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Citation for final published version:

He, Pan , Cai, Beiming, Baiocchi, Giovanni and Liu, Zhu 2021. Drivers of GHG emissions from dietary transition patterns in China: Supply versus demand options. *Journal of Industrial Ecology* 25 (3) , pp. 707-719. 10.1111/jiec.13086

Publishers page: <http://dx.doi.org/10.1111/jiec.13086>

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Drivers of GHG emissions from dietary transition patterns in China:

Supply vs. demand options

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1 **Abstract:** Diets have been changing drastically in China recent decades and this
2 change has contributed considerably to greenhouse gas (GHG) emissions. In determining
3 effective mitigation strategies for future emissions, it is necessary to know how emissions
4 related to diet vary over time in overall magnitude and due to compositional changes
5 driven by socioeconomic dynamics. This study evaluates the change in dietary GHG
6 emissions in China during the 1997-2011 period by linking environmentally extended
7 input-output tables with individual daily food intake data, and further decomposes the
8 contribution to GHG emission changes of socioeconomic driving forces. The results
9 show that GHG emissions related to national diet have been decreasing from 1180 Mt
10 CO₂e to 640 Mt CO₂e (a 54% decline), largely due to technical innovation that has
11 reduced the emissions per calorie of food (135% of the total reduction). The change in
12 dietary patterns has had mixed effects, with a decline in calorie intake reducing emissions
13 by 21% while increases in animal-sourced food consumption have raised emissions by
14 25%. Our findings stress the importance of technical progress in the historical change in
15 dietary GHG emissions and suggest a focus on behavior change for future research and
16 policymaking, which has the potential to promote dietary changes towards less animal
17 product consumption. Our findings highlight the importance of both technological and
18 demand-side behavioral options in reducing the impact of diets on GHG emissions.

19 **Key words:** diet, GHG emissions, driving force, socioeconomic transformation

20 **1. Introduction**

21 Human diets contribute significantly to greenhouse gas(GHG) emissions in China.
22 To feed a population of more than 1.3 billion, the country produced substantial GHG
23 emissions that amounted to 1,600 Mt CO₂-eq emissions in 2010 (H. Li, Wu, Wang, & Qi,
24 2015). GHG emissions associated with diets are predicted to reach 2,500 Mt CO₂-eq in
25 2050 (World Bank, 2010), equivalent to the total emissions of India in 2013 (GHG
26 Platform India, 2017). Such a trend requires urgent policy intervention if China wants to
27 address GHG emissions from the national food system to make sure they align with its
28 GHG emission reduction target commitments for the Paris Agreement (Guan, Liu, Geng,
29 Lindner, & Hubacek, 2012; Xu & Lan, 2016). In order to develop effective strategies, it
30 is thus imperative for decision-makers to identify the key underlying driving forces of
31 GHG emissions change over time.

32 The effect of socioeconomic transformation on diets and their related GHG
33 emissions has been explored in multiple studies. Similar to other developing countries,
34 China has been experiencing a nutritional transition characterized by reduced intake of
35 carbohydrates and increased consumption of animal-sourced foods (Barry M Popkin,
36 Linda S Adair, & Shu Wen Ng, 2012; Zhai et al., 2009). The consumption of meat has
37 increased by more than 30% during the past two decades, while the consumption of
38 cereals has decreased by 30% over the same period (J. Liu & Savenije, 2008; F. Wang,
39 Cai, & Zhang, 2020). On the one hand, this transition contributes to increased emissions
40 from food consumption (Tilman & Clark, 2014). On the other hand, calorie intake by
41 individuals is declining largely because people are requiring less energy due to less
42 physically active types of work (Du, Lu, Zhai, & Popkin, 2002; Barry M Popkin et al.,

43 2012), which may help reduce the emissions per capita. The calorie intake for a typical
44 Chinese individual has been decreasing from 2490 kcal*day⁻¹ in 1982 to 2170 kcal*day⁻¹
45 in 2012 (National Health and Family Planning Commission of China, 2013). This
46 transition is further facilitated by rapid urbanization which makes restaurants and
47 animal-sourced foods more readily available and lessens the physical activity required for
48 an increasingly sedentary lifestyle (Monda, Gordon-Larsen, Stevens, & Popkin, 2007; Ng,
49 Norton, & Popkin, 2009). Previous research has shown higher GHG emissions per capita
50 for food consumption in urban households than in their rural counterparts (L.-C. Liu, Wu,
51 Wang, & Wei, 2011; Z. Wang & Yang, 2014). Finally, population growth with aging
52 trends, and technical innovations in agricultural production could also play important
53 roles in the changing GHG emissions resulting from food consumption.

54 Recent research has rarely explored how dietary GHG emissions change over time
55 nor the contribution of key socioeconomic drivers. Most recent studies have focused on
56 cross-sectional quantification that links habitual food consumption with emission factors
57 or conducted comparisons associated with counterfactual dietary scenarios (Green et al.,
58 2015; Heller & Keoleian, 2015; Reynolds, Piantadosi, Buckley, Weinstein, & Boland,
59 2015; Song, Li, Fullana-i-Palmer, Williamson, & Wang, 2017; Vetter et al., 2017;
60 Westhoek et al., 2014). Through statistical models at the individual or household level, a
61 few works have identified socioeconomic factors that may affect diet-related GHG
62 emissions, including personal income (Song, Li, Semakula, & Zhang, 2015; F. Wang et
63 al., 2020), educational background (Song et al., 2015), etc. While these contribution to
64 the literature identify the demographic characteristics that have an effect on individual
65 dietary patterns and consequential environmental impacts, it is still unclear how much

66 each driving force contributes, and what the key factors affecting diet-related GHG
67 emissions are. Understanding these relationships benefits decision-makers by enabling
68 policy design focusing on the most cost-effective measures in approaching future
69 emission reductions and the sustainability of the food system.

70 This study investigates the temporal changes in GHG emissions resulting from diets
71 in China and quantifies the contribution of each factor that drives the change. We linked
72 individual-level nutritional survey data collected during 1997-2011 from the China
73 Health and Nutrition Survey (CHNS) with high-resolution emissions factors from
74 environmentally extended input-output (EEIO) tables to quantify the per-capita dietary
75 GHG emissions by age groups in both urban and rural areas, and extrapolated the
76 emissions for the whole country by employing detailed national statistics on population
77 structure. Next, we conducted a logarithmic mean Divisia index (LMDI) decomposition
78 to detect the contribution of multiple driving forces, including technical innovation,
79 dietary structural transition, change in calorie intake, urbanization, aging, and population
80 growth, and the changes in such contributions across the years. Based on these results, we
81 discuss possible policy interventions from both the production and consumption sides,
82 and their potential cost and benefits. Given the ongoing socioeconomic transformation,
83 human nutritional requirements, and environmental change faced by the developing
84 world, our findings not only address the challenges faced by China from the impacts on
85 the environment associated with the dietary transition, but does also provide a more
86 general framework applicable to study other countries on a similar track of
87 socioeconomic transformation.

