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1 **Lower Devonian lycophytes from Sichuan and the palaeogeographic context of coeval**  
2 **plant assemblages from South China.**

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8

9 Devonian plants from Sichuan

10

11 **Abstract**

12

13 • Premise of the Research

14 Devonian assemblages from South China have become an important source of data on the  
15 rise of land plants thus supplementary to a scenario historically based on fossils from the  
16 Laurussian region and Siberia. Less attention has been placed on assemblages from  
17 Sichuan compared with Yunnan and adjacent provinces of China, and their  
18 palaeogeographic significance.

19

20 • Methodology

21 Descriptions of plants with enations, including lycopsids, are based on coalified compression  
22 fossils lacking anatomy, and complete our analyses of the Sichuan Lower Devonian  
23 assemblages. Compiled species lists for the entire assemblage are compared with those  
24 from coeval assemblages from South China using simple statistical methods (including  
25 Simpson's coefficient of similarity)

26

27 • Pivotal Results

28 Studies confirm the presence of endemic lycophytes and emphasise the importance of  
29 detailed study of *Drepanophycus spinaeformis* before any conclusions on its global  
30 occurrence can be made.

31

32 • Conclusions

33 Statistical analysis of the whole flora confirms the distinctiveness of the assemblage from  
34 Sichuan from those of Yunnan, but the distinctiveness of two further subregions in South  
35 China requires further taxa to be described.

36

37 **1. Introduction**

38 Historically, the dominant source of knowledge of the Devonian rise of land plants has come  
39 from fossils discovered from the Laurussian region, particularly North America, Europe, the  
40 European Arctic and Siberia. More recently China, and particularly South China, has  
41 become an important source of data. The South China Continent lay close to the  
42 palaeoequator a long way from Laurussia (Torsvik and Cocks 2017), and therefore the  
43 differences between the Laurussian and South Chinese Devonian plant fossil assemblages  
44 have the potential to change our perception of plant evolution during this important time. In  
45 this paper we will concentrate on Early Devonian age plant assemblages from Sichuan  
46 Province, and compare them with other plant assemblages of an approximately equal age  
47 from Guangxi, Guizhou and Yunnan Provinces.

48 Previous descriptions and reinvestigations of the Lower Devonian assemblages in the  
49 Pingyipu Group, Jiangyou County, Sichuan (Geng 1992a,b; Xu and Wang 2009; Edwards,  
50 Geng and Li 2016; Edwards and Li 2018a,b) have confirmed the high degree of endemism  
51 in Sichuan. Our recent studies concentrated on plants with smooth stems including (1) those  
52 with terminal sporangia related to the rhyniophytes (e.g. *Jiangyounia gengi*, *Polycladophyton*  
53 *gracilis* Edwards and Li 2018a), (2) those with sporangia aggregated into strobili belonging to  
54 the zosterophylls (*Guangnania minor* (Edwards et al. 2016), *Zosterophyllum ovatum*,  
55 *Ornicephalum sichuanense* (Edwards and Li 2018b), *Bauyinia sichuanensis*, *Sichuania*  
56 *uskielloides* (Edwards and Li 2018a)), (3) those where strobili contain bracts (barinophyte  
57 *Adoketophyton pingyipoensis*, Edwards and Li 2018b) as well as plants with highly branched  
58 terminal fertile complexes (*Amplectosporangium* species, Edwards and Li 2018b). *Yanmenia*  
59 (*Zosterophyllum*) *longa* is an exception because it comprised leafy plants reminiscent of  
60 lycopsids, but with no reproductive or anatomical characters that would allow unequivocal  
61 assignment to that lineage (Edwards et al. 2016). Here we reinvestigate the fossils originally  
62 placed in the genus *Drepanophycus* by Geng (1992a) and provide some further data on  
63 specimens originally named *Leclercqia* by Geng (1992a) that confirm the need for a different  
64 genus as was suggested by Xu and Wang (2009) on the basis of its highly dissected leaf  
65 tips.

66 The revised list of taxa indicates that, apart from *Zosterophyllum* and *Drepanophycus*, most  
67 of the genera noted in the Sichuan flora are endemic. This invites further consideration of the  
68 reasons for the distribution of the two disparate plant groups in China in addition to their  
69 relationships on a global scale in Early Devonian times.

70

71 **2. Locality, stratigraphy and age**

72 Assemblages have been collected from three horizons in roadside exposures in the lower  
73 part of the Pingyipu Group in the Tangwanzhai Syncline near Yanmenba, Jiangyou County,  
74 Sichuan (see Edwards et al. 2016, lithological log on p. 4). The plants described below with  
75 undivided leaves come from near the base of the sequence (Horizon 1), and the new taxon  
76 with much divided leaves (*Multapicifolium*) from near the top (Horizon 3). There is no direct,  
77 independent evidence for the age of the sequence, but it is estimated to extend from the  
78 Lochkovian to the upper Pragian. Horizon 1 is considered to be Lochkovian or possibly  
79 lowermost Pragian and Horizon 3, uppermost Pragian (Edwards et al. 2016).

80

### 81 **3. Material and methods**

82 Most of the plants are preserved as coalified compressions, but the coal has disappeared to  
83 varying extents. Where missing the exposed rock is slightly paler and flatter than the  
84 entombing matrix. All taxa with enations recovered from Horizon 1 are lycophytes, except  
85 for one where anisotomously branching slender stems, c. 1.0-2.0 mm diameter (Fig. 1a) bear  
86 narrow spines c. 0.5 mm long and 0.1 mm wide (Fig. 1b). It was figured by Geng (1992a,  
87 Plate 5, figs. 43, 44) and named *Psilophyton* sp., but in the absence of reproductive parts  
88 and anatomy is better named *Psilophytites* sp. (Høeg 1952). It indicates the existence of a  
89 grade of organisation, but has no systematic or palaeogeographical significance. The older  
90 fossils (Horizons 1 and 2) are preserved in a buff to grey, relatively soft silty sandstone. The  
91 rock in the upper horizon (3) is a darker grey and much harder, and the fossils more heavily  
92 coalified.

93 Examination under dissecting microscopes in Cardiff and Beijing was followed in Cardiff by  
94 photography with polarised light using a Nikon D800 digital camera, and for higher  
95 magnification a LEICA MZ 16 stereo microscope using Leica Application Suite (LAS)  
96 software. A similar system was used in Beijing. Neither anatomical data nor spores were  
97 revealed using Schultz solution.

98 All specimens are housed in the Palaeobotanical Museum of China, Institute of Botany,  
99 Chinese Academy of Sciences, Xiangshan, Beijing.

100

### 101 **4. Fossils attributed to *Drepanophycus***

102 These include specimens described by Geng (1992a) as *Drepanophycus spinaeformis* (his  
103 Plate 6, 48, 49), *D. spinosus* (Plate 6, figs 50-52) and *Drepanophycus* sp. (Plate 6, figs 45-

104 47) as well as newly collected material. The specimens with markedly different leaf  
105 morphology named as *Drepanophycus* sp. will be considered later.

106

#### 107 **4.1. *Drepanophycus* cf. *spinaeformis* (Figs 1c, 2, 3)**

108 Cursory examination of the specimens appears to indicate that two distinct taxa are present  
109 based on size, the larger named *D. spinaeformis* by Geng and the slenderer, *D. spinosus*.  
110 However, subsequent more detailed analysis shows that leaf morphology, viz narrow  
111 laminae tapering from a slightly decurrent base to a rounded acuminate tip producing a  
112 falcate profile (Figs 2a, b, e), is similar, while stem and leaf dimensions form a continuum. In  
113 both, branching is rare but either dichotomous (Figs 2d, 3d) or anisotomous having  
114 developed from lateral buds with crowded, shorter leaves (Figs 2f, 3h), these smaller leaves  
115 being confined to the base. Leaf arrangement cannot be determined as there is vertical  
116 overlap and even where leaf bases are seen in relief on stem surfaces (Fig. 2f), the area  
117 preserved is too short to determine phyllotaxis.

118 A prominent difference between Geng's two species relates to the amount of coalified matter  
119 remaining on the specimens. Thus considering the larger specimens, assigned to *D.*  
120 *spinaeformis* (Geng 1992a) and illustrated here (Figs 1c, 2a-d, 3e, g, h), the stems are broad  
121 and preserved as smooth impressions, a little darker grey than the surrounding matrix, with  
122 little or no coal remaining, this being largely confined to tips of leaves and presumed  
123 vascular tissues (Fig. 2a).

124 In those specimens with narrower stems, the smallest examples may be almost completely  
125 coalified with more or less continuous black, glassy surfaces (Fig. 3d). In others, including  
126 those named *D. spinosus* by Geng (1992a, Plate 6, figs 50, 52), the coal is sporadic, less  
127 cohesive and flaky, revealing the stained matrix below (Fig. 2f) or almost completely absent  
128 (Fig. 2e). Leaves appear more crowded on the narrow stems of this second group, but  
129 dimensions (Table 1), while differing in their means, show small overlap in their ranges. This,  
130 plus leaf morphology, leads to the conclusion that all the specimens are conspecific, the  
131 smaller (Type 1 here), in some instances possibly representing distal parts of the plant.  
132 Indeed, a number of specimens with truncated ends perhaps represent apices (Figs 3c, d)  
133 and a similar termination with crowded needle-like leaves was illustrated by Geng (1992a,  
134 Plate 6, fig. 51). It was thought to bear circular sporangia, 0.3 to 0.35 mm in diameter,  
135 directly attached to the stem.

136 A unique specimen, preserved as part and counterpart, in demonstrating the two  
137 preservation forms, offers an alternative explanation for the difference. One has abundant  
138 coalified material (Fig. 3b) and the other is essentially an impression with the coalified  
139 material concentrated in vascular strands and leaves (Fig. 3a). In the latter, it resembles the  
140 condition in the wider stems, where the longitudinally running, usually centrally placed  
141 vascular strands are remarkably consistent in diameter (<0.8 mm). However, it seems  
142 unlikely that all the wider illustrated examples are counterparts. Perhaps more likely taking  
143 into account the size differences, in the wider forms originally coalified material was  
144 dislodged during collection, subsequent transport and storage.

145 *Vasculature.* As noted above, a conspicuous feature of the wider stems is the longitudinally  
146 orientated strip of coalified material interpreted as the remains of vascular tissues (probably  
147 xylem). Slightly narrower are the lateral traces that depart at an acute angle (Fig. 2a) and  
148 remain within the ground tissue for some distance before entering the base of a branch (Fig.  
149 2d) or lateral bud (Fig. 3h – white arrow). Even narrower but shorter strands depart at a  
150 narrow angle and end in lightly coalified circular areas (Figs 2c - arrow, 3e, h – black arrow).  
151 The latter are interpreted as sporangia where sporopollenin might be more resilient to decay.  
152 Such an interpretation would explain the observation that lateral traces are far more  
153 abundant than branching.

154 Throughout this investigation, it has been assumed on comparative morphological grounds  
155 that the enations/leaves are microphylls, but apart from very faint, longitudinally orientated  
156 lines in the ground tissue (Fig. 1c - arrows), there is no convincing evidence for leaf traces,  
157 their absence probably indicating their slender nature when compared with traces to  
158 branches and sporangia.

