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## *BRAF***V600E Mutation in First-line Metastatic Colorectal Cancer: an Analysis of Individual Patient Data from the ARCAD Database**

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#### Background

First-line therapeutic strategies for patients with *BRAF*<sup>V600E</sup>-mutated (*BRAF*mt) metastatic colorectal cancer (mCRC) mainly rely on subgroup analyses from randomized controlled trials (RCTs). We aimed at assessing the prognostic and predictive impact of *BRAF*mt for the efficacy of targeted therapies with first-line chemotherapy.

#### Methods

Individual patient data from first-line RCTs with *BRAF* and *KRAS* status data in the ARCAD database were pooled. Progression-free survival and overall survival (OS) were assessed using Kaplan-Meier and Cox models. Outcomes were compared between treatment groups that were concurrently randomized whenever possible.

#### Results

6391 patients from 10 RCTs were included: 573 *BRAF*mt (9.0%), 2059 *KRAS*mt (32.2%) and 3759 double wild-type (58.8%). *BRAF*mt mCRC patients experienced statistically significantly poorer OS than those with *KRAS*mt (adjusted hazard ratio [HRadj] =1.46, 95% confidence interval  $[95\% \text{CI}] = 1.30 - 1.64$ ) and patients with double wild-type tumors (HRadj = 2.14, 95%CI = 1.94-2.36). Anti-EGFR agents did not improve progression-free survival or OS of *BRAF*mt mCRC patients, based on 4 RCTs testing chemotherapy  $\pm$  anti-EGFR (HR<sub>adj</sub> =0.96, 95%CI = 0.71-1.30) and  $HR_{\text{adj}} = 0.85, 95\% \text{CI} = 0.66-1.14$ , respectively).

#### **Conclusion**

Our data suggest that the addition of anti-EGFR agents to chemotherapy is ineffective as firstline treatment for *BRAF*mt mCRC patients.

## Keywords

Colorectal cancer; BRAF; antiangiogenic; anti -EGFR; prognosis; survival

Therapeutic decisions for patients with metastatic colorectal cancer (mCRC) largely rely on biomarkers such as *KRAS*, *NRAS* and *BRAF* mutational status that are used as prognostic markers or predictors of treatment efficacy. Whereas the prognostic and predictive value of *RAS* mutations has been thoroughly analyzed, less data exists for outcomes in patients whose tumors harbor the *BRAF*<sup>V600E</sup> mutation due to its relatively low frequency in mCRC (*i.e.* approximately 8-10%)<sup>1</sup>.

Even though patients with *BRAF*<sup>V600E</sup> mutated mCRC experience shorter survival compared to those with *BRAF* wild-type (*BRAF* wt) mCRC or non-*BRAF*<sup>V600E</sup> mutated mCRC <sup>1-5</sup>, there is increasing evidence that the population with *BRAF*<sup>V600E</sup> mutation exhibit statistically significant prognostic heterogeneity. In a pooled analysis of the COIN, FOCUS and PICCOLO randomized controlled trials (RCTs), one-fourth of patients with *BRAF*<sup>V600E</sup> mutation had favorable first-line PFS and post-progression survival (resulting a median  $OS = 24.0$  months) whereas one-third progressed rapidly through first-line and later lines of chemotherapy, with a median OS of 4.7 months<sup>4</sup>. This observation was confirmed by Loupakis et al., who developed a prognostic scoring system based on two large retrospective series <sup>6</sup>.

Therapeutic strategies in the first-line metastatic setting for patients with *BRAF*V600E mutation in their tumors are mainly based on retrospective data with small sample sizes. In the phase III TRIBE study, the FOLFOXIRI-bevacizumab combination was associated with a non-statistically significant increase of PFS and OS compared to FOLFIRI-bevacizumab amongst the subgroup with *BRAF*<sup>V600E</sup>-mutation<sup>7</sup>. Despite the small population sample of this subgroup analysis (N = 28), FOLFOXIRI-bevacizumab is now considered a valid option for patients with tumors that have *BRAF*V600E mutation. A recent meta-analysis based on individual patient data from five RCTs did not confirm the superiority of FOLFOXIRI-bevacizumab versus doublets of chemotherapy plus

bevacizumab in the *BRAF*<sup>V600E</sup> population (HR = 1.12, 95% confidence interval [95%CI] = 0.75- $1.68$ ) $^{8}$ .