88 **2. Methodology and data**

89 **2.1 Quantifying individual food intakes**

90 The individual dietary intakes come from the China Health and Nutrition Survey
91 (CHNS). This survey regularly collects the daily food intake of individuals along with
92 their socioeconomic characteristics. CHNS samples from 9 Chinese provinces¹, including
93 the more developed east coastal areas such as Shandong and Jiangsu, northeast provinces
94 such as Heilongjiang and Liaoning, central provinces such as Henan, Hubei, and Hunan,
95 and the less-developed southwest areas such as Guizhou and Guangxi. Although that the
96 survey does not adopt a nationally representative sampling framework, such a
97 heterogeneous sample represents different geographical, socioeconomic and cultural
98 contexts, with the same communities and villages traced across the years. As shown in
99 Figure S1 in the supporting information, these 9 provinces display diverse patterns of
100 food consumption, urbanization rate, and per capita disposable income among all the
101 provincial districts of China.

102 In the survey, each individual is requested to record her/his food intake over three
103 continuous days based on 24-hour recalls. Cooking oil and condiment intake are
104 estimated by measuring the weight difference in these items between the beginning and
105 the end of the survey period for each family, a task that is assigned to each family
106 member based on the method developed by (Du, Mroz, Zhai, & Popkin, 2004). All the
107 food items in the CHNS are recorded with a food code that matches it with its nutrition
108 facts in the Chinese Food Composition Tables (CFCTs), which enables us to identify the

¹ The scope of the investigation has been expanded since 2011 with more provincial administrative districts sampled. However, we still use the sample from 9 provinces for the study period as it provides the largest coverage over time.

109 types of foods eaten and calculate their total caloric values. We aggregate all the items in
110 the CFCTs into 13 food groups: cereals, oils and fats (including vegetable oils and animal
111 fats), livestock, poultry, vegetables, tubers, eggs, nuts, seafood, legumes, fruits, dairy, and
112 others. The data collection started in 1989, and 9 waves of the survey have been
113 conducted so far. In total, observations from approximately 7,200 households amounting
114 to over 30,000 individuals have been recorded. Our analysis concentrates on the later
115 waves including the years 1997, 2000, 2004, 2006, 2009, and 2011 since information on
116 the nutrition content of the food intake is not available for earlier years.

117 **2.2 Evaluating dietary GHG emissions**

118 We use input-output (IO) analysis to calculate the consumption-based GHG
119 emissions of the diets. Our aim here is to include both the direct and indirect
120 environmental impacts of each consumed good or service per monetary unit, which can
121 be captured by an environmentally extended input-output analysis. We start with a
122 standard IO model of the interdependent sectors of the Chinese economy:

$$123 \quad x = (I - A)^{-1}y \quad (1)$$

124 where x is a vector of the total sectoral economic output, A denotes the direct
125 input-output coefficients matrix, I is the an identity matrix with same dimensions as
126 A , and y denotes the vector of final sectoral consumption. In this way, the GHG
127 emissions of the total output in each sector (E_{total}) can be calculated as:

$$128 \quad E_{total} = f(I - A)^{-1}y \quad (2)$$

129 where f is a vector of the direct GHG emissions from the products of each sector.
130 Accordingly, the elements of $f(I - A)^{-1}$ indicate the total GHG emissions from one
131 monetary unit of the final product from each sector.

132 The input-output table used here was retrieved from the EXIOBASE database
133 (Stadler et al., 2018). The dataset has been adopted in several studies concerning the
134 environmental impact of food consumption (Behrens et al., 2017) and food waste
135 (Usubiaga, Butnar, & Schepelmann, 2018). It includes global multi-regional IO tables for
136 1995-2011, which covers the study period of this research and thus can be matched with
137 each wave of our longitudinal food intake data to capture the production-side changes in
138 emissions over time. The EXIOBASE IO tables are specified in terms of 200 products, of
139 which approximately 20 are food-related, which allows for the differentiation of the the
140 emissions from each food category. The dataset also includes an environmental account
141 of the main GHG emissions covering CO₂, CH₄, and N₂O. We convert all the accounts to
142 CO₂ equivalents using 100-year global warming potential for greenhouse gases reported
143 by the United Nations Framework Convention on Climate Change (Intergovernmental
144 Panel on Climate Change, 2007). Emissions from land use and land cover change are
145 excluded. Since the food products consumed in China are produced in various countries
146 where the GHG emissions per monetary unit of product differ, we calculate the average
147 coefficient on each product weighted by the proportion imported from each country.
148 Although IO tables for China are also available from other data sources such as the
149 Chinese Environmentally Extended Input-Output Database (Liang et al., 2017), they
150 either have a lower resolution of sectors, cover fewer years, or lack environmental
151 satellite accounts for non-CO₂ GHGs. Therefore, to cover more accurately with more

152 detailed data the important diet transition period, we adopt the EXIOBASE dataset for
153 our analyses.

154 We link the CHNS data with the emission factors from the EXIOBASE tables by
155 food groups. Using the EXIOBASE table for the corresponding years, each food item
156 from the CHNS dataset is associated with an economic sector as the final product from
157 that sector(s) (The concordance table is included in the supplementary data). Since the
158 food intake data from CHNS are measured in quantities while the emission factors from
159 EXIOBASE are quantified in euros, we need to convert the two into the same units using
160 food prices. We thus calculate the producer food prices of 2011 with data on production
161 quantity and value from FAOSTAT (FAOSTAT, 2020b) to match with the basic price IO
162 table. The prices of previous years, because they are not directly available in FAOSTAT
163 for some food products, are extrapolated using the price indices from the same data
164 source. To validate this extrapolation, we compare the extrapolated data with the prices
165 of some major agricultural products at the market fairs obtained from the China
166 Yearbook of Agricultural Price Survey. As shown in Figure S2, the prices from the two
167 sources are strongly linearly correlated with a coefficient of 0.97, despite the fact that
168 most producer prices from FAOSTAT are smaller than the prices in the yearbook which
169 contains additional costs from transportation, storage, etc. Finally, the GHG emissions
170 from the daily food intake of each individual are calculated by multiplying the factors
171 from EXIOBASE, the extrapolated price, and the quantity of intakes from CHNS. For the
172 food items consumed away from home, CHNS also records the type and amount of intake.
173 We thus calculate the emissions from these food items following the same method so that

174 the same food item results in the same emissions whether it is consumed at home or in a
 175 restaurant.

