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Impacts of estuarine reclamation projects on saltwater intrusion and freshwater resources

LYU Hanghang¹, ZHU Jianrong^{2**}, CHEN Qing², LI Ming³, PAN Shunqi⁴, CHEN Shenliang²

¹ CCCC National Engineering Research Center of Dredging Technology and Equipment Co., Ltd., Shanghai, 201208, PR China

² State Key Laboratory of Estuarine and Coastal Research, East China Normal University, Shanghai, 200062, PR China

³ Civil Engineering and Industrial Design, School of Engineering, Liverpool University, Liverpool L69 3GH, UK

⁴ Hydro-environmental Research Centre, School of Engineering, Cardiff University, Cardiff CF24 3AA, UK

Abstract Estuarine projects can change local topography and influence water transport and saltwater intrusion. The Yangtze River Estuary is a multichannel estuary, and four major reclamation projects have taken place in the Yangtze River Estuary in recent years: the Xincun Shoal reclamation project (RP-XCS), the Qingcao Shoal reclamation project (RP-QCS), the Eastern Hengsha Shoal reclamation project (RP-EHS), and the Nanhui Shoal reclamation project (RP-NHS). The effects of the four reclamation projects and each individual project on the saltwater intrusion and water resources in the Yangtze River Estuary were simulated by a 3D numerical model. The results of this study show that for a multichannel estuary, local reclamation projects change the local topography and water diversion ratio (WDR) between channels and influence water and salt transport and freshwater utilization in the estuary. During spring tide, under the cumulative effect of the four reclamation projects, the salinity decreases by approximately 0.5 in the upper reaches of the North Branch and increases by 0.5-1.0 in the middle and lower reaches of the North Branch. In the North Channel, the salinity decreases by approximately 0.5. In the North Passage, the salinity increases by 0.5-1.0. In the South Passage, the salinity increases by approximately 0.5 in the upper reaches and decreases by 0.2-0.5 on the north side of the middle and lower reaches. During neap tide, the cumulative effects of the four reclamation projects and the individual projects are similar to those during spring tide, but there are some differences. The effects of an individual reclamation project on WDR and saltwater intrusion during spring and neap tides are simulated and analyzed in detail. The cumulative effect of the four reclamation projects favors freshwater usage in the Yangtze River Estuary.

Keywords: reclamation projects; saltwater intrusion; freshwater resources; numerical model; Yangtze River Estuary

1 INTRODUCTION

Estuaries are located throughout the world and are often economically developed, populated regions (Chua 1993; Shi et al., 2001). Estuarine areas tend to be under pressure from population growth and land scarcity. Therefore, many reclamation projects have been implemented worldwide in estuaries undergoing rapid economic development and an increasing population. Examples include the San Francisco Estuary (Nichols et al., 1986),

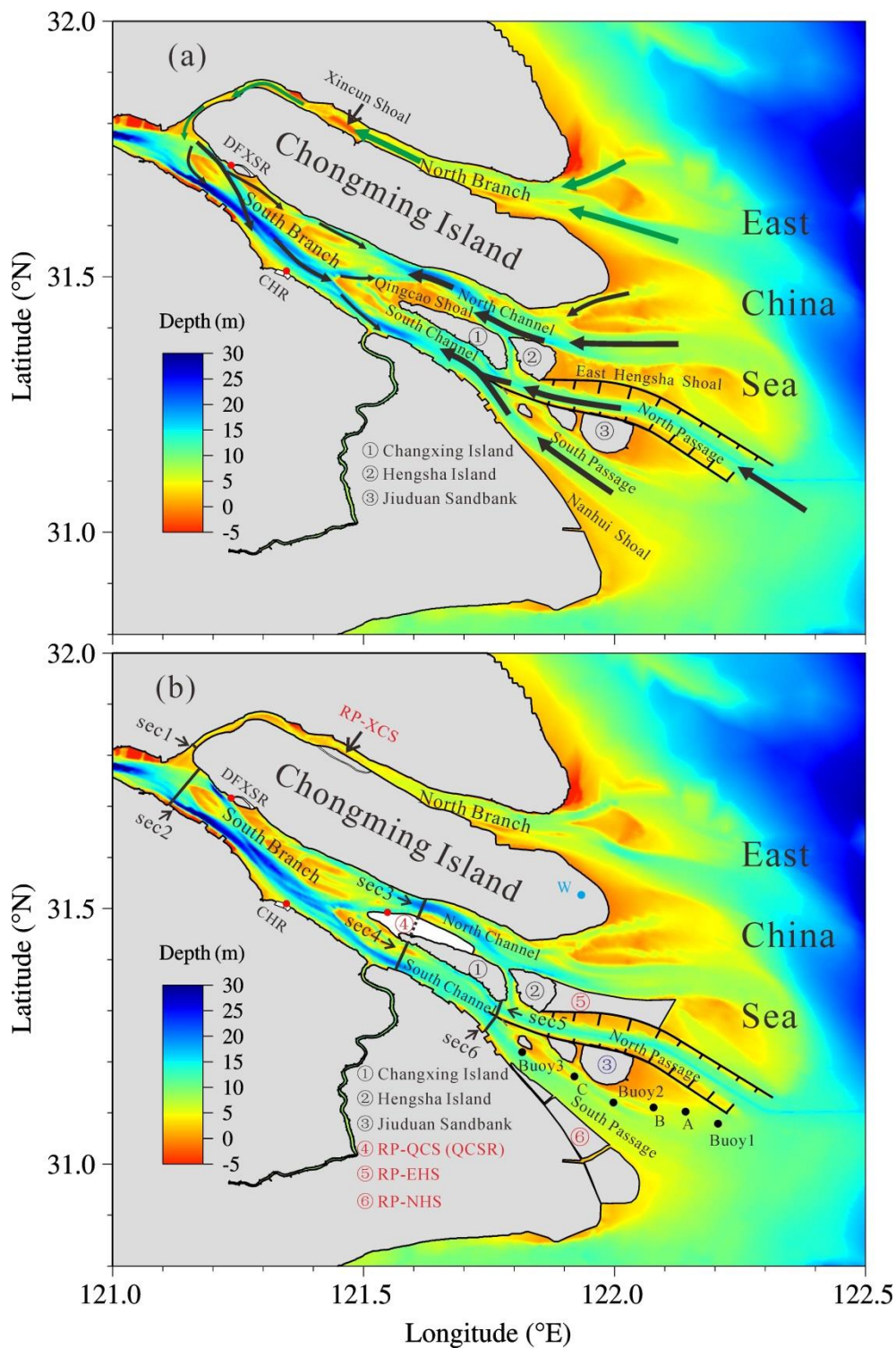
31 Ems Estuary (Van Maren et al., 2016), Nakdong Estuary (Doornbos et al., 1986), Sydney Estuary (Birch et al.,
32 2009), Rhine and Maas Estuary (Kuijpers 1995) and Tolka Estuary (Buggy and Tobin, 2006