159 The fossilisation of the strand suggests that its tissues were more resistant to decay than  
160 those of the ground tissues, be they parenchyma or aerenchyma. Displacement of the stem  
161 traces (Fig. 3g) is considered to result from the probably rapid decay of these extraxylary  
162 tissue resulting in compression of an essentially empty cuticularised cylinder. This was  
163 postulated by Hueber (1992) for both *Drepanophycus* and *Asteroxylon*.

164

## 165 **4.2. Identification**

166 Lycopside with simple leaves in the vegetative state and lacking anatomical detail are  
167 notoriously difficult to identify. From leaf shape and branching patterns, the above  
168 specimens are concluded to belong to the genus *Drepanophycus*. Again, on morphological

169 grounds and distribution of leaves, they resemble *D. spinaeformis* which, as evidenced from  
170 the specimens illustrated by Li et al. (2000) in their distinction of the neotype from an  
171 extensive Emsian assemblage from New Brunswick, display considerable variation in form  
172 and preservation. However their circumscription of the species included leaf shape,  
173 orientation and vascularisation, stomatal characters, stem anatomy and cauline sporangia.  
174 The Sichuan specimens resemble *D. spinaeformis* on vegetative morphology, but no further  
175 diagnostic characters, not even microphylls, have been demonstrated. Considering the  
176 characteristics of the remaining then adequately known species, Grierson and Hueber  
177 (1967) concluded that *D. spinaeformis* could be distinguished from other species such as *D.*  
178 *gaspianus*, *colophyllus* and *eximus* because it possessed 'loose spiral to irregular' leaf  
179 arrangement, as is seen in the Sichuan specimens. We reject Geng's placing some of the  
180 specimens in *D. spinosus* and agree with Grierson and Hueber (1967) that this Middle  
181 Devonian taxon (Kräusel and Weyland 1933) 'may prove to be several species or even  
182 genera'. Nevertheless, we cannot be certain of their assignation to the type species. We  
183 faced a similar dilemma when we erected a new species, *D. qujingensis* from Yunnan (Li  
184 and Edwards 1995), on the basis of leaf orientation, potentially a taphonomic effect, and  
185 stomatal characteristics, the latter impossible to distinguish in the Sichuan coalified material  
186 where cuticles are lacking. However Li et al. (2000) questioned our decision that was largely  
187 based on stomatal apparatus. Thus, as a consequence of this dearth of characters, we  
188 choose to emphasise uncertainty by the use of the species prefix cf.

189

#### 190 **4.3. Drepanophycalans in the Chinese Lower Devonian**

191 Although we cannot be certain that *D. spinaeformis* existed in Sichuan, it is of some interest  
192 to explore its distribution in the Chinese Lower Devonian. Surprisingly, neither  
193 *Drepanophycus spinaeformis* nor *D. qujingensis* is recorded in the Posongchong Formation,  
194 but vegetative fragments of a putative drepanophycal, *Hueberia zhichangensis* (Yang, Li  
195 and Edwards 2009), have been found in the Formation near Zhichang village, south of  
196 Wenshan. The stems, up to 1.8 mm wide, branch isotomously and presumed distal upright  
197 parts bear falcate enations arranged in a regular helix with 6 to 8 per gyre. The enations are  
198 0.6 - 1.0 mm wide and 0.9 – 1.6 mm long.

199 The original description of *Hueberia zhichangensis* Yang, Li et Edwards (2009) was based  
200 on only two specimens from from the Posongchong Formation of Wenshan. Xue (2013)  
201 described an extensive assemblage of *Hueberia zhichangensis* and has provided further  
202 information on gross morphology including buds, sporangia and the interpretation of basal  
203 smooth axes as rhizomes. Most importantly, he illustrated vascular strands in stems and



204 lateral appendages, thus confirming them as microphylls and allowing assignation to the  
205 lycophytes. In a comparative list including global coeval representatives, he included  
206 *Drepanophycus qujingensis* (Li et Edwards 1995) from the same area but rejected  
207 relationship on the basis of the latter's greater dimensions in axial width, and absence of its  
208 anatomical distinguishing features. Such differences would also apply to comparisons with  
209 *Drepanophycus spinaeformis*, not recorded in the same formation. Since size is not a  
210 satisfactory criterion for distinction of species, there remains the possibility that *Hueberia*  
211 might be congeneric with *Drepanophycus*. Only the discovery of anatomy will resolve this  
212 enigma.

213 *Drepanophycus qujingensis* Li et Edwards from the Xujiachong Formation in Zhanyi County,  
214 Qujing, Yunnan was originally described as *D. spinaeformis* by Halle (1936) from the then  
215 named Longhuashan Formation and subsequently noted as *D. spinaeformis* by Li and Cai  
216 (1978). As mentioned above, Li and Edwards (1995) erected a new species on this material  
217 from leaf orientation, stomatal anatomy and reproductive characters.

218 Liu et al. (2004) had reported the genus from ten horizons in the Xujiachong Formation in  
219 Yunnan including *D. qujingensis*, with no detailed descriptions, and a fertile record of *D.*  
220 *spinaeformis* from Dushan (Fig. 4), Guizhou Province (Geng and Zhu 1994) was also  
221 considered to belong to *D. qujingensis* (Li and Edwards 1995). The same assignation might  
222 be appropriate for leafy stems placed in *D. spinaeformis* and two new species of  
223 *Drepanophycus* also from the Xujiachong Formation, as well as *D. spinaeformis* from the  
224 underlying Guijiatum Formation (Fang et al. 1985). Further afield (see Fig. 4), the latter has  
225 been recorded at Cangwu in the Shiqiaopo Group, in eastern Guangxi, at Lijiang, Yunnan, in  
226 the Banmandaodi Formation, in and in clastic rocks in the Changning area of western  
227 Yunnan, which was possibly part of the Shan-Thai palaeocontinent (Cai and Wang 1995,  
228 Domeier 2018). All these Chinese occurrences of *D. spinaeformis* require further  
229 investigation and validation before they can be considered unequivocal records of the type  
230 species. Such caution is essential before phytogeographic analysis as it would be the only  
231 cosmopolitan species in the Early Devonian flora of the South China plate.

232

#### 233 **4.4. Global distribution of *Drepanophycus spinaeformis***

234 Numerous records of *D. spinaeformis* occur from Lochkovian to Emsian localities on both  
235 European and north American areas on the palaeocontinent Laurussia. Li et al (2000) set  
236 the standard for unequivocal identification of the species in their circumscription of the  
237 neotype based on New Brunswick material, which includes morphological, anatomical and

238 reproductive data. The latter were missing from Scottish Emsian material (Raynor 1984),  
239 while other records are based variably on gross morphology, including branching and roots,  
240 epidermal anatomy etc. (e.g. Gensel et al. 2001). Thus plants with falcate leaves, some  
241 assignable to the type species with confidence, certainly grew in North American and  
242 Scottish Laurussia, and probably elsewhere in Europe. This, taking Chinese records into  
243 account, makes *Drepanophycus* a rare example of a cosmopolitan genus in Lower Devonian  
244 times. However we cannot accept *Drepanophycus spinaeformis* as a cosmopolitan species  
245 without further scrutiny.

246

## 247 **5. Other specimens attributed to the genus *Drepanophycus* by Geng (1992a) Fig. 5**

248 Geng illustrated four fragments (1992a; plate 6, figs 45-47) named as *Drepanophycus* sp. Of  
249 these, the longest (120 mm) is unbranched, and the better, more completely preserved  
250 example also illustrated here (Fig. 5), bifurcates at the base and is c. 94 mm long. The  
251 leaves are roughly triangular in outline with their long axes almost perpendicular to the stem,  
252 except for two close to the branch point, where the lamina is slightly reflexed. Leaf bases are  
253 slightly decurrent and tips rounded. They are 6.1-9.0 mm long ( $\bar{x}$  = 6.4, n = 100) and 5.9-7.9  
254 mm wide at base ( $\bar{x}$  = 6.2, n = 10). The surfaces of the regularly inserted leaves are  
255 continuous with that of the stem suggestive of attachment on its side, although the base of a  
256 few demonstrate that insertion is three-dimensional. Some are indicated by a crescent -  
257 shaped depression (Fig. 5c - black arrow) and others are 'behind' the axis (Fig. 5b - black  
258 arrow). Thus leaf arrangement is equivocal. The surface of the specimen illustrated here  
259 show longitudinal, irregular ridging, which extends onto the basal regions of the leaves.  
260 Figure 5b also shows leaves where a very straight, narrow, linear structure emanating at  
261 right angles from the stem extends into the lamina (white arrows), a further example  
262 enlarged in fig. 5c (white arrow). This is interpreted as a leaf trace, but while a narrow,  
263 central longitudinally orientated strand is clearly illustrated by Geng in the centre of the axis  
264 on the same specimen, it is less apparent under our dry, cross polar photography.

265 *Identification* Triangular vascularised enations are interpreted as microphylls, with the  
266 inference of a lycopsid affinity and probably within the Drepanophycales, but in the absence  
267 of anatomy and fertile organs is better left as *Incertae sedis*.

268

## 269 **6. Specimens attributed to *Leclercqia* (Geng 1992a; plate 7, figs 62-64) Figs 6, 7**

### 270 **6.1. Description**

271 Geng illustrated two specimens, a 295 mm long well-preserved stem with many leaf bases  
272 and laterally compressed leaves, and a shorter specimen in which one of the leaves,  
273 showing a division into at least four segments, was the most prominent preserved feature.  
274 Macerated leaf fragments (Geng 1992a, Plate 8) suggested at least six segments.  
275 Reinvestigation of the longer specimen (specimen 8355; Geng 1992a, Plate 7, fig. 64) by Xu  
276 and Wang (2009) has shown that doubts concerning the identification of the leafy lycophyte  
277 as *Leclercqia* (Cai and Wang 1995; Hao and Gensel 2001; Xu and Wang 2009; Hao and  
278 Xue 2013) were justified as evidenced by their description of a leaf which comprised at last  
279 12 distal segments. They were able to show, following removal of several layers of sediment  
280 around a laterally compressed leaf, that the segments were preserved at different levels, and  
281 that 'lateral' ones appeared shorter but there was no obvious recurved central segment, the  
282 presence of such a central segment being characteristic of the five to at least seven tipped  
283 leaves of those species of *Leclercqia* with three dimensional leaves (e.g. Banks et al. 1972;  
284 Xu et al. 2011). Xu and Wang (2009) considered an incomplete 'oval-elongate' structure (c.  
285 1.3 mm long, 0.8 mm high) a sporangium which was adaxially attached halfway along the  
286 proximal undivided part of an unmodified leaf.

287 We here illustrate the smaller specimen (specimen 8354; Geng 1992a, Plate 7, figs 62, 63),  
288 which was not studied by Xu and Wang, including the small cluster of segments terminating  
289 the leaf (Fig. 6d, e), which led Geng to suggest identity with *Leclercqia*. It differs from the  
290 longer specimen, by longer leaf length before division (c. 4.0-4.3 mm v 2.5-2.7 mm) in its  
291 smaller diameter (c. 8 mm v. 11.3-12.1 mm), and probable fewer leaves per gyre.

292 In both specimens, where the leaves are observed beside the stem, they are inserted  
293 perpendicularly to about 45° above the perpendicular, and are slightly to moderately curved  
294 adaxially.

