

Available online at www.sciencedirect.com

# Resuscitation

journal homepage: www.elsevier.com/locate/resuscitation



**EUROPEAN** 

RESUSCITATION

## **Clinical paper**

## Protocol for outcome reporting and follow-up in the Targeted Hypothermia versus Targeted Normothermia after Out-of-Hospital Cardiac Arrest trial (TTM2)

Gisela Lilja<sup>a,\*</sup>, Niklas Nielsen<sup>b</sup>, Susann Ullén<sup>c</sup>, Erik Blennow Nordstrom<sup>a</sup>, Josef Dankiewicz<sup>d</sup>, Hans Friberg<sup>e</sup>, Katarina Heimburg<sup>a</sup>, Janus Christian Jakobsen<sup>f</sup>, Helena Levin<sup>g</sup>, Clifton Callaway<sup>h</sup>, Alain Cariou<sup>i</sup>, Glenn M. Eastwood<sup>j</sup>, Raimund Helbok<sup>k</sup>, Jan Hovdenes<sup>1</sup>, Hans Kirkegaard<sup>m</sup>, Christoph Leithner<sup>n</sup>, Matt P.G Morgan<sup>o</sup>, Per Nordberg<sup>p</sup>, Mauro Oddo<sup>q</sup>, Paolo Pelosi<sup>r,s</sup>, Christian Rylander<sup>t</sup>, Manoj Saxena<sup>u,v</sup>, Fabio Silvio Taccone<sup>w</sup>, Michal Siranec<sup>x</sup>, Matthew P. Wise<sup>o</sup>, Paul J. Young<sup>y</sup>, Tobias Cronberg<sup>a</sup>

<sup>a</sup> Lund University, Skane University Hospital, Department of Clinical Sciences Lund, Neurology, Lund, Sweden

<sup>b</sup> Lund University, Helsingborg Hospital, Department of Clinical Sciences Lund, Anesthesiology and Intensive Care, Helsingborg, Sweden

<sup>c</sup> Clinical Studies Sweden — Forum South, Skane University Hospital, Lund, Sweden

<sup>d</sup> Lund University, Skane University Hospital, Department of Clinical Sciences Lund, Cardiology, Lund, Sweden

<sup>e</sup> Lund University, Skane University Hospital, Department of Clinical Sciences Lund, Anesthesiology and Intensive Care, Malmö, Sweden

<sup>t</sup> Copenhagen Trial Unit, Copenhagen, Department of Regional Health Research, The Faculty of Health Sciences, University of Southern Denmark, Department of Cardiology, Holbæk Hospital, Copenhagen, Denmark

<sup>9</sup> Lund University, Skane University Hospital, Department of Clinical Sciences, Research and Education, Lund, Sweden

<sup>h</sup> Department of Emergency Medicine, University of Pittsburgh, Pittsburgh, PA, USA

<sup>i</sup> Cochin University Hospital (APHP) and Paris Descartes University, Paris, France

<sup>i</sup> Australian and New Zealand Intensive Care Research Centre, School of Epidemiology and Preventive Medicine, Monash University, Melbourne, Australia

<sup>k</sup> Department of Neurology, Neurological Intensive Care Unit, Medical University Innsbruck, Innsbruck, Austria

<sup>1</sup> Department of Anesthesiology, Rikshospitalet, Oslo University Hospital, Oslo, Norway

- <sup>m</sup> Research Center for Emergency Medicine, Aarhus University Hospital and Aarhus University, Aarhus, Denmark
- <sup>n</sup> Department of Neurology, Charité University Medicine, Berlin, Germany

° Adult Critical Care, University Hospital of Wales, Cardiff, United Kingdom

<sup>p</sup> Department of Medicine, Center for Resuscitation Science, Karolinska Institute, Solna, Sweden

<sup>q</sup> Department of Intensive Care Medicine, CHUV, Lausanne University Hospital and University of Lausanne, Lausanne, Switzerland

<sup>r</sup> Department of Surgical Sciences and Integrated Diagnostics, University of Genoa, Genoa, Italy

<sup>s</sup> San Martino Policlinico Hospital, IRCCS for Oncology and Neurosciences, Genoa, Italy

<sup>t</sup> Department of Anaesthesiology and Intensive Care Medicine, Institute of Clinical Sciences, Sahlgrenska Academy, University of Gothenburg and Sahlgrenska University Hospital, Gothenburg, Sweden

\* Corresponding author.

https://doi.org/10.1016/j.resuscitation.2020.03.004

Received 6 December 2019; Received in revised form 12 February 2020; Accepted 9 March 2020

0300-9572/© 2020 The Authors. Published by Elsevier B.V. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/). This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/by-nc-nd/4.0/).

E-mail address: gisela.lilja@med.lu.se (G. Lilja).

<sup>u</sup> Bankstown Hospital, South Western Sydney Local Health District, Sydney, Australia

- <sup>v</sup> Critical Care Division, The George Institute for Global Health, University of New South Wales, Sydney, Australia
- \* Erasme Hospital, Université Libre de Bruxelles, Department of Intensive Care, Brussels, Belgium

<sup>x</sup> Department of Medicine — Department of Cardiovascular Medicine, Faculty of Medicine, Charles University and General University Hospital in Prague, Prague, Czech Republic

<sup>y</sup> Medical Research Institute of New Zealand, Wellington, New Zealand

#### Abstract

**Aims:** The TTM2-trial is a multi-centre randomised clinical trial where targeted temperature management (TTM) at 33 °C will be compared with normothermia and early treatment of fever ( $\geq$ 37.8 °C) after Out-of-Hospital Cardiac Arrest (OHCA). This paper presents the design and rationale of the TTM2-trial follow-up, where information on secondary and exploratory outcomes will be collected. We also present the explorative outcome analyses which will focus on neurocognitive function and societal participation in OHCA-survivors.

