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1	The soluble sperm factor that activates the egg: PLCzeta and beyond.
2	
3	Karl Swann
4	
5	School of Biosciences
6	Cardiff University.
7	The Sir Martin Evans Building
8	Museum Avenue
9	Cardiff CF10 3AX
10	Swannk1@cardiff.ac.uk
11	
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#### Abstract

18PLCzeta(ζ) initiates Ca2+ oscillations and egg activation at fertilization in mammals, but19studies in mouse eggs fertilized by PLCζ knockout (KO) sperm imply that there is another20slow acting factor causing Ca2+ release. Here, I propose a hypothesis for how this second21sperm factor might cause Ca2+ oscillations in mouse eggs.

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23 Egg activation is caused by increases in cytosolic Ca<sup>2+</sup>, and in mammalian eggs (MII oocytes) the 24 sperm triggers a prolonged series of repetitive transients, or oscillations, in the cytosolic free Ca<sup>2+</sup> 25 concentration (Swann & Lai 2016, Sanders & Swann 2016). These Ca<sup>2+</sup> oscillations are driven by 26 increased inositol 1,4,5-trisphosphate (InsP<sub>3</sub>) production which causes cycles of Ca<sup>2+</sup> release from 27 the InsP<sub>3</sub>-receptor (IP<sub>3</sub>R). Since the 1990s we have known that mammalian sperm contain a 28 soluble protein 'sperm factor' (or sperm-oocyte-activating-factor- SOAF), that can trigger Ca<sup>2+</sup> 29 oscillations after gamete fusion (Swann and Lai, 2016). Its existence inside the sperm can explain why intracytoplasmic sperm injection (ICSI) mimics fertilization in causing Ca<sup>2+</sup> oscillations in 30 31 mouse and human eggs (Jones 2018, Kurokawa & Fissore 2003). It is now widely acknowledged 32 that this sperm factor in mammals is the sperm-specific protein phospholipase PLCzeta( $\zeta$ ) (Swann 33 & Lai 2016, Jones 2018). Key evidence includes the finding that microinjection of PLC C cRNA or 34 protein causes prolonged sperm-like Ca<sup>2+</sup> oscillations in all mammalian eggs studied (Swann & Lai 35 2016), and that functionally disruptive mutations in PLC $\zeta$  alone lead to male factor infertility and egg activation failure in humans in IVF and ICSI (Escoffier et al. 2016). 36

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Recently two groups have reported the phenotype of PLC $\zeta$  knock out (KO) mice. They both found that injecting PLC $\zeta$  KO mouse sperm into eggs (hence ICSI) fails to trigger any Ca<sup>2+</sup> oscillations (Hachem *et al.* 2017, Nozawa *et al.* 2018). This shows that PLC $\zeta$  accounts for the Ca<sup>2+</sup> signals and egg activation after ICSI. However, during *in vitro* fertilization (IVF) and mating with PLC $\zeta$  KO males some eggs are activated at fertilization and embryo development still occurs (Hachem *et al.* 2017, Nozawa *et al.* 2018). Success rates of IVF are lower and litter sizes are smaller with PLC $\zeta$ KO males but the result contrasts with what happens with ICSI. The reason why IVF leads some

eggs to activate with PLC $\zeta$  KO sperm is because there are ~3 large Ca<sup>2+</sup> oscillations that occur 45 46 about 40 mins later than expected when compared to wild type sperm (Nozawa et al. 2018). The existence of these delayed Ca<sup>2+</sup> oscillations with PLCζ KO sperm has been reproduced in my lab 47 (Fluks, Parrington and Swann unpublished). The late Ca<sup>2+</sup> oscillations with PLC<sup>2</sup> KO sperm lead to 48 49 delayed egg activation, including cortical granule exocytosis which is required to block extra sperm entry (Nozawa et al. 2018). This means that many such zygotes fail to develop because they are 50 polyspermic. Overall, the data suggest that PLC $\zeta$  initiates the Ca<sup>2+</sup> oscillations at fertilization, 51 accounting for most of the Ca<sup>2+</sup> spikes, but that during IVF the sperm has another mechanism for 52 promoting later Ca<sup>2+</sup> oscillations in the mouse (Jones 2018). Two characteristics of this secondary 53 54 mechanism is that it is delayed after gamete fusion, and that it is active in IVF and not with ICSI. 55

