

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository: <https://orca.cardiff.ac.uk/id/eprint/134277/>

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Pu, Na, Yang, Qi, Shi, Xiao-Lei, Chen, Wei-Wei, Li, Xiao-Yao, Zhang, Guo-Fu, Li, Gang, Li, Bai-Qiang, Ke, Lu, Tong, Zhi-Hui, Cooper, David N. , Chen, Jian-Min, Li, Wei-Qin and Li, Jie-Shou 2020. Gene-environment interaction between APOA5 c.553G>T and pregnancy in hypertriglyceridemia-induced acute pancreatitis. *Journal of Clinical Lipidology* 14 (4) 10.1016/j.jacl.2020.05.003

Publishers page: <http://dx.doi.org/10.1016/j.jacl.2020.05.003>

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See <http://orca.cf.ac.uk/policies.html> for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



1 **Gene-environment interaction between *APOA5* c.553G>T and pregnancy in**  
2 **hypertriglyceridemia-induced acute pancreatitis**

3

4 Running title: *APOA5* c.553G>T and pregnancy in HTG-AP

5

6 Na Pu<sup>1,†</sup>, BM, Qi Yang<sup>1,†,\*</sup>, PhD, Xiao-Lei Shi<sup>1</sup>, BM, Wei-Wei Chen<sup>1,2</sup>, MD, Xiao-Yao Li<sup>1,3</sup>, PhD,  
7 Guo-Fu Zhang<sup>1</sup>, BM, Gang Li<sup>1</sup>, MD, Bai-Qiang Li<sup>1</sup>, MD, Lu Ke<sup>1</sup>, PhD, Zhi-Hui Tong<sup>1</sup>, PhD, David N.  
8 Cooper<sup>4</sup>, PhD, Jian-Min Chen<sup>5</sup>, MD, PhD, Wei-Qin Li<sup>1,\*</sup>, PhD, Jie-Shou Li<sup>1</sup>, PhD

9

10 <sup>1</sup>Surgical Intensive Care Unit (SICU), Department of General Surgery, Jinling Hospital, Medical  
11 School of Nanjing University, Nanjing, China.

12 <sup>2</sup>Department of Gastroenterology, Clinical Medical College, Yangzhou University, Yangzhou, China.

13 <sup>3</sup>Department of Intensive Care Unit, The Affiliated Drum Tower Hospital, Medical School of Nanjing  
14 University, Nanjing, China.

15 <sup>4</sup>Institute of Medical Genetics, School of Medicine, Cardiff University, Cardiff, United Kingdom.

16 <sup>5</sup>EFS, Univ Brest, Inserm, UMR 1078, GGB, F-29200 Brest, France.

17

18 Na Pu, punayeah@163.com

19 Qi Yang, yangqi\_nj@163.com

20 Xiao-Lei Shi, 15950561608@163.com

21 Wei-Wei Chen, cww1984@126.com

22 Xiao-Yao Li, lixiaoyaonju@163.com

23 Guo-Fu Zhang, njuzgf@163.com

24 Gang Li, nju8icu@126.com

25 Bai-Qiang Li, li\_baiqiang@aliyun.com

26 Lu Ke, kkb9832@163.com

27 Zhi-Hui Tong, [njzyantol@hotmail.com](mailto:njzyantol@hotmail.com)

28 David N. Cooper, CooperDN@cardiff.ac.uk

29 Jian-Min Chen, jian-min.chen@univ-brest.fr

30 Wei-Qin Li, njzy\_pancrea@163.com

31 Jie-Shou Li, [lijieshou2013@sohu.com](mailto:lijieshou2013@sohu.com)

32 †Na Pu and Qi Yang contributed equally to this work.

33

34 **\*Corresponding authors:**

35 Qi Yang, Department of General Surgery, Jinling Hospital, Nanjing University School of Medicine,

36 Nanjing 210002, China. E-Mail: yangqi\_nj@163.com

37 Wei-Qin Li, Department of General Surgery, Jinling Hospital, Nanjing University School of Medicine,

38 Nanjing 210002, China. njzy\_pancrea@163.com

39

40 **Highlights (maximum 85 characters including space, for each point)**

- 41 ➤ *APOA5* c.553G>T has been previously associated with altered triglyceride levels.
- 42 ➤ Here we report for the first time an association of *APOA5* c.553G>T with HTG-AP.
- 43 ➤ We provide evidence that *APOA5* c.553G>T interacts with pregnancy in causing HTG-AP.
- 44 ➤ Our findings provide novel insights into the complex etiology of HTG-AP.

45

46 **Abstract (maximum 250 words)**

47 **BACKGROUND:** The etiology of hypertriglyceridemia (HTG) and, consequently HTG-induced  
48 acute pancreatitis (HTG-AP), is complex.

49 **OBJECTIVE:** Herein, we explore a possible gene-environment interaction between *APOA5*  
50 c.553G>T (p.185Gly>Cys, rs2075291), a common variant associated with altered triglyceride levels,  
51 and pregnancy in HTG-AP.

52 **METHODS:** We enrolled 318 Han Chinese HTG-AP patients and divided them into three distinct  
53 groups: group 1, male patients (n =183); group 2, female patients whose disease was unrelated to  
54 pregnancy (n = 105); and group 3, female patients whose disease was related to pregnancy (n =30).  
55 *APOA5* rs2075291 genotype status was determined by Sanger sequencing. 362 healthy Han Chinese  
56 subjects were used as controls. Data on body mass index, peak triglyceride level, age of disease onset,  
57 episode number and clinical severity of HTG-AP were collected from each patient. Multiple  
58 comparisons, either between patient groups, between patient groups and controls, or within each  
59 patient group, were performed.

60 **RESULTS:** A robust association of *APOA5* rs2075291 with HTG-AP in general, and HTG-APIP in  
61 particular, was demonstrated. The minor T allele showed a stronger association with group 3 patients  
62 than with either group 1 or group 2 patients. This stronger association was due mainly to the much  
63 higher frequency of TT genotype in group 3 patients (20%) than that (<6%) in group 1 and group 2  
64 patients. Moreover, the TT genotype was associated with a significantly higher peak triglyceride level  
65 in group 3 patients as compared to the GG genotype.

66 **CONCLUSION:** Our findings provide evidence for an interaction between *APOA5* rs2075291 and  
67 pregnancy in HTG-AP.

