1 TITLE: Parental inflammatory bowel disease and autism in the offspring: Triangulating the

- 2 evidence using four complementary study designs.
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40 ABSTRACT

41 Evidence linking parental diagnoses of inflammatory bowel disease (IBD) with offspring autism is 42 inconclusive. We conducted four complementary studies to investigate associations between parental 43 diagnoses of IBD and offspring autism and elucidate their underlying aetiology. (1) Nationwide 44 population-based cohort study using Swedish registers to examine associations between parental IBD 45 diagnoses and autism diagnoses in offspring, (2) Linkage disequilibrium (LD)-score regression to 46 estimate the genetic correlation between the phenotypes. (3) Polygenic risk score (PRS) analyses in 47 the Avon Longitudinal Study of Parents and Children (ALSPAC) to investigate associations between 48 maternal genetic liability to IBD and autism factor mean score in offspring. (4) Two-sample Mendelian 49 randomization (MR) to assess bidirectional causal links between genetic liability to IBD and autism. 50 We found evidence of an association between parental IBD diagnoses and offspring autism (maternal: 51 OR= 1.32; 95% CI: 1.25 to 1.40; p<0.001; paternal: OR= 1.09; 95% CI: 1.02 to 1.17; p=0.012; n= 52 2 324 227, 52.3% male). PRS analyses in ALSPAC indicated associations between maternal PRS for IBD 53 subtypes and a measure of broad autism phenotype, autism factor mean score, in the offspring (UC: 54 β_{PRS} = 0.02; 95%CI: 0.003 to 0.05; p = 0.02; R²=0.06; Crohn's: β_{PRS} = 0.03; 95%CI: 0.01 to 0.05; p = 0.004; 55 R²= 0.06; n= 7348, 50.3% male). MR analyses provided evidence of a potential causal effect of genetic 56 liability for IBD, especially ulcerative colitis, on autism (OR_{MR}= 1.03; 95%CI: 1.01 to 1.06). Triangulating 57 evidence from a nationwide register-based cohort study, genetic correlation, polygenic risk score 58 analyses and MR, we found evidence of a potentially causal link between parental, particularly 59 maternal, diagnoses and genetic liability to IBD and offspring autism. Perinatal immune system 60 dysregulation, micronutrient malabsorption and anaemia may be implicated.

61 INTRODUCTION

62 Autism spectrum disorder (autism) is a chronic neurodevelopmental condition with a highly variable 63 clinical manifestation¹. Beyond the core phenotypic expressions of autism (social communication 64 difficulties and restricted interests/repetitive behaviours), emerging evidence suggests that almost 65 half of autistic individuals present with gastrointestinal symptoms (median prevalence 47% in a 66 review of studies published between 1980 and 2017²). In addition, a recent study of 48,762 autistic 67 children and 243,810 controls in the United States (US), suggested that children with autism were approximately 47% more likely to be diagnosed with Crohn's disease (Crohn's) and 94% more likely to 68 69 be diagnosed with ulcerative colitis (UC) compared to controls³. 70 Crohn's and UC are the major subtypes of Inflammatory bowel disease (IBD), a chronic condition 71 associated with immune system dysregulation, intestinal microbiome alterations, micronutrient malabsorption and anaemia^{4–6}. There is evidence suggesting that these characteristics of IBD might be 72 73 perinatal factors associated with autism⁷⁻¹¹. On this basis, a potential link between parental IBD and 74 offspring autism could be hypothesised. Evidence so far is inconclusive, with only one out of the four registry-based studies in the field^{12–15} indicating an association between maternal UC and offspring 75 76 autism¹⁵. Moreover, the underlying aetiology of any associations is unclear. 77 We conducted four complementary studies (Figure 1 and Table 1) to investigate: (1) associations 78 between parental diagnoses of IBD and offspring autism in a nationwide cohort in Sweden; (2) genetic 79 correlation between IBD and autism using genome-wide association study (GWAS) summary statistics; 80 (3) polygenic associations between maternal genetic liability to IBD and offspring autistic traits in a 81 large UK birth cohort; and (4) potential causal effects of genetic liability to IBD on autism and the 82

possibility of reverse causation using bidirectional two-sample Mendelian randomization (MR).

83 RESULTS

84 Study 1: Associations between parental IBD diagnoses and offspring autism

85 In a sample of 2,324,227 offspring born to 1,282,494 mothers and 1,285,719 fathers from "Psychiatry 86 Sweden", a comprehensive national register linkage, we assessed the associations between parental 87 IBD diagnosis and offspring autism (Online Methods, Supplementary Figure S1, Supplementary Figure 88 S2, Supplementary Tables S1 & S2). Using logistic regression, we assessed the associations between 89 parental IBD diagnoses and offspring autism. We ran crude models (Model 1), as well as models adjusted for covariates that have been previously identified to be associated with autism in the 90 Swedish registers, including parental age at delivery¹⁶, migrant status¹⁷, education level, family income 91 quintile at birth¹⁸, parents' history of psychiatric diagnosis¹⁹ prior to the birth of the child and 92 93 offspring sex, birth year and birth order (Model 2). In order to avoid potential bias from assortative 94 mating in Model 2, we additionally mutually adjusted for maternal and paternal IBD diagnoses (Model 3)²⁰. Maternal IBD diagnosis was associated with offspring autism in crude and adjusted models (Any 95 96 IBD diagnosis: OR_{MODEL3}= 1.32; 95% CIs: 1.25 to 1.40; Table 2). Similar results were observed in 97 analyses of maternal UC and Crohn's diagnoses and offspring autism (Table 2), and in analyses 98 restricted to maternal IBD diagnoses prior to the index person's birth (Any IBD diagnosis: OR_{MODEL3}= 99 1.20; 95% CIs: 1.09 to 1.32; Supplementary Table S3). The paternal IBD associations with autism were 100 weaker (OR_{MODEL3}= 1.09; 95% Cls 1.02 to 1.17) than the maternal associations (Table 2). Point 101 estimates for associations of parental IBD diagnoses to autism without intellectual disabilities (ID) 102 were higher than those for autism with ID, although confidence intervals overlapped (Supplementary 103 Table S4).

