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# 1 **Flood attenuation hydraulics of channel-spanning leaky barriers**

2 Valentine Muhawenimana<sup>a</sup>, Catherine A.M.E. Wilson<sup>a</sup>, Jelena Nefjodova<sup>b</sup>, and Jo Cable<sup>b</sup>

3 <sup>a</sup>Cardiff School of Engineering, Cardiff University, Cardiff, CF24 3AA, UK

4 <sup>b</sup>Cardiff School of Biosciences, Cardiff University, Cardiff, CF10 3AX, UK

5

6 Corresponding author: Valentine Muhawenimana (MuhawenimanaV@cardiff.ac.uk)

7

## 8 **Abstract**

9 Natural flood management aims to enhance natural processes to build resilience into flood  
10 risk management alongside hard engineering methods of flood defence, using ‘soft  
11 engineering’ methods such as leaky barriers. This study addresses the research gaps  
12 pertaining to the backwater effects of different leaky barrier designs and the physical  
13 characteristics that determine the extent of flood attenuation. Porous and non-porous leaky  
14 barrier designs, which varied by longitudinal length, blockage ratio, mode of formation and  
15 log arrangement, were tested in a laboratory flume with a compound channel cross-section.  
16 Flow area afflux (defined as the upstream increase in flow area caused by the leaky barrier  
17 compared to the uniform flow condition without the barrier) and headloss were used to  
18 quantify the backwater effects of the leaky barrier under 80 and 100% bankfull discharges.  
19 For inbank flows, leaky barrier longitudinal length and cross-sectional blockage ratio  
20 governed head loss and drag coefficients, which were higher for non-porous than for porous  
21 leaky barriers. The cross-sectional blockage ratio was the primary factor increasing area  
22 afflux, indicating that leaky barrier designs which maximise channel obstruction will result  
23 in higher flood attenuation. Streamwise length had a limited effect on stage and area afflux,

24 unless it was accompanied by an increase in blockage ratio, especially for the non-porous  
25 structures. The use of uniformly distributed logs resulted in equal or higher area afflux than  
26 the more physically complex barriers that used varied log orientations. The non-porous  
27 structures resulted in at least twice the area afflux compared to their porous counterparts,  
28 indicating that over time, accumulation of organic matter and sediments, which render the  
29 barriers more watertight, will enhance backwater effects, flood storage and downstream  
30 attenuation.

31

32 **Keywords:** Flooding; Backwater; Natural flood management; Leaky barrier; Woody  
33 debris; flood attenuation

#### 34 **Highlights**

- 35 • Experiments tested leaky barriers varying by longitudinal length and blockage ratio
- 36 • Barriers raised the upstream flow area by 0 to 30% of the uniform flow condition
- 37 • Non-porous barriers resulted in at least twice the flow area afflux of porous dams
- 38 • Cross-sectional blockage ratio parameter primarily maximised flood attenuation

#### 39 **1. Introduction**

40 Flooding is one of the most devastating and costly natural disasters (UNISDR 2015). In this  
41 era of ‘global weirding’, globalization and urbanisation, flood risk management has ever  
42 increasing importance to reduce human suffering and economic loss (Carrera et al. 2015;  
43 UNISDR 2015; Pellicani 2018). To meet this challenge, flood management has switched  
44 from defence to risk strategy (Fleming 2002; Pitt 2008; Carrera et al. 2015; UNISDR 2015).  
45 Current solutions use hard engineering measures such as flood walls, channel widening,  
46 flood storage reservoirs, by-pass channels and flood gates, as well as the use of temporary  
47 barriers, but also new ‘soft engineering’ solutions in the form of Natural Flood

48 Management (NFM) in an effort to build resilience into traditional methods (Pitt 2008;  
49 SEPA 2015; Burgess-Gamble et al. 2017; Dadson et al. 2017). NFM is a relatively new  
50 field that uses natural processes at a catchment scale, to reduce runoff, increase ground  
51 infiltration, increase floodplain storage and reduce river velocity, which includes measures  
52 such as earth bunds, ditches and storage ponds, leaky barriers and woodland planting  
53 (Nisbet et al. 2011; SEPA 2015; Burgess-Gamble et al. 2017). Perhaps one of the most  
54 cost-effective measures is the introduction of leaky barriers in middle and upper catchments  
55 that attenuate flood processes by diverting flow onto floodplains (Fig. 1). The resulting  
56 backwater effect enhances floodplain storage and increases ground infiltration, thereby  
57 attenuating surface flows and slowing down flooding downstream (Gippel 1995; Thomas  
58 and Nisbet 2012; Quinn et al. 2013).

59 Manmade, engineered leaky barriers are designed to imitate beaver dams, which impound  
60 rivers and can retain large volumes of water (Nyssen et al. 2011; Wohl 2013; Giriat et al.  
61 2016; Puttock et al. 2017), and log jams or woody debris dams, which are naturally  
62 occurring woody debris accumulations of trees and branches recruited from river banks  
63 that partially or fully obstruct flow (Wallerstein and Thorne 1997; Abbe and Montgomery  
64 1996; Manners et al. 2007; Dixon and Sear 2014). In naturally occurring leaky barriers, key  
65 components act as support structures for the entire barrier, and smaller diameter and shorter  
66 length branches accumulate behind the key members (Wallerstein and Thorne 1997;  
67 Manners et al. 2007; Schalko et al. 2019). River management and restoration schemes have  
68 a complex history whereby woody debris were removed from rivers to improve navigation  
69 or to reduce channel resistance as they were believed to increase flood risk (Young 1991;  
70 Gippel et al. 1992; Shields and Gippel 1995). This, however, was prior to the recognition  
71 that woody accumulations enhance natural processes and help to restore deteriorating  
72 fluvial habitats, by providing refugia and shade for fish, improving water quality, and

73 trapping sediment, organic matter and nutrients (Gippel 1995; Gippel et al. 1996; Roni et  
74 al. 2015; SEPA 2015).

75 Pilot studies have shown that channel spanning leaky barriers can provide flood alleviation  
76 by delaying the flood peak and increasing flood travel time (Gregory et al. 1985; Wenzel et  
77 al. 2014; Burgess-Gamble et al. 2017; Dadson et al. 2017) (Illustrated in Fig. 1). Hydraulic  
78 models in particular, use a hydraulic roughness coefficient to model and calibrate the flow-  
79 obstructing nature of leaky barriers (Kitts 2010; Odoni and Lane 2010; Thomas and Nisbet  
80 2012) even though the intended use of a roughness coefficient is to represent the resistance  
81 to flow applied by the bed, bank and floodplain boundary material (Chow 1959).

82 Furthermore, previous research on woody debris accumulations has focused on the removal  
83 of woody material in river management, rather than the capacity for natural flood  
84 management (Gippel et al. 1992; Shields and Gippel 1995; Manners et al. 2007).

85 Much remains unknown on the hydraulic changes that channel-spanning leaky barriers  
86 make to flow processes by altering the upstream surface water profile, constricting and  
87 diverting flow, and attenuating flow. Experimental studies of channel spanning leaky  
88 barriers have assessed the effects of single woody elements (Young 1991). But this does  
89 not accurately represent the hydraulic complexity of flows through natural and engineered  
90 leaky barriers, which are composed of multiple timbers (Daniels and Rhoads 2007;  
91 Manners et al. 2007; Schalko et al. 2018; Schalko et al. 2019). The process and extent of  
92 these benefits have yet to be effectively quantified; there is currently limited evidence for  
93 leaky barrier design and flood attenuation performance (Burgess-Gamble et al. 2017).

94 Here, we experimentally quantified the backwater flow area rise, head loss and flood  
95 attenuation performance of full-span leaky barriers in relation to the barriers' streamwise  
96 length, cross-sectional blockage area, height in the water column, orientation and angle of  
97 the timbers and barrier configuration, for porous and non-porous conditions. These were

98 tested in an open channel flume for two flow conditions, bankfull (100% bankfull) and near  
99 bankfull (80% bankfull) conditions. Quantitative analysis of the backwater effects of these  
100 leaky barriers allowed us to provide recommendations of key physical attributes for  
101 optimising their performance.

