

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository: <https://orca.cardiff.ac.uk/id/eprint/138270/>

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Sartorio, Francesca S. , Lopes Simoes Aelbrecht, Patricia , Kamalipour, Hesam and Frank, Andrea 2021. Towards an antifragile urban form: a research agenda for advancing resilience in the built environment. URBAN DESIGN International 26 , pp. 135-158. 10.1057/s41289-021-00157-7

Publishers page: <http://dx.doi.org/10.1057/s41289-021-00157-7>

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See <http://orca.cf.ac.uk/policies.html> for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



This is a pre-copy-editing, author-produced PDF of an article accepted following peer review for publication in the COVID-19 Special Issue of Urban Design International (2020).

Towards an antifragile urban form: a research agenda for advancing resilience in the built environment

Francesca S Sartorio (corresponding author), Cardiff School of Geography and Planning,
Cardiff University, Glamorgan Building, King Edward VII Avenue, Cardiff CF10-3WA,
SartorioF@cf.ac.uk

Patricia Aelbrechts, Cardiff School of Geography and Planning, Cardiff University

Hesam Kamalipour, Cardiff School of Geography and Planning, Cardiff University

Andrea Frank, School of Geography, Earth and Environmental Sciences, University of
Birmingham

Abstract:

This paper aims to initiate reflections on what an antifragile (Taleb, 2012) built environment might look like by furthering the debate on dynamic non-equilibrium resilience, specifically in terms of scale, urban morphology and social life in urban areas. It will do so by presenting a critical review of relevant literature on resilience in the built environment and linking it to what we know so far about the physical (i.e. geographical, morphological, etc) and socio-cultural conditions that have likely limited the spread of COVID-19 while maintaining quality in urban space in early 2020. As the current pandemic is sharpening our understanding of both the link between local and global action and the power encompassed in the exercise of professional and technical knowledge and practice, the paper concludes with (i) speculations on how the current crisis and its management (i.e. lockdown and social distancing measures in public space' use) might lead to radical changes to the way we think of, and design the conditions for, urban public life and sociability; and with (ii) an agenda for further research on what role urban forms and uses play in speeding or slowing viral spread in different contexts.

Keywords: resilience, COVID-19, antifragility, urban form, research agenda

Towards an antifragile urban form: a research agenda for advancing resilience in the built environment

'At the root of every pandemic is an encounter between a disease-causing microorganism and a human being. But that encounter, along with the events that lead up to it and the events that ensue from it, is shaped by numerous other events taking place at the same time – as well as by the weather, the price of bread, and ideas about germs, white men and jinns. The pandemic in its turn affects the price of bread, ideas about germs, white men and jinns – and sometimes even the weather. It is a social phenomenon as much as it is a biological one; it cannot be separated from its historical, geographical and cultural context.'

Spinney, 2017:5

Introduction

The primary aim of this paper is to develop a research agenda to understand how the urban form, and its social uses, likely support or slow down virus transmission in the 2020 COVID-19 pandemic. Ensuing research will address a number of knowledge gaps contextually: what features of the built environment and associated social behaviours are relevant to disease transmission? And how can the concept of resilience be developed to deal with uncertainties caused by pandemics in urban space? In this we follow efforts of scholars, who have started to formulate research agendas in cognate areas, such as Salama (2020) and Florida and Pendigo (2020). We reassess and build upon resilience thinking using the concept as a lens to explore the relations between urban morphological issues and sociability anew and providing a critical appraisal of the limitations of the concept in relation to the built

environment, specifically by raising awareness of the complementary concept of antifragility. Antifragility overcomes the difficulties inherent in assessing resilience by allowing for more straightforward means of measuring the likelihood that a place can support safe social interactions under unexpected, sudden changes in public life. We believe this can meaningfully contribute to ongoing theoretical debates on the role of urban design in supporting public life by presenting an original argument on how antifragile urban forms are needed to underpin resilient societies, of significance and applicability in both the Global North and South.

This paper is structured in four parts. The first summarises recent contributions from disciplines other than urban planning and design on the factors that seem to link COVID-19 spread to spatial features. The second provides a literature review on urban resilience, highlighting its limitations regarding the increasingly complex and multifaceted challenges that cities face, favouring a dynamic non-equilibrium approach and introducing the concept of an antifragile built environment. After reflecting on how the current pandemic is being dealt with in space and speculating on how planning and design might be evolved from the current crisis, the paper presents a research agenda to support the development of an antifragile approach to urban design.

1. What we (tentatively) know about COVID-19 so far

COVID-19-related data available to date need to be taken with several caveats. Different countries manage health services and collect data differently; this impacts the reliability of any comparative study. A cursory look at published material shows that much data relates to urban areas; this might indeed be as the disease spreads more in cities or be instead linked to the fact that bigger administrations might be better equipped to gather data. In some countries, positive tests are linked to the administrative area where the patient resides, in

others to the area where the hospital or medical practice where patients have been tested is situated. As it is likely that in poorer or more peripheral regions, patients need to travel further afield to get medical attention, impacting in turn, the geolocation of transmission data. Rules guiding testing and resources available might have an indirect role in shaping datasets. Nevertheless, a few considerations can at this stage be assumed valid to start thinking about the relations between the virus and scale, urban morphologies and sociability in cities.

A multi-scalar and multi-systemic phenomenon

That pathogens and illnesses have intimate connections with the environment they are most closely connected with seems to be widely acknowledged in many fields, from epidemiology to human geography; as is the fact that pandemics have their roots in globalised politics and economics and that they navigate the world at increased speed. New illnesses are most likely zoonotic. These emerge from increasingly aggressive anthropic encroachment on natural habitats when – due to close proximity and risky interactions between farmed and hunted animals and humans – (old and new) pathogens cross species and move from one host to a new, likely defenceless, organism (Spinney, 2017). Once the species cross has taken place, cities, as containers for, and enablers of, intense social interactions, provide fertile ground for the spread of what become crowd illnesses. Illnesses develop then alongside the formation of social nuclei and behaviours therein shaping cities: both with the development of new ideas and the implementation of urban change (Morbelli, 1997; Spinney, 2017).

The increased speed of global movements, supported by technological progress, provides new opportunities to *vira*. Pathogens make their way from animals to humans locally in rural areas and spread to more urbanised regions thanks to global networks moving large numbers of people, animals and goods quickly across the globe on a daily basis (United Nations, 2020; Ghosh et al, 2020). Viewed from this angle, beyond its novelty, COVID-19

does not look exceptional; neither in its ability to spread in space nor in terms of the speed it travels.

COVID-19 adapts to social and spatial morphologies

While liminal anthropic/natural spaces provide the opportunity for pathogens to jump from species to species, more urbanised areas – as the places where societal exchanges are concentrated – create favourable conditions for crowd illnesses to develop alongside their hosts and become successful (WHO, 2020:4). COVID-19 is an airborne virus and, whilst able to affect most organs in the body, its statistically more visible damage relates to the respiratory system. Exposure to high levels of pollution extended over time has been found to have a strong correlation with higher COVID-19-related death rates (Wu et al, 2020; Conticini et al, 2020; Travaglio et al, 2020). A study looking at 66 administrative regions in European countries suggests that the majority of deaths (78%) occurred in the five regions with the highest nitrogen dioxide pollution (Ogen, 2020). Nitrogen dioxide is the result of fossil fuel combustion; the levels indicated as associated with increased COVID-19 lethality are rarely associated with single sources of pollution and more often the combined result of mobility, individual and collective energy consumption and heating choices; the pollutant trademark of urban living in the modern world where energy transition has not quite taken hold yet. The concentration of people is correlated to higher incidences of COVID-19 transmission, but density alone is insufficient in explaining why the disease has spread more in urban areas as a whole, and in some more than others (Fang and Wahba, 2020; Hamidi et al, 2020; Larsson et al, 2020:19). Morphologies, however, might play a role here. Ogen (2020) mentions that geographical and geological features in Wuhan, Milan and Madrid limit natural airflows and particles dispersal. While some exploratory work on urban morphology (e.g. Sennett, 2020) seems to support the relevance of macro-analytical dimensions, such as density, in determining transmissions, other datasets (e.g. ATM Milano Citta' Metropolitana, 2020) seem to suggest that whilst dense structures and well-developed transport systems provide support for the spread, actual

hotbeds develop in less dense residential fringes rather than mixed-use centres, warranting further research on micro-analytical dimensions.

In addition, to the shape and size of urban conurbations, social dynamics and interactions that take place within them seem to play a role. Coronavirus lethality has been shown to have a correlation with social deprivation, with Black and Asian ethnic origins (in some European countries and the US), and with lower skills jobs (see e.g., ONS, 2020a and ONS, 2020b and Larsson et al, 2020:5). For planners, this is another signpost; social and ethnic diversity - and the emerging pockets of deprivation - being also eminently urban characteristics in both the global North and South. Deprivation and lower skillsets, as well as minority ethnic groups, come in even stronger relief in contexts characterised by the shortage of basic infrastructure and sanitation (World Bank, 2020), particularly in situations exacerbated by extreme poverty, overcrowding and containment (Truelove et al, 2020). Inequalities and deprivation exist in most cities globally while cultural features and urban sociability – and the spaces containing them – may differ considerably in different places. COVID-19 prompts us to focus on both differences and similarities: human interactions, their material settings and cultural differences across different contexts; all need further investigation.

Urban social behaviours have micro-spatial implications

Things might not get back to a pre-pandemic ‘normal’ until an effective vaccine is developed and so a ‘new normal’ in respect to public life and social interactions, adopting physical distancing and other public health measures - as well as accepting a drift to and from more or less strict forms of controls - will have to take hold for the next 18 to 24 months (Pueyo, 2020). Controls so far have ranged from measures ensuring distance between people can be kept at all times, to wearing face coverings and various other measures preventing human mixing and interacting in physical proximity affecting all or parts (e.g. the elderly; those who travel, etc) of society (Larsson et al, 2020). As well as impacting the economic

system, mental health, livelihoods, and life styles of many (Oxfam, 2020), these controls profoundly affect life in public urban places.