176 We proportionally extrapolate per capita emissions to the national level with
 177 population statistics. As a microlevel dataset, CHNS investigates 9 provinces. However,
 178 the survey does take into consideration socioeconomic heterogeneity when selecting
 179 areas for investigation and stratifies counties by income level in the sampling procedure.
 180 In this way, we assume that the CHNS reflects the dietary patterns of individuals from
 181 different age cohorts living in both urban and rural areas for the whole country and the
 182 changes in those patterns throughout the years. We calculated the per capita food intake
 183 for 9 age groups (0-10, 10-19, ..., 70-79, 80+) in 2 areas of residence (urban, rural). With
 184 the proportion of the population in each cohort taken from the *China Statistical Yearbook*
 185 (National Bureau of Statistics of the People's Republic of China, 2012), we are able to
 186 calculate the total dietary GHG emissions for the whole country.

187 The dietary GHG emissions in China are calculated as follows:

$$188 \quad E_t = \sum_k \sum_j \sum_i em_{it} \cdot price_{it} \cdot c_{ijkt} \cdot P_{jkt} = \sum_k \sum_j \sum_i e_{it} \cdot c_{ijkt} \cdot P_{jkt} \quad (3)$$

189 where E_t denotes the total dietary GHG emissions in China in period t . e_{it}
 190 denote the emissions generated by producing one gram of food in food group i , which
 191 can be calculated using em_i , the total emissions from producing one monetary unit of
 192 food in food group i that comes from the elements $f(I-A)^{-1}$ for food-producing
 193 sectors in equation (2), and that food group's price, $price_{it} \cdot c_{ijkt}$ denotes the per capita
 194 daily intake of food group i in grams for individuals from age group k living in area

195 j , where j denotes the division of urban or rural area. P_{jkt} denotes the number of
 196 people in the cohort of age group k living in area j in period t .

197 2.3 Exploring the driving forces

198 We explore how the socioeconomic transition has restructured dietary GHG
 199 emissions in China with an additive LMDI method. Developed by Ang (Beng W Ang,
 200 Zhang, & Choi, 1998), this method is able to quantify the contribution of each driving
 201 force without residuals (B. W. Ang, 2015). In this study, we focus on how technical
 202 progress, dietary transition, and population change affect dietary GHG emissions over
 203 time. Rearranging the equation in the previous section gives

$$\begin{aligned}
 E_t &= \sum_k \sum_j \sum_i e_{it} \cdot c_{ijkt} \cdot P_{jkt} \\
 204 \quad &= \sum_l \sum_k \sum_j \sum_i \frac{e_{it}}{cal_{it}} \cdot \frac{c_{ijkt} \cdot cal_{it} \cdot ntr_{ilt}}{NTR_{jklt}} \cdot \frac{NTR_{jklt}}{N_{jkt}} \cdot N_{jkt} \cdot \frac{P_{jkt}}{P_{jt}} \cdot \frac{P_{jt}}{P} \cdot P \quad (4) \\
 &= \sum_l \sum_k \sum_j \sum_i ec_{it} \cdot NC_{lijkt} \cdot D_{ljkt} \cdot N_{jkt} \cdot G_{jkt} \cdot U_{jt} \cdot P
 \end{aligned}$$

205 where cal_i denotes calories per gram for food group i in period t . ntr_{ilt} denotes
 206 percentage of calories from nutrient l for food group i , where l indicates a
 207 macronutrient carbohydrate, fat, or protein. NTR_{jklt} is the average total calorie intake
 208 from macronutrient l for individuals from age group k living in area j . N_{jkt} is the
 209 per capita daily total intake of calories for the same individuals in the age*living area
 210 cohort. P_{jt} and P denote the number of people living in area j (either urban or rural)
 211 and the national population, respectively. Other terms are the same as in equation (3).
 212 Therefore, $ec_{it} = e_{it} / cal_{it}$ represents the technical progress in terms of per-calorie total
 213 GHG emissions; $NC_{lijkt} = c_{ijkt} \cdot cal_{it} \cdot ntr_{ilt} / NTR_{jklt}$ is the proportion of each macronutrient

214 in each food groups, namely the percentage of carbohydrate/fat/protein provided by each
 215 food group. In this sense, $\sum_i ntr_{it}=1$. $D_{ijkt}=NTR_{jkt}/N_{jkt}$ indicates the structure of
 216 energy intake in terms of the percentages of carbohydrates, fats, and proteins in the
 217 calorie supply; $G_{jkt}=P_{jkt}/P_{jt}$ captures the change in the age structure; $U_{jt}=P_{jt}/P_t$
 218 gives the ratio of the urban and rural populations to the whole population and thus shows
 219 the level of urbanization. We are interested in how the factors in the final form of
 220 equation (3) contribute to the change in total dietary GHG emissions, both in directions
 221 and magnitudes.

222 The change in E_t between two periods can be expressed as:

$$223 \quad E_t - E_0 = \Delta E_{tech} + \Delta E_{ntrcom} + \Delta E_{enstr} + \Delta E_{intake} + \Delta E_{aging} + \Delta E_{urban} + \Delta E_{pop} \quad (5)$$

224 In equation (4), E^T and E^0 refer to the emissions during periods t and 0,
 225 respectively. The contribution of each driving force can be calculated with

$$226 \quad \Delta E_{tech} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{ec_{it}}{ec_{i0}} \quad (6)$$

$$227 \quad \Delta E_{ntrcom} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{NC_{ijkt}}{NC_{ijk0}} \quad (7)$$

$$228 \quad \Delta E_{enstr} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{D_{ijkt}}{D_{ijk0}} \quad (8)$$

$$229 \quad \Delta E_{intake} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{N_{jkt}}{N_{jk0}} \quad (9)$$

$$230 \quad \Delta E_{aging} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{G_{jkt}}{G_{jk0}} \quad (10)$$

$$\Delta E_{urban} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{U_{jt}}{U_{jt}} \quad (11)$$

