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1 **Efficacy of an experimental gonococcal lipooligosaccharide mimitope vaccine requires**  
2 **terminal complement**

3

4 Lisa A. Lewis<sup>1</sup>, Sunita Gulati<sup>1</sup>, Wioleta M. Zelek<sup>2</sup>, B. Paul Morgan<sup>2</sup>, Wen-Chao Song<sup>3</sup>, Bo  
5 Zheng<sup>1</sup>, Nancy Nowak<sup>1</sup>, Rosane B. DeOliveira<sup>1</sup>, Bryan Sanchez<sup>1</sup>, Leandro DeSouza Silva<sup>1</sup>,  
6 Janine Schuurman<sup>4</sup>, Frank Beurskens<sup>4</sup>, Sanjay Ram<sup>1</sup> and Peter A. Rice<sup>1</sup>

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8 <sup>1</sup>Division of Infectious Diseases and Immunology, University of Massachusetts Medical School,  
9 Worcester, MA 01605, <sup>2</sup>Systems Immunity Research Institute and Dementia Research  
10 Institute, Henry Wellcome Building for Biomedical Research, Cardiff University, Heath Park,  
11 Cardiff, CF14 4XN, <sup>3</sup>Translational Therapeutics, Perelman School of Medicine, University of  
12 Pennsylvania School of Medicine, Philadelphia, PA 19104 and <sup>4</sup>Genmab, Utrecht, The  
13 Netherlands

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15 **Running title:** Gonococcal vaccine efficacy requires MAC

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17 **Word Count Abstract:** 99

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20 **Main point:** An experimental gonococcal peptide vaccine that mimics a gonococcal glycan  
21 epitope on lipooligosaccharide requires activation of the terminal complement pathway for its  
22 efficacy in the mouse vaginal colonization model of gonorrhoea.

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**Footnotes:**

**Conflicts of interest:** Peter Rice, Sunita Gulati and Sanjay Ram are listed as inventors on patents related to the TMCP2 vaccine (Assignee: University of Massachusetts Medical School). Sanjay Ram serves a consultant for Apellis Pharmaceuticals and Ionis Pharmaceuticals. Frank Beurskens and Janine Schuurman have financial interests in Genmab (stocks and/or warrants). B. Paul Moran serves as a consultant for UCB and Kira Pharmaceuticals.

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**Address correspondence to:** Lisa A. Lewis, Division of Infectious Diseases and Immunology, University of Massachusetts Medical School, Lazare Research Building, Room 214, 364 Plantation Street, Worcester MA 01605, USA. Tel: +1-508-856-8587. Fax: +1-508-856-8447. E-mail: [lisa.lewis@umassmed.edu](mailto:lisa.lewis@umassmed.edu)

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## Abstract

A safe and effective vaccine against multidrug-resistant gonorrhea is urgently needed. An experimental peptide vaccine called TMCP2 that mimics an oligosaccharide epitope in gonococcal lipooligosaccharide, when adjuvanted with glucopyranosyl lipid adjuvant-stable emulsion (GLA-SE), elicits bactericidal IgG and hastens clearance of gonococci in the mouse vaginal colonization model. Here, we show that efficacy of TMCP2 requires an intact terminal complement pathway, evidenced by loss of activity in *C9*<sup>-/-</sup> mice or when C7 function was blocked. In conclusion, TMCP2 vaccine efficacy in the mouse vagina requires membrane attack complex. Serum bactericidal activity may serve as a correlate of protection for TMCP2.

**Key words:** *Neisseria gonorrhoeae*; gonorrhea; vaccine; lipooligosaccharide; complement; terminal complement pathway

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## Background

The emergence of multidrug-resistant *Neisseria gonorrhoeae* constitutes a global public health problem. A safe and effective vaccine against gonorrhea is urgently needed. Several gonococcal vaccine candidates are being evaluated in pre-clinical studies (reviewed in [1]). We previously identified a peptide mimic (mimitope) of the lipooligosaccharide (LOS) epitope recognized by mAb 2C7, which when configured as a tetramer (called TMCP2) and adjuvanted with GLA-SE, attenuated colonization of mice by gonococci [2]. One impediment to the development of gonococcal vaccines is the lack of a correlate of protection. Here, we elucidate the mechanism of action of TMCP2 in mice and define a correlate of protection that will facilitate further pre-clinical development.