No randomized data evaluating the added value of antiangiogenic agents to standard first-line chemotherapy (*i.e.*, FOLFOX, FOLFIRI or FOLFOXIRI) are available for patients with *BRAF*V600E mutation. However, underpowered *post hoc* analyses of RCTs have reported favorable trends for the addition of bevacizumab to first-line IFL or capecitabine, and for the addition of aflibercept to second-line FOLFIRI  $9-11$ . Considering anti-EGFR agents, the predictive impact of *BRAF*V600E mutation for the efficacy of these compounds remains controversial. Although patients whose tumors have *BRAF*<sup>V600E</sup> mutation don't seem to benefit from these compounds when used as single agents, data for first-line treatments combining chemotherapy plus anti-EGFR agents are less clear, and two meta-analyses have not been able to reach a definitive conclusion regarding whether or not a  $BRAF<sup>V600E</sup>$  mutation is a biomarker of resistance to anti-EGFR agents  $12-18$ . Moreover, in both the FIRE-3 and CALGB 80405 RCTs, median PFS and OS for *BRAF*<sup>V600E</sup> mCRC patients were similar whether the patients were treated with chemotherapy plus cetuximab or bevacizumab 2,19. The observation that the choice of targeted therapy (anti-EGFR *vs* antiangiogenic) has no survival effect in patients with *BRAF* V600E mutated tumors raises concerns about the optimal treatment of this population. Also, the positive results of the BEACON trial with encorafenib and cetuximab  $\pm$  binimetinib as second-line treatment raises questions about the optimal therapeutic strategy in this population  $20$ .

Here we assess the prognostic value of *BRAF*<sup>V600E</sup> mutation and its predictive impact for the efficacy of antiangiogenic agents and anti-EGFR agents through the analysis of individual patient data from prospective RCTs in the Analysis and Research in CAncers of the Digestive System (ARCAD) database.

### **Methods**

#### **Patient selection**

The ARCAD database integrates individual patient data of mCRC patients treated with systemic treatment within prospective, randomized phase II and III RCTs. For the purpose of this study, we selected first-line RCTs of which data on tumor *BRAF*V600E and *KRAS* mutational status were available. Studies with more than two-thirds of missing data for *BRAF*<sup>V600E</sup> or *KRAS* status were excluded. Cases with concomitant *BRAF*<sup>V600E</sup> and *KRAS* mutation were excluded from the analysis.

#### **Statistical analysis**

PFS was defined from randomization to first-documented disease progression or death from any cause, whichever occurred first. OS was defined from randomization to death from any cause. Distributions of PFS and OS curves were estimated with the Kaplan-Meier method. In the absence of confirmation of disease progression or death, PFS was censored at the last date of disease evaluation and survival time was censored at the date of last trial follow-up. The adjusted, stratified Cox models were used to evaluate the prognostic association between *KRAS/BRAF*V600E mutational status and survival outcomes, with adjustment for gender and performance score, and stratification by treatment arm. Treatment arms combining chemotherapy plus both cetuximab and bevacizumab (CAIRO2, CALGB 80405), as well as chemotherapy triplet plus bevacizumab (TRIBE study), were not included for the analysis of *BRAF*<sup>V600E</sup> mutation's predictive value.

The efficacy of anti-EGFR antibodies (cetuximab and panitumumab) was evaluated by pooling individual patient data from RCTs with head-to-head comparisons (*i.e.*, concurrent randomization) of chemotherapy with or without anti-EGFR antibodies. Analyses were restricted to the population with *KRAS*wt tumors and stratified by chemotherapy backbone, adjusting for sex and performance status.

In the current ARCAD database, there are no trials with concurrently randomized patients with known *BRAF*<sup>V600E</sup> mutational status who were assigned to chemotherapy  $\pm$  bevacizumab. In order to minimize potential biases due to lack of randomization, the efficacy of bevacizumab was evaluated among matched patients with *BRAF*<sup>V600E</sup> mutation in their tumors who were treated with chemotherapy alone or chemotherapy plus bevacizumab by propensity score matching methods after applying standard multiple imputations to missing covariates as detailed in the **Supplementary Methods**. Multivariable Cox proportional hazards model was then used to investigate the effect of bevacizumab. All tests were two-sided, a P-value less than .05 was considered statistically significant.