104 Study 2: Genetic correlation between IBD and autism

Using the latest GWAS summary statistics on IBD (N_{cases}= 25,042; N_{controls}= 34,915)²¹, Crohn's (N_{cases}=
12,194; N_{controls}= 28,072)²¹, UC (N_{cases}= 12,366; N_{controls}= 33,609)²¹ and autism (N_{cases}= 18,381; N_{controls}=
27,969)²², we performed Linkage disequilibrium score regression (LDSC)²³. We found no evidence of a
genetic correlation between genetic liability to autism and IBD, UC, or Crohn's (Table 3). Heritability

- scores (z-scores: 8.34-11.75), chi-squares (1.20-1.53) and intercepts (1.01-1.12) satisfied the
- 110 conditions to provide reliable LD-score regression estimates (Supplementary Table S5)..
- 111 Study 3: Associations between polygenic risk for IBD, UC, Crohn's and broad autism phenotype in
- 112 ALSPAC
- 113 In 7,348 mothers and 7,503 children of the Avon Longitudinal Study of Parents and Children
- 114 (ALSPAC) cohort we calculated polygenic risk scores (PRS) for IBD, Crohn's and UC, using the
- 115 latest available GWAS summary data²¹, and assessed associations with an available measure
- 116 of broad autism phenotype, autism mean factor score²⁴ (Online Methods, Supplementary
- 117 Figure S3). Maternal polygenic risk for IBD, UC, Crohn's and offspring broad autism phenotype
- 118 Maternal polygenic risk for UC and Crohn's was associated with a higher autism factor mean score in
- **119** the offspring (UC: β_{PRS} = 0.02; 95%Cls: 0.003 to 0.05; p= 0.03; Crohn's: β_{PRS} = 0.03; 95%Cls: 0.01 to 0.05;
- p= 0.004). Similar results were found across other p-value thresholds (0.5- 0.05). The effect size of the
- association between maternal polygenic risk for IBD and autism factor mean score, was comparable
- to that of UC and Crohn's, although confidence intervals crossed the null (β_{PRS} = 0.02; 95%CIs: -0.004

to 0.04; p= 0.1; R²= 0.06; Table 4, Supplementary Figure S4, Supplementary Table S6).

- 124 Child's polygenic risk for IBD, UC, Crohn's and broad autism phenotype
- 125 There was no evidence of associations between child's PRS for IBD, UC, Crohn's and autism mean
- 126 factor score in children (IBD: $β_{PRS}$ = 0.003; 95%Cls: -0.02 to 0.02; p= 0.79; R²= 0.05; UC: $β_{PRS}$ = 0.001;
- **127** 95%Cls: -0.02 to 0.02; p= 0.89; R²= 0.05; Crohn's: β_{PRS}= 0.007; 95%Cls: -0.01 to 0.03; p= 0.49; R²= 0.05;
- **128** Table 4, Supplementary Figure S5, Supplementary Table S7).

129 Study 4: Causal effects of genetic liability to IBD on risk of autism

- 130 Within a two-sample Mendelian randomization (MR)²⁵ framework, we extracted common genetic
- variants robustly associated ($p \le 5e-08$) with IBD, Crohn's and UC using the latest available GWAS
- summary data²¹ and assessed their causal effects on 18,381 autism cases and 27,969 controls of the
- **133** PGC and the iPSYCH consortia²²(Online Methods, Supplementary Figure S6, Supplementary Table S8).

134 MR analyses were additionally performed using a subsample of the iPSYCH excluding all ID cases

135 (N_{cases}= 11,203; N_{controls}= 22,555; Online Methods, Supplementary Figure S7, Supplementary Table S9).

136 The mean F statistics of the IBD, UC and Crohn's instruments were 67, 68 and 70, respectively,

137 suggesting adequate strength²⁶. There was evidence of a causal effect of genetic liability to UC on risk

138 of autism (IVWOR= 1.04; 95% CIs: 1.01 to 1.07; p= 0.006). Evidence for the effect of genetic liability to

139 IBD and Crohn's on autism risk was weaker, although the magnitude and direction of the effect

140 estimates was comparable to the UC results (Table 5).

141 The magnitude and direction of causal effect estimates was consistent across all sensitivity analyses,

and there was no evidence to suggest the influence of horizontal pleiotropy (Supplementary Table

143 S10). Results of analyses with instruments extracted from the autism GWAS excluding ID cases were

144 comparable to our primary effect estimates (Supplementary Table S11).