To model the reproduction rate (the R-number guiding government's stricter or looser isolation regimes) of a virus in time (Kucharski, 2020), – statisticians use the concept of DOTS (Duration, Opportunity, Transmission, and Susceptibility). Duration (D) refers to the time period individuals are likely to spread infection. Whilst (D) is linked to the type of virus, and Susceptibility (S) to populations' vulnerability (lack of immunity) to the specific disease, there are features of urban areas that are likely to affect the Opportunity (O) for transmission and the behaviours that lead to Transmission (T). According to Kucharski, (O) is the measure specifically related to social behaviours and is likely subject to cultural variations. (T) is also likely to be affected by behaviours, to an extent, as it refers to the chance of the virus crossing from individual to individual through interaction. Planning the city focuses on producing spaces that enable public life; it is a practice shaped by culture and societal attitudes. Much infection is likely to spread in private spaces, but a better understanding of how urban morphology and uses are likely to affect (O) and (T) might support an interdisciplinary approach towards developing spaces better suited to safe social interactions, both in the spirit of limiting the spread of future pandemics and – if and when they struck – improving life quality maintaining physical separation.

Emerging geographical studies look predominantly at macro features of urbanised regions and often fall short in addressing the micro features likely to directly shape social behaviours. A closer look can sharpen our understanding of aspects of the built environment relevant to disease transmission as, ultimately, it is how people behave that will dictate outcomes. We can hypothesise that cultural habits related to personal space, for instance, and socio-economic spatial structures are as likely to have an impact as macro features (i.e., density, geography, etc). The micro spatial features are likely to be more relevant in directly affecting (O) and (T): e.g., the width of pavements might be relevant in spacing or pushing together pedestrians; road design is likely to influence daily transport choices, the

combination of uses within blocks and individual buildings will underlie the potential for temporal overlaps, and lower or higher densities at any given time, of users in specific open or enclosed spaces; public space qualities, shape and distribution, associated with local mores, is likely to support specific types of sociability and discourage others. There are features worth exploring because they might indirectly relate to the likelihood of the virus reproducing too. The virus seems to be potentially more lethal when affecting individuals with a variety of pre-existing conditions (Larsson et al, 2020), and some are starting to see syndemic features (as in Singer, 2009) in the COVID-19 global spread. For example, (S) to COVID-19 is currently assumed 100% as only a minority of the population presents antibodies. Fatality is calculated somewhere between 0.5-2% of infections. Although, high levels of pollutants and poverty are unlikely to affect (S), they seem to have a role in increasing fatalities amongst those infected, putting in sharp focus health inequalities within populations. Also, lower-skill jobs might correlate with higher numbers of infections due to frontline workers being more exposed than others to the virus and therefore, have increased (O) and (T).

Perceptions of safety and risk and behaviours in public space

As many COVID-19 control strategies introduced by governments rely on individuals' actions, perceptions of safety and risk are as important as actual safety in determining behaviours. According to Dryhurst et al (2020:2), far from being objective,

'a large body of research over the last decades has shown that risk perception is a subjective psychological construct that is influenced by cognitive, emotional, social, cultural, and individual variation both between individuals and between different countries (Douglas and Wildavsky 1983; Loewenstein et al. 2001; Leiserowitz 2006; Joffe 2003; Kasperson et al. 1988; Sjöberg et al. 2002; Wildavsky and Dake 1990; Slovic 2010; Slovic, Fischhoff, and Lichtenstein 1982; van der Linden 2015, 2017; Wåhlberg 2001)'.

Risk is 'socially negotiated' and based on people's experiences, values, and trust in institutions (Rickard 2019, as in Dryhurst et al, 2020:10): the variables differ by countries and cultures but, generally, perceptions seem highly influenced by individuals' experiences and worldviews as well as their immediate environment (Dryhurst et al, 2020). Behaviours are in turn affected by knowledge and understanding of risk; trust in government seems key (Seale et al, 2020; Tran and Ravaud, 2020), but it alone does not link directly to compliance, as too much trust in government's intervention might lower individuals' risk perception and hence impact on rule compliance (Wong and Jensen, 2020:9). Misinformation circulated online can potentially impact trust in government guidance (Krause et al, 2020; Geldsetzer, 2020) and consequently on adherence to rules. Illustrative examples are the contested adoption of face coverings and social distancing measures with uptake depending on social groups (Williams et al, 2020) and attitudes aligning with political positions (Rothberger et al, 2020).

The built environment also influences behaviours in public space. One strand of environmental behavioural studies (Barker, 1968; Bechtel, 1997; Bectel and Churchman, 2002; Gifford, 1987; Lee, 1976; Lynch, 1960; Mehrabian and Russell, 1974; Porteous, 1977; Proshansky et al, 1972; Zeisel, 2006) posits that individuals' behaviours cannot be explored in isolation from related settings. Recent research shows that the built environment can shape emotional responses (Weinreb and Rofe', 2013; Pykett et al., 2020), and affect behavioural responses to emotions such as joy or stress (see e.g., Hollands et al., 2013). Alterations and adjustments of public space have as much influence in changing social behaviours as individuals' perceptions of risk and safety therein. Social encounters, 'making' the city (Massey, 2005) and giving it 'its distinctive character' (Wilson, 2017:452), are being impacted by current divisive discourses related to the pandemic as political and social identities have become more visible through choosing to adhere to or disobey COVID-19 mitigating rules. The shape of the built environment can help us negotiate differences or exacerbate conflict and take it – literally – to our streets (Valentine, 2008; Valentine and Waite, 2010). Urban design can play a pivotal role in favouring or hindering possibilities: by

helping to carve individual routes in the 'new normal' or constrain and limit choices; by affecting stress levels or providing space for release.

Whilst a lot about the virus is still unknown, the tentative understandings we have suggest that COVID-19 seems a formidable agent in crossing scales and systems: moving from species to species, from rural to urban areas, from the periphery to the centre. Having developed in close relation with its human host, we could say that it is, to an extent, co-constitutive of social behaviours and might contribute to shaping social nuclei in the future. The virus makes us visualise more vividly than before the role, extent and speed anthropic systems have in shaping our planet. It makes us 'see' with unprecedented immediacy how cities are microcosms encompassing systems and scales extending in space and time. It brings into focus the relevance of local cultures and the historical layering of spatial features in the built environment that shape contemporary forms of urban sociability. Adopting a multi-scalar approach and focusing on morphological issues as well as behaviours and cultural mores might open a novel angle to understand how spatialised social behaviours underpin the virus' spread, and might provide fertile ground to inform public health solutions.

2. An antifragile built environment to support resilient cities

While it seems that urban environments are often unsuitable to limit the spread of viruses or guarantee a reasonable quality of life when a lockdown is called, a debate is ripe as to what sort of urban life COVID-19 will leave behind. Many cities worldwide have developed temporary measures to enable socially distanced public life and some have started thinking about ways to become more resilient to future pandemics. Resilience is a relevant concept to investigate as – like viral diseases – it encompasses natural and anthropic systems and scales extending in space and time.

The body of literature on the topic is vast; the Resilience Alliance's multidimensional definition of the term is amongst the most popular::

'Resilience, as applied to integrated systems of people and the natural environment, has three interrelated characteristics: the amount of change the system can undergo and still retain the same controls of function and structure; the degree to which the system is capable of self-organization; and the ability to build and increase the capacity for learning and adaptation' (Marcus and Colding, 2014:56)

An array of fields and disciplines have used and developed the concept of resilience in different ways. The table below attempts to summarise the scholarship, albeit it does not do justice to the richness of the resilience debates and interpretations. Nonetheless, it paves the way for the arguments we are pursuing.

TABLE 1 HERE

The literature is diverse, but aligns when defining resilience as **a systems concept** (e.g., Berkes and Folke, 1998; Berkes et al, 2003; Berkes and Ross, 2013:14 as in Alexander, 2013: 2711; Marcus and Colding, 2014). Studies of urban resilience see cities as near-paradigmatic socio-ecological systems: embedded, interscalar, internally inter-related and complex: 'a spatial mosaic of social, economic and ecological variables that are connected by a variety of physical and social dispersal processes' (Holling and Goldberg, 1971:227). Cities are part, and embedded within, **panarchies expanding in time and space** (Marcus and Colding, 2014; Hassler and Kohler, 2014). The size of panarchies is important (Meerow et al, 2016; Spaans and Waterhout, 2017; Laboy and Fannon, 2016). A good mix of systems and resources supports creative development yet, beyond a certain dimension, systems lack flexibility and are less able to cope with shocks (Hassler and Kohler, 2014:122). The interconnectedness amongst systems is relatable to the multiplicity of stresses impacting

cities and acts as a stabilising net for its components. While earlier approaches considering single- or multiple-point equilibria as final goals for resilient systems see value in specific measures for adaptation (e.g., Alexander, 2013; Meerow et al., 2016; Holling, 1973; Walker et al., 2004), the **dynamic non-equilibrium** theory focuses on features conducive to **general adaptive capacity** (from now on GAC) (Meerow et al., 2016; Laboy and Fannon, 2016; Martin, 2010; Hassler and Kohler, 2014). Within recent developments in systems' thinking, resilience is seen as a continuous process of change and progress, in many ways **evolutionary** (Folke et al., 2010), led by systems with agency and capable of learning. For learning to take place, and systems to evolve, **time is crucial** (Chelleri et al., 2015; Davoudi et al., 2013; Meerow et al., 2016; Laboy and Fannon, 2016) as there might be interrelated short- and long-term responses developing and **path dependency** (Martin, 2010; Laboy and Fannon, 2016).

