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1 Oxidative potential and water-soluble heavy metals
2 of size-segregated airborne particles in haze and
3 non-haze episodes: Impact of the “Comprehensive
4 Action Plan” in China

5
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21 **Highlights:**

22 1. The highest level of particle-induced DNA damage decreased after the
23 enactment of the CAP

24 2. The DNA damage was concentrated in the 'fine' particle size range (0.43-
25 1.1 μ m) following the CAP

26 3. The DNA damage was predominantly caused by the heavy metals Pb, Cr, Cd,
27 and Zn following the CAP

28 4. The heavy metals Pb, Cr, Cd, and Zn were concentrated in particles smaller
29 than 2 μ m

30

31 **Abstract**

32 Air pollution is a major environmental health challenge in megacities, and as such
33 a Comprehensive Action Plan (CAP) was issued in 2017 for Beijing, the capital city of
34 China. Here we investigated the size-segregated airborne particles collected after the
35 implementation of the CAP, intending to understand the change of oxidative potential
36 and water-soluble heavy metal (WSHM) levels in ‘haze’ and ‘non-haze’ days. The DNA
37 damage and the levels of WSHM were analyzed by Plasmid Scission Assay (PSA) and
38 High-Resolution Inductively Coupled Plasma Mass Spectrometry (HR-ICP-MS)
39 techniques. The PM mass concentration was higher in the fine particle size (0.43-2.1
40 μm) during haze days, except for the samples affected by mineral dust. The particle-
41 induced DNA damage caused by fine sized particles (0.43-2.1 μm) exceeded that caused
42 by the coarse sized particles (4.7-10 μm). The DNA damage from haze day particles
43 significantly exceeded those collected on non-haze days. Prior to the instigation of the
44 CAP, the highest value of DNA damage decreased, and DNA damage was seen in the
45 finer size (0.43-1.1 μm). The Pearson correlation coefficient between the concentrations
46 of water-soluble Pb, Cr, Cd and Zn were positively correlated with DNA damage,
47 suggesting that these WSHM had significant oxidative potential. The mass
48 concentrations of water-soluble trace elements (WSTE) and individual heavy metals
49 were enriched in the finer particles between 0.43 μm to 1.1 μm , implying that smaller
50 sized particles posed higher health risks. In contrast, the significant reduction in the
51 mass concentration of water-soluble Cd and Zn, and the decrease of the maximum and
52 average values of DNA damage after the CAP, demonstrated its effectiveness in
53 restricting coal-burning emissions. These results have demonstrated that the Beijing
54 CAP policy has been successful in reducing the toxicity of ‘respirable’ ambient particles.

55
56 **Keywords:** health risk, respirable particles, Plasmid Scission Assay, size-
57 segregated particulate matter, The Comprehensive Action Plan, water-soluble heavy
58 metals

59

60 1. Introduction

61 As the capital of China and one of the World's major megacity, Beijing suffers
62 from life-threatening air pollution, which has been a monumental environmental
63 challenge over the past two decades (Fu and Chen, 2017; Li et al., 2020b; Xing et al.,
64 2020). To reduce the air pollution in Beijing, the "Air Pollution Prevention and Control
65 Action Plan" (the Clean Air Action Plan) and the "Action Plan for Comprehensive
66 Control of Atmospheric Pollution in Autumn and Winter of Beijing-Tianjin-Hebei
67 region in 2017–2018" (the Comprehensive Action Plan, abbreviated as "CAP") were
68 carried out (Li et al., 2020a; Liu et al., 2019; MEP, 2017; The State Council of China,
69 2013; Zhong et al., 2019). The air quality improvement after the implementation of the
70 two action plans, when demonstrated by the annual mean concentration of PM_{2.5}
71 (airborne particulate matter with an aerodynamic diameter less than 2.5µm), revealed a
72 reduction in the 'fine-sized' particle fraction (Beijing Ecology and Environment
73 Statement, 2019). The particulate matter emissions from industry and coal combustion
74 decreased after the implementation of the two action plans (Barrington-Leigh et al.,
75 2019; Geng et al., 2019; Wang et al., 2020). In addition, the decreasing 'risk of death'
76 brought on by reducing the levels of air pollution was a positive signal of improving air
77 quality (Bi et al., 2019; Maji et al., 2020; Zhou et al., 2021). However, there are still
78 annual incidences in Beijing when the air quality reaches unhealthy levels (Li et al.,
79 2020a), e.g., when PM_{2.5} values significantly exceeded the II Grade standard of the
80 National Ambient Air Quality Standards (GB3095–2012) (MEP, 2012). These high
81 values may be caused by regional transport, e.g., when PM_{2.5} values changed from 4.40
82 µg/m³ to 855.10 µg/m³ (Wang et al., 2019; Zheng et al., 2015a). The regional transport
83 of air masses and local emissions both affect air quality and may have an influence on
84 PM components. A study on the mass concentration of trace elements in the rural
85 mountainous site of Xinglong, a typical background site of northern China, determined
86 that long-range transport caused an increase in trace elements (Pan et al., 2013).

87 Airborne particles, as important atmospheric pollutants, have a significant
88 influence on morbidity and mortality (Apte et al., 2018; Silva et al., 2021; Ren et al.,

89 2021; Xue et al., 2019). Epidemiological investigations have shown that airborne PM
90 has adverse health effects in Beijing (Chen et al., 2020; Huang et al., 2018a; Sheehan
91 et al., 2016). Exposure to high levels of PM_{2.5} can lead to premature death (Liu et al.,
92 2016; Maji et al., 2018; Zheng et al., 2015b), cardiovascular diseases (Lin et al., 2019;
93 Ma et al., 2019), chronic obstructive pulmonary disease (Guo et al., 2020; Song et al.,
94 2017), respiratory disease (Crabbe, 2012; Han et al., 2020) and lung cancer (Chen et al.,
95 2016; Cohen et al., 2017; Pirozzi et al., 2018). However, the toxic mechanisms
96 underlying the adverse health effects following inhalation exposure to particles remains
97 unclear. An extensively accepted hypothesis is that PM-induced oxidative stress causes
98 toxic effects (Shao et al., 2017). The studies of physicochemical characteristics and
99 toxicology of particles have revealed that the heavy metals in the particulate matter can
100 cause oxidative damage in humans (Conibear et al., 2018; Gao and Ji, 2018; Rohra et
101 al., 2018; Silva et al., 2021; Wu et al., 2021). Moreover, the heavy metals bound to
102 particulate matter differ with particle size and mass concentration (Lyu et al., 2017).
103 Therefore, it is important to study the components of size-segregated airborne particles,
104 especially heavy metals, to understand the particle-induced damage and resulting
105 human health risk.