68

69 **KEYWORDS:** hypertriglyceridemia-induced acute pancreatitis (HTG-AP); acute pancreatitis in  
70 pregnancy (APIP); triglyceride; apolipoprotein A5; *APOA5* c.553G>T variant; gene-environment  
71 interaction

72

## 73 Introduction

74 Acute pancreatitis (AP) occurs with an annual incidence of 4.9–73.4 per 100,000 individuals  
75 worldwide.<sup>1</sup> Its incidence is increasing in recent years and its mean mortality rate has reached 2%.<sup>2</sup>  
76 Although biliary diseases, excessive alcohol consumption and hypertriglyceridemia (HTG) are  
77 generally thought to constitute the three leading etiologies of AP worldwide,<sup>3,4</sup> in China more cases  
78 have been reported to be caused by HTG than by alcohol abuse.<sup>5-7</sup> Irrespective of which population is  
79 studied, HTG is often associated with more severe disease than other etiological factors.<sup>4,8,9</sup>

80 The etiology of HTG can be broadly divided into two categories, primary and secondary. Primary  
81 factors refer to genetic defects that cause or predispose to HTG whereas secondary etiological factors  
82 include obesity, alcohol abuse, diabetes mellitus and chronic renal failure.<sup>10,11</sup> Nonetheless, in most  
83 cases, the etiology of HTG is complex.<sup>12</sup> Thus, for example, Dron and colleagues have recently shown  
84 that even severe HTG is primarily polygenic.<sup>13</sup> Moreover, it is increasingly appreciated that there is an  
85 interplay between primary and secondary etiological factors in causing severe HTG.<sup>14,15</sup>

86 Pregnancy is a physiological state that is normally associated with a 2- to 4-fold increase in serum  
87 triglyceride (TG) levels in late gestation.<sup>16</sup> This increase is well tolerated by most women with normal  
88 baseline TG levels but may render those with genetic defects in TG metabolism prone to severe HTG  
89 and consequently HTG-induced AP (HTG-AP). In this regard, numerous studies have reported the  
90 identification of rare pathogenic variants in the lipoprotein lipase (*LPL*; OMIM# 609708),<sup>17-26</sup>  
91 glycosylphosphatidylinositol-anchored high-density lipoprotein binding protein 1 (*GPIHBP1*; OMIM#  
92 612757) and apolipoprotein C2 (*APOC2*; OMIM# 608083) genes<sup>24,26</sup> in patients experiencing HTG-  
93 AP during pregnancy (HTG-APIP). *LPL*, *GPIHBP1* and *APOC2*, together with *APOA5*  
94 (apolipoprotein A5; OMIM# 606368) and *LMF1* (lipase maturation factor 1; OMIM# 611761),  
95 constitute the five primary TG-related genes.<sup>12,27-29</sup> Specifically, *LPL* plays an essential role in TG  
96 metabolism; *APOC2* and *APOA5* are essential *LPL* activators; *LMF1* is involved in the folding and  
97 expression of *LPL*; *GPIHBP1* mediates the transmembrane transport and binding of *LPL*.

98 By contrast, data on the possible interactions between pregnancy and common genetic risk factors  
99 in any of the five primary TG-related genes in HTG-AP have so far been scarce. We are aware of only

100 one such study, involving a single-nucleotide polymorphism in the *APOA5* gene, c.553G>T  
101 (p.Gly185>Cys; rs2075291), which was found in three (all homozygotes) of five Chinese patients with  
102 HTG-APIP.<sup>24</sup> *APOA5* c.553G>T is common in the Chinese population [minor allele frequency (MAF)  
103 = ~4%<sup>30, 31</sup>] and other Asian populations [e.g., MAF of 6.8% in East Asians according to gnomAD  
104 (<https://gnomad.broadinstitute.org/>)], but it is very rare in European populations (MAF of 0.03%  
105 according to gnomAD). Importantly, the minor T allele of *APOA5* rs2075291 has been firmly  
106 established as being associated with altered TG levels in normal controls and/or HTG patients in  
107 different Asian populations;<sup>30-43</sup> and its functionality and pathogenicity have been supported by  
108 different lines of experimental evidence.<sup>44-47</sup>

109 Data on the role of *APOA5* c.553G>T in HTG-AP unrelated to pregnancy are also scarce; this is  
110 reflected by the very limited number of patients so far analyzed for this variant [one in Arai et al.  
111 (2014)<sup>48</sup> and 11 in Chen et al. (2017)<sup>49</sup>]. Given the high frequency of this variant in the Chinese  
112 population and its direct role in altering TG levels, we sought to explore its potential interaction with  
113 pregnancy in HTG-AP in particular, and its relationship with the disease in general, using three well-  
114 defined Chinese patient cohorts.

115

## 116 **Patients and Methods**

### 117 **Ethical compliance**

118 This study was approved by the Ethics Committee of Jinling Hospital. Informed consent was obtained  
119 from all participating patients. Blood samples were obtained from the Biobank of Acute Pancreatitis in  
120 Jinling Hospital with the approval of the Biobank's Management Committee.

121

### 122 **Study subjects**

123 318 HTG-AP patients were included for final analysis (see Fig. 1 for detailed patient selection  
124 procedures). The electronic medical records of each patient were systematically evaluated for peak TG  
125 level measurement within the first-72 h post-onset of AP, body mass index (BMI), family history of  
126 HTG, family history of AP, number of episodes of HTG-AP and clinical severity of the disease.

127 Diagnosis of AP and classification of disease severity as mild (MAP), moderate severe (MSAP) and  
128 severe (SAP) were made in accordance with the Modified Atlanta Classification Standard in 2012.<sup>50</sup>  
129 HTG-AP was diagnosed in AP patients with fasting serum TG level of >1000 mg/dL (>11.3 mmol/L)  
130 alone or >500 mg/dL (>5.65 mmol/L) with coincidentally detected milky serum, with secondary  
131 factors such as gallstones and alcohol abuse being excluded as etiologies.<sup>51</sup> 362 healthy Han Chinese  
132 subjects (60 newly recruited here and 302 published elsewhere<sup>31</sup>) were used as controls.

133

### 134 **DNA sequencing**

135 Genomic DNA was extracted from 0.5 ml whole blood by the TIANamp Blood DNA Kit (TIANGEN  
136 Biotech, Beijing, China). All coding and proximal intronic regions of the *LPL*, *APOC2*, *APOA5*,  
137 *GPIHBP1* and *LMF1* genes were PCR amplified from genomic DNA and subsequently Sanger  
138 sequenced as previously described.<sup>14</sup> DNA extraction and PCR amplification were performed in our  
139 lab at the Jinling Hospital whilst Sanger sequencing was conducted by the Genewiz Life Science  
140 Company (Nanjing, China). All splice-site, missense, nonsense and frameshifting variants that had an  
141 allele frequency of <1% in East Asians (according to gnomAD) were considered to be potentially rare  
142 pathogenic variants (these data will be published elsewhere); all their corresponding carriers (n = 49)  
143 were excluded from this study (Fig. 1).

144

### 145 **Statistical analysis**

146 All data were analyzed using the SPSS 24.0 software package. Continuous variables were expressed as  
147 mean  $\pm$  S.D. and tested using the Student's t test. *Chi*-Square and F-testing were performed to examine  
148 categorical variables. Benjamini-Hochberg test was subjected to a correction for multiple comparisons.  
149 *P* value < 0.05 was defined as statistically significant. Haldane's correction (i.e., adding 0.5 to all the  
150 cells of a contingency table) was applied for comparisons of the TT genotype frequencies in patients  
151 and controls because of the absence of TT homozygotes in controls.