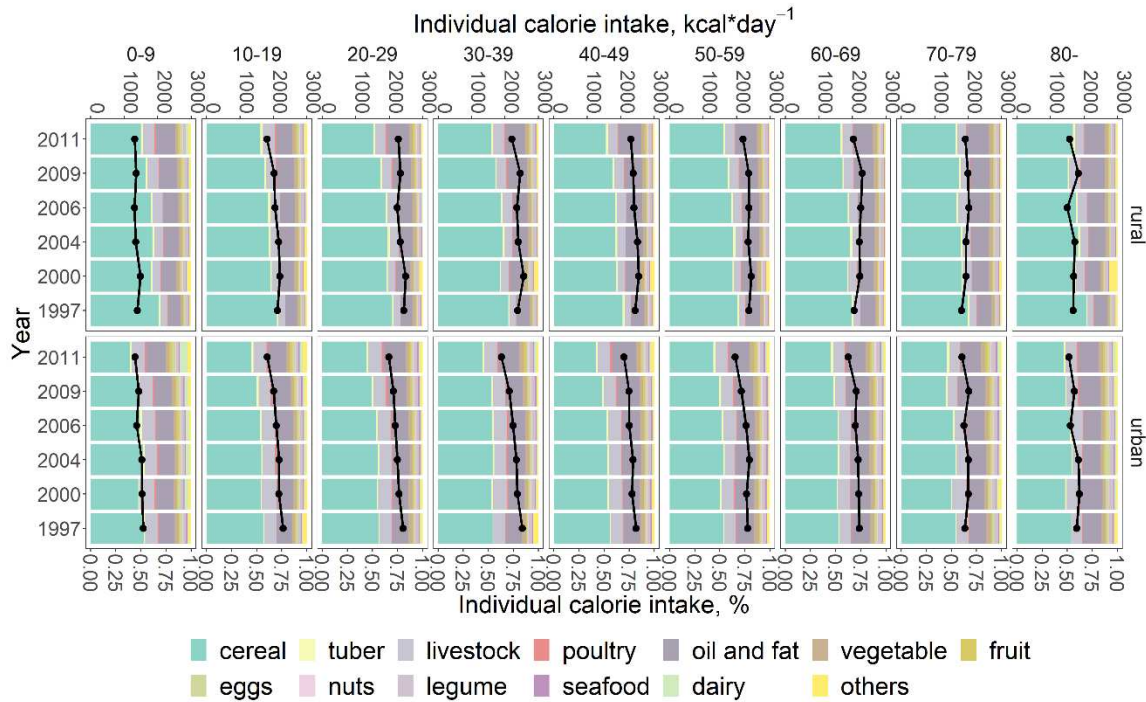
$$\Delta E_{pop} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{P_t}{P_0} \quad (12)$$

233 3. Results

234 3.1 Dietary transition in China

235 The Chinese have been reducing their calorie intake while shifting to a higher intake
 236 of non-starchy food, especially meat and oil and fat. The age-cohort-weighted average
 237 calorie intake, although increasing slightly from 2180 kcal/day in 1997 to 2238.9 in 2000,
 238 started to decline from 2000 until reaching 1960 kcal/day in 2011. Statistics from other
 239 national statistics show similar results: the averaged calorie intake of Chinese decreased
 240 from 2330 kcal/day in 1992 to 2250.5 kcal/day in 2002, and then to 2170 kcal/day in
 241 2012 (National Health and Family Planning Commission of China, 2013). Concomitantly,
 242 starchy food has been slowly replaced by animal products. Cereals accounted for 64.3%
 243 of daily calorie intake in 1997 but 48.2% in 2011. This decline is steeper than the decline
 244 in total calorie intake, indicating that Chinese people are replacing cereals with other
 245 foods while eating fewer calories in total. The replacement mainly comes from livestock
 246 products, and oils and fats with increases from 8.2% to 11.5% and 13.8% to 20.6%,
 247 respectively. Other foods, including poultry products, seafood, dairy products, eggs,
 248 legumes, and fruits, while accounting for no more than 4% of the calorie intake each, all
 249 exhibit a slight increase in consumption as well. The intake levels of tubers, vegetables,
 250 and other foods fluctuate within a narrow range over time and show no obvious trends.

251 Diets have been transitioning along a similar trend for individuals from different
252 groups but with different levels. We present the change in calorie intake and its
253 composition by food group in Figure 1. On average, urban residents show lower calorie
254 intake and a steeper decline in calorie intake over the study period, from 2270 kcal/day to
255 1890 kcal/day. In contrast, their rural counterparts first increased from 2131.2 kcal/day to
256 2259.3 kcal/day in 2000 and started to decrease to 2026.2 kcal/day in 2011. These
257 observations are also consistent with the data from the national nutritional survey, which
258 showed the calorie intake changing from 2394.6 kcal/day in 1992 to 2134 kcal/day in
259 2002 and 2052.6 kcal/day in 2012 in the urban area, and from 2294 kcal/day to 2295.5
260 kcal/day and 2286.4 kcal/day in the rural area (National Health and Family Planning
261 Commission of China, 2013). Concerning the composition of calorie intake, urban
262 residents derive a larger percentage of calories from non-starchy food in general, but their
263 rural counterparts are catching up rapidly. For urban residents, the calories from cereal
264 were reduced from 55.0% in 1997 to 43.7% in 2011, while these values were 69.0% and
265 52.5% for rural residents. In the meantime, calories from fats and oils increased from
266 16.0% to 20.9% and 12.6% to 20.4% for urban and rural residents, respectively. Calories
267 from livestock also increased in rural areas from 6.5% to 10.3% while ranging from 11.6%
268 to 13.9% in urban areas without any evident temporal trend. A similar comparison
269 applies to other food groups. The difference across age groups is less apparent. Figure 1
270 shows that adults and adolescents exhibited a steeper decline in calorie intake compared
271 to other age groups . However, the changes in the macronutrient composition of calorie
272 intake are similar for each age cohort.



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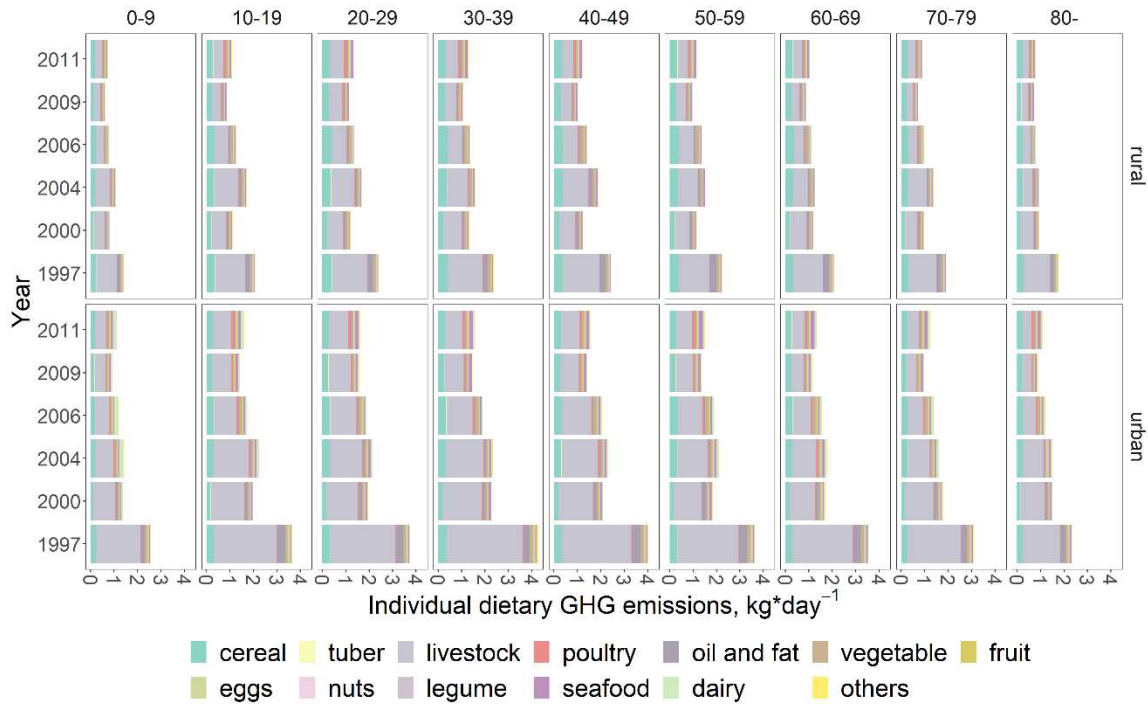
274 *Figure 1 Individual calorie intake and its composition for different age*living area cohorts. The points and lines*
 275 *show the trend of total calorie intake per capita (scaling shown on the top); the stacked bars show the*
 276 *composition of the calorie intake (scaling shown at the bottom).*