Whilst resilience as defined above can be used as a lens to understand urban societies, economies and cultures, it is a difficult concept to support the study of the materiality of the built environment. Bruneau et al. (2003) and Tierney and Bruneau (2007) suggest four domains of resilience in human systems: technical, organisational, social and economic. The technical domain – subsuming the material elements - is inherently different from the other three as it is unable to enact change by itself. Arguably, there are specific urban forms that might be more suitable to be changed over time and sustain the adaptation required by a resilient society within a socio-ecological system. Boshier (2008) talks of 'built-in resilience' as a material quality to keep adapting. Similarly, Taleb (2012) introduces the concept of a theoretical quality of a structure held a-priori to respond to a stressor positively, and to thrive on the score of shocks and calls this quality antifragility. If fragility is the characteristic of something that suffers from the variability of its environment (e.g., a porcelain cup), robustness an ability to resist shock (e.g., a plastic cup), antifragility refers to an ability to benefit from instability (Taleb and Douady: 2013:1677), e.g., akin to the human bones' ability to get stronger and denser the more they are subject to impact. Like resilience in the

dynamic non-equilibrium approach, ‘antifragility has a singular property of allowing us to deal with the unknown, to do things without understanding them – and do them well’ (Taleb, 2012:4). Fragility and antifragility as characteristics are both mathematically modelled as non-linear responses (Taleb, 2012:12-13) to the ‘disorder family’ (which includes uncertainty, variability, imperfect knowledge, chance, time and dispersion of outcomes amongst others). Antifragility is a characteristic pre-existing the stressor, so it is not developed in the process of change. Although ‘[...] socio-ecological resilience theory understands systems as constantly changing in non-linear ways [...]’ (Tyler and Moench, 2012 as in Meerow et al., 2016:39) too, resilience as the ability to develop GAC ‘to the unknown and unpredictable’ (Laboy and Fannon, 2016) implies the existence of a system capable of progressive intelligent steering, whilst antifragility embodies flexibility and an inherent ability to mutate that is designed into a setting and that can be accessed at various points, with different aims. Bleicic and Cecchini (2020:178), building on the concept in the context of planning, add that ‘antifragility is promoted by whatever opens and increases the possibility of local experimentation and tinkering, of new combination of uses in relation to new demands and pressures, of learning from trial-and-error’ and stress the importance of optionality and of reducing asymmetries in antifragile systems. On the whole, antifragility appears a fruitful concept to extend urban resilience theories whilst investigating the built environment. Antifragility allows to recognise the inertia of material structures and helps to unravel the physical substrate from much of the complexity that resilience studies have presented so far. It acknowledges the links between materiality and the socio-ecological systems it is part of. Its deployment in the study of GAC might potentially provide a more readily usable contribution to practice as whilst stress and risk are difficult to anticipate (yet required to be modelled in the study of resilience), antifragility (like fragility) can be simply measured (i.e., are ‘non-predictive; Taleb, 2012:8) avoiding the high risk related to attempting predictions in uncertain and unstable conditions.

TABLE 2 HERE

It seems an apt moment to add antifragility to the study of dynamic non-equilibrium theory as the concept of resilience is only starting to be used in relation to pandemics. Despite having often been used in planning (e.g., when exploring sudden shocks) and in connection with longer-term processes (such as sustainability and climate change; Coaffee, 2013), resilience might need to be revisited to deal with what is a much more complex and multifaceted phenomenon (Bliss, 2020 citing Berkowitz, 2020) than others before. Resilience, seen as developing GAC within an evolutionary multi-scalar systems concept, might provide a useful analytical frame when complemented with antifragility whereby a) resilience is used as a lens to study features of systems and panarchies capable of steering and agency (e.g. community; economics; governance; etc) and b) antifragility is used to understand the material, inert elements of the systems (e.g. spatial settings; morphological features; etc). Although we know still little about COVID-19, we can see that the pandemic lends an interesting research angle linking micro-level spatial analysis and behaviours.

It is worth noting that resilience is multifaceted and controversial in its use (Spaans and Waterhout, 2017; Meerow et al, 2016; Alexander, 2013; Hassler and Kohler, 2014; Davoudi et al, 2013). The shift from using it mainly as a descriptive and analytical tool to becoming a normative construct proved particularly problematic not just because the concept in itself becomes 'imprecise, contradictory and subject to negotiation' (Hassler and Kohler, 2014:119) in its practical use but also because - in a world where resources are unequally distributed – the conservative suggestion that a return to pre-existing orders would be unquestionably desirable does not always constitute an entirely acceptable aspiration. For example, as social order is not based on consensus but is the result of power (Alexander, 2013), the question of 'what resilience should be pursued, and how' (Pizzo, 2015:136) and 'for whom' (Davoudi et al, 2013:306) arises. In this paper, we build on the concept of resilience by coupling it with antifragility and use both as analytical tools. We also consider power in the following sections.

3. Cities after COVID-19: towards a GAC approach

Looking beyond the pandemic's causes, new forms of social life, citizenship, and community have emerged in the past nine months. Many aspects of our lives have moved online when human interaction and networks of support have been crucial. For some, the transition has been easier; others have suffered forced isolation nevertheless. Although social media can offer a means of extending an otherwise limited social life, many are craving physical presence and interactions.

The pandemic in space: the materiality of the city and path-dependency

While cities' buildings and infrastructure have overwhelmingly proven inadequate and inflexible to enable quality of life since the beginning of the pandemic, they have not changed substantially in the relatively short period since the outset of COVID-19 and – where change has taken place – this has been via 'soft' temporary adjustments constrained by materiality (i.e., path-dependent), such as enlarging pedestrian and cycling paths clawing space usually allocated to motorised traffic (see also Larsson et al., 2020). Table 3 presents a first attempt at cataloguing settings that have come to the fore for both new (post-pandemic) and displaced (pre-pandemic) social habits. It has been composed based on the authors' personal experience as well as monitoring of media coverage and online repositories of relevant grey literature dedicated to urban design and the city (between February and October 2020); primary sources have been the Guardian (UK and US Editions), The Conversation (the COVID-19 and Cities sections), the WBUR website (the section on 'Cities that heal: how the coronavirus pandemic can change urban design'), the Frankfurter Allgemeine Zeitung (in particular the section 'Deutschland in der Pandemie'), the High Street Task Force (the COVID-19 section), C40 Knowledge (the COVID-19 Portal), and Medium (e.g., Nathan, 2020). The choice of sources mirrors the authors' personal trajectories in making sense of the times we are living in. Whilst by no means exhaustive, the

table provides a snapshot at a specific point in time in the process of rapid urban change as well as a stepping stone in the development of a research agenda.

TABLE 3 HERE

Change appears strictly path-dependent in the short term with few, if any, initiatives exhibiting radically innovative interventions. Space availability/redundancy, public ownership, flexible layouts, grey areas and transitional spaces seem to have provided the best opportunities for flexibility and short-term successful spatial adaptations.

Behaviours and power: top-down vs. bottom-up approaches

What has substantially changed is behaviours; these have almost invariably been driven by fear. If 'cities are made of desires and fears' (Calvino, 1972) in ordinary times, then fear seems to become the main agent shaping social behaviours in pandemic times. Behavioural changes dictated by fear of virus spread originated at different levels. Government interventions such as lockdowns and stay-at-home policies radically changed sociability: from restricting what can be done in public to defining one's 'unit' of belonging (not necessarily family or friends anymore, but possibly neighbourhoods as movements were often limited by distance). For these measures' success, trust is crucial; without trust they are very fragile (Taleb, 2012:5). Such measures were introduced (and often strongly enforced) in a top-down manner, with urgency and little to no consultation. Governments also enforced top-down large-scale strategic adaptations of urban infrastructure: London, Paris and Montreal took the lead by pedestrianising entire city centres and creating new, albeit – for now – temporary, cycle routes.

Social behaviours have also been shaped from the bottom – the changes linked to the cumulative effects of individual shifts in shopping and transport habits are a case in point. Reasons to get out have deeply changed; the long-decried attitude of seeing citizens as

consumers in their use of city centres, for example, has disappeared globally within a few weeks and the forced isolation has made us all crave interactions. Bottom-up interventions tended to be more creative and innovative – if small-scale and tactical – with people reclaiming streets to create playgrounds for children, to socialise and exercise (see: Daly, Dovey and Stevens 2020). Types of interactions have changed too, particularly in space, as everything has to take place at a distance yet, previously separate places (workplace, home, leisure places) have merged into one single space. Chance encounters shape our ‘physical’ social life as each of us is more bound by place (as opposed to being guided by time) and access to family and friends is limited; this makes the city and its public space more relevant to each of us than ever before. The type of spaces we have been populating has changed dramatically: local squares and pocket parks filling at the expenses of city centres; pavements once used for swift walks now the setting of many different types of interactions (e.g., doorstep dropping of groceries and vigorous hand clapping; conversations through windows; sunbathing on kerbs). These changes are a hidden cry for measures to support ‘sociable distancing’ (Mehaffy, 2020) and improve mental and physical wellbeing. Settings allowing for bridging between the private and the public have proven successful, as have more ‘permeable’ public-private interfaces, entailing doorsteps, canopies, porches, balconies, courtyards and the like (Banai, 2020: 2-3). Semi-public and semi-private spaces such as inner courtyards, entrance halls and stairwells in apartment blocks have become popular places of safe conviviality, hosting bingo evenings, quiz nights, exercise classes and pre-dinner aperitifs without impinging on personal space.