152

## 153 **Results**



### 154 **Division of patients into three groups**

155 A total of 318 HTG-AP patients, all of Han Chinese origin, were included for final analysis in this  
156 study (Fig. 1). To assess the contribution of *APOA5* c.553G>T (rs2075291) to HTG-AP and its  
157 possible interaction with pregnancy, we divided the patients into three groups, firstly on the basis of  
158 gender and secondly, in the female patients, on the basis of disease occurrence during pregnancy or  
159 not (Fig. 1). The main demographic and clinical characteristics of the three groups of patients are  
160 summarized in Table 1. It should be noted that if a female patient experienced both HTG-APIP and  
161 HTG-AP not related to pregnancy, she was classified as group 3.

162

### 163 **Comparisons of demographic and clinical characteristics between patient groups**

164 We compared the demographic and clinical characteristics between the different patient groups. To  
165 increase biological relevance and, for the sake of simplicity, we performed comparisons only between  
166 group 1 and 2 patients and between group 2 and 3 patients. Only BMI displayed a significant  
167 difference between group 1 and 2 patients. By contrast, BMI did not exhibit any difference between  
168 group 2 and 3 patients. However, group 3 patients had a higher peak TG level approaching borderline  
169 statistical significance ( $P = 0.058$ ), significantly earlier mean ages at disease onset, a significantly  
170 higher rate of SAP but a significantly lower rate of disease recurrence as compared to group 2 patients  
171 (Table 1). Differences in terms of age of onset of AP and disease recurrence could well have been  
172 related to the selection bias inherent in group 3 patients and will therefore not be considered further.

173

### 174 **Comparisons of genotype and allele frequencies of rs2075291 between patient groups and** 175 **between patients and controls**

176 All exons and exon/intron boundaries of the five primary TG-related genes (*LPL*, *APOC2*, *APOA5*,  
177 *GPIHBP1* and *LMFI*) were analyzed by Sanger sequencing initially in 367 HTG-AP patients. Of  
178 these, 49 patients were found to carry rare putatively pathogenic variants in the five TG-related genes  
179 and excluded from analysis. All remaining 318 patients were informative with respect to the genotype  
180 status of rs2075291, and all participated in this study (Fig. 1). Genotype and minor T allele  
181 frequencies of rs2075291 in each of the three patient groups are summarized in Table 2. We also

182 sequenced the five primary TG-related genes in 60 Han Chinese healthy controls. The minor T allele  
183 frequency of rs2075291 in these controls showed no significant difference with that in 302 Han  
184 Chinese controls (0.07% (8/120) vs. 0.04% (24/604),  $P = 0.22$ ) from Tang and colleagues<sup>31</sup> (for  
185 details, see [Supplementary Table 1](#)). We therefore combined our data with those of Tang and  
186 colleagues<sup>31</sup> to generate a single control dataset ([Table 2](#)).

187 We first compared the genotype and minor T allele frequencies of rs2075291 between patient  
188 groups. As in the preceding section, we performed comparisons only between group 1 and 2 patients  
189 and between group 2 and 3 patients. No significant difference was found for any parameters in the  
190 context of the group 1 and 2 comparisons. By contrast, both the TT genotype and the minor T allele of  
191 rs2075291 were significantly overrepresented in group 3 patients as compared to group 2 patients in  
192 the unadjusted analysis ( $P = 0.015$  and  $0.023$ ) and approached or reached borderline statistical  
193 significance after Benjamini-Hochberg Correction ( $P = 0.060$  and  $0.046$ ) ([Table 2](#)).

194 We then compared the minor T allele frequencies of rs2075291 and minor T-containing genotypes  
195 between each patient group and the control group, respectively. The minor T allele frequency of  
196 rs2075291 was significantly enriched in all three groups of patients as compared to controls, with the  
197 strongest enrichment being observed in group 3 (OR = 10.02, adjusted  $P = 3.4E-9$ ; [Table 2](#)). Both the  
198 GT and TT genotypes were significantly enriched in all three groups of patients as compared to the  
199 control group, with the TT genotype invariably showing a much stronger effect than the GT genotype  
200 and the strongest enrichment being observed in group 3 patients (OR = 192.35, adjusted  $P = 2.7E-7$ )  
201 ([Table 2](#)).

202 As shown in [Table 2](#), the TT genotype was absent in the 362 controls but present in approximately  
203 6% of both group 1 and group 2 patients and in up to 20% of group 3 patients. For comparison, the  
204 frequency of TT homozygotes in East Asians was 0.56% (55/9875) in accordance with the gnomAD  
205 database (accessed 03 March 2020).

206

207 **Evaluation of the possible impact of rs2075291 on peak TG level, age of AP onset, disease**  
208 **severity and recurrence**

209 We tested whether rs2075291 could possibly impact on peak TG level, age of AP onset, disease  
210 severity and recurrence in the patients. To this end, we compared these features between the GG, GT  
211 and TT genotype carriers in each of the three groups of patients. No significant difference was found  
212 for any parameter comparisons in either group 1 or group 2 patients (data not shown). These findings,  
213 together with the similar findings between the two groups observed in preceding sections, made it  
214 permissible to combine data from groups 1 and 2 (Fig. 2). In group 3 patients, TT genotype carriers  
215 exhibited significantly higher peak TG levels than GG genotype carriers (adjusted  $P = 0.0042$ ; Fig.  
216 3a). Although no other comparison showed any significant difference, two observations are worth  
217 mentioning. First, the GT genotype showed a trend toward an increase in peak TG level as compared  
218 to the GG genotype (Fig. 3a). Second, the TT genotype appeared to be associated with more severe  
219 disease as compared to the GG and GT genotypes (Fig. 3c). Impact on disease recurrence was not  
220 analyzed in group 3 patients owing to the very small number of patients who had more than one  
221 episode of AP in this group ( $n = 3$ ), which was also deemed to be at least partly related to the selection  
222 bias inherent in group 3 patients.

223

## 224 Discussion

225 The etiology of HTG and, consequently HTG-AP, is complex and may involve multiple gene-gene  
226 and/or gene-environment interactions. *LPL*, *APOC2*, *APOA5*, *GPIHBP1* and *LMF1* are by far the most  
227 extensively studied HTG genes and pregnancy is a well-established environmental factor predisposing  
228 to HTG. Interaction between genetic risk factors in the five primary HTG genes and pregnancy in the  
229 etiology of HTG-AP has been frequently described in the literature but these studies have almost  
230 invariably been anecdotal case reports that have implicated rare pathogenic variants. The sole  
231 exception was a report of a possible interaction between the common *APOA5* c.553G>T variant  
232 (rs2075291) and pregnancy in HTG-AP;<sup>24</sup> this study was however somewhat limited in scope since  
233 only five patients with HTG-APIP (all Chinese) were analyzed.

