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- 1 Cortical and striatal electroencephalograms and apomorphine effects in the FUS
- 2 mouse model of amyotrophic lateral sclerosis
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- 15 Running head: EEG in FUS-mice
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1 Abstract

- 2 Background. Amyotrophic lateral sclerosis (ALS) is characterized by degeneration of motor
- 3 neurons resulting in muscle atrophy. In contrast to the lower motor neurons, the role of upper
- 4 (cortical) neurons in ALS is yet unclear. Maturation of locomotor networks is supported by
- 5 dopaminergic (DA) projections from substantia nigra to the spinal cord and striatum.
- 6 *Objective.* To examine the contribution of DA mediation in the striatum-cortex networks in
- 7 ALS progression. *Methods*. We studied electroencephalogram (EEG) from striatal putamen
- 8 (Pt) and primary motor cortex (M1) in Δ FUS(1-359)-transgenic (Tg) mice, a model of ALS.
- 9 EEG from M1 and Pt were recorded in freely moving young (two-month old) and older (five-
- 10 month old) Tg and non-transgenic (nTg) mice. EEG spectra were analyzed for 30 min before
- and for 60 min after systemic injection of a DA mimetic, apomorphine (APO), and saline.
- 12 *Results.* In young Tg *vs.* nTg mice, baseline EEG spectra in M1 were comparable, whereas
- in Pt, beta activity in Tg mice was enhanced. In older Tg vs. nTg mice, beta dominated in
- 14 EEG from both M1 and Pt, whereas theta and delta 2 activities were reduced. In younger Tg
- vs. nTg mice, APO increased *theta* and decreased *beta 2* predominantly in M1. In older
- 16 mice, APO effects in these frequency bands were inversed and accompanied by enhanced
- 17 *delta 2* and attenuated *alpha* in Tg vs. nTg mice. *Conclusion*. We suggest that revealed EEG
- modifications in Δ FUS(1-359)-transgenic mice are associated with early alterations in the
- 19 striatum-cortex interrelations and DA transmission followed by adaptive intracerebral
- 20 transformations.
- 21 Key words: ALS progression; primary motor cortex; putamen; EEG; frequency spectrum;
- 22 dopamine
- 23

1 1. Introduction

2 Amyotrophic lateral sclerosis (ALS) is characterized by progressive degeneration of motor 3 neurons in the cortex and spinal cord that results in relentless muscle weakness. Various transgenic ALS models on mice allow the analyses of different mechanisms underlying this 4 neurodegenerative pathology development (see for review, [1]). Within genetic mutations, 5 leading to ALS, the fused in sarcoma (FUS) proteinopathy has been shown to affect motor 6 7 neurons at both the upper and lower levels. While the lower motor neuron malfunctioning is 8 observed at many stages of ALS development (see for review, [2]), the upper cortical 9 neurons have been shown to precede this descending pathology [3]; these are considered as 10 one of the most challenging neuron populations to study [4]. In addition, modifications of 11 connections in cortical and subcortical neural networks are thought to be early pathogenic events in ALS progression [5, 6]. In particular, corticostriatal synaptic plasticity changes 12 13 associated with degeneration of dopaminergic (DA) neurons in the substantia nigra (SN) have been revealed in a transgenic mouse model of ALS [7]. However, little is known about 14 the role of the striatum-cortex interrelations in the developmental period in the subjects 15 16 disposed to ALS, despite the fact that locomotor network maturation has been shown to be 17 associated with ascending DA projections from SN to the striatum [8]. On the other hand, 18 aging, a major risk factor for neurodegenerative disorders, is thought to potentiate negative 19 effects of genetic aberrations thus promoting neurodegeneration in ALS, in particular [9]. ALS cases with mutations in FUS have been revealed to have statistically earlier age of onset vs. 20 21 those in SOD1 and TARDBP that allowed the hypotheses that in FUS model of ALS, mechanisms perturbing the development, maintenance and homeostasis of the nervous 22 system in early postnatal life and in the aging process might be targeted (see for review, 23 24 [10]).

ALS model used in this study originates from ectopic expression of truncated FUS(1-359) 25 lacking nuclear localization signal under the control of pan-neuronal Thy-1 promoter that 26 27 leads to expression of transgenic human mutant FUS protein in mouse neuronal tissues. 28 One of the limitations of our model is inability to control the expression of pathogenic FUS 29 protein which results in constant accumulation of aberrant FUS(1-359) protein in neurons. 30 The build up leads to inevitable death of all motor neurons and subsequent paralysis typically 31 observed in humans. Yet, our model represents a powerful in vivo tool recapitulating key 32 molecular process of pathogenic protein aggregation progressing over animal's lifespan that 33 is long enough to capture any events preceding eventual protein aggregation. In these FUS-34 transgenic and non-transgenic (control) mice at ages of two and five months, corresponding to normal and critically low survival rates, respectively [11], we recorded EEG bilaterally from 35 the primary motor cortex (M1) and one of striatal nucleus, putamen (Pt), before and after 36 37 systemic injection of a non-selective DA agonist, apomorphine (APO). APO is a non-narcotic

lesser extent, D1 receptors [12]. This allows the separation of so-called "indirect" and "direct"
cortico-striatal-cortical circuits, which are mediated via D2 and D1 receptors, respectively,
and differentially involved in movement regulation [13]. Furthermore, APO is thought to be a
promising neuroprotective agent against ALS [14]. Symmetrical EEG recordings from M1 and
Pt were assessed as impaired inter-hemispheric relations have been demonstrated in ALS
patients [15]. Significant differences between *FUS* and control mice were revealed in
frequency spectra of both baseline and APO-evoked EEG activities in the brain areas

morphine derivative that is well known to activate D2 subtype of DA receptors and, to a much