## 102 **2. Methodology**

### 103 **2.1. Flume and uniform flow conditions**

104 Experiments were conducted in an open channel recirculating flume 10 m long, 1.2 m wide,  
105 and 0.3 m deep ( $L_{flume}$ ,  $B_{flume}$ ,  $H_{flume}$ ) set to a 1/1000 bed slope. PVC sheets partitioned the  
106 flume into a symmetric compound channel, with a rectangular main channel of width 0.6 m  
107 ( $B_{mc}$ ) and total floodplain width ( $B_{fp}$ ) of 0.6 m comprised of two 0.3 m wide floodplains on  
108 each side of the main channel. The main channel had a bankfull depth of 0.15 m (Fig. 2A  
109 and B). A pump with  $0.6 \text{ m}^3\text{s}^{-1}$  capacity recirculated the water and controlled the discharge,  
110 while a sharp crested tailgate weir located at the downstream end of the flume maintained  
111 the surface water profile along the flume. An ultrasonic flow meter (TecFluid Nixon  
112 CU100) measured the discharge to a precision of  $\pm 1.5\%$ . A Vernier pointer gauge was used  
113 to measure the flow depth ( $\pm 0.2 \text{ mm}$ ). Prior to installation of the leaky barrier, uniform flow  
114 conditions were established for 80% bankfull flow condition ( $0.8Q_{bk}$ ) and 100% bankfull  
115 flow condition ( $Q_{bk}$ ), relating to discharges ( $Q$ ) of 0.22 and  $0.28 \text{ m}^3\text{s}^{-1}$  and uniform flow  
116 depths  $h_o$  of 0.13 and 0.15 m, respectively (Table 1). Reynolds numbers  $Re = U_o R_o / \nu$ ,  
117 (where the hydraulic radius  $R_o = B_{mc} * h_o / (B_{mc} + 2h_o)$  and kinematic viscosity  $\nu = 1 * 10^{-6} \text{ m}^2\text{s}^{-1}$   
118 <sup>1</sup> for water temperature = 20°C) were 25,600 for  $0.8Q_{bk}$  and 31,100 for  $Q_{bk}$ . These flow  
119 conditions relate to subcritical conditions ( $Fr < 1$ , the Froude number  $Fr = U_o (gh)^{-0.5}$ ,  
120 where  $g$  is the gravity acceleration) and were used throughout all experiments. Subscripts

121 mc and fp refer to the main channel and floodplain respectively, while 1 and 2 refer to  
122 cross-sections upstream and downstream of the leaky barrier respectively (Fig. 2A).

## 123 **2.2. Leaky barrier arrangements**

124 Geometrically arranged leaky barriers were tested through a series of experiments for  
125  $0.8Q_{bk}$  and  $Q_{bk}$  discharges: Linear (Li), Lattice (La), and Alternating (AL) (see Fig. 2). The  
126 barriers were constructed using wooden dowels fixed to the sides of the main channel using  
127 silicone adhesive, with each barrier spanning the full width of the main channel.

128 The geometric arrangements of the Linear barriers consisted of arrays of constant diameter  
129 (25 mm) horizontal logs spanning the full main channel width. The height of Linear  
130 barriers,  $H_s$ , was the elevation from the top log's edge to the bottom log (Fig. 2),  
131 corresponding to the use of 1, 2 or 3 rows of logs in the leaky barrier array (test series A1-  
132 A8 and A25-A32, A9-A16 and A33-A40, A17-A24, A41-A48, respectively). Lattice  
133 arrangements were comprised of logs orientated diagonally at an angle of  $6^\circ$  to the  
134 horizontal (test series B1-B8). Alternating barriers were a hybrid of the Linear and Lattice  
135 barriers, where dowels alternated between a layer of horizontally orientated dowels  
136 followed by a layer of the inclined dowels (test series C1-C8).

137 All logs comprising the barrier were oriented perpendicular to the flow. A vertical gap ( $b_0$ )  
138 of 50 mm, one third of the main channel depth, between the barrier's lowest log and the  
139 channel bed was maintained throughout all the leaky barrier experiments. In previous  
140 studies this unoccupied gap between the riverbed and the barrier serves to allow low flows  
141 to pass unobstructed through the channel and to allow the free movement and passage of  
142 fish (Nisbet et al. 2011; SEPA 2015; Dodd et al. 2016). For the Linear, Lattice, and  
143 Alternating arrangements, the barrier length ( $L_x$ ) in the longitudinal flow direction (XY  
144 plane) was increased by consecutively adding a layer of logs along the channel in the YZ  
145 plane (Fig. 3). Details of the barrier arrangements are given in Table 1 and Figure 2.

146 Finally, for the Linear arrangements (test series A25-A348), we tested a non-porous leaky  
147 barrier by wrapping the porous barrier in plastic film, rendering it impermeable, emulating  
148 the natural clogging and accumulation of sediment, leaves, small branches and other debris  
149 immediately behind the barrier to form a solid non-porous body. When this occurs  
150 naturally, the organic material accumulation decreases water flow paths through the barrier  
151 until it becomes completely saturated and more watertight (Manners et al. 2007; Schalko et  
152 al. 2018; Schalko et al. 2019). Non-porous cases were trialled for the Lattice and  
153 Alternating arrangements, however, due to the inclined logs not fully supporting the plastic  
154 film, it caved in above and below the barrier as it filled with water, and hence these data  
155 were not included in the analysis.

156 The flow cross-sectional blockage ratio  $A_B$  (-), hereafter referred to as blockage ratio, was  
157 defined by the proportion of the flow cross-sectional area occupied by the barrier:

$$158 \quad A_B = \frac{A_p}{A} \quad (1)$$

159 Where the cross-sectional frontal projected area of the logs  $A_p = \sum a_p$  with  $a_p$  as the  
160 projected area of each log, and the flow cross-sectional area  $A = B_{mc} * h_0$ ,  $B_{mc}$  as the main  
161 channel width and  $h_0$  as the uniform flow depth.

### 162 **2.3. Stage measurements, head loss and drag coefficients**

163 Water surface profiles were measured along the main channel centreline using a Vernier  
164 pointer gauge (nearest 0.1 mm) from a distance of 2 m from the upstream inlet until a  
165 distance 8 m from the inlet (2 m upstream of the downstream weir). The spatial resolution  
166 of the water surface level measurements in the longitudinal flow direction was such that  
167 spacing between measurements ranged from 2 mm to 500 mm, with higher spatial  
168 resolution measurements in the vicinity of the leaky barrier, located 5 m from the flume  
169 inlet. Spatial fluctuations in the surface water level in the proximity of the barrier were not



170 included in the calculations of mean flow depth. Spatially-averaged measurements of flow  
 171 depth upstream ( $h_1$ ), from the flume inlet, 3 to 4.68 m, and downstream ( $h_2$ ) 5.5 to 6.5 m  
 172 (Fig. 4) were used for calculating the stage afflux ( $\Delta h$ ), and upstream flow area afflux rise  
 173 ( $\Delta A$ ), which are given by:

$$174 \quad \Delta h = h_1 - h_0 \quad (2)$$

175 and

$$176 \quad \Delta A = A_1 - A_0 \quad (3)$$

177 Where  $A_1$  is the upstream flow area and  $A_0$  is the uniform flow cross section. These  
 178 parameters were normalised by the uniform flow depth and flow area to obtain  $\Delta h/h_0$  and  
 179  $\Delta A/A_0$ , respectively. A volumetric approach to characterise the backwater effect of the  
 180 leaky barriers was adopted to comparatively evaluate the flow area afflux including the  
 181 overbank flows on the floodplains, which due to the compound channel section would not  
 182 be adequately represented by an approach based solely on flow depth.

183 For inbank flow depths of the 80% bankfull flow condition, the head loss ( $h_L$ ) was  
 184 calculated using the Energy equation, where total energy head ( $H$ ) in m is:

$$185 \quad H = z + h + \frac{U_0^2}{2g} \quad (4)$$

186 And head loss is:

$$187 \quad h_L = \Delta H = H_1 - H_2 = \left( \frac{U_1^2}{2g} + \bar{h}_1 \right) - \left( \frac{U_2^2}{2g} + \bar{h}_2 \right) \quad (5)$$

188 Where  $z$  is the flume bed elevation,  $h$  is the flow depth,  $U_0$  is the cross-sectional average  
 189 velocity,  $U_1 = \frac{Q}{\bar{h}_1 * B_{mc}}$  and  $U_2 = \frac{Q}{\bar{h}_2 * B_{mc}}$  are the upstream and downstream cross-sectional  
 190 average velocities respectively. Subscripts 1 and 2 refer to upstream and downstream  
 191 sections from the dam.