Multi-scalar embedded systems

Forced permanence made us all look harder at the here and now and appreciate the detail in urban design, and the meaning of proximity, anew: our place being part of a wider network of places closely interconnected. Sudden realisations of geographic distances emerged with the increasing difficulty of reaching familiar destinations in the context of the COVID-19 emergency; and with experiencing first-hand how systems (of food and health provision, for

example) that serve us all locally are linked into an economic supply chain straddling the globe, where the very local relies on the wide and far – but not in traditional hierarchies. Dependency on limited supplies made us realise our place in the world, but also, unexpectedly, prompted smaller systems to step up to fill the failures of the big ones; local institutions and businesses often making up for the gaps in provisions from usually bigger providers. Behind such fundamental changes from below and draconian top-down measures, pivoting on individual choices, is the acknowledgement that, before the pandemic, city development and management had left cities ill-equipped to cope with crowd illnesses viral spread. Despite Patrick Geddes' early call to 'act locally, think globally' and recent World Health Organization advice specifically for reducing pandemics impact (WHO, 2020), most planning and urban design practice today fails to take into account measures with more-than-local effects and respond to a fragmented approach to managing urban features (see also Banai, 2020:1) which leaves localities unable to resist and/or swiftly recover from major crises (e.g., earthquake, floods, pandemics, etc.), the more so when different threats are combined.

The pandemic in time: temporal adaptations

COVID-19 has brought about a temporal as well as a spatial epiphany. The sudden need to retreat in our homes was dictated primarily by the fact that – prior to the pandemic – our cities lacked preparedness for life to continue as normal. The long days home, as well as having difficulties every time we stepped out – constantly getting up/down kerbs, circumnavigating parked cars, having to step into the road in order to have a distanced doorstep or pavement conversation – brought about the realisation that measures that could have made us healthier and safer beforehand might have improved the quality of our lives in lockdown too. The city we have needs considerable stretching, often showing at the seams, to support spatial and behavioural adjustment. Adaptation of public open spaces has taken place quicker than change in the built environment, e.g., through measures that have seen

restaurants and shops spill out onto pavements, on tables and stalls, allowing safe dining and socially distanced queuing.

Existing urban morphologies can potentially accommodate a range of activities and uses over time. Changing functional mixes by adapting existing urban morphologies can potentially provide more equitable access to urban amenities with walkable catchments. It appears now critical for urban design and planning to move beyond prescriptive practices of regulating space to incorporate the temporal dimension and focus on how places can perform over time. Behaviours, uses and tenures - we have seen - can change much quicker than urban morphologies, the change of which has long lead times requiring design, funding, approvals and permissions.

The path ahead

Whether the cities we have adapted so far are going to be our 'new normal' or just an assemblage of temporary measures is unknown, but local governments will have to retain some drive and keep promoting their local assets and community initiatives alongside supporting more localised economies of production and consumption if they are serious about recovery:

'if about everything top-down fragilizes and blocks antifragility and growth, everything bottom-up thrives under the right amount of stress and disorder. The process of discovery (or innovation, or technological progress) itself depends on antifragile tinkering, aggressive risk bearing rather than formal education' (Taleb, 2012:5).

On the score of unprecedented support by governments to businesses around the world, it will be interesting to see whether an interventionist state will underpin the establishment of viable local alternatives to big business and bland spatial solutions, through financial support as well as through effective measures to promote and sustain more inclusive emerging placemaking. Bottom-up temporal and tactical initiatives are providing the means for many

people to adapt their local environment to new needs in quick, flexible and economic ways, and to create and sustain new forms of civic engagement, social interaction and solidarity (Schaller and Guinand 2019; Mehta 2019). These bottom-up ‘tinkering’ practices are becoming increasingly important in the Global North, given the rising austerity and localism agendas, and provide important lessons for planning and urban design. They call for more adaptable placemaking that can respond to shifting needs and demands. At times, such approaches have met top-down initiatives with positive results, illustrating perhaps the self-adjusting ability of panarchies in what Blecic and Cecchini (2020:184) call a ‘space which combines top-down with bottom-up, short and medium term, possibly reversible, modular, even ephemeral, actions’. There might be clear benefits in developing planning and design practices that are not exclusively top-down or bottom-up, but a hybrid of the two (Pissourios 2014), or emerging from the middle (Nonaka 1988, Aelbrecht and Stevens 2019). The creation of community-led practices emerging from the rich interactions between various actors coming from the top, bottom and middle, sharing knowledge and skills, shaping the design process, and building and managing the finished public spaces could be supported through the provision of funding and training as well as urban policies designed to be more responsive to temporary and informal spaces and uses.

Upon stepping out of lockdown around the globe, we realise that, whilst for the last five decades we have built cities efficiency – responding to globalised neo-liberal pressures – resilience has been overlooked (see also Blecic and Cecchini, 2020:181). Making urban space more liveable, secure and ‘prepared’ against pandemics, climate change, local shocks and ‘the unknown and unpredictable’ (Laboy and Fannon, 2016) might require a cultural shift. Decades of just-in-time, tight financial management and technical optimisation have produced ‘solutions too precisely suited to the immediate need [which] are also vulnerable to changing social and environmental contexts’ (Laboy and Fannon, 2016:42). There has been insufficient ‘investment of effort [...] to enable recycling and adaptable reuse of buildings in the future, [little] ability to see buildings as valuable and expensive as to not overlook their

maintenance' (Laboy and Fannon, 2016:49). Moving from a 'disposable' to a 'durable' view (Wang, 2003 as in Laboy and Fannon, 2016:49) of urban tissues 'implies that building for resilience is also a necessary instrument of transformation of our cultural context, [...]' (Laboy and Fannon, 2016:49). An anti-fragile environment - that gains and improves from stress and change, rejects efficiency and optimisation as well as specialisation in favour of choices that increase individual and community optionality (Blecic and Cecchini, 2020:181), allows for 'tinkering, local experimentation and failures' (Blecic and Cecchini, 2020:184) - chimes with Ruskin's ideas of buildings blessed by 'the golden stain of time' (Ruskin, 1892:340 as in Laboy and Fannon, 2016:50), brought about by use and successive adaptation. According to Laboy and Fannon (2016:49): 'a resilience view rejects neutral or generic architecture in favour of architecture that creates meaningful, memorable, adaptable and fluid connections with its context', across systems and at different scales.

Our limited understanding of spatialised behaviours during the pandemic offers a glimpse of an urban future where local public spaces are being celebrated, communities are effective support systems, and local institutions and businesses provide a crucial underpinning for social welfare – a world where COVID-19 can be 'the driver of positive change' (Banai 2020:5). But alongside progressive views with the potential to lead to radical changes in the way we think of, and design the condition for, urban public life and sociability, there are also regressive scenarios summarised in both citizens' and market actors' behaviours, seeing the return of single occupancy car-based forms of mobilities, of residential sprawl, of empty city centres and altogether retreating to individualistic solutions. On the whole, though, what we know so far about the role of morphological and use features in the pandemic is not enough to warrant substantial shifts from the planning and design principles progressive practitioners and scholars have been actively pursuing in the past three decades. Some (Rooij et al, 2020:1) suggest that 'the crisis actually amplified a debate that was started already before the pandemic: the scale of mixed-use (Hausleitner, 2019; Hill, 2020), sustainable mobility (Newman et al., 2017) and the meaning of public space (Carmona, 2015; Mehta, 2014)'.

Whilst simple correlations abound and assumptions thrive, there is little concrete and explanatory data to draw from, let alone enough to provide the basis for new directions in the future development of planning and design policy and practice. Much is at stake, though, given the immediacy and pressure brought about by the COVID-19-related emergency and as we know, previous pandemics show that city growth does not delay after the emergency (Florida and Pendigo, 2020). Where can we start to build a body of evidence for building an environment that can withstand the challenges ahead?

4. Researching antifragility in the built environment: a progressive research agenda

Closing, we propose a research agenda to provide a knowledge base to support the development of an antifragile approach to urban design, using GAC to test urban tissues and uses as well as spatialised behaviours in cities. Practically, this means using the pandemic as a springboard: COVID-19 is conceptualised as a stressor, allowing us to examine the interface between the fabric of cities and the behaviour of urban dwellers in space under pressure and to ultimately develop a more resilient planning and design approach that overcomes the fragmented and emergency-driven ad hoc adaptations described earlier. For this we will need to consider the spatial and morphological details in particular, but also step back and review the broader context of planning and urban design interventions and policies, and their effects, to develop anti-fragile morphologies able to support effective urban resilience. To advance our knowledge we propose the following five steps for a progressive research agenda:

a. Culturally contextualised embedded case studies

Traditional studies of resilience, looking at all parts of the system as embedded systems are important as they expose the complex processes of reciprocal adaptation between their

constituent components (Martin and Smiley, 2007 as in Martin, 2010:14), but they are less effective at drawing attention to the preparedness of individual parts. This is particularly true for the urban environment. We know from past pandemics that an important element of preparedness lies in the built environment, often in features that are difficult to change at speed. As culture is crucial in shaping sociability in space, we need to develop more sophisticated methods to produce contextualised and localised case studies of urban tissues and uses, placing morphological features at the centre of articulated cultural and behavioural spatial templates in order to further our understanding of the role of both macro and micro-spatial features of cities. These cases should be carefully chosen, be inter-scalar, embedded, looking at the built environment's antifragility, and the GAC of governance and community systems, teasing out how actors relate to the different parts in various 'action arenas' (Hassler and Kohler, 2014:125).

b. Testing GAC and measuring antifragility

The GAC of systems can be tested through principles. Wildawski (1988), drawing on Watt and Craig (1986) developed seven principles that resilient systems need to respond to: homeostasis (effective feedback between components), omnivory (availability of subsidiary resources to withstand stress), high flux (relative to the speed of resources mobilised at any given time in aid of recovery), flatness (connections other than hierarchies amongst parts), buffering (excess capacity) and redundancy (overlapping functions) (see also Hassler and Kohler, 2014:126). These principles can serve as a nascent analysis framework. Historically, resilience in the built environment disciplines has conceptually focused on tacit design principles looking at the longer range and aiming at oversizing, redundancy and reparability (Hassler and Kohler, 2014:119). Others have labelled these resilience criteria as robustness (strength to withstand), redundancy (spare capacity), resourcefulness (capacity to detect and respond), rapidity (speed of response) (Bruneau et al. 2007; cf. Laboy and Fannon, 2016: 42). Such categories might be developed further to subsume the antifragile quality of positive evolution and improvement through stress. Examples in Table 1 suggest that size, flexible

layout, relational position within systems, and multiple-use potential could provide a basis to measure the antifragility of the urban environment. Additionally, the categories Laboy and Fannon (2016:49) proposed as resilience measures can add a temporal dimension: extension adaptability, internal adaptability, planning adaptability and testing implementation speed from 'immediate transformational power' to slower gradual adjustments.