9

10

studied.

1

11 2. Materials and Methods

Male transgenic (Tg) mice with truncated human FUS lacking nuclear localization signal 12 13 (FUS mice, $\Delta FUS(1-359)$), maintained on the CD-1 genetic background, and non-transgenic littermates lacking mutant FUS transgene (nTg, wild type control) at ages of two and five 14 months were used in this study. The FUS mice were obtained from the Center for Collective 15 16 Use of the Institute of Physiologically Active Compounds RAS (Chernogolovka, Russian 17 Federation). Up to the ages of one and four months, the mice were housed in groups of five 18 per cage, while, thereafter, each of them was kept for 1.5 months in an individual cage. Mice 19 were housed in a standard environment (12-h light/dark cycle, 18-26°C room temperatures and 30-70% relative humidity) with food and water ad libitum. The procedures were carried 20 21 out in accordance with the "Guidelines for accommodation and care of animals. Speciesspecific provisions for laboratory rodents and rabbits" (GOST 33216-2014) and in compliance 22 with the principles enunciated in the Directive 2010/63/EU on the protection of animals used 23 for scientific purposes, and approved by the local Institute of Physiologically Active 24 Compounds Ethics Review Committee (protocol № 30, April 30, 2019). All mice were 25 genotyped using PCR analysis of DNA obtained from the ear/tail snips, followed by 26 27 separation of the reaction products in the agarose gel. Mice with a transgene cassette in the 28 genome were combined into Tg groups, whereas those with lacking transgene were placed 29 into nTg littermates' groups [11]. All efforts were made to minimize the number of the animals 30 and their suffering.

31 2.1. Electrodes implantation and EEG recording

After two weeks of adaptation to the individual cage, each of sixteen 2- and 5-month old *FUS* mice $(38.3 \pm 2.5 \text{ g} \text{ and } 46.9 \pm 2.5 \text{ g}, \text{ respectively}, N = 8 \text{ in each group})$ and fourteen nTg mice $(36.0 \pm 1.3 \text{ g} \text{ and } 44.9 \pm 2.2 \text{ g}, \text{ respectively}, N = 7 \text{ in each group})$ was anesthetized with subcutaneous (s.c.) injection of a combination of dissolved tiletamine/zolazepam (Zoletil®, Virbac, France) and xylazine solution (Rometar®, Bioveta, Czech Republic) at doses of 25 mg/kg and 2.5 mg/kg, respectively. Four recording electrodes were implanted bilaterally into

1 primary motor cortices (M1) and putamen (Pt) (AP: +1.1 mm anterior to bregma; ML: ±1.5 2 mm lateral to midline; DV: -0.75 and -2.75 mm depths from skull surface, respectively) [16]. 3 (Schematic electrodes positioning see in Suppl. 1). Custom made electrodes were constructed from two varnish-insulated nichrom wires (100-µm diameter) glued together (3M 4 Vetbond[™] Tissue Adhesive, MN, USA) with tips free from insulation for 70-100 µm and 2 5 mm apart from one another for simultaneous recording of EEG. These electrodes were 6 7 sufficiently inflexible, and had higher effective surface/volume ratio than a mono-wire 8 electrode of 200-µm diameter. The reference and ground electrodes (stainless steel wire, 0.4 mm in diameter) were placed symmetrically into the caudal cavities behind the cerebrum 9 (AP: -5.3, ML: ±1.8, DV: -0.5). All electrodes were positioned using a computerized 3D 10 11 stereotaxic StereoDrive (Neurostar, Germany), then fixed to the skull with dental cement and soldered to a dual row male connector (Sullins Connector Solutions, CA, USA). After 12 13 electrode implantation, each animal was housed in an individual cage. Post-mortem verification of the electrode tip location included several steps: an anodal current (80-100 µA, 14 1 s) coagulation of the adjacent tissue, extirpation of the brain and fixation of the cerebrum in 15 16 4% paraformaldehyde. Using a freezing microtome (Reicher, Austria), the brain was cut in 17 30-µm sections that were examined to specify the electrode tip locations. Effective electrode 18 targeting of the chosen brain areas was based on the use of the stereotaxic manipulator and precise measurement of the bregma and lambda coordinates. This high-tech manipulator 19 allows the drilling of the holes for the electrodes exactly in the selected points on the skull. 20 Precise estimations of an individual's bregma-lambda distance provide the correction of the 21 coordinates for the brain areas, taking into account that the value used for preparation of the 22 stereotaxic atlas [16] was equal to 4.2 ± 0.25 mm. The frozen slices with the most effective 23 24 electrode position were Nissl stained with cresyl violet acetate [17], magnified (Nikon Eclipse E200 microscope, Japan) and digitized (DXM1200 camera, Japan) for further illustrations. 25 Three days after electrode implantation, each mouse was adapted for four days (1 h/day) 26 27 to an experimental cage (Perspex, 15x17x20 cm) located in an electrically shielded chamber 28 and to a cable (five 36-gauge wires, Plexon Inc, Texas, USA) plugged in a digital Neuro-MEP amplifier (Neurosoft Ltd, Ivanovo, Russian Federation). On day 8, a baseline EEG was 29 30 recorded for 30 min, starting 20 min after placing the animal into the box. EEG recordings 31 were continued for 60 minutes after s.c. injection either saline (control) or, on the next day, 32 apomorphine (APO, Sigma, Milan, Italy), at a dose of 1.0 mg/kg. (For an overview of the 33 timeline and drug administration protocol, see Suppl. 2). To minimize the risk of oxidation 34 only freshly dissolved APO was used. All experiments were performed from 9 am to 6 pm in daylight combined with an artificial light source keeping illumination at a relatively stable 35 36 level.