## Methods

**Bacterial strain.** *N. gonorrhoeae* strain FA1090 has been described previously [2].

**Mouse strains.** C57BL/6 and BALB/c mice were from Jackson Laboratories. *C9*<sup>-/-</sup> mice in a C57BL/6 background have been described previously [3].

**Antibodies.** Function-blocking anti-mouse C7 mAb (IgG2κ) was produced as recently described [4]. A chimeric human IgG1 derivative of mAb 2C7 with a complement-enhancing Fc mutation (E430G) has been described previously [5].

**Immunization of mice.** Six week-old C57BL/6 and *C9*<sup>-/-</sup> mice were immunized with three doses of 50 μg TMCP2 plus 5 μg GLA-SE adjuvant at weeks 0, 3 and 6. BALB/c mice used in experiments with anti-C7 were given a fourth dose of vaccine at 9 weeks. Mice were challenged with *N. gonorrhoeae* strain FA1090 10-14 d after the last dose of vaccine.

73           **LOS ELISA.** Antibody elicited against the 2C7 LOS epitope was measured by ELISA  
74 using LOS purified from 2C7-positive *N. gonorrhoeae* strain 15253 as described previously [2].

75           **Opsonophagocytosis.** Mouse PMNs were elicited via intraperitoneal injection of  
76 thioglycolate broth and killing of FA1090 opsonized with normal mouse sera was performed as  
77 described [6].

78           **Murine model of gonococcal vaginal colonization.** Use of animals was performed in  
79 strict accordance with recommendations in the *Guide for the Care and Use of Laboratory*  
80 *Animals* of the National Institutes of Health. The protocol (protocol number A-1717) was  
81 approved by the IACUC at the University of Massachusetts Medical School. Immunized female  
82 mice (10-14 d after the last vaccine dose) in the diestrus phase of the estrous cycle were  
83 treated with Premarin (Pfizer) and antibiotics (vancomycin and streptomycin) as described  
84 previously [2, 5]. Mice were infected intravaginally with *N. gonorrhoeae* FA1090 (CFU specified  
85 for each experiment). Daily bacterial burdens were measured by enumerating CFU by rinsing  
86 vaginal swabs in 100  $\mu$ l of normal saline and then plating serial 10-fold dilutions onto chocolate  
87 agar plates containing vancomycin, colistin, nystatin, and trimethoprim sulfate) supplement  
88 (Becton Dickinson, Cockeysville, MD, US) plus 100 mg of streptomycin sulfate (Sigma, St.  
89 Louis, MO, US) per ml of media [2].

90           **Statistical analysis.** Clearance of *N. gonorrhoeae* across groups was compared using  
91 three characteristics of the data, as described previously [2, 5]: Time to clearance, longitudinal  
92 trends in mean  $\log_{10}$  CFU and the cumulative CFU as area under the curve (AUC). Median  
93 time to clearance was estimated using Kaplan-Meier survival curves; the times to clearance  
94 were compared between groups using a log-rank test. Mean  $\log_{10}$  CFU trends over time were  
95 compared between groups using 2-way ANOVA and Dunnett's multiple comparisons test. The

96 mean AUC ( $\log_{10}$ CFU vs. time) was computed for each mouse to estimate the bacterial burden  
97 over time (cumulative infection); the means under the curves were compared between groups  
98 using 1-way ANOVA (Kruskal Wallis test) because distributions were skewed or kurtotic;  
99 pairwise comparisons between groups was carried out using Dunn's post-hoc test.  
100 Comparisons of anti-LOS IgG titers across vaccine immunized groups were made by Mann-  
101 Whitneys non-parametric test.

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## Results

104 ***Antibody responses to TMCP2 are unimpaired in C9<sup>-/-</sup> mice.*** We compared 2C7 epitope-  
105 specific anti-LOS IgG responses in C9<sup>-/-</sup> and wild-type C57BL/6 mice 2 weeks after dose 2 and  
106 dose 3 (i.e., at weeks 5 and 8, respectively). As shown in Fig. 1A, both strains of mice showed  
107 similar anti-LOS IgG responses following immunization with TMCP2/GLA-SE.