145 Causal effects of genetic liability to autism on risk of IBD

146 We assessed the possibility of reverse causation by performing bidirectional two-sample MR. We 147 extracted common genetic variants associated ($p \le 5e-07$) with autism as well as autism without ID^{22} 148 and assessed their potential causal effects on IBD (N_{cases}= 25,042; N_{controls}= 34,915), UC (N_{cases}= 12,366; 149 N_{controls}= 33,609) and Crohn's (N_{cases}= 12,194; N_{controls}= 28,072)²¹ (Online Methods, Supplementary Figures S5& S6, Supplementary Tables S8 & S9). The mean F statistic of the autism instruments was 150 151 28, suggesting adequate strength. There was no evidence of a causal effect of genetic liability to 152 autism on risk of IBD, UC or Crohn's (Table 5). The estimates were consistent across sensitivity 153 analyses, with overlapping confidence intervals, and were unlikely to be influenced by horizontal 154 pleiotropy (Supplementary Table S12). Repeating our analyses with instruments extracted from the 155 autism GWAS excluding all ID cases yielded similar results (Supplementary Table S13).

156 DISCUSSION

We used four complementary approaches to investigate the associations between parental diagnosesand genetic liability to IBD and offspring autism. Conducting a nationwide register-based cohort study

in Sweden we found evidence of associations between parental diagnoses of IBD and offspring
autism. Importantly, the maternal effect sizes were larger than paternal, without overlapping
confidence intervals. PRS analyses in the ALSPAC birth cohort suggested associations between
maternal genetic liability to IBD and offspring autism, while two-sample MR studies provided evidence
of a potentially causal effect of genetic liability to IBD on autism risk. There was no evidence to

suggest a genetic correlation between autism and IBD, as indicated by LD-score regression analyses.

165 A number of studies have investigated the potential associations between parental autoimmune 166 conditions and autism. Several parental autoimmune conditions have been previously identified to be linked to offspring autism, including rheumatoid arthritis²⁷ and psoriasis²⁸. In the case of IBD, evidence 167 168 from previous studies is inconclusive. In contrast to studies to date, the use of four distinct study 169 designs is a notable strength of our approach. Using study designs with different strengths and 170 sources of bias (Table 1) allowed the triangulation of our findings, rather than relying on arbitrary pvalue thresholds^{6,7}. Using study designs with different strengths and sources of bias (Table 1) allowed 171 the triangulation of our findings, rather than relying on arbitrary p-value thresholds^{29,30}. The Swedish 172 173 nationwide register-based cohort study of over 2 million parent-child pairs is the largest to date on 174 parental IBD-offspring autism. In addition, the present study benefited from the longest to date 175 follow-up period (1987-2016), as well as exposure and outcome ascertainment from both inpatient 176 and outpatient specialist care.

The ALSPAC cohort containing genotype data for over 7,000 mothers and children as well as broad
autism phenotype measures for over 13,000 children, is one of the richest resources for the
investigation of the potential polygenic associations between maternal polygenic risk for IBD and
offspring autism.. Finally, in the MR analyses we used the largest GWAS data available for all
conditions and conducted several sensitivity analyses to test the robustness of our findings.
Considering study limitations, in the Swedish registers the possibility of measurement error in IBD

183 diagnoses cannot be excluded. However, this is likely to be non-differential in relation to our study

outcome and would therefore bias our findings towards the null. Secondly, while PRSs were based on 184 185 large GWAS samples, it was not possible for us to investigate the variance explained by the PRSs in our target sample. However, based on previous studies^{31,32}, it could be expected that our PRS 186 187 potentially explain little variance in the phenotype (\approx 3-1.5%), a limitation which could be overcome 188 with future larger GWAS. Thirdly, the autism mean factor score used in the present analyses, was 189 derived from individual measures that were not primarily intended to assess autism. However, the 190 score has been found to be predictive of a clinical autism diagnosis (measured independent of the 191 variables contributing to the derivation of the mean factor score) and presents associations with autism PRS in ALSPAC, as suggested by previous studies^{24,33}. Fourth, in two-sample MR analyses 192 193 investigating the effects of genetic liability to autism on risk of IBD, we used a relaxed instrument 194 inclusion p-value threshold, this could potentially result in including weak instruments and therefore 195 bias the causal effect estimates. The F statistic of the autism instruments in our analyses suggested 196 that weak instrument bias is unlikely. Fifth, although we performed a series of sensitivity analyses to 197 assess the robustness of the causal effect estimates, the possibility of horizontal pleiotropy 198 influencing the present findings cannot entirely be ruled out, especially considering emerging evidence on the genetic architecture of IBD, implicating immune and endocrine-related genes³⁴. Sixth, 199 200 using GWAS data we could only investigate the possible contribution of common variants acting 201 under an additive model and not any contribution from rare variation which has been found to be 202 implicated in autism^{35,36}. Finally, an important consideration is that the present study has been 203 conducted using samples and GWAS data of predominantly European ancestry individuals. Although a 204 proportion of index children in the registry-based study had at least one parent of non-European 205 descent (10%), the use of European ancestry summary and individual-level genetic data in LDSC, PRS 206 and MR analyses, was unavoidable considering that the largest available GWAS on autism and IBD 207 have been conducted in European ancestry samples. The increasing representation of ethnically 208 diverse populations in biobanks and health registers will allow future studies to build on the present 209 findings.