37 2.2. Computation of EEG frequency spectra

1 Monopolar EEG signals measured between the active and reference electrodes were 2 amplified, filtered (0.1-35 Hz) and sampled (1 kHz) on-line using the amplifier and kept in 3 memory of an operational computer for further analysis. The frequency spectra of successive 12-sec EEG epochs were studied using a modified version of period-amplitude analysis [18], 4 which, in contrary to the Fourier transform, was not affected by well-known non-stationary 5 nature of the EEG signals. The absolute values of the half-wave amplitudes with 6 7 periods/frequencies in each of selected narrow EEG frequency subbands were summed 8 followed by their normalization to the sum of all values calculated in the subbands. In this study, twenty five subbands in the 0.48 - 31.5 Hz range were analyzed: 0.48 - 0.53 (0.5), 9 0.83 - 0.92 (0.9), 1.20 - 1.33 (1.3), 1.59 - 1.76 (1.7), 1.99 - 2.20 (2.1), 2.42 - 2.67 (2.5), 2.86 -10 11 3.17 (3.0), 3.34 - 3.69 (3.5), 3.83 - 4.24 (4.0), 4.36 - 4.82 (4.6), 4.92 - 5.44 (5.2), 5.52 - 6.10 (5.8), 6.17 - 6.82 (6.5), 6.87 - 7.59 (7.2), 7.62 - 8.43 (8.0), 8.45 - 9.34 (8.9), 9.37 - 10.36 12 (9.9), 10.40 - 11.49 (10.9), 11.56 - 12.77 (12.2), 12.90 - 14.26 (13.6), 14.49 - 16.01 (15.3), 13 16.43 - 18.16 (17.3), 18.93 - 20.93 (19.9), 22.47 - 24.83 (23.6), 28.50 - 31.50 (30.0). The 14 subbands are marked in figures by their centre (mean) frequency values (see in brackets, 15 16 above). The terms "lower" and "upper" in "classical" EEG bands: delta 1 (0.5 - 1.7 Hz), delta 17 2 (2.1 - 3.5 Hz), theta (4.0 - 8.0 Hz), alpha (8.9 - 12.2 Hz), beta1 (13.6 - 17.3) and beta2 18 (19.9 - 30.0), are used below to differentiate corresponding frequency subbands of each band relative to its centre frequency. 19

The frequency spectra of 12-sec EEG epochs were averaged for every successive 10-min interval in each mouse and for all similarly aged of him separately for nTg and Tg groups. Relative differences in the averaged EEG spectra, obtained in the experiments with saline (day 1) and APO (day 2) in each group, were normalized to corresponding baseline EEG values and estimated as (APO - Saline) / Saline, in percentages, providing the evaluation of APO effects.

26 2.3. Statistics

27 Differences in the EEG spectra averaged for 10 min in frames of "classical" frequency bands were analyzed by ANOVA for repeated measures. To evaluate the EEG effects 28 29 progression in each of chosen band after APO and saline injections in different brain areas 30 and treatments or between them, one- or two-way ANOVA (with 10-min intervals as one of 31 repeated variables) were used, respectively. For multiple comparisons, Bonferroni post hoc 32 test was engaged. Results were considered statistically significant at p < 0.05. All data are 33 shown as mean ± SEM. For ANOVA analyses, STATISTICA 10 (StatSoft, Inc., Tulsa, OK, 34 USA) was used.

35

36 **3. Results**

37 3.1. Baseline EEG from different brain areas

During baseline EEG recordings, both non-transgenic (nTg) and transgenic (Tg) Δ*FUS*(1 359) mice were behaviorally active and characterized by intensive exploration of the
 experimental box that was very rarely (1-2/30 min) and stochastically interrupted by short
 sleep-like bouts.