#### **Results**

#### **Included trials**

Ten first-line RCTs were included (**Table 1**; **Figure 1**): CAIRO2, CALGB 80405, COIN, COIN-B, CRYSTAL, FIRE II AIO-KRK-0104, FIRE III, OPUS PRIME and TRIBE 7,13,19,21-27. Four RCTs directly compared chemotherapy versus chemotherapy plus cetuximab or panitumumab (COIN, CRYSTAL, OPUS and PRIME).

#### **Demographics**

Overall, 6391 patients were included: 573 had *BRAF*V600E mutated tumors (9.0%), 2059 tumors were *KRAS*mt (32.2%) and 3759 double wild-type (58.8%). Patient and tumor characteristics are summarized in **Table 2**. *NRAS* mutational status was missing for 48% of the population and therefore was not considered in the analyses. Primary tumor sidedness was available for 88.6% of the patients. *BRAF*V600E mutation was more frequent in older women, with right-sided tumors and those with peritoneal and lymph node involvement while the mutation was less commonly seen in those with lung or liver metastases.

#### **Survival**

*Prognostic importance of BRAFV600E and KRAS mutated and double wild-type tumors.* The overall median follow-up was 45.7 months ( $95\%$ CI = 44.7-47.4). Patients with *BRAF*<sup>V600E</sup> mutated tumors experienced statistically significantly poorer PFS (median PFS (mPFS) = 5.8 months) than those with *KRASmt* (mPFS = 7.8 months; adjusted hazard ratio [HRadj] = 1.34,  $95\%CI = 1.19$ -1.50) or double wild-type tumors (mPFS = 9.2 months; HRadj = 1.92, 95%CI = 1.75-2.12) (**Figure 2**). Medians of OS were 11.1, 18.0, and 23.7 months, respectively (*BRAF*V600E vs *KRAS*mt, HRadj  $= 1.46$ , 95%CI = 1.30-1.64; *BRAF*<sup>V600E</sup> vs double wild-type pts, HRadj = 2.14, 95%CI = 1.94-2.36). *BRAF*V600E mutation was associated with poorer survival in all subgroups (**Supplementary Figure** 1). The negative prognostic impact of *BRAF*<sup>V600E</sup> was confirmed in multivariable analysis (**Supplementary Table 1**).

After stratification by treatment arm within studies, objective response rate and disease control rate of the cohort of patients with *BRAF*V600E mutated tumors were statistically significantly lower than those with *KRAS*mt and double wild-type (respectively, 34.6% vs 45.8% vs 63.1%, P<.001 and 64.8% vs 75.9% vs 86.6%, P<.001).

#### **Predictive value of** *BRAF***V600E mutation**

*Chemotherapy*  $\pm$  *anti-EGFR monoclonal antibody.* In the pooled population of COIN, CRYSTAL, OPUS and PRIME (*i.e.*, RCTs testing chemotherapy +/- cetuximab or panitumumab), the addition of an anti-EGFR monoclonal antibody was not associated with better outcomes in the population of patients with *BRAF*<sup>V600E</sup> mutated tumors (HR<sub>adj</sub> for PFS = 0.96, 95%CI = 0.71-1.30) and  $HR_{\text{adi}}$  for  $OS = 0.85$ ,  $95\% \text{CI} = 0.66 - 1.14$ ) (**Figure 3**; **Supplementary Table 2**). In the double wild-type population from these four RCTs, the combination of chemotherapy plus anti-EGFR agents was associated with a statistically significant PFS improvement ( $HR_{\text{adj}} = 0.70$ , 95%CI = 0.62-0.78), but the analysis for OS ( $HR_{\text{adj}} = 0.91$ ,  $95\% \text{CI} = 0.82-1.02$ ) did not show a statistically significant advantage.

*Chemotherapy*  $\pm$  *bevacizumab*. No RCT with head-to-head comparison of chemotherapy  $\pm$ bevacizumab was available. In the entire dataset, 179 patients with *BRAF*V600E mutated tumors from four RCTs received bevacizumab plus chemotherapy and 193 from a different set of four RCTs received chemotherapy alone (**Table 1**). Using IPTW after applying multiple imputations to compensate for missing baseline covariates, the addition of bevacizumab was associated with a significant improvement of PFS ( $HR_{\text{adj}} = 0.65$ , 95%CI = 0.49-0.87, P=.004) and OS ( $HR_{\text{adj}} = 0.64$ , 95%CI =  $0.49 - 0.85$ , P=0.002) for patients whose tumors harbored a *BRAF*<sup>V600E</sup> mutation (**Supplementary Table 2**). These findings were consistent using propensity score matching after multiple imputation (HR<sub>adj</sub> = 0.65, 95%CI = 0.47-0.91, P= .01 and HR<sub>adj</sub> = 0.61, 95%CI = 0.42-0.87, P=.007, respectively).