106 Many methods are being employed to assess the oxidative potential of atmospheric
107 particles, such as the Ames test (Du et al., 2019), dithiothreitol (DTT) -driven assay
108 (Carville et al., 2013), hemolysis assay (Zhang et al., 2019; Mesdaghinia et al., 2019),
109 comet assay (Bahadori et al., 2018), and plasmid scission assay (PSA) (Shao et al.,
110 2017). The PSA is an *in vitro* method to evaluate PM oxidative capacity and then semi-
111 quantify particulate bioreactivity (Lawson et al., 2020; Bandowe et al., 2021; Niu et al.,
112 2021). PSA has been used due to the simplicity, rapid high-throughput, high sensitivity,
113 and reproducibility of the assay (Feng et al., 2020; Shao et al., 2006, 2013, 2016; Sun
114 et al., 2014).

115 In this study, ambient PM were collected in 2018 after the instigation of the CAP
116 program in Beijing, and the oxidative capacities and heavy metal compositions of size-
117 segregated particles were determined. The relationships between the levels of WSHM

118 and DNA damage were analyzed. Finally, a comparison between the WSHM
119 compositions of size-segregated haze particles, both before and after the CAP, was
120 made to elucidate the CAP's effect on harmful elements in airborne particles.

121

122 **2. Sampling and Methods**

123 **2.1 Sampling**

124 The sampling site was situated at the China University of Mining and Technology
125 (Beijing) in Haidian District, Beijing. The sampling site was on the North Fourth Ring
126 Road 1km to the south, with Xueyuan Road (one of the main roads in Beijing) 100 m
127 to the east. The sampler was installed outside on the top of the fourth floor of the
128 Comprehensive Building, approximately 18m above the ground. This collection site
129 was part of a typical campus and residential area in Beijing, with no large heavy
130 industrial pollution sources in the immediate area.

131 An eight-stage Anderson cascade impact sampler (TE-20-800 TISCH, Germany)
132 was used, with a collection height of 1.5 m higher than the floor on which it was located.
133 The sampling flow rate utilized was 28.3 L/min. The sampler effectively separated the
134 PM into 8 equivalent cut-off diameters (μm): 0.43-0.65, 0.65-1.1, 1.1-2.1, 2.1-3.3, 3.3-
135 4.7, 4.7-5.8, 5.8-9.0, 9.0-10. Quartz fiber filters (80 mm diameter, Millipore, China)
136 were used to collect the different sized particles. The sampling period was conducted
137 from November 30th to December 4th in 2018, and the sampling time was 23.5 hours
138 from 9:00 to 8:30 the next day. The meteorological data were obtained from a portable
139 meteorological instrument (Kestrel 5500 Weather LiNK, USA: Supplementary Table
140 S1 during sampling period). The quartz fiber filters were heated at 450°C for 4 hours
141 by a muffle furnace (ZK-6XY-1400, Beijing ZHONGKEBEIYIKEJI, China), and
142 placed in a constant temperature and humidity chamber (Hitachi, Japan; temperature:
143 20°C \pm 5°C, relative humidity: 45% \pm 5%) for 48 hours before sampling and weighing.

144 The mass concentrations of size-segregated particles were obtained using the
145 gravimetric method. The fiber filters were weighed using an electronic balance
146 (Sartorius CP225D, Switzerland) with an accuracy of 0.01 mg. The formula calculating

147 the mass concentration, as defined by Feng et al. (2020), was employed.

148

149 **2.2 Plasmid scission assay**

150 The PSA is an *in vitro* method to determine the oxidative potential. The principle
151 of the assay is that free radicals induced by heavy metals occurring on the surfaces of
152 the particles can damage the supercoiled plasmid DNA. The initial oxidative damage
153 causes the supercoiled DNA to relax, and the maximum extent of damage results in
154 linearization. The relative electrophoretic mobility of supercoiled, relaxed, and
155 linearized DNA in the gel analysis system (Synoptics Ltd., Cambridge, UK) was used
156 to calculate the percentages of the three forms of plasmid DNA. The total percentages
157 of the relaxed and linearized DNA were taken as the level of oxidative damage.

158 Ultra-pure water (conductivity 18.2 MΩ, Millipore, China) was used as the blank
159 sample for each group of pollution samples. The value of the blank oxidative damage
160 was subtracted from the sample DNA damage. Four parallel samples were run in each
161 group. The details on the methodology were as follows:

162 (1) Preparation of water-soluble sample: the filters and blank filter were cut into 5mm
163 squares and put into a 15 ml centrifuge tube (Corning, USA). A measured amount
164 of ultra-pure water was added to the centrifuge tube to make the particle dosage of
165 100 µg/ml. Each sample solution was mixed for 20 hours using a platform shaker
166 (VORTEX-GENIE2, Scientific Industries, USA). The solution was transferred to a
167 1.5 ml centrifuge tube by pipette (Eppendorf, Germany). A Kendro centrifuge (D-
168 37520 Osterode, Germany) centrifuged the solution for 80 s and the supernatant
169 was extracted.

170 (2) DNA sample and gel preparation: 82 µl of the sample supernatant and 4 µl of the
171 plasmid X174-RF DNA (Promega, USA) was added to a 1.5 ml centrifuge tube.
172 This was oscillated horizontally for 6 hours to ensure a good mixing (HX-3000,
173 YOUNING, China). Agarose (molecular biology grade; Sigma-Aldrich, China) was
174 dissolved in 100 X Tris/Borate/EDTA (TBE) buffer solution (Thermo Scientific,
175 China) and the solution was heated to transparency. Ten millilitres of ethidium

176 bromide (EB; Sigma-Aldrich, China) was added to the agarose solution when
177 cooled to 78°C, and this forms a gel on the electrophoresis plate (DYCP34C; LIUYI,
178 China).

179 (3) Fourteen microliters of bromophenol blue stain (Sigma- Aldrich, China) was
180 added to the mixture of the sample supernatant, and DNA was injected into the
181 solidified gel wells. Each gel well was injected with 20 µl of the final solution. The
182 electrophoresis apparatus (DYY-6C, LIUYI, China) was operated at 30 volts for 16
183 hours at room temperature.

184 (4) Analysis of DNA damage: the variation of DNA morphologies was observed and
185 quantified by the UV gel imaging system (ChemiDoc, Bio-red, China) and the
186 Syngene Genetools software (version 4.0; Syngene, USA).