234 The high frequency of the rs2075291 T allele in the Chinese population (~4%)<sup>30, 31</sup> and its  
235 established association with altered TG levels made rs2075291 a good candidate (with sufficient

236 statistical power) to evaluate any interaction between this common genetic risk factor and pregnancy  
237 in HTG-AP. Therefore, we retrospectively recruited and sequenced a large cohort of Chinese HTG-AP  
238 patients for analysis. To perform this analysis properly, we first divided the patients into three groups.  
239 Groups 1 (male) and 2 (female; disease not related to pregnancy) served not only as controls *vis à vis*  
240 group 3 (HTG-APIP patients) but also as cohorts for evaluating the role of rs2075291 in HTG-AP  
241 unrelated to pregnancy. Since it is almost a truism that rare pathogenic variants have strong genetic  
242 effects whereas common pathogenic variants generally have only mild or modest genetic effects, to  
243 avoid interference from rare pathogenic variants, patients carrying such variants in the five primary  
244 HTG genes were excluded from this study.

245 Group 1 and 2 patients were initially treated as independent cohorts. The two groups showed  
246 remarkable similarity in almost all studied parameters, the only exception being a difference of 1.47 in  
247 terms of BMI value (Table 1). This suggested that groups 1 and 2 could effectively be considered  
248 together as a single group, as exemplified in Fig. 2. By contrast, biologically meaningful differences in  
249 terms of peak TG level and disease severity were apparent between group 3 patients and group 2  
250 patients (as well as group 1 patients), with a higher peak TG level and a higher rate of SAP being  
251 observed in group 3 patients with HTG-APIP (Table 1).

252 Intuitively, an interaction between rs2075291 and pregnancy in HTG-AP should be reflected by a  
253 higher detection rate of the risk rs2075291 T allele and genotypes in HTG-APIP patients as compared  
254 to HTG-AP patients unrelated to pregnancy. Employing 362 healthy Han Chinese subjects as  
255 population controls, we demonstrated for the first time a robust association of rs2075291 with HTG-  
256 AP in general and HTG-APIP in particular (Table 2). Importantly, a stronger association was observed  
257 in group 3 patients than in either group 1 or group 2 patients in terms of the minor T allele and minor  
258 T allele-harboring genotype frequencies. This stronger association was mainly due to the much higher  
259 frequency of the TT genotype in group 3 patients (20%) than was found in groups 1 and 2 patients  
260 (<6%; Table 2). These findings provide the first evidence for an interaction between rs2075291 and  
261 pregnancy in HTG-AP.

262 To delve deeper into the interaction between rs2075291 and pregnancy in HTG-AP, we evaluated  
263 the possible impact of minor T allele-harboring genotypes on peak TG level, age of AP onset, disease

264 severity and recurrence by reference to the GG genotype within each patient group. We found no  
265 significant differences in any comparison between group 1 or 2 patients, with the combined data being  
266 provided in Fig. 2. However, we found that the TT genotype was associated with a significantly higher  
267 peak TG level in group 3 patients as compared to the GG genotype (adjusted  $P = 0.0042$ ; Fig. 3); this  
268 constitutes a new line of evidence supporting an interaction between rs2075291 and pregnancy in  
269 HTG-AP.

270 Whilst we provided strong evidence to support an interaction between rs2075291 and pregnancy in  
271 HTG-AP, the most remarkable finding emerging from this study was related to the TT genotype. The  
272 TT genotype was found to be present at a much higher frequency (20%) in group 3 patients than in  
273 either group 1 or 2 patients (<6%) and it was also associated with a significantly higher peak TG level  
274 in group 3 patients. This may be understood in terms of the TT genotype comprising two identical risk  
275 alleles. Put simply, the homozygous TT genotype might be expected to exert double the genetic effect  
276 of a GT genotype, thereby rendering the corresponding carrier more prone to high HTG during  
277 pregnancy. Following this line of reasoning, our findings lend support to the mosaic genetic model of  
278 HTG, first proposed by Johansen, Kathiresan and Hegele in 2011.<sup>52</sup> In essence, this model postulates  
279 the “stacking” of additional HTG risk alleles on top of a minimum number of genetic risk alleles and  
280 highlights a variable combination of genetic determinants and presence of environmental factors in  
281 causing HTG and HTG-related diseases.

282 The strength of this study lies in the analysis of three well-characterized patient groups. However,  
283 our study has various limitations. For example, we did not search for copy number variants in the five  
284 primary TG-related genes; although this is a very rare type of variant, it can have strong genetic effects  
285 on predisposing to HTG.<sup>13, 53, 54</sup> The number of our group 3 patients is still relatively small and hence  
286 the corresponding findings should still be regarded as exploratory at this stage. Interaction between  
287 rs2075291 and pregnancy in HTG-AP remains to be confirmed in larger studies.

288

## 289 **Conclusion**

290 Here, on the basis of sequencing the five primary TG-related genes in three groups of well-defined  
291 Han Chinese participants, we have for the first time demonstrated a robust association of rs2075291

292 with HTG-AP in general and HTG-APIP in particular. We have also provided strong evidence for an  
293 interaction between rs2075291 and pregnancy in HTG-AP. Our findings provide novel insights into  
294 the complex etiology of HTG as well as HTG-AP and suggest that analysis of both rare and common  
295 pathogenic variants in TG-related genes is likely to be of key importance in risk assessment for HTG-  
296 AP in pregnancy.

297

### 298 **Authorship contribution statement**

299 Na Pu: Study design, data curation, and paper writing. Qi Yang: Study design, and paper writing.  
300 Xiao-Lei Shi and Wei-Wei Chen: Investigation and methodology. Xiao-Yao Li and Guo-Fu Zhang:  
301 Methodology and visualization. Gang Li and Bai-Qiang Li: Investigation and data curation. Lu Ke and  
302 Zhi-Hui Tong: Formal analysis and software. David N. Cooper: Paper review and critical revision of  
303 the manuscript. Jian-Min Chen: Data interpretation and paper writing. Wei-Qin Li and Jie-Shou Li:  
304 Study design, conceptualization and funding acquisition. All authors contributed to revision of the  
305 manuscript and approved the final manuscript.

306

### 307 **Declarations of Competing Interests**

308 The authors have no conflicts of interest to declare.

309

### 310 **Role of the funding source**

311 This study was supported by the National Natural Science Foundation of China (Nos. 81570584,  
312 81670588, 81770641 and 81870441), the Key Research and Development Program Foundation of  
313 Jiangsu Province of China (Nos. BE2015685 and BE2016749), the Natural Science Foundation of  
314 Jiangsu Province (No. BK20190907), and Six Talent Peaks Project of Jiangsu Province (No.WSN-  
315 325). The funding sources did not play any role in designing this study, sample collection, analyses,  
316 interpretation of the data, or in writing the manuscript. We have not been paid to write this article by  
317 any pharmaceutical companies or other agencies. The corresponding authors, Qi Yang and Wei-Qin

318 Li, had full access to all data in the study and had final responsibility for the decision to submit for  
 319 publication.