56 In looking for PLCζ-independent mechanisms for Ca<sup>2+</sup> oscillations we need to consider previous 57 data gather from mammalian zygotes. First, all previous studies have shown that without spermegg membrane fusion in IVF there are no Ca<sup>2+</sup> oscillations (Swann & Lai 2016). So, it's reasonable 58 to assume that a second mechanism for Ca<sup>2+</sup> release involves a sperm factor that is either soluble 59 60 and enters the egg by cytosolic diffusion, or that it is introduced by the sperm membrane into the 61 egg plasma membrane by two-dimensional diffusion. For either option I will describe it as a sperm 62 factor. It has been suggested that the PLCZ-independent sperm factor may be 'cryptic' because it is only apparent when PLCζ is absent (Jones 2018). Whilst this is true from an observational point 63 64 of view, it does not mean it is inactive during normal fertilization. In fact, it is difficult to see how a 65 second factor could only arise when PLCζ was not present. As far as we know PLCζ is only active in eggs, so a lack of PLC would not be evident until after gametes have fused. Clearly, gene 66 67 expression in spermatogenesis cannot compensate for future events, hence the second factor 68 should operate in IVF with wild type sperm. In hindsight we can see evidence of a secondary mechanism because it was previously found that ICSI causes a shorter duration of Ca<sup>2+</sup> 69 70 oscillations than IVF in mouse zygotes<sup>5</sup>. If the secondary factor operates in normal IVF it also gives 71 it a selective advantage for it to persist in the presence of PLCZ. One attractive idea is that this

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factor is a 'primitive' factor from a role in egg activation in species earlier in the vertebrate lineage
 (Nozawa *et al.* 2018).

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Previous studies restrict the options for how any factor can trigger Ca<sup>2+</sup> oscillations in the absence 75 76 of PLC $\zeta$ . For example, one could propose that the second factor promotes Ca<sup>2+</sup> influx into the egg, 77 perhaps by the insertion of sperm derived Ca<sup>2+</sup> channels into the egg membrane. However, there are many ways to increase Ca<sup>2+</sup> influx into unfertilized mammalian eggs and none of them trigger 78 Ca<sup>2+</sup> oscillations without PLC<sup>2</sup>. An updated version of the 'Ca<sup>2+</sup> conduit' idea remains implausible 79 (Swann & Lai 2016). The second factor cannot work via messengers such as NAADP. or cADPR. 80 since these also fail to trigger Ca<sup>2+</sup> oscillations in mouse eggs (Swann & Lai 2016). A sperm protein 81 called PAWP has been suggested to trigger Ca<sup>2+</sup> oscillations in eggs, but the key data on PAWP is 82 not reproducible (Sanders and Swann, 2016). Furthermore, PAWP is supposed to cause Ca<sup>2+</sup> 83 oscillations during ICSI, but we now know that PLC<sub>2</sub> accounts for these Ca<sup>2+</sup> oscillations. Another 84 85 study has suggested that extramitochondrial citrate synthase is the second sperm factor in 86 mammals (Kang et al. 2020). However, the phenotype of extramitochondrial citrate synthase KO sperm at fertilization is apparently the same as PLCζ KO sperm, with delayed Ca<sup>2+</sup> oscillations 87 (Kang et al. 2020). This result is difficult to rationalize because these citrate synthase KO sperm 88 still contained PLC<sup>2</sup> and the initial Ca<sup>2+</sup> oscillations should not be delayed. In addition, we have 89 found that citrate synthase protein injection into mouse eggs does not trigger Ca<sup>2+</sup> release 90 91 (Sanders and Swann, unpublished observations). From what we know about how to cause Ca<sup>2+</sup> 92 oscillations in mouse eggs, we can conclude that the second factor is either making InsP<sub>3</sub>, or else 93 directly stimulating the IP<sub>3</sub>Rs.

