bodies appear in many scientific and engineering applications, e.g. marine renewable energy, hydrodynamics of open channels, naval architecture, hydraulic structures, coastal and offshore engineering, biomedical engineering, oil-and-gas transportation systems, and geophysical flows. These applications typically have the motion of a deformable gas-liquid/liquid-liquid interface and its interaction with a fixed/moving structure. Development of computational methods for predicting such flows, which involve turbulence, breaking waves, air entrainment, impact and fluid-structure interaction is highly challenging.

A key requirement for simulating numerically free-surface/two-phase flows is the tracking or capturing of the interface [1, 2]. Numerous methods have been proposed and used to simulate free-surface/two-phase flows on a fixed mesh, such as marker-and-cell [3], volume-of-fluid (VOF) [1, 4, 5], front-tracking [6], level set [7, 8], phase field [9]. Alternatively, moving mesh [10] and meshless (particle) [11] methods have been proposed. In addition, recent developments include three-phase flow methods [12, 13]. Among these methods, the VOF method, a transport equation for the volume fraction of the two phases, is probably the most popular method on a fixed grid and is widely used due to its inherent properties of: mass conservation, computational effi-

21 ciency and easy implementation. From a general point of view, there are two  
22 classes of algorithms to solve the transport equation of the volume fraction:  
23 geometric and algebraic computation [5]. In the geometric VOF methods  
24 [1], interfaces are first reconstructed from the volume fraction data so that  
25 a geometric profile is found which approximates the actual interface loca-  
26 tion. Then changes in volume fraction are calculated by integrating volume  
27 fluxes across cell boundaries, using flux splitting or unsplitting schemes. In  
28 the algebraic computation [14–16], the interface is captured by solving the  
29 transport equation of the volume fraction with a differencing scheme with-  
30 out reconstructing the interface, such as the flux-corrected transport scheme  
31 [14] and using the normalised variable diagram (NVD) [17] concept to switch  
32 between different differencing schemes [15].

33 Most two-phase flows in engineering applications are turbulent and there-  
34 fore need different treatment for the turbulence. In many engineering prac-  
35 tices, only the time-averaged flow is of interest. Therefore the Reynolds-  
36 averaged Navier–Stokes (RANS) equations are usually solved, in which all  
37 of the unsteadiness is averaged out and all of the effects turbulence on the  
38 mean flow is modelled by one of a number of available turbulence models.  
39 As a result, RANS models cannot provide instantaneous flow characteristics.  
40 The increase in computer power has led to the development of more power-  
41 ful but more computationally demanding methods. The most accurate and  
42 most straightforward approach is the method of direct numerical simulation  
43 (DNS), in which the Navier–Stokes equations are solved directly without any  
44 modification. All scales of the turbulent flow are captured with DNS, which  
45 implies that the grid size must be no larger than the Kolmogorov scale [18].

46 Due to the high demand of DNS in terms of the number of grid points (which  
47 is proportional to  $Re^{9/4}$  in 3D, where  $Re$  is the Reynolds number), it has been  
48 mostly used for relatively low Reynolds number flows or flows in a relatively  
49 small flow domain. As DNS is out of reach for practical applications, recent  
50 developments have focused on the method of large-eddy simulations (LES)  
51 [19, 20], in which the large-scale eddies are resolved, i.e. calculated directly,  
52 while the effects of the small eddies on the large-scale turbulence are approx-  
53 imated. LES has been already employed for practical problems where the  
54 Reynolds number is high and the computational domain is large.

55 To deal with complex geometries with fixed or moving motion in engineer-  
56 ing applications, overlapping grids, boundary-fitted grids and unstructured  
57 grids can be used. Unstructured grids provide great flexibility in conform-  
58 ing to complex boundaries, and can easily be refined or coarsened in specific  
59 regions of the flow domain depending on the flow feature. However, they re-  
60 quire additional computational efforts and further complicate the algorithm  
61 implementation as there is no pre-defined order of the control volumes and  
62 their geometric layouts need to be calculated. Furthermore, generating high-  
63 quality boundary-fitted or unstructured grids is usually very cumbersome  
64 [21], especially for moving body problems, where the mesh has to be regen-  
65 erated at every time step. Cartesian grid methods which can simulate flow  
66 with complex geometries on fixed Cartesian grids, avoid these problems. The  
67 primary advantage of the Cartesian grid method is that only little modifica-  
68 tion of the flow solver on Cartesian grids is needed to account for complex  
69 (immersed) geometries. It also has the advantage of simplified grid genera-  
70 tion and simulating flows with moving boundaries whilst avoiding deforming

71 grids. The two most popular methods are the immersed boundary method  
72 [21–25] and the Cartesian cut-cell method [26–31]. There are some examples  
73 for two-phase flows with moving bodies using immersed boundary method  
74 in two-dimensional (2D) [32] and three-dimensional (3D) [33] simulations.  
75 Compared to immersed boundary methods, the Cartesian cut-cell method  
76 is very attractive as it enforces strict conservation of mass, momentum and  
77 energy, and in particular near solid boundaries. The present study focuses on  
78 the Cartesian cut-cell method hence only relevant studies using this method  
79 are mentioned hereafter.

80 The Cartesian cut-cell method is based on a stationary Cartesian back-  
81 ground grid, in which the solid boundary is intersected with boundary cells  
82 (named as cut-cells), and regular grid cells are truncated to conform to the  
83 solid (immersed) boundary interface. There is no modification for standard  
84 regular grid cells whereas special treatments are needed for the cut-cells. For  
85 fixed, solid boundaries, the Cartesian cut-cell method has been developed  
86 for 2D Poisson [34], and advection-diffusion [35] equations. It has also been  
87 applied to study single-phase fluid flow problems, such as for 2D aeroacous-  
88 tics [36], 2D hypersonic boundary layer transition [37], 2D shallow water  
89 equations [38], 2D incompressible viscous flow [27, 28, 31], 2D compress-  
90 ible viscous flow [39], 3D inviscid flow [40], 3D incompressible viscous flow  
91 [30], 3D compressible viscous flow [41, 42], and 3D LES studies [43–45]. The  
92 Cartesian cut-cell method has also been used to study free-surface/two-phase  
93 flows in 2D using a two-fluid approach [46], height function [47], volume-of-  
94 fluid method [48–50], in the form of a coupled level-set and volume-of-fluid  
95 approach [51], and 3D DNS and LES studies [52, 53].

96 For moving bodies, Cartesian cut-cell methods have been developed for  
97 solving the 2D heat [54] and 2D shallow water equations [55], 2D viscous flow  
98 [26], 3D rarefied gas flows [56], 3D inviscid flow [57], and 3D compressible  
99 viscous flow [58–60]. Some early development of the cut-cell method for  
100 single-phase flows can be found in [29]. For 2D two-phase flows, different  
101 approaches have been employed for the interface tracking/capturing, such  
102 as the Lagrangian marker [61], level-set method [62], and the two-fluid  
103 approach [63, 64]. In 3D, the Euler equations have been solved together  
104 with a density function to capture the air-water interface for a water impact  
105 problem in [65] and a cut-cell method with moving body has been developed  
106 to study the 3D wave impact problem with a single-phase volume-of-fluid  
107 method in [66]. Recently, there are some development for fluid-structure  
108 interaction problems for 2D two-phase flow [67] and 3D single-phase flow  
109 [68]. To date, there has been relatively little work on cut-cell methods with  
110 moving bodies for the 3D Navier–Stokes equations with two-phase flows and  
111 turbulence.

112 The objective of this paper is, therefore, to present and validate thor-  
113 oughly an efficient finite volume method based on the Cartesian cut-cell  
114 method for the unsteady, turbulent, incompressible, two-phase Navier–Stokes  
115 equations with moving bodies on a three-dimensional, non-uniform, stag-  
116 gered, Cartesian grid. The method of large-eddy simulation is employed to  
117 compute directly large-scale turbulence of the flow by solving the filtered  
118 Navier–Stokes equations and employing the dynamic Smagorinsky sub-grid  
119 scale model to account for the unresolved (subgrid) scales of turbulence.  
120 An algebraic VOF scheme is employed and modified in cut-cells to capture



121 the air-water interface in the two-phase flow model. Solid boundaries are re-  
 122 solved by the Cartesian cut-cell method, with detailed 3D cut-cell generation  
 123 and finite volume discretisation. The moving body algorithm (as an internal  
 124 source function by taking the solid volume change into account) is imple-  
 125 mented to simulate moving bodies on a fixed Cartesian grid. Moreover, an  
 126 implicit time integration scheme is used for time integration, which prevents  
 127 common instability problems in small cut-cells as reported in the literature.

128 The organisation of this paper is as follows. The description of the math-  
 129 ematical model for the two-phase flow is described in [Section 2](#). The nu-  
 130 merical method and implementation of the cut-cell method are presented  
 131 in [Section 3](#). The versatility, robustness and accuracy of the present two-  
 132 phase flow model is demonstrated by solving various 2D and 3D two-phase  
 133 flow problems with moving bodies in [Section 4](#). Finally, the paper ends with  
 134 conclusions in [Section 5](#).

## 135 **2. Mathematical model**

### 136 *2.1. Governing equations*

137 The governing equations used for the incompressible immiscible Newto-  
 138 nian two-phase flow are based on the Navier–Stokes equations, given as:

$$\nabla \cdot \mathbf{u} = 0, \quad (1)$$

$$\frac{\partial(\rho\mathbf{u})}{\partial t} + \nabla \cdot (\rho\mathbf{u} \otimes \mathbf{u}) = -\nabla p + \nabla \cdot [\mu(\nabla\mathbf{u} + \nabla^T\mathbf{u})] + \rho\mathbf{g}, \quad (2)$$

139 where  $\mathbf{u}$  is the velocity vector,  $t$  is the time,  $p$  is the pressure,  $\mathbf{g}$  is the grav-  
 140 itational acceleration vector,  $\rho$  and  $\mu$  are the density and dynamic viscosity



141 of the fluid.

## 142 *2.2. Interface modelling*

### 143 *2.2.1. Volume-of-fluid method*

144 The volume-of-fluid method is employed here to capture the air-water  
145 interface in the two-phase flow solver during the simulation.  $F$  is the volume  
146 fraction defined as:

$$F = \begin{cases} 1, & \text{if only water is present;} \\ 0, & \text{if only air is present.} \end{cases} \quad (3)$$

147 The air-water interface is then within the cells where  $0 < F < 1$ . A  
148 particle on the surface stays on the surface and the volume fraction  $F$  has a  
149 zero material derivative:

$$\frac{dF}{dt} = \frac{\partial F}{\partial t} + \mathbf{u} \cdot \nabla F = 0. \quad (4)$$

### 150 *2.2.2. Physical properties*

151 After interface capturing for the volume fraction field, the momentum  
152 equation (Eq. (2)) is closed with the constitutive relations for the density  
153 and dynamic viscosity of the fluid as given by:

$$\rho = F\rho^w + (1 - F)\rho^a, \quad (5)$$

$$\mu = F\mu^w + (1 - F)\mu^a, \quad (6)$$

154 where the superscripts ‘w’ and ‘a’ denote water and air, respectively.