In younger (two-month old) nTg mice, baseline EEG from M1 and Pt demonstrated 5 patterns of relatively regular oscillations (Fig. 1, A), which were characterized by low-6 7 amplitude theta-alpha peaks in their frequency spectra (Fig. 1, C – E, dashed lines). In EEG 8 from M1 in Tg mice no regular rhythms were observed (Fig 1, B) that was characterized by relatively small peaks scattered over the representative example spectra (Fig., 1, C, E, solid 9 lines). In EEG from Pt in Tg mice, regular powerful high-frequency spindles were observed 10 (Fig. 1, B) that were manifested by large spectral peaks in beta band of the representative 11 example spectra (Fig. 1, D, F, solid lines). Averaged spectra of EEG recorded for three 12 13 consecutive 10-min intervals from the left M1 and Pt in younger nTg mice were characterized by a wide peak in the range of upper theta-alpha (Fig. 2, A, C, dashed lines). In younger Tg 14 vs. nTg mice, spectral profiles of EEG from M1 were comparable, with exception of 15 16 enhanced *delta 2* (2-way ANOVA: F1,42 = 4.3, p < 0.05) and tended to be suppressed *upper* 17 theta-alpha in Tg mice (Fig. 2, A, grey bars). In Pt, the theta suppression and beta 2 18 enhancement in Tg vs. nTg mice reached significant levels (Fig. 2, C; 2-way ANOVA: F1.42 19 = 17.3 and 10.2, p < 0.001 and p < 0.01, respectively). In older (five-month old) mice, the difference in Tg vs. nTg mice in the beta band was 20 observed in both M1 (Fig. 2, B; 2-way ANOVA: F1,39 = 13.4 and 13.3, for beta 1 and beta 2, 21 respectively, p < 0.001 for both) and Pt (Fig. 2, D; 2-way ANOVA: F1.39 = 7.1, p < 0.05, for 22 beta 2). These changes were combined with *delta* 2 band suppression, which reached 23 significant values in M1 (2-way ANOVA: F1,39 = 10.8, p < 0.01). In the right hemisphere, 24 corresponding spectral profiles of EEG from M1 and Pt were similar to those observed in the 25 left hemisphere (c.f., Fig. 3 and Fig. 2). It should be mentioned that no interactions between 26 27 revealed significant EEG differences in Tg vs. nTg groups were observed for 30-min baseline interval in mice of both ages that was confirmed by 2-way ANOVA (F2,42 < 0.3, p > 0.7 and 28 29 F2,42 < 0.1, p > 0.9, for younger and older mice, respectively). The differences were 30 characterized by reasonable power and effect size measures (see representative examples 31 of power analysis in Suppl. 3).

In the left hemisphere, evident M1 *vs.* Pt differences in EEG spectral profiles were revealed in younger mice (Fig. 4, A, C). The inverse shapes of these relative spectral characteristics in Tg and nTg mice were observed in *delta 2, theta, beta 1* and *beta 2* bands (2-way ANOVA: F1,39 = 30.5, 21, 13.1 and 24.9, respectively, p < 0.001 for all). In the right hemisphere (Fig. 4, C), similar differences were in *theta, beta 1* and *beta 2* bands (2-way ANOVA: F1,42 = 11, p < 0.01, F1,42 =14.9 and 15.2, p < 0.001, respectively). In older *vs.* younger mice, M1 vs. Pt differences in *delta 2* were inversed (Fig. 4, B; 2-way ANOVA: F1,39
= 5.4, p < 0.05 and F1,39 = 13.5, p < 0.001 for the left and right hemispheres, respectively)
that was accompanied by increased *alpha* activity in the right M1 vs. Pt (2-way ANOVA:
F1,39 = 12.9, p < 0.001).

5

6 3.2. Apomorphine effects

After APO injection, behavioral reactions in both Tg and nTg mice were stereotyped:
short-lasting freezing (1.5-2 min), followed by uninterrupted licking of the floor and walls in
the box that was accompanied by tail erection. Sleep-like bouts were very rare and short,
and occurred at variable times in the second half of the experiment. Saline injection evoked
1-2-min explorative activation followed by a basic behavior typical for each individual mouse.