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If the second sperm factor generates  $InsP_3$  this implicates another PLC. There are many other PLC isoforms in mammalian sperm (Parrington *et al.* 2002). However, the other PLCs are about two or three orders of magnitude less active in causing Ca<sup>2+</sup> release than PLC $\zeta$  in eggs (Swann and Lai 2016; Mehlmann *et al.* 2001). To be active in eggs they would have to be expressed at >2pg per sperm, and yet there is only 40pg of total protein in a mouse sperm (Mehlmann *et al.* 2001). The

100 second sperm factor could stimulate an egg membrane derived PLC, but this is not consistent with 101 some previous findings. For example, if eggs are imaged using GFP tagged C1 domains, there is 102 no measurable diacylglycerol increase in the plasma membrane for at least two hours of sperm induced Ca<sup>2+</sup> oscillations in mouse eggs, despite the ability of this probe to respond to other stimuli 103 104 (Yu et al. 2008). Hence, it appears that a plasma membrane derived PLC activity is not stimulated 105 in fertilizing eggs. This is not an issue for PLC<sup>2</sup> which is the only mammalian PLC without a PH 106 domain and it binds to PIP<sub>2</sub> in intracellular vesicles (Fig 1) and not the plasma membrane (Swann & Lai 2016). However, conventional PLCs ( $\beta$ ,  $\gamma$  or  $\delta$ 1 class) locate to the plasma membrane with a 107 108 PH domain, and one would expect some diacylolycerol increase to occur if they were active at 109 fertilization. If the second sperm factor makes InsP<sub>3</sub> then it probably needs to stimulate another 110 unconventional PLC that is localized on vesicles in the egg. It is not clear whether any other PLCs 111 would match the unusual localization pattern of PLC $\zeta$ , but it might be worth investigating the 112 localization of the epsilon or eta class of PLCs in eggs.

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In the absence of data on other PLCs I can suggest an alternative idea, that the second sperm 114 factor acts to sensitize the IP<sub>3</sub>R. Strontium ions or thimerosal both stimulate Ca<sup>2+</sup> oscillations in 115 116 mouse eggs, and they both appear to act directly to sensitize the IP<sub>3</sub>R to release Ca<sup>2+</sup> (Swann & 117 Lai 2016). The schematic in Fig.1 shows the second factor affecting IP<sub>3</sub>R induced Ca<sup>2+</sup> release following PLC $\zeta$  entry. If the target is the IP<sub>3</sub>R, or vesicular PIP<sub>2</sub>, the protein factor is likely to be 118 119 soluble and diffuse into the cytosol. To explain why PLCζ independent Ca<sup>2+</sup> release is not evident 120 with ICSI, it is possible that the second factor is released from the sperm during their preparation when the sperm is damaged, or when the head is removed. Damaging the sperm membrane is 121 122 standard practice before ICSI. Plasma membrane damage during cryopreservation may also lead 123 to the loss of the second factor from sperm, which could explain why there was a lack of Ca<sup>2+</sup> 124 oscillations with most cryopreserved PLCζ KO sperm in IVF (Hachem et al. 2017). The other 125 feature of the second sperm factor is a delayed action. It could be that the synthesis of a second sperm factor protein from RNA in the sperm could account for the >40 min delay before Ca<sup>2+</sup> 126 127 transients (Jones 2018). However, the total amount of RNA in a single mouse sperm (0.1pg) is

141	Declaration of Interests
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138	species that do not appear to use PLC $\zeta$ to activate the egg (Swann & Lai 2016).
137	second factor may only be evident in mouse and rat eggs, or possibly in some non-mammalian
136	PLCζ lead to male factor infertility with both normal conception and ICSI (Escoffier <i>et al.</i> 2016). The
135	strontium medium (Lu et al. 2018). This could explain why inactivating mutations in human
134	human eggs are less sensitive to Ca <sup>2+</sup> release, and for example do not oscillate in response to
133	indirectly to sensitize IP $_3$ Rs. The second factor may not be active in human fertilization since
132	could be because this protein needs to first diffuse and equilibrate throughout the egg and then act
131	A more realistic idea is that secondary factor is another protein delivered by the sperm. The delay
130	have to be >100 times more potent than PLC $\zeta$ which is active at concentrations of less than 10nM.
129	RNA is made up of several hundred varieties. Any protein synthesized from sperm RNA would
128	similar to the amount of PLC $\zeta$ RNA injected into an egg to cause Ca <sup>2+</sup> oscillations, and yet sperm

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#### 147 **Author Contribution.**

148 KS conceived the ideas and wrote the paper.

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## 204 Figure Legend.

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- 206 Fig.1. A schematic representation of the hypothesis for PLC $\zeta$  and a second factor may act to
- 207 cause  $Ca^{2+}$  oscillations in fertilizing mouse eggs.