295 Xu and Wang (2009) concluded that additional information was needed before naming the  
296 specimens, although they appreciated their distinction from other Devonian lycophytes with  
297 divided leaves.

298 During the course of our reinvestigation of the assemblage from Horizon 3 in which we  
299 described the new zosterophyll, *Guangnania minor* (Edwards et al. 2016), we discovered a  
300 third occurrence of the lycophyte close to the strobilus. Alongside a compressed relatively  
301 narrow stem (2.1 mm diameter) with a typical proximal part of a leaf, is a further unusually  
302 preserved stem which is compressed flat, but oblique to the slab surface, appearing as an  
303 almost linear feature (Fig. 6a). It bears on both sides vertically compressed basal regions of  
304 leaves, the most completely preserved numbering ten with a further three attached on part of  
305 the stem inclined to the rest. Two of the leaves appear more or less complete (Fig. 6b). The

306 base of the lamina is 0.25-0.35 mm wide, and narrows gradually proximally and then to a  
307 gentle taper distally. Its minimum width of 0.22-0.25 mm is some 1.7-2.0 mm from the base.  
308 The end of the lamina forms a broad expanded area, 0.4-0.5 mm wide and about 0.4 mm  
309 long. The numerous distal segments emerge perpendicular to the margin of the expanded  
310 termination of the lamina to form a broad fan, including some of which appear to point  
311 obliquely back towards the stem. The total number of segments is up to 15, of approximately  
312 equal length and width. The individual segments are 0.04-0.06 mm wide at the base, and up  
313 to at least 0.75 mm long, tapering over their entire length. No branching of the segments has  
314 been observed.

315 The overall three-dimensional arrangement of the segments at the end of the lamina is hard  
316 to interpret from the limited number of compressions that have been examined. In face view  
317 the segments seem to form a continuous fringe of non-overlapping segments which are  
318 divergent distally. In lateral compression, which is presumably partially oblique after impact  
319 with the sediment during preservation, the arrangement is more complicated (e.g. Geng  
320 1992a Plate 7, fig. 63; Xu and Wang 2009). Geng (1992a, Plate 8) illustrated 3-D leaf  
321 fragments obtained by maceration, which suggest that the termination of the leaf petiole was  
322 a broad structure which had an oblique flat surface sloping in towards the adaxial surface of  
323 the leaf, and that the segments were arranged around this except where that surface  
324 intersected the adaxial surface of the lamina proximally.

325 A fourth specimen (Fig. 6c) from the horizon comprised a poorly preserved axis with jumbled  
326 mass of leaves, lacking terminal features. Among them are some darker patches, with  
327 irregular patches which may represent sporangia, but indicate little or no distinct shape or  
328 attachment.

329 Our reconstruction of the leaf of *Multapicifolium*, summarising our interpretations of the  
330 above descriptions, is presented in Figure 7.

## 331 **6.2. Identification**

332 Although none of the specimens show definitive phyllotaxis, the regularity and density of leaf  
333 insertion along the margins of the compressed axes is exactly as expected of a small  
334 herbaceous lycopsid. This is supported by the probable partially preserved adaxial  
335 sporangium illustrated by Xu and Wang (2009).

336 In the Early Devonian of South China, small, probably herbaceous, lycophytes are known  
337 from Yunnan but have undivided leaves. Two of these, *Zhenglia* (Hao et al. 2010) and

338 *Halleophyton* (Li and Edwards 1997) have obvious rhomboidal leaf bases. Others are more  
339 similar to the *Drepanophycus* forms described above.

340 Herbaceous lycopsids with divided leaves and small leaf bases generally belong to the  
341 Protolpidodendrales. The best-known Early Devonian forms are those of *Leclercqia*  
342 *andrewsii* from the Emsian of Maine (Gensel and Kasper 2005). These terminate in five quite  
343 broad segments, of which the central one is the broadest and longest, the overall shape  
344 being planate.

345 Of Middle Devonian Protolpidodendrales, those leaves that divide into segments are  
346 generally 3-tipped (genus *Colpodexylon* Banks 1944), or 5-7 tipped (genus *Leclercqia* Banks  
347 et al. 1972). In both forms the central segment is the largest. In Middle Devonian *Leclercqia*  
348 the central segment is usually abaxially recurved, and the lateral segments are arranged on  
349 either side in distinctive three-dimensional subunits which are not the result of dissection of a  
350 flat structure. In *Colpodexylon*, the leaf is essentially a dissected flat leaf.

351 In South China there is an endemic protolpidodendralean, *Minarodendron* Li (1990), in  
352 which the three segments are not arranged in a single plane, but the central tip, which is not  
353 enlarged, is abaxially recurved (Liu et al. 2013).

354 If the identity of the new plant as a protolpidodendrid is correct, it is unique in that it has a  
355 lamina which is dissected into a very large number of approximately equal segments, lacking  
356 a large central segment. This is very distinctive. It is possible to envisage how this relatively  
357 early form might have evolved into the later forms of Protolpidodendrales as summarised  
358 above.

359 Considering alternative affinities, intriguing potential early sphenophylls have been reported  
360 from Yunnan including *Estinnophyton yunnanese* Hao et al. 2004 and *Cervicornus*  
361 *wenshanensis* Li et Hueber 2000. *Estinnophyton* has very slender leaves which are  
362 dichotomised twice, including near the base, and has two sporangia attached near the  
363 second dichotomies. *Cervicornus* is similar but has a third dichotomy meaning that there are  
364 eight terminal segments. Although the overall appearance of *Cervicornus* is superficially like  
365 the new plant, the detail of how the several slender segments are derived is different – rather  
366 than arising from a flat spoon-shaped termination of a lamina, it is achieved by multiple  
367 dichotomies, and the leaves have a deep medial dissection. Furthermore, leaves are much  
368 more sparsely arranged in whorls. It is very unlikely that the new plant is allied to either  
369 genus and hence the sphenophylls.

370

371 **6.3 Systematics**

372 Class Lycopsidea *sensu* Hao and Xue 2013

373 Order Protolopodiales

374 Family Incertae sedis

375 *Genus* *Multipicifolium* Edwards, Li et Berry *gen. nov.*

376 Generic diagnosis. Small lycopodiaceous plant with densely inserted microphylls.

377 Microphylls, inserted at 45°, have a narrow lamina which broadens slightly distally to form a  
378 circular to oval termination, from the margin of which up to at least 15 equally spaced very  
379 slender segments diverge in a digitate, radiate arrangement in all directions except  
380 backwards parallel to the laminae, and are of approximately equal length.

381 Derivation of name. From the latin; *multus* (many, numerous), *apex* (tip), *folium* (leaf).

382 Type. *Multipicifolium sinense* *gen. et sp. nov.*

383 *Multipicifolium sinense* Edwards, Berry et Li *sp. nov.*

384 Diagnosis (based on Xu and Wang 2009 and this work). Axes 8-13 mm diameter, bearing  
385 leaf attachments which appear to be helically inserted. Leaf lamina 0.25-0.5 mm wide, 2.5 -  
386 4 mm long before division. Distal segments 0.04 - 0.06 mm wide, and up to 0.9 mm long with  
387 fine (subulate) tip. Probable sporangium oval-elongate, c. 1.3 x 0.8 mm on isomorphic  
388 sporophyll.

389 Holotype: Palaeobotanical Museum of China, Institute of Botany, 9291a (figs 6a, b)

390 Locality of holotype: Yanmenba section, Jiangyou District, North Sichuan. Stratigraphy and  
391 age: Horizon 3 in upper part of the Yanmenba section, Pingyipu Group, Tangwanzhai  
392 Syncline; uppermost Pragian (Edwards et al. 2016).

393 Etymology: from China.

394

395 **7. Summary of taxonomic revision of the Sichuan assemblages**

396 This paper concludes taxonomic revision of the Sichuan Lower Devonian plant fossil  
397 assemblages (Edwards et al. 2016, Edwards and Li 2018a, Edwards and Li 2018b, this  
398 paper), based on the original study by Geng (1992a, b) and original field work. These  
399 revisions are summarised in Table 2.

400

## 401 **8. Discussion**

### 402 **8.1. Lower Devonian palaeogeography and geological history**

403 Figure 8 shows a reconstruction based on Torsvik and Cocks (2017) of palaeocontinents at  
404 410 million years, which emphasises the distribution of the four major land masses, viz.  
405 Gondwana, Siberia, North China/Tarim and Laurussia. Other plate reconstructions show  
406 some variation, e.g. in the position of Siberia (e.g. Scotese and McKerrow 1990) and the  
407 orientation of the South China plate (e.g. Fig. 5.5 based on Boucot et al (2009) in Hao and  
408 Xue (2013)). All indicate that the South China plate, united with Annamia (Indochina), was  
409 situated at the northern margin of east Gondwana within the tropics (Bai and Bai 1990).  
410 Earlier in the Palaeozoic, indeed even in Proterozoic times, these areas, based on  
411 palaeomagnetic and faunal evidence, were attached to the northeast Gondwana Himalayan  
412 – west Australian region (e.g. Metcalfe 2013). Cambrian and Silurian palaeomagnetic data  
413 (Yang et al. 2004, Huang et al. 2018) are considered to indicate juxtapositioning of South  
414 China with northwest Australia, although other reconstructions show intervening terranes  
415 (e.g. Sibumasu, Qiangtong (Metcalfe 2011, Cocks and Torsvik 2013)), but whether those  
416 formed emergent land masses cannot be determined. However in the Late Silurian – Early  
417 Devonian, rifting and separation of South China plate (and arguably Annamia) represented  
418 the early stages of the formation of the Palaeo-Tethys ocean. Thus began the separation of  
419 the present South China plate from Gondwana (e.g. Domeier and Torsvik 2014: Fig. 4), with  
420 its isolation established by the end of the Middle Devonian, as evidenced by the presence of  
421 endemic freshwater fish. Today, the South China Continent (SCC), based on tectonic,  
422 lithological and biotic features is one of the 11 regions of China. It is further subdivided into  
423 two former Precambrian cratons, the Cathaysia block and the Yangtze block (Domeier  
424 2018), separated by a complex of faults paralleling the Chenghou-Linwu—Jiangshan-  
425 Shaoxing faults (Fig. 4). These faults and associated sedimentation evidence ongoing  
426 movement between the blocks during and after union in the early Neoproterozoic and up to  
427 the Late Palaeozoic, including the formation of a rift basin (Domeier 2018). The outer  
428 margins of the Yangtze block have been impacted by numerous orogenic events associated  
429 with the assembly of Asia. Of relevance to this study is the present day northeastern margin  
430 (Longmenshan) and the southeastern margin (bordering Vietnam), as seen in figure 4, and  
431 in figure 8 (S and Y).

432 During the Devonian marginal siliciclastics to shallow marine carbonates were deposited on  
433 a passive margin on the present northeast of the Yangtze block. During the complex regional  
434 collision of many plates starting in the Triassic (the Indosinian orogeny), an ocean basin

435 filled with a thick sequence of turbidite deposits was squeezed between several continents to  
436 form the Songpan-Ganzi Fold Belt. This shortening thrust the passive margin sediments,  
437 including the Devonian sediments onto the Yangtze block in a series of parallel thrust faults  
438 which make up the Longmen Shan Thrust Belt (Yong et al. 2003). The plant localities in this  
439 paper occur on the eastern margin of the mountainous belt to the west of the Jiangyou-  
440 Guangyuun fault (Li et al 2010, Fig. 4) in the Tangwangzhai Nappe.