210 Overall, our findings suggesting larger maternal effect sizes than paternal in the registry-based study, 211 in combination with the identified associations between maternal, but not child's, PRS for IBD and 212 offspring autism factor mean score, could potentially indicate in utero effects. This could be further 213 supported considering that we did not find evidence of a genetic correlation between autism and IBD. Specifically, based on liability-threshold models of inheritance^{37–40} (and assuming that liability to IBD is 214 215 normally distributed in the population), it could be hypothesised that liability to IBD will be expressed 216 after a threshold has been exceeded, depending on a synergy of genetic variation, environmental 217 factors and chance. Mothers below but close to the threshold, could be expected to express sub-218 phenotypic manifestations of IBD such as immunological alterations, micronutrient deficiencies, or 219 anaemia. These sub-phenotypic manifestations could influence fetal development. In fact, several 220 immune pathways have been implicated in both Crohn's and UC (which are strongly genetically correlated: r_g = 0.5; p = 2*10⁻¹³ ²³), including T-helper 1 (TH1), T-helper 2 (TH2) and T-helper 17 (TH17) 221 222 cytokines⁴¹, which are increasingly identified to be linked to perinatal complications^{42–44} as well as autism^{45–47}. Similarly, micronutrient malabsorption and anaemia during pregnancy have been found to 223 be associated with offspring autism^{10,11}. The availability of genotype and biospecimen data in autism 224 225 family cohorts such as the Simons Simplex Collection (SSC) and the Simons Foundation Powering Autism Research (SPARK)^{48,49}, is expected to allow the integration of genomic, immune, and gut 226 227 microbiome profiling approaches to elucidate the potential aetiology and biological pathways 228 underlying the identified associations.

In conclusion, triangulating evidence from a nationwide register-based cohort study, genetic
correlation, polygenic risk score analyses and MR, we found evidence suggesting associations
between parental, particularly maternal, diagnoses of IBD and offspring autism. Links between
maternal genetic liability to IBD and offspring autism may reflect the influence of the maternal
genotype on the prenatal/intrauterine environment. Investigating the mechanisms behind these
findings may provide valuable insights into the origins of autism.

238 ONLINE METHODS

- Study 1: Investigating associations between parental diagnoses of IBD and offspring autism- Swedishcohort study.
- We used individual-level data from 'Psychiatry Sweden', a comprehensive national register linkage, toinvestigate whether parental IBD diagnosis is associated with offspring autism diagnosis.
- 243 All children born in Sweden from 1-January-1987 to 31-December-2010 (n= 2,837,045) were eligible
- index persons, with follow-up to 31-December-2016. Exclusion criteria were: children born outside
- 245 Sweden (n=292,023), not registered in the Medical Birth Register (n=74,240), resident in Sweden for
- 246 <5 years (n=23,495), multiple pregnancy (n=67,309), adopted (n=2,425), known genetic/metabolic
- causes of neurodevelopmental conditions (e.g. trisomies) (n=7,873) or incomplete parental records
- 248 (n=45,453)⁵⁰. The study population included 2,324,227 offspring born to 1,282,494 mothers and
- 249 1,285,719 fathers (Supplementary Figure S1). The Stockholm Regional Ethical Review Committee
- **250** (DNR 2010/1185-31/5) approved the study.
- 251 Offspring autism was identified in the National Patient Register (NPR) using ICD-9 and ICD-10 codes
- 252 (Supplementary Methods S1). Lifetime history of parental IBD, Crohn's disease (Crohn's) and
- 253 ulcerative colitis (UC) were identified using ICD-9 and ICD-10 codes in the NPR (Supplementary
- 254 Methods S1).

255 Using STATA/MP15, we estimated the odds ratios and 95% confidence intervals of the association of

- 256 mother's and father's diagnosis of IBD (any IBD, Crohn's, or UC) with offspring autism using
- 257 generalised estimating logistic models with robust standard errors accounting for clustering of
- 258 multiple children born to the same parents.
- 259 Model 1 was unadjusted. Model 2 was adjusted for parental age at delivery¹⁶, migrant status¹⁷,
- 260 education level, family income quintile at birth¹⁸, parents' history of psychiatric diagnosis prior to the
- 261 birth of the child and offspring sex, birth year and birth order (Supplementary Table S14 for
- collinearity diagnostics of covariates included in the models). Model 3 was additionally mutually

adjusted for maternal and paternal IBD diagnoses to avoid bias from assortative mating²⁰. As a