187

188 **2.3 High-Resolution Inductively Coupled Plasma Mass Spectrometry**

189 Each PM sample was immersed in a measured amount of ultra-pure water to make
190 the soluble concentration of 100 µg/ml and was shaken for 20 hours. The water-soluble
191 sample was obtained from the centrifuged supernatant (D-37520 Osterode, Germany),
192 after spinning at 13000 rpm for 80 minutes. The WSTE compositions of the samples
193 were analyzed in a High-Resolution Inductively Coupled Plasma Mass Spectrometer
194 (HR-ICP-MS; ThermoFisher; Element XR). Forty-three WSTE were examined. Seven
195 typical heavy metals within the detection limit were analyzed, namely, Zn, Cu, Pb, Ni,
196 Cd, Cr, and Co (values shown in Table S2). The mass concentration of the WSHM
197 elements (ng/m³) was calculated using the formula:

$$198 \quad C = \frac{c \times Vt}{Vs}$$

199 Where: C represents the mass concentration of the water-soluble element (ng/m³); c
200 represents the trace element level in the water-soluble sample (µg/ml); Vt represents the
201 total solution volume (ml), Vs represents standard sample volume (m³)

202

203 **3. Results**

204 **3.1 The mass concentration of PM_{2.5} during the haze episode**

205 In this study, the specific PM_{2.5}, SO₂, NO₂, and O₃ mass concentration data were
206 obtained from data published by the Wanliu State-Control Air Monitoring Station
207 (<https://github.com/tuanvvu>) during the sampling period (Fig.S1.). The PM_{2.5}
208 accumulation stage began at 13:00 on November 30th. At 02:00 on December 3rd, the
209 PM_{2.5} mass concentration began to decrease, from 154 µg/m³ to 121 µg/m³ at 03:00.
210 At 05:00 on December 3rd, the PM_{2.5} mass concentration significantly decreased,
211 from 112 µg/m³ at 04:00 to 93 µg/m³ (Fig.S1.). Based on the data, sample A
212 represented moderate pollution, samples B and C indicated heavy pollution, and
213 sample D denoted a non-haze day.

214

215 **3.2 Mass concentration of size-segregated particles in haze and non-haze days**

216 The mass concentrations of size-segregated particles in haze days were higher than
217 those on non-haze days, although the size distributions appeared similar (Fig.2). The
218 levels were also higher in heavy pollution days of samples B and C when compared to
219 those in the moderate pollution of sample A.

220 The mass concentrations of different sized particles were different in haze days.
221 For the moderate pollution of sample A and heavy pollution of sample B, the mass
222 concentration of size-segregated particles in the fine size scale of 0.43-2.1 µm was
223 higher than that in the coarser sized PM (i.e., 4.7-10 µm). The level of sample C
224 increased in the large particle size fraction of 4.7-10 µm, which is believed to be due to
225 mineral dust that would be expected in the larger size fractions of 5.8-10 µm. The PM
226 mass concentration increased with increased particle size in the non-haze day of sample
227 D.

228

229 **3.3 Oxidative potential of water-soluble components in size-segregated airborne** 230 **particles**

231 Previous studies have demonstrated that the DNA damage caused by the whole
232 sample was like that caused by water-soluble components, indicating that the DNA
233 damage was mainly induced by the water-soluble components in particles (Lv et al.,

234 2006; Shao et al., 2006, 2007, 2017; Song et al., 2015). It is confirmed in many prior
235 studies that the DNA damage of each sample increases with the increased particle
236 dosage (Shao et al., 2006, 2007, 2017; Xiao et al., 2014), and for the expediency of
237 comparison between different samples, we took the DNA damage percentage at the
238 particle dosage of 100 µg/ml (Table 1). The particulate mass within the 0.43-1.1 µm
239 size zone in the non-haze day sample was too low to perform the PSA at the selected
240 comparative particle dosage of 100 µg/ml.

241 When comparing the DNA damage induced by the water-soluble fractions of the
242 size-segregated particles, the DNA damage in the 0.43-2.1 µm size exceeded that in the
243 4.7-10 µm size fraction. In addition, the DNA damage in the smaller size range of 0.43-
244 1.1 µm was significantly higher than that in the 1.1-2.1 µm range. In haze days, the
245 DNA damage decreased first in the PM fraction ranging from 0.43 µm to 2.1 µm
246 (aerodynamic diameter) and then increased in the size range of 5.8 µm to 10 µm with
247 increased particle size. In the 0.43-1.1µm size limit, the DNA damage of sample A was
248 higher than that of samples B and C. In the 1.1-2.1µm size span, the DNA damage of
249 sample B was higher than that of samples A and C. DNA damages induced by samples
250 B and C were higher than that induced by sample A in 2.1-10 size limit. In conclusion,
251 the DNA damage was highest in the smaller sized PM, i.e., 0.43 µm to 1.1 µm
252 (aerodynamic diameter).

253 The DNA damage caused by size-segregated particles in haze days was higher
254 than that on the non-haze days. The variability of DNA damage was not significant with
255 increased particle size on non-haze days.

256

257 **3.4 The mass concentration of WSHM in size-segregated particles**

258 The mass concentrations of the total WSTE and individual WSHM could present
259 a health risk above certain levels of PM exposure (Fig.3). The mass concentration of
260 the total WSTE, which represented the sum of the contents of the total 43 trace elements
261 in size-segregated particles detected, is shown in Fig.3. The mass concentration of total
262 WSTE in haze days was higher than that on the non-haze days. Over the course of the

263 haze days, the mass concentration of total WSTE had a normal distribution over the
264 particle size range, with the peak value at 1.1-2.1 μm . In the 0.43-1.1 μm size range,
265 the mass concentration of total WSTE of sample A was higher than that of sample B
266 and sample C, which corresponded to the level of DNA damage (Table 1). In the 1.1-
267 2.1 μm size range, the mass concentration of total WSTE within sample B was higher
268 than that of samples A and C, again corresponding to the level of the DNA damage
269 (Table 1). These results indicated that the particles below 2.1 μm would present a higher
270 health risk than those above this size.

271 The mass concentrations of Pb and Cr were noticeably higher during haze days
272 when compared to non-haze days. There was no significant variation and very low
273 levels with increased particle size on the non-haze days. However, the mass
274 concentration of Cr decreased with the increased particle sizes on the non-haze day
275 (Fig.3). During haze days, the mass-size distribution of Pb and Cr was highest in the
276 fine PM (i.e., 0.43-2.1 μm). For Pb and Cr, the highest value of mass concentration in
277 the moderate pollution of sample A appeared in the 0.65-1.1 μm fraction, whereas in
278 the heavy pollution of samples B and C the highest values appeared in the PM limited
279 between 1.1 μm to 2.1 μm (aerodynamic diameter). Interestingly, the mass
280 concentration in the moderate pollution of sample A was significantly higher than that
281 in the heavy pollution of samples B and C.