**Methods:** Blinded outcome-assessors will perform follow-up at 30-days after the OHCA with a telephone interview, including the modified Rankin Scale (mRS) and the Glasgow Outcome Scale Extended (GOSE). Face-to-face meetings will be performed at 6 and 24-months, and include reports on outcome from several sources of information: clinician-reported: mRS, GOSE; patient-reported: EuroQol-5 Dimensions-5 Level responses version (EQ-5D-5L), Life satisfaction, Two Simple Questions; observer-reported: Informant Questionnaire on Cognitive Decline in the Elderly-Cardiac Arrest version (IQCODE-CA) and neurocognitive performance measures: Montreal Cognitive Assessment, (MoCA), Symbol Digit Modalities Test (SDMT). Exploratory analyses will be performed with an emphasis on brain injury in the survivors, where the two intervention groups will be compared for potential differences in neuro-cognitive function (MoCA, SDMT) and societal participation (GOSE). Strategies to increase inter-rater reliability and decrease missing data are described.

**Discussion:** The TTM2-trial follow-up is a pragmatic yet detailed pre-planned and standardised assessment of patient's outcome designed to ensure data-quality, decrease missing data and provide optimal conditions to investigate clinically relevant effects of TTM, including OHCA-survivors' neurocognitive function and societal participation.

Keywords: Cardiac arrest, Treatment outcome, Cognitive function, Patient Reported Outcome Measures, Quality of life

## Introduction

In countries where withdrawal of life-sustaining therapies (WLST) is routinely employed, only 5–10% of patients discharged alive from hospital after out-of-hospital cardiac arrest (OHCA) experience a poor neurological outcome when crude outcome scales are used.<sup>1</sup> With more detailed outcome evaluation, approximately half of OHCA-survivors show long-term neurocognitive impairment, especially in the domains of memory, attention/processing speed and executive functions.<sup>2,3</sup> Neurocognitive impairment in OHCA-survivors is associated with lower levels of societal participation and less return-to-work.<sup>4</sup>

The Targeted Hypothermia versus Targeted Normothermia after OHCA (TTM2) trial was designed to assess whether targeted temperature management (TTM) at 33 °C is superior to early treatment of fever ( $\geq$ 37.8 °C).<sup>5</sup> The primary outcome of the TTM2-trial is all-cause mortality at six months after randomisation. Secondary outcomes include poor functional outcome and patient-reported health related quality-of-life (HRQoL). The explorative analyses of the TTM2-trial are specified to provide increased granularity in the estimation of brain dysfunction for the comparison of the two intervention regimens.

The aims of this manuscript are:

- 1 To provide detailed information on the design of the TTM2trial follow-up, in line with the SPIRIT-PRO Extension guidelines.<sup>6</sup>
- 2 To describe the exploratory analyses of the TTM2-trial that focus on the survivors outcomes of neurocognitive function and societal participation.

## **Methods**

#### Time-points

The main time-point for primary, secondary and exploratory outcomes is six months after randomisation. At this time-point most neurological recovery has occured.<sup>7–9</sup> Furthermore, return-to-work typically occurs around 4-months post-OHCA.<sup>10</sup> Consequently most participants will, at least to some extent, be reintegrated into their normal lives at 6-months. In the previous TTM-trial,<sup>1</sup> the six month time-point for follow-up was feasible in terms of patient inclusion, with 92% of survivors participating.<sup>11</sup> In the TTM2-trial additional time-points at 30-days and 24-months post-randomisation will be used to explore the time-course of recovery in survivors.

#### Population

Established from the *a priori* power calculation for the primary outcome,<sup>5</sup> the TTM2-trial will include 1900 unconscious OHCA-patients,  $\geq$ 18 years of age, with a presumed cardiac or unknown cause of arrest. Detailed inclusion and exclusion criteria, routines for neurological prognostication and criteria for WLST have been published.<sup>5</sup> Efforts to minimise avoidable missing data is an important part of the study-design, and includes user-friendly tests, training sessions, regular monitoring, and remote support of each participating site by a central coordinator.

#### Setting

Participants in the TTM2-trial are recruited at multiple sites in several countries (Table 1). The 30-day follow-up is a telephone interview. The

Table 1 – .										
Outcome	Outcome	Scoring	Time-point			Source of information				Translations*
	assessment		30-days	6-months	24-months	PRO	ObsRO	ClinRO	Performance test	
Functional outcome related	mRS	Range 0-6	•	•	•	•	•	•		Yes <sup>a</sup>
		0 = no symptoms								Available at www.modifie- drankin.com
		6 = dead								
Functional outcome related to neurological function, with a focus on societal participation	GOSE	Range 1–8	•	•	•	•	•	•		Yes <sup>b</sup>
		1 = dead 0 = upper level of good recovery								
Generic health	EQ-5D-5L	Poor outcome GOSE 1–4 Dimension scores; range 1–5		•	•	•				Yes
		1 = No problems 5 = Extreme problems Scores $\geq$ 2 indicates problems Index scores; range -0.285 to 1.00 <0.00 = bealth status worse								By the Euroqol group
		than being dead VAS scores: range 0–100 Scores <70 represent poor health								
Global cognitive function	MoCA	Scores 0–30 Scores <26 indicates cognitive impairment 25–18 mild impairment 7–10 moderate impairment		•	•				•	Yes Available at mocatest.org
Mental processing speed	SDMT	<10 severe impairment Number of correct symbols 0 -110 Scores 1-1.5, standard devia-		•	•				•	Not language specific
		tions below the mean of a specific age and education level are considered suggestive of cerebral dysfunction. Scores 2 standard deviations below the mean of a specific age and education level are considered very law								
Cognitive problems in daily life	IQCODE-CA	Total score ranges from 1.0 to 5.0.		•	•		•			Yes <sup>c</sup>