Using resilience as an analytical frame enables (1) to appreciate the inter-scalar complexity of urban embedded systems, their evolutionary nature, the importance of time as a variable and the dynamics of change; and (2) to develop theorisations of resilience from a novel angle, adding to the idea of GAC and its interface with planning. Using antifragility to complement the general concept of resilience helps us to disentangle issues of practice-ready value without losing sight of urban complexity.

GRAPH 1 HERE

c. Assessing spatial micro-features and behaviours

Contextual elements will need to introduce cases, delving into cultural elements affecting social behaviours in space and teasing out those spatial and morphological variables we know bear significance in relation to COVID-19 spread, such as socio-economic features of neighbourhoods and historical pollution levels. Infection numbers mapped per area might be used in order to choose case studies and for scoping the reach of embedded systems to consider, remembering that the use of secondary data needs to be carefully assessed as it is prone to the reliability issues highlighted in the first section of this paper.

Cases should be delimited and defined to specific types of spaces (such as those in Table 3), as these provide (O) opportunity for spread, and social behaviours, as the cultural features supporting (T) transmission of the virus.

As to (O) in space, Table 3 could provide a starting point to look at a set of spaces worth of micro-analysis in each cultural situation chosen. Initiatives developed during the pandemic put localities front and centre and particularly local public spaces seem to have acquired a key role in supporting old and new functional, social, recreational and health needs. How social distancing rules have played out in different places and how local public spaces might adjust to provide GAC in the longer term provide fertile research questions. The focus would be markedly on the super-local and the micro-scale.

In order to uncover issues related to (T), the social interface (how different people behave in space, what space they choose, what they do) and related spatial implications need to be incorporated as co-constitutive of how habits merge and settle within specific contexts. Behavioural change would constitute the focus, both in respect to short-term adjustments and more settled long-term approaches to look back as well as to start thinking about the 'new normal'. Little micro-scale data on the past six months is available but retracing recent public life in various parts of the world might be possible through what social media has recorded and anecdotal observations (e.g., blogs) as well as interviews. As for the 'new normal', the world is each spatial researcher's laboratory at the moment.

GRAPH 2 HERE

d. Temporality awareness

While temporality has often been overlooked in urban design theory and practice, there is an emerging body of knowledge focusing on temporary urbanism and conceptions of temporality (Madanipour, 2017) as well as the importance of the 'meanwhile' uses and activities (Bishop & Williams 2012). Public health emergencies such as the COVID-19 pandemic also provide a unique opportunity for reflection on the productive capacities of temporary urbanism and adaptation by exploring the systematic use of timescales and timeshifts as analytical tools in spatial analysis. Doing so acknowledge the fluidity and

malleability of space in time and allows us to take stock of the potential of this in future innovations and adaptations.

e. A multidisciplinary gaze

Planning and urban design scholars cannot forego the opportunity to gather substantial knowledge on how the materiality of the city, its scalar, morphological and network features, interacts with the socio-economic and cultural substance of the society that produced, and lives in and of it before and during a pandemic. We also need to heed warnings not to lose sight of the 'equally crucial but less immediately visible issues' (Larsson et al, 2020:1) that we have been pursuing in recent decades, such as climate change and social inequalities. We need a research agenda able to develop more sophisticated relational and holistic tools to gather data and to enable work with other professionals (epidemiologists, medical and statistical modellers, natural scientists, etc) in trying to make sense of both technical and lay insights – in what is a multi-scalar, evolutionary, possibly spatially path-dependent challenge. We ought to develop more appropriate theoretical frameworks by drawing on those available, from resilience to antifragility, to interpret our findings and to understand the city for the complex object it is – not just the product of the here and now but also the setting where the consequences of processes rooted far in both time and space play out with unprecedented immediacy.

COVID-19 casts a different light on the city we know; resetting our research endeavours would enable us to speculate about progressive and regressive routes planning might take post-pandemic but also coincidentally to rethink ways of living together, in tune with each other and the natural world and aware of the fragile natural, anthropic and social balances that sustain us all.

List of references

Aelbrecht, P., and Stevens Q., (2019) (eds.) *Public Space Design and Social Cohesion: an International Comparison*. London: Routledge.

Alexander, D.E., 2013, 'Resilience and disaster risk reduction: An etymological journey', *Natural Hazards and Earth System Sciences*, 13(13):2707-2716

ATM Citta' Metropolitana, 2020, 'La mappa dei contagi nel capoluogo, nell'hinterland e nel lodigiano', available at <https://www.facebook.com/giuliogallera/videos/277074159993086/> [last accessed 10.05.2020]

Banai, R (2020), 'Pandemic and the planning of resilient cities and regions', *Cities*, vol 106, 1-6

Barker, R. G. (1968), *Ecological psychology: Concepts and methods for studying the environment of human behavior*. Stanford: Stanford University Press

Bechtel, R. B. (1997), *Environment and behavior: An introduction*. Thousand Oaks: Sage Publications.

Bechtel, R. B. and Churchman, A. (2002) *Handbook of environmental psychology*. New York: John Wiley & Sons

Berkes, F., and Folke, C. (1998), *Linking social and ecological systems: management practices and social mechanisms for building resilience*. Cambridge, UK: Cambridge University Press

Berkes, F., J. Colding, and Folke, C. (2003), *Navigating social-ecological systems: building resilience for complexity and change*. Cambridge, UK: Cambridge University Press.

Bishop, P., and Williams, L. (2012). *The temporary city*. London: Routledge.

Blecic, I and Cecchini, A (2020), 'Antifragile planning', *Planning Theory*, 19(2):172-192

Bliss, L. (2020) 'What a Coronavirus Recovery Could Look Like', Bloomberg City Lab, 23 March 2020, available at: <https://www.bloomberg.com/news/articles/2020-03-23/what-a-coronavirus-recovery-could-look-like> [last accessed 19.06.2020]

Bosher, L. (2008), *Hazards and the Built Environment: Attaining Built-in Resilience*, Abingdon: Taylor & Francis

Bruneau, M., Chang, S.E., Eguchi, R.T., Lee, G.C., O'Rourke, T.D., Reinhorn, A.M., Shinozuka, M., Tierney, K., Wallace, W.A., von Winterfeldt, D. (2003), "A Framework to Quantitatively Assess and Enhance the Seismic Resilience of Communities." *Earthquake Spectra* 19 (4): 733–52.

Calvino, I (1972), *Le città invisibili*, Einaudi

Chelleri, L.; Waters, J.J.; Olazabal, M.; Minucci, G. (2015), 'Resilience trade-offs: Addressing multiple scales and temporal aspects of urban resilience', *Environment and Urbanization*, 27(1): 181–198.

Coaffee, J. (2013). 'Towards Next-Generation Urban Resilience in Planning Practice: From Securization to Integrated Place Making', *Planning Practice & Research*, 28(3):323–339

Conticini, E., Frediani, B., Caro, D., (2020), 'Can atmospheric pollution be considered a co-factor in extremely high level of SARS-CoV-2 lethality in Northern Italy? ', *Environmental Pollution*, vol 261, June 2020 available at <https://pubmed.ncbi.nlm.nih.gov/32268945/> [last accessed on 05.05.2020]

Daly, J., Dovey, K. and Stevens, Q. (2020), 'We can't let coronavirus kill our cities. Here's how we can save urban life', *The Conversation*, available at <https://theconversation.com/we-cant-let-coronavirus-kill-our-cities-heres-how-we-can-save-urban-life-137063>, [last accessed 01.10.2020]

Davoudi S, Brooks E, Mehmood A. (2013), 'Evolutionary resilience and strategies for climate adaptation', *Planning Practice and Research*, 28(3): 307-322

Dryhurst, S.; Schneider, C.R.; Kerr, J.; Freeman, A.L.J; Recchia, G.; van der Bles, A.M.; Spiegelhalter, D.; van der Linden, S. (2020): 'Risk perceptions of COVID-19 around the world', *Journal of Risk Research*, DOI: 10.1080/13669877.2020.1758193 [last accessed 05.10.2020]

Fang, W. and Wahba, S. (2020), 'Urban density is not an enemy in the Coronavirus fight: evidence from China', *Sustainable Cities – World Bank Blogs*, available at: <https://blogs.worldbank.org/sustainablecities/urban-density-not-enemy-coronavirus-fight-evidence-china> [last accessed: 09.06.2020]

Florida, R. and Pendigo, S., (2020), 'Getting urban economies back-up and running after COVID-19: a ten point action plan for economic developers (or economic development organisations)', *RestoreYourEconomy.org*, a project funded by the U.S. Economic Development Administration (EDA), Available at: <https://restoreyoureconomy.org/index.php?submenu=Overview&src=gendocs&ref=315&category=Main> [last accessed 30.03.2020]

Folke, C.; Carpenter, S.R.; Walker, B.; Scheffer, M.; Chapin, T.; Rockström, J. (2010), 'Resilience thinking: Integrating resilience, adaptability and transformability', *Ecology and Society*, 15(4):20, available at: <http://www.ecologyandsociety.org/vol15/iss4/art20/> [last accessed 05.10.2020]

Geldsetzer, P (2020), 'Knowledge and Perceptions of COVID-19 among the general public in the United States and the United Kingdom: a cross-sectional online survey', *Annals of Internal Medicine*, 173(2):157-160

Ghosh, A; Nundy, S; Ghosh, S; Mallik, T.L (2020) 'Study of COVID-19 pandemic in London (UK) from urban context', *Cities*, vol 106, 1-9, available at <https://reader.elsevier.com/reader/sd/pii/S0264275120312762?token=8D629152383CD8E1>

[16E95C667220966108CED6BD94E9E83B657A41A2FAA0B63D52441660B21AE0FA3C5B63A5717754BB](https://doi.org/10.1186/1471-2458-13-1218) [last accessed 15.10.2020]

Gifford, R. (1987), *Environmental psychology: Principles and practices*. Needham Heights, MA: Allyn & Bacon

Hamidi, S., Sabouri, S., Ewing, R., (2020), 'Does density aggravate the COVID-19 pandemic?', *Journal of the American Planning Association*, 86(4):495-509

Hassler, U., and Kohler, N. (2014). 'Resilience in the built environment', *Building Research & Information*. 42(2):119–129.