12 Two-month old mice

13 In two-month old (younger) nTg mice, APO (vs. saline) suppressed delta 2 for 20 minutes followed by its rising in EEG from the left M1 and Pt (Fig. 5, B and H, grey lines;1-way 14 ANOVA: F(5,42)=3.5, p < 0.01 and F(5,42)=3.2, p < 0.05, respectively). (Here and below, 15 16 APO effects in the right hemisphere have be omitted because of their similarity to those in 17 the left side of the brain). After APO, *delta 2* changes in Tg mice did not reach significant 18 levels in M1 and Pt (Fig. 5, B and H, dark lines; 1-way ANOVA: F(5,42) < 0.6, p > 0.6, for 19 both) and were significantly different from those in nTg mice (2-way ANOVA: F(1,84)= 2.4 and 3.2, p < 0.05, for both). APO-evoked *theta* activity in EEG from M1 in Tg mice was more 20 powerfully expressed than in nTg mice (Fig. 5, C; 2-way ANOVA: F(1,84)= 21.9, p < 0.001). 21 In Tg mice, similar effect in Pt (Fig. 5, I; 2-way ANOVA: F(1,84) = 4.9, p < 0.05) was evidently 22 lesser than in the cortex (c.f., C and I in Fig. 5). Decreased sensitivity of M1 (vs. Pt) to APO 23 in Tg (vs. nTg) mice was expressed in significant suppression of APO effects in beta 1 and 24 beta 2 bands (Fig. 5, E and F; 2-way ANOVA: F(1,84) = 4.8 and 6.1, respectively, p < 0.05, 25 26 for both.). In Pt, APO-evoked beta activity differences in nTg and Tg mice did not reach significant levels (Fig. 5, K and L; 2-way ANOVA: F(1,84) < 2.4, p > 0.12, for both). 27 28 Five-month old mice 29 In five-month old (older) nTg mice, APO (vs. saline) suppressed delta 2 for 30 minutes 30 followed by its rising in EEG from the left M1 and Pt (Fig. 6, B and H, grey lines;1-way

31 ANOVA: F(5,36)=3.3, p < 0.05 and F(5,42)=4.5, p < 0.01, respectively) with domination of

32 suppression in cortical and striatal EEG in nTg mice (2-way ANOVA: F(1,78) =25.3 and 23.3,

for M1 and Pt, respectively, p < 0.001, for both). APO-evoked *theta* activity in EEG from M1

and Pt in Tg mice was less powerfully expressed than in nTg mice (Fig. 5, C and I; 2-way

35 ANOVA: F(1,78)= 12.4 and 6.5, for M1 and Pt, respectively, p < 0.05, for both). APO

36 produced gradual decline of *theta* activity in M1 and Pt in both groups with more powerful

37 expression in Tg mice (Fig. 6, D and J; 2-way ANOVA: F(1,78) = 10.9, p < 0.01 and F(1,78) =

1 4.8, p < 0.05, for M1 and Pt, respectively). Increased sensitivity of M1 (vs. Pt) to APO in Tq 2 (vs. nTg) mice was expressed in significant beta 2 rising after APO injection (Fig. 6, F: 2-way 3 ANOVA: F(1,78)= 9.8, p < 0.01). In Pt, APO-evoked beta 2 activity differences in nTg and Tg mice did not reach significant levels (Fig. 6, L; 2-way ANOVA: F(1,78) = 3.7, p = 0.06). Thus, 4 in older (vs. younger) mice, APO differently affected delta 2 and alpha activities in nTg and 5 Tg mice (c.f., B, D in figures 5 and 6) and inverted theta and beta 2 effects in these groups 6 (c.f., C, F in figures 5 and 6). (All results of the ANOVA analyses have been summarized in 7 Suppl. 4, for clarity). 8

9

10 4. Discussion

11 In this study, we have shown significant differences between *FUS*-transgenic (Tg) and

12 non-transgenic (nTg) mice of different ages (two and five months) in baseline and

13 apomorphine-modified EEG recorded from primary motor cortex (M1) and putamen (Pt).

14 Baseline EEG and cortex-striatum misbalance in ALS

Averaged frequency spectra of baseline EEG from M1 of younger Tg mice were 15 16 practically similar to those in nTg littermates with exception of increased slow (delta 2) 17 oscillations in Tg mice (Fig. 2, A and Fig. 3, A) seemingly reflecting pathological state and 18 associated plasticity of their cortices [19]. In contrast, EEG from Pt in Tq vs. nTq mice were 19 characterized by suppressed theta and increased beta activities (Fig. 2, C and Fig. 3, C). These differences were observed in older Tg mice in combination with *delta 2* suppression 20 predominantly in M1 (Fig. 2, B, D and Fig. 3, B, D). Together, these resulted in a cortex-21 striatum misbalance in EEG spectral profiles at relatively early stage (two months) of 22 23 postnatal ALS progression with tendency to be similar to that in nTg mice at age of five months (c.f., Fig. 4, A, C and Fig.4, B, D, respectively) in spite of Tg vs. nTg differences in 24 EEG spectra from each of these structures (see Fig. 2, B and D). Thus, the cortex-striatum 25 misbalance might be considered, in first approximation, as a prognostic sign of early vs. late 26 27 stage of ALS pathology. In older Tg vs. nTg mice, suppressed theta in combination with 28 enhanced beta was observed in EEG from all brain areas (Fig. 2, B, D and Fig. 3, B, D). 29 Thus, alterations in cortical excitability in ALS are supposed to involve different neuronal 30 populations forming the cortical circuitry and varying at different disease stages [20 - 27]. 31 Indeed, in older ALS mice, the enhanced beta activity was observed in EEG from both M1 32 and Pt, while at the early stage, this phenomenon was exclusively characteristic for Pt (Fig. 33 2). This is in line with an idea that developmental dysfunctions of striatal-cortical connections 34 may be one of the main causes of further movement disorders [23]. On the other hand, this evidence might be supportive for a role of disturbances in beta oscillations supposedly 35 generating by autonomous subthalamic nucleus - globus pallidus external (STN - GPe) 36 37 mechanisms [24]. Finally, the composition of increased beta and suppressed upper theta*lower alpha* in the EEG spectra in Tg *vs.* nTg mice (Fig. 2, B – D and Fig. 3, B – D) might be
 explained by ALS-associated imbalanced activity of circuits generating the fastest and slower
 rhythms, respectively [25].