192 Empirical formulae for stage rise  $\Delta h$  based on the momentum principle and modelling leaky  
193 barriers as cylindrical obstructions, given by Ranga Raju et al. (1983) and Gippel et al.  
194 (1996) is used to calculate the drag coefficient directly from the measured stage afflux:

$$195 \quad \Delta h = \frac{1}{3}h\{(F_{r2}^2 - 1) + [(F_{r2}^2 - 1)^2 + 3C_D A_B F_{r2}^2]^{0.5}\} \quad (6)$$

196 Where the Froude number downstream of the leaky barrier is  $F_{r2} = \frac{U_2}{(gh_2)^{0.5}}$ ,  $U_2$  is the  
197 mean velocity downstream of the leaky barrier,  $h_2$  is the downstream mean flow depth, and  
198 the blockage ratio  $A_B$  is as shown in Eq. 1.

### 199 **3. Results**

#### 200 **3.1. Longitudinal water surface profiles**

201 Longitudinal water surface profiles for Linear case for porous (test series A1 to A24) and  
202 non-porous (test series A25 to A48) conditions are shown in Figure 3, in comparison to the  
203 uniform flow condition without the barrier. The Linear case is presented here for brevity,  
204 but the profiles were similar for all cases. As would be expected for flow around a  
205 submerged obstacle, the water surface elevation reaches its highest peak immediately  
206 upstream of the leaky barrier then declines over the leaky barrier's top before plummeting  
207 to its lowest elevation immediately downstream of the leaky barrier. The water surface  
208 remains stable approximately 50 cm upstream and downstream of the leaky barrier. The  
209 water surface profiles show the stage afflux due to the leaky barrier and the enhanced rise  
210 due to the "no through" non-porous barrier compared to its "flow through" porous  
211 counterpart (Fig. 3).

#### 212 **3.2. The Effect of the leaky barrier on inbank flow conditions**

213 An increase in head loss was observed for all configurations with increasing  $L_x$  (Fig. 4A).  
214 However, for a given  $A_B$  results revealed that Linear barriers showed higher head loss than

215 Lattice. Alternating barriers showed higher head loss than Lattice, but similar to Linear  
216 barrier, depending on the height of the leaky barrier in the water column, even though  
217 Alternating had much higher  $A_B$  than other configurations for similar  $L_x$  values. For Linear  
218 cases,  $H_s = 95$  mm (test series A9-A16), had a greater blockage ratio than  $H_s = 60$  mm (test  
219 series A17-A24), resulting in about twice the headloss. Based on blockage ratio (Fig. 4B),  
220 the Linear barrier showed higher stage afflux than the Lattice (test series B1-B8) and  
221 Alternating barriers (test series C1-C8) for similar  $A_B$  values.

222 For Linear porous barriers with inbank flows, stage afflux  $\Delta h/h_o$  was higher for 100%  
223 bankfull than for 80% bankfull discharges. As with headloss, due to greater cross-sectional  
224 blockage ratio  $H_s = 95$  mm resulted in higher stage afflux than  $H_s = 60$  mm. Overall,  $\Delta h/h_o$   
225 tended to increase with increasing volume of wood, barrier length and cross-sectional  
226 blockage ratio. Comparison with series A7 ( $Fr = 0.30$ ) from Schalko et al. (2019), chosen  
227 to maintain Froude similarity with the current data, showed a similar trend and range of  
228 resulting stage afflux for a given relative leaky barrier relative solid volume, with  
229 differences likely due to variations in leaky barrier cross-sectional blockage ratio.

230 In terms of hydrodynamic drag, the drag coefficient  $C_D$  increased with longitudinal leaky  
231 barrier length for inbank flows for Linear barriers (Fig. 6A), and increased with cross-  
232 sectional blockage ratio  $A_B$  (Fig. 6B), consistently within the range of  $C_D$  values observed  
233 in previous studies; indicating that leaky barrier obstructions although porous result in drag  
234 coefficients similar to those of single branched and unbranched cylinder obstructions  
235 (Shields and Alonso 2012). The vertical scatter of  $C_D$  values for the same blockage ratio is  
236 attributed to the effect of the leaky barrier longitudinal length, which increased surface  
237 drag, and therefore  $C_D$ , as seen in the data distribution in Fig. 6A.

238 **3.3. Effect of streamwise length, projected area and blockage ratio of the barrier on**  
239 **area afflux**

240 The linear barrier configuration was used to evaluate how the distribution of logs in the  
241 cross-sectional (YZ plane) and longitudinal sectional (XZ plane) planes with increasing  
242 volumes of wood affect area afflux. With the exception of non-porous barrier with the  
243 highest blockage area relating to the barrier with the highest elevation log ( $H_s = 95$  mm), an  
244 increase in the barrier's longitudinal length ( $L_x$ ) resulted in minor increases in area afflux  
245 for the same  $H_s$  setting (Figs. 7A and B). Area afflux increased with increasing flow  
246 blockage ratio of the barrier, in general for the lower blockage ratio barriers when the  
247 barrier frontal projected area doubled the upstream flow area afflux doubled, and this effect  
248 became more enhanced with higher blockage area leaky barriers ( $H_s = 95$  mm), a pattern  
249 that was observed for both 80% and 100% bankfull discharges (Figs. 7C and D). The non-  
250 porous barrier showed considerably higher area afflux than the porous structure (Figs. 7B  
251 and D).

252 Area afflux increased with increasing leaky barrier frontal projected area, corresponding to  
253 the increase in projected area of logs in the cross-sectional YZ plane and blockage ratio of  
254 the main channel cross-section (Figs. 7C and D). For the porous barriers, an increase of log  
255 volume in the longitudinal X direction (XZ plane) created by increasing the length of the  
256 barrier ( $L_x$ ), resulted in minor increases in local losses, as the flow streamlined between the  
257 voids of the barrier. Amongst Linear barriers of the same cross-sectional blockage ratio,  
258 increase of the barrier length resulted in minor increases in area afflux suggesting that  
259 distribution of the logs in the YZ plane is more efficient for blocking the flow and storing  
260 the water upstream of the barrier than increased blockage in the longitudinal direction.  
261 For the non-porous leaky barrier structures, the length of the barrier played a more  
262 noticeable role together with the cross-sectional blockage of the main channel. With no

263 flow through the voids of the leaky barrier, the area afflux was twice that of porous barriers.  
264 This effect was accentuated by increase in the blockage ratio of the barrier, where increase  
265 in the number of logs in the vertical plane, and therefore  $H_s$  led to large increases in area  
266 afflux. Area afflux ranged between 0 and 15% for the porous barrier, and 0 to 28% for the  
267 non-porous barrier. This highlights how accumulation of debris, sediment and smaller  
268 branches may saturate the barrier, with flood attenuation performance improving as the  
269 barrier matures. The spread of area afflux values for the same  $A_B$  (Figs. 7C and D) was due  
270 to the differences in streamwise length of the barriers with similar barrier wood area and  
271 blockage ratios. Again, changes in area afflux due to the streamwise blockage were evident,  
272 however not as noticeable as the area afflux due to the cross-sectional flow blockage ratio.  
273 This indicates that increases in wood volume and solid volume fraction are most beneficial  
274 for flood attenuation when the wood pieces are arranged in a manner that maximises the  
275 channel cross-section blockage area. The 80% bankfull discharge ( $0.8Q_{bk}$ ) often resulted in  
276 higher area afflux than the 100% bankfull discharge ( $Q_{bk}$ ). This is attributed to area afflux  
277 being normalised relative to the flow area associated with uniform flow condition, which  
278 was lower for  $0.8Q_{bk}$  than  $Q_{bk}$ , resulting in a greater proportional increase in area afflux for  
279 the lower discharge condition compared to the higher discharge condition. Furthermore, the  
280 increase in flow area in overbank flooding cases relates to the upstream flow spilling onto  
281 the floodplain, which occurred more often for  $Q_{bk}$  than  $0.8Q_{bk}$ , inducing greater skin  
282 friction losses and main channel/floodplain momentum exchange losses. Results here  
283 indicate that the relative change in upstream flow area compared to the uniform flow  
284 condition due to the leaky barrier's presence, is caused by hydraulic resistance in addition  
285 to compound channel flow processes.