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109 ***TMCP2 loses efficacy in mice without functional terminal complement.*** The terminal  
110 pathway comprises five components; C5b, C6, C7, C8 and C9, plasma proteins that when  
111 combined together form the lytic membrane attack complex (MAC), penetrating membranes to  
112 kill microbes. Complement C9 is the last step in assembly of the terminal complement pathway  
113 (membrane attack complex). The role of the early stages of the complement pathway in  
114 bacterial killing is well defined; Fc-Fc receptor (FcR) and C3 fragment-complement receptor 3  
115 (CR3) interactions, as well as signaling through the C5a receptor (C5aR) all contribute to  
116 opsonophagocytic uptake and killing of Neisseriae [7, 8]. We confirmed that  
117 opsonophagocytosis remained unaffected in C9<sup>-/-</sup> mice (Supplemental Figure S1). As shown in

118 Fig. 1B, TMCP2 lost all activity in *C9*<sup>-/-</sup> mice, suggesting that MAC formation was essential for  
119 TMCP2-mediated protection.

120 A second independent line of evidence for the role of the terminal pathway in the  
121 efficacy of TMCP2 was provided by a function-blocking anti-C7 mAb [4]. This mAb, at the dose  
122 used in this study, completely blocks terminal pathway in mice for at least 48 hours after  
123 administration [4]. To confirm that C7 function was blocked in the current study, we tested the  
124 efficacy of a human IgG1 chimeric mAb 2C7 with the E430G Fc mutation that promotes the  
125 formation of Fc hexamers on the bacterial surface, increases C1 complex engagement and  
126 enhances classical complement pathway activation; activity of mAb 2C7-E430G requires the  
127 terminal pathway for its efficacy [5]. Administering anti-C7 rendered the chimeric mAb 2C7  
128 ineffective (Fig. 2A). Similarly, TMCP2 immunization also failed to hasten the clearance of  
129 gonococcal colonization in mice given anti-C7 (Fig. 2B), confirming results obtained with *C9*<sup>-/-</sup>  
130 mice.

131

## 132 Discussion

133 Serum bactericidal activity is widely accepted as a correlate of protection against  
134 meningococcal disease [9]. A major obstacle in the development of gonococcal vaccines is the  
135 lack of an established correlate of protection. A group B meningococcal vaccine (4CMenB)  
136 showed 31% efficacy in retrospective epidemiologic study [10], however its mechanism of  
137 action remains unclear. Here, we show that activity of a candidate gonococcal vaccine in the  
138 mouse vaginal colonization model relies on a functional terminal complement pathway (Fig. 1  
139 and Fig. 2). These results mirror prior data with passively administered chimeric mAb 2C7 [5],  
140 which targets the same LOS epitope that is mimicked by TMCP2 [11]. We also show that



141 antibody responses to TMCP2 in *C9*<sup>-/-</sup> mice are intact, consistent with normal antibody  
142 responses in humans with terminal complement deficiencies given meningococcal vaccines  
143 [12, 13].

144 C9-deficient human serum can also kill *N. gonorrhoeae* strains that are susceptible to  
145 killing by complement-sufficient human serum, but at rates far slower than seen in normal  
146 serum [14]. By contrast, C8-depleted human serum did not kill gonococci even at later time  
147 points [14]. Our data demonstrate that MAC formation is essential for activity of anti-  
148 gonococcal LOS antibodies in mice; either absence of C9 or inhibition of C7 ablates activity.  
149 Delayed killing reported *in vitro* with C9-depleted/C9-deficient serum [14] may not suffice for  
150 vaccine efficacy *in vivo*, although we acknowledge that differences in gonococcal strains and  
151 sources of complement may preclude extrapolation of our data to humans. The presence of  
152 active complement in the female mouse genital tract that can support gonococcal killing are  
153 consistent with previous studies showing that human cervical secretions contains hemolytically  
154 active complement [15]. These data and our previous results with mAb 2C7 [5] suggest that  
155 serum bactericidal assay may serve as a correlate of protection for the TMCP2 vaccine. *N.*  
156 *gonorrhoeae* have evolved numerous strategies to evade killing by neutrophils [7], therefore it  
157 is not surprising that opsonophagocytosis may not contribute significantly to clearance of  
158 gonococci. Accordingly, depletion of PMNs did not have any negative impact on the efficacy of  
159 mAb 2C7 [5].