441 The origin of the southwestern margin of the Yangtze block is similarly complex, particularly  
442 its relationship to Indochina. The established view has been that much of Yunnan and north  
443 Vietnam were part of the Yangtze block during the Early Devonian (e.g. Gonez et al. 2012).  
444 Cai and Zhiang (2009) proposed the presence of a Dian – Qiong suture running near the  
445 border of Yunnan and Vietnam (Fig. 4), with the implication that southern Yunnan and north  
446 Vietnam would have been parts of the Indonesia plate during the Early Devonian. This has  
447 been challenged by Lehrmann et al. (2015), based on the presence of Triassic carbonate  
448 platforms.

449

## 450 **8.2 Comparison of composition of Sichuan assemblages with those elsewhere in** 451 **South China**

452 The South China plate has been divided into various subregions, each said to possess a  
453 distinctive flora (Fig. 4; Ma et al. 2009; Wang et al. 2018). These were the Longmenshan  
454 (Sichuan) subregion, the Yunnan subregion, and the Guanxi (Cathayan) subregion. The  
455 principal locality in Longmenshan is near Yanmenba (Fig. 4; 4). The principal localities in the  
456 Yunnan subregion are around Qujing (Fig. 4; 1) and, assuming that the Dian – Qiong suture  
457 did not exist, most probably around Wenshan (Fig. 4; 2) and Dô Son, Vietnam (Fig. 4: 5)  
458 (Janvier et al. 1987).

459 More recently a further phytogeographic subregion was recognised, the Guizhou subregion  
460 (Wang et al. 2022), to the north east of Yunnan and to the north west of Guanxi. The main  
461 localities are at Hezhang and Dushan (Fig. 4). Like the Gangxi region, only a small number  
462 of taxa are present (6), and require further investment in collecting and revision. Therefore  
463 we do not emphasise these two subregions in the following analysis.

464 Considering the Longmenshan subregion, assemblages from Yanmenba (Fig. 4; 4)  
465 summarized in this paper now contain 17 taxa in total (Table 2), with 12 well constrained  
466 genera and 14 species. Eight genera are endemic to the subregion (Table 3), and two others  
467 are otherwise present only in the Yunnan subregion (Qujing and Wenshan areas, Fig. 4; 1 &



468 2). *Zosterophyllum* (represented by two species, one endemic to Longmenshan, one also  
469 found in Qujing and Guizhou) and *Drepanophycus* are cosmopolitan genera – the latter  
470 possibly including the only cosmopolitan species in Longmenshan, and considered here *D.*  
471 *cf. spinaeformis*.

472 Here we analyse separately the species lists from the Wenshan and Qujing areas of the  
473 Yunnan region as they differ markedly in diversity and disparity (Table 3). The Posongchong  
474 Formation in Wenshan (Fig. 4; 2) shows a very high degree of endemism amongst the over  
475 thirty taxa recorded (Hao and Xue (2013)). 15 genera are confined to the area, while  
476 *Guangnania* also occurs in both Longmenshan and Qujing, *Adoketophyton* with the former  
477 and *Huia* with the latter. *Demersatheca* and *Pauthecohyton* also occur in Guizhou.  
478 Cosmopolitan genera and those found elsewhere include *Baragwanathia* (Laurussia,  
479 Australia), *Zosterophyllum* (Laurussia, Australia), Yarravia (*Hedeia*) (Australia),  
480 *Estinnophyton* (Laurussia), *Psilophyton* (Laurussia), *Distichophyton* (Laurussia), and *Oricilla*  
481 (Laurussia), although the last two genera require re-evaluation. By contrast the  
482 assemblages near Qujing (Fig. 4 - 1) are impoverished. There are two endemic genera,  
483 *Bracteophyton* and *Hsua*, confined to the region, while *Demersatheca*, *Huia* and  
484 *Guangnania* are shared with Wenshan — the latter genus also occurs in Longmenshan. The  
485 two cosmopolitan genera are *Drepanophycus* (*D. qujingensis*) and *Zosterophyllum* (*Z.*  
486 *yunnanicum* and *Z. australianum*—the former species shared with Wenshan and the latter  
487 shared with Australia).

488 The plants from Cangwu, Guangxi subregion (Fig. 4; 3) are less well studied, and  
489 include *Changwuia* (Hilton and Li 2000) otherwise only found in the Guizhou subregion, an  
490 endemic species *Zosterophyllum sinense* (Li and Cai, 1977, Wang et al 2018),  
491 *Drepanophycus cf. spinaeformis* and an unnamed rhyniophytoid (Cai and Wang, 1995, Xu et  
492 al 2015). The flora from the new Guizhou subregion (Fig. 4 – Hezhang and Dushan) also  
493 include *Changwuia* and species of two Yunnan genera, *Demersatheca* and *Pauthecohyton*.  
494 Cosmopolitan genera include two species of *Zosterophyllum*, and perhaps *Drepanophycus*  
495 (Wang et al. 2022).

496 Table 3 tabulates some of the numerical results from our analysis. Longmenshan has  
497 a high percentage of both local (67%) and South China (83%) endemic genera, based on a  
498 total of 12. Wenshan has slightly lower numbers at 52% and 72%, but based on a much  
499 higher number of genera overall (29). Qujing has few genera (8) and the percentage of  
500 endemics is much lower (63% Chinese and only 25% local endemics). Pairwise comparison  
501 of Simpson's coefficient of similarity show that the most closely related localities based on

502 genera are unsurprisingly Wenshan and Qujing (63%), but Qujing is slightly more similar to  
503 Longmenshan (38%) than Wenshan is to Longmenshan (25%), perhaps reflecting distance.

504 While Chinese phyto-endemicity is usually attributed to its palaeogeographic isolation in  
505 the Devonian (e.g. Hao and Beck 1991a, b; Li and Edwards 1992; Hao and Gensel 1998),  
506 the differences in assemblages on the South China plate itself, particularly between the  
507 Longmenshan and Yunnan floras demand further explanation, as do those between  
508 Wenshan and Qujing. Those between Guangxi and Yunnan are explained by the presence  
509 of an epicontinental sea between them for long periods in the Palaeozoic (Wang et al. 2018),  
510 although scrutiny of the limited species lists suggests that the recognition of a distinct  
511 phytogeographic unit is premature.

512

### 513 **8.3 Possible reasons for differences in flora between Yunnan and Sichuan**

514

#### 515 8.3.1. Hypothesis 1. Geographic isolation in the Silurian-Lower Devonian.

516 Today the Longmenshan and Wenshan localities are some 1,000 km apart. It is likely that  
517 thrusting and crustal shortening during the Indonesian orogeny has reduced this distance  
518 considerably. Qujing localities are c. 250km to the north of Wenshan, and therefore closer to  
519 Longmenshan.

520 While during the early part of the Silurian Yunnan and Sichuan were separated by shallow  
521 epicontinental seas (Rong et al. 2003), during Wenlock and Ludlow times the majority of the  
522 South China plate was land connecting the Yunnan and Sichuan areas. During the Early  
523 Devonian, shallow seas again began to encroach onto the continent, and the area around  
524 Yunnan was left a peninsula (Cai and Zhang 2009). Topography of the land between  
525 Yunnan and Sichuan localities included mountains and plateaux interpreted to be up to 3000  
526 m above sea level (Fig. 6 of Edwards et al. 2016, and references therein).

527 The overall picture is of a reasonably sized continent (at least 1,000 km x 2,000 km) with  
528 considerable topography and varied shoreline, straddling or near the equator (Fig. 8).

529 A modern analogue for the Devonian South China area in terms of size and topography  
530 might be Borneo (approx. 1,200 x 1,100 km). Here, complex interaction of prevailing winds,  
531 monsoon, topography and geology lead to the presence of vegetation as varied as tropical  
532 rainforest, heathforest and savanna. In our reconstruction Yunnan assemblages would be  
533 closer to a coastline as is recorded by the differences in facies. Indeed Hao and Xue (2013)

534 considered that Wenshan's position 'relative to the sun (illumination) and the possible  
535 inference of ocean currents' are characteristic of the hot and humid tropical climate in the  
536 equatorial zone today, whereas the slightly younger sediments were deposited on a  
537 presumed delta plain with palaeosols in which calcretes indicated strongly oxidising  
538 conditions and high evaporation rates usually indicative of arid climates (Boucot et al. 1982,  
539 Wellman et al. 2012). Thus we conclude that geographic isolation with topographic barriers  
540 and potentially climatic influences might account for the differences in composition.

541

542 8.3.2. Hypothesis 2. Habitat heterogeneity here combined with climate.

543 In this second scenario, in which Longmenshan had been attached to the South China plate  
544 since Proterozoic times, and enjoyed an assumed similar climate regime with no major  
545 physical barriers between the Yunnan and Sichuan localities, habitat heterogeneity is  
546 proposed as a possibility for differences between the assemblages. Hao and Xue (2013) in  
547 their comprehensive review of taxa in the Posongchong Formation in Yunnan noted local  
548 regional variation in composition of assemblages which they concluded, since climate was  
549 generally similar, resulted from variation in habitats. They illustrated this via a combination of  
550 facies data (indicative of palaeoenvironments), taphonomic analyses and species lists  
551 throughout two well exposed sections with abundant plants, the Zhichang and Changputang,  
552 in the Wenshan area, where only one assemblage was common to both.

553 In the Zhichang succession, near channel overbank environments with periodic, flooding  
554 contained a mixture of taxa and showed high diversity, but only a few dominant species in  
555 abundance. In Changputang, where environments were quieter with deposition on flood  
556 plains or marshes, some habitats nearer the coastline were characterised by monospecific  
557 stands. Such differences and exceptional diversity were attributed to the regional complex  
558 topography, yielding various habitats, and the possible inference of ocean currents (Hao and  
559 Xue 2013) as characterise the hot and humid tropical climate in the equatorial zone today.

560 By contrast the possibly slightly younger Xujiachong Formation recorded in Qujing, some  
561 250 km to the north, comprised fluvial sediments deposited on a presumed delta plain with  
562 phases of aridity. Megafossil assemblages were described as monotonous containing the  
563 cosmopolitan genera *Zosterophyllum*, *Dawsonites/Psilophyton* and *Drepanophycus*  
564 *qujingensis*. In a broader analysis, Wang et al. (2002) suggested that the typical marine to  
565 continental sediments of the Xujiachong Formation, preserved an impoverished vegetation,  
566 including plants like *Drepanophycus* with sprawling growth habit, compared with the more

567 diverse assemblages preserved in the mainly clastic continental rocks of the Posongchong  
568 Formation which were deposited in flooded areas near lakes and lagoons.

569 Similar approaches on habitat heterogeneity/niche partitioning in north-east Canada also  
570 produced equivocal results. Hotton et al. (2001) had demonstrated habitat partitioning in  
571 Emsian assemblages from the Gaspé, but Kennedy et al. (2012) describing the same taxa of  
572 similar age from New Brunswick found no evidence of 'persistent associations of taxa in  
573 particular sedimentological contexts'.