286 **3.4. Effect of leaky barrier frontal projected area and orientation of logs on area**  
287 **afflux**

288 To examine how complexity of the arrangement and distribution of logs affected flood  
289 attenuation performance, Linear, Lattice and Alternating configurations were compared  
290 (Fig. 8). These three configurations had similar volume of wood. More complex, i.e. less  
291 uniformly distributed log arrangements, of Lattice and Alternating barriers resulted in  
292 increased cross-sectional blockage area, but similar head loss compared to the  
293 geometrically arranged Linear barriers (see Fig. 2). As the barrier becomes longer, at higher  
294 blockage ratio this effect is more pronounced. For overbank flows, the Alternating barrier  
295 had overall lower area afflux than Linear for the bankfull discharge despite having a higher  
296 blockage ratio.

297 **4. Discussion**

298 The hydraulic effects of various designs of porous and non-porous engineered leaky  
299 barriers were studied by varying their physical characteristics of longitudinal length,  
300 blockage ratio, mode of formation and log arrangement. Overall, stage and area afflux  
301 increased with increasing leaky barrier longitudinal length and flow blockage ratio.  
302 However, unless accompanied by increases in barrier cross-sectional blockage area,  
303 increase in the barrier's length resulted in minor increases in stage and area afflux and head  
304 loss. Furthermore, our results highlighted that the cross-sectional flow blockage of the main  
305 channel (YZ plane) is a more important parameter than channel blockage in the longitudinal  
306 direction (XY plane) as area afflux was highest for arrangements where  $H_s$ ,  $A_p$  and  $A_B$  were  
307 highest for both porous and non-porous barriers. The flow attenuation performance of the  
308 leaky barrier was dependent on cross-sectional blockage ratio of the flow or the projected

309 area of the barrier, and the distribution of logs, the mode of formation of the barrier, and the  
310 height of the leaky barrier in the water column.

311 Alternating and Lattice barrier configurations use different angles of orientations, making  
312 them more physically complex than the uniformly distributed arrays of the Linear  
313 configuration. These complex barriers resulted in area afflux less than or equal to the area  
314 afflux of Linear barriers. This suggests that barrier complexity is not necessarily an  
315 indicator of improved flood attenuation, since Linear barriers result in similar, if not  
316 greater, area afflux than more complex barriers, provided that length and blockage ratio  
317 were maximised. Hence, it might be most beneficial in the design of engineered leaky  
318 barriers to distribute logs in such a way that the greatest cross-sectional blockage area (YZ  
319 plane) is achieved, maximising  $A_B$  and consequently area afflux and head loss. As barriers  
320 mature and becomes more water-tight, with limited flow through due to the accumulation  
321 of branches, leaves and sediments (Wallerstein and Thorne 1997; Manners et al. 2007;  
322 Thomas and Nisbet 2012; Schalko et al. 2018), their attenuation performance will improve  
323 and differences amongst different barrier designs will likely converge.

324 Flow depth and velocity differences between the main channel and floodplains contribute to  
325 momentum exchange and friction losses for overbank flows (Knight and Demetriou 1983;  
326 Shiono and Knight 1991). Additionally, higher flow depth ratio between the main channel  
327 and floodplain, results in a higher ratio of the respective friction factors (Shiono and  
328 Knight, 1991). However, observed variations in afflux for 80% and 100% bankfull  
329 discharges were attributed to the leaky barrier presence contributing more to the increase in  
330 flow area relative to initial uniform flow conditions than the friction and momentum  
331 exchange for overbank flow, which occurred more frequently in the 100% bankfull cases.  
332 Measurements of the hydrodynamic flow field in the presence of leaky barrier could further  
333 explain this phenomenon.

334 The backwater effect and increased upstream flow depth implies decreased local velocities,  
335 which would be favourable to fish seeking refuge areas (Wallerstein and Thorne 1997;  
336 Shields et al. 2004; Manners and Doyle 2008; Floyd et al. 2009). Although a vertical gap  
337 was left below the barrier for base flow and the free passage of fish, the flow through this  
338 gap will likely be high due to the flow acceleration induced by the cross-sectional  
339 constriction of the barrier and hence might form a velocity barrier to fish during high  
340 discharge flood events (Castro-Santos 2005). This flow acceleration is also likely to result  
341 in high shear stresses, which will exacerbate local scour on the channel bed below and  
342 immediately downstream of the barrier, and the subsequent changes in bed level might  
343 influence runoff attenuation of the barrier (Abbe and Montgomery 1996; Wallerstein and  
344 Thorne 1997; Manners et al. 2007; Quinn et al. 2013; Schalko et al. 2019). In addition to  
345 water quality benefits from trapping sediments and pollutants, such geomorphological  
346 effects of leaky barriers are postulated to enhance fish habitat heterogeneity and their  
347 creation might result in ecosystem services benefits by providing refuge areas and trapping  
348 nutrients (Abbe and Montgomery 1996; Floyd et al. 2009; Dadson et al. 2017; Burgess-  
349 Gamble et al. 2017; SEPA 2015).

350 Leaky barrier failures may contribute to wood load transport in the channels, which can  
351 result in increased blockage and flood risk downstream, particularly during flood events  
352 (Thomas and Nisbet 2012; Burgess-Gamble et al. 2017). Use of anchoring methods to  
353 ensure the longterm stability of leaky barriers can alleviate this issue (D'Aoust and Millar  
354 2000; Shields et al. 2004); although further research regarding the design, structural  
355 integrity and failure risk posed by leaky barriers is necessary and recommended.

356 For flood modelling applications, a relationship between discharge, leaky barrier  
357 characteristics and area afflux rise could be established using experimental or numerical  
358 methods, based on the findings shown in the current experiments regarding the parameters



359 which maximise area afflux rise and flood attenuation for leaky barriers. The backwater  
360 effect, floodplain water storage and increased infiltration directly alter groundwater table  
361 and therefore affecting flood routing outcomes. Furthermore, in a catchment-based  
362 approach, evaluating series of multiple leaky barriers on a channel and their cumulative  
363 flood attenuation effect could provide further understanding of the potential and practice of  
364 using leaky barriers in NFM.

## 365 **5. Conclusions**

366 The hydraulics of flood attenuation performance of leaky barriers were studied by  
367 evaluating the backwater effects of different leaky barrier designs under 80% and 100%  
368 bankfull flow conditions. Leaky barrier designs varied by physical characteristics of  
369 streamwise length, cross-sectional blockage ratio, and mode of formation and distribution  
370 of components in arrays of horizontal or inclined members. Cross-sectional blockage ratio  
371 governed stage and area afflux, and hydrodynamic drag more than the blockage in the  
372 longitudinal direction for all array configurations of leaky barriers. Linear non-porous  
373 barriers with highest blockage ratio, also showed greater increases in area afflux with  
374 increasing leaky barrier longitudinal length than other linear leaky barrier cases. Non-  
375 porous representations of leaky barrier showed at least twice the area afflux compared to  
376 porous barriers, indicating that as the engineered barriers become more watertight through  
377 the accumulation of organic matter and debris, their flood attenuation performance will  
378 improve. However, for inbank flows, head loss and stage afflux were positively correlated  
379 with the wood volume composing the leaky barrier. The cross-sectional blockage ratio of  
380 the channel occupied by the barrier was the most primary factor that influenced area afflux,  
381 and hence, distributing logs to maximize channel obstruction will improve flood  
382 attenuation.

383

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387

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516 **Figure captions**

517 Fig. 1. Diagram illustrating the flow attenuation process of leaky barriers where flow is  
518 temporally stored upstream of the barrier, spilling onto floodplains and increasing ground  
519 water infiltration and the resulting reduction of downstream flow depths.