- sensitivity analysis, we restricted parental IBD diagnoses to those recorded prior to the birth of the
- 265 index person and investigated associations with offspring autism. Additionally, we investigated
- associations between any parental IBD diagnoses and offspring autism with and without intellectual
- 267 disabilities (ID) separately, since these groups may have distinct genetic and environmental risk
- 268 factors^{19,51–53} and outcomes^{54,55}. Due to the number of analyses run in the study we applied a
- **269** Bonferroni correction to account for multiple testing (0.05/42= 0.0012).
- 270 Study 2: Investigating genetic correlations- LD-Score regression
- We used LD-score regression to estimate the genetic correlation between genetic liability to autismand IBD, Crohn's and UC.
- 273 LD-score regression allows the estimation of the genetic correlation between polygenic traits using
- 274 GWAS summary statistics by capitalising on patterns of linkage disequilibrium among common genetic
- variants²³. We used the latest available GWAS summary data on autism (N_{cases}= 18,381; N_{controls}=
- 276 $(N_{cases} = 25,042; N_{controls} = 34,915)^{21}$, Crohn's $(N_{cases} = 12,194; N_{controls} = 28,072)^{21}$ and UC
- 277 $(N_{cases} = 12,366; N_{controls} = 33,609)^{21}$. Detailed information on study samples and case definition can be
- 278 found in the original publications.
- 279 We followed the suggested protocol for LD-score regression analyses
- 280 (https://github.com/bulik/ldsc/wiki). Using the LDSC (LD Score) v1.0.1 software in Python, we
- estimated genetic correlations using pre-computed LD scores from the 1000 Genomes project
- 282 European data⁵⁶ (from: <u>https://data.broadinstitute.org/alkesgroup/LDSCORE/eur w ld_chr.tar.bz</u>) with
- an unconstrained intercept term to account for any sample overlap, and population stratification.

285 Study 3: Investigating associations between genetic liability to IBD and childhood broad autism

286 phenotype- Polygenic Risk Score analysis in mothers and children of the ALSPAC cohort

287 Discovery Sample

288 Common genetic variants, corresponding alleles, effect sizes and p-values were extracted in order to

- 289 calculate polygenic risk scores (PRSs), from the GWAS summary data of IBD²¹, UC²¹ and Crohn's²¹
- described above.
- **291** Target Sample
- 292 ALSPAC is a UK prospective birth cohort study based in Bristol and surrounding areas, which recruited
- pregnant women with expected delivery dates from 1 April 1991 to 31 December 1992; 14,541
- women were initially enrolled, with 14,062 children born, and 13,988 children alive at 1 year of age.

295 Detailed information on the cohort is available elsewhere^{57,58}. A fully searchable study data dictionary

- is available at : <u>http://www.bristol.ac.uk/alspac/researchers/our-data/.</u> Ethical approval for the study
- was obtained from the ALSPAC Ethics and Law Committee and the Local Research Ethics Committees.

298 Genetic data

- **299** 10,015 ALSPAC mothers were genotyped on the Illumina Human660W-quad genome-wide single
- 300 nucleotide polymorphism (SNP) genotyping platform, and 9,912 ALSPAC children were genotyped on
- 301 the Illumina HumanHap550-quad. After standard quality control (Supplementary Methods S2) and
- 302 excluding participants who had withdrawn consent, genetic data were available for 7,921 mothers
- and 7,977 children of European ancestry. Consent for biological samples has been collected in
- accordance with the Human Tissue Act (2004).

305 Broad autism phenotype- autism factor mean score

We used a measure of the broad autism phenotype previously estimated in ALSPAC as the mean score of 7 factors derived from a factor analysis of 93 measures related to autism in ALSPAC²⁴. The measure was available in 13,103 children and strongly predictive of the autism diagnosis measured independently via school records, record linkage and parental reports²⁴. Other autism trait measures

or diagnoses were not used as there were fewer genotyped mothers and children with these measures.

306 Calculation of Polygenic Risk Scores in ALSPAC and statistical analysis

307 PRS were calculated using PLINK version 1.9, applying the method described by the Psychiatric Genomics Consortium (PGC)⁵⁹. SNPs with mismatching alleles between the discovery and target 308 309 dataset were removed. The MHC region was removed (25 Mb – 34 Mb), except for one SNP 310 representing the strongest signal within the region. Using ALSPAC data as reference panel, SNPs were 311 clumped with an r^2 of 0.25 and a physical distance threshold of 500 kB. The optimal p-value threshold 312 for PRS is dependent on discovery and target sample sizes, as well as SNP inclusion parameters (e.g., 313 r^{2})^{60,61}. For this reason, we calculated PRS for each participant across 13 p-value thresholds (p<5e-8 to 314 p<0.5), standardised by subtracting the mean and dividing by the standard deviation. We defined PRS 315 corresponding to p-value threshold 0.05 as our primary exposure, based on a previous ALSPAC study⁶². This threshold has been found to have sufficient predictive ability for IBD and its subtypes³². 316 317 We could not directly assess the predictive power and optimal p-value threshold of our PRSs in our 318 target sample as there were few UC (n=12) and Crohn's cases (n=16). 319 After constructing PRS for IBD, UC and Crohn's in mothers and children, we performed linear 320 regressions using STATA/MP 15 to examine associations with the standardised autism factor mean score in childhood. Analyses were adjusted for child's sex and the first 10 principal components of the 321 322 ALSPAC genotype data to avoid population stratification bias⁶⁰. 323 Study 4: Investigating bidirectional causal links- Two-sample Mendelian randomisation

324 We performed two-sample Mendelian randomisation (MR) to assess bidirectional causal links

325 between genetic liability to autism and IBD and its subtypes, and vice versa.