4 Dopamine mediation in early ALS-associated EEG modifications

The prevalence of beta oscillations in basal ganglia is linked with DA deficit in patients 5 with both Parkinson's and ALS diseases, and characterized by a loss of DA neurons in SN 6 7 and disintegration of nigrostriatal pathways [26 - 29]. These pathological processes revealed 8 in humans with ALS and in animal ALS models [30] are expected to result in chronic 9 depletion of DA in the terminal areas of M1 and Pt that in turn has to invoke both feed-back and feed-forward mechanisms of supersensitization of DA receptors [31, 32]. Stimulation of 10 11 these receptors by residual DA has been shown to be able to maintain motor functions in mice with developmental disturbances in the nigrostriatal system even at very low (< 5%) its 12 13 amount in the striatum [33]. Thus, beta 2 rising in EEG from Pt in younger Tg mice (Fig. 2, C and Fig. 3, C) seemingly highlights enhanced activation of DA receptors in this brain area at 14 early stage of ALS progression. This and *delta 2* domination in cortical EEG (Fig. 2, A and 15 16 Fig. 3, A), which is thought to reflect both pathological state and plasticity of the cortex [19], 17 characterize a misbalance in Pt and M1 electrical activities (Fig. 4, A, C), i.e. in their 18 functional states. Thus, the interstructural disturbances associated with sensitization phenomena in DA mechanisms at early stage of ALS development might be provoking 19 factors for further deterioration of motor activity in the disease. In this respect, discovery of a 20 link between these mechanisms and genetic variants might be one of effective and 21 22 perspective approaches for understanding of molecular pathways involved in early stages of diseases [34]. The similarity in spectral profiles of EEG interrelations between M1 and Pt, 23 which was observed at the late stage in both nTg and Tg mice (Fig. 4, B, D), seems to be 24 linked with the age-dependent DA receptor sensitization provoked by depletion of DA-25 producing cell population [35 – 37]. Comparable levels of beta prevalence in EEG from M1 26 27 and Pt in older Tg vs. nTg mice (c.f., Fig. 2, B, D and Fig. 3, B, D, grey bars) are supportive for this suggestion. Thus, the revelation of genetic aberrations associated with age-28 29 dependent receptor sensitization could fill the gap between the early and late stages of 30 neurodegenerative pathologies. Below, the role of DA receptors in functioning of cortico-31 striatal circuits is analyzed by use of apomorphine that is well known to activate 32 predominantly D2 receptors [12] involved in functioning of so-called "indirect" cortico-striatal 33 pathway [13].

34 Apomorphine and EEG in ALS

In younger (two-month old) nTg mice, APO (*vs.* saline) effects in both M1 and Pt were
phasic with initial 20-min suppression of *delta 2* and subsequent its enhancement (Fig. 5, B
and H, respectively). The profile of the APO effect evolution is in line with that observed in rat

1 cortical EEG [38] and with both brief (16-min) Tmax and relatively short (70-min) half-life of 2 APO in human blood plasma [39]. APO effects in *delta 2* band were accompanied by evident 3 beta 2 domination picked in 30-40-min interval after APO injection (Fig. 5, F and L). APO-4 produced suppression of cortical beta 2 in Tg vs. nTg mice (Fig. 5, F) demonstrates robust attenuation of DA receptors sensitivity in M1 whereas dimmed beta 2 differences in Pt in Tg 5 and nTg groups (Fig. 5, L) seem to be associated with moderate changes in DA mediation. 6 7 And again, APO increased theta in Tg (vs. nTg) mice were more powerfully expressed in M1 8 than in Pt (c.f., Fig. 5, C and I). Thus, APO-produced stimulation of DA2 receptors at early 9 stage of ALS allows the revelation of some intimate processes in M1 that might be "hidden" in baseline EEG spectra. 10 11 In older (five-month old) Tg vs. nTg mice, APO significantly enhanced delta 2 in M1 and

Pt, beta 2 in M1 and attenuated theta and alpha in both brain areas (see Fig. 6). Interestingly 12 that in older vs. younger mice, APO effects in theta and beta 2 bands were inversed (c.f., Fig. 13 5, C, F and Fig. 6, C, F, respectively). This is supposedly linked with the developmental 14 changes in synaptic functioning associated with structural reorganization in motor cortex-15 16 striatum interconnections and, possibly, with gradual loss of DA neurons in aging [28, 30]. 17 Furthermore, an adaptive recovery of DA receptors sensitivity, which was disturbed by DA 18 neurons lost [31], might be involved in functioning of ALS-associated mechanisms as well [26 19 - 28].