320

## 321 **References**

- 322 1. Tenner S, Baillie J, DeWitt J, Vege SS. American College of Gastroenterology guideline:  
 323 management of acute pancreatitis. *Am J Gastroenterol*. 2013;108:1400-1415; 1416.
- 324 2. Yadav D, Lowenfels AB. The epidemiology of pancreatitis and pancreatic cancer.  
 325 *Gastroenterology*. 2013;144:1252-1261.
- 326 3. Forsmark CE, Vege SS, Wilcox CM. Acute pancreatitis. *N Engl J Med*. 2016;375:1972-1981.
- 327 4. Carr RA, Rejowski BJ, Cote GA, Pitt HA, Zyromski NJ. Systematic review of hypertriglyceridemia-  
 328 induced acute pancreatitis: A more virulent etiology? *Pancreatology*. 2016;16:469-476.
- 329 5. Huang YX, Jia L, Jiang SM, Wang SB, Li MX, Yang BH. Incidence and clinical features of  
 330 hyperlipidemic acute pancreatitis from Guangdong, China: a retrospective multicenter study.  
 331 *Pancreas*. 2014;43:548-552.
- 332 6. Zheng Y, Zhou Z, Li H, et al. A multicenter study on etiology of acute pancreatitis in Beijing  
 333 during 5 years. *Pancreas*. 2015;44:409-414.
- 334 7. Zhu Y, Pan X, Zeng H, et al. A study on the etiology, severity, and mortality of 3260 patients with  
 335 acute pancreatitis according to the Revised Atlanta Classification in Jiangxi, China over an 8-  
 336 year period. *Pancreas*. 2017;46:504-509.
- 337 8. Simons-Linares CR, Jang S, Sanaka M, et al. The triad of diabetes ketoacidosis,  
 338 hypertriglyceridemia and acute pancreatitis. How does it affect mortality and morbidity?: A 10-  
 339 year analysis of the National Inpatient Sample. *Medicine (Baltimore)*. 2019;98:e14378.
- 340 9. Li X, Ke L, Dong J, et al. Significantly different clinical features between hypertriglyceridemia  
 341 and biliary acute pancreatitis: a retrospective study of 730 patients from a tertiary center. *BMC*  
 342 *Gastroenterol*. 2018;18:89.
- 343 10. Yuan G, Al-Shali KZ, Hegele RA. Hypertriglyceridemia: its etiology, effects and treatment.  
 344 *Canadian Medical Association Journal*. 2007;176:1113-1120.
- 345 11. Rygiel K. Hypertriglyceridemia - Common causes, prevention and treatment strategies. *Curr*  
 346 *Cardiol Rev*. 2018;14:67-76.
- 347 12. Hegele RA, Ginsberg HN, Chapman MJ, et al. The polygenic nature of hypertriglyceridaemia:  
 348 implications for definition, diagnosis, and management. *Lancet Diabetes Endocrinol*.  
 349 2014;2:655-666.
- 350 13. Dron JS, Wang J, Cao H, et al. Severe hypertriglyceridemia is primarily polygenic. *J Clin Lipidol*.  
 351 2019;13:80-88.
- 352 14. Chen WW, Yang Q, Li XY, et al. Identification of a novel and heterozygous *LMF1* nonsense  
 353 mutation in an acute pancreatitis patient with severe hypertriglyceridemia, severe obesity and  
 354 heavy smoking. *Lipids Health Dis*. 2019;18:68.
- 355 15. Serveaux Dancer M, Di Filippo M, Marmontel O, et al. New rare genetic variants of *LMF1* gene  
 356 identified in severe hypertriglyceridemia. *J Clin Lipidol*. 2018;12:1244-1252.
- 357 16. Knopp RH, Warth MR, Charles D, et al. Lipoprotein metabolism in pregnancy, fat transport to  
 358 the fetus, and the effects of diabetes. *Biol Neonate*. 1986;50:297-317.
- 359 17. Ma Y, Liu MS, Ginzinger D, Frohlich J, Brunzell JD, Hayden MR. Gene-environment interaction  
 360 in the conversion of a mild-to-severe phenotype in a patient homozygous for a Ser172-->Cys  
 361 mutation in the lipoprotein lipase gene. *J Clin Invest*. 1993;91:1953-1958.
- 362 18. Ma Y, Ooi TC, Liu MS, et al. High frequency of mutations in the human lipoprotein lipase gene  
 363 in pregnancy-induced chylomicronemia: possible association with apolipoprotein E2 isoform. *J*  
 364 *Lipid Res*. 1994;35:1066-1075.
- 365 19. Keilson LM, Vary CP, Sprecher DL, Renfrew R. Hyperlipidemia and pancreatitis during pregnancy