277 **3.2 Dietary GHG emissions and its composition**

278 Dietary-related GHG emissions have fallen over time, with the decline mainly
 279 coming from reduced emissions related to meat, cereals, and vegetables despite the fact
 280 that the meat intake has been increasing. Emissions at the individual level by residence
 281 area and age group are shown in Figure 2. The age-cohort-weighted average dietary GHG
 282 emissions decreased from 2.61 kg CO₂e/(capita*day)⁻¹ in 1997 to 1.32 kg
 283 CO₂e/(capita*day) in 2011. This value resembles the results of a previous study (Song et
 284 al., 2015), which estimates a 5-95% interval of 2.12 to 3.87 kg CO₂e/(capita*day) and a
 285 mean of 2.96 kg CO₂e/day during the period of 2004-2009. Our results are also smaller
 286 than those for developed countries, e.g. 2.94-5.93kgCO₂e/day in the UK (Scarborough et

287 al., 2014), and 4.17kgCO_{2e}/day in France (Vieux, Darmon, Touazi, & Soler, 2012),
288 partially due to lower consumption of meat and dairy products. The largest decrease
289 occurred during the 1997-2000 period, after which the emissions bounced back during
290 2000-2004 and then continued to decrease. Livestock, cereal, and vegetable accounted for
291 the majority of the dietary emissions (more than 60% in total), and contributed the largest
292 reduction. The emissions per capita from livestock products changed from 1.75 kg
293 CO_{2e}*day⁻¹ to 0.57 kg CO_{2e}*day⁻¹),cereal (from 0.34 kg CO_{2e}*day⁻¹ to 0.29 kg
294 CO_{2e}*day⁻¹), and oils and fats (from 0.23 kg CO_{2e}*day⁻¹ to 0.03 kg CO_{2e}*day⁻¹). The
295 emissions from poultry products per capita experienced a slight increase from 0.025 kg
296 CO_{2e}*day⁻¹ to 0.84 kg CO_{2e}*day⁻¹. A decrease in emissions is also observed for other
297 food groups, with a reduction of no more than 0.15 kg CO_{2e}*day⁻¹ each. This downward
298 trend is mainly due to technical advances despite the increased intake of some food
299 groups as will be explained in a later section.

300 Individuals from different areas show similar patterns in emissions over the years
301 but these patterns differ in extent. Urban residents are responsible for higher emissions
302 because they eat more animal products, particularly meat, as a proportion of their daily
303 diets. At the same time, their emissions also decrease more rapidly due to a larger
304 reduction in total meat consumption. In urban areas, the individual dietary emissions
305 decreased from 3.67 kg CO_{2e}*day⁻¹ to 1.50 kg CO_{2e}*day⁻¹ on average with emissions
306 from livestock reducing from 2.70 kg CO_{2e}*day⁻¹ to 0.71 kg CO_{2e}*day⁻¹, while in rural
307 areas, the values are 2.11 kg CO_{2e}*day⁻¹ and 1.12 kg CO_{2e}*day⁻¹ with emissions from
308 livestock reducing from 1.30 kg CO_{2e}*day⁻¹ to 0.45 kg CO_{2e}*day⁻¹.



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Figure 2 Per capita dietary GHG emissions by age and residence.

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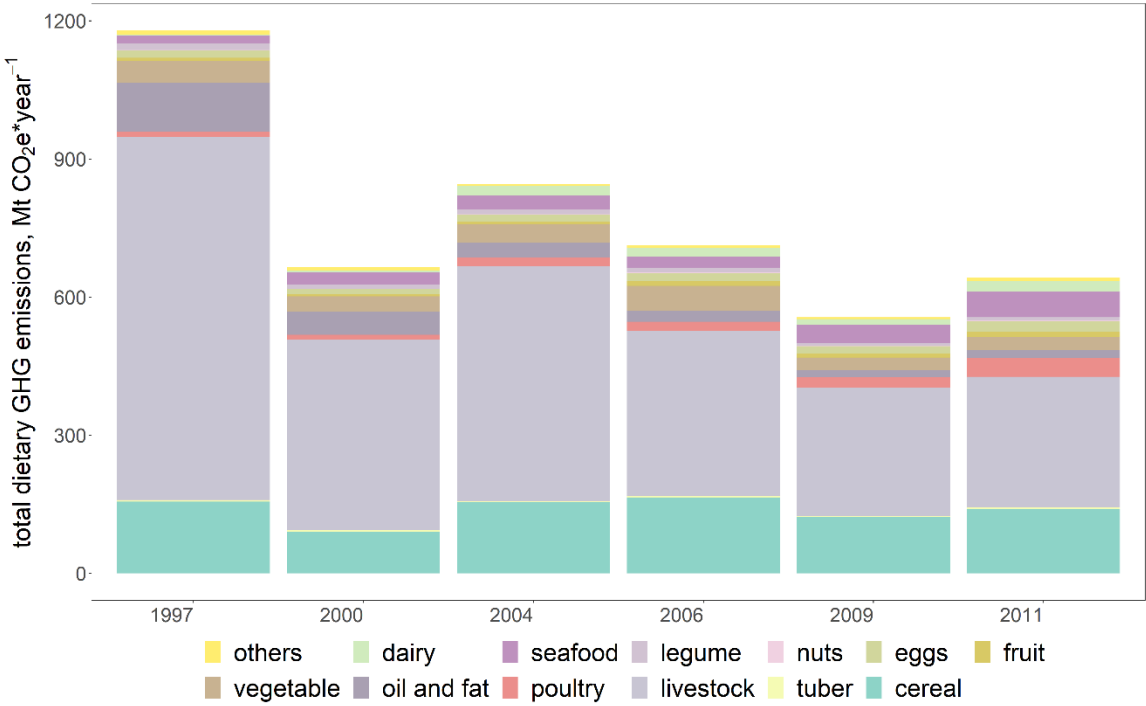
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322