282 The mass concentrations of Cd and Zn in both the haze and the non-haze days
283 demonstrated a remarkable similarity. The haze day samples were enriched in both
284 metals in the small size range (i.e., 0.43-2.1 μm ; Fig.3). The mass-size distribution of
285 Cd and Zn in the heavy pollution of samples B and C was most significant in the 1.1
286 μm to 2.1 μm size range (aerodynamic diameter). For the moderate pollution of sample
287 A, the mass-size distribution of Cd was greatest in the 0.56 μm to 1.1 μm range, and
288 that of Zn was highest in the 1.1 μm to 2.1 μm PM distribution. The mass
289 concentrations of Cd and Zn revealed no significant variation with increased particle
290 size on the non-haze days.

291 For Co, Ni, and Cu, the mass-size distribution was highest in PM larger than 1.1

292 μm (aerodynamic diameter) in haze days (Fig.3). The mass of Cu was concentrated in
293 particles within the respirable size range (i.e., 1.1-3.3 μm), and that of Co enriched in
294 PM capable of ‘thoracic’ deposition (2.1 to 5.8 μm) within the human respiratory
295 system. The mass of Ni from the moderate pollution of sample A and heavy pollution
296 of sample C was concentrated in the respirable range (1.1 to 2.1 μm), and from the
297 heavy pollution of sample B, concentrated within the inhalable fraction (e.g., 3.3-5.8
298 μm). The mass concentrations of Co, Ni, and Cu showed no significant variation with
299 increased particle size on the non-haze days.

300

301 **4. Discussion**

302 **4.1 Correlation between concentrations of WSTE and particle-induced DNA** 303 **damage**

304 The levels of the typical WSHM above the detection limits were obtained by HR-
305 ICP-MS. The connection between the concentrations of the typical WSHM and the
306 corresponding DNA damage at the particle dosage of 100 $\mu\text{g}/\text{ml}$ were analyzed (Table
307 2). According to the correlation analysis, the correlation coefficient was higher than the
308 critical value of 0.449 at the 0.01 significance level with a sample number $N=30$,
309 indicating that the types of WSTE and DNA damage were related. The correlation
310 coefficients of four heavy metals were significantly higher than other elements, namely
311 Cr, Zn, Cd, and Pb (Table 2).

312 The relationship between the DNA damage and the levels of total WSTE was
313 markedly positive, with a correlation coefficient of 0.558, indicating that the total
314 WSTE had a significant role in particle-induced oxidative potential. The individual
315 heavy metals Pb, Cr, Cd and Zn also showed a markedly positive correlation with DNA
316 damage, and their correlation coefficients were higher than the critical value of 0.449.
317 The correlation coefficient between DNA damage and Pb was the highest, with a
318 coefficient of 0.595. The correlation coefficients of water-soluble Cu, Ni, and Co were
319 0.316, 0.303, and 0.114, respectively, and all of them were lower than the critical value
320 of 0.449.

321

322 **4.2 Comparison between the DNA damage induced by the water-soluble fractions**
323 **of size-segregated haze particles before and after the CAP**

324 To improve the air quality of Beijing, the government implemented a series of
325 control measures in 2017, called the “Comprehensive Action Plan” (abbreviated to
326 “CAP”). The data for the particle-induced DNA damage before the CAP originated
327 from Sun et al. (2014), who investigated the airborne particles collected in 2010.
328 Particulate matter collected over the described size ranges indicated a different pattern
329 of oxidative capacity before and after the implementation of the CAP (Sun et al, 2014).
330 Fig.4 shows the variations of the particle-induced DNA damage over the particle size
331 range in haze days before and after the CAP. Since the PM ranged from 0.056 μm to
332 0.32 μm in 2010 were much smaller than those in 2018 (i.e., 0.43 to 0.65 μm), the size
333 limit of 0.056 μm to 0.32 μm could not be used for comparison. The size range of 0.43-
334 10 μm in 2018 was closer to that reported in 2010 (i.e., 0.32-10 μm), and thus the DNA
335 damage caused by these two particle fractions were utilized for comparison. It was
336 noted that although the sizes were marginally different, the overall trends were self-
337 evident.

338 Overall, the level of DNA damage in 2018 was reduced in comparison to the levels
339 in 2010. The DNA damage was highest in the 0.43-1.1 μm size range after the CAP
340 policy was initiated, whereas the DNA damage was highest in the 1.0-3.2 μm size range
341 before the CAP, implying that after the CAP, the greater oxidative potential moved to
342 the finer particle size, especially those smaller than 2.0 μm (Fig.4). Previous studies
343 have shown that the heavy metals were generally enriched in smaller particles which is
344 a globally observed phenomenon (Lyu et al., 2017; Tan et al., 2016; Sun et al., 2014;
345 Song and Gao, 2011). In addition, it was reported that the secondary particles have
346 smaller particle sizes and carry more trace elements (Gao et al., 2015; Dong et al., 2020).

347 The highest value of the average DNA damage in 2018 decreased when compared
348 with that in 2010 (Fig.5). It was noted that the DNA damage induced by the large
349 particle size range (i.e., > 5.0 μm) slightly increased in 2018 in comparison with that in

350 2010, which needs to be investigated further in the future, although this small variation
351 might be related to more aged secondary particles after the CAP (Shao et al., 2021).
352 Fig.5 displayed the significant reduction in DNA damage by fine particles (i.e., 1 μm
353 to 3 μm aerodynamic diameter), however the particle-induced DNA damage after the
354 CAP exhibited an insignificant decreasing trend with the increase of the particle size.
355 This possibly suggested a less obvious trend, whereby the greater oxidative potential
356 has translocated into the finer PM fraction, especially in particles smaller than 2.0 μm .

357

358 **4.3 Comparison between the WSHM compositions of size-segregated haze** 359 **particles before and after the CAP**

360 Heavy metals are believed to influence the radical generating capacity and then
361 effect the variation of the oxidative damage (Tian et al., 2021; Shao et al., 2017). To
362 study the causes of oxidative damage before and after the CAP, the mass concentration
363 of WSHM from different sized particles during haze days was compared and analyzed.
364 Fig.6 demonstrated that the mass concentrations of WSHM Pb, Cr, Cd, and Zn in size-
365 segregated particles, which were positively correlated with DNA damage before and
366 after the CAP, were enriched in the small particle size range for both before and after
367 the CAP. Despite this, the highest values before the CAP were observed in the less than
368 1.4 μm size range, and the highest values after the CAP were identified in the less than
369 1.6 μm size range. This indicated that after the CAP, the mass concentration of WSHM
370 was still enriched in the fine size range, although the particle size range has widened to
371 less than 2.0 μm , which may be related to the more complicated secondary formations
372 of particles (Shao et al., 2021).