520 Fig. 2. Diagrams of leaky barrier configurations, geometry and arrangements showing (A)  
521 longitudinal elevation view of the experimental setup, (B) cross-sectional view of the  
522 symmetrical compound open channel, and Linear (test series A) configuration, which is  
523 shown as longitudinal elevation in (C). (D) and (E) show the distribution of logs  
524 comprising the Alternating (test series C) configuration. A gap ( $b_0$ ) was maintained  
525 between the lowest log of the barrier and the flume bed to allow potential fish passage. The  
526 dotted and dashed lines circles in (A) indicate the direction of removal of the logs as the  
527 barrier was deconstructed from  $8 \cdot D_i$  (200mm) to  $1 \cdot D_i$  (25 mm).  $L_x$  denotes the length of  
528 the barrier in the longitudinal direction. Diagrams not to scale.

529 Fig. 3. Longitudinal surface water profiles: flow depth  $h$  (mm) relative to longitudinal  
530 distance  $X$ (m) for the 'Linear' ( $H_s=95$ mm) (test series A24 and A48) with longitudinal  
531 length  $L_x=200$  mm for the 100% bankfull  $Q_{bk}$  discharge. The grey rectangular shape  
532 outlines the location of the non-porous barrier. Flow direction is from left to right.

533 Fig. 4. Effect of 'Linear' (test series A), 'Lattice'(test series B), and 'Alternating' (test  
534 series C) leaky barrier design on head loss  $h_L$ , showing the performance of a similar  
535 longitudinal lengths  $L_x/D_i$  (A), and flow blockage ratios  $A_B$  (B). All data points shown here  
536 are for the porous barrier setup with inbank flows. These show effect of configuration,  
537 geometry, angle of orientation and arrangement, as well as the resulting projected areas and  
538 blockage ratios on the performance of the leaky barrier.



539 Fig. 5. Stage afflux of Linear porous leaky barriers with  $H_s = 60$  and  $95$  mm and  $L_x/D_i = 4$ ,  
540 5, 6, 7 and 8 with inbank flows under 80% and 100% bankfull discharges ( $0.8Q_{bk}$  and  $Q_{bk}$ ,  
541 respectively). From Schalko et al. (2019), based on Froude number similar to the current  
542 experiments, Series A7 with  $Fr = 0.30$  ( $Q = 11 \text{ L s}^{-1}$ ,  $h_o=100$  mm,  $U_o = 0.30 \text{ ms}^{-1}$ ) was  
543 chosen for comparison.  $\Delta h$  is the stage afflux upstream of the barrier, shown relative to the  
544 uniform flow depth  $h_o$ .  $V_s$  is the solid volume of wood and  $B_{mc}$  is the main channel width.

545 Fig. 6. (A) Drag coefficient ( $C_D$ ) of leaky barrier in relation to non-dimensional  
546 longitudinal length ( $L_x/D_i$ ) for porous and non-porous Linear dams with inbank flows,  
547 showing the variation of drag coefficient with  $L_x/D_i$  for the 80% bankfull discharge.  $H_s =$   
548 25, 60, and 95 mm correspond to the barrier height. (B) Variation of  $C_D$  values with  
549 blockage ratio in comparison to literature data which used large wood as presented in  
550 Shields and Alonso (2012).

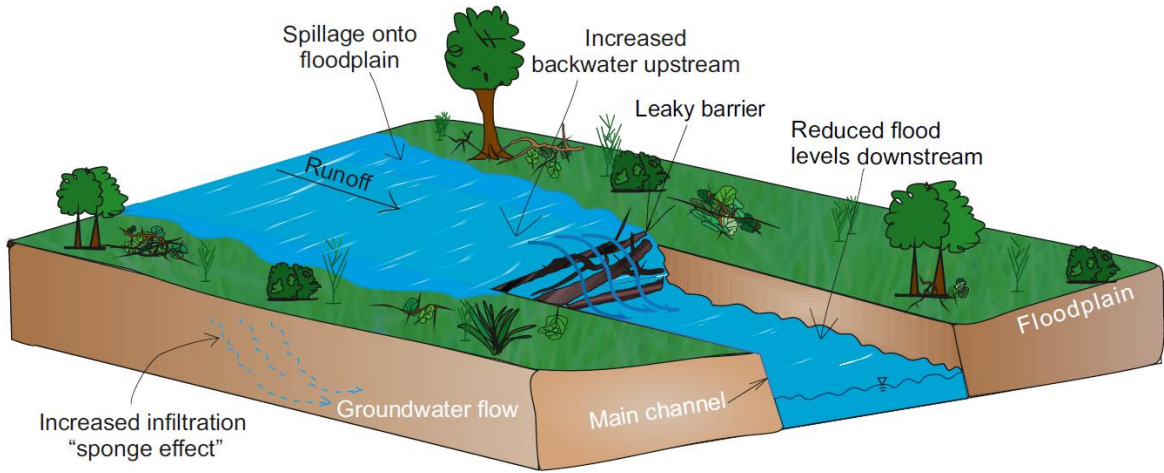
551 Fig. 7. Effect of barrier streamwise length  $L_x/D_i$  (A and B), the cross-sectional flow  
552 blockage ratio due to the barrier  $A_B$  (-) (C and D) on area afflux ( $100x \Delta A/A_o$ ) for barrier  
553 heights  $H_s = 25, 60$  and  $95$  mm for the 'Linear' barrier configurations under 80% and 100%  
554 bankfull discharges ( $0.8Q_{bk}$  and  $Q_{bk}$ , respectively). Standard error for flow area afflux was  
555 0.7% and 1.5% for porous (A and C) and non-porous (B and D) barriers, respectively.

556 Fig. 8. Comparison of area afflux ( $100x \Delta A/A_o$ ) for porous Linear (series A1-A24), Lattice  
557 (test series B1-B8) and Alternating (Series C1-C8) configurations under 80% and 100%  
558 bankfull discharges ( $0.8Q_{bk}$  and  $Q_{bk}$ , respectively) for specific barrier lengths  $L_x/D_i = 1$  to 8.

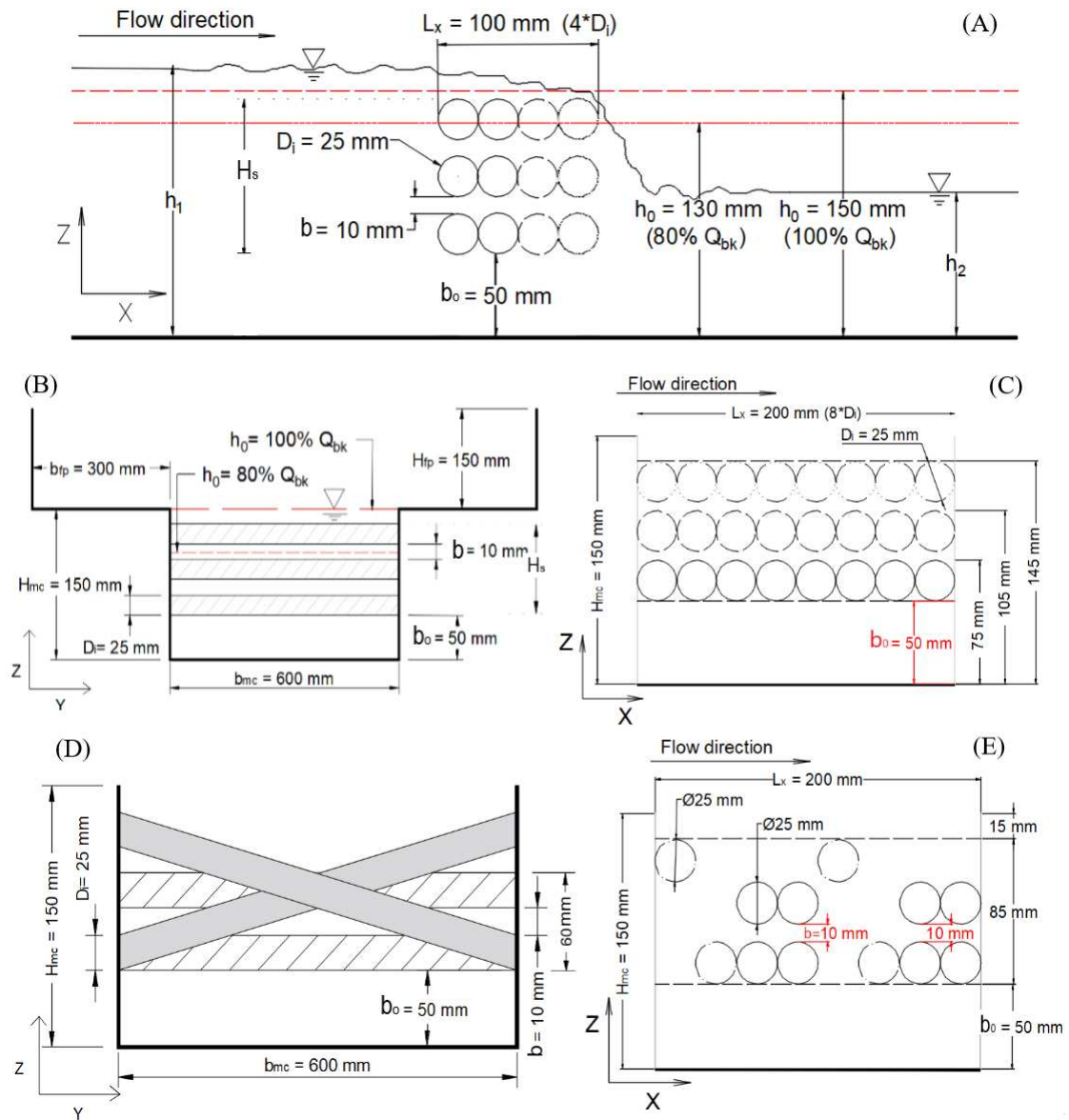
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561 Figure 1.