- 366 in two sisters with a mutation in the lipoprotein lipase gene. *Ann Intern Med.* 1996;124:425-  
367 428.
- 368 **20.** Henderson H, Leisegang F, Hassan F, Hayden M, Marais D. A novel Glu421Lys substitution in the  
369 lipoprotein lipase gene in pregnancy-induced hypertriglyceridemic pancreatitis. *Clinica Chimica*  
370 *acta; international journal of clinical chemistry.* 1998;269:1-12.
- 371 **21.** Murugasu CG, Armstrong G, Creedon G, Cavanna JS, Galton DJ, Tomkin GH. Acute  
372 hypertriglyceridaemic pancreatitis in a pregnant Indian: a new lipoprotein lipase gene mutation.  
373 *J R Soc Med.* 1998;91:205-207.
- 374 **22.** Suga S, Tamasawa N, Kinpara I, et al. Identification of homozygous lipoprotein lipase gene  
375 mutation in a woman with recurrent aggravation of hypertriglyceridaemia induced by  
376 pregnancy. *J Intern Med.* 1998;243:317-321.
- 377 **23.** Bartha I, Dinya T, Seres I, et al. Acute hypertriglyceridemic pancreatitis during pregnancy due  
378 to homozygous lipoprotein lipase gene mutation. *Clinica Chimica Acta* 2009;400:137-138.
- 379 **24.** Xie SL, Chen TZ, Huang XL, et al. Genetic variants associated with gestational  
380 hypertriglyceridemia and pancreatitis. *PLoS One.* 2015;10:e0129488.
- 381 **25.** Liu Y, Lun Y, Lv W, Hou X, Wang Y. A Chinese patient with recurrent pancreatitis during pregnancy  
382 induced by hypertriglyceridemia associated with compound heterozygosity (Glu242Lys and  
383 Leu252Val) in the lipoprotein lipase gene. *J Clin Lipidol.* 2016;10:199-203.e191.
- 384 **26.** Chyzyk V, Kozmic S, Brown AS, et al. Extreme hypertriglyceridemia: Genetic diversity,  
385 pancreatitis, pregnancy, and prevalence. *J Clin Lipidol.* 2019;13:89-99.
- 386 **27.** Kathiresan S, Willer CJ, Peloso GM, et al. Common variants at 30 loci contribute to polygenic  
387 dyslipidemia. *Nat Genet.* 2009;41:56-65.
- 388 **28.** Johansen CT, Hegele RA. Genetic bases of hypertriglyceridemic phenotypes. *Current opinion in*  
389 *lipidology.* 2011;22:247-253.
- 390 **29.** Surendran RP, Visser ME, Heemelaar S, et al. Mutations in *LPL*, *APOC2*, *APOA5*, *GPIHBP1* and  
391 *LMF1* in patients with severe hypertriglyceridaemia. *J Intern Med.* 2012;272:185-196.
- 392 **30.** Kao JT, Wen HC, Chien KL, Hsu HC, Lin SW. A novel genetic variant in the apolipoprotein A5 gene  
393 is associated with hypertriglyceridemia. *Hum Mol Genet.* 2003;12:2533-2539.
- 394 **31.** Tang Y, Sun P, Guo D, et al. A genetic variant c.553G > T in the apolipoprotein A5 gene is  
395 associated with an increased risk of coronary artery disease and altered triglyceride levels in a  
396 Chinese population. *Atherosclerosis.* 2006;185:433-437.
- 397 **32.** Hsu LA, Ko YL, Chang CJ, et al. Genetic variations of apolipoprotein A5 gene is associated with  
398 the risk of coronary artery disease among Chinese in Taiwan. *Atherosclerosis.* 2006;185:143-  
399 149.
- 400 **33.** Zhai G, Wen P, Guo L, Chen L. Association of *APOA5* c.553G>T polymorphism with type 2  
401 diabetes mellitus in a Chinese population. *Clin Chem Lab Med.* 2006;44:1313-1316.
- 402 **34.** Matsunaga A, Arishima H, Niimura H ea. Strong linkage disequilibrium and association of-  
403 1131T>C and c. 553G>T polymorphisms of the apolipoprotein A5 gene with  
404 hypertriglyceridemia in a Japanese population. *Circ J.* 2007;71:746-752.
- 405 **35.** Pullinger CR, Aouizerat BE, Movsesyan I, et al. An apolipoprotein A-V gene SNP is associated  
406 with marked hypertriglyceridemia among Asian-American patients. *Journal of lipid research.*  
407 2008;49:1846-1854.
- 408 **36.** Li YY, Yin RX, Lai CQ, et al. Association of apolipoprotein A5 gene polymorphisms and serum  
409 lipid levels. *Nutr Metab Cardiovasc Dis.* 2011;21:947-956.
- 410 **37.** Yin RX, Li YY, Liu WY, Zhang L, Wu JZ. Interactions of the apolipoprotein A5 gene polymorphisms  
411 and alcohol consumption on serum lipid levels. *PLoS One.* 2011;6:e17954.
- 412 **38.** Hishida A, Morita E, Naito M, et al. Associations of apolipoprotein A5 (*APOA5*), glucokinase  
413 (*GCK*) and glucokinase regulatory protein (*GCKR*) polymorphisms and lifestyle factors with the  
414 risk of dyslipidemia and dysglycemia in Japanese - a cross-sectional data from the J-MICC Study.  
415 *Endocr J.* 2012;59:589-599.
- 416 **39.** Lee MJ, Chien KL, Chen MF, Stephenson DA, Su TC. Overweight modulates *APOE* and *APOA5*  
417 alleles on the risk of severe hypertriglyceridemia. *Clinica Chimica Acta* 2013;416:31-35.



- 418 **40.** Li S, Hu B, Wang Y, Wu D, Jin L, Wang X. Influences of *APOA5* variants on plasma triglyceride  
419 levels in Uyghur population. *PLoS One*. 2014;9:e110258.
- 420 **41.** Chiou KR, Chen CY, Charng MJ. Genetic Diagnosis via Whole Exome Sequencing in Taiwanese  
421 Patients with Hypertriglyceridemia. *Journal of Atherosclerosis and Thrombosis*. 2015;22:887-  
422 900.
- 423 **42.** Khovidhunkit W, Charoen S, Kiateprungvej A, Chartyingcharoen P, Muanpetch S, Plengpanich  
424 W. Rare and common variants in *LPL* and *APOA5* in Thai subjects with severe  
425 hypertriglyceridemia: A resequencing approach. *J Clin Lipidol*. 2016;10:505-511 e501.
- 426 **43.** Kim M, Kim M, Yoo HJ, Bang YJ, Lee SH, Lee JH. Apolipoprotein A5 gene variants are associated  
427 with decreased adiponectin levels and increased arterial stiffness in subjects with low high-  
428 density lipoprotein-cholesterol levels. *Clin Genet*. 2018;94:438-444.
- 429 **44.** Dorfmeister B, Zeng WW, Dichlberger A, et al. Effects of six *APOA5* variants, identified in  
430 patients with severe hypertriglyceridemia, on in vitro lipoprotein lipase activity and receptor  
431 binding. *Arterioscler Thromb Vasc Biol*. 2008;28:1866-1871.
- 432 **45.** Huang YJ, Lin YL, Chiang CI, Yen CT, Lin SW, Kao JT. Functional importance of apolipoprotein A5  
433 185G in the activation of lipoprotein lipase. *Clinica Chimica Acta*. 2012;413:246-250.
- 434 **46.** Sharma V, Witkowski A, Witkowska HE, et al. Aberrant hetero-disulfide bond formation by the  
435 hypertriglyceridemia-associated p.Gly185Cys *APOA5* variant (rs2075291). *Arterioscler Thromb*  
436 *Vasc Biol*. 2014;34:2254-2260.
- 437 **47.** Chang CK, Lin XR, Lin YL, et al. Magnolol-mediated regulation of plasma triglyceride through  
438 affecting lipoprotein lipase activity in apolipoprotein A5 knock-in mice. *PLoS One*.  
439 2018;13:e0192740.
- 440 **48.** Arai M, Nishimura A, Mori Y, Ebara T, Okubo M. Hypertriglyceridemia and pancreatitis in a  
441 patient with apolipoprotein E7 (p.[E244K; E245K])/E4. *Clinica Chimica Acta* 2014;436:188-192.
- 442 **49.** Chen WJ, Sun XF, Zhang RX, et al. Hypertriglyceridemic acute pancreatitis in emergency  
443 department: Typical clinical features and genetic variants. *J Dig Dis*. 2017;18:359-368.
- 444 **50.** Banks PA, Bollen TL, Dervenis C, et al. Classification of acute pancreatitis--2012: revision of the  
445 Atlanta classification and definitions by international consensus. *Gut*. 2013;62:102-111.
- 446 **51.** Scherer J, Singh VP, Pitchumoni CS, Yadav D. Issues in hypertriglyceridemic pancreatitis: an  
447 update. *J Clin Gastroenterol*. 2014;48:195-203.
- 448 **52.** Johansen CT, Kathiresan S, Hegele RA. Genetic determinants of plasma triglycerides. *Journal of*  
449 *lipid research*. 2011;52:189-206.
- 450 **53.** Dron JS, Wang J, McIntyre AD, et al. Partial *LPL* deletions: rare copy-number variants  
451 contributing towards severe hypertriglyceridemia. *Journal of lipid research*. 2019;60:1953-  
452 1958.
- 453 **54.** Iacocca MA, Dron JS, Hegele RA. Progress in finding pathogenic DNA copy number variations  
454 in dyslipidemia. *Current opinion in lipidology*. 2019;30:63-70.
- 455