373 The Pb and Cr masses were concentrated in the small size range of 0.43-2.1 μm
374 after the CAP in this study, and this was like the studies on the mass-size distribution
375 of heavy elements in heavy pollution episodes in Beijing in winter before the CAP,
376 which found that the Pb and Cr were mainly concentrated in the 1-2 μm size range (Li
377 et al., 2012; Tan et al., 2016). The highest mass concentrations of Cd and Zn appeared
378 in the 0.56-1.0 μm size range in the Beijing atmosphere after the Olympic games (Li et

379 al., 2012). These facts illustrated that Pb, Cr, Cd, and Zn were enriched in the fine size
380 range.

381 For the individual elements, the mass concentration of water-soluble Pb and Cr in
382 size-segregated particles was significantly increased, whereas that of Cd and Zn was
383 decreased over the particle size range after the CAP (Fig.6). In the 1-3 μm size range,
384 the mass concentrations of Cd and Zn in 2018 were lower than that in 2010,
385 corresponding to the variation of the average DNA damage as shown in Fig.5.

386 The source apportionment of Zn and Cd in airborne PM found that coal-burning
387 emissions was the major contributor (Li et al., 2017; Liu et al., 2021). The major control
388 measures of the Clean Air Action Plan and CAP include coal-fired emissions, industrial
389 emissions, vehicle emissions, dust emissions, and other measures. These measures
390 greatly controlled coal combustion (Li et al., 2020; Xu and Zhang, 2020). The mass
391 concentration of Zn, where Cd slightly decreased after the CAP, suggested that the
392 reduction of coal-burning emissions played an important role in alleviating Beijing's
393 air pollution.

394 Source apportionment in the Beijing atmosphere showed that traffic-related
395 emission was the dominant source of Cr (Huang et al., 2018b). According to the data
396 of the Beijing Municipal Bureau of Statistics, car ownership in Beijing increased from
397 4.81 million in 2010 to 6.08 million in 2018 (<http://jtgl.beijing.gov.cn>), which has
398 resulted in the mass concentration of Cr increasing after the CAP. Therefore, vehicle
399 emission controls should be increased.

400 Since 2003, Pb has been prohibited in gasoline, whereas the mass concentration
401 of Pb increased after the CAP. Therefore, this suggests that Pb may come from other
402 sources, such as regional transport (Lv et al., 2021; Wang et al., 2017; Zhang et al.,
403 2019) and yellow traffic paint (O'Shea et al., 2021), although this inference needs to be
404 further validated by more detailed studies.

405

406 **5. Conclusions**

407 (1) The DNA damage induced by water-soluble components at the particle dosage

408 of 100 μ g/ml in the smaller size ranges of 0.43-1.1 μ m was higher than that in the large
409 size ranges of 4.7-10 μ m, and the DNA damage in the haze days was higher than that in
410 the non-haze days.

411 (2) After the CAP, the DNA damage induced by the water-soluble components at
412 the particle dosage of 100 μ g/ml was slightly higher in the size range less than 1 μ m,
413 implying that the oxidative potential of finer particles increased after the CAP. Although
414 the DNA damage was slightly higher in the size range less than 0.6 μ m after the CAP,
415 the DNA damage significantly decreased in the size ranges 1-3 μ m after the CAP.

416 (3) Before and after the CAP, the positive correlation between the DNA damage
417 and the water-soluble Pb, Zn, Cd, and Cr showed that these water-soluble heavy metals
418 were most likely responsible for the oxidative potentials.

419 (4) The mass concentration of Zn, Cd slightly decreasing after the CAP, suggested
420 that the reduction of coal-burning emissions played an important role in alleviating
421 Beijing's air pollution. However, the mass concentration of Pb and Cr increasing after
422 the CAP implied that Pb and Cr were affected by other factors, such as regional
423 transport and traffic-related sources, although this inference needs to be further
424 validated by more detailed studies.

425

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Figures and Tables

Fig.1 Schematic illustration showing the principle of the plasmid scission assay.

Fig.2 Mass concentrations of size-segregated particulate matter in the size range from 0.43 μm to 10 μm

Fig.3 Mass-size distribution of total WSTE and individual water-soluble Pb, Cr, Cd, Zn, Co, Ni, and Cu over the particle size range with different particle sizes in haze and non-haze days

Fig.4 The particle-induced DNA damage at the particle dosage of 100 $\mu\text{g}/\text{ml}$ over the particle size range in haze days before and after the CAP. The data in 2010 is from Sun et al., 2014. The grey shadow areas represent the maximum of DNA damage in different particle size ranges in haze days.

Fig.5 The average DNA damage induced by size-segregated particles at the particle dosage of 100 $\mu\text{g}/\text{ml}$ in haze days before and after the CAP. The data in 2010 is from Sun et al. (2014).

Fig.6 A comparison of the mass concentration of WSHM before and after the CAP. The data in 2010 is from Sun et al. (2014).

Tables:

Table 1 Plasmid DNA damage rate (%) induced by size-segregated airborne particles at the particle dosage of 100 $\mu\text{g}/\text{ml}$ for samples

Table 2 The Pearson correlation between the concentrations of WSHM ($\mu\text{g}/\text{g}$) and DNA damage rate at 100 $\mu\text{g}/\text{mL}$ for the size-segregated particles

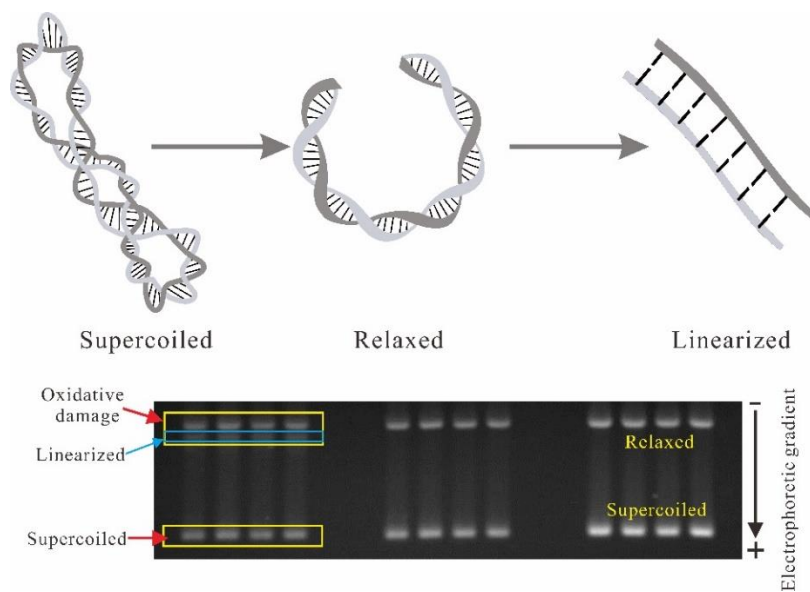


Fig.1 Schematic illustration showing the principle of the plasmid scission assay.