155 *2.3. Moving body algorithm*

156 In order to simulate the moving body in a fixed Cartesian grid system,  
 157 rather than applying an interpolated body force over the grid as used in the  
 158 IBM, the source function approach [69, 70] is employed here. The motion  
 159 of a solid can be followed and interacts with the background Cartesian grid  
 160 as shown in Fig. 1. At time  $t$ , considering a cell containing a solid with  
 161 its volume as  $V_{\text{solid}}(t)$ , if the volume of solid increases  $\partial V_{\text{solid}}(t)/\partial t > 0$ , the  
 162 volume of fluids decreases, and vice versa. Thus, the conservation of mass  
 163 for the continuity equation (Eq. (1)) in a cell with volume  $V$  can be modified  
 164 as:

$$\nabla \cdot \mathbf{u} = \frac{1}{V} \frac{\partial V_{\text{solid}}(t)}{\partial t} = \psi(t), \quad (7)$$

where  $\psi(t)$  is an internal source function depending on the volume change of the solid in the cell at time  $t$ . Thus, the momentum equation (Eq. (2)) is also modified as:

$$\frac{\partial(\rho\mathbf{u})}{\partial t} + \nabla \cdot (\rho\mathbf{u} \otimes \mathbf{u}) = -\nabla p + \nabla \cdot [\mu(\nabla\mathbf{u} + \nabla^T\mathbf{u})] + \rho\mathbf{g} + \rho\mathbf{u}\psi(t). \quad (8)$$

165 *2.4. Subgrid-scale model*

166 The large-eddy simulation (LES) approach is adopted in this study, for  
 167 which the large-scale eddies are solved and a subgrid-scale model is employed  
 168 to compute the unresolved scales of turbulence. The governing equations  
 169 used for incompressible two-phase flow are based on the spatially filtered  
 170 Navier–Stokes equations of Eq. (7) and Eq. (8), given as:

$$\nabla \cdot \bar{\mathbf{u}} = \psi(t), \quad (9)$$

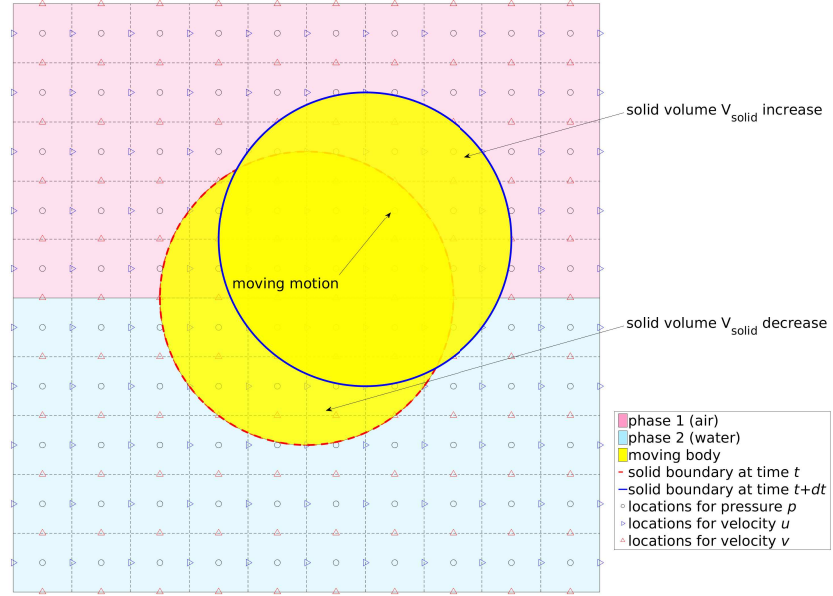


Figure 1: Schematic of 2D cut-cells undergoing a volume change due to boundary motion in a two-phase flow model. The light blue and red colours show the fluid regions for the water and air where the solid domain is represented as yellow region. The yellow area between the red dashed line and blue solid line on the top are cut-cells whose volume of solid increases  $\partial V_{\text{solid}}(t)/\partial t > 0$ ; the yellow area with mesh inside between the red dashed line and blue solid line on the bottom are cut-cells whose volume of solid decreases  $\partial V_{\text{solid}}(t)/\partial t < 0$ . The arrangement of variables ( $p, u, v$ ) on a staggered Cartesian grid are also shown, where the velocities are stored on the face of the control volume and the pressure is stored at the centre of the control volume.

$$\frac{\partial(\rho\bar{\mathbf{u}})}{\partial t} + \nabla \cdot (\rho\bar{\mathbf{u}} \otimes \bar{\mathbf{u}}) = -\nabla \bar{p} + \nabla \cdot [\mu(\nabla \bar{\mathbf{u}} + \nabla^T \bar{\mathbf{u}})] + \rho \mathbf{g} + \rho \bar{\mathbf{u}} \psi(t) + \nabla \cdot \boldsymbol{\tau}^{\text{sgs}}, \quad (10)$$

171 where the overbar  $\bar{\cdot}$  denotes the spatial filtering over the grid in Cartesian  
 172 coordinates  $(x, y, z)$ ,  $\bar{\mathbf{u}} = (\bar{u}, \bar{v}, \bar{w})$  is the filtered velocity vector and  $\bar{p}$  is the  
 173 filtered pressure.

174 The term  $\boldsymbol{\tau}^{\text{sgs}} = \rho(\bar{\mathbf{u}}\bar{\mathbf{u}} - \overline{\mathbf{u}\mathbf{u}})$  is the subgrid-scale (SGS) stress tensor and  
 175 the anisotropic part of the SGS term is approximated by an eddy-viscosity  
 176 model of the form [71]:

$$\boldsymbol{\tau}^{\text{sgs}} - \frac{1}{3} \text{trace}(\boldsymbol{\tau}^{\text{sgs}}) \mathbf{I} = 2\mu_t \bar{\mathbf{S}}, \quad (11)$$

177 where  $\mathbf{I}$  is the unit tensor and  $\bar{\mathbf{S}}$  is the strain rate tensor of the resolved field.  
 178  $\mu_t$  is the turbulent eddy viscosity defined as:

$$\mu_t = \rho C_d \bar{\Delta}^2 |\bar{\mathbf{S}}|, \text{ and } |\bar{\mathbf{S}}| = \sqrt{2\bar{\mathbf{S}}\bar{\mathbf{S}}}, \quad (12)$$

179 with the cut-off length scale  $\bar{\Delta} = (\Delta x \Delta y \Delta z)^{1/3}$  and the coefficient  $C_d =$   
 180  $\frac{1}{2} \frac{L_{ij} M_{ij}}{M_{ij} M_{ij}}$  is calculated by the dynamic Smagorinsky model [72] in the present  
 181 study, where  $L_{ij} = \widehat{u_i u_j} - \widehat{u_i} \widehat{u_j}$  and  $M_{ij} = \widehat{\Delta}^2 |\widehat{\mathbf{S}}| \widehat{S}_{ij} - \bar{\Delta}^2 |\bar{\mathbf{S}}| \bar{S}_{ij}$ , and the  
 182 hat  $\widehat{\cdot}$  represents spatial filtering over the test filter. The symbol for spa-  
 183 tial filtering ‘ $\widehat{\cdot}$ ’ is dropped hereinafter for simplicity. The advantage of the  
 184 dynamic Smagorinsky model is that the dissipation of energy from the large-  
 185 scale turbulence is approximated in analogy to dissipation on a molecular  
 186 level without the need for empirical input (due to the dynamic approxima-  
 187 tion of the Smagorinsky constant) and hence has found to work well for many  
 188 applications of practical interest [19].

189 *2.5. Initial and boundary conditions*

190 In order to completely describe the mathematical model it is necessary to  
191 define the boundary conditions in a computational domain. For the moving  
192 body, the motion is known and the velocity and position of the solid body's  
193 boundaries can be prescribed for every time step. For the outlet, the zero-  
194 gradient or radiation boundary condition is applied for the flow. As both  
195 fluids in the air and water are solved simultaneously in the present two-phase  
196 flow model, the kinematic and dynamic free surface boundary conditions are  
197 inherently implemented in the VOF method and they do not need to be  
198 specified explicitly at the air-water interface.

199 In the computation, the initial flow field at  $t = 0$  has to be prescribed.  
200 For calculations with the fluids initially at rest, the flow field is initialised  
201 with zero velocity and hydrostatic pressure, and the volume fraction of the  
202 air-water interface is computed from the initial water depth. For the moving  
203 body, the velocity in the solid is initialised with its moving velocity.

204 **3. Numerical method**

205 *3.1. Finite volume discretisation*

206 One option of discretising the governing equations is the finite volume  
207 method (FVM). In the FVM, also known as the control volume method, the  
208 entire flow domain is divided into a number of control volumes surrounding  
209 each grid point. The differential equation is integrated over each control vol-  
210 ume (CV) in order to derive the algebraic equation containing the grid-point  
211 values of  $\varphi$ , where  $\varphi$  is the dependent variable. The FVM is conservative  
212 and can deal with complex geometries [18, 73], thus it is particularly suitable

213 for modelling interfacial flows with moving bodies due to the requirement  
 214 of mass conservation, the deformed interface and moving boundaries, and  
 215 therefore it is adopted in the present study.

216 Consider a volume of fluid  $\Omega$  which has an arbitrary domain, the surface  
 217 of the control volume is  $S$  and the unit outward normal vector to the face  
 218  $f$  is  $\mathbf{n}$ . All the governing equations can be recast into a general integral  
 219 formulation as below

$$\iiint_{\Omega} \frac{\partial}{\partial t}(\rho\varphi)d\Omega + \iint_S (\rho\mathbf{u} \cdot \mathbf{n})\varphi dS = \iint_S \Gamma \frac{\partial\varphi}{\partial n} dS + \iiint_{\Omega} Q_{\varphi}^S d\Omega, \quad (13)$$

220 where  $\varphi$  denotes the dependent variable,  $\Gamma$  is the viscosity and  $Q_{\varphi}^S$  is the  
 221 source term in the control volume.

222 Table 1 shows the various values of  $\varphi$ ,  $\Gamma$  and  $Q_{\varphi}^S$  in the general integral  
 223 formulation to represent the Navier–Stokes equations and the volume fraction  
 224 equation. It is noted that the final form of the continuity equation (9) used  
 225 here is obtained under the assumption that the fluid is incompressible.

Table 1: Values of  $\varphi$ ,  $\Gamma$  and  $Q_{\varphi}^S$  in the general integral formulation to represent the Navier–Stokes equations.

Equation	$\varphi$	$\Gamma$	$Q_{\varphi}^S$
Continuity	1	0	$\rho\psi(t)$
Momentum	$\mathbf{u}$	$\mu + \mu_t$	$-\nabla p + \rho\mathbf{g} + \rho\mathbf{u}\psi(t)$
Volume fraction	$F$	0	$\rho F \nabla \cdot \mathbf{u}$

226 *3.2. Computational grid*

227 In this study, a staggered, Cartesian grid, which has the advantage of  
 228 strong coupling between the velocity and the pressure is used to discretise  
 229 the flow domain. Figure 2(a) shows a typical variable arrangement in a 3D  
 230 Cartesian grid, in which the velocities are located at the centre of the CV's  
 231 face, and the pressure, all other scalar variables and the volume fraction  $F$   
 232 are stored at the CV's centre. Figure 2(b) shows a typical control volume  
 233 used in the present study, in which P is the present grid point (or node), the  
 234 upper-case letter E, W, N, S, B, and R denote neighbouring nodes on the  
 235 east, west, north, south, back, and front with respect to the central node P.  
 236 The lower-case e, w, n, s, b, and r denote the corresponding face of the CV  
 237 whereas c denotes the centre of the CV.

Thus, the CV's volume is obtained as

$$\Omega = \iiint_{\Omega} d\Omega = \Delta x \Delta y \Delta z. \quad (14)$$

The area of the face  $A$  is similarly calculated, *e.g.*, the one of the east face  $A_e$  is

$$A_e = \iint_e dS = \Delta y \Delta z. \quad (15)$$

Unless stated otherwise the variable on the face is predicted with linear interpolation

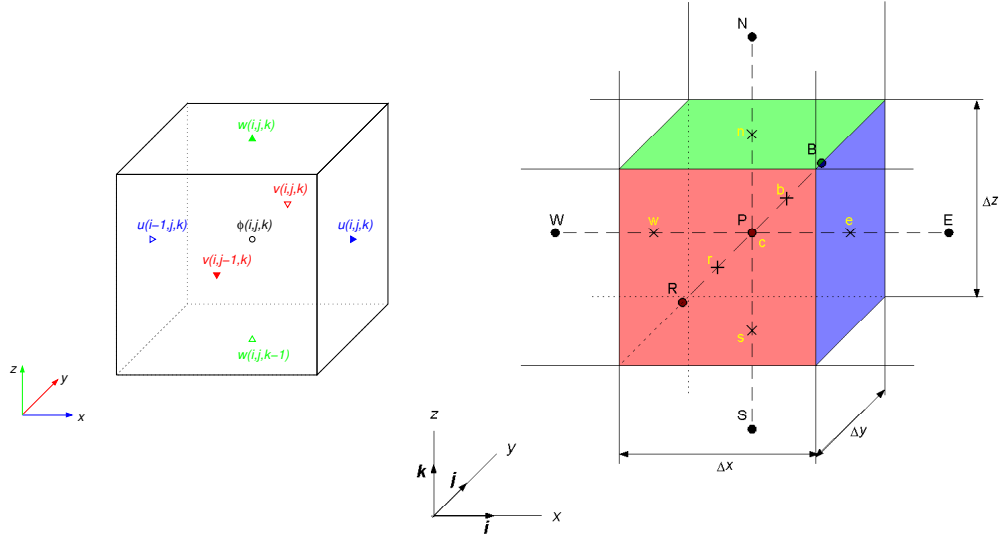
$$\varphi_e = \lambda_e \varphi_P + (1 - \lambda_e) \varphi_E, \quad (16)$$

where  $\lambda_e$  is the interpolation factor defined as

$$\lambda_e = \frac{|eE|}{|PE|}. \quad (17)$$

238 Analogous expressions can be derived for all other faces (f=w, n, s, b, r)  
 239 by making appropriate index substitutions and will not be shown here.