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563 Figure 2.



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565 Figure 3.

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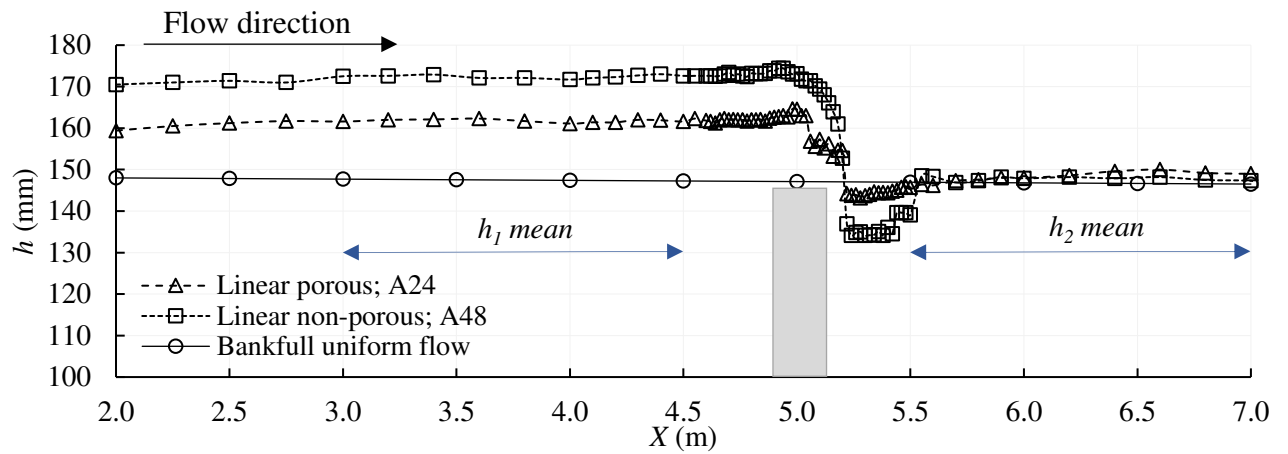
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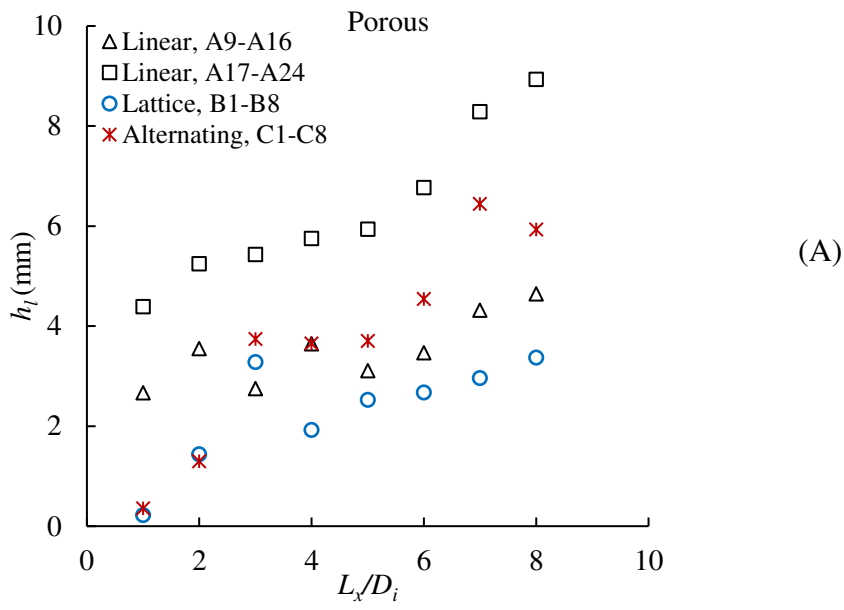
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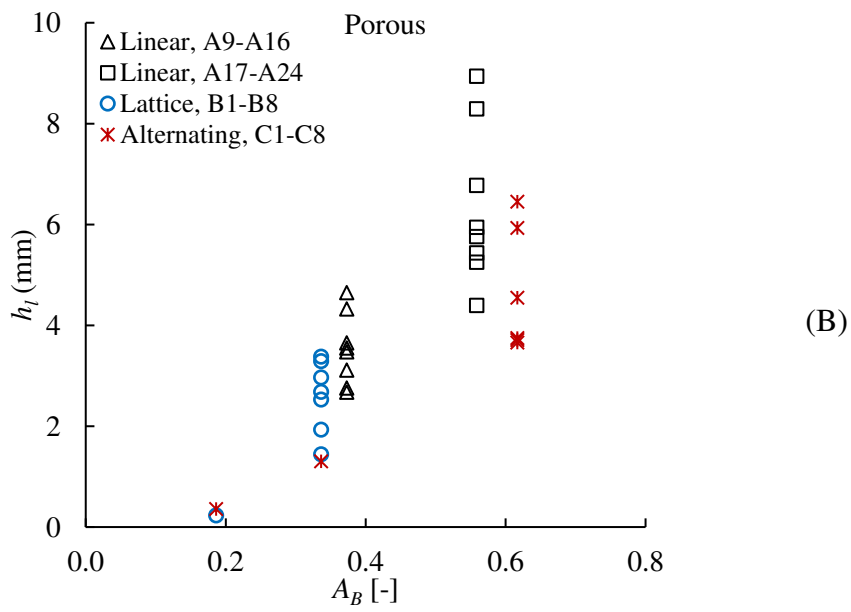
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575 Figure 4.



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581 Figure 5.