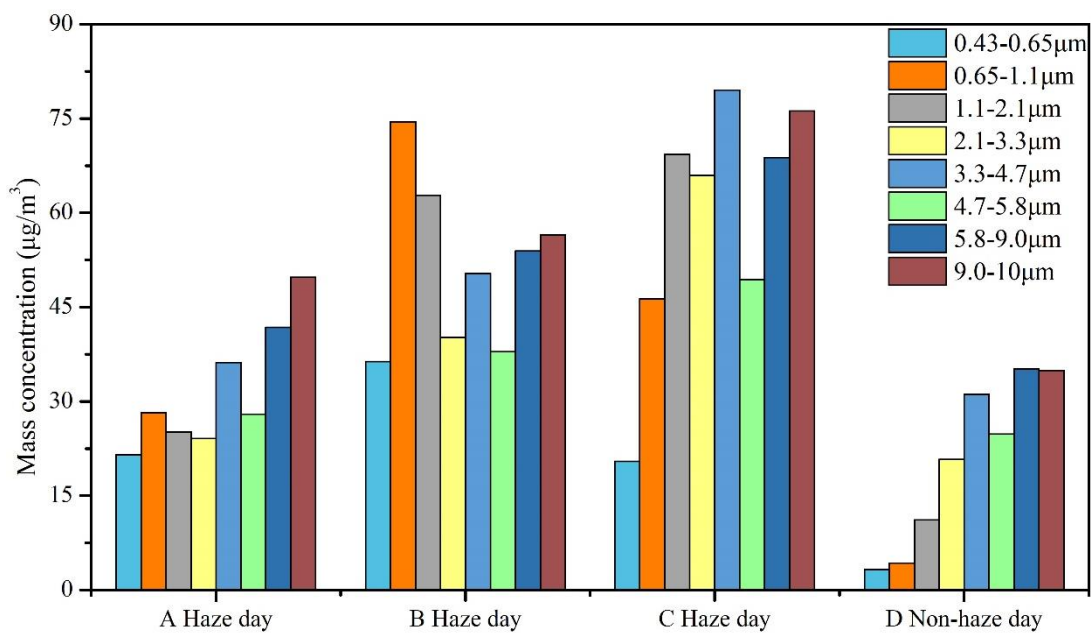


Fig.2 Mass concentrations of size-segregated particulate matter in the size range from 0.43 μm to 10 μm

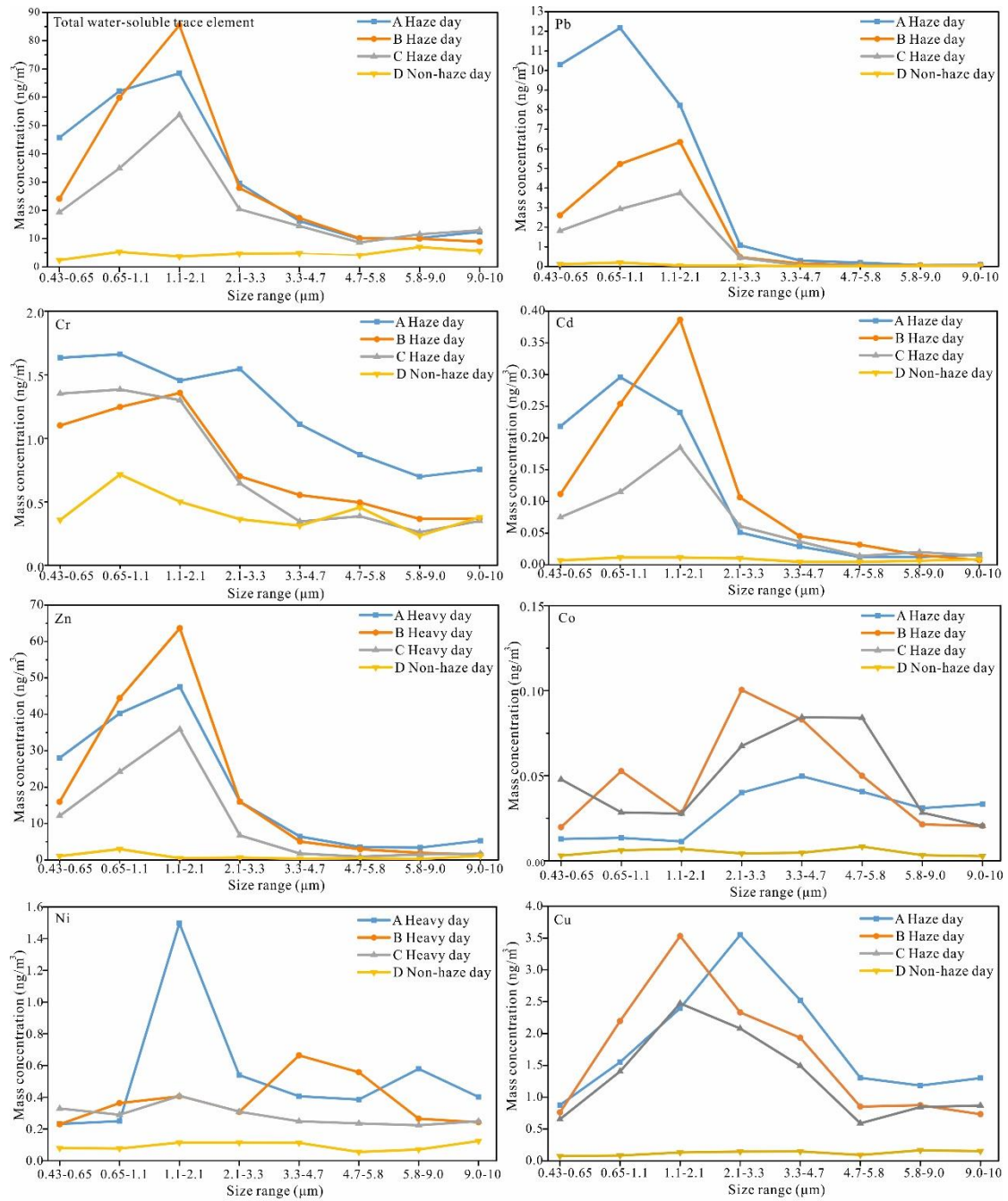


Fig.3 Mass-size distribution of total WSTE and individual water-soluble Pb, Cr, Cd, Zn, Co, Ni, and Cu over the particle size range with different particle sizes in haze and non-haze days