#### **Discussion**

We report here an analysis of the prognostic and predictive impact of *BRAF*<sup>V600E</sup> mutation using individual patient data from ten RCTs testing first-line treatment options for patients with

mCRC. The majority of previously published studies investigated the prognosis among patients with *BRAF*<sup>V600E</sup> mutation compared to those with *BRAF* wild-type tumors. We took into account the *KRAS* mutational status and confirm the poor outcomes of *BRAF*V600E mutations in mCRC patients, compared to patients with either *KRAS*mt or double wild-type mCRC <sup>1,3,4</sup>. No survival improvement was detected with chemotherapy plus anti-EGFR versus chemotherapy alone for patients with *BRAF*V600E mutated tumors in a pooled analysis of four RCTs.

Randomized trials dedicated to patients with *BRAF*V600E mutated tumors are lacking to properly investigate the efficacy of anti-EGFR agents or antiangiogenics on patients with *BRAF*<sup>V600E</sup> mutated mCRC. Given the acceleration of clinical research in this population (e.g., tyrosine kinase inhibitors, immunotherapy), it seems unlikely that investigators will conduct additional large prospective studies focused solely on the efficacy of chemotherapy and targeted therapies for patients with *BRAF*V600E mutated mCRC. In the current ARCAD database, there were no randomized trials evaluating chemotherapy  $\pm$  bevacizumab with available *BRAF*<sup>V600E</sup> mutational data (in supplementary material) . The results based on matched populations showed that bevacizumab improved the outcomes of the population with *BRAF*V600E mutated tumors after adjustment for important covariates. Our results reinforce the body of evidence supporting the efficacy of antiangiogenic agents in the management of  $BRAF<sup>V600E</sup>$  mutated tumors in mCRC  $<sup>9–</sup>$ </sup> <sup>11,28,29</sup>. Nonetheless, caution should be taken in the interpretation of these results. While imperfect, the present work probably represent the highest level of evidence that will ever be obtained to address the question of bevacizumab effect for patients with *BRAF*V600E mutated mCRC.

*Post hoc* analyses of CRYSTAL and OPUS, as well as PRIME, did show an improvement of survival and response rates with anti-EGFR monoclonal antibodies, but meta-analyses have not been able to conclusively delineate the efficacy or the inefficacy of these compounds in the

population with *BRAF*V600E mutated tumors 12,13,17,18. Here we provide results from the pooled analysis of individual patient data from four RCTs (COIN, CRYSTAL, OPUS and PRIME) testing first-line chemotherapy  $\pm$  anti-EGFR antibodies with concurrent randomizations, showing no survival improvement in this population. Unfortunately, we were not able to evaluate the impact of primary tumor location on the predictive capacity of *BRAF*V600E . It is noteworthy that the interaction tests did not reach statistical significance, meaning that, strictly speaking, we cannot affirm that the presence of a *BRAF*V600E mutation predicts resistance to EGFR inhibitors. As highlighted by Rowland et al., it is the treatment effect interaction between subgroups, rather than the treatment effect of within an individual subgroup, that should primarily be interpreted when deriving a conclusion as to whether a potential predictive factor influences the treatment effect. However, this recommended approach is poorly powered to detect subgroup differences, especially for predictive biomarkers with low incidence and markers that predict partially attenuated response to therapy  $18$ .

Our results should be put in perspective with the findings of the BEACON study  $^{20}$ . This phase III RCT showed that the combination of cetuximab and the BRAF inhibitor encorafenib (with or without binimetinib, a MEK inhibitor) was superior to irinotecan plus cetuximab in the second- or third-line treatment setting for patients with anti-EGFR therapy-naïve *BRAF*<sup>V600E</sup> mutated tumors.. Nevertheless, it is worthy to remember that only 33% to 66% of patients with *BRAF*V600E mutated mCRC receiving first-line chemotherapy will eventually undergo second-line treatments <sup>4,30</sup>.