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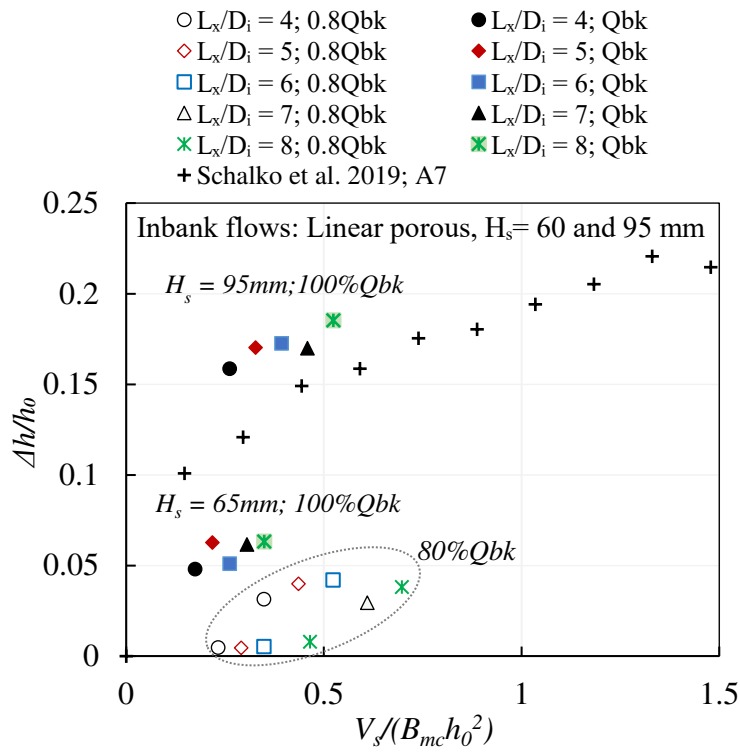
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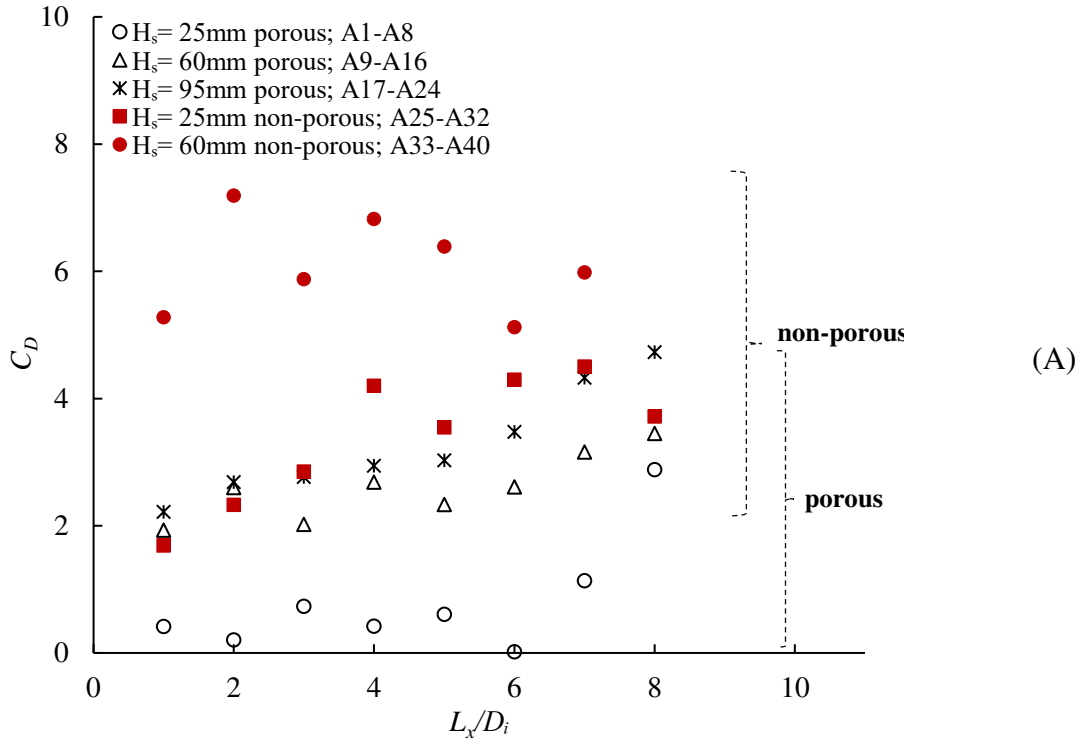
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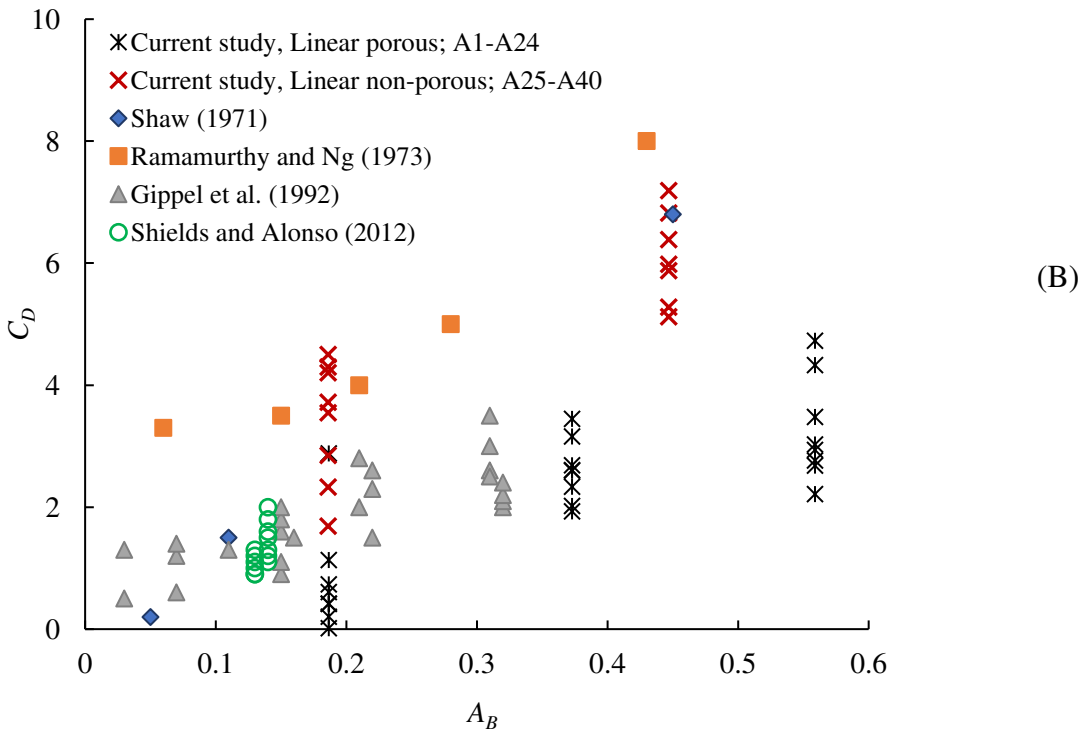
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595 Figure 6.



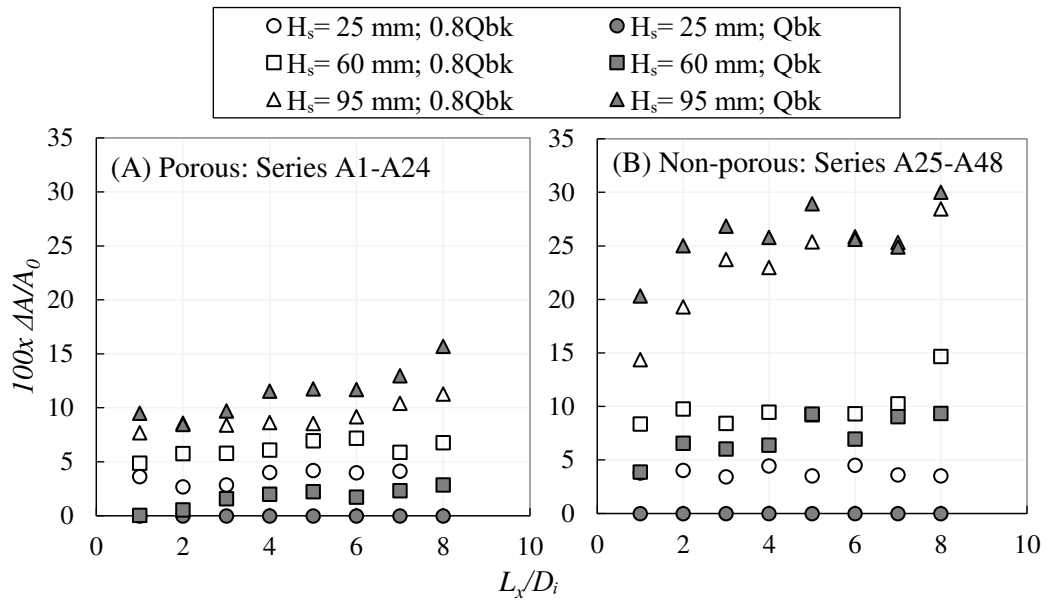
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598 Figure 7.