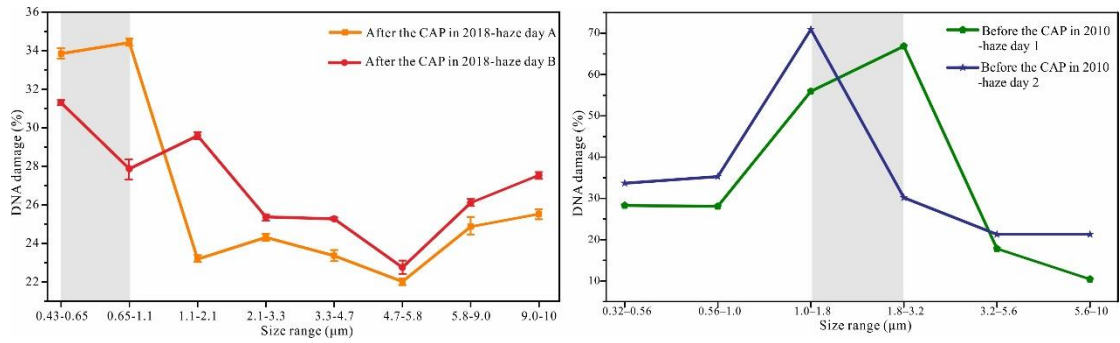


Fig.4 The particle-induced DNA damage at the particle dosage of 100 µg/ml over the particle size range in haze days before and after the CAP. The data in 2010 is from Sun et al. (2014). The grey shadow areas represent the maximum of DNA damage in different particle size ranges in haze days.

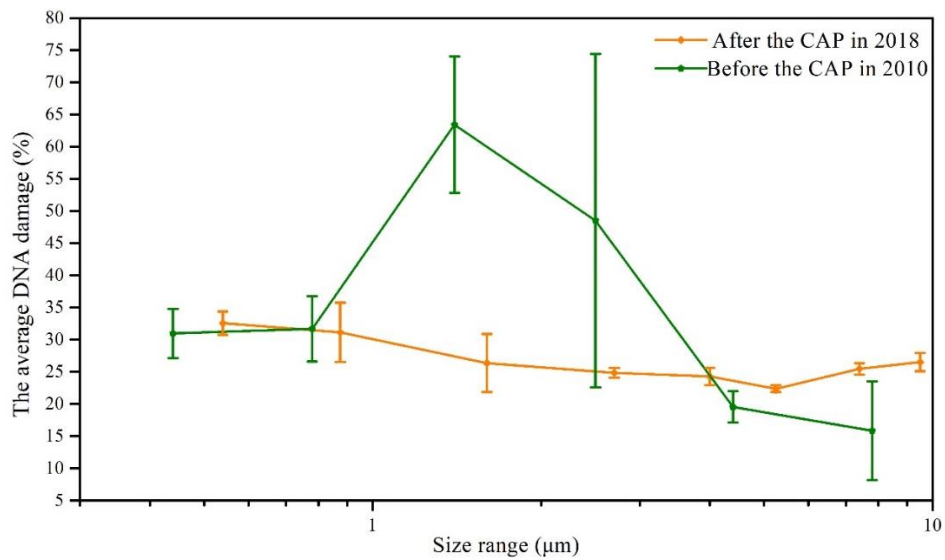


Fig.5 The average DNA damage induced by size-segregated particles at the particle dosage of 100 µg/ml in haze days before and after the CAP. The data in 2010 is from Sun et al. (2014).

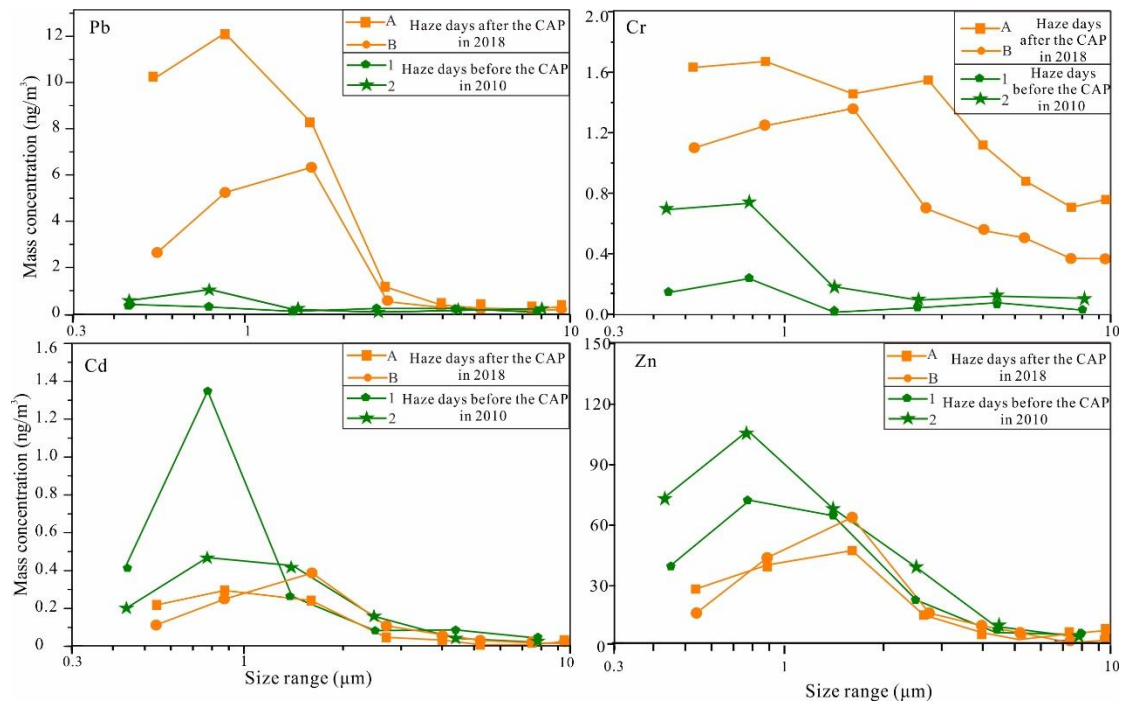


Fig.6 A comparison of the mass concentration of WSHM before and after the CAP. The data in 2010 is from Sun et al. (2014).