(a) variable arrangement (b) notation in a control volume

Figure 2: Variables used for the CV  $(i, j, k)$  in a 3D staggered grid. Velocities  $u(i, j, k)$ ,  $v(i, j, k)$ , and  $w(i, j, k)$  are stored at the centre of the east, back, and north face of the CV. Pressure and other scalar quantities  $\varphi(i, j, k)$  are stored at the centre of the CV.  $\Delta x$ ,  $\Delta y$ , and  $\Delta z$  are the CV's length, and  $\mathbf{i}$ ,  $\mathbf{j}$ , and  $\mathbf{k}$  are the unit vectors in the  $x$ ,  $y$ , and  $z$  directions, respectively.

240 *3.3. Navier–Stokes solver*

241 *3.3.1. Temporal discretisation*

242 A backward finite difference method is used for the time derivative, which  
 243 leads to an implicit scheme for the Navier–Stokes equations

$$Q_\varphi^T = \iiint_\Omega \frac{\partial}{\partial t}(\rho\varphi) d\Omega = \frac{\rho_c^{n+1}\varphi^{n+1} - \rho_c^n\varphi^n}{\Delta t} \Omega, \quad (18)$$

244 where  $\Delta t$  is the time step and the superscripts  $n + 1$  and  $n$  mean the value  
 245 in current and previous time step, respectively. The implicit scheme has  
 246 the advantage of unconditional stability and thus can prevent the instability  
 247 problem in small cut-cells discussed in [Section 3.5](#).

248 *3.3.2. Spatial discretisation*

249 The Navier–Stokes equations are discretised in space using a finite vol-  
 250 ume formulation on a non-uniform, staggered, Cartesian grid as shown in  
 251 Figure 2(b). The high-resolution scheme [74] is used for the advection terms.  
 252 The second-order central difference scheme is used for diffusion terms, pres-  
 253 sure gradient terms and the pressure correction equations. However in cut  
 254 cells, all these discretised terms will need to be modified and this will be  
 255 discussed in detail in Section 3.5.

256 Substituting all the discretised terms into Eq. (13) and subtracting the  
 257 continuity equation  $\partial\rho/\partial t + \nabla \cdot (\rho\mathbf{u}) = \rho\psi(t)$  multiplied by  $\varphi_P^{n+1}$ , leads to

$$a_P^\varphi \varphi_P^{n+1} = \sum a_{nb}^\varphi \varphi_{nb}^{n+1} + b_P^\varphi, \quad (19)$$

258 where  $a^\varphi$  is the coefficient, the subscripts P and nb = E, W, N, S, B, R denote  
 259 the variables in the present and neighbouring cells respectively and  $b_P^\varphi$  is the  
 260 source term.

261 The algebraic equations are solved by the SIP (Strongly Implicit Proce-  
 262 dure) method or Bi-CGSTAB (Bi-Conjugate Gradients Stabilized) Method  
 263 [75] in this study.

264 *3.3.3. Pressure-velocity coupling*

265 In the incompressible Navier–Stokes equations pressure and velocity are  
 266 decoupled as the pressure term does not appear in the continuity equation.  
 267 For some numerical discretisations this may cause convergence problems.  
 268 However, when a staggered mesh is used, as in this work, coupling occurs  
 269 as a result of the discretisation, as velocity updates on cell faces contain

270 pressure terms. In this study, the PISO algorithm [76] is employed for the  
 271 pressure-velocity coupling. The PISO algorithm is used to calculate the  
 272 corrected pressure twice and after solving the pressure correction equations,  
 273 the updated pressure and velocity are added by the pressure and velocity  
 274 correction terms respectively. Here only a brief summary is presented and  
 275 more details can be found in [77]

For a guessed pressure distribution  $p^*$ , the discretised momentum equations can be solved to produce the fluid velocities  $\mathbf{u}^*$ , which satisfy

$$a_{\text{P}}^{\mathbf{u}} \mathbf{u}_{\text{f}}^* = \sum a_{\text{nb}}^{\mathbf{u}} \mathbf{u}_{\text{nb}}^* + b_{\text{P}}^{\mathbf{u}} + A_{\text{f}}(p_{\text{P}}^* - p_{\text{nb}}^*). \quad (20)$$

To obtain the pressure correction, the updated fluid velocities are substituted into the discretised continuity equation Eq. (9) and the resulting pressure correction equation has the following form

$$a_{\text{P}}^p p'_{\text{P}} = \sum a_{\text{nb}}^p p'_{\text{nb}} + b'_{\text{P}}, \quad (21)$$

276 where the term  $b'_{\text{P}}$ , called the mass residual, in the pressure correction equation  
 277 is the left-hand side of the discretised continuity equation evaluated in  
 278 terms of the fluid velocities  $\mathbf{u}^*$ .

In the PISO algorithm [76], a second correction step is introduced as

$$a_{\text{P}}^p p''_{\text{P}} = \sum a_{\text{nb}}^p p''_{\text{nb}} + b''_{\text{P}}, \quad (22)$$

279 where the coefficients have the same value in the first pressure correction  
 280 equation shown in Eq. (21) and the source term has been changed based on  
 281 the value of first velocity correction  $\mathbf{u}'$ .

After solving the first and second pressure corrections, the solutions are

updated as

$$\begin{aligned} p &= p^* + p' + p'', \\ \mathbf{u} &= \mathbf{u}^* + \mathbf{u}' + \mathbf{u}'', \end{aligned} \tag{23}$$

where

$$\begin{aligned} \mathbf{u}'_f &= \frac{A_f}{a_P^u} (p'_P - p'_{nb}), \\ \mathbf{u}''_f &= \frac{\sum a_{nb}^u \mathbf{u}'_{nb} + A_f (p''_P - p''_{nb})}{a_P^u}. \end{aligned} \tag{24}$$

### 282 3.4. VOF solver for interface capturing

283 A key requirement for simulating two-phase flows is a method for calcu-  
 284 lating the shape of the interface. Numerous methods have been proposed and  
 285 used for the simulation of free-surface/two-phase flows. However, the VOF  
 286 method for capturing the interface is one of the most popular approaches  
 287 due to its advantages: mass conservation, computational efficiency and easy  
 288 implementation. From a general point of view, there are two classes of algo-  
 289 rithms to solve the  $F$  transport equation (Eq. (4)): algebraic and geometric  
 290 computation [78]. Excellent reviews on the VOF methods can be found in  
 291 [78, 79].

292 Considering the advantages of the VOF method and efficiency in alge-  
 293 braic computation, the high resolution VOF scheme Compressive Interface  
 294 Capturing Scheme for Arbitrary Meshes (CICSAM) is employed in this study  
 295 to capture the air-water interface for two-phase flows. CICSAM is a high res-  
 296 olution scheme based on the normalised variable diagram used by Leonard  
 297 [17]. It contains two high resolution schemes and the weighting factor is  
 298 based on the angle between the interface and the direction of motion. An  
 299 outline of CICSAM is given below. Refer to [80] for more details.

300 The normalised variable  $\tilde{F}$  is defined as

$$\tilde{F} = \frac{F - F_U}{F_A - F_U}, \quad (25)$$

301 where the subscript A indicates the acceptor and U the upwind cell. The  
 302 Hyper-C scheme [17], which follows the upper bound of the Convection  
 303 Boundedness Criteria (CBC) is used as it is highly compressive and can  
 304 convert a smooth gradient into a sharp step.

$$\tilde{F}_{\text{f}_{\text{CBC}}} = \begin{cases} \min \left\{ 1, \frac{\tilde{F}_D}{c_D} \right\}, & \text{when } 0 \leq \tilde{F}_D \leq 1 \\ \tilde{F}_D, & \text{when } \tilde{F}_D < 0, \tilde{F}_D > 1 \end{cases} \quad (26)$$

305 where subscript D indicates donor cell,  $c_D = \sum_f \max \left\{ \frac{-V_f \Delta t}{\Omega_D}, 0 \right\}$  is the Courant  
 306 number of the donor cell and  $V_f$  is the volumetric flux. However, the Hyper-C  
 307 scheme is inadequate to preserve the shape of an interface which lies tangen-  
 308 tially to the flow direction. Thus CICSAM switches to the ULTIMATE-  
 309 QUICKEST (UQ) scheme [17]

$$\tilde{F}_{\text{f}_{\text{UQ}}} = \begin{cases} \min \left\{ \frac{8c_D \tilde{F}_D + (1 - c_D)(6\tilde{F}_D + 3)}{8}, \tilde{F}_{\text{f}_{\text{CBC}}} \right\}, & \text{when } 0 \leq \tilde{F}_D \leq 1 \\ \tilde{F}_D, & \text{when } \tilde{F}_D < 0, \tilde{F}_D > 1 \end{cases} \quad (27)$$

310 in this case.

311 Thus, depending on the angle between the interface and the flow, CIC-

312 SAM combines these two schemes, then

$$\tilde{F}_f = \gamma_f \tilde{F}_{f_{\text{CBC}}} + (1 - \gamma_f) \tilde{F}_{f_{\text{UQ}}}, \quad (28)$$

313 in which the weighting factor is given as

$$\gamma_f = \min \left\{ k_\gamma \frac{\cos(2\alpha_\gamma) + 1}{2}, 1 \right\}, \quad (29)$$

314 where  $k_\gamma$  is a constant introduced to control the dominance of the different  
 315 schemes and the recommended value is  $k_\gamma = 1$ ,  $\alpha_\gamma$  is the angle between the  
 316 vector normal to the interface and the vector which connects the centres of  
 317 donor and acceptor cells.

318 The final expression for the face value of  $F$  is

$$F_f = (1 - \beta_f) F_D + \beta_f F_A, \quad (30)$$

319 where the weight factor  $\beta_f$  is obtained by

$$\beta_f = \frac{\tilde{F}_f - \tilde{F}_D}{1 - \tilde{F}_D}. \quad (31)$$

320 It is noted that the normalised variable in [Eq. \(25\)](#) will be divided by  
 321 zero if the volume fraction  $F$  has the same value in the acceptor and upwind  
 322 cell. In the numerical implementation, the numerator and denominator of  
 323 the weighting factor in [Eq. \(31\)](#) are multiplied by  $(F_A - F_U)$ , resulting in a  
 324 modified expression for the normalised variable on the face (not shown here),  
 325 in order to avoid the singularity in the computation. In the present study,  
 326 for cut-cells, the flux across each face of the control volume is modified when  
 327 solving the volume fraction  $F$  equation, which will be discussed in [Section 3.5](#).

328 *3.5. Cartesian cut-cell method*

329 For Cartesian cut-cell methods, an instability problem might occur in  
330 small cells when explicit schemes are used. Thus, the cell-merging technique  
331 [81] as well as slightly modifying control volumes [66] are usually employed  
332 to avoid numerical instability, both of which effectively increase the size of  
333 the cut cell. As an alternative, flux-redistribution schemes [57–59] may be  
334 employed to deal with small cut-cells. This problem is very cumbersome es-  
335 pecially for moving body problems as cut-cells require updating/modification  
336 in every time step. In the present study, the cut cells are not modified and  
337 it is found that numerical instabilities are absent due to the implicit scheme  
338 used for time integration.

339 *3.5.1. Cut-cell information*

340 In the present study, the geometry of the solid is represented by a general  
341 level set function  $LS(x, y, z, t)$ , in which the boundary of the moving body  
342 is represented by  $LS(x, y, z, t) = 0$  while the fluid domain has the value  
343 of  $LS(x, y, z, t) > 0$  and the solid domain is  $LS(x, y, z, t) < 0$ . The cut-  
344 cell interface between the fluid and solid is calculated by a piecewise linear  
345 interface (as shown in Fig. 1), which is a straight-line in 2D and a plane in  
346 3D.