574 In Sichuan similar analyses are premature. Assemblages are few and detailed  
575 sedimentological data do not exist. However in view of the very few taxa in common with  
576 Yunnan, it seems unlikely that environmental criteria alone could account for the differences  
577 in composition.

578

### 579 8.3.3. Hypothesis 3. Comparative ages of assemblages.

580 It could be argued that, however rigorous, the scrutiny of species lists in detecting  
581 comparative differences in diversity and disparity at times of rapid evolutionary change as  
582 occurred in early tracheophyte evolution, the outcomes are of limited value in the absence of  
583 precise dating of assemblages—dating that should be based on independent evidence  
584 utilising biostratigraphically useful indicator fossils.

585 In all South China subregions, plants have been dated using regional stratigraphic  
586 correlation and /or better dated formations above and below them (e.g. Pragian–Emsian but  
587 not younger than late Emsian Cangwu Formation of the Guangxi (Cathayan) subregion (Xu  
588 et al. 2015)) and occasionally involving palynological data. In some cases, spores have  
589 allowed both regional correlations and comparisons with the zonation of Laurussia (e.g. the  
590 ? early but not earliest Pragian to the earliest Emsian *polygonalis-emsiensis* Spore  
591 Assemblage Biozone of Richardson and McGregor (1986) in the Posongchong and  
592 Xujiachong Formations (Wang 1994; Wellman et al. 2012 respectively). The Posongchong  
593 Formation is overlain by strata containing upper Pragian conodonts. These Yunnan  
594 assemblages might be slightly younger than the assemblages at the base of the Pingyipu  
595 Group in the Longmenshan which has been dated as probably Lochkovian but extending  
596 into the Pragian by fish and spores, the latter identified to species level at another exposure.  
597 In the Yunnan subregion, the Xitun Formation underlying the Xujiachong Formation near  
598 Qujing has been dated as mid-late Lochkovian on the basis of invertebrates, fish and spores,  
599 the latter from the *newportensis-micronatus* and *breconensis-zavallatus* Spore

600 Assemblage Biozones (Cai et al. 1994) and thus is possibly coeval with or slightly older than  
601 the basal Sichuan assemblages. Xue (2011) noted that the Xitun flora was dominated by  
602 zosterophylls, but apart from the genus *Zosterophyllum*, it has no taxa in common with  
603 Sichuan. Thus on age, while there remains the possibility that the Longmenshan flora  
604 represents an intermediate stage in the diversification of land plants on the South China  
605 Plate, the paucity of taxa in common with both Lochkovian and later Pragian assemblages  
606 makes this unlikely.

607

## 608 **9. Synthesis**

609 Our investigations on the Sichuan assemblages, although their precise ages remain  
610 undecided and their sedimentological contexts unexplored, add to the burgeoning of  
611 information over the last thirty years on the diversity and disparity in Chinese early land  
612 vegetation. Prior to this, data, as recorded in Silurian and Lower Devonian rocks, were  
613 mainly derived from the palaeocontinent, Laurussia. A very different picture has emerged on  
614 the South China plate based mainly on assemblages from Yunnan subregion. The oldest  
615 records containing fragmentary rhyniophytes are in the Ngoc Vung Series in adjoining  
616 northern Viet Nam, although whether then part of the South China Plate in late Silurian times  
617 (Gonez et al. 2012) is conjectural, and on the Pridoli Yulongsi Formation from the Qujing  
618 region, Yunnan, which has yielded *Zosterophyllum qujingense* (Hao et al. 2007). A second  
619 species of *Zosterophyllum*, *Z. xishanense*, was recorded in the basal Lochkovian  
620 Xiaxishancun Formation in the same area (see Xue et al. 2018 for summary of controversy  
621 surrounding its age), but further diversification involving zosterophylls occurred in the  
622 overlying mid- late Lochkovian Xitun and Guijiatun Formations (Xue 2011). This limited  
623 diversity is in contrast to that in Laurussia where there are some zosterophylls, a few  
624 eophytes and numerous rhyniophytes (e.g. Edwards and Wellman 2001; Morris et al. 2011;  
625 Morris and Edwards 2014; Edwards et al. 2021). Extensive diversification in the Pragian of  
626 South China is particularly well illustrated by the intensively studied assemblages in the  
627 upper Pragian Posongchong Formation (Hao and Xue 2013 with limited rhyniophytes  
628 (*Hsüa, ?Huia*) but containing numerous zosterophylls, lycophytes, barinophytes, while  
629 representatives of euphyllophytes including trimerophytes, possible sphenopsids and  
630 progymnosperms, and even a tentative pteridosperm and many taxa of uncertain affinity. In  
631 total there are almost thirty genera, the majority being endemic, but here again whether  
632 Wenshan was part of the South China Plate is open to question. Studies on the Guangxi  
633 (Cathayan) subregion are in their infancy, but the presence of a number of rhyniophytes and  
634 the endemic *Changwuia*, in the Pragian-Emsian Cangwu Formation, Guangxi, hint at a

635 further phytoprovince (e.g. Wang et al. 2018), although the remaining genera are  
636 cosmopolitan.

637 The clear differences between coeval Pragian assemblages of Laurussia in terms of  
638 diversity, disparity and endemism has been recognised in numerous phylogeographic  
639 analyses and has led to the distinction of the Chinese phytoprovince (Raymond 1987;  
640 Edwards and Berry 1991; Wnuk 1996; Wang et al. 2010; Xue et al. 2018). In addition,  
641 several authors (Hao and Gensel 1998; Hao and Wang 2000; Hao et al. 2007; Hao and Xue  
642 2013) have emphasised similarities between Chinese and Australian Emsian taxa (upper  
643 plant assemblages: Lang and Cookson 1931; Cookson 1935). This was based on the  
644 presence of poorly preserved *Baragwanathia* sp., *Hedeia sinica*, *Zosterophyllum*  
645 *australianum* and *Z. ramosum*, with the probability that some of the specimens assigned to  
646 *Z. australianum* by Cookson belonged to the Chinese species (Hao and Wang 2000).  
647 Accumulated data in a current revision of the Lower Devonian of Australia in post graduate  
648 studies by Fearghus McSweeney (Mc Sweeney et al. 2020, 2021a, b, c) have strengthened  
649 links between this region and South China. Particularly important has been the combination  
650 of Victorian *Yarravia* with *Hedeia* resulting in the placing of Chinese *Hedeia* in *Yarravia*  
651 (*Hedeia*) *sinense* (McSweeney et al. 2021a). The authors also compared the Australian  
652 material with *Pauthecohyton gracile* from the Posongchong Formation (McSweeney et al.  
653 2021b) based on similarities in aggregations of sporangia but differing in branching  
654 pattern. They concluded that such similarities combined with the two *Zosterophyllum* species  
655 (McSweeney et al. 2020) strengthened 'limited exchange' between the two regions that  
656 began possibly at least as early as the Silurian (McSweeney et al 2020, 2021a). The  
657 positioning of the Chinese and Australian localities on the northern part of Gondwana at a  
658 similar latitude had led Hao and Gensel (1998) to tentatively suggest a northeastern  
659 Gondwanan phylogeographic unit. Their proximity fits with recent palaeomagnetic data  
660 (Huang et al. 2018) although palaeogeographic reconstructions indicate some distance  
661 between the localities (Fig. 8 – locality V).

662 Is it possible to fit the assemblages of Sichuan into the above Pragian to Emsian sequences  
663 of the rest of South China? While our investigations indicate a few taxa in common and  
664 similarities in grades of organisation in South China plants, in view of the number of  
665 endemics that diversified at a slightly earlier time, we conclude that the vegetation of the  
666 Longmenshan subregion evolved in isolation from that of the southern areas, although the  
667 reasons for such isolation continue to elude us.

668

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902 **Legend to plates.**

903 **Figure 1**

904 a) *Psilophytites* sp. Developed and illustrated by Geng B-Y 1992, Plate 5, 43, 44: specimen  
905 number 8345. Scale bar = 10 mm. b) Enlargement of two spines from a. Scale bar = 1 mm.  
906 c) *Drepanophycus* cf. *spinaeformis* showing departing traces to ?buds/?sporangia. Arrows  
907 indicate faint striations interpreted as possible leaf traces. Note displacement of the vascular  
908 strands. Specimen number PEPB00068. Scale bar = 10 mm.

909

910 **Figure 2**

911 *Drepanophycus* cf. *spinaeformis*. a) Variation in leaf morphology. Specimen number  
912 PEPB00062. Scale bar = 5 mm. b) Enlargement of leaves in face and side view. Scale bar =  
913 1 mm. c) Fragment with lateral trace entering a more darkly stained, almost circular area  
914 interpreted as a sporangium (arrow). PEPB00063. Scale bar = 5 mm. d) Dichotomous  
915 branching with division of major vascular strands. Specimen number PEPB00064. Scale bar  
916 = 5 mm. e, f) representatives of narrow stem type, named *Drepanophycus spinosus* by Geng  
917 1992, but here named *Drepanophycus* cf. *spinaeformis*. e) Geng, plate 6, 50. Specimen  
918 number 8351. Scale bar = 5 mm. f) Note lateral branch developed from lateral buds (lower  
919 arrow) and undeveloped ?bud (lower arrow). Geng plate 6, 52. Specimen number 8352.  
920 Scale bar = 5 mm.

921

922 **Figure 3**

923 *Drepanophycus* cf. *spinaeformis*. a, b) Part and counterpart of narrower type, with  
924 preservation of vascular strands in a). Specimen numbers PEPB00061A, B. Scale bar =  
925 5mm. c, d) More heavily coalified stems with possible flat apices. Specimen numbers  
926 PEPB00065, PEPB00066. Scale bars = 5 mm. e) Possible sporangium and trace magnified  
927 from *h* (arrow). Scale bar = 1 mm. f) Bases of leaves in relief. Specimen number 9269. Scale  
928 bar = 5 mm. g) Wider stems. Note displacement of strands, and faint striations and possible  
929 bud (arrow) Specimen number PEPB00067. Scale bar = 5 mm. h) Typically preserved wider  
930 stem with proximal lateral branch showing the remnants of the narrow leafy bud at base  
931 (white arrow) and probable sporangium (black arrow). Specimen number 9270. Scale bar =  
932 5 mm.

933

934 **Figure 4**

935 Early Devonian palaeogeography of South China based on Cai and Zhang 2009 showing  
936 the localities and features discussed in the text.

937

938 **Figure 5**



939 Unidentified ?lycophyte, developed, illustrated and named *Drepanophycus spinaeformis* by  
940 Geng (1992). a) Bifurcating stem. Illustrated by Geng, plate 6, 45, where central strand more  
941 clearly seen. Specimen number 8346. Scale bar = 10 mm. b) Area of a magnified with  
942 longitudinally and irregularly ridged surface. White arrows indicate single strands in leaves  
943 that are perpendicular to stem. Black arrow indicates leaf inserted behind stem. Scale bar =  
944 5 mm. c) Individual reflexed leaf with central strand (white arrow). Crescentic insertion of leaf  
945 under stem impression (black arrow). Scale bar = 5 mm.