Table 1 Plasmid DNA damage rate (%) induced by size-segregated airborne particles at the particle dosage of 100 µg/ml for samples

Size range (µm)	A Haze day	B Haze day	C Haze day	D Non-haze day	Blank
0.43-0.65	33.84	31.29	31.58	—	
0.65-1.1	34.42	27.87	30.17	—	
1.1-2.1	23.18	29.58	25.10	16.94	
2.1-3.3	24.31	25.37	22.85	17.45	< 10
3.3-4.7	23.36	25.27	26.13	19.34	
4.7-5.8	22.02	22.75	25.21	18.32	
5.8-9.0	24.87	26.10	23.60	13.68	
9.0-10	25.53	27.54	26.27	18.56	

Table 2 The Pearson correlation between the concentrations of WSHM ($\mu\text{g/g}$) and DNA damage rate at 100 $\mu\text{g/mL}$ for the size-segregated particles

The type of elements	total	Pb	Cr	Cd	Zn	Cu	Co	Ni
Correlation coefficient	0.558 ^a	0.595 ^a	0.593 ^a	0.590 ^a	0.533 ^a	0.316	0.303	0.114

^a Significantly correlated at the 0.01 level (both sides).

Supplementary material

Fig.S1 The hourly variation of PM_{2.5} mass concentration during the pollution period

Table S1 Meteorological condition during the sampling periods

Table S2 Contents of 7 typical WSHM in size-segregated particles during the sampling periods

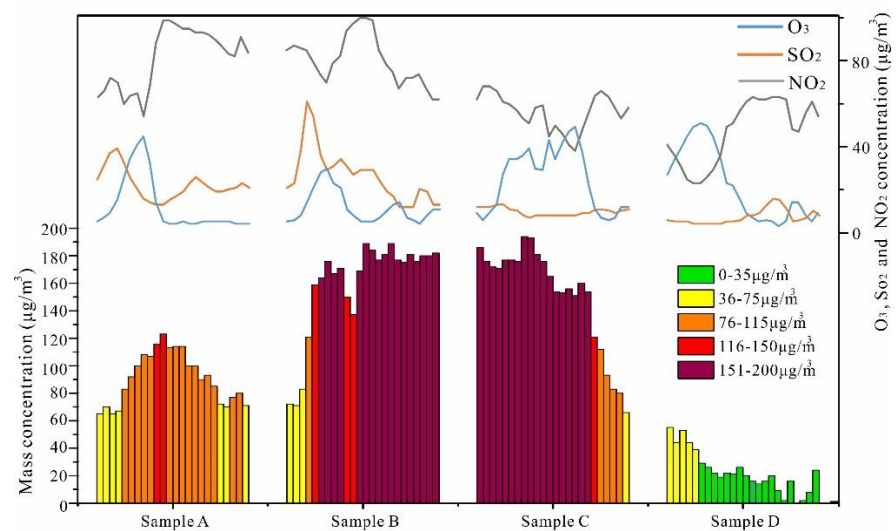


Fig.S1 The hourly variation of PM_{2.5}, NO₂, SO₂, O₃ mass concentration during the pollution period

Table S1 Meteorological condition during the sampling periods

Time period	No.	Temperature(°C)			Relative humidity(%)			Pressure(hPa)		
		Mini.	Max.	Ave.	Mini.	Max.	Ave.	Mini.	Max.	Ave.
2018.11.30-2018.12.01	A	3.7	9.1	7.9	43.5	51.2	46.8	1018.7	1019.4	1018.9
2018.12.01-2018.12.02	B	5.2	5.8	5.4	47.7	95.9	52.6	1009.7	1020.2	1018.6
2018.12.02-2018.12.03	C	5.3	7	5.9	13.9	100	44.9	1010.9	1016.2	1014.3
2018.12.03-2018.12.04	D	3.2	5.7	4.5	13.4	14.9	14	1017.1	1023.4	1019.9

According to the analysis of monitoring data and meteorological data, C was seriously influenced by wind-blown mineral dust.

Table S2 Contents of 7 typical WSHM in size-segregated particles during the sampling periods (Unit: ppt)

Size range (μm)	0.43-0.56	0.56-1.1	1.1-2.1	2.1-3.3	3.3-4.7	4.7-5.8	5.8-9	9-10	0.43-0.56	0.56-1.1
Trace elements										

Cr	15541	22352	16673	18828	17115	11879	8616	7266	13252	11244
Co	316	416	466	605	618	155	166	123	246	193
Ni	2202	3346	17165	6577	6259	5257	7148	3873	2762	3277
Cu	8333	20840	27506	43265	38910	17783	14616	12532	9165	19815
Zn	266517	541056	545199	194726	99197	47873	42060	51197	191974	401097
Cd	2077	3977	2758	623	445	170	151	156	1344	2290
Pb	97877	163697	94267	13089	4638	2596	791	887	31335	47172

Trace element	Size range (μm)											
	1.1-2.1	2.1-3.3	3.3-4.7	4.7-5.8	5.8-9	9-10	0.43-0.56	0.56-1.1	1.1-2.1	2.1-3.3	3.3-4.7	4.7-5.8
Cr	15517	9136	6310	7055	5242	4931	13782	14746	12972	6895	3664	3763
Co	571	1083	1145	399	756	265	208	300	838	900	716	270
Ni	4634	3999	7567	7945	3796	3238	3350	3081	4065	3288	2631	2287
Cu	40374	30453	22044	12140	12541	9798	6675	14953	24681	22217	15840	5743
Zn	727730	208432	57446	42204	28069	20698	123609	258221	357903	72140	18664	9277
Cd	4418	1387	516	453	220	100	766	1225	1843	651	390	135

Pb	72557	6165	1698	833	730	733	18480	31153	37387	4756	638	503
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Trace element	Size range (μm)									
	5.8-9	9-10	0.43-0.56	0.56-1.1	1.1-2.1	2.1-3.3	3.3-4.7	4.7-5.8	5.8-9	9-10
Cr	2635	3067	12843	14892	6819	3619	3616	5293	2270	3718
Co	286	419	97	67	113	47	50	81	60	30
Ni	2247	2174	2822	1584	1547	1133	1295	631	677	1213
Cu	8511	7619	2635	1731	1806	1458	1718	1066	1624	1491
Zn	15082	15172	38589	62049	6377	6124	3554	5293	2159	11260
Cd	202	126	247	242	160	102	55	55	63	83
Pb	237	470	3973	4072	620	456	80	100	267	282