160 A recent study showed that *C6*<sup>-/-</sup> mice (derived from the Peru-Coppock strain) have  
161 impaired innate immune responses, including defective expression of surface adhesion  
162 molecules, generation of superoxide anion, and appearance of reactive oxygen species and  
163 histone release after activation of PMNs, along with defective phagocytosis [16]. Loss of C6,

164 C7, or C8 activity does not impair opsonophagocytic killing of meningococci by neutrophils  
165 derived from normal individuals [8, 12]. To minimize the possibility of impaired neutrophil  
166 function in mice genetically deficient of C9, we confirmed the role of terminal complement  
167 using a function-blocking anti-C7 mAb in wildtype mice.

168 In conclusion, terminal complement is necessary for efficacy of a peptide vaccine that  
169 targets gonococcal LOS. The serum bactericidal assay may serve as a correlate of protection  
170 for the TMCP2 vaccine.

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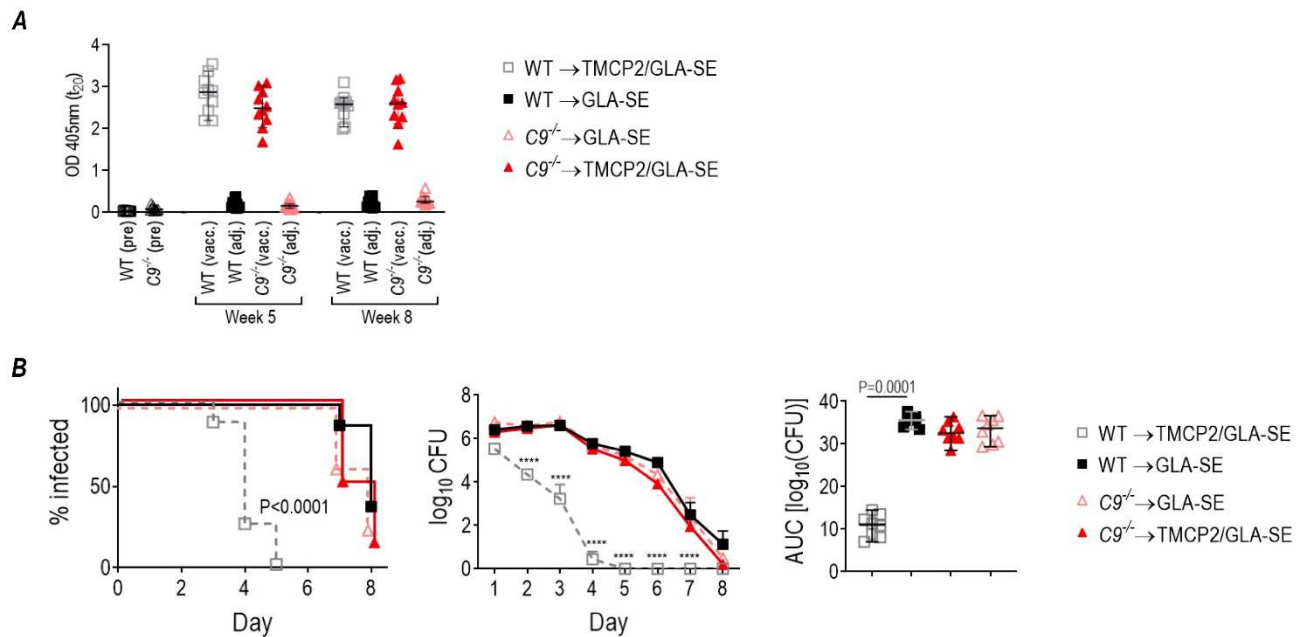
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## Figures / Figure Legends

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216 **Fig. 1.** An intact terminal complement pathway is required for TMCP2 vaccine efficacy.