456

457 **Table 1.** Main demographic and clinical characteristics of the three groups of Han Chinese HTG-AP  
 458 patients

	Group 1 (Male)	Group 2 (Female; disease unrelated to pregnancy)	Group 3 (Female; HTG-APIP)
Number	183	105	30
Age (year)	39.8 ± 8.9	39.2 ± 9.6	29.2 ± 4.1***
Family history of HTG	4 (2.19%)	2 (1.90%)	0 (0)
Family history of AP	5 (2.73%)	1 (0.95%)	0 (0)
BMI (kg/m <sup>2</sup> )	26.97 ± 13.58	25.50 ± 3.90**	25.33 ± 3.59
Peak TG (mmol/L)	20.00 ± 17.93	21.11 ± 18.87	29.45 ± 29.35 <sup>a</sup>
Age at AP onset (year) <sup>b</sup>	37.0 ± 8.5	37.6 ± 9.6	29.0 ± 4.0***
Disease severity			
MAP	60 (32.79%)	26 (24.76%)	1 (3.33%)
MSAP	61 (33.33%)	39 (37.14%)	10 (33.33%)
SAP	62 (33.88%)	40 (38.10%)	19 (63.34%)*
Episodes			
1	103 (56.28%)	67 (63.81%)	27 (90%)
>1	80 (43.72%)	38 (36.19%)	3 (10%)**

459 Comparisons between patient groups were performed only between group 1 and group 2 patients and  
 460 between group 2 and group 3 patients. No significant difference was observed unless specifically  
 461 indicated. \*,  $P < 0.05$ . \*\*,  $P < 0.01$ . \*\*\*,  $P < 0.001$ .

462 <sup>a</sup>  $P = 0.058$ .

463 <sup>b</sup> First episode of disease in case of recurrence in groups 1 and 2 patients; first episode of HTG-APIP  
 464 in case of group 3 patients.

465 AP, acute pancreatitis; BMI, body mass index; HTG, hypertriglyceridemia; HTG-AP, HTG-induced  
 466 AP; HTG-APIP, HTG-AP in pregnancy; MAP, mild AP; MSAP, moderate severe AP; SAP, severe  
 467 AP.

468

469 **Table 2.** Frequency of the *APOA5* c.553G>T polymorphism and associated genotypes in the three  
 470 groups of Chinese HTG-AP patients and controls

Genotype	Patient	Control (n = 362)	<i>P</i> value (unadjusted)	<i>P</i> value (after Benjamini-Hochberg correction)	OR
	<i>Group 1 (n = 183)</i>				
GG	134 (73.22%)	330 (91.16%)			
GT	39 (21.31%)	32 (8.84%)	1.2E-5	2.1E-5	3.00
TT	10 (5.47%)	0 (0.00%)	4.4E-5	6.6E-5	43.88
GT+TT	49 (26.78%)	32 (8.84%)	2.7E-8	1.18E-7	3.77
Minor T allele	59 (16.12%)	32 (4.42%)	0.0040	0.0048	4.16
	<i>Group 2 (n = 105)</i>				
GG	73 (69.52%)	330 (91.16%)			
GT	26 (24.76%)	32 (8.84%)	4.0E-6	8.0E-6	3.67
TT	6 (5.72%)	0 (0.00%)	1.7E-4	2.3E-4	47.36
GT+TT	32 (30.48%)	32 (8.84%)	1.4E-8	8.3E-8	4.52
Minor T allele	38 (18.10%)	32 (4.42%)	0.023	0.023	4.78
	<i>Group 3 (n = 30)</i>				
GG	17 (56.67%)	330 (91.16%)			
GT	7 (23.33%)	32 (8.84%)	0.0060	0.0065	4.25
TT	6 (20.00%) <sup>b</sup>	0 (0.00%)	8.9E-8	2.7E-7	192.35
GT+TT	13 (43.33%)	32 (8.84%)	3.0E-6	7.2E-6	7.89
Minor T allele	19 (31.67%) <sup>c</sup>	32 (4.42%)	2.8E-10	3.4E-9	10.02

471 Genotype and allele frequency comparisons between patient groups were performed either between  
 472 group 1 and group 2 or between group 2 and group 3. No significant difference was observed unless  
 473 specifically indicated. Haldane' correction was applied for comparisons of TT genotype frequencies in  
 474 patients and controls.

475 <sup>b</sup> Unadjusted *P* = 0.015; adjusted *P* = 0.060 (Group 3 vs. Group 2).

476 <sup>c</sup> Unadjusted *P* = 0.023; adjusted *P* = 0.046 (Group 3 vs. Group 2).