326 MR can be implemented as an instrumental variable approach, utilising common genetic variants as

327 instruments for exposures of interest, allowing assessment of causal effects and their direction on

328 outcomes. MR relies on the following assumptions : (i) there must be a robust association between

the common genetic variants and the exposure, (i.e., no horizontal pleiotropy, the phenomenon in which the genetic variant influences multiple phenotypes through biologically distinct pathways), (ii) the variants should operate on the outcome entirely via the exposure, (iii) the variants should not be associated with any confounders of the associations between the exposure and the outcome⁶³. In this study, we applied two-sample MR, in which the effect sizes and standard errors of the instruments for the exposure and the outcome were extracted from separate GWASs conducted in independent samples from the same underlying population²⁵.

336 Genetic Instruments

Genetic instruments were extracted from the overlapping set of SNPs between the autism²², IBD²¹,
 UC²¹, and Crohn's²¹ GWASs. This ensured that all selected genetic instruments would be present in
 the outcome GWAS.

340 GWAS summary data were restricted to a common set of SNPs and then clumped in PLINK 1.90 using the 1000Genomes⁵⁶ phase 3 European ancestry reference panel, and an r2= 0.01, within a 10,000 kb 341 342 window. Among the independent variants, instruments were defined using a genome-wide significance threshold of $p \le 5 \times 10^{-08}$. The only exception was autism, as only two independent and 343 344 genome-wide significant variants were identified. We therefore relaxed the p-value threshold to 5×10^{-1} ⁰⁷ to improve statistical power, as used previously ⁶⁴. Supplementary Figure S6 illustrates the process 345 346 of instrument definition, and supplementary table S8 contains information on the genetic instruments 347 used.

348 Harmonisation

349 We harmonised the alleles of the outcome on the exposure, to ensure SNP-exposure and SNP-

350 outcome effects correspond to the same allele. Variants identified as palindromic were removed, as

351 the effect allele frequencies in the IBD, UC, and Crohn's GWASs were not provided. Supplementary

tables S15 and S16 contain details of the harmonised datasets.

353 Inverse Variance Weighted MR

- 354 The primary MR analysis was the Inverse Variance Weighted (IVW) method which provides an overall
- 355 causal effect estimate of the exposure on the outcome, estimated as a meta-analysis of the ratios of
- the SNP-outcome effect to the SNP-exposure effect weighted by each SNP's relative precision⁶⁵.
- **357** Sensitivity Analyses to test robustness of causal effect estimates
- 358 We assessed the strength of the instruments by estimating the mean F statistic. As a rule of thumb,
- 359 the IVW is unlikely to suffer from weak instrument bias if mean $F>10^{26}$.
- 360 We assessed the consistency of the IVW causal effect estimates using sensitivity analyses, including:
- 361 MR Egger regression⁶⁵, Weighted Median⁶⁶ and Weighted Mode⁶⁷ (Supplementary Methods S3).
- 362 Sensitivity Analyses to test the consistency of the causal effect estimates in autism without
- **363** intellectual disabilities (ID)
- 364 The autism GWAS used in our primary analyses included a proportion of autism cases with ID²². We
- tested the consistency of the causal effect estimates using GWAS summary data on a sub-sample of
- the iPSYCH cohort⁶⁸ excluding all intellectual disability cases (N_{cases}= 11,203; N_{controls}= 22,555).
- 367 Supplementary figure S7 visualises the process of instrument definition, and supplementary tables S9,
- 368 S17 and S18 contain details on the instruments used and the harmonised datasets.
- **369** Two-sample MR analyses were performed using the TwoSampleMR R package⁶⁹ in R version 3.5.1.

370 DATA AVAILABILITY

- 371 Swedish registry data: Individual-level data from 'Psychiatry Sweden' were used and under ethics
- approval from the Stockholm regional ethical review committee (DNR 2010/1185-31/5). Data are not
- 373 publicly available. Data must remain in the countries, according to national laws and registry
- 374 regulations, and access is restricted to projects approved by the relevant research committees
- 375 (<u>stockholm@rdn.jordbruksverket.se</u>).

- 376 GWAS summary data: GWAS summary data for IBD, ulcerative colitis, Crohn's disease and autism
- 377 used in the LD score regression, polygenic risk score and Mendelian randomization analyses, are

378 publicly available (IBD, UC, Crohn's:

- 379 <u>ftp://ftp.sanger.ac.uk/pub/project/humgen/summary_statistics/human/2016-11-07/</u>; Autism:
- 380 <u>https://www.med.unc.edu/pgc/download-results/</u>). GWAS summary data for autism without
- intellectual disabilities are not publicly available and can be accessed after correspondence with the
- 382 iPSYCH: <u>https://ipsych.dk/</u>.
- 383 ALSPAC data: Ethical approval for the study was obtained from the ALSPAC Ethics and Law Committee
- and the Local Research Ethics Committees. Individual-level data from the ALSPAC birth cohort are not
- 385 publicly available and can be accessed after application to the ALSPAC executive team:
- 386 <u>http://www.bristol.ac.uk/alspac/researchers/access/</u>

387 CODE AVAILABILITY

- 388 Analyses were conducted using established protocols for each analytic approach used in the present
- 389 study. Specifically in the case of LD score regression, the protocol described at:
- 390 <u>https://github.com/bulik/ldsc/wiki/Heritability-and-Genetic-Correlation</u>, was used. In the case of
- **391** polygenic risk score calculation, the approach described at:
- 392 <u>https://www.nature.com/articles/nature13595</u>, was applied. Finally, for two-sample Mendelian
- **393** randomization, the approach described at:
- 394 <u>https://mrcieu.github.io/TwoSampleMR/articles/introduction.html</u>, was applied.