Our work displays several limitations that need to be acknowledged. First, *BRAF*V600E mutation is frequently associated with older age and peritoneal involvement, and many patients with *BRAF*<sup>V600E</sup> mutated tumors are not fit enough to meet the criteria for clinical trial enrollment. Measurable disease was not required in the CALGB 80405 study, though <sup>31</sup>. Still, our results might underestimate the incidence of *BRAF*V600E mutations in mCRC but overestimate the true prognosis of this population  $32$ . Secondly, data were not available concerning the mismatch repair (MMR) status of the tumors. Approximately one-fourth of tumors with a *BRAF*V600E mutation also exhibit deficient MMR $<sup>1</sup>$ , and controversies recently emerged concerning the potential prognostic impact</sup> of the MMR status in the metastatic setting  $1,30,33$ . Importantly, the prognosis for patients with MMR-deficient mCRC has been improved markedly by the administration of immune checkpoint inhibitors 34–37 . The population of MMR-deficient *BRAF*V600E mutated mCRC patients should therefore be considered apart from patients with MMR-proficient *BRAF*V600E mCRC, for whom the needs for effective therapeutic strategies are unmet. Last, even if the ARCAD database gathers individual patient data from prospective randomized trials, it is worthy to remind that our study was unplanned and therefore might be subject to uncontrolled biases. We aimed at taking into consideration these potential confounders with adjustments for main prognostic modifiers, stratification by study arm (for prognostic effect) or study comparison (for predictive effect).

In conclusion, we report in this large study that patients with *BRAF*<sup>V600E</sup> mCRC experience poorer survival outcomes and lower response rates than *KRAS*mt and double wild-type when treated with first-line therapies. Patients with *BRAF*V600E mutated mCRC do not statistically significantly benefit from anti-EGFR therapy (*i.e.*, cetuximab or panitumumab) delivered in combination with chemotherapy in the first-line setting. The unplanned *post hoc* nature of the study implies that caution should be taken in the interpretation of these results.

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#### **Data Availability**

The data sharing of individual patient data from each participating trial will be subject to the policy and procedures of the institutions and groups who conducted the original study.

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## Table 1: Trials included in the analysis





Table 2: Demographic and disease characteristics





<sup>1</sup> Two-sided Kruskal-Wallis test. SD: standard deviation

<sup>2</sup> Two-sided Chi-Square test..

## **Figure legends**

**Figure 1:** Flow Chart.

**Figure 2:** Kaplan-Meier curves for overall survival and progression-free survival according to the  $BRAF/KRAS$  status. BRAFmt =  $BRAF<sup>V600E</sup>$ -mutated; BRAFwt =  $BRAF$  wild-type; KRASmt = *KRAS*-mutated; KRASwt = *KRAS* wild-type

Figure 3: Pooled analysis of COIN, CRYSTAL, PRIME and OPUS trials. Stratified likelihoodratio test was used to calculate P-values (two-sided), and it was two-sided test. anti-EGFR: cetuximab or panitumumab;  $CT =$  chemotherapy. BRAFmt =  $BRAF<sup>V600E</sup>$ -mutated; BRAFwt = *BRAF* wild-type.

## Figure 1





Adjusted for Gender and Performance Score;

Stratified by Combined Trial and Treatment;

BRAFmt-BRAF Mutated Type, BRAFwt-BRAF Wild Type, KRASmt-KRAS Mutated Type, KRASwt-KRAS Wild Type; KM-Kaplan Meier, HR-Hazard Ratio, CI-Confidence Interval;



Stratified by Combined Trial and Treatment;<br>KM-Kaplan Meier, HR-Hazard Ratio, CI-Confidence Interval;

BRAFmt-BRAF Mutated Type, BRAFwt-BRAF Wild Type, KRASmt-KRAS Mutated Type, KRASwt-KRAS Wild Type;



Stratified by Arm Comparison;

Patients-at-Risk

HR-Hazard Ratio, CI-Confidence Interval, EGFR-Epidermal Growth Factor Receptor;

BRAFwt-BRAF Wild Type, BRAFmt-BRAF Mutated Type;



Adjusted for Gender, Performance Score, and Chemo Backbone;

Patients-at-Risk

Stratified by Arm Comparison;<br>HR-Hazard Ratio, CI-Confidence Interval, EGFR-Epidermal Growth Factor Receptor;

BRAFwt-BRAF Wild Type, BRAFmt-BRAF Mutated Type;