217 Wildtype C57BL/6 or C9<sup>-/-</sup> mice were immunized with either TMCP2 (50 µg) plus GLA-SE

218 adjuvant (5 µg), or GLA-SE alone intramuscularly at 0, 3 and 6 weeks. **A.** C9<sup>-/-</sup> mice

219 immunized with TMCP2/GLA-SE mount normal IgG responses. Anti-LOS IgG in sera

220 (n=10/group) collected at week 0 (pre-immune sera, labeled 'pre') and at weeks 5 and 8 (two

221 weeks after doses 2 and 3, respectively) were measured by LOS ELISA. Mice that received

222 TMCP2/GLA-SE and GLA-SE alone are indicated as 'vacc' and 'adj' respectively. Horizontal

223 bars represent the median and error bars the 95% confidence interval. The differences

224 between the immunized groups were not significant. **B.** TMCP2 is ineffective in the absence of

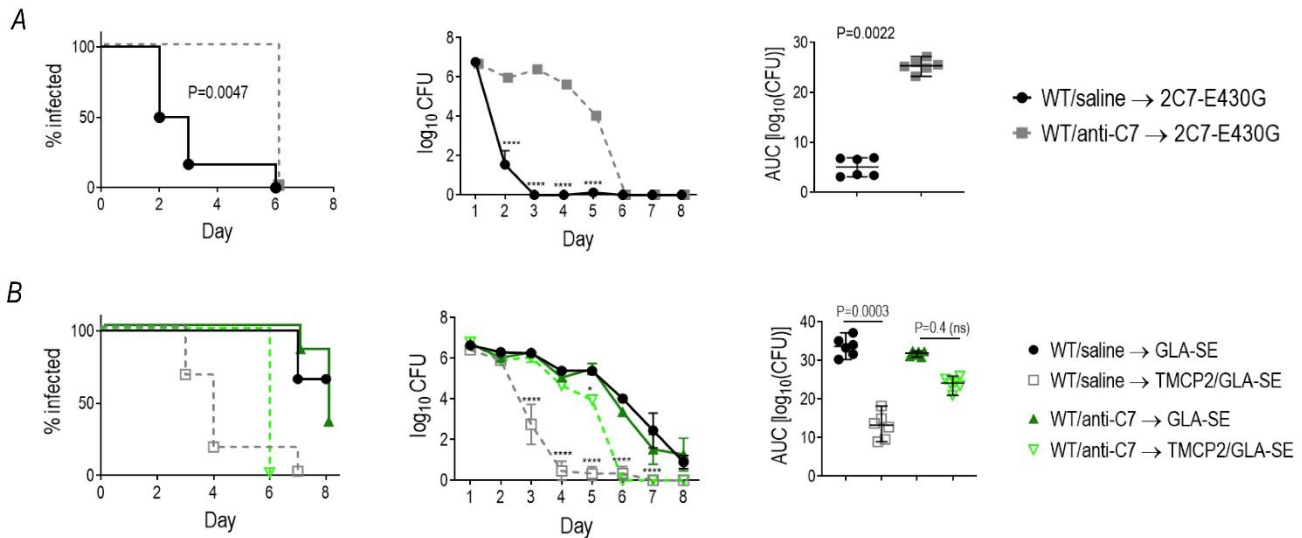
225 C9. Wild-type C57BL/6 mice or C9<sup>-/-</sup> mice immunized according to the schedule above were

226 challenged with *N. gonorrhoeae* strain FA1090 (3 x 10<sup>7</sup> CFU) intravaginally (n=8 mice/group).

227 Vaginas were swabbed daily to obtain CFUs. *Left graph:* Kaplan-Meier curves for time to

228 clearance of infection (analyzed by Mantel-Cox Log Rank test). *Middle graph*:  $\log_{10}$  CFU vs  
229 time (comparisons by 2-way ANOVA; \*\*\*\*,  $P < 0.0001$ ). *Right graph*: Area Under Curve (AUC)  
230 analysis (groups compared by one-way ANOVA using Kruskal Wallis' non-parametric test and  
231 pairwise comparisons were made with Dunn's test).