At the national level, dietary GHG emissions have been reduced as well. As shown in Figure 3, the total annual emissions for the whole nation declined from 1178.8 Mt CO_{2e} in 1997 to 556.2 Mt CO_{2e} in 2009, though they slightly increased to 641.9 Mt CO_{2e} in 2011. This range is slightly lower than that in the evaluation of Li *et.al.*, which was 1,308 to 1,618 Mt CO_{2-eq} GHGs during 1996-2010 (H. Li et al., 2015). This is possibly because the extent of Li *et.al.* includes the food chain system, while we only address the food consumed in China, excluding exports as well as food loss and waste but involving the emissions from imported products. As China has become a leading exporter of agricultural products in the world, (FAOSTAT, 2020a) and food loss and waste accounts for 10.8% to 48.2% of the total food consumption in industrialized Asia with variation across food groups (Gustavsson, Cederberg, Sonesson, & Emanuelsson, 2011), the emissions from these factors can lead to a considerable difference across studies.

323 Additionally, Li *et.al.*, while showing increasing GHG emissions over time, adopt the
 324 temporally invariant IPCC emission factors for estimation so that the effect of technical
 325 progress is not embodied in the historical change in emissions. The declining trend in
 326 GHG emissions is similar to that found in Wang *et.al.* which also adopt the input-output
 327 framework for evaluation but do not include non-CO₂ GHG emissions(F. Wang et al.,
 328 2020). They present a 21% reduction in CO₂ emissions from household food
 329 consumption in China during 1992-2007. Similarly, a decreasing trend is also found in
 330 the CO₂ emissions from European agricultural production during 1995-2009, mainly due
 331 to decreasing energy intensity (T. Li, Baležentis, Makutėnienė, Streimikiene, &
 332 Kriščiukaitienė, 2016). As the factors such as technical advancement contributing to
 333 emissions reductions can reduce CO₂ and other GHGs jointly, it is possible to find a
 334 higher reduction rate when both are included in the evaluation.



335

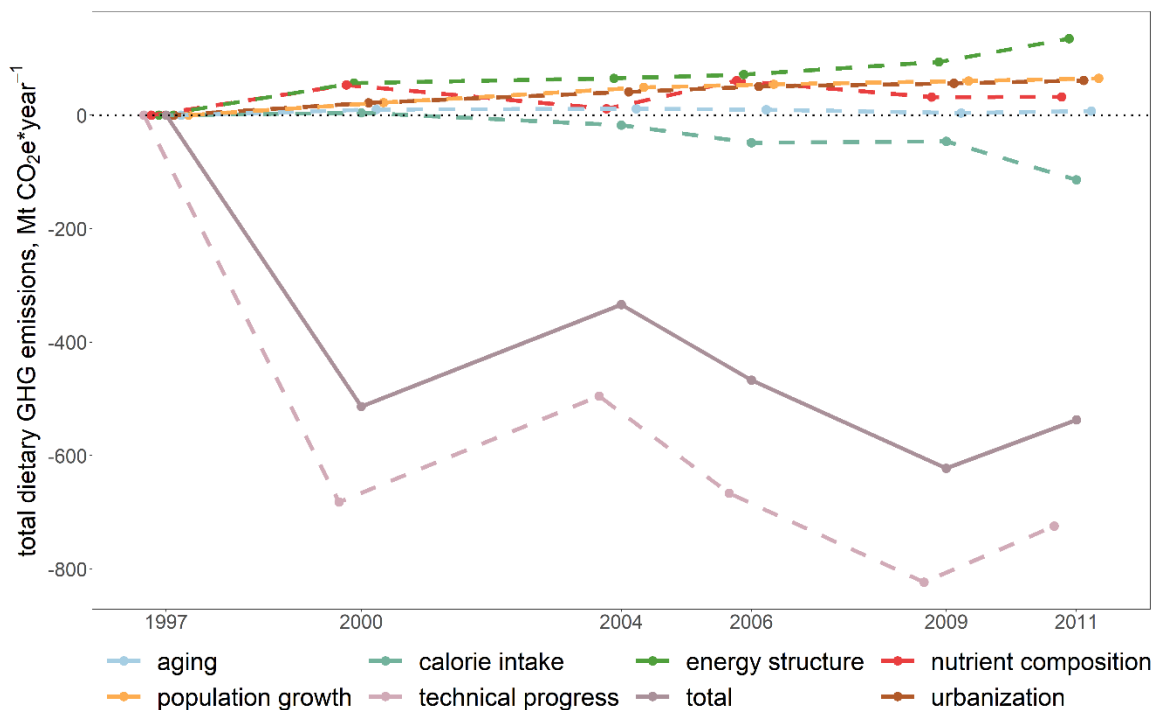
336

Figure 3 The composition of total dietary GHG emissions by food groups over time in China

337 **3.3 Driving forces of the changing diet**

338 Technical progress plays a dominant role in driving down dietary GHG emissions,
339 while factors other than calorie intake drive emissions up. We show the decomposition of
340 the dietary GHG emissions in China during the 1992-2012 period in Figure 4. Technical
341 progress dominates the reduction in the total emissions over time. Its critical role is also
342 documented in Wang *et.al.* as the consumption of all types of foods except cereal
343 increased for Chinese households during the 1992-2007 period, while their carbon
344 footprint dropped over the same period, indicating a reduction in CO₂ per kg embedded
345 in Chinese food consumption (F. Wang et al., 2020). Such a reduction in carbon emission
346 intensity has also been documented in other studies particularly for animal products such
347 as beef and pork (B. Lin & Lei, 2015; J. Lin, Hu, Cui, Kang, & Xu, 2015). The reduction
348 in emissions from reduced calorie intake is smaller but has been increasing critical in
349 recent years. The structural change of diets tends to increase emissions and have a similar
350 contribution in magnitude. The switch from foods rich in carbohydrates (e.g. cereals and
351 tubers) to those rich in fats and proteins (e.g. oils, fats, poultry, and livestock) has led to
352 more emissions, as the latter two are usually more emissions-intensive - fat and protein
353 are provided primarily by cooking oils and fats, and animal and soybean products,
354 respectively, which emit more GHGs than the starchy foods in the food chain. The
355 nutrient composition, namely the source of carbohydrates, fats, and protein, also matters,
356 such as switching from plant-sourced protein (soybean products) to the animal-source
357 protein (meat). Demographic change generally leads to higher emissions primarily due to
358 population growth. Urbanization also leads to higher emissions, attributable to the fact
359 that more restaurants are readily accessible, which cater to the growing demand for

360 dining out and tend to serve foods with high fat and more meat to attract customers
 361 (Byrne, Capps, & Saha, 1996; McCracken & Brandt, 1987; Barry M. Popkin, Linda S.
 362 Adair, & Shu Wen Ng, 2012). Moreover, the opportunity costs of preparing food rise due
 363 to shifts in working styles and more women entering the labor market (Wilkinson, 2004).
 364 As a result, easily and instantly available processed food items become popular, leading
 365 to easier portability, storage, and preparation at a low price due to advancements in
 366 industrial food production (Thow, 2009). As such, change tends to be more drastic for
 367 urban residents compared with their rural residents, and therefore urbanization plays a
 368 role in increasing GHG emissions. Finally, the change in population structure presents a
 369 negligible but positive contribution to the increase of dietary GHG emissions.