For each 3D Cartesian grid cell, the area of each surface and the total volume available for fluids needs to be calculated. A  $\theta$  function is defined here, the value of which is 1 for a point accessible to fluid and 0 for a point inside a solid. The average of this function over a control volume or cell face is the fraction of the volume or area available to the flow. By using this



approach, the volume of the solid can be obtained as:

$$V_{\text{solid}}(t) = (1 - \theta(t))V. \quad (32)$$

347 It is worth noting that  $\theta$  is a function of time  $t$  here, for a stationary body,  
348 the  $\theta$  function is only needed to be calculated once at the beginning as used  
349 for a fixed body simulation.

350 In order to calculate the area and volume of the cut-cell, how the solid  
351 boundary cuts the Cartesian cell needs to be determined first. The marching  
352 tube algorithm [82] is employed and the grid nodes can be classified as inside  
353 or outside of the solid based on the sign of the level set function  $LS(x, y, z, t)$ .  
354 For 3D, there are 8 grid nodes in each cell so that  $2^8$  configurations are  
355 possible. By using the inversion and rotation of different configurations, the  
356 256 cases can be reduced to 15 cases as shown in Fig. 3 for a typical cut cell  
357 in a 3D Cartesian grid, which includes zero, one, two, three, and four points  
358 being cut through the Cartesian grid cell.

359 Once the configuration of the cut cell has been determined, the intersec-  
360 tion point along each edge can be obtained by linear interpolation of the level  
361 set function of two neighbouring grid nodes. Once the point of intersection  
362 of the line with the cut plane is found, the geometric information can be  
363 calculated. Finally, the face areas and total volume of the truncated cell are  
364 calculated by numerical integration. In contrast to a full fluid cell, the spatial  
365 discretisation at cell faces and cell centres are modified in a cut cell, which  
366 will be presented in the following terms.

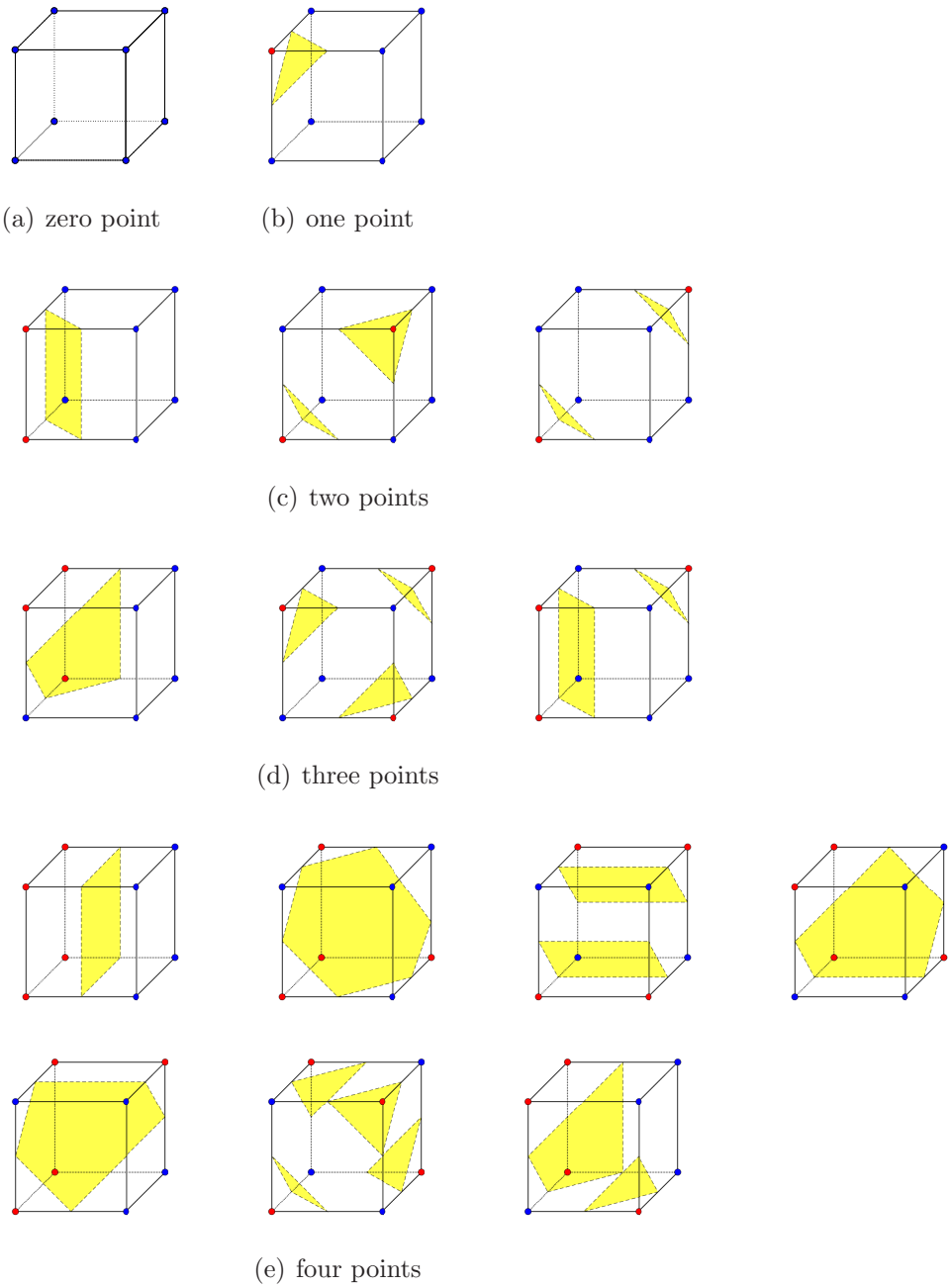


Figure 3: Example of 3D cut cell configurations, which show how many points have been cut through a Cartesian grid cell: (a) zero point (either pure fluid or solid cell); (b) one point; (c) two points; (d) three points; (e) four points. There are 256 cases in total, but after inversion and rotation, the 256 cases can be reduced to 15 cases shown here.

367 *3.5.2. Advection term*

368 The finite volume discretisation of the advection term in Eq. (13) is ob-  
 369 tained as

$$\begin{aligned}
 Q_\varphi^C &= \iint_S (\rho \mathbf{u} \cdot \mathbf{n}) \varphi dS = \sum_f (\theta A)_f [(\rho \mathbf{u} \cdot \mathbf{n}) \varphi]_f \\
 &= \sum_f (\rho \mathbf{u} \cdot \mathbf{n} \theta A)_f \varphi_f \\
 &= \sum_f m_f \varphi_f,
 \end{aligned} \tag{33}$$

370 where the subscript  $f$  denotes the corresponding face of the control volume,  
 371  $A$  is the area of the face and  $m$  is the mass flux through the face

$$m = \rho \mathbf{u} \cdot \mathbf{n} \theta A. \tag{34}$$

372 In cut cells, the mass flux has also to be modified by the  $\theta$  function on  
 373 the boundary. If  $\theta = 0$  (such as the west face of the first case in Fig. 3(e)),  
 374 there is no mass flux through the face and the advective flux is obtained as

$$m_f = 0. \tag{35}$$

375 The mass flux at the faces of the momentum control volume can be ob-  
 376 tained by the interpolation of values of  $\rho$  and  $\mathbf{u}$ , such as  $m_f = \rho_f \mathbf{u}_f \cdot \mathbf{n} \theta_f A_f$ ,  
 377 however, the mass conservation in the momentum control volume can be only  
 378 guaranteed to the accuracy of the interpolation procedure [18]. Thus, in this  
 379 study, the  $m_f$  is obtained from the interpolation of the mass fluxes, which is  
 380 already available at the faces of the continuity control volumes.

381 For interface capturing, the face value  $F_f$  is obtained from the CICSAM  
 382 scheme in Eq. (31). For the momentum equations, the face value  $\varphi_f$  can be  
 383 obtained from different schemes and more details can be found in [74]. A  
 384 high resolution scheme [73], which combines the high-order accuracy with  
 385 monotonicity, is used in this study to discretise the value at the face as

$$\varphi_f^{\text{HRS}} = \varphi_f^{\text{FOU}} + \Phi(r_f^m)\Psi(r_f^\varphi)(\varphi_f^{\text{SOU}} - \varphi_f^{\text{FOU}}), \quad (36)$$

386 in which  $\varphi_f^{\text{FOU}}$  and  $\varphi_f^{\text{SOU}}$  are the corresponding values obtained from the  
 387 first-order and second-order upwind schemes.

388  $\Psi()$  is the limiter function where the minmod limiter [83], which is one  
 389 of the simplest second-order TVD (Total Variation Diminishing) schemes, is  
 390 used here

$$\Psi(r) = \max[0, \min(r, 1)]. \quad (37)$$

391 Similar results are obtained by using other limiter functions and a general re-  
 392 view of various limiter functions can be found in [84] and will not be repeated  
 393 here.  $r_f^\varphi$  represents the ratio of successive gradients of  $\varphi$  on the solution mesh  
 394 and obtained as

$$r_f^\varphi = \frac{\varphi_A - \varphi_D}{\varphi_D - \varphi_U}, \quad (38)$$

395 where subscripts D, A, and U denote donor cell, acceptor cell, and upwind  
 396 cell, respectively.

397 As discussed in the previous study [74],  $\Phi()$  is the step function and  $r_f^m$   
 398 is the variation for the mass, defined as the ratio of the mass flux between

399 the conservative and non-conservative forms

$$r_f^m = \frac{(\rho \mathbf{u} \cdot \mathbf{n} \theta A)_f}{\rho_c (\mathbf{u} \cdot \mathbf{n} \theta A)_f}. \quad (39)$$

400 The step function  $\Phi()$  takes the form

$$\Phi(r) = \begin{cases} 1, & \text{if } |r| \leq 1; \\ 0, & \text{otherwise,} \end{cases} \quad (40)$$

401 which means that the present high resolution scheme switches to the first-  
 402 order upwind scheme when the density on the cell face is larger than the  
 403 density in the cell centre.

### 404 3.5.3. Diffusion term

405 The finite volume discretisation of the diffusion term in [Eq. \(13\)](#) is ob-  
 406 tained as

$$Q_\varphi^D = \iint_S \Gamma \frac{\partial \varphi}{\partial n} dS = \sum_f \Gamma_f \frac{\partial \varphi}{\partial n} (\theta A)_f, \quad (41)$$

407 where the viscosity on the face is obtained by the harmonic mean [\[85\]](#), for  
 408 example, on the east face

$$\Gamma_e = \frac{\Gamma_P \Gamma_E}{\lambda_e \Gamma_P + (1 - \lambda_e) \Gamma_E}, \quad (42)$$

409 where  $\lambda_e = |eE| / |PE|$ . Analogous expressions can be derived for all other  
 410 faces (f=w, n, s, b, r) by making appropriate index substitutions and will  
 411 not be shown here.

412 The gradient at the face is calculated by the finite difference approach as

$$\frac{\partial\varphi}{\partial n} = \frac{\varphi_{\text{nb}} - \varphi_{\text{P}}}{\Delta_{\text{Pnb}}}, \quad (43)$$

413 where  $\Delta_{\text{Pnb}}$  is the distance from the present point P to the neighbouring  
414 point nb.

415 When the control volume is a cut cell, special attention has to be paid to  
416 the spatial discretisation. When the face of a momentum control volume is  
417 on the wall, the diffusion flux is obtained as

$$\iint_S \Gamma \frac{\partial\varphi}{\partial n} dS = \sum_{\text{f}} \Gamma_{\text{f}} \frac{\partial\varphi}{\partial n} (\theta A)_{\text{f}} + \tau_{\text{w}} [(1 - \theta) A]_{\text{f}}, \quad (44)$$

418 where  $\frac{\partial\varphi}{\partial n}$  is calculated by the finite difference approach in (43) and  $\tau_{\text{w}}$  is the  
419 shear stress of the wall on the face of the control volume.

#### 420 3.5.4. Source term

421 The finite volume discretisation of the source term in Eq. (13) is obtained  
422 as below:

423 *Pressure term.* The finite volume discretisation of the pressure term is ob-  
424 tained as

$$Q_{\varphi}^{\text{p}} = \iiint_{\Omega} -\nabla p d\Omega = -\nabla p \theta_c \Omega, \quad (45)$$

425 and the pressure gradient is calculated as

$$\begin{aligned} \nabla p &= \left( \frac{\partial p}{\partial x}, \frac{\partial p}{\partial y}, \frac{\partial p}{\partial z} \right) \\ &= \left( \frac{p_{\text{e}} - p_{\text{w}}}{\Delta x}, \frac{p_{\text{b}} - p_{\text{r}}}{\Delta y}, \frac{p_{\text{n}} - p_{\text{s}}}{\Delta z} \right). \end{aligned} \quad (46)$$

426 *Body force term.* The finite volume discretisation of the body force term is  
427 obtained as

$$Q_{\varphi}^{\text{B}} = \iiint_{\Omega} \rho \mathbf{g} d\Omega = \rho_c \mathbf{g} \theta_c \Omega, \quad (47)$$

428 where the value in the centre of the control volume is obtained by the volume  
429 averaging of two values on the face of the control volume.