If so, APO-enhanced cortical theta activity in younger Tg and older nTg mice (Fig. 5, C, 20 dark line and Fig. 6, C, grey line) may denote possible involvement of neuronal 21 hyperexcitability in mechanisms of both ALS and aging (see [40]). Given predominant role of 22 D2 receptors in APO effects [12], in regulation of neuronal activity in M1 [41], and in the 23 "indirect" cortical-striatal-cortical circuit functioning [13], it is reasonable suggest that D2 24 signaling in these neuronal circuits is principally important for theta activity regulation in EEG 25 from the brain areas involved [42, 43]. Indeed, in six-month old nTg mice with electrodes 26 27 implanted into the secondary motor cortex (M2), dorsal hippocampus (HPC) and DA-28 producing nuclei: substantia nigra (SN) and ventral tegmental area (VTA), APO effects on 29 EEG spectral profiles have been shown [44] to be in some respects similar to those obtained 30 in current study. After APO injection, enhanced beta 2 activity was observed in all brain 31 areas whereas theta was specifically increased in SN and VTA with characteristic gradual 32 slowing over time. Thus, in contrast to M1, APO-produced activation of D2 receptors was unable to stimulate the release of theta oscillations in M2, despite its close vicinity to M1 that 33 34 might be linked with precise functional specialization of and interaction between different cortical areas [45]. Growing evidence identifies the development of ALS disease in patients 35 and in ALS animal models with cognitive pathologies, in particular, with frontotemporal 36 37 dementia [46, 47]. The learning/memory mechanisms are well known to be closely linked

1 with EEG activity in the *theta* band [48]. Furthermore, ALS-provoking proteins (in particular, 2 FUS) have been shown to affect synaptic functions [49] allowing ALS to be considered as a 3 form of synaptopathy [50]. Given these, it is reasonable suggest that the reduced APO efficacy in releasing theta activity in EEG from five-month old Tg vs. nTg mice (Fig. 6, C) 4 might be linked to the effects of ALS pathology on synaptic mechanisms of neuronal circuits 5 involved in cognitive functions. Finally, APO-enhanced beta activity in cortical EEG from both 6 7 six-month old nTg mice [37] and five-month old mice model of ALS in current study is thought 8 to be associated, respectively, with age- and ALS-dependent enhancement of D2 receptors 9 sensitivity in cortico-striatal "indirect" pathway involving its intrinsic (STN - GPe) pacemaker 10 of beta oscillations [24]. Age-dependent shifts in VTA and SN neuronal firing from pacemaker 11 to random mode, and then to bursting mode [51] could be a part of the adaptive mechanisms in regulation of motor function. Thus, APO modifications of spectral profiles of EEG from VTA 12 13 and SN [44] provide additional revelation (vs. that of baseline EEG) of modified DA receptor sensitivity associated with mechanisms of tonic (delta) suppression and bursting (beta 2) 14 enhancement in neuronal activities in the DA-producing areas. 15 16 Conclusion. We have shown that evident spectral differences between younger (two-17 month old) FUS and non-transgenic mice were observed in baseline EEG predominantly 18 from their striatal *n. putamen* rather than from the motor cortex, whereas in older (five-month 19 old) mice, the spectral profiles of EEG from both brain areas were similar (Fig. 2 and Fig. 3). Thus, ALS development is associated with initial pathological changes in the striatum (n. 20 putamen) followed by those in the motor cortex. These result in evident abnormalities in 21 cortex-putamen EEG interrelations extremely expressed at early stage of ALS (Fig. 4). The 22 23 most evident changes, associated with developmental processes, were revealed in *delta* 2, theta and beta 2 bands. In total, our data are supportive for EEG frequency spectra as an 24 effective marker of ALS disease [52]. Furthermore, the "pharmaco-EEG" approach used in 25 our study is suggested to open the missing gate for studying intermediate phenomena in ALS 26 27 development, which are situated between the well-characterized disturbances in movement 28 and cellular processes [53]. It might be a useful tool for further studies of the neuronal network remodeling [54] associated with ALS developmental structural and functional 29 30 disturbances in interrelations between different cerebral systems. 31 32 Acknowledgment: Transgenic animals were provided and maintained by Bioresource 33 Collection of IPAC RAS and the Centre for Collective Use IPAC RAS and supported by the 34 IPAC RAS State Targets topic # 0090-2019-0005. 35 36 *Conflict of interest:* The authors declare that they have no conflict of interest. 37

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1 Figures legends

2

3 **Figure 1**. Typical patterns in 12-s fragments of baseline EEG in wakeful and behaviourally

4 active non-transgenic (nTg) and FUS-transgenic (Tg) two-month age mice (A and B,