370
 371 *Figure 4 LMDI decomposition of the dietary GHG emissions in China*

372 Each food group contributes to the dietary emissions change differently through
 373 both the production and consumption sides: Technical progress may differ by food

374 production sectors and thus lead to heterogeneous emissions reduction rates; Dietary
375 change also results in a disproportional adjustment of the amount each food group
376 consumed in individual daily diets. To identify the key food groups for policy
377 intervention, we further explore how each food group contributes to the change in dietary
378 GHG emissions by adding up the components in equation (4) by food group following
379 the method in (Zhao & Chen, 2014). The results mark meat and cereals as two major
380 contributors to emissions reduction (Figure 5). Given that livestock consumption actually
381 increased during the study period, this result shows that advancement in technology
382 efficiency can compensate for emission changes in the dietary composition. The
383 reduction started to slow in recent years, with emissions from meat even increasing
384 slightly during the 2009-2011 period due to both smaller marginal improvement on the
385 production side and a continuing increase in meat consumption. These two factors drove
386 emissions in the same direction for cereals; cereal consumption went down as individuals
387 switched to foods with more fat and protein. The contribution of other foods is primarily
388 a result of technical progress as their intake changes only marginally. One exception is
389 dairy products, which drive up emissions slightly, indicating that the increase in milk and
390 yogurt (the major dairy products that consumed in China) consumption balances out the
391 benefits from technical progress.

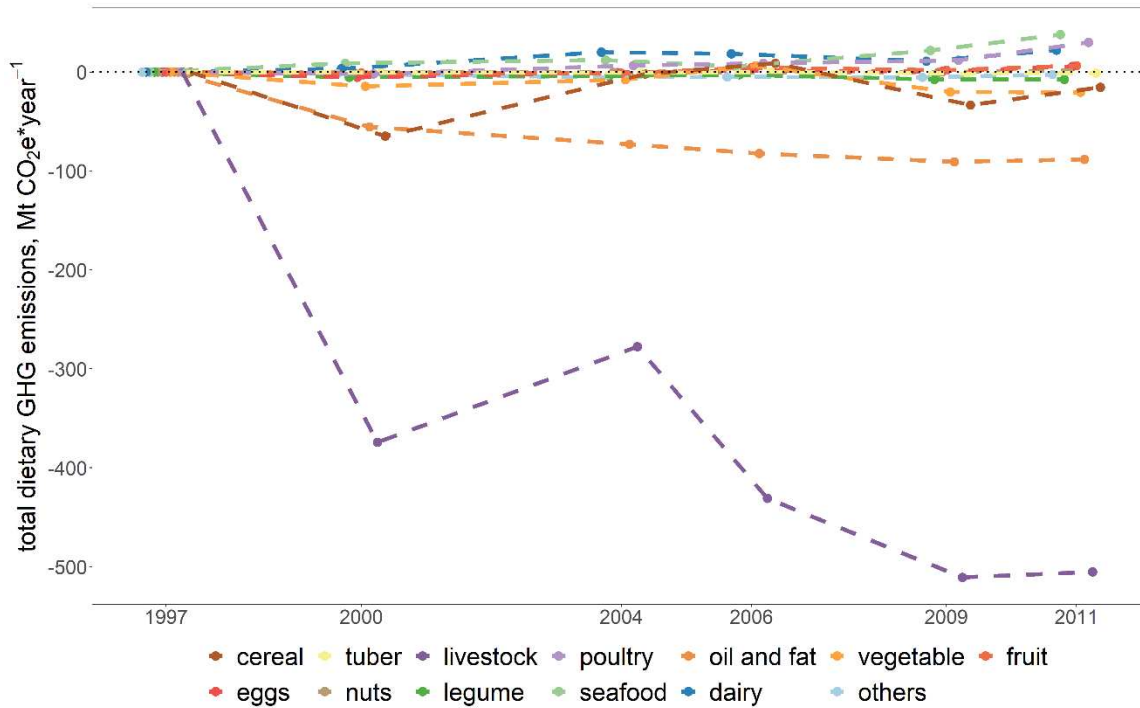


Figure 5 Contribution to dietary GHG emissions by food groups

4. Discussion

4.1 Driving forces of dietary emissions

The change in dietary composition is likely driven by interactions among concomitant factors, including lower calorie requirements due to sedentary occupations, lower food prices, greater purchasing power, and more urbanized and modernized food preparation and supply systems (Gómez & Ricketts, 2013; Kearney, 2010; Barry M Popkin, 2003; Barry M. Popkin, 2014; Barry M. Popkin et al., 2012). The decline in calorie intake is mainly related to less physically demanding jobs for a large section of the population (Ng et al., 2009; Barry M Popkin, 2001). Machines have replaced a large portion of laborers engaged in physical work, and transportation has also become more motorized. Although leisure activities are also becoming more popular, sedentary lifestyles are becoming more and more widespread which reduces individuals' energy

406 requirements (Attard et al., 2015; Bauman, Allman-Farinelli, Huxley, & James, 2008; Ng,
407 Howard, Wang, Su, & Zhang, 2014). These observations are reflected in the physical
408 activity levels of the sampled individuals. As shown in Figure S3, all age groups in both
409 the urban and rural areas are shifting to lifestyles demanding less physical activities,
410 particularly adults. At the same time, starchy food accounts for a larger share of the diets
411 of people with a higher energy requirement or lower income, as such foods are the
412 cheapest source of energy in China (Du et al., 2004). As physical activity levels (PALs)
413 decrease and incomes increase, people can afford more expensive calories from other
414 types of foods (particularly animal-sourced foods) and thus choose to cut down
415 consumption on rice and flour (Du et al., 2004; Barry M Popkin & Du, 2003). Falling
416 food prices also play a role. Because animal-sourced food has become cheaper and more
417 affordable due to trade openness and technical advances while purchasing power has
418 simultaneously risen, the intake levels of animal-sourced foods have increased (Barry M.
419 Popkin et al., 2012).