430 *Internal source term.* The finite volume discretisation of the internal source  
431 term is obtained as

$$Q_{\varphi}^{\text{I}} = \iiint_{\Omega} \rho \mathbf{u} \psi(t) d\Omega = \rho_c \mathbf{u} \psi_c(t) \theta_c \Omega. \quad (48)$$

### 432 3.6. Procedure

433 In summary, the overall solution procedure of the present two-phase flow  
434 model with moving bodies for one time step is detailed as:

- 435 (1) Setup boundary conditions and moving bodies with pre-specified mo-  
436 tion: [Section 2.5](#).
- 437 (2) Calculate the cut-cell information and the  $\theta$  function for the area and  
438 volume available for the flow in each cells, and compute the internal  
439 source function  $\psi(t)$ : [Section 3.5.1](#).
- 440 (3) Solve the volume-of-fluid function  $F$ : [Section 3.4](#).
- 441 (4) Update the fluid properties, density  $\rho$  and dynamic viscosity  $\mu$ : [Section 2.2.2](#).
- 442 (5) Calculate the turbulent eddy viscosity  $\mu_t$  if the dynamic SGS model is  
443 used: [Section 2.4](#).



- 444 (6) Setup the coefficients  $a^u$  and  $b_p^u$  based on the latest pressure  $p^*$  and  
445 velocity  $\mathbf{u}$  and solve for the intermediate velocity  $\mathbf{u}^*$ : [Section 3.3.3](#).
- 446 (7) Setup the coefficients  $a^p$  and  $b_p'$  and solve for the first pressure correc-  
447 tion  $p'$  and calculate  $\mathbf{u}'$ : [Section 3.3.3](#).
- 448 (8) Setup the coefficients  $b_p''$  and solve for the second pressure correction  
449  $p''$  and calculate  $\mathbf{u}''$ : [Section 3.3.3](#).
- 450 (9) Update the pressure  $p = p^* + p' + p''$  and the velocity  $\mathbf{u}^* + \mathbf{u}' + \mathbf{u}''$ :  
451 [Section 3.3.3](#).
- 452 (10) Go back to step (6) if the mass residue is larger than a threshold,  
453 otherwise go to next time level in step (1): [Section 3.3](#).

#### 454 4. Results and discussion

455 A grid convergence study of the two-phase flow model, the benchmark  
456 problem of a 3D collapse of a water column without a structure is carried out  
457 first to test the interface capturing method at various grid resolutions. The  
458 validation of the cut-cell method is then demonstrated by studying dambreak  
459 flow interacting with a fixed square cylinder. Numerical results are quantita-  
460 tively compared with experimental data in terms of velocity and force acting  
461 on the cylinder. With the goal to validate the cut-cell method involving mov-  
462 ing bodies, a single-phase flow problem for a moving cylinder in a quiescent  
463 fluid is studied followed by a two-phase flow with moving bodies. Therefore,  
464 2D dambreak flow over a wet bed is studied with the movement of the gate  
465 being simulated directly using the proposed moving cut-cell method, and the

466 computed water surface behavior is compared qualitatively with experimen-  
467 tal observations. After that, the validation of the Cartesian cut-cell/VOF  
468 method with moving bodies is further demonstrated by studying the exit  
469 and entry of a circular cylinder into a fluid. Finally, the method is employed  
470 to study 3D water wave generation due to a sliding wedge.

#### 471 *4.1. Convergence study - 3D collapse of a water column*

472 The classical benchmark case of a 3D dambreak flow is considered, which  
473 has been studied in detail experimentally in [86] and numerically, for instance  
474 in [87]. The motion of the water (i.e. water height on the wall and spread  
475 length on the bottom) during water collapse were recorded in the experiment  
476 and are used here to validate the present two-phase flow model.

477 The computational domain is  $4a \times a \times 4a$  in the streamwise, spanwise,  
478 and vertical directions, respectively. Initially, a column of water with  $2a$   
479 height and  $a$  width is placed on the bottom left of the tank and  $a = 0.25$  m  
480 is used here. Here,  $b$  and  $c$  denote the time history of the remaining height  
481 and surge front position of the water column and the schematic is shown in  
482 Fig. 4. The computational domain is discretised with three different sets of  
483 uniform meshes with  $32 \times 8 \times 32$ ,  $64 \times 16 \times 64$ , and  $128 \times 32 \times 128$  in the  
484 streamwise, spanwise, and vertical directions, respectively.

485 Fig. 4 depicts snapshots of the remaining water height (left) and surge  
486 front position (right) as computed together with experimental data [86]. As  
487 far as the water column height is concerned, simulation results on all three  
488 grids are in very good agreement with the experimental data (Fig. 4, left).  
489 As far as the surge front position is concerned, due to the fact that the  
490 dam cannot be removed instantaneously in the experiment, a small time

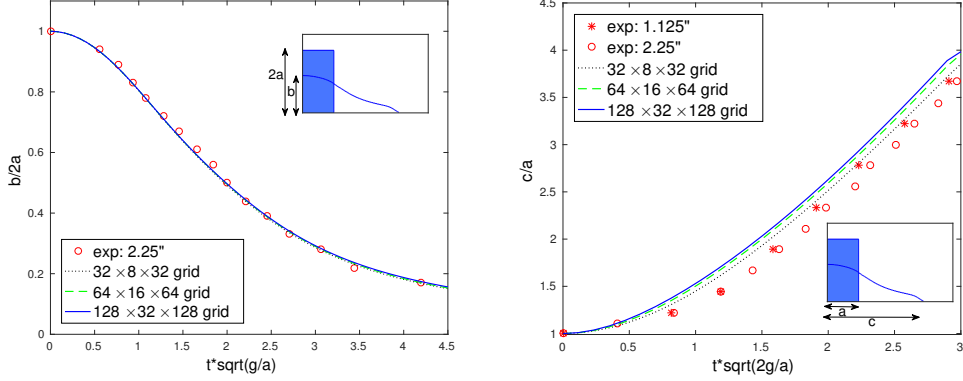


Figure 4: Results of the numerical simulations on three different meshes together with experimental data [86] for remaining water column height  $b$  (left) and surge front position  $c$  (right), both as a function non-dimensional time.

491 lag in the experimental data should exist, which is also observed in other  
 492 numerical simulations. In general, the numerical results converge to the  
 493 correct solution when refining the mesh and there is only a marginal difference  
 494 in surge position between the medium and fine mesh simulations.

495 In order to further study the convergence rate of the present method,  
 496 the free surface profiles obtained by the simulations on the three meshes at  
 497 an instant  $t = 0.2$  s (shown in the schematic of Fig. 4) are compared with  
 498 the benchmark solution. An additional simulation with an even finer mesh  
 499  $256 \times 64 \times 256$  is carried out until  $t = 0.2$  s and the so-computed air-water  
 500 interface is taken as the benchmark solution. Fig. 5 shows the calculated  $L_1$ ,  
 501  $L_2$ , and  $L_{inf}$  errors with respect to the benchmark solution. It can be seen  
 502 that the convergence rate is between first-order and second-order, and it is  
 503 more close to second-order when approaching the fine mesh. This is expected  
 504 as although second-order discretisation is used for the diffusion term, the

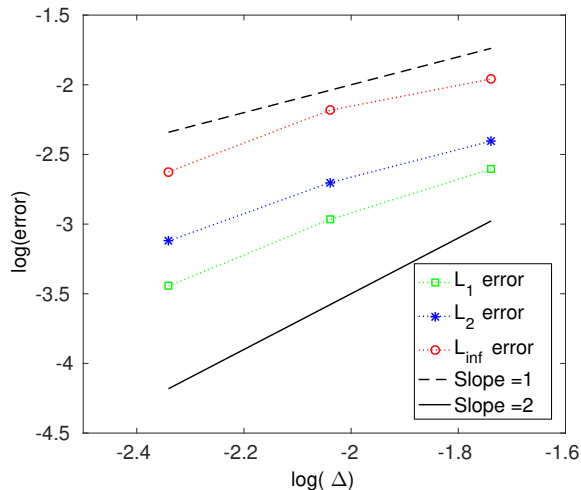


Figure 5: Error norm as a function of grid resolution for the 3D collapse of a water column. Lines for first-order and second-order behavior are also plotted for reference.

505 advection term is discretised by a high-resolution scheme, which combines  
 506 the high order accuracy with monotonicity for the first-order scheme.

#### 507 4.2. 3D dambreak flow interacting with a fixed square cylinder

508 In this section, a 3D two-phase flow interacting with a fixed structure is  
 509 considered to verify and validate the implementation of the Cartesian cut-  
 510 cell method. The dambreak flow interacting with a square cylinder is often  
 511 considered as a benchmark case and this has been studied in [70], among  
 512 others. The computational setup (shown in Fig. 6) is the same as in [70]  
 513 except that a full domain is considered in the present study, rather than  
 514 using only half of the domain with symmetry boundary conditions along  
 515 the centre of the domain as was used by [70]. The tank dimensions are 1.6  
 516 m  $\times$  0.61 m  $\times$  0.60 m in the streamwise, spanwise, and vertical direction,  
 517 respectively. The initial height of the water behind a thin gate is 0.4 m and a

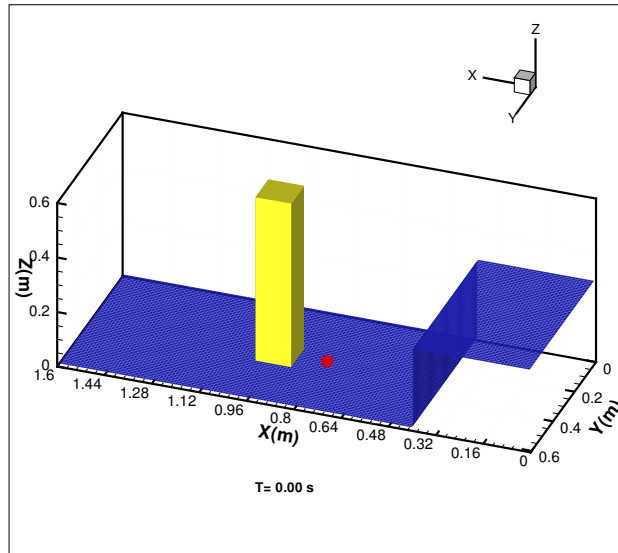


Figure 6: Computational setup for the 3D dambreak flow over a square cylinder. The red dot indicates the location where velocity measurements were carried out.

518 thin layer of water of 0.01 m depth is set downstream of the gate. The square  
 519 cylinder (with 0.12 m edge) is placed 0.5 m downstream of the gate and the  
 520 lateral distance to the sidewalls is 0.24 m. In the experiments, the net force  
 521 on the cylinder was measured and an LDV system was employed to monitor  
 522 the fluid velocity at a fixed location (0.146 m upstream of the centre of the  
 523 cylinder and 0.026 m off the floor of the tank) indicated as a red point in  
 524 Fig. 6. The computational domain is discretised with a  $160 \times 61 \times 60$  uniform  
 525 mesh, where  $\Delta x = \Delta y = \Delta z = 0.01$  m, the same as used in [70].