Hollands GJ, Shemilt I, Marteau TM, Jebb SA, Kelly MP, Nakamura R, Suhrcke M, Ogilvie D (2013) 'Altering micro-environments to change population health behaviour: towards an evidence base for choice architecture interventions'. *BMC Public Health* 13:1218, available at: <https://doi.org/10.1186/1471-2458-13-1218> [last accessed 05.10.2020]

Holling, C. S. (1973), 'Resilience and stability of ecological systems', *Annual Review of Ecology and Systematics* 4:1-23, available at: <http://dx.doi.org/10.1146/annurev.es.04.110173.000245> [last accessed 03.07.2020]

Holling, C. S., and Goldberg, M.A. (1971), 'Ecology and planning', *Journal of the American Institute of Planners*, 37:221-230, available at: <http://dx.doi.org/10.1080/01944367108977962> [last accessed 03.07.2020]

Krause, N.M, Freiling, I., Beets, B., Brossard, D. (2020): 'Fact-checking as risk communication: the multi-layered risk of misinformation in times of COVID-19', *Journal of Risk Research*, DOI: 10.1080/13669877.2020.1756385

Kucharsky, 2020, 'Adam Kucharski on what should -- and shouldn't -- worry us about the coronavirus', *TED Talk Bonus*, available at https://www.ted.com/talks/the_ted_interview_adam_kucharski_on_what_should_and_shouldn_t_worry_us_about_the_coronavirus?language=en [last accessed 15.03.2020]

Laboy, M.; Fannon, D., (2016). 'Resilience Theory and Praxis: a Critical Framework for Architecture', *Enquiry the ARCC Journal for Architectural Research*. **13** (1).

Larsson, N; Mangone, G; Berchtold, M; Foliente, G; Delcourt, H; Leighton, L; Cinq-Mars, J; Chaloner-Larsson, G; Kujawski, W; Issa, M.H; Hierlihy, B; Salat, S; Fadli, F; Nobre Azevedo, O.G; Coady, T; Legault, S; Borg, R.B; Braganca, L; Carvalho, J.P; Askar, R; Edminster, A (2020), *Pandemics and the Built Environment, Technical Report, International Initiative for a Sustainable Built Environment*, June 2020, available at <http://iisbe.org/system/files/private/Covid-19%20and%20the%20Built%20Environment%2017Sep20.pdf> [last accessed 1.10.2020]

Lee, T. (1976), *Psychology and the environment*. London: Methuen

Lynch, K. (1960), *The image of the city*, Cambridge, MA: MIT Press

Madanipour, A. (2017). *Cities in Time: Temporary urbanism and the future of the city*. London: Bloomsbury.

Marcus, L., and Colding, J. (2014), 'Toward an integrated theory of spatial morphology and resilient urban systems', *Ecology and Society*, 19(4): 55.

Massey, D. (2005), *For space*. London: Sage

Martin, R., (2010), 'Regional Economic Resilience, Hysteresis and Recessionary Shocks', *Papers in Evolutionary Economic Geography*, Urban and Regional Research Centre, Utrecht University

Meerow, S., Newell, J.P. and Stults, M., (2016), 'Defining urban resilience: A review', *Landscape and Urban Planning*, 147 (16):38-49

Mehaffy, M. (2020), 'Why we need sociable distancing', *Public Square, A CNU Journal*, available at <https://www.cnu.org/publicsquare/2020/03/30/why-we-need-sociable-distancing>, [last accessed 20.06.2020]

Mehrabian A., and Russell, J. A. (1974), *An approach to environmental psychology*. London: Holt

Mehta, V. (2019) 'The street: a fluid place of social cohesion', in: Aelbrecht, P. and Stevens, Q. (eds.) *Public Space Design and Social Cohesion: an International Comparison*, London: Routledge.

Morbelli, G., 1997, *Citta' e piani d'Europa. La formazione dell'urbanistica contemporanea*, Bari: Dedalo

Nathan, M (2020), 'The city and the virus', *Medium* available at <https://medium.com/@maxnathan/the-city-and-the-virus-db8f4a68e404> [last accessed 1.10.2020]

Nonaka, I. (1988) 'Toward middle-up-down management: Accelerating information creation', *Sloan Management Review*, 29(3): 9–18.

Ogen, Y., 2020, 'Assessing NO2 levels as a contributing factor to COVID-19 fatality', *Science of the Total Environment*, vol 726, available at: <https://doi.org/10.1016/j.scitotenv.2020.140672>, <https://doi.org/10.1016/j.scitotenv.2020.139236>, <https://doi.org/10.1016/j.scitotenv.2020.139853> [last accessed 20.10.2020]

ONS, 2020a, 'Counts and ratios of coronavirus-related deaths by ethnic group, England and Wales', available at <https://www.ons.gov.uk/peoplepopulationandcommunity/birthsdeathsandmarriages/deaths/datasets/countsratiosofcoronavirusrelateddeathsbyethnicgroupenglandandwales> [last accessed 11.06.2020]

ONS, 2020b, 'Deaths involving COVID-19 by local area and deprivation', available at <https://www.ons.gov.uk/peoplepopulationandcommunity/birthsdeathsandmarriages/deaths/datasets/deathsinvolvingcovid19bylocalareasanddeprivation> [last accessed 11.06.2020]

Oxfam, 2020, *The hunger virus: how COVID-19 is fuelling hunger in a hungry world*. OXFAM Media briefings, July 2020 available at <https://oxfamilibrary.openrepository.com/bitstream/handle/10546/621023/mb-the-hunger-virus-090720-en.pdf> [last accessed: 9.10.2020]

Pissourios, I. A. (2014) 'Top-down and bottom-up urban and regional planning: Towards a framework for the use of planning standards', *European Spatial Research and Policy*, 21: 83–99.

Pizzo, B. (2015). Problematizing resilience: Implications for planning theory and practice. *Cities*, 43, 133–140.

Porteous, J. D. (1977), *Environment and behavior: Planning and everyday urban life*. Reading, MA: Addison-Wesley

Proshansky, H. M., Ittelson, W. H. & Rivlin, L. G. (1972), 'Freedom of choice and behavior in a physical setting', in: Wohlwill, J. F. & Carson, D. H. (eds.) (1972), *Environment and the social sciences: Perspectives and applications*, pp. 29–43. Washington: American Psychological Association

Pueyo, T., 2020, 'Coronavirus: the hammer and the dance. What the next 18 months might look like, if leaders buy us time', *Medium*, available at: <https://medium.com/@tomaspueyo/coronavirus-the-hammer-and-the-dance-be9337092b56> [last accessed: 08.06.2020]

Pykett, J; Chrisinger, B; Kalliopi, K; Osborne, T.; Resch, B; Stathi, A; Toth, E; Whittaker, A (2020), 'Developing a Citizen Social Science approach to understanding urban stress and promote wellbeing in urban communities', *Palgrave Communications*, 6(1):1-11

Rooij R, Aalbers K, Hausleitner B, Newton C and Rocco R (2020) 'Education for the resilient city – teaching and learning urban design and planning in COVID-19 times', *Proceedings of the Institution of Civil Engineers – Urban Design and Planning*, August 2020

Rothgerber H, Wilson T, Whaley D, Rosenfeld DL, Humphrey M, Moore AL, Bihl A. (2020), 'Politicizing the COVID-19 Pandemic: Ideological Differences in Adherence to Social Distancing', available at file:///C:/Users/user/Downloads/PoliticizingtheCOVID-19Pandemic-IdeologicalDifferencesinAdherencetoSocialDistancing.pdf [last accessed 1.10.2020]

Salama, A. (2020), 'Coronavirus questions that will not go away: interrogating urban and socio-spatial implications of COVID-19 measures', *Emerald Open Research* 2(14). Available at: <https://emeraldopenresearch.com/articles/2-14/v1> [last accessed 03.07.2020]

Schaller S., Guinand, S. (2019) 'Pop-up landscape design and the disruption of the ordinary' in: Aelbrecht, P. and Stevens, Q. (eds.) *Public Space Design and Social Cohesion: an International Comparison*, London: Routledge.

Seale H, Heywood AE, Leask J, Sheel M, Thomas S, Durrheim DN, et al. (2020), 'COVID-19 is rapidly changing: Examining public perceptions and behaviors in response to this evolving pandemic', *PLoS ONE* 15(6): e0235112., available at: <https://doi.org/10.1371/journal.pone.0235112> [last accessed 20.10.2020]

Sennett, R., (2020), '100 Day Studio: Richard Sennett - Density In The Wake Of Social Distancing', available at <https://www.youtube.com/watch?v=gxMd0fwGMTk> [last accessed 15.04.2020]

Singer, M (2009), *Introducing syndemics: a critical systems approach to public and community health*, Wiley

Spaans, Marjolein; Waterhout, Bas (2017). "Building up resilience in cities worldwide – Rotterdam as participant in the 100 Resilient Cities Programme". *Cities*. 61: 109–116.