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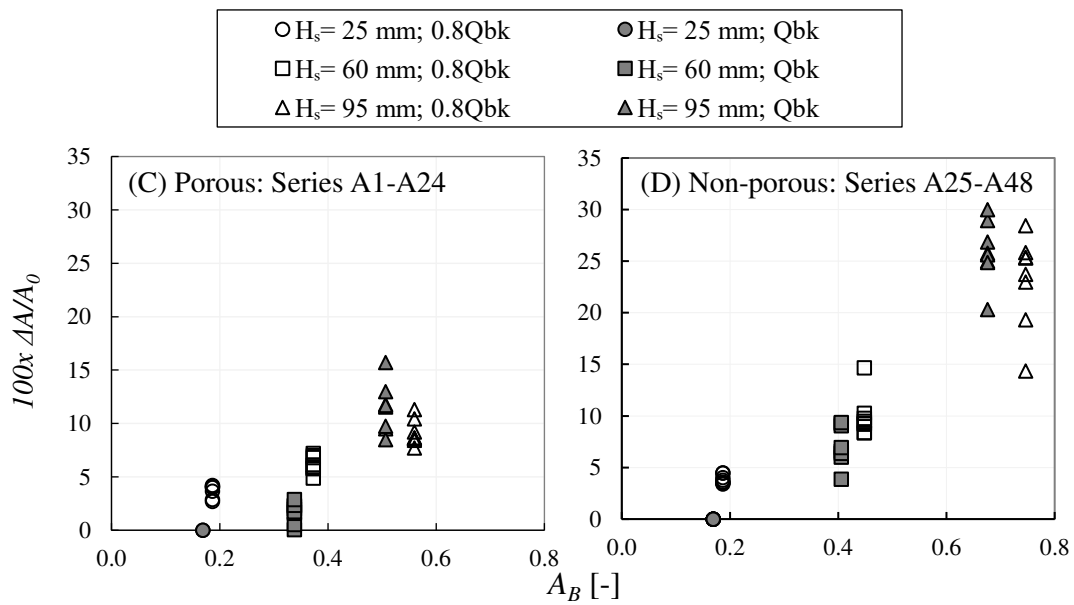
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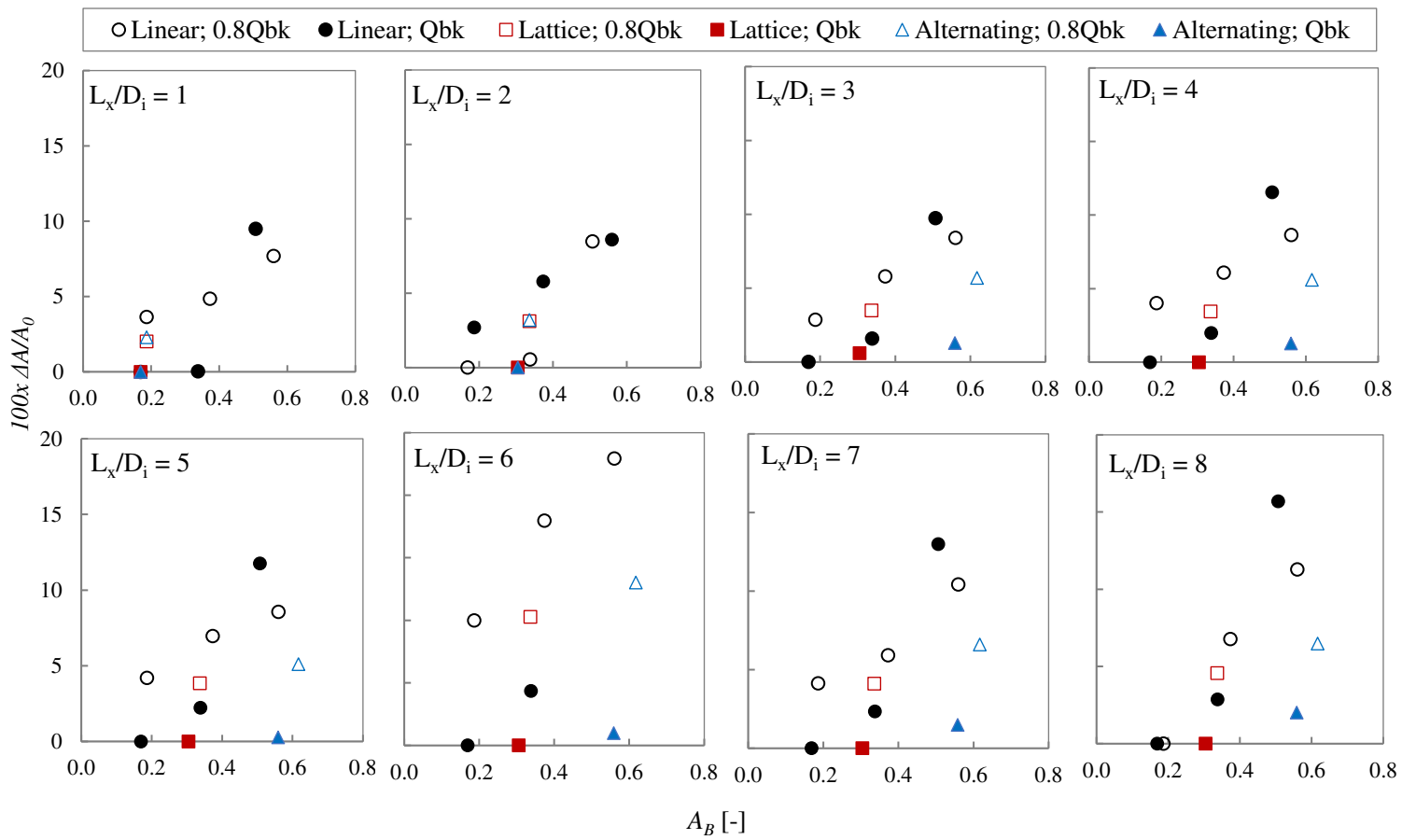
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612 Figure 8.

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615 **Table caption**

616 Table 1. Test programme for Series A, B and C. All leaky barriers began at 5 m  
 617 downstream from the flume inlet. For all arrangements, there are no gaps between the logs  
 618 in the longitudinal flow direction. A vertical gap,  $b_0$ , of 50 mm was maintained for all tests.  
 619 Illustrations of A17-A24 and C1-C8 are shown in Figs. 2B and C, and Figs. 2D and E,  
 620 respectively. The uniform flow discharges of 22 and 28  $\text{Ls}^{-1}$  correspond to Reynolds  
 621 numbers of 25,600 and 31,100, respectively.

Test series	Arrangement	Test effect	Q [ $\text{Ls}^{-1}$ ]	$\text{Fr}_0$ [-]	$h_0$ [mm]	$H_s$ [mm]	$L_x$ [mm]	i [-]	$D_i$ [mm]	$b_z$ [mm]
A1-A8	Linear	Porous	22, 28	0.29,0.31	130,150	25	25,50,75,100,125,150,175,200	1	25	10
A9-A16	Linear	Porous	22, 28	0.29,0.31	130,150	60	25,50,75,100,125,150,175,200	1	25	10
A17-A24	Linear	Porous	22, 28	0.29,0.31	130,150	95	25,50,75,100,125,150,175,200	1	25	10
A25-A32	Linear	Non-porous	22, 28	0.29,0.31	130,150	25	25,50,75,100,125,150,175,200	1	25	10
A33-A40	Linear	Non-porous	22, 28	0.29,0.31	130,150	60	25,50,75,100,125,150,175,200	1	25	10
A41-A48	Linear	Non-porous	22, 28	0.29,0.31	130,150	95	25,50,75,100,125,150,175,200	1	25	10
B1-B8	Lattice	Porous	22, 28	0.29,0.31	130,150	85	25,50,75,100,125,150,175,200	1	25	10
C1-C8	Alternating	Porous	22, 28	0.29,0.31	130,150	85	25,50,75,100,125,150,175,200	1	25	10

\*For B1-B8 and C1-C8 this is the variation in barrier height in the cross-sectional flow area, see Fig 2(C)

622