526 Fig. 7 presents snapshots of the air-water interface as the flow interacts  
 527 with the square cylinder. Initially, the water column collapses due to gravity  
 528 and a two-dimensional bore is generated. When the bore hits the cylinder,  
 529 three-dimensional flow is observed. Part of the bore reflects back in front of

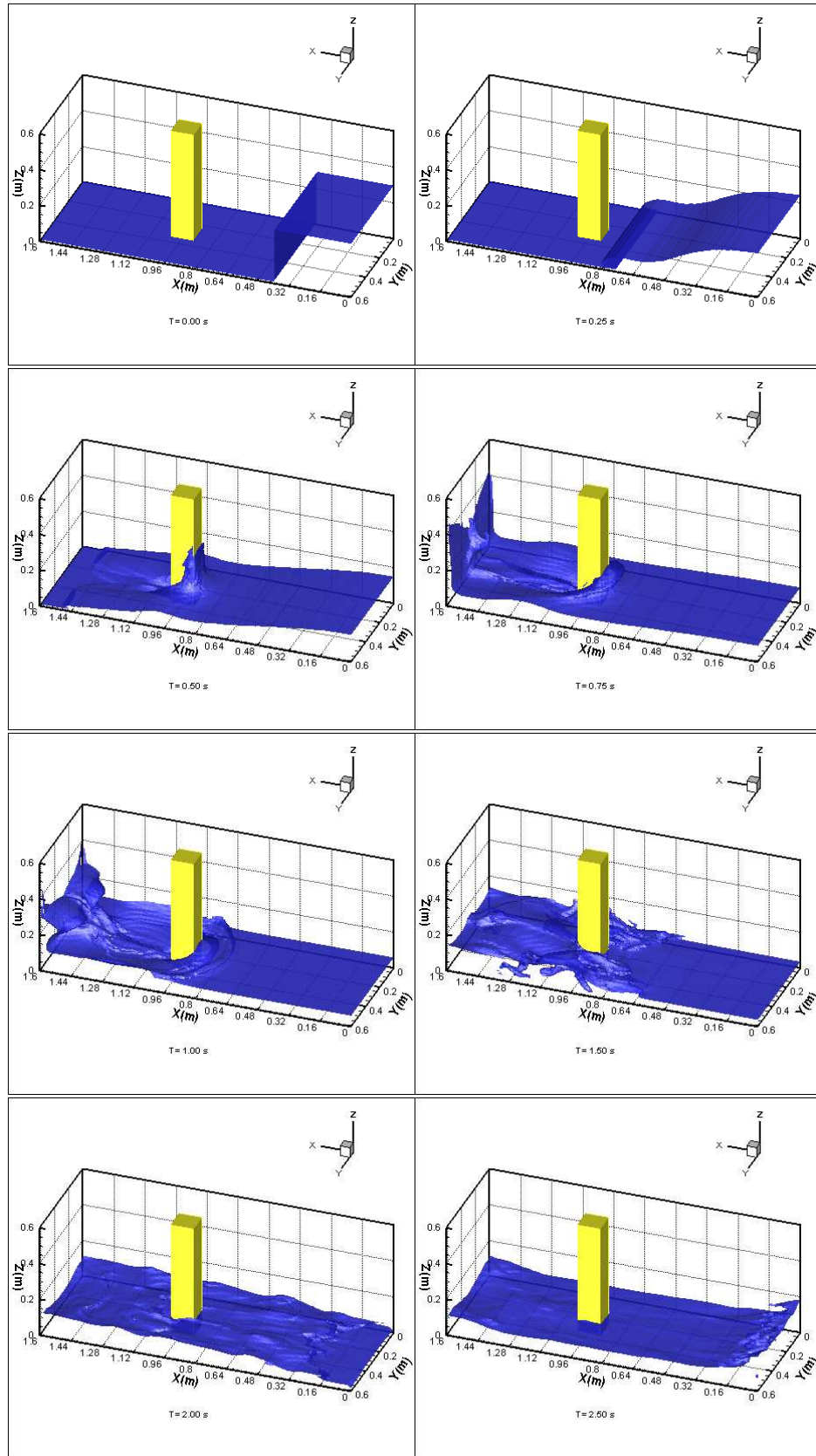


Figure 7: Snapshots of the air-water interface at selected instants in time

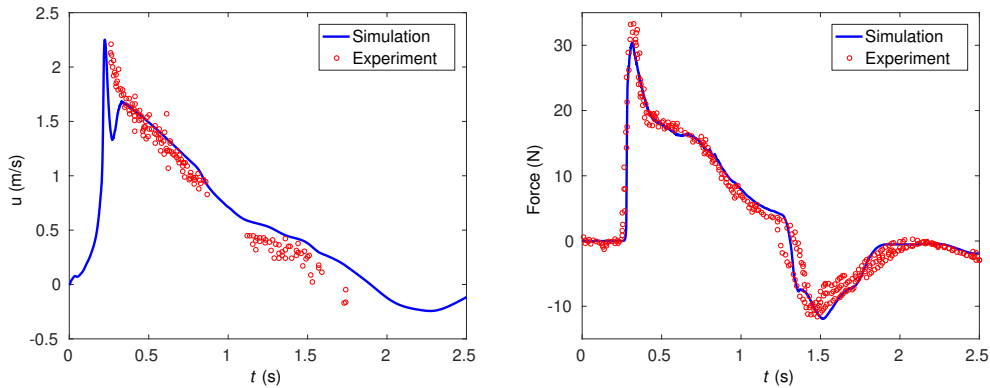


Figure 8: Time-history of horizontal velocity (left) and force acting on the square cylinder (right). The location where velocities are measured is indicated as red point in Fig. 6).

530 the cylinder while other parts wrap around the cylinder. The separated two  
 531 bores merge together in the wake zone downstream and start to climb on the  
 532 end wall. After that, the main bore collapses and is reflected back from the  
 533 end wall to catch up with the reflected bore from the cylinder, generating  
 534 splash-up and air entrainment. These snapshots qualitatively agree well with  
 535 the simulation results in [70].

536 The fluid velocity and net force acting on the cylinder as a function of  
 537 time are plotted in Fig. 8. Overall, the numerical results (solid lines) agree  
 538 well with the experimental data (symbol), especially peak value of horizontal  
 539 velocity and phase of the force acting on the square cylinder, which demon-  
 540 strates the accuracy of the Cartesian cut-cell method in the two-phase flow  
 541 model.

#### 542 4.3. Moving circular cylinder in a quiescent fluid

543 After validating the two-phase flow model interacting with a fixed body,  
 544 moving body problems are considered with the goal to validate the moving



545 body algorithm with the cut-cell method. First, a single-phase flow problem  
 546 of a moving circular cylinder in a quiescent fluid is studied. At each time  
 547 step the solid volume (Eq. (7)) and hence the cut-cells require updating as  
 548 the cylinder changes its position whilst fluid movement is computed in the  
 549 entire domain. The computational domain is  $[-10D, 30D] \times [-20D, 20D]$   
 550 with a uniform mesh of  $1280 \times 1280$ . The cylinder is initially positioned at  
 551  $x = 20D$  and suddenly set into motion with a constant velocity of  $-U_{\text{mov}}$   
 552 moving to the left until  $x = 0D$ . Zero velocity fluid is set for the initial  
 553 condition and the no-slip boundary condition is applied at all boundaries. A  
 554 Reynolds number of  $Re = U_{\text{mov}}D/\nu = 40$  is considered. A constant time step  
 555 with CFL number of 1.0 is used in the simulation in order to demonstrate the  
 556 capability of the implicit time integration treatment for the cut-cell method.

557 Fig. 9 shows snapshots of vorticity contours around the impulsively mov-  
 558 ing circular cylinder at non-dimensional time of 1, 5, 10, and 20. A symmetric  
 559 vortex pair develops during the movement of the cylinder. The flow reaches  
 560 a steady state and no vortex shedding occurs at this Reynolds number, in  
 561 analogy to many other studies of constant flow past a stationary cylinder at  
 562  $Re = 40$ . The drag coefficient  $C_D$  as a function of time is plotted in Fig. 10  
 563 and the value obtained at the final stage is compared with other experimen-  
 564 tal and computational studies for fixed and moving cylinders in Table 2. It  
 565 is worth mentioning that although we use a large CFL number (1.0) and  
 566 the non-dimensional computational time is shorter than the case for fixed  
 567 cylinder, our simulation compares well with other studies.

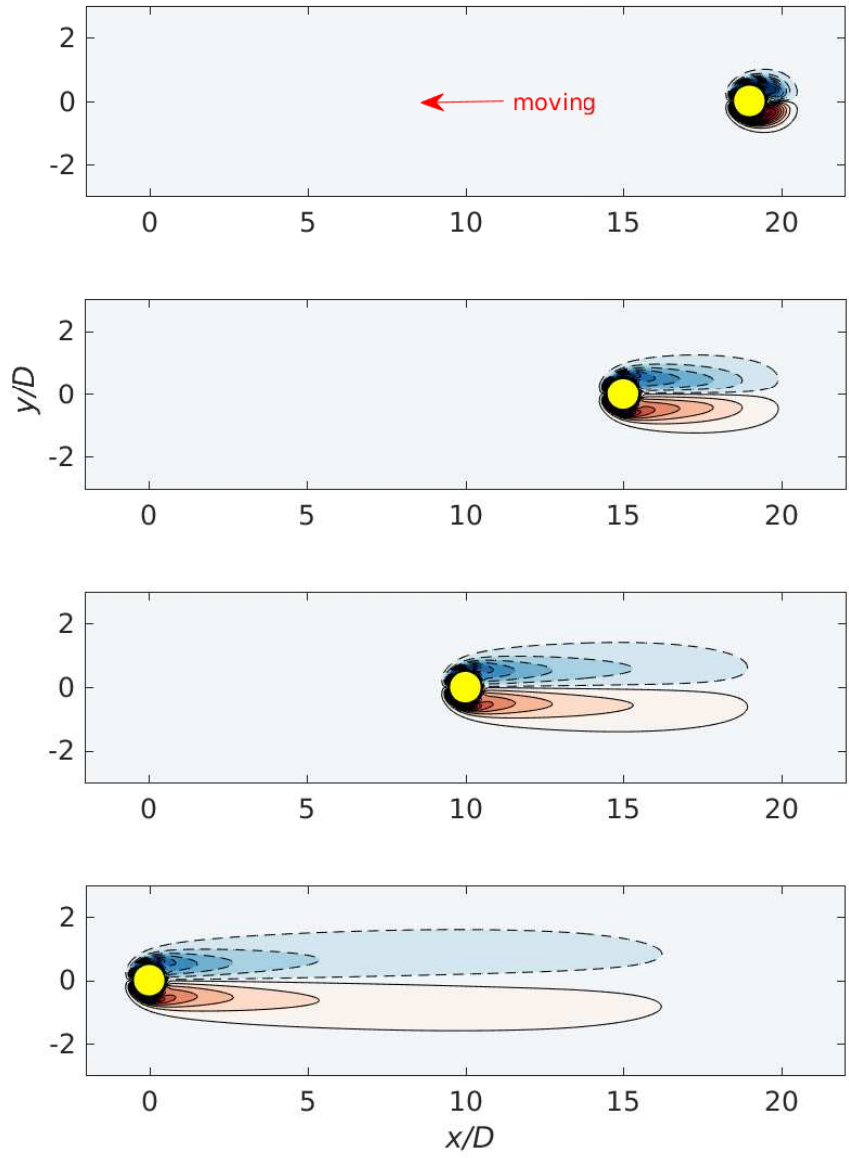


Figure 9: Snapshots of contours of the vorticity around a moving circular cylinder for  $Re = 40$  at non-dimensional times of 1, 5, 10, and 20.

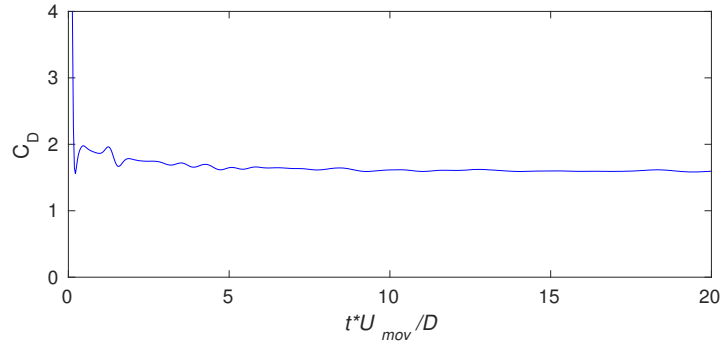


Figure 10: Drag coefficient of a circular cylinder moving through a quiescent fluid at  $Re = 40$ .

Table 2: Comparison between the experiment, other simulations, and the present study for a cylinder at  $Re = 40$ .