946

947 **Figure 6**

948 *Multapicifolium sinense* gen. et sp. nov. a) Leafy axis with unusual preservation. Stems are  
949 compressed at right angles to the fractured surface with laminae of leaves exposed.  
950 Specimen number 9291a. Scale bar = 1. mm. b) Two of the most complete leaves in a with  
951 at least 15 distal segments indicated by arrows in a. Scale bar = 1 mm. c) Fragment with  
952 chaotic appearance of leaves. Specimen number PEPB00060. Scale bar = 5 mm. d) More  
953 typical preservation of leafy stem originally named *Leclercqia* and described by Geng (1992a  
954 Plate 7, 62. Specimen number - 8354). Scale bar = 5 mm. e) Close up of leaf indicated by  
955 arrow in d, showing laterally compressed leaf with three segments only visible. Scale bar = 1  
956 mm.

957

958 **Figure 7**

959 *Multapicifolium sinense* gen. et sp. nov. Reconstruction of the leaf based on the new  
960 compression material reported here, and the SEM images of Geng (1992a). Segment  
961 morphology is taken from Fig. 6 of this paper. The morphology of the area to which the  
962 segments are attached, including the slight proximal lip, includes detail from figs 67 and 68  
963 of Geng (1992a).

964

965 **Figure 8**

966 Palaeogeography of the Early Devonian redrawn from Torsvik and Cocks 2014. The  
967 approximate position of assemblages of Yunnan (Y) and Sichuan (S), China, and Victoria  
968 (V), Australia are indicated.

969

970

971 **Table 1.**

972 Comparisons of the dimensions of *Drepanophycus spinaeformis* and *D. cf. spinaeformis*.

Taxon	aerial stem width	leaf length	locality	reference
<i>Drepanophycus spinaeformis</i>	10-21mm	7-18mm	Canada Neotype locality	Li et al 2000
<i>Drepanophycus cf. spinaeformis</i>	<40mm	<20mm	Scotland	Raynor 1984
<i>D. cf. spinaeformis</i> type 1	1.5-(2.2)-3.0mm	0.8-(1.13)- 1.7mm	Sichuan	this paper
<i>D. cf. spinaeformis</i> type 2	3.0-(3.4)-4.0mm	1.6-(2.6)-3.1mm	Sichuan	this paper

973

974

975 **Table 2.**

976 Summary of taxonomic revision of the Sichuan Lower Devonian plant fossil assemblages.

Geng 1992 (a+b)	Revisions	Affinity	Comments on endemism
<i>Eogaspesia gracilis</i>	Not studied	?	identification unreliable
	<i>Jiangyounia gengi</i> 2018a	R	endemic
	<i>Polycladophyton gracilis</i> 2018a	R	endemic
<i>Zosterophyllum myretonianum</i>	<i>Z. ovatum</i> 2018b	Z	Species confined to Sichuan
<i>Z. yunnanicum</i>	Not studied	Z	Species also in Qujing, Guizhou, cf. in Guangxi
<i>Z. sichuanensis</i>	<i>Ornicephalum sichuanense</i> 2018b	Z	endemic
	<i>Guangnania minor</i> 2016	Z	genus also in Wenshan & Qujing
<i>Hicklingia cf. edwardii</i>	<i>Bauyinia sichuanensis</i> 2018a	Z	endemic
-	<i>Sichuania uskielloides</i> 2018a	Z	endemic
-	<i>Adoketophyton pingyipuensis</i> 2018b	B	genus also in Wenshan
<i>Amplectosporangium jiangyouense</i>	<i>A. jiangyouense</i> Geng 1992b	?	endemic
<i>Oricilla unilateralis</i>	<i>Amplectosporangium (Oricilla) unilaterale</i> 2018b	?	endemic
-	<i>A. unilaterale forma minor</i> 2018b	?	endemic
<i>Zosterophyllum longa</i>	<i>Yanmenia (Zosterophyllum) longa</i> (2016)	?	endemic
<i>Drepanophycus spinaeformis</i>	<i>Drepanophycus cf. spinaeformis</i> (this paper)	L	?cosmopolitan
<i>D. spinosus</i>	<i>Drepanophycus cf. spinaeformis</i> (this paper)	L	?cosmopolitan
<i>D. sp.</i>	(New genus)	L	Further studies needed
<i>Leclercqia complexa</i>	<i>Multapicifolium sinense</i> (this paper)	L	endemic

977

978 R = Rhyniopsida, Z = Zosterophylloids, L = Lycopsida, B = Barinophyta

979 Sources for revisions: Edwards et al. 2016, Edwards and Li 2018a, Edwards and Li 2018b,

980 Geng 1992b.

981

982 **Table 3.**

983 Diversity data from South China.

Taxa	Wenshan	Qujing	Guangxi	Guizhou	Longmenshan
Rhyniopsida					
<i>Jiangyounia gengi</i>	n	n	n	n	*
<i>Polycladophyton gracilis</i>	n	n	n	n	*
Zosterophyllopsida					
<i>Discalis longistipa</i>	*	n	n	n	n
<i>Bauyinia sichuanensis</i>	n	n	n	n	*
<i>Distichophyton</i> sp.	✓	n	n	n	n
<i>Guangnania cuneata</i>	X	X	n	n	n
<i>Guangnania minor</i>	n	n	n	n	X
<i>Gumuia zyzzata</i>	*	n	n	n	n
<i>Oricilla</i> sp.	✓	n	n	n	n
<i>Ornicephalum sichuanense</i>	n	n	n	n	*
<i>Ramoferis amalia</i>	*	n	n	n	n
<i>Sichuania uskielloides</i>	n	n	n	n	*
<i>Wenshania zhichangensis</i>	*	n	n	n	n
<i>Yunia dichotoma</i>	X	n	n	n	n
<i>Yunia guangnania</i>	X	n	n	n	n
<i>Zosterophyllum australianum</i>	✓	✓	n	n	n
<i>Zosterophyllum bifurcatum</i>	n	✓⊗	n	n	n
<i>Zosterophyllum dushanense</i>	n	✓⊗	n	✓⊗	n
<i>Zosterophyllum minifertillum</i>	✓⊗	n	n	n	n
<i>Zosterophyllum ovatum</i>	n	n	n	n	✓⊗
<i>Zosterophyllum ramosum</i>	✓⊗	n	n	n	n
<i>Zosterophyllum sinense</i>	n	n	✓⊗	n	n
<i>Zosterophyllum spathulum</i>	n	✓⊗	n	n	n
<i>Zosterophyllum tenerum</i>	✓⊗	n	n	n	n
<i>Zosterophyllum yunnanicum</i>	n	✓⊗	cf	✓⊗	✓⊗
?Zosterophyllopsida					
<i>Demersatheca contigua</i>	*	*	n	*	n
Lycopsida					

<i>Baragwanathia</i> sp.	✓	n	n	n	n
<i>Drepanophycus qujingensis</i>	n	✓ ⊗	n	n	n
<i>Drepanophycus</i> cf. <i>spinaeformis</i>	n	✓	✓	?	✓
<i>Halleophyton zhichangense</i>	*	n	n	n	n
<i>Huia gracilis</i>	n	X	n	n	n
<i>Huia recurvata</i>	X	n	n	n	n
<i>Multapicifolium sinense</i>	n	n	n	n	*
<i>Zhenglia radiata</i>	*	n	n	n	n
?Lycopsida					
<i>Hicklingia</i> sp.	✓	n	n	n	n
<i>Hueberia zhichangensis</i>	*	n	n	n	n
<i>Yanmenia longa</i>	n	n	n	n	*
Barinophytales					
<i>Adoketophyton parvulum</i>	X	n	n	n	n
<i>Adoketophyton pingyipuensis</i>	n	n	n	n	X
<i>Adoketophyton subverticillatum</i>	X	n	n	n	n
?Barinophytales					
<i>Dibrachophyton acrovatum</i>	*	n	n	n	n
<i>Bracteophyton variatum</i>	n	*	n	n	n
Trimerophytosida					
<i>Psilophyton primitivum</i>	✓ ⊗	n	n	n	n
<i>Psilophyton princeps</i>	n	n	n	?	n
<i>Pauthecopyton gracile</i>	X	n	n	n	n
<i>Pauthecopyton hezhangensis</i>	n	n	n	X	n
Basal Sphenopsida					
<i>Estinnophyton yunnanense</i>	✓ ⊗	n	n	n	n
?Basal Sphenopsida					
<i>Cervicornus wenshanensis</i>	*	n	n	n	n
Basal Progymnospermopsida?					
<i>Polythecophyton demissum</i>	*	n	n	n	n

Basal Lignopterid Pteridospermatophyta?					
<i>Celatheca beckii</i>	*	n	n	n	n
Incertae sedis					
<i>Amplectosporangium jiangyouense</i>	n	n	n	n	X
<i>Amplectosporangium unilaterale</i>	n	n	n	n	X
<i>Catenalis digitata</i>	*	n	n	n	n
<i>Changwuia schweitzeri</i>	n	n	*	*	n
<i>Eophyllophyton bellum</i>	*	n	n	n	n
<i>Yarravia (Hedeia) sinica</i>	✓⊗	✓⊗	n	n	n
<i>Hsüa robusta</i>	n	X	n	n	n
<i>Hsüa deflexa</i>	n	X	n	n	n
<i>Stachyophyton yunnanense</i>	*	n	n	n	n
Number of Species	33	14	4	5 (+2?)	14
Number of Genera	29	8	3	4 (+2?)	12
Number (percentage) of local endemic genera	15 (52%)	2 (25%)	0 (0%)	0 (0%)	8 (67%)
Number (percentage) of South China endemic genera	21 (72%)	5 (63%)	1 (33%)	3 (75%)	10 (83%)

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- \* = South China endemic genus