395

396

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420

421 AUTHOR CONTRIBUTIONS

422 Research idea; CD; Study design and supervision; CD, RG, DR; Data analysis: AS, CD, RG;

423 Interpretation of results: All authors; Drafting of manuscript: AS, CD, RG, DR; Critical comments and

424 editing of manuscript drafts: All Authors; Approval of final submitted manuscript: All authors.

COMPETING INTERESTS

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The authors declare no competing interests.

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580

FIGURE LEGENDS

Figure1. Summary of studies conducted in the present study, aiming at investigating the links between parental diagnoses of IBD and offspring autism and elucidating their underlying aetiology. GWAS: Genome-wide association study; IBD: inflammatory bowel disease; UC: ulcerative colitis; CD: Crohn's disease.

TABLES

Table 1. Summary of research question, data sources used as well as key strengths and limitations of each methodological approach applied in the present study.

Method Research question		Data sources	Key strengths	Key limitations			
Nationwide registry-based cohort study in Sweden	Are parental diagnoses of IBD associated with autism in the offspring?	Medical & administrative registers	 Large diverse total population, intergenerational sample. Prospective recording of data. Low rate of loss to follow up. Large availability of confounder data. 	Unmeasured confounding.Exposure misclassification.			
Linkage Disequilibrium score regression	Is there a shared genetic background between IBD and autism?	GWAS summary data	 Use of GWAS summary data instead of twin data or individual level data maximizes sample sizes and power. Indicates genetic correlation due to linkage disequilibrium or pleiotropy. 	• Cannot assess causality.			
Polygenic risk score analysis in the ALSPAC cohort	Is maternal genetic liability for IBD associated with childhood broad autism phenotype?	GWAS summary data and individual level genotype and phenotype data	 Estimates the underlying genetic liability for IBD in each genotyped mother of the cohort, regardless of diagnosis. This overcomes limitations of observational studies, such as measurement error in the exposure. Can provide indication on potentially genetically transmitted vs in utero effects through the assessment of the maternal vs offspring underlying genetic liability for IBD. Large birth cohort. Prospectively collected information on the outcome phenotype. 	 Cannot decipher whether the identified associations are causal or instead due to pleiotropy. Polygenic risk scores at lower p-value thresholds might not capture adequately the exposure phenotype. Attrition can influence association estimates. 			
Two-sample Mendelian randomization	Does genetic liability to IBD have a causal effect on autism?	GWAS summary data, exposure proxied by variants robustly associated with the exposure	 Using common genetic variants as instruments for IBD, allows the assessment of causal effects. Allows the assessment of reverse causation. Allows the assessment of the influence of pleiotropy. 	 Cannot decipher whether the identified causal effect is of parental origin. Can be biased by dynastic effects and assortative mating. 			

Table 2. Associations between maternal or paternal diagnosis for any inflammatory bowel disease (IBD), ulcerative colitis, Crohn's disease, other IBD and offspring diagnosis of autism.

			Materna	al diagnoses			Paternal diagnoses							
Exposure	n ASD/n total (% ASD)ª	Model1 ^b OR (95% Cls)	Р	Model2 ^c OR (95% Cls)	Р	Model3 ^d OR (95% Cls)	Р	n ASD/n total (% ASD)ª	Model1 ^b OR (95% Cls)	Р	Model2 ^c OR (95% Cls)	Р	Model3 ^d OR (95% Cls)	Р
No IBD	43,568/2,272,606 (1.92%)			Re	f	43,989/2,281,119 Ref (1.93%)		f	Ref		Ref			
Any IBD	1,361/51,621 (2.64%)	1.39 (1.31,1.47)	<0.001 ^e	1.32 (1.24,1.40)	<0.001 ^e	1.32 (1.25,1.40)	<0.001 ^e	940/43,108 (2.18%)	1.14 (1.06,1.22)	<0.001 ^e	1.11 (1.03,1.18)	0.004	1.09 (1.02,1.17)	0.012
Crohn's Disease	422/17,832 (2.37%)	1.23 (1.09,1.40)	0.001 ^e	1.19 (1.05,1.35)	0.006	1.20 (1.06,1.36)	0.004	346/18,290 (1.89%)	1.18 (1.04,1.35)	0.013	1.16 (1.02,1.33)	0.023	1.16 (1.01,1.32)	0.031
Ulcerative Colitis	292/12,390 (2.36%)	1.24 (1.12,1.38)	<0.001 ^e	1.22 (1.10,1.35)	<0.001 ^e	1.22 (1.10,1.36)	0.001	254/11,274 (2.25%)	0.99 (0.88,1.10)	0.806	0.98 (0.87,1.09)	0.662	0.97 (0.86,1.08)	0.575
Other IBD ^f	722/24,865 (2.90%)	1.53 (1.42,1.66)	<0.001 ^e	1.42 (1.32,1.54)	<0.001 ^e	1.43 (1.32,1.55)	<0.001 ^e	407/16,958 (2.40%)	1.25 (1.12,1.38)	<0.001 ^e	1.19 (1.07,1.32)	0.001 ^e	1.17 (1.05,1.30)	0.003
Crohn's or Ulcerative Colitis ^g	639/26,756 (2.39%)	1.25 (1.15,1.35)	<0.001 ^e	1.21 (1.11,1.32)	<0.001 ^e	1.22 (1.12,1.32)	<0.001 ^e	533/26,150 (2.04%)	1.06 (0.97,1.16)	0.187	1.05 (0.96,1.15)	0.312	1.04 (0.95,1.14)	0.408

^a The total numbers for those exposed to maternal or paternal Crohn's Disease, Ulcerative Colitis, or Other IBD do not sum to the total exposed to any IBD because some mothers or fathers received both a Crohn's Disease and an Ulcerative Colitis diagnosis Please see supplementary Figure S2 for details on the prevalence and overlap in diagnoses in the study sample.