Study	method	$C_D$
Tritton [88]	experiment	1.59
Fornberg [89]	fixed cylinder	1.50
Marella et al. [90]	fixed cylinder	1.52
Shirgaonkar et al. [91]	moving cylinder	1.52
Wu et al. [92]	moving cylinder	1.554
Present	moving cylinder	1.58

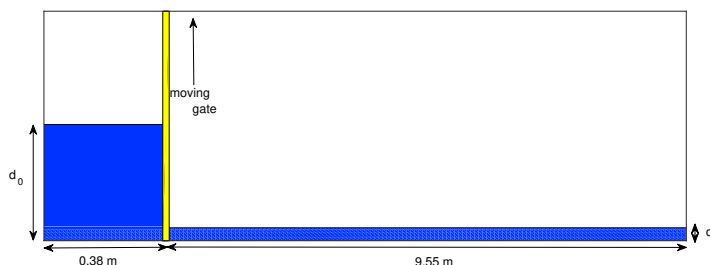


Figure 11: Computational setup for the 2D dambreak flow over a wet bed (not to scale). The gate is moving with a constant vertical velocity  $U_{\text{gate}} = 1.5$  m/s.

#### 568 4.4. Dambreak over a wet bed with a moving gate

569 After successful validation of the moving body algorithm using the cut-  
 570 cell method for single-phase flows, moving body problems for two-phase flows  
 571 are considered. The dambreak flow over a wet bed with a moving lock gate  
 572 is studied using experimental data reported in [93]. In the experiment, the  
 573 tank has two parts with a lock gate separating a channel and a lock as shown  
 574 in Fig. 11. The length of the channel downstream is 9.55 m covered by 0.018  
 575 m deep water and the length of the lock upstream is 0.38 m and its initial  
 576 height of water is 0.15 m. In the experiment, the gate separating the lock  
 577 and the channel was moved upward with an approximate constant velocity  
 578 of  $U_{\text{gate}} = 1.5$  m/s. The computational domain of dimensions  $9.93 \text{ m} \times 0.3$   
 579 m is discretised by a  $800 \times 80$  non-uniform mesh in the streamwise, vertical  
 580 directions, respectively. The fine mesh of  $\Delta x = \Delta y = 0.0025$  m is deployed  
 581 near the gate at the lower part of the computational domain.

582 The calculated progression of the dambreak flow over a wet bed is vi-  
 583 visualised by the blue contours indicating the water face in the right column  
 584 of Fig. 12. Also depicted in the left column are photographs of the experi-

585 ment of [93] at the same instants in time as the numerical simulation. Due  
586 to gravity, the water in the lock (left of the gate) pushes the still water  
587 on the wet bed away after removal of the gate. The simulation produces a  
588 “mushroom”-shaped jet initially, which agrees well with the experiment. The  
589 direct simulation of the moving gate plays an important role here, because  
590 some fluid is dragged upwards with the gate and the initial release of the  
591 water is restricted and somewhat delayed. Later, the “mushroom” jet devel-  
592 ops into a plunging jet, touching down on the water surface and generating  
593 a secondary jet with an air cavity underneath; simultaneously the remains of  
594 the “mushroom” jet impinge on the water surface on the upstream side of the  
595 front with air entrainment during the breaking process. Overall, a good qual-  
596 itative match is obtained between the simulation and experiment in terms of  
597 behaviour of the fluid, capturing well the breaking waves, splash-up and air  
598 entrainment.

#### 599 *4.5. Water exit and entry of a circular cylinder*

600 Water exit and entry of solid objects have both theoretical and prac-  
601 tical applications, especially in ocean and offshore engineering. Such fluid-  
602 structure interaction flows have been studied numerically using the boundary  
603 element method (BEM) [94], 2D single-phase free surface models with par-  
604 tial cell treatment [32] and 3D two-phase flow models with the immersed  
605 boundary method [33]. The same parameters as those used in [32] are cho-  
606 sen here: the radius of a circular cylinder is  $R = 1.0$  m and its centre is  
607 initially located at a distance  $d = 1.25$  m below the still water level. The  
608 gravitational acceleration is  $g = 1.0$  m/s<sup>2</sup> and the upward velocity of the  
609 cylinder is  $U_{\text{cylinder}} = 0.39$  m/s. As the focus is on the impact region, a

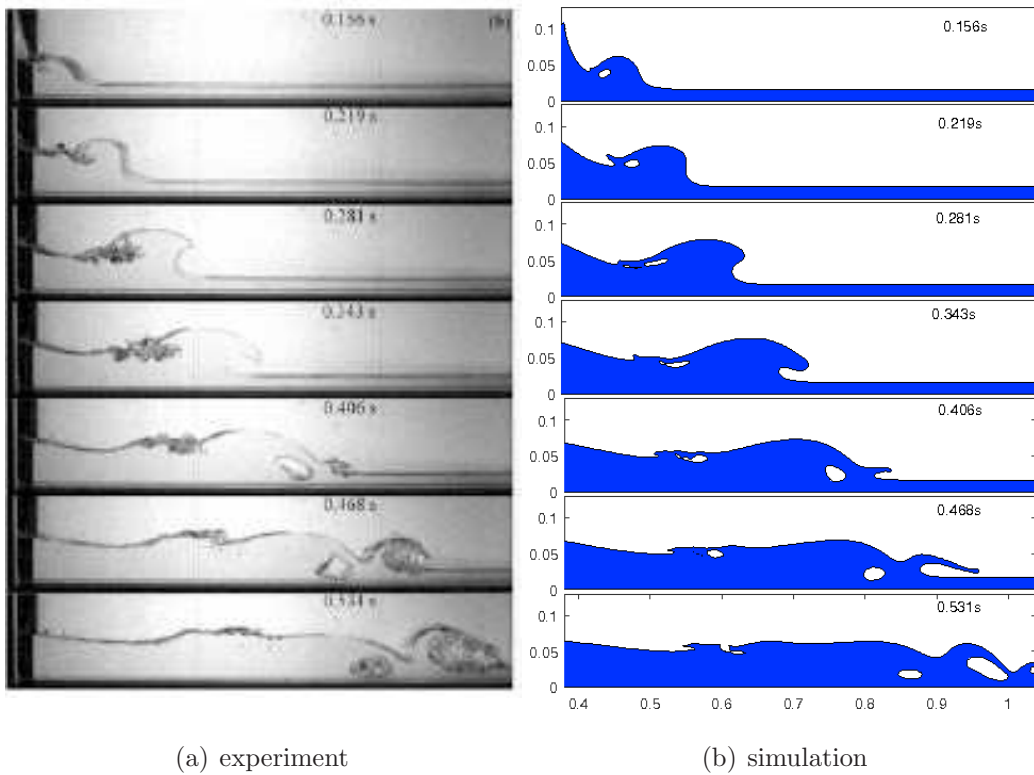


Figure 12: Visualised dambreak flow as observed in the experiment [93] (a) and as numerically predicted (b). The gate is simulated with a constant upward velocity  $U_{\text{gate}} = 1.5$  m/s.

610 smaller computational domain  $20R \times 10R$  is used and it is discretised by a  
611 uniform mesh  $400 \times 200$  in the streamwise and vertical directions, respec-  
612 tively. The mesh resolution  $\Delta x = \Delta y = 0.05R$  is the same as in [32, 33] for  
613 the fine mesh region in a non-uniform grid. The simulation is carried out  
614 until the non-dimensional time  $T = |U_{\text{cylinder}}t/D| = 3$ .

615 Fig. 13 shows snapshots of the air-water interface together with the cylin-  
616 der for the water exit problem. As the cylinder reaches  $T = 0.2$ , the air-water  
617 interface deforms in the region above the cylinder. At  $T = 0.4$  and  $T = 0.6$ ,  
618 more significant water surface deformation is observed taking the shape of  
619 the cylinder in its vicinity. At these two time instants, the predicted results  
620 compare well with the BEM results by [94] (red symbols). At  $T = 0.8$  and  
621  $T = 1.0$ , a thin layer of fluid is found on the surface of the cylinder and  
622 as displaced fluid flows back into the water body a depression of the water  
623 surface is observed on either side of the cylinder. The thin layer of fluid  
624 around the cylinder eventually dries up ( $T = 2.0$ ) before the cylinder exists  
625 the water completely ( $T = 3.0$ ). The present results are similar to those  
626 obtained by the single-phase flow model of [32] and very close to those given  
627 by the two-phase flow model of [33]. The thin water film over the cylinder  
628 appears better resolved with two-phase flow models.

629 For the water entry problem, the overall setup is the same as the water  
630 exist problem except that the cylinder starts at a distance  $d = 1.25$  m above  
631 the still water level and moves with a constant downward velocity  $U_{\text{cylinder}} =$   
632  $-1.0$  m/s.

633 Fig. 14 shows profiles of the air-water interface at selected instants in  
634 time for water entry of a moving cylinder. Two oblique jets are generated

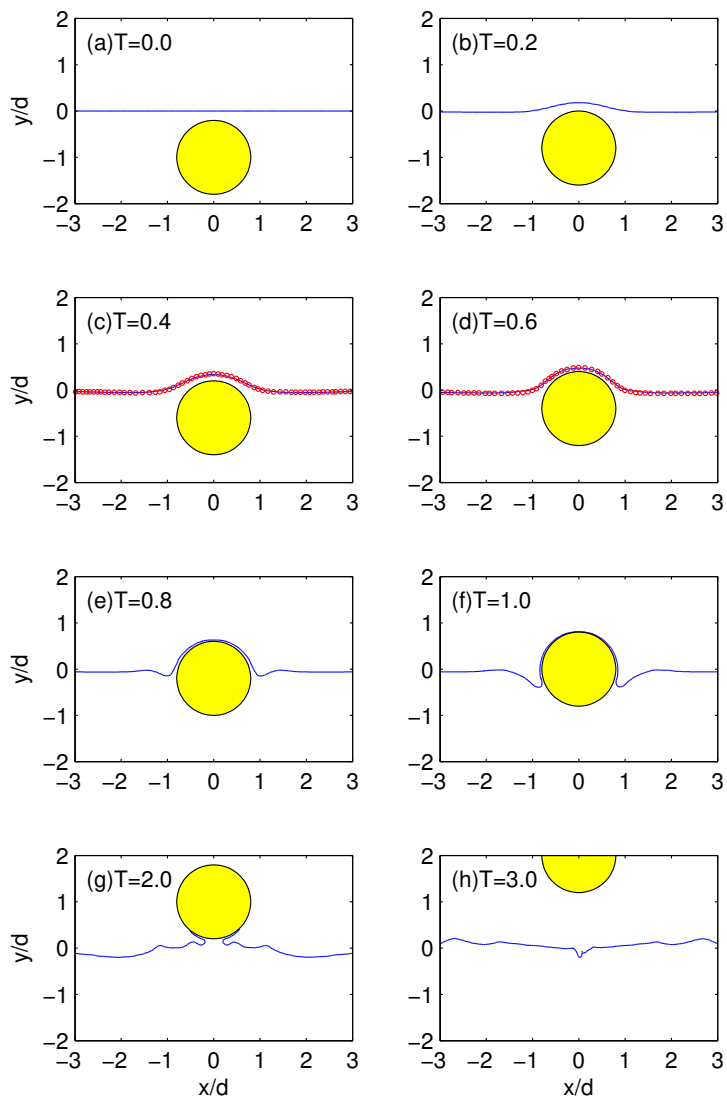


Figure 13: Snapshots of the air-water interface profile (blue line) for water exit of a horizontal cylinder. Also plotted are the BEM results of [94] in (c) and (d).



635 during the impact and the triple point between air-water-solid moves along  
636 the cylinder surface. There is a water surface depression when the cylinder  
637 moves further downward. After the cylinder is completely submerged, the  
638 elevated areas of water meet in the centre above the cylinder and generate a  
639 small upward jet. The simulation results agree with those given in [32] albeit  
640 a slightly different jet shape occurs, probably due to the different approach  
641 used here.

#### 642 *4.6. Water wave generation by a 3D sliding wedge*

643 The water waves generated by a 3D sliding wedge has been studied both  
644 experimentally and numerically in [95]. In the experiments, the initial po-  
645 sition of the wedge varied from subaerial to submerged. The subaerial case  
646 has been studied in [33, 95] and here the focus is on the submerged wedge  
647 case. The setup is the same as in the experiment and details can be found in  
648 [95]. The computational domain of  $6.6 \text{ m} \times 3.7 \text{ m} \times 3.3 \text{ m}$  is discretised by  
649 a  $220 \times 160 \times 220$  uniform Cartesian grid in the streamwise, spanwise, and  
650 vertical directions, respectively, with its origin being setup at the shoreline  
651 along the central plane. The motion of the sliding wedge in the simulation  
652 is prescribed and is the same as in the experiment.