987

- X=species of South China endemic genus

988

- ✓/tick=non-South China genus

989

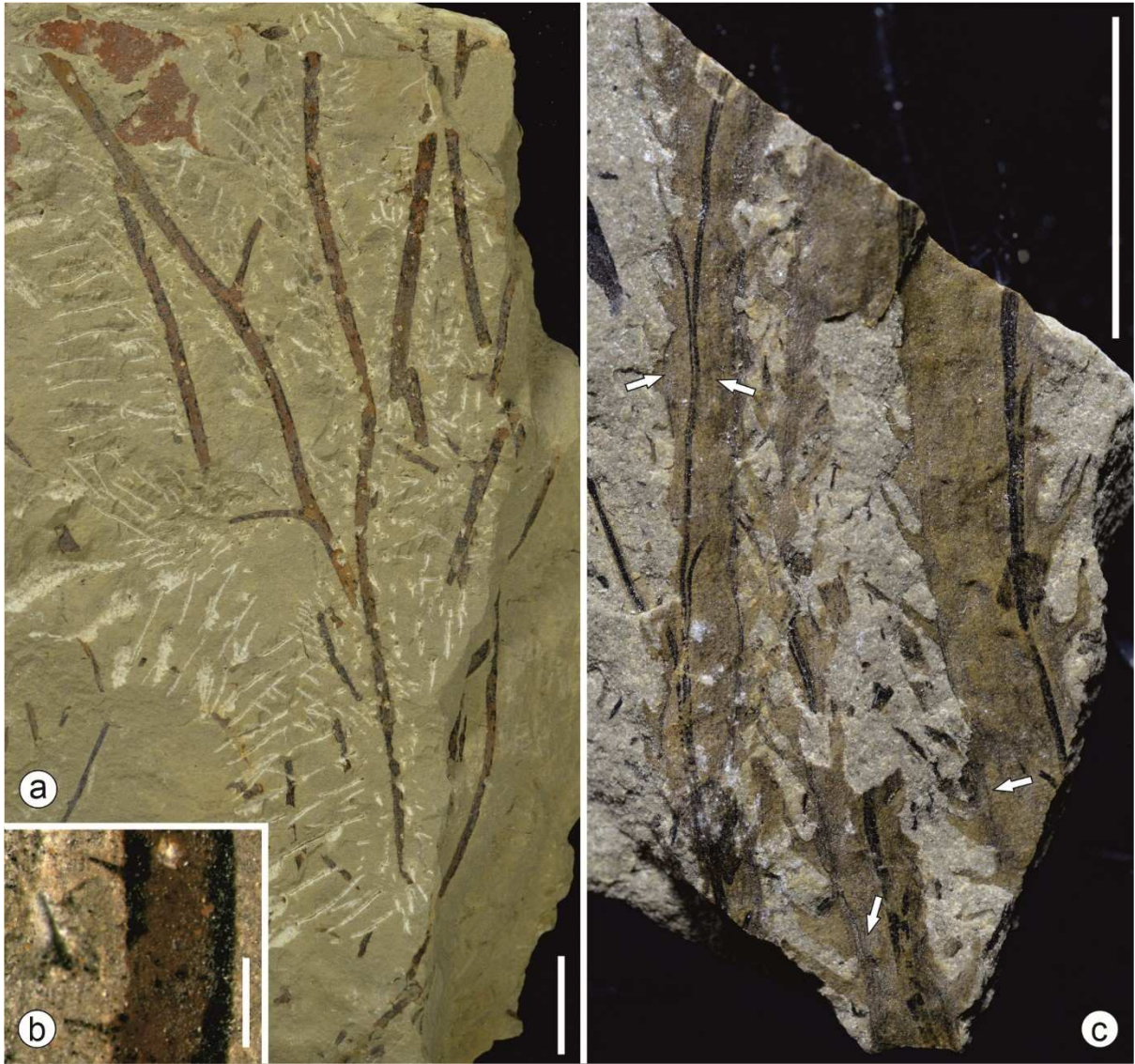
- ⊗= endemic species of non-South China genus