Spinney, L. (2017), *Pale rider. The Spanish flu of 1918 and how it changed the world*, London: Vintage (Penguin Random House)

Taleb, N.N., (2012), *Anti-fragile. Things that gain from disorder*, London: Penguin Books

Taleb, N.N., Douady, R. (2013), 'Mathematical definition, mapping and detection of (anti)fragility', *Quantitative Finance*, 13(11):1677-1689

Tierney, K. J., Bruneau, M. (2007), *Conceptualizing and Measuring Resilience: A Key to Disaster Loss Reduction*. Emmitsburg, MD: National Emergency Training Center

Tran V-T, Ravaud P (2020), 'COVID-19–related perceptions, context and attitudes of adults with chronic conditions: Results from a cross-sectional survey nested in the ComPaRe e-cohort', *PLoS ONE* 15(8): e0237296, available at: <https://doi.org/10.1371/journal.pone.0237296> [last accessed 27.09.2020]

Travaglio, M., Yu, Y., Popovic, R., Leal, N.S., Martins, M., (2020), 'Links between air pollution and COVID-19 in England', preprint, available at <https://www.medrxiv.org/content/10.1101/2020.04.16.20067405v5> [last accessed on 05.05.2020]

Truelove, S., Abraham, O., Altare, C., Lauer, S.A., Grantz, K.H., Azman, A.s., Spiegel, P., (2020), 'The potential impact of COVID-19 in refugee camps in Bangladesh and beyond: a modelling study', *Plos Medicine*, <https://doi.org/10.1371/journal.pmed.1003144>

United Nations Environment Programme, (2020), *Zoonotic diseases and how to break the chain of transmission*, Nairobi: UNEP Frontiers Report Series

Valentine, G. (2008) 'Living with difference: Reflections on geographies of encounters'. *Progress in Human Geography* 32(3): 323–337.

Valentine, G. and Waite, L. (2010) 'Negotiating difference through everyday encounters: The case of sexual orientation and religion and belief', *Antipode* 44: 474–492.

Watt, K.E., Craig, P.P. (1986), 'System stability principles', *Systems Research*, 3 (1986): 191-201.

Weinreb, A. R., & Rofè, Y. (2013), 'Mapping feeling: An approach to the study of emotional response to the built environment and landscape', *Journal of Architectural and Planning Research*, 30(2): 127-145.

Wildavsky, A. (1988), *Search for safety*, New Brunswick: Transaction Publishers

Williams SN, Armitage CJ, Tampe T, Dienes, K. (2020), 'Public perceptions and experiences of social distancing and social isolation during the COVID-19 pandemic: a UK-based focus group study', *BMJ Open* 2020;10:e039334. doi:10.1136/ bmjopen-2020-039334

Wilson, H (2017), 'On geography and encounter: bodies, borders and difference', *Progress in Human Geography*, 41(4):451-471

Wong, C.M.L., Jensen, O. (2020), 'The paradox of trust: perceived risk and public compliance during the COVID-19 pandemic in Singapore', *Journal of Risk Research*, DOI: 10.1080/13669877.2020.1756386

World Bank, (2020), 'Tackling COVID-19 (Coronavirus) with Water, Sanitation and Hygiene in DRC', *World Bank Feature Story*, available at <https://www.worldbank.org/en/news/feature/2020/04/20/tackling-covid-19-coronavirus-with-water-sanitation-and-hygiene-in-drc> (last accessed 20.06.2020)

WHO (2020), *Strengthening preparedness for COVID-19 in cities and other urban settings: interim guidance for local authorities*, Geneva: World Health Organization, available at: <https://apps.who.int/iris/handle/10665/331896> [last accessed 20.10.2020]

Wu, X., Nethery, R.C., Sabath B., Braun, D., Dominici, F., (2020), 'Exposure to air pollution and COVID-19 mortality in the US: a national cross-sectional study', preprint, available at <https://www.medrxiv.org/content/10.1101/2020.04.05.20054502v2> [last accessed on 05.05.2020]]

Zeisel, J. (2006) *Inquiry by design: environment / behavior / neuroscience in architecture, interiors, landscape and planning*, New York: Norton

TABLE 1 – Theories of resilience in a nutshell

<i>Discipline</i>	Type of 'structure' considered	Type of systems	Approach	A resilient system...	Resilience defined as...	Resilience in resilient systems is...	Resilience seen as aiming at...	Stress...	Resilience calls for:	In space	In time
<i>Engineering</i>	Static and dynamic systems (Alexander, 2013)	Simple systems	Single point equilibrium	...returns to the original equilibrium	...a state or property	Inherent	Preservation and rapid restoration of functions	...of limited duration. Seen as dangerous	Specific adaptive measures	n.a.	Short- term, path dependent
<i>Physical and ecological sciences</i>	Ecological and socio- ecological systems (Holling, 1973; Berkes and Folke, 1998; Walker et al, 2004; Berkes and	Nested systems	Single (pre- 1936)- and multiple- point equilibrium (Holling, 1973)	...finds a new equilibrium without being substantially altered (ie maintaining integrity)	...a characteristic or process	Inherent OR developing	Absorbing shocks, uncertainty and change through renewal, reorganisation and adaptation	...of limited and/or prolonged duration. Seen as dangerous	Specific adaptive measures	Different types of systems AND different scales nested	Short- term, path- dependent OR innovative

	Ross, 2013; Marcus and Collings, 2014)										
<i>Economics</i>	Complex socio- adaptive systems (Martin, 2010; Marcus and Collings, 2014)	Nested and interacting systems capable of development	Dynamic non- equilibrium approach	...builds general adaptive capacity through shocks and readjustments 'to the unknown and unpredictable' (Leboy et al, 2016)	...a continuous process	Developing (learning systems)	Working adaptively in stages towards a state better suited to resist further shocks	...at the core of both destruction AND regeneration	General adaptive measures	Different types of system AND different scales, nested, organised in panarchies having stabilising effect	Long- term, innovative AND/OR path dependent
<i>Planning and geography</i>	Cities as complex socio- ecological	Nested and interacting systems able	Dynamic non- equilibrium	...builds general adaptive capacity 'to	...a continuous evolutionary process	Learning systems	Resisting, transitioning and/or transforming	...can be good as its presence helps	General adaptive measures	Different types of system AND different	Long- term, innovative AND/OR

	systems (Holling and Goldberg, 1971; Hassler and Kohler, 2014; Chelleri et al, 2015; Laboy and Fannon, 2016; Meerow et al, 2016; Spaans and Waterhout, 2017)	to learn and improve	approach (mostly)	the unknown and unpredictable’ (Laboy and Fannon, 2016)			into a system better suited to resist an array of different shocks	regenerating and updating stability		scales, nested, organised in panarchies having stabilising effect. Some components with and some without agency (ie learning ability)	path dependent
--	--	-------------------------	----------------------	---	--	--	---	--	--	---	-------------------

TABLE 2 – Antifragility

<i>Discipline</i>	Type of 'structure' considered	Type of systems	Approach	An antifragile structure...	Antifragility defined as...	Antifragility in resilient systems is...	Antifragility seen as aiming at...	Stress...	Antifragility calls for:	In space	In time
<i>Finance</i>	Static and dynamic systems (Taleb and Douady, 2013; Taleb, 2012)	Complex	Evolutionary	...improves over time	...a state or property	Inherent	...dealing with the unknown	...is good	tinkering	Simple, measurable (non- predictive)	Short- and long-term, innovative

Table 3. New spaces/different spatial interactions – a start at categorizing

Types of land uses/locations	Types of activities	Micro-spatial features providing opportunity for the spread/transmission of the virus	Social, cultural and behavioural features likely impacting (O) and (T)	Emerging planning and urban design solutions: from short-term to long-term top-down (TD) vs bottom-up (BU)		Micro-spatial features supporting public/social life, safety and wellbeing in a pandemic (via adaptation, redesign, multiple uses, etc)	Antifragile qualities potentially affecting resilience
				Short-term	Long-term		
Indoor public spaces (e.g. shopping malls with retail, leisure and hospitality)	Optional/social	Networks: Corridors, Nodes: Food courts, Stores Thresholds: Entrances/transitional spaces	Moving in close proximity indoors, sharing meals, providing opportunity for catching up with friends/family, ability to touch/try/try on goods	TD- Closure and displacement of activities on-line	TD- Social distance required: 2 m apart and reduced numbers. These requirements impact on some of the activities performed in these buildings requiring close proximity, affecting in turn cultural habits Some activities moved on-line Retro-fitting of screens and other distancing devices	Size enabling social distancing Design enabling multiple uses Access size and position to enable safe movement Building height and vertical connections Connections with wider system	Size Position in wider city networks Portioning/subdivision of space Air movement Access(es) size and position Time in use (daily/weekly/yearly)
Services (e.g. public-facing workspaces, post offices)	Necessary	Nodes: interior spaces; Thresholds: entrance, waiting space.	Moving in close proximity indoors, ability to touch/try goods	TD- Many spaces continued to operate but with social distance required: 2 m apart and reduced numbers. Limitations: avoid restricted spaces, elevators, etc.	TD- Social distance required: 2 m apart and reduced numbers. Limitations: avoid shared spaces, elevators, etc.	Size enabling social distancing Access size and position to enable safe movement	Size of units Air movement Access size and position Time in use (daily/weekly/yearly)