^b Crude models.

^c Models adjusted for child's sex, year of birth, birth order, maternal/paternal age, migrant status, education level, family income and parental psychiatric history.

^d Mutually adjusted models for maternal/paternal IBD diagnoses, child's sex, year of birth, birth order, maternal/paternal age, migrant status, education level, family income and parental psychiatric history.

^e p-value is less than Bonferroni-corrected value of 0.0012, accounting for 42 models used within Study 1.

^f Excluding Crohn's and Ulcerative Colitis and including ICD-9 558 "Other and unspecified non-infectious gastroenteritis and colitis" and ICD-10 K52.3 "Indeterminate colitis" and K52.9 "Noninfective gastroenteritis and colitis". Please see supplementary methods S1 for details on the diagnostic codes.

^g Including Crohn's and ulcerative colitis diagnoses and excluding ICD-9 558 "Other and unspecified non-infectious gastroenteritis and colitis" and ICD-10 K52.3 "Indeterminate colitis" and K52.9 "Noninfective gastroenteritis and colitis". Please see supplementary methods S1 for details on the diagnostic codes.

Trait 1	Trait 2	r _g (95% Cls)	Р
Autism	IBD	-0.0615 (-0.15, 0.02)	0.158
Autism	Ulcerative colitis	-0.0656 (-0.17, 0.04)	0.2064
Autism	Crohn's disease	-0.0403 (-0.13, 0.05)	0.3551

Table 3. LD-score regression coefficients (rg), 95% confidence intervals (95% CIs) and p-values for the analyses investigating the genetic correlation between genetic liability to autism, Inflammatory Bowel Disease (IBD), ulcerative colitis and Crohn's disease.

autism facto	autism factor mean score in the children of the ALSPAC birth cohort.											
		IBD	PRS		Ulcerative colitis PRS				Crohn's disease PRS			
	Mother		Mother Child		Mother Child			Mother		Child		
	N= 7,348		N= 7,503		N= 7,348		N= 7,503		N= 7,348		N= 7,503	
	βΡ		β	Р	β	Р	β	Р	β	Р	β	Р
	(95% Cls)		(95% Cls)		(95% Cls)		(95% Cls)		(95% Cls)		(95% Cls)	
Autism factor	0.02	0.1	0.003	0.79	0.02	0.03	0.001	0.89	0.03	0.004	0.007	0.49
mean score*	(-0.004, 0.04)		(-0.02, 0.02)		(0.003 <i>,</i> 0.05)		(-0.02, 0.02)		(0.01, 0.05)		(-0.01, 0.03)	

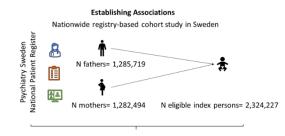
Table 4. Associations between child and maternal PRS for inflammatory bowel disease (IBD), ulcerative colitis, Crohn's disease at p-value threshold 0.05, and autism factor mean score in the children of the ALSPAC birth cohort.

*Standardised score, with mean = 0, standard deviation = 1 and higher scores reflecting more autism related difficulties.

Table 5. Mendelian randomisation IVW estimates, 95% confidence intervals and p-values for the effect of genetic liability to inflammatory bowel disease (IBD), Crohn's disease (Crohn's), ulcerative colitis (UC) on autism and vice versa.

Exposure	Outcome	OR (95% Cls)	Р
Genetic liability to IBD	Autism	1.02 (1.0, 1.05)	0.1
Genetic liability to ulcerative colitis	Autism	1.04 (1.01, 1.07)	0.006
Genetic liability to Crohn's disease	Autism	1.01 (1.0, 1.04)	0.2
Genetic liability to autism	IBD	0.90 (0.73, 1.11)	0.32
Genetic liability to autism	Ulcerative colitis	0.95 (0.77, 1.18)	0.65
Genetic liability to autism	Crohn's disease	0.85 (0.63, 1.15)	0.29

FIGURES



Understanding the underlying aetiology

(2) Polygenic associations Polygenic risk score analysis in the ALSPAC birth cohort

N IBD= 59,957

N UC= 45,975 N CD= 40,266

(1) Genetic Correlation

LD Score regression

أمند ليرينك de trise in the second GWAS summary data GWAS summary data N IBD= 59,957 N Autism= 46,350 N UC= 45,975 N CD= 40,266



Maternal PRS for IBD/UC/CD Offspring autism factor mean score GWAS summary data

N= 7,348

(3) Causal effects

Two-sample Mendelian randomization

der im siddig het statte



GWAS summary data

GWAS summary data

N IBD= 59,957 N UC= 45,975 N CD= 40,266

N Autism= 46,350

Figure 1.