106

Table 1 (continued)										
Outcome	Outcome	Scoring	Time-point			Source of information				Translations*
assessment		30-days	6-months	24-months	PRO	ObsRO	ClinRO	Performance test		
		Scores at or above 3.04 was found to be an optimal cut-off to indicate cognitive problems af- ter cardiac arrest								Original version available at www.rsph.anu.edu.au/re- search/tools-resources/in- formant-questionnaire-cog- nitive-decline-elderly.
Mental recovery/ dependency	TSQ	Yes to question 1a and Yes to question 1b indicate new prob- lems with dependency after cardiac arrest		•	•	•				Yes
		No to question 2 indicate prob- lems with mental recovery after cardiac arrest								Translated by the TTM-trial investigators.
Life satisfaction	One question on life satisfac- tion from the World Value Survey	Score range from 0 (=completely dissatisfied) to 10 (=completely satisfied)		•	•	•				Yes
		National normative data available								Provided from the www. worldvaluessurvey.org/ wvs.jsp and by the secre- tariat of the World Values Survey Association (2017- 11-23)

Abbreviations: PRO = Patient Reported Outcome; ObsRO= Observer Reported Outcome; ClinRO = Clinican Reported Outcome; mRS=modified Rankin Scale; GOSE = Glasgow Outcome Scale Extended; EQ-5D-5L = EuroQol health survey 5 Dimensions 5 Levels responses version; MoCA = Montreal Cognitive Assessment; SDMT = Symbol Digit Modalities Test; IQCODE-CA = Informant Questionnaire on Cognitive Decline in the Elderly, Cardiac Arrest version; TSQ = Two Simple Questions.

\* Participating countries in the TTM2-trial: Australia, Austria, Belgium (French and Flemish), Czech Republic, Denmark, France, Germany, Italy, New Zealand, Norway, Sweden, Switzerland (French, Italian, German), United Kingdom and USA.

<sup>a</sup> mRS 9 Questions (mRS-9Q) was available in English and Danish. Remaining versions were translated for the TTM2-trial by the national investigators (accepted by dr Flint personal communication 2017-11-25).

<sup>b</sup> For the GOSE translated versions was shared from the CENTER-TBI project and further modified for cardiac arrest use for the TTM2-trial (*approved by Professor Lindsay Wilson, personal communication 2017-01-24*). The modifications were translated by the TTM2-trial national investigators. The Danish version was translated specifically for the TTM2-trial.

<sup>c</sup> For IQCODE-CA a slight modification for cardiac arrest use was performed (*approved by Professor Anthony Jorm, personal* communication 2010-05-13) and translated for the TTM and/or TTM2-trial by the national investigators.

## Table 2 – Overview of patient characteristics collected. Full information on different variables collected in the TTM2 trial CRF is available at https://ttm2trial.org/documents.

	During hospital stay	At 30 days follow-up	At 6 months follow-up	At 24 months follow-up
Socio-demographical information <i>including age, sex</i>	•			
Resuscitation variables including scene of cardiac arrest, witnessed arrest, bystander CPR, first	•			
Medical background data collected by Charlson comorbidity index, pre-arrest fraility score, pre-				
arrest mRS score, and information on previous cardiac disease: PCI, CABG, known	•			
cardiomyopathy, ICD, atrial fibrillation or flutter, hypertension with pharmacologic treatment				
Days at ICU	•			
Days at hospital	•			
Native language other than the test language (yes/no)			•	•
Difficulties that may interfere with the test results; non-correctable problems with hearing, vision,			•	•
speech, dyslexia, paresis, other (yes/no)				
Memory problems prior to cardiac arrest (yes/no)			•	•
Known neurological disease (yes/no)			•	•
Education level based on an international standard classification of education by UNESCO			•	
Living situation (married/living as married/or living alone)			•	•
Current place-of-stay		•	•	•
Occupational status prior to cardiac arrest			•	•
Current occupational status, and time-point for return-to-work			•	•
Rehabilitation provided			•	•
Cardiovascular risk by the Framingham coronary heart disease risk score(=age, gender,			•	•
smoker, total cholesterol, HDL cholesterol, systolic blood pressure, on medication for				
hypertension, diabetes), BMI, HbA1C and frequency of physical activity				
General physical function by the Timed Stands Test			•	•

Abbreviations: CPR = Cardio Pulmonary Resuscitation, ROSC = Return of Spontaneous Circulation, mRS= modified Rankin Scale, PCI = Percutaneous Coronary Intervention, CABG = Coronary Artery Bypass Grafting, ICD = Implantable Cardioverter Defibrillator, ICU= Intensive Care Unit, UNESCO = United Nations Educational, Scientific and Cultural Organization, HDL= High Density Lipoprotein, BMI = Body Mass Index.

follow-ups at six and 24 months are performed as face-to-face interviews in a clinical setting. Alternative follow-up strategies; such as visiting the participant's place of residence or interview by telephone are used to avoid missing data. Since some tests are impossible to perform by telephone, this approach is only used when no other options are available. As a last resort, information may be provided by a proxy.

## Procedures

Blinded outcome assessors e.g. occupational therapists, psychologists, physiotherapists, physicians or research nurses distribute the assessment tools in a pre-specified order according to the follow-up manual. The duration of the 6 and 24-months follow-up visit is approximately 40–60 min. For participants who are unable to speak the local language, an authorised interpreter is used.

Efforts to increase inter-rater reliability include the use of psychometrically sound measures, a written follow-up manual (https://ttm2trial.org/documents) and a four hour training session for outcome assessors. A central coordinator provide support during the study and review the outcome data at regular intervals to check for completeness and data-quality.

### Outcome assessments

Instruments to assess secondary and exploratory outcomes (Table 1) were chosen based on their ability to capture the outcomes of interest, acceptable psychometric properties, and previous use in Cardiac Arrest (CA) and brain injury. In addition, instruments should be extensively translated and not too time consuming. The choice was

further informed by the recommendations for a Core Outcome Set after Cardiac Arrest (COSCA) to allow for comparisons with other CA-trials.<sup>12</sup>

#### Secondary outcome assessments

*Modified Rankin Scale (mRS)* is a clinician-reported ordinal rating scale representing an overall view of functional outcome after a neurological event or condition, previously used in a number of CA-trials.<sup>12</sup> It has seven categories, ranging from 6 = dead to 0 = no symptoms; including both information on survival, limitations in basic or instrumental daily activities, restrictions participating in normal social roles and effects of physical, cognitive and emotional symptoms. Substantial inter-rater variability was reported for the mRS, when used by multiple raters and sites, <sup>13</sup> but reliability improves with a structured approach.<sup>13,14</sup> In the TTM2-trial the mRS will be based on a structured interview with nine questions (mRS-9Q), and a web-based scoring tool (www.modifiedrankin.com).<sup>14</sup> Information for the mRS is collected from several reporters; the participant, observer (e.g. relative or close friend) and the outcome-assessor.

*EuroQol 5 Dimensions 5 Levels response version*  $(EQ-5D-5L)^{15}$  is a patient-reported generic-HRQoL questionnaire with five questions/ dimensions of health (mobility, self-care, usual activities, pain/ discomfort and anxiety/depression) together with a visual analogue scale (VAS) of self-reported health (0-100 = best health possible).<sup>15</sup> Each dimension has five levels of scoring, from no problems (1) to extreme problems (5) and is used to present a descriptive health profile (scores  $\geq 2$  indicate problems),<sup>15</sup> or converted to a single value of health (EQ-5D-5L index). The EQ-5D-5L index facilitates statistical analyses and can be used to calculate quality-adjusted life years

Table 3 - Questions for self-reported physical activity in the TTM2-trial.									
Questions on physical ac	ctivity								
Question 1 (Q1)	In the last week, how many days have you engaged in moderate physical activity for at least 30 min a day? (could be performed in blocks that last for at least 10 min adding up to a total of 30 min or more)								
Physical activity	Note: Moderate activities means pursuits that requires to a moderate level of effort and noticeably increase in heart rate. Examples includes a brisk walk, rigorous cleaning, washing windows, cleaning the car, carpentry, bicycling with light effort, golf, swimming or similar. For more examples see Haskell et al. 2007. Circulation.								
Question 2 (Q2)	In the last week, how many days have you engaged in vigorous (intense) aerobic physical activities for at least 20 min (in one block)?								
Physical training	Note: Vigorous or intense aerobic physical pursuits are activities that lead to substantial increase in heart rate and rapi breathing. Examples of activities at this level include jogging, running, walking very briskly, shovelling/digging, cycling with moderate effort/fast, swimming moderately/hard, tennis or similar. For more examples see Haskell et al. 20007. Circulatic								
Categorization of physica	al activity								
Physical activity below recor	nmended levels for primary and secondary prevention	Sedentary	<5 on Q1 <3 on Q2 =less than 150 activity minutes in a week						
Physical activity to a level re	commended for primary prevention	Physical activity	≥5 on Q1 OR						

Physical activity to a level recommended for primary, and secondary prevention after coronary artery disease

(QALYs) in health-economic evaluations.<sup>15</sup> The EQ-VAS is reported separately. When a patient is unable to report their health (e.g. severe neurological impairment/lack of awareness) proxy-completion is allowed.

#### Exploratory outcome assessments

*Glasgow Outcome Scale Extended (GOSE)* is a clinician-reported global outcome scale to describe functional outcome after brain injury.<sup>16</sup> GOSE is an extended version of the Glasgow Outcome Scale (GOS), developed to decrease ceiling-effects and increase discrimination in the upper levels of recovery.<sup>17</sup> GOSE has 8 categories ranging from 1 = dead to 8 = upper level of good recovery, preferably defined using a structured interview<sup>16,17</sup> and information from several sources.<sup>17</sup> For TTM2 the structured GOSE interview was modified from its original wording of "injury" to read "cardiac arrest". In addition to the total score, the structured interview includes descriptive information regarding pre-arrest function, and the most important factor for the outcome (brain injury or other). GOSE can be converted to a simple GOS,<sup>16,17</sup> which corresponds to the much used, but criticized,<sup>12</sup> Cerebral Performance Category (CPC)-scale.

Montreal Cognitive Assessment (MoCA)<sup>18</sup> is a cognitive performance-measure, reported to perform well for cognitive screening after cardiac arrest (CA),<sup>19</sup> and recommended in current guidelines.<sup>20</sup> The MoCA consists of 11 sub-tests of six cognitive domains; short-term memory, attention, working memory, visuo-spatial ability, executive function and language, all summed into a composite score of global cognitive function (0–30 points, higher is better). Scores <26 indicate cognitive impairment. Test-retest reliability for the MoCA is excellent (0.92).<sup>18</sup>

Symbol Digit Modalities Test (SDMT)<sup>21</sup> is a cognitive performancemeasure of mental processing speed and attention, considered as one of the most sensitive tests to identify effects of brain injury,<sup>21</sup> which possibly also includes OHCA-specific cognitive impairment.<sup>2</sup> The combination of MoCA and SDMT increased the sensitivity of cognitive screening.<sup>22</sup> In TTM2 the oral version of the SDMT is used,<sup>21</sup> and the written version only employed in cases of speech or language problems. Test-retest reliability of the SDMT is 0.76 for the oral version.  $^{21}$ 

 $\geq$ 3 on Q2

≥2 on Q1

AND ≥3 on Q2

Physical training

*Life Satisfaction* is reported by a single item from the World Values Survey (www.worldvaluessurvey.org) used together with a VAS scale (0-10 = completely satisfied). This question reflects the patients' subjective overall satisfaction with life.

Informant Questionnaire on Cognitive Decline in the Elderly-Cardiac Arrest version (IQCODE-CA)<sup>23,24</sup> is an observer-reported questionnaire to investigate change/decline of performance in 26 everyday activities related to cognitive function,<sup>23</sup> modified to better suit a CA context (IQCODE-CA).<sup>24,25</sup> IQCODE-CA does not involve participation from the patient, and information on outcome can also be obtained for participants who do not speak the local language or have severe cognitive impairment.

*Two Simple Questions (TSQ)* was developed to assess the patient's own perception of mental recovery and dependency in daily activities after CA.<sup>25,26</sup>

*Return-to-work* is studied by collecting information on the patient's occupational status before the index hospitalization and at time of the follow-up.

#### Clinical characteristics

Patient characteristics are obtained at several time-points (Table 2). At both the 6 and 24-months follow-up objective information of the participants' general physical function and current cardiovascular risk is collected. General physical function is measured by the *Timed-Stands Test (TST)*,<sup>27</sup> assessing lower-limb function by recording the time required for the participant to rise 10 times from a chair to a standing position (shorter time = better).<sup>27</sup> Cardiovascular risk is assessed by the Framingham coronary heart disease risk score,<sup>28</sup> Body Mass Index (BMI), HbA1C, and physical activity. Physical activity is measured by two self-reported questions created for the TTM2-trial (Table 3) based on recommendations for primary and secondary cardiovascular prevention.<sup>29</sup> These questions will be validated in a TTM2 sub-study on physical activity (Clinicaltrials.gov NCT03543332).

## **Statistical methods**

The primary and secondary outcomes of the TTM2-trial will be reported in the main paper.<sup>5</sup> The exploratory outcomes presented here will be reported separately focusing on the OHCA-survivors at six months.

The comparisons of detailed outcomes for OHCA-survivors in the two intervention groups will be restricted to global cognition (total MoCA score, 0–30), mental processing speed/attention (SDMT raw score, 0–110) and societal participation (GOSE score, 1–8) to limit problems with multiplicity. In the first analyses all participants will be included to avoid survival bias. For MoCA and SDMT, deceased participants will be assigned a score lower than the lowest possible for survivors, and for all analyses (MoCA, SDMT, GOSE) the non-parametric van Elteren test will be used, stratified by site.

The second analyses will include survivors only, and mixed effects ordinal regression will be used for the GOSE, and mixed effects linear regression models for the MoCA and SDMT. Analyses will be performed adjusted for site (random intercept) and co-enrolment in TAME cardiac arrest trial.<sup>5</sup> As the balanced allocation by the randomisation process may no longer be valid due to differences in mortality, additional analyses will be performed including also adjustment for age, sex, education, and pre-arrest clinical frailty score (1–4 vs. 5–9). An alpha value of <0.05 will be used to indicate statistically significant results without any further adjustment for multiplicity due to the exploratory design. In addition to statistical significance, effect measures will be reported.

Sensitivity analyses taking the distribution of missing data into account will be considered.  $^{\rm 30}$ 

By using the survival rate assumed in the primary outcome<sup>5</sup> we estimate the sample size for the exploratory outcome analyses of the survivors to be approximately 900. The estimated power will then be 99% for the MoCA assuming a minimal important difference (MID) of 2,<sup>31,32</sup> and a standard deviation (SD) of 3 (based on data from patients with mild cognitive impairment).<sup>32</sup> For the SDMT the power will be 85% using data from the TTM1-trial,<sup>2</sup> and a Cohens *d* of 0.2 to represent a MID. Power calculations for the MoCA and SDMT are based on unadjusted linear regression.

Descriptions of MID for the GOSE are rare. For the similar categorical scale mRS, small absolute differences as low as 1.1 -1.5% have been suggested clinically relevant for the transition from poor to good functional outcome,<sup>33</sup> although differences of 5–10% were also suggested.<sup>33</sup> Using both thresholds, data from the TTM1-trial and ordinal regression provided an OR = 1.2 for the lower MID (1.5%) with a power of 32%, and a OR = 1.6. for the higher MID (5%) with a power of 97%.

To facilitate interpretation of the results, categorical information of each assessment (GOSE, MoCA, SDMT) will be presented descriptively based on pre-specified cut-off values for the MoCA and age and education adjusted z-scores for the SDMT. Descriptive information will be presented stratified by the intervention groups, but without further testing of statistical significance. The other outcomes (IQCODE-CA, TSQ, Return-to-work and Life Satisfaction) will be presented to provide supplementary information from a more general perspective on outcome of OHCA-survivors only. Clinical data and patient characteristics of importance to interpret the results will be reported.

For all analyses categorical values will be presented as both numbers and percentages, and for the continuous values median (IQR) and/or mean (SD). The analyses of the 24-month outcome data will be reported separately.

#### Discussion

This paper presents the design and rationale of the TTM2-trial followup, where information on secondary and exploratory outcomes will be collected. We also present the explorative outcome analyses which will focus on neurocognitive function and societal participation in OHCA-survivors. The TTM2 follow-up design complies with current recommendations that resuscitation trials focusing on the quality-ofsurvival should report neurological function and long-term outcomes at 3-months post-arrest or later, and preferably include more detailed neurocognitive testing and patient-reported HRQoL.<sup>12,34,35</sup>

A major strength of the TTM2-trial is the size of the trial and the global distribution of participating sites. This will provide unique information on outcome related to temperature control, but also regarding outcome of OHCA-survivors in general. However, a concern for large clinical trials is that multiple outcome-assessors and sites may increase variability, decrease inter-rater reliability, and potentially bias the results.<sup>36</sup> A major focus of the TTM2 follow-up design is therefore to use a well-defined structure for outcome-reports, further supported by training and central feed-back. This approach is expected to increase inter-rater reliability and provide more granularity in the scoring within the good outcome categories by the mRS and the GOSE, but may impair the comparisons with other studies using a less detailed approach. Analyses where participants are dichotomized into good or poor outcome, are still assumed to be comparable.

The mRS is currently the recommended measure for overall functional outcome after CA.<sup>12</sup> The mRS was designed to measure outcome after stroke, with a large focus on mobility problems. The GOSE was developed with a focus on the non-physical aspects of brain injury and societal participation, but also includes a score that corresponds to vegetative state.<sup>16</sup> GOSE was suggested as a potential outcome measure after CA,<sup>34</sup> but it is currently used less than the mRS and not recommended to be used alone.<sup>12</sup> Our inclusion of both scales will provide information that may inform future recommendations.

It is recommended to include the patient's perspective on outcome in resuscitation trials.<sup>12,34,35</sup> There is currently no patient-reported outcome measure (PROM) specifically designed for CA, but the EQ-5D-5L has been suggested.<sup>12</sup> EQ-5D is the most used PROM worldwide, and also extensively used after CA.<sup>37</sup> The popularity of the EQ-5D is related to its brevity and ease of use, important features in a sample at high risk of cognitive impairment, such as OHCA-survivors. The updated version with 5 levels of responses (EQ-5D-5L) has improved psychometric properties,<sup>15</sup> but has not yet been tested in OHCA-survivors.<sup>37</sup> A high ceiling effect for the original EQ-5D-3L (46%) was reported also for CA-survivors, but it decreased (26%) when proxy reports were included.<sup>38</sup> This indicates that exclusion of poor outcome survivors in OHCA-trials may bias the results by overestimating outcome.<sup>37</sup> The TTM2-trial will allow for proxy completion of the EQ-5D-5L when necessary.<sup>12,37</sup>

Since neither functional outcome measures (mRS, GOSE) or generic PROMs (EQ-5D-5L) provide detailed information on neurocognitive function, it is recommended to add condition/functionspecific assessments.<sup>12</sup> This may be especially important when neuro-protective effects are investigated so that clinically important information is not lost. Detailed neurocognitive testing is impractical in a large international trial. We therefore chose two simple neurocognitive assessments (MoCA, SDMT) that are widely used and recommended for cognitive screening after CA.<sup>20</sup> Whether they are sensitive enough will be further tested in a TTM2-sub-study using more extensive neuropsychological tests (ClinicalTrials.gov NCT03543371).

Cognitive performance measures in the TTM2-trial are combined with subjective reports from the patient and the observer perspective, as recommended by the US Food and Drug Administration (FDA).<sup>39</sup> Observer and patient-reports may be a sensitive approach to identify mild cognitive decline, although these reports may also be influenced by problems unrelated to brain injury, such as symptoms of depression.<sup>9,24</sup> The subjective reports of the TTM2-trial will therefore be reported as descriptive information, without the intention to analyse causality.

The optimal time-point to evaluate OHCA-survivors' final outcome is unknown. With increasing time more participants may be lost to follow-up and other factors, unrelated to the initial arrest, may influence results. The first outcome-report in the TTM2-trial at 30-days post-arrest is in line with the COSCA-recommendation,<sup>12</sup> but this is too early to reflect the participants' long-term outcome and return to a normal life cannot be assessed. Also the second time-point suggested by the COSCA,<sup>12</sup> at 90-days, is assumed too early<sup>12</sup> and will not be used in the TTM2-trial. The COSCA-recommendation further support HRQoL assessments at 6-months and/or 1-year.<sup>12</sup> In the TTM2-trial all main analyses will be performed at 6-months after the CA based on neurological recovery and societal integration.<sup>7,8,10</sup> A few previous smaller studies report that, at a group level, neurocognitive outcome after CA did not change between 3- and 12-months,<sup>7-9</sup> but at an individual level remained stable, improved or declined. The 24-months follow-up will offer novel information on long-term prognosis of OHCAsurvivors and complement the main follow-up.

The progressive cognitive decline seen in some OHCA-survivors may be associated with their cardiovascular burden. A high Framingham cardiovascular risk score is associated to increased cognitive decline<sup>40</sup> in the same domains typically impaired after CA.<sup>2,3</sup> In line with this reasoning we previously reported similar levels of cognitive impairment among OHCA-survivors and myocardial infarction controls.<sup>2</sup> To explore the potential association between long-term cognitive impairment and cardiovascular risk-factors in OHCAsurvivors is important, both for the design of follow-up programs, but also for the interpretation of responsiveness regarding effects of a neuro-protective intervention in the acute-phase.

## Conclusion

This paper describes the choice of outcome measures and follow-up design of the TTM2-trial, a large international multi-centre research collaboration. Outcome assessments with established psychometric properties and a solid evidence-base were selected to capture the outcomes of interest in a pre-planned, well-defined, structured and standardised approach. This will potentially increase data-quality, decrease missing data and provide optimal conditions to investigate clinically relevant results of TTM for the OHCA-survivors' neuro-cognitive function, health and societal participation.

## **Conflicts of interest**

GL, SU, EBN, JD, KH, JCJ, HL, CC, GME, JH, HK, PN, MO, PP, CR, MS, TC reports conflicts of interest: None

NN, AC, PY and FST reports receiving speaker's fees from Bard Medical

HF: Scientific advisor QuickCool

CL: Critical Event Committee Edwards Lifesciences

MPGM and MPW have received accommodation and travel for a lecture at an educational meeting organised by BARD

FST has received lecture fees from ZOLL

MS: has performed advisory board and educational activities for Bard and his institution has received unrestricted grants for these services.

RH reports educational activities and receiving speaker's fees and travel support from BARD medical and Zoll, and advisory board of the INTREPID trial

### **Sources of funding**

The Swedish Research Council, The Swedish Heart-Lung Foundation, The Gorthon Foundation, The Knutsson Foundation, Hans-Gabriel and Alice Trolle-Wachtmeisters Foundation for Medical Research, The Skane University Hospital Foundations, the Swedish National Health System (ALF); all in Sweden. The study sponsors have no involvement in the study design, in the collection of data, or in the forthcoming analyses and interpretation of data, writings of manuscripts or in the decisions to submit manuscripts for publication.

## Acknowledgements

The CENTER-TBI project supported by the Framework 7 programme of the European Union (602150-2) for the possibility to use the translated version of the structured GOSE interview. The translations formed part of a deliverable for the Outcomes Work Package, and were the responsibility of a team at the Institute of Medical Psychology and Medical Sociology, Göttingen Medical Center (Prof. Dr. N.v. Steinbüchel, Göttingen team and external and Center-TBI collaborators). The GOSE interviews were further modified for Cardiac Arrest use by the TTM2 investigators after approval from Prof. Lindsay Wilson.

#### REFERENCES

- Nielsen N, Wetterslev J, Cronberg T, et al. Targeted temperature management at 33 degrees C versus 36 degrees C after cardiac arrest. N Engl J Med 2013;369:2197–206.
- Lilja G, Nielsen N, Friberg H, et al. Cognitive function in survivors of outof-hospital cardiac arrest after target temperature management at 33 degrees C versus 36 degrees C. Circulation 2015;131:1340–9.
- Moulaert VR, Verbunt JA, van Heugten CM, Wade DT. Cognitive impairments in survivors of out-of-hospital cardiac arrest: a systematic review. Resuscitation 2009;80:297–305.
- Lilja G, Nielsen N, Bro-Jeppesen J, et al. Return to work and participation in Society After Out-of-Hospital Cardiac Arrest. Circ Cardiovasc Qual Outcomes 2018;11:e003566.
- Dankiewicz J, Cronberg T, Lilja G, et al. Targeted hypothermia versus targeted Normothermia after out-of-hospital cardiac arrest (TTM2): a randomized clinical trial—rationale and design. Am Heart J 2019;217:23–31.
- Calvert M, Kyte D, Mercieca-Bebber R, et al. Guidelines for inclusion of patient-reported outcomes in clinical trial protocols: the SPIRIT-PRO extension. JAMA 2018;319:483–94.

- 7. Roine RO, Kajaste S, Kaste M. Neuropsychological sequelae of cardiac arrest. JAMA 1993;269:237–42.
- Orbo M, Aslaksen PM, Larsby K, Schafer C, Tande PM, Anke A. Alterations in cognitive outcome between 3 and 12 months in survivors of out-of-hospital cardiac arrest. Resuscitation 2016;105: 92–9.
- Steinbusch CVM, van Heugten CM, Rasquin SMC, Verbunt JA, Moulaert VRM. Cognitive impairments and subjective cognitive complaints after survival of cardiac arrest: a prospective longitudinal cohort study. Resuscitation 2017;120:132–7.
- Kragholm K, Wissenberg M, Mortensen RN, et al. Return to Work in Out-of-Hospital Cardiac Arrest Survivors: a nationwide register-based follow-up study. Circulation 2015;131:1682–90.
- Cronberg T, Lilja G, Horn J, et al. Neurologic function and healthrelated quality of life in patients following targeted temperature management at 33 degrees C vs 36 degrees C after Out-of-Hospital Cardiac Arrest: a randomized clinical trial. JAMA Neurol 2015;72: 634–41.
- Haywood K, Whitehead L, Nadkarni VM, et al. COSCA (Core Outcome Set for Cardiac Arrest) in adults: an advisory statement from the International Liaison Committee on Resuscitation. Resuscitation 2018;127:147–63.
- Wilson JT, Hareendran A, Hendry A, Potter J, Bone I, Muir KW. Reliability of the modified Rankin Scale across multiple raters: benefits of a structured interview. Stroke 2005;36:777–81.
- Patel N, Rao VA, Heilman-Espinoza ER, Lai R, Quesada RA, Flint AC. Simple and reliable determination of the modified Rankin Scale score in neurosurgical and neurological patients: the mRS-9Q. Neurosurgery 2012;71:971–5.
- van Reenen MJ. B. EQ-5D-5L User Guide. Basic information on how to use the EQ-5D-5L instrument. Version 2.1. Rotterdam, The Netherlands: EuroQol Research Foundation; 2015.
- McMillan T, Wilson L, Ponsford J, Levin H, Teasdale G, Bond M. The Glasgow Outcome Scale — 40 years of application and refinement. Nat Rev Neurol 2016;12:477–85.
- Wilson JT, Pettigrew LE, Teasdale GM. Structured interviews for the Glasgow Outcome Scale and the extended Glasgow Outcome Scale: guidelines for their use. J Neurotrauma 1998;15: 573–85.
- Nasreddine ZS, Phillips NA, Bedirian V, et al. The Montreal Cognitive Assessment, MoCA: a brief screening tool for mild cognitive impairment. J Am Geriatr Soc 2005;53:695–9.
- Koller AC, Rittenberger JC, Repine MJ, et al. Comparison of three cognitive exams in cardiac arrest survivors. Resuscitation 2017;116:98–104.
- Nolan JP, Soar J, Cariou A, et al. European Resuscitation Council and European Society of Intensive Care Medicine Guidelines for Postresuscitation Care 2015: Section 5 of the European Resuscitation Council Guidelines for Resuscitation 2015. Resuscitation 2015;95:202–22.
- 21. Smith A. Symbol digit modalities test: manual. Log Angeles: Western Psychological Services; 1982.
- 22. Pendlebury ST, Mariz J, Bull L, Mehta Z, Rothwell PM. MoCA, ACE-R, and MMSE versus the National Institute of Neurological Disorders and Stroke—Canadian stroke network vascular cognitive impairment harmonization standards neuropsychological battery after TIA and stroke. Stroke 2012;43:464–9.
- Jorm AF. A short form of the Informant Questionnaire on Cognitive Decline in the Elderly (IQCODE): development and cross-validation. Psychol Med 1994;24:145–53.
- 24. Blennow Nordstrom E, Lilja G, Arestedt K, et al. Validity of the IQCODE-CA: an informant questionnaire on cognitive decline