420 The urban-rural difference in dietary structure can be attributed to disparities in
421 lifestyle and residential environment. (Barry M. Popkin et al., 2012; Zhang, Cao, &
422 Ramaswami, 2016). A modernized lifestyle and increased availability of restaurants and
423 food outlets facilitated by the ongoing urbanization encourage away-from-home dining
424 and in general the consumption of more processed food (Barry M. Popkin et al., 2012),
425 which is usually characterized by significantly higher energy density and more animal
426 products than that of food prepared at home (Byrne et al., 1996; McCracken & Brandt,
427 1987). The impact of urbanization on lifestyles in China is expected to increase in the
428 future given the ongoing agglomeration of the population in urban area.

429 Increases in age are associated with increases in emissions, although quantitatively
430 small. These increases can be explained by the different food requirement of individuals
431 of different ages. In our study, age is represented by the percentage of the population
432 from each age group. Fertility declines in an aging population structure, and the
433 proportion of adults, who have a higher requirement of food, increases, which thus causes
434 more emissions. Although elderly individuals, who require fewer calories than
435 non-elderly adults, also account for a larger proportion of the population, the aggregated
436 effect of population structure change is still positive. In other words, the direction of the
437 aging effect depends on the specific shape of the population pyramid. Nevertheless, such
438 an effect is trivial compared with other factors. Also, the study period is insufficient to
439 separate the effect of pure aging from the effect of belonging to different generations
440 growing up in different socio-economic environments. As more modernized and
441 prosperous generation reaches older age, the impact is expected to be more significant.
442 A small impact of increasing age was also found in previous studies. An evaluation of
443 household carbon footprints in Japan, a country stepping into an aging society as well,
444 also showed a flat trend in GHG emissions from food consumption (Shigetomi, Nansai,
445 Kagawa, & Tohno, 2014). This latter study is also affected by a limited time span, so
446 more research is needed to properly address this issue.

447 Our results show that dietary structure changes contribute more to rising GHG
448 emissions than population growth. Other studies have shown that these findings are
449 dependent on specific circumstances. Kastner et al. found that the effect of dietary change
450 is slightly lower than that of population growth in determining agricultural land use in
451 East Asia during 1963-1984, but exceeds the latter during 1984-2005; by contrast,

452 population growth still takes the lead in less developed areas such as Africa (Kastner,
453 Rivas, Koch, & Nonhebel, 2012). Yang and Cui also concluded that dietary change may
454 override population growth to raise the dietary water footprint on a global scale in the
455 future (Yang & Cui, 2014). Along with our findings, these observations reflect how
456 economic development significantly reshapes the dietary patterns of households until
457 their environmental impact catches up with the impact from the growing population.

458 Our findings add to the body of research looking at driving forces behind dietary
459 environmental impacts. We found that technical progress has an important role in the
460 case of China. In general, , results may differ across the types of environmental footprints
461 studied. (Kastner et al., 2012) found that population growth balances out the effect of
462 technology in driving up the land requirement for global food consumption. (Yang & Cui,
463 2014) found that technical progress has an important impact on water footprint, while
464 Zhao and Chen concluded that economic development, population growth, and dietary
465 change have a larger effect than changes in technology (Zhao & Chen, 2014). The
466 conclusion reached by different researchers may also depend on the country's level of
467 development. (Kastner et al., 2012) shows that for the agricultural land footprint, the
468 technology has had a larger effect than population growth and dietary change over time
469 in Europe, but the opposite is true in Asia, Africa and other areas.

470 **4.2 Policy implications of abating dietary GHG emissions**

471 The distinct contribution of each driving factor provides a basis to assess the
472 effectiveness of different policies in reducing dietary environmental impacts. Given that
473 the benefits from technical progress are large but tends to level off, it is not clear how
474 much such progress can contribute in the future. On the other hand, as research and

475 development costs for greener methods rises, production-side options will become
476 increasingly less feasible. On the other hand, managing emission from the
477 consumption-side appears to have greater potential, particularly for countries such as
478 China with fast-growing food demand due to population growth, rapid urbanization, and
479 increasing affluence. In particular, for developing countries like China, meat
480 consumption is predicted to continue to increase in the future (Alexandratos & Bruinsma,
481 2012). In order to limit more negative effects of this trend, providing public service
482 advertisements and dietary education that advocate healthy diets with less meat could be
483 a “low-hanging fruit”, to help driving affordable consumer behavioral change as shown
484 in multiple programs (Afshin et al., 2017). Such measures addressing environmental
485 issues can also improve the nutritional quality of diets and lead to positive health
486 outcomes (Behrens et al., 2017; Springmann, Godfray, Rayner, & Scarborough, 2016).
487 Another strategy is to make low-carbon, healthy foods such as vegetables, fruits, or meals
488 with reduced oil more accessible in the food supply. As an example, governments can
489 offer financial and regulatory incentives to increase the number of healthy food retail
490 outlets offering local produce. In particular, China is urbanizing rapidly. Since
491 urbanization can lock people into carbon-intensive lifestyles and diets (Seto et al., 2016),
492 it is critical to take actions immediately to create a food environment that promotes
493 sustainable diets before the urban lifestyle has become locked into path dependence.

494 As the most emission-intensive food group, meat is central in terms of policy
495 development from both the production and consumption sides. Our results show a
496 substantial reduction in GHG emissions due to production improvements. Despite
497 diminishing marginal environmental benefits, there is still room for further emission

498 reduction by expanding the use of techniques such as ranching intensification and
499 adopting best-practice animal management strategies discussed in recent studies (Herrero
500 et al., 2016). On the other hand, consumer behavior change can make a significant
501 difference. In China pork consumption has quadrupled since 1971, while beef
502 consumption has increased fivefold (Westcott & Trostle, 2014). Both are predicted to
503 continue to increase (Alexandratos & Bruinsma, 2012). Currently, the per capita meat
504 consumption in China has exceeded dietary recommendations (Chinese Nutrition Society,
505 2016; Song et al., 2017), bringing about adverse impacts on both the environment and
506 individuals' health (He, Baiocchi, Hubacek, Feng, & Yu, 2018). Studies have shown that
507 reducing meat consumption could lead to a considerable reduction in emissions in China
508 (Song et al., 2017). Therefore, policy tools for promoting changes in food consumption
509 behavior can complement production-based strategies to implement emissions reductions
510 in the Chinese food system.

511

512 **Acknowledgements**

513 Funding for this research was provided by the National Natural Science Foundation
514 of China under Young Scholar Program Grant (71904098) and China Postdoctoral
515 Science Foundation under Chinese Postdoc Scientific Grant (2019M650704).

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