990

- n=not present

991

992

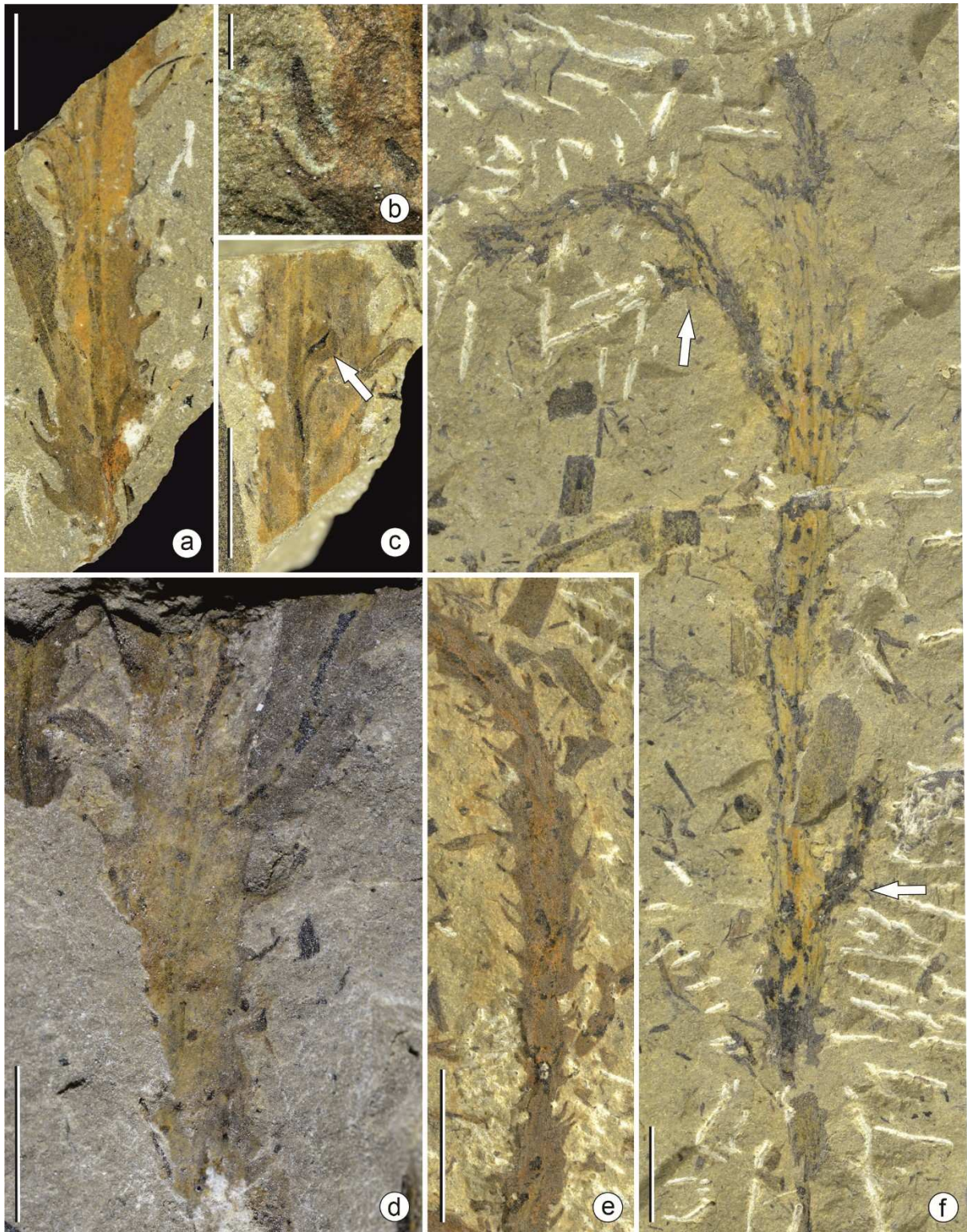


993

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995 Figure 1



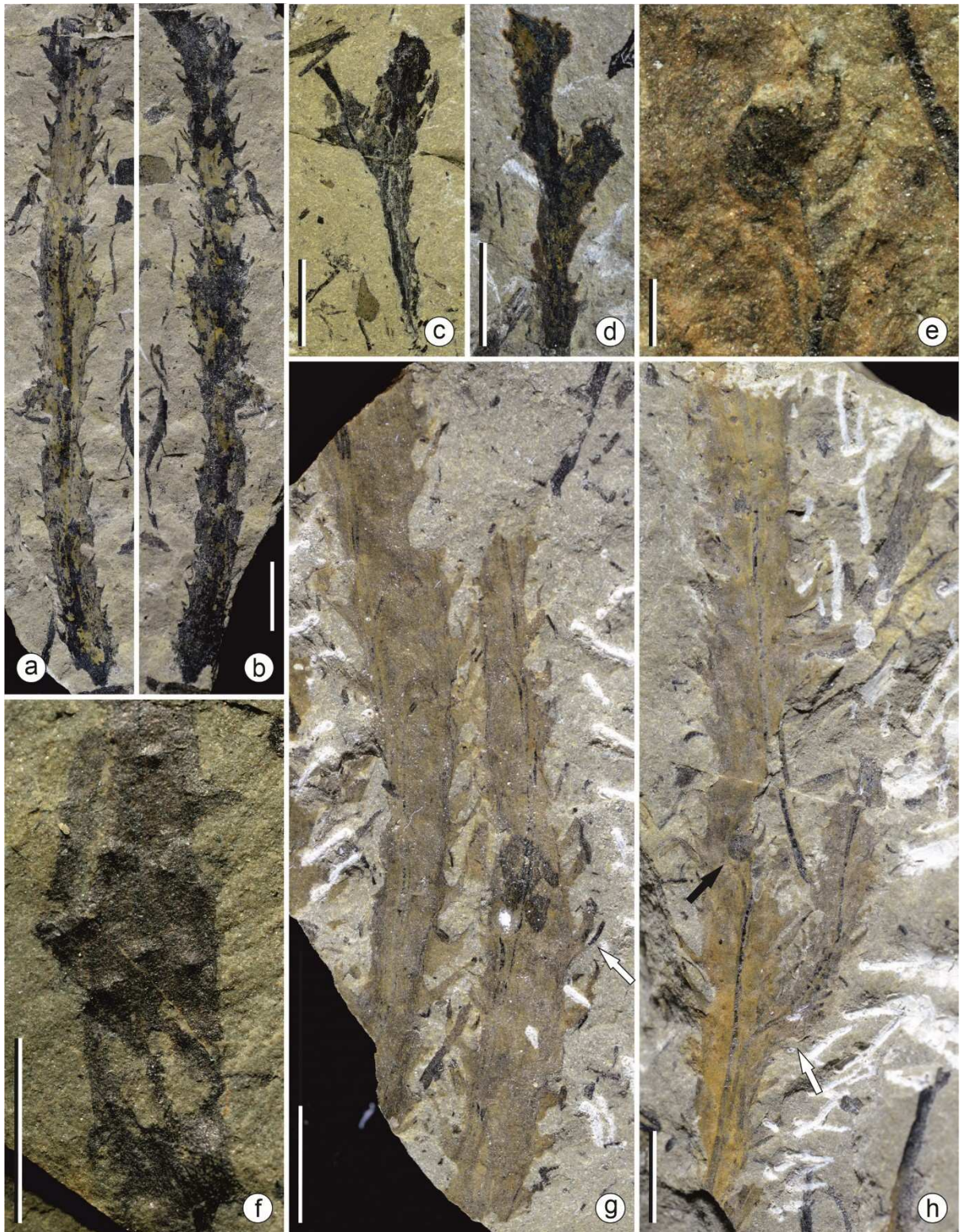


996

997 Figure 2

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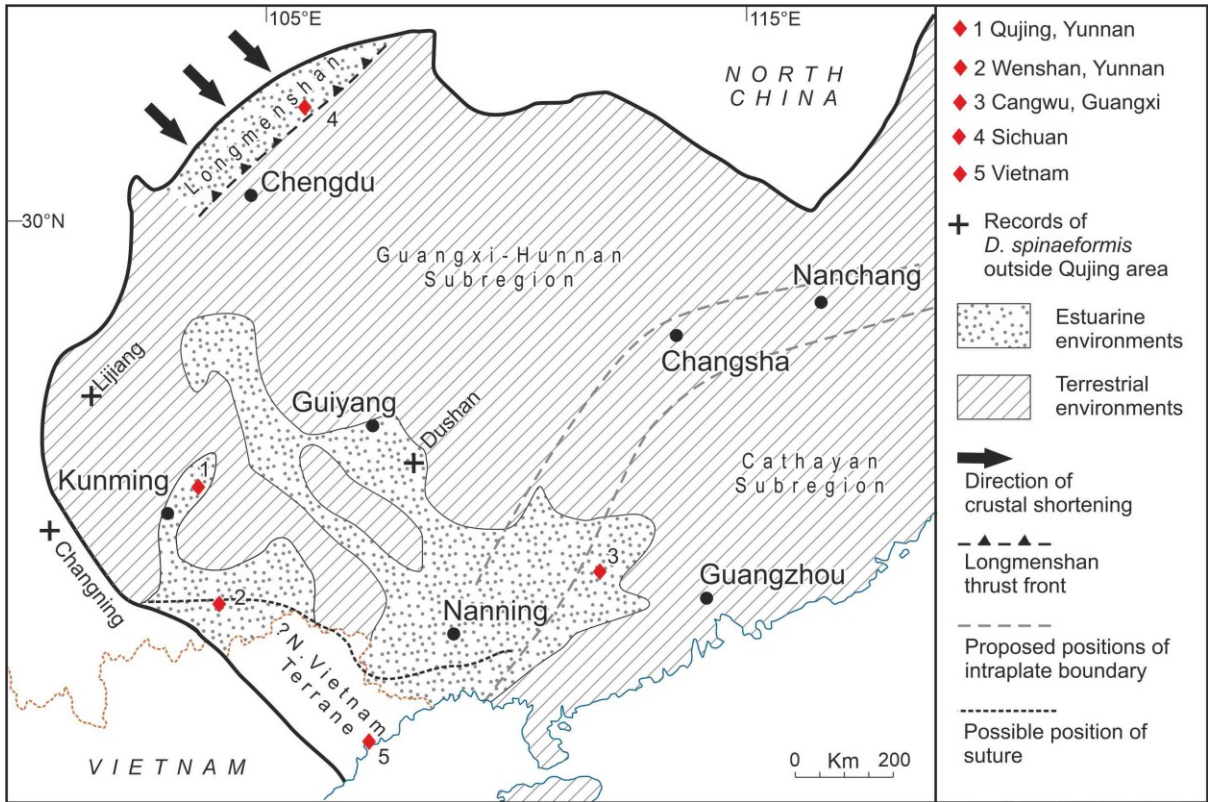


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1000 Figure 3

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1003 Figure 4

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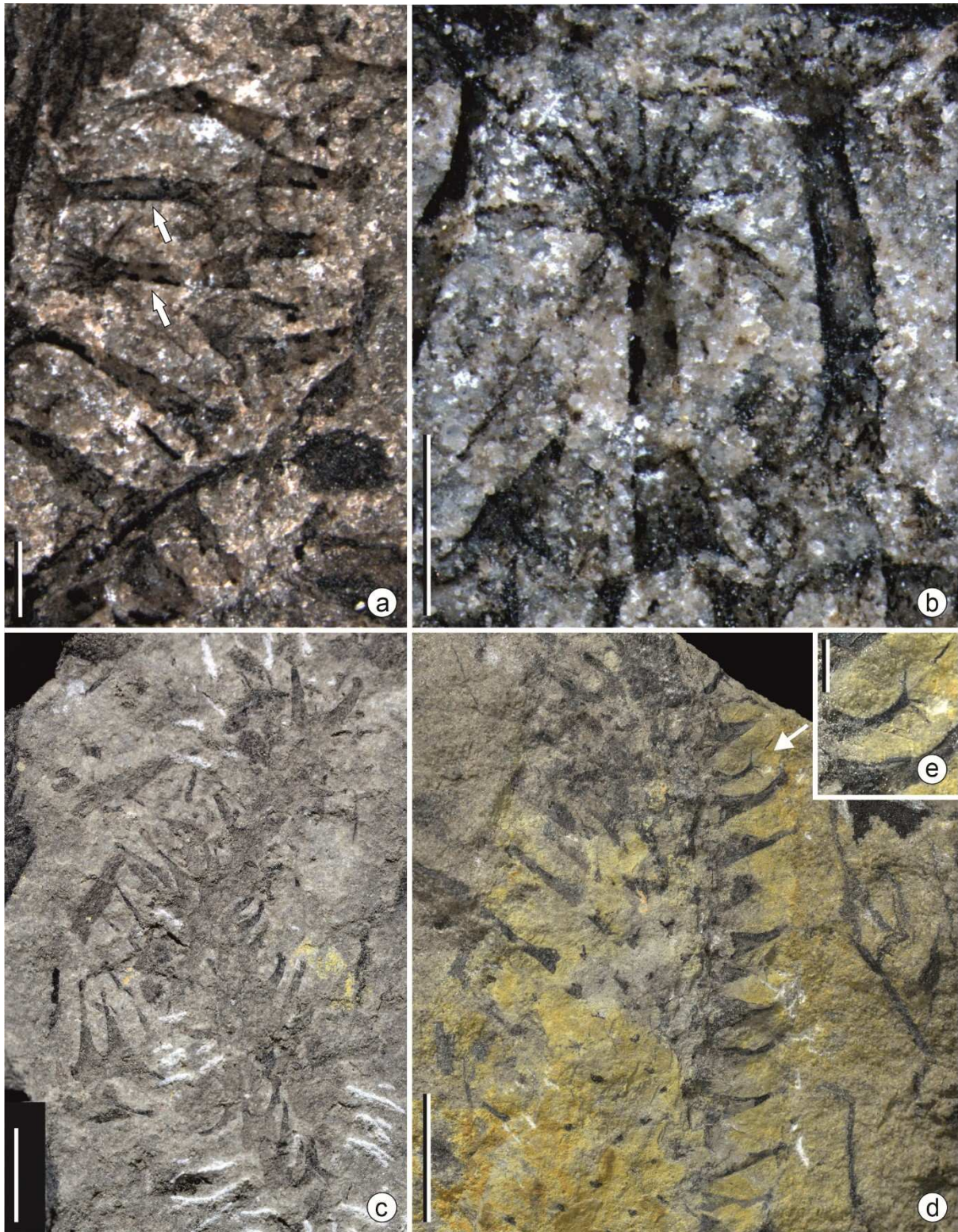


1005

1006 Figure 5

1007

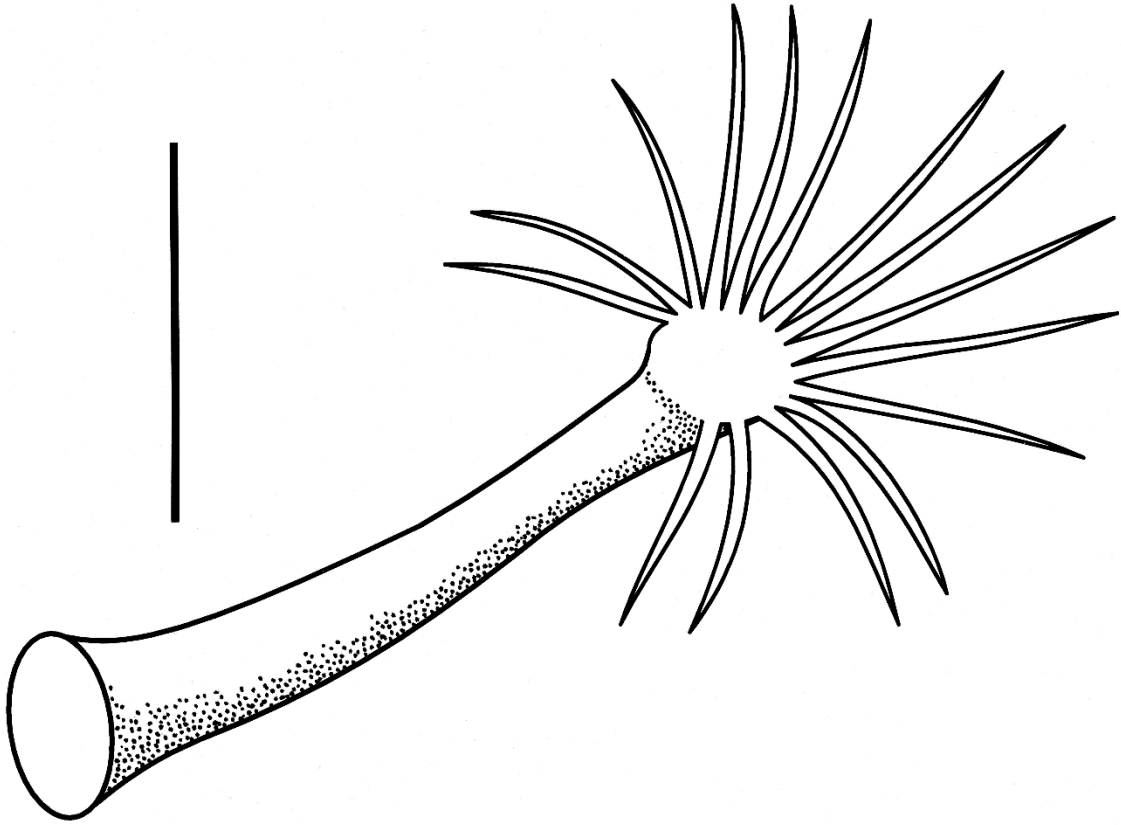




1008

1009 Figure 6

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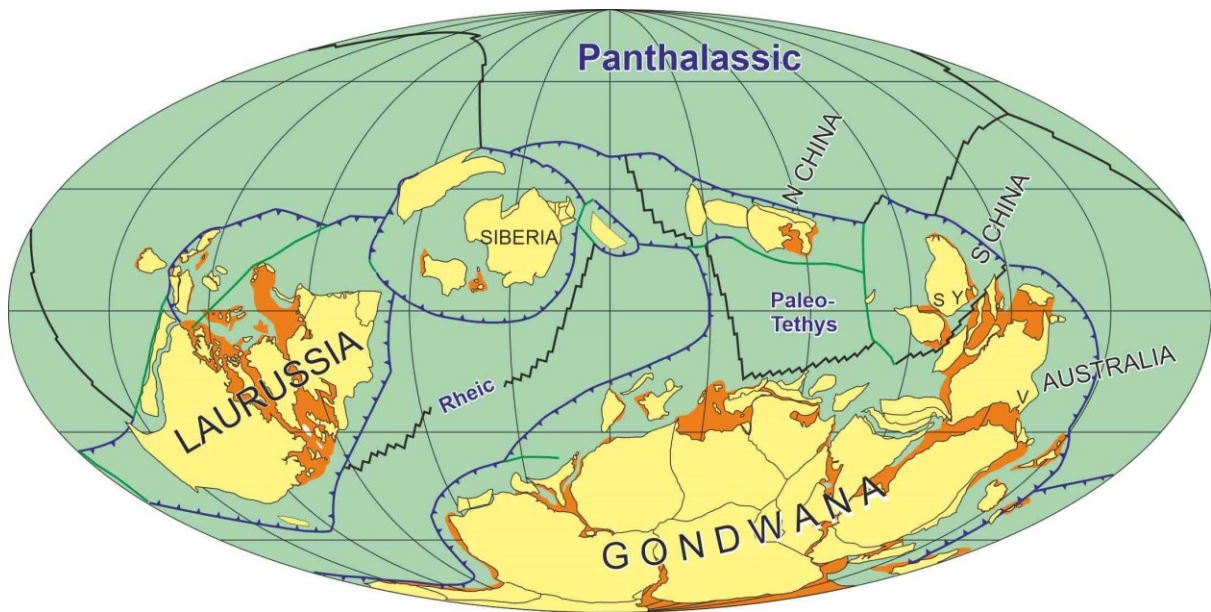


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1012 Figure 7

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1015 Figure 8

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