653 Fig. 15 shows snapshot of the air-water interface profile together with  
654 the location of the wedge as it moves down the slope. At the beginning, the  
655 submerged wedge induces downward velocity above the wedge, which causes  
656 a depressed air-water interface above the wedge and push the water surface  
657 upward in front of the wedge. A small positive wave and a large negative  
658 wave are generated as the wedge reaches its full speed. A positive wave is  
659 also generated behind the wedge when it moves down. It can be seen that

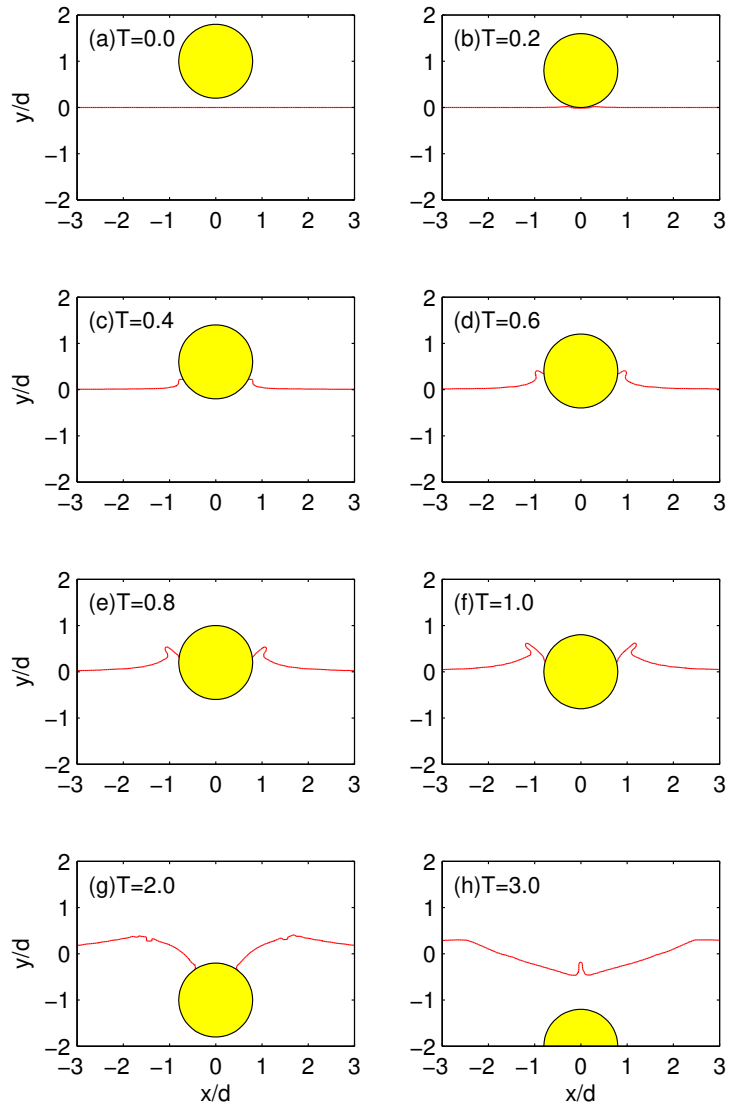


Figure 14: Profiles of the air-water interface for water entry of a horizontal cylinder at selected instants in time.

660 three-dimensional waves are generated due to the 3D wedge, propagating  
661 laterally offshore. In the shoreline region, because of the slide movement, the  
662 runup height has negative values at the beginning and a rebounding wave  
663 causes the shoreline to rise up later and propagate outward.

664 In order to quantitatively assess the simulation’s accuracy, the time his-  
665 tory of the computed water surface elevation and the wave gauge data of  
666 the experiment are plotted in Fig. 16 for comparison. The two wave gauges  
667 (one in front of the wedge’s initial location and the other is at the side of the  
668 wedge’s initial location shown in Fig. 15). The overall agreement between  
669 simulation and measurement is quite convincing, especially at the beginning  
670 of the sliding process.

## 671 5. Conclusions

672 In this paper, a Cartesian cut-cell/volume-of-fluid method has been de-  
673 veloped for the computation of 3D two-phase flows with moving bodies. The  
674 method is based on the 3D two-phase flow model over fixed complex topog-  
675 raphy of [96] to which a moving body algorithm with the source function  
676 approach [69, 70] has been added. The method of large-eddy simulation has  
677 been adopted, i.e. it solves the space filtered Navier–Stokes equations and  
678 employs the dynamic Smagorinsky subgrid-scale model to compute the un-  
679 resolved scales of turbulence. The finite volume method has been adopted  
680 to discretise spatial derivatives with the PISO algorithm for the pressure-  
681 velocity coupling, and a backward finite difference discretisation to integrate  
682 the equations in time, leading to a fully implicit scheme for the govern-  
683 ing equations. The air-water interface is captured using the high resolution

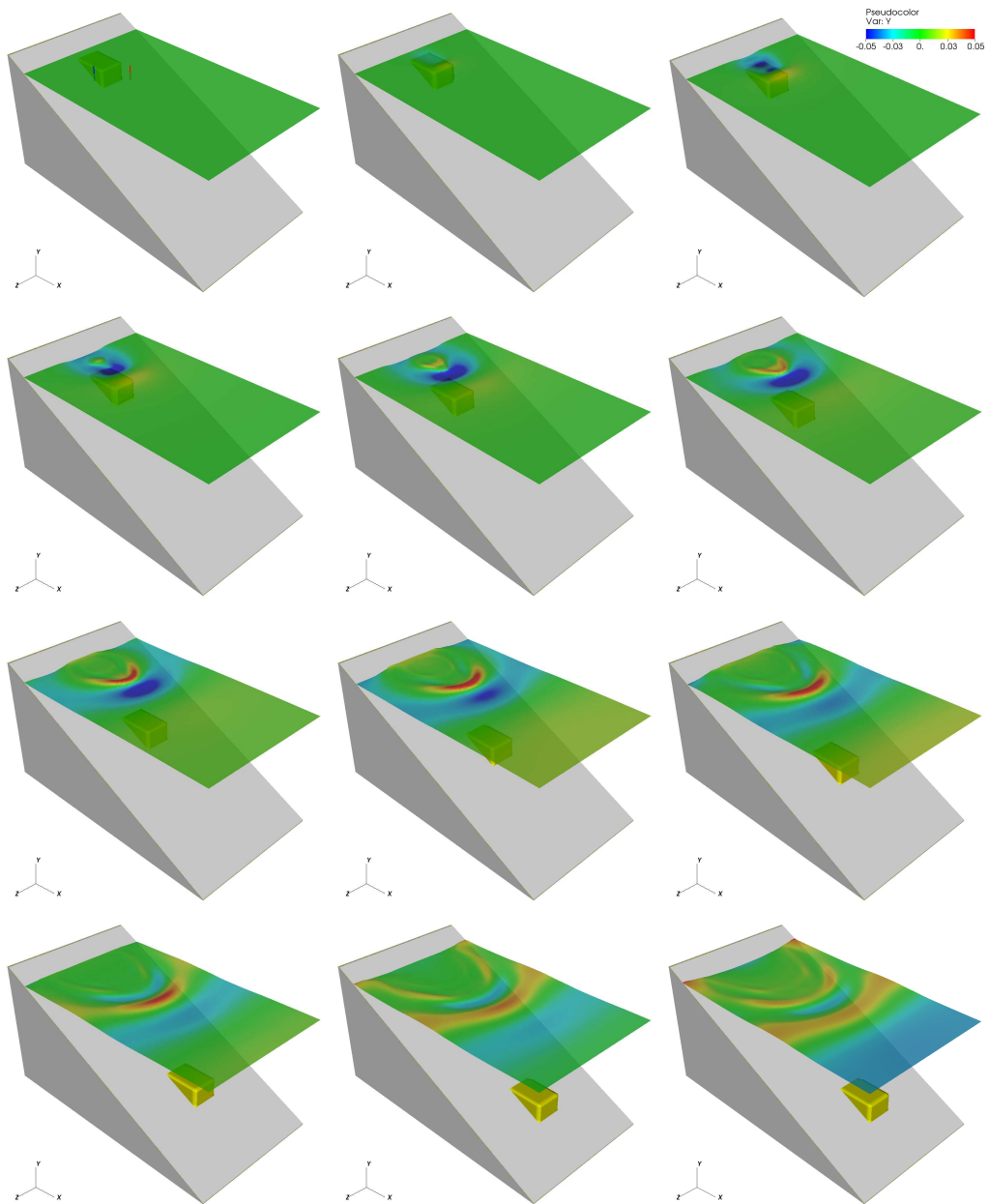


Figure 15: Air-water interface at selected instants in time. The wedge's initial position is 0.1 m below the mean water level. The time interval between plots is 0.3 s. The two wave gauges are located at  $(x, z) = (1.83, 0)$  and  $(x, z) = (1.2446, 0.635)$  shown as vertical lines on the top left figure.

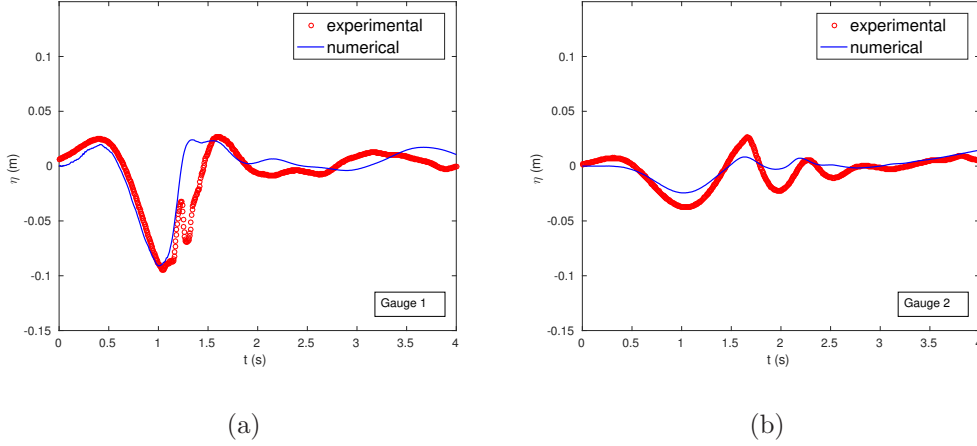


Figure 16: Computed and measured time history of the water surface elevation at two wave gauges. The location of wave gauges (a) and (b) are at  $(x, z) = (1.83, 0)$  and  $(x, z) = (1.2446, 0.635)$  relative to the centre of initial shoreline.

684 VOF scheme CICSAM, and detailed implementation of the Cartesian cut-cell  
 685 method has been discussed for fixed and moving bodies.

686 In order to validate this method, several benchmark cases with different  
 687 features have been studied. A 3D collapse of a water column has been com-  
 688 puted first. The position of the water front and height of the remaining water  
 689 surface have been compared with the experimental data and good agreement  
 690 between numerical and experimental data has been obtained. Thereafter,  
 691 3D dambreak flow over a vertical square cylinder has been calculated, where  
 692 quantitative comparisons for the velocity and force on the cylinder have been  
 693 made between numerical simulations and corresponding experimental data.  
 694 The occurrence of plunging jet impact and subsequent splash-up have been  
 695 captured well in the numerical simulation. Then a moving circular cylinder in  
 696 a quiescent fluid has been studied with the aim to validate the moving body

697 represented by the cut-cell method. Next, a 2D dambreak flow over a wet  
698 bed with a moving gate and the water exit and entry of a cylinder have been  
699 carried out. The air-water interface profiles have been compared with exper-  
700 imental data and other numerical results, in which good agreement has been  
701 obtained. Finally, a 3D water wave generation due to a submerged sliding  
702 wedge has been simulated to show the capability of the present 3D two-  
703 phase flow model with moving bodies. Snapshots of the air-water interface  
704 have been presented and computed water surface profiles showed convincing  
705 agreement with experimental data.

706 This study demonstrates the capability of the present Cartesian cut-  
707 cell/volume-of-fluid model to predict 2D and 3D two-phase flow with moving  
708 bodies. The model can act as a complementary approach to experimental  
709 investigations to gain further insight into the kinematics and dynamics of  
710 three-dimensional wave-structure interaction problems. Future research will  
711 focus on the fully coupled fluid-structure interaction and also the adaptive  
712 mesh method in order to reduce the computational effort.

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