5 respectively) and their frequency spectra (C - F) in the primary motor cortex (M1) and

6 putamen (Pt) in the left (sin) and right (dex) brain hemispheres. On A and B, time calibration

7 is 1 sec, amplitude calibration is 100 μV. On C - F, abscissa is a frequency subband marked

8 with its mean value, in hertz; ordinate is summed amplitude of EEG in each of 25 subbands,

9 normalized to a sum of all amplitude values, in arbitrary units. Four vertical lines separate

- 10 "classical" EEG frequency bands.
- 11

Figure 2. Averaged amplitude-frequency spectra of 12-s baseline EEG fragments recorded 12 13 from the left primary motor cortex (A, B) and putamen (C, D) for 60 min in non-transgenic (nTg) and FUS-transgenic (Tg) mice (dashed and solid lines, respectively) at different ages 14 (two- and five-months, n = 8 and 7; A, C and B, D, respectively) and spectral ratios (narrow 15 16 grey bars) between the groups (Tg/nTg). Abscissa is a frequency subband marked with its 17 mean value, in hertz; the left ordinate is summed absolute values of EEG amplitudes in each 18 of 25 subbands, normalized to sum of all amplitude values, in arbitrary units; the right 19 ordinate is a ratio of the EEG amplitudes, calculated as (Tg - nTg) / nTg, in %. Error bars show ±1 SEM. Four vertical lines separate "classical" EEG frequency bands where asterisks 20 21 denote ANOVA significant differences between Tg and nTg groups: * - p < 0.05, ** - p < 0.01, *** - p < 0.001. 22

23

Figure 3. Averaged amplitude-frequency spectra of 12-s baseline EEG fragments recorded 24 from the right primary motor cortex (A, B) and putamen (C, D) for 60 min in non-transgenic 25 (nTg) and FUS-transgenic (Tg) mice (dashed and solid lines, respectively) at different ages 26 27 (two- and five-months, n = 8 and 7; A, C and B, D, respectively) and spectral ratios (narrow grey bars) between the groups (Tg/nTg). Abscissa is a frequency subband marked with its 28 29 mean value, in hertz; the left ordinate is summed absolute values of EEG amplitudes in each 30 of 25 subbands, normalized to sum of all amplitude values, in arbitrary units; the right 31 ordinate is a ratio of the EEG amplitudes, calculated as (Tg - nTg) / nTg, in %. Error bars 32 show ±1 SEM. Four vertical lines separate "classical" EEG frequency bands where asterisks 33 denote ANOVA significant differences between Tg and nTg groups: * - p < 0.05, ** - p < 0.01, 34 *** - p < 0.001.

35

Figure 4. Relations between averaged amplitude-frequency spectra of 12-s baseline EEG
 fragments recorded from the primary motor cortex (M1) and putamen (Pt) for 60 min in non-

1 transgenic (nTg) and FUS-transgenic (Tg) mice (dashed and solid lines, respectively). 2 Abscissa is a frequency subband marked with its mean value in hertz; ordinate is a ratio of 3 summed absolute values of baseline EEG amplitudes in each of 25 subbands, normalized to sum of all amplitudes of EEG recorded from M1 and Pt, calculated as (M1 - Pt) / Pt, in %, 4 characterizing genetically determined EEG relations between them. Error bars show ±1 5 SEM. Four vertical lines separate "classical" EEG frequency bands where asterisks denote 6 ANOVA significant differences between Tg and nTg groups: ** - p < 0.01, *** - p < 0.001. 7 8 9 Figure 5. Evolution of apomorphine (APO, 1.0 mg/kg, s.c.) vs. saline effects in different

- 10 frequency bands of EEG from the left M1 and Pt in two-month old *FUS* and non-transgenic
- 11 mice (dark and grey lines, respectively; n = 8 in each group). Abscissa shows time after
- 12 injection marked in 10-min intervals. Ordinate is a ratio of the EEG amplitudes, calculated as
- 13 (APO saline) / saline, in %., separately for nTg and FUS mice (grey and dark lines,
- 14 respectively) and normalized to baseline EEG ratios (horizontal dashed lines). Error bars
- 15 show ±1 SEM.

16

17 **Figure 6.** Evolution of apomorphine (APO, 1.0 mg/kg, s.c.) *vs.* saline effects in different

- 18 frequency bands of EEG from the left M1 and Pt in five-month old *FUS* and non-transgenic
- 19 mice (dark and grey lines, respectively; n = 8 in each group). Abscissa shows time after
- 20 injection marked in 10-min intervals. Ordinate is a ratio of the EEG amplitudes, calculated as
- 21 (APO saline) / saline, in %., separately for nTg and FUS mice (grey and dark lines,
- 22 respectively) and normalized to baseline EEG ratios (horizontal dashed lines). Error bars
- 23 show ±1 SEM.
- 24





Averaged baseline EEG spectra in FUS vs. non-transgenic mice of different ages:







Figure 4



APO effects in EEG from two-month old FUS vs. non-transgenic mice



Figure 5



Figure 6