Retail (only considered necessary shopping so far: Supermarkets; bakeries, pharmacies, etc)	Necessary	Nodes: interior spaces; Thresholds: entrance	Moving in close proximity indoors, ability to touch/try goods	TD- Many spaces continued to operate but with social distance required: 2 m apart and reduced numbers. Limitations: avoid restricted spaces, elevators, etc. BU- Many local businesses have been key to bring the local community together and to fill the gaps of provision of services.	TD- Social distance required: 2 m apart and reduced numbers. Limitations: avoid restricted spaces, elevators, etc. BU- With restrictions easing, some local businesses have struggled to retain the centrality gained during the initial stages of the emergency	Size enabling social distancing Design enabling multiple uses Access size and position to enable safe movement Connections with wider system	Size of units Air movement Specialisation of internal space Clustering Access size and position
Religious (e.g. Churches, Mosques, etc).	Necessary (for some)/ Optional/ Social	Nodes: interior spaces; Thresholds: entrance	Enabling meetings of large groups for the performance of key social rites	TD- Closure and displacement of activities on-line	TD- Social distance required: 2 m apart and reduced numbers. These requirements impact on some of the activities performed in these buildings requiring close proximity, affecting in turn cultural habits. Some activities moved on-line Retro-fitting of screens and other distancing devices BU- Lending of space to other re-opened activities in need of more space due to social distancing required (e.g. schools)	Size enabling social distancing Design enabling multiple uses Access size and position to enable safe movement Connections with wider system	Size of unit Air movement Access size and position Size and diversity of users pool Time in use (daily/weekly/yearly)

[illegible]

Educational (Schools and universities)	Necessary	Nodes: interior spaces; Thresholds: entrances	Enabling access to educational resources and participation in teaching and learning activities	TD- Closure and move on-line of activities for most students	TD- Social distance required: 2 m apart and reduced numbers. These requirements impact on some of the activities performed in these buildings requiring close proximity. Some activities moved on-line long-term. Retro-fitting of screens and other distancing devices	Size enabling social distancing Access size and position to enable safe movement Building height and vertical connections	Size of unit Air movement Internal and external movements – directions and overlaps Access size and position Size and diversity of users pool Time in use (daily/weekly/yearly)
Transport/movement infrastructure (e.g. streets, squares, etc)	Necessary AND Optional/Social	Networks: pedestrian paths width and design Nodes: size and design of space usable for optional/social activities (beyond movement, particularly in squares) Thresholds: access and exit	Movement around neighbourhoods and across the city, socialisation, exercise, enabling access to services and goods provision	TD- Introduction of social distancing Temporary street closures to give more space to walk, cycling, transport and car use restrictions etc (London, Paris, Montreal, etc) Widening of pavements. Signage to enable one-way pedestrian movement.	TD- Retention of social distancing rules. Temporary street closures to give more space to walk, cycling, transport and car use restrictions etc (London, Paris, Montreal, etc) has stayed in some places Widening of pavements to allow for alfresco activities (e.g. hospitality but also retail). Signage to enable one-way pedestrian movement.	Size enabling social distancing Layout enabling multiple uses Access size and position to enable safe movement Street furniture and signage Clutter	Relevance of sections for wider networks Size and allocation of uses (static/dynamic) in section Specialisation/multiple uses potential Size and diversity of users pool Public ownership

				BU- Reclaiming of space for joint activities (e.g. bingo, exercise, dance, music, play,...)	BU- Some reclaimed space had to give way when near-normal activities resumed		
Recreational outdoor open space (i.e. green infrastructures; parks and playgrounds; local/pocket parks)	Social/Optional	<p>Networks: pedestrian paths width and design</p> <p>Nodes: size and design of space usable for optional/social activities (beyond movement)</p> <p>Thresholds: access and exit</p>	Socialisation, exercise, respite in nature	<p>TD- Introduction of social distancing</p> <p>BU- Reclaiming of space for joint activities (e.g. bingo, exercise, dance, music, play,...)</p>	<p>TD- Retention of social distancing rules</p> <p>Plans for better provision and access to green spaces, etc</p> <p>BU- Some reclaimed space had to give way when near-normal activities resumed</p>	<p>Size enabling social distancing</p> <p>Layout enabling multiple uses</p> <p>Treatment of surfaces</p> <p>Access size and position to enable safe movement</p> <p>Street furniture and signage</p> <p>Clutter</p> <p>Connections with wider system</p>	<p>Size</p> <p>Design and land coverage</p> <p>Specialisation/multiple use potential</p> <p>Size and diversity of users pool</p> <p>Time in use (daily/weekly/yearly)</p> <p>Public ownership</p>
Residential open space (in proximity of housing)	Necessary	<p>Networks: pedestrian paths width and design</p> <p>Nodes: size and design of space usable for optional/social activities (beyond movement)</p> <p>Thresholds: access and exit; entrances and transitional spaces</p>	<p>Shared communal events; space for individual activities outdoors; respite from overcrowded accommodation</p>	<p>BU- More active use by individuals and families as semi-private outdoor space.</p> <p>Reclaiming of space for joint activities (e.g. bingo, exercise, dance, music, play,...)</p>	<p>BU- Whilst the re-discovery of these spaces ensures they are used more than pre-pandemic, with re-opening of other facilities for socialization, number of regular users have declined</p>	<p>Size enabling social distancing</p> <p>Layout enabling multiple uses</p> <p>Treatment of surfaces</p> <p>Access size and position to enable safe movement</p> <p>Position in relation to wider movements</p>	<p>Size</p> <p>Design and land coverage</p> <p>Specialisation/multiple use potential</p> <p>Size and diversity of users pool</p> <p>Time in use (daily/weekly/yearly)</p> <p>Semi-public/semi-private character</p>
Public-private interfaces (front steps, porch, landings, balconies, windowsills, etc)	Social/Optional	<p>Thresholds: access and exit; entrances and transitional spaces</p>	Access; space for encounters.	<p>BU- reclamation and optimal use of minimal space to play, socialise, enjoy the sun, watch people go by,....</p> <p>Retrofitting of front and backyards, alleys, given the</p>		<p>Size enabling social distancing</p> <p>Layout enabling multiple uses</p> <p>Access size and position to enable safe movement</p> <p>Position in relation to public life</p>	<p>Size</p> <p>Design and use</p> <p>Specialisation/multiple use potential</p> <p>Size and diversity of users pool</p> <p>Time in use (daily/weekly/yearly)</p>

				<p>recognition that they can strengthen community and neighbourly bonds.</p>	<p>BU- with the relaxation of measures to contain pandemic, regular users of such reclamation and retrofitting examples have declined</p>		<p>Semi-public/semi-private character</p>
--	--	--	--	--	---	--	---

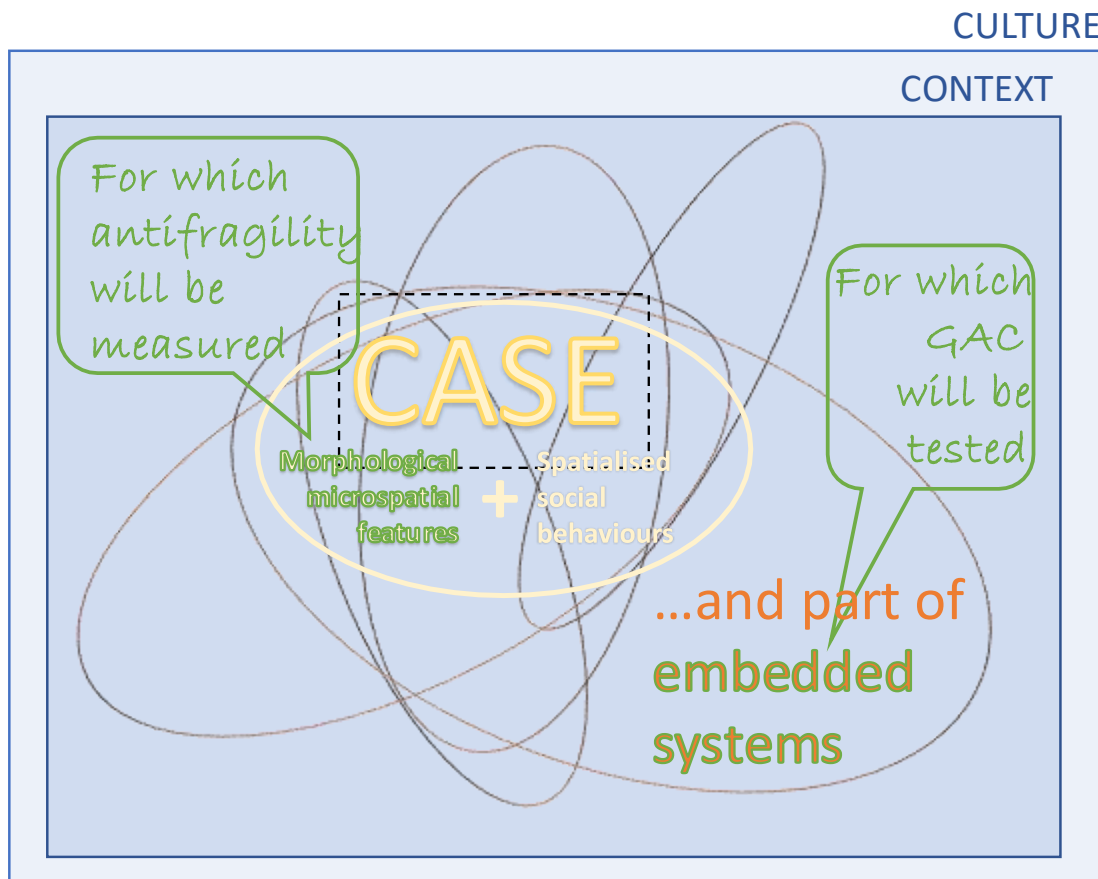


Fig 1 Reseaerch Design