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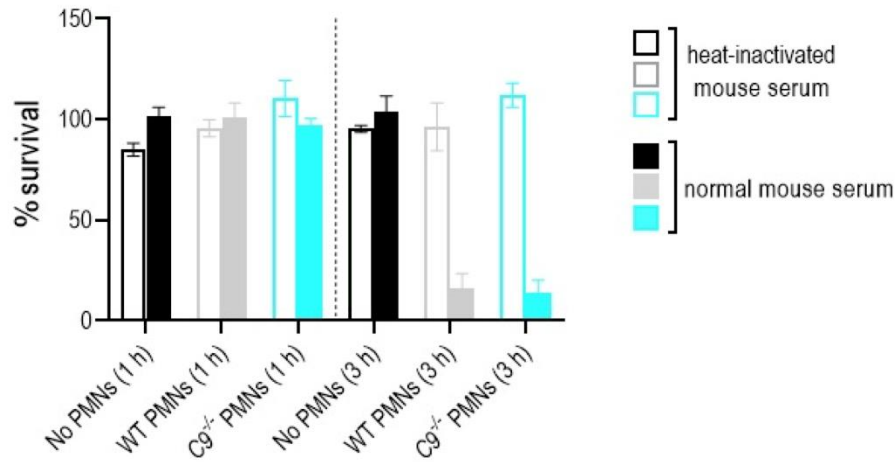
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234 **Fig. 2.** C7 is required for activity of the TMCP2 vaccine. **A.** Verification of the function of anti-  
 235 mouse C7 function blocking mAb. Wild-type BALB/c mice (n=6/group) were treated with either  
 236 saline or with anti-mouse C7 mAb (1 mg) intravenously on day -1 and infected with *N.*  
 237 *gonorrhoeae* FA1090 ( $2.6 \times 10^7$  CFU) on day 0. Anti-C7 or saline (control) was administered  
 238 again on days 2 and 5. Vaginas were swabbed daily to enumerate CFUs. *Left graph:* Kaplan-  
 239 Meier curves for time to clearance of infection (analyzed by Mantel-Cox Log Rank test). *Middle*  
 240 *graph:* log<sub>10</sub> CFU vs time (comparisons by 2-way ANOVA; \*\*\*\*, P<0.0001). *Right graph:* Area  
 241 Under Curve (AUC) analysis (groups compared by Mann-Whitney's test). **B.** Blocking C7  
 242 function decreases efficacy of TMCP2. Wild-type BALB/c mice were infected with FA1090 and  
 243 treated with anti-C7 (or saline) as described in panel B and CFUs monitored daily. *Left graph:*  
 244 Kaplan-Meier curves for time to clearance of infection. *Middle graph:* log<sub>10</sub> CFU vs time  
 245 (comparisons by 2-way ANOVA; \*\*\*\*, P<0.0001). *Right graph:* Area Under Curve (AUC)  
 246 analysis (groups compared by one-way ANOVA using Kruskal Wallis' non-parametric test and  
 247 pairwise comparisons were made with Dunn's test).

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266 **Supplemental Figure S1.** Opsonophagocytic killing of *N. gonorrhoeae* FA1090 by PMNs isolated from WT and  
267 *C9<sup>-/-</sup>* C57BL/6J mice. Gonococci ( $10^5$  to  $10^6$ ) opsonized with 10% normal mouse sera (NMS, filled bars) or 10%  
268 heat-inactivated mouse sera (HI-NMS, open bars) were incubated with  $10^6$  thioglycolate-elicited murine PMNs  
269 (>94% viability) for 3 hours at 37°C. Gonococci were diluted in GC broth with 0.05% saponin and enumerated by  
270 plating on Chocolate agar at 0, 1 and 3 hours (1h, 3h). Results are expressed as percent survival at 1 hour and 3  
271 hours relative to CFU at time 0 ( $[\text{CFU at 1 or 3 hours}/\text{CFU at time 0}] \times 100$ ). Reactions without PMNs were  
272 included as controls. Each experiment used PMNs pooled from 3 WT and 3 *C9<sup>-/-</sup>* mice. Bars represents the mean  
273 survival from 3 independent experiments and error bars are SEM.