modified for a cardiac arrest population. Resuscitation 2017;118: 8-14.

- 25. Lilja G, Nielsen N, Friberg H, et al. Cognitive function after cardiac arrest and temperature management; rationale and description of a sub-study in the Target Temperature Management trial. BMC Cardiovasc Disord 2013;13:85.
- Longstreth Jr WT, Nichol G, Van Ottingham L, Hallstrom AP. Two simple questions to assess neurologic outcomes at 3 months after outof-hospital cardiac arrest: experience from the public access defibrillation trial. Resuscitation 2010;81:530–3.
- Csuka M, McCarty DJ. Simple method for measurement of lower extremity muscle strength. Am J Med 1985;78:77–81.
- Wilson PW, D'Agostino RB, Levy D, Belanger AM, Silbershatz H, Kannel WB. Prediction of coronary heart disease using risk factor categories. Circulation 1998;97:1837–47.
- 29. Haskell WL, Lee IM, Pate RR, et al. Physical activity and public health: updated recommendation for adults from the American College of Sports Medicine and the American Heart Association. Circulation 2007;116:1081–93.
- Jakobsen JC, Gluud C, Wetterslev J, Winkel P. When and how should multiple imputation be used for handling missing data in randomised clinical trials — a practical guide with flowcharts. BMC Med Res Methodol 2017;17:162.
- Wong GKC, Mak JSY, Wong A, et al. Minimum Clinically Important Difference of Montreal Cognitive Assessment in aneurysmal subarachnoid hemorrhage patients. J Clin Neurosci 2017;46:41–4.
- Krishnan K, Rossetti H, Hynan LS, et al. Changes in Montreal Cognitive Assessment scores over time. Assessment 2017;24:772–7.
- Cranston JS, Kaplan BD, Saver JL. Minimal clinically important difference for safe and simple novel acute ischemic stroke therapies. Stroke 2017;48:2946–51.
- Becker LB, Aufderheide TP, Geocadin RG, et al. Primary outcomes for resuscitation science studies: a consensus statement from the American Heart Association. Circulation 2011;124:2158–77.
- 35. Perkins GD, Jacobs IG, Nadkarni VM, et al. Cardiac arrest and cardiopulmonary resuscitation outcome reports: update of the Utstein Resuscitation Registry Templates for Out-of-Hospital Cardiac Arrest: a statement for healthcare professionals from a task force of the International Liaison Committee on Resuscitation (American Heart Association, European Resuscitation Council, Australian and New Zealand Council on Resuscitation, Heart and Stroke Foundation of Canada, InterAmerican Heart Foundation, Resuscitation Council of Southern Africa, Resuscitation Council of Asia); and the American Heart Association Emergency Cardiovascular Care Committee and the Council on Cardiopulmonary, Critical Care, Perioperative and Resuscitation. Circulation 2015;132:1286–300.
- Quinn TJ, Dawson J, Walters MR, Lees KR. Reliability of the modified Rankin Scale: a systematic review. Stroke 2009;40:3393–5.
- Haywood KL, Pearson N, Morrison LJ, Castren M, Lilja G, Perkins GD. Assessing health-related quality of life (HRQoL) in survivors of out-ofhospital cardiac arrest: a systematic review of patient-reported outcome measures. Resuscitation 2018;123:22–37.
- Andrew E, Nehme Z, Bernard S, Smith K. Comparison of healthrelated quality of life and functional recovery measurement tools in outof-hospital cardiac arrest survivors. Resuscitation 2016;107:57–64.
- 39. Acquadro C, Berzon R, Dubois D, et al. Incorporating the patient's perspective into drug development and communication: an ad hoc task force report of the Patient-Reported Outcomes (PRO) Harmonization Group meeting at the Food and Drug Administration, February 16, 2001. Value Health 2003;6:522–31.
- 40. Qiu C, Fratiglioni L. A major role for cardiovascular burden in agerelated cognitive decline. Nat Rev Cardiol 2015;12:267–77.