477 OR, odds ratio.

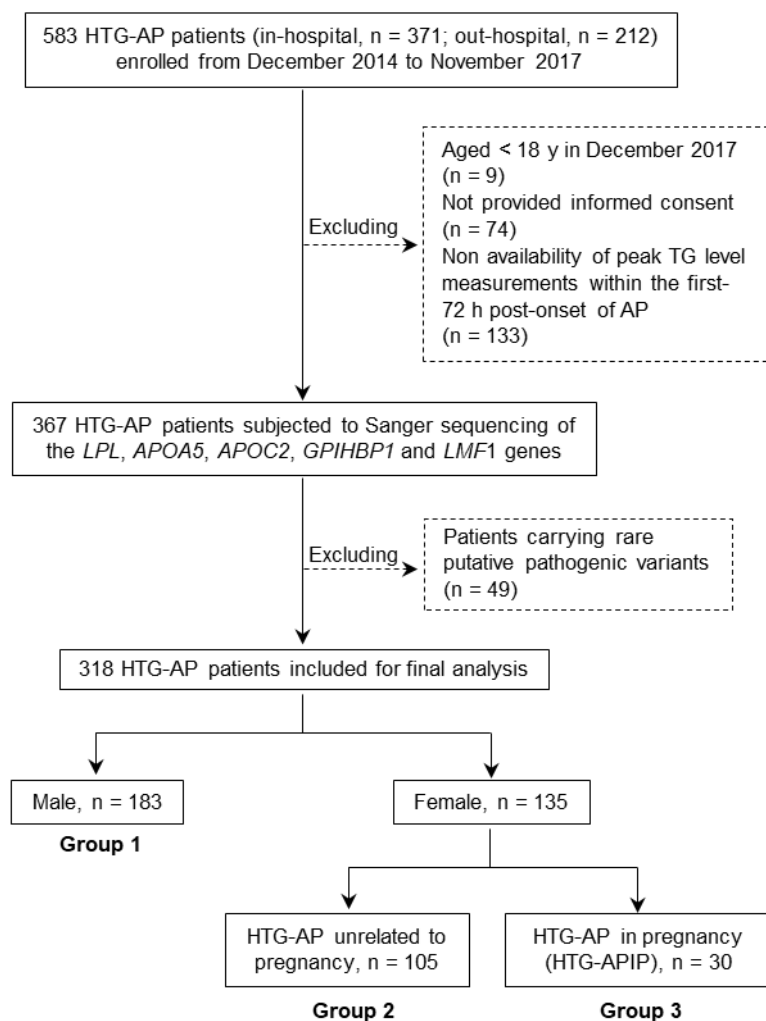
478

479

480

481

482



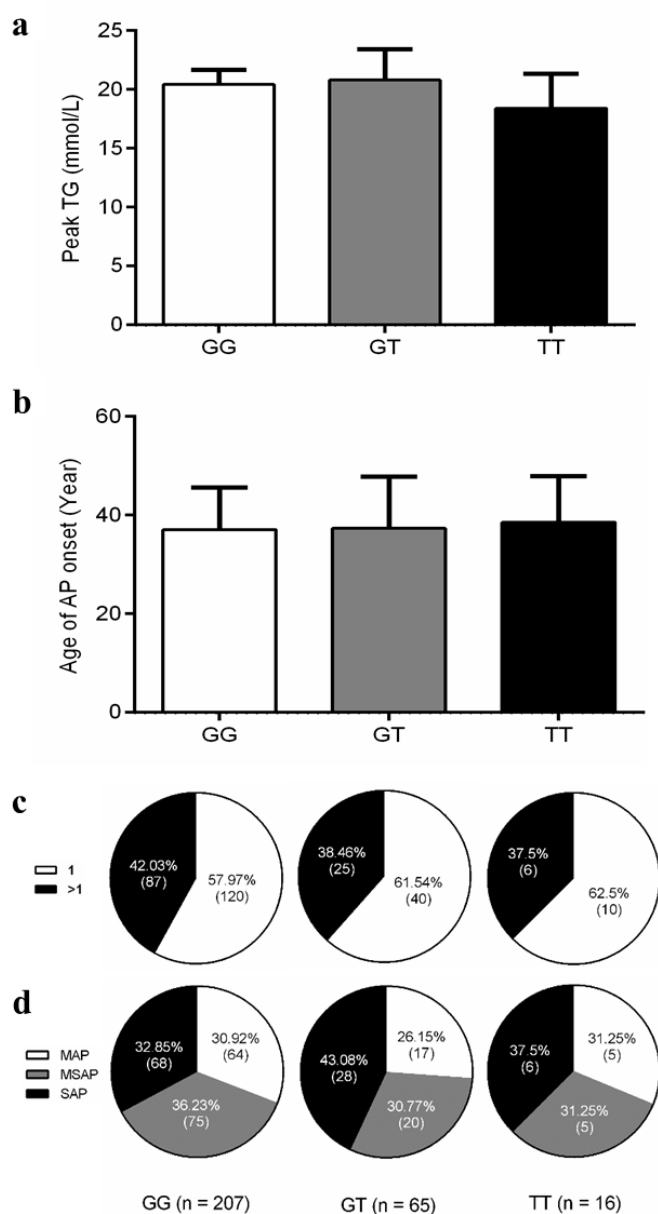
483

484 **Figure 1** Flow chart of patient selection procedures. HTG-AP, hypertriglyceridemia-induced acute

485 pancreatitis; HTG-APIP, HTG-AP in pregnancy. TG, triglyceride.

486

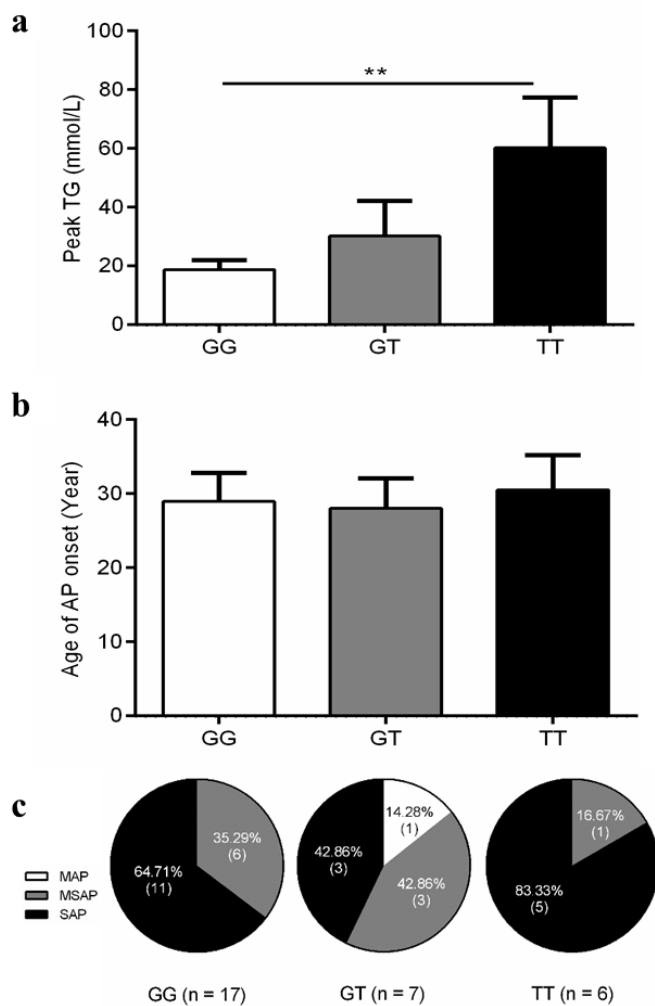
487



488

489 **Figure 2** Comparison of peak TG level (a), age of onset (b), number of episodes (c) and clinical  
 490 severity (d) of HTG-AP patients who carried the different *APOA5* c.553G>T genotypes in the  
 491 combined group 1 and 2 patients. Numbers of the GG, GT and TT genotype patients were 207, 65 and  
 492 16, respectively. In panels **c** and **d**, percentages and exact numbers (in brackets) of the subjects with  
 493 the indicated clinical characteristics are provided in the context of each genotype. AP, acute  
 494 pancreatitis; MAP, mild AP; MSAP, moderate severe AP; SAP, severe AP; TG, triglyceride.

495



496

497 **Figure 3** Comparison of peak TG level (a), age of onset (b) and clinical severity (c) of group 3  
 498 (HTG-APIP) patients who carried the different *APOA5* c.553G>T genotypes. Numbers of the GG, GT  
 499 and TT genotype carriers were 17, 7 and 6, respectively. In panel c, percentages and exact numbers (in  
 500 brackets) of the subjects with the indicated clinical characteristics are provided in the context of each  
 501 genotype. \*\*, adjusted  $P = 0.0042$  (TT vs. GG). AP, acute pancreatitis; MAP, mild AP; MSAP,  
 502 moderate severe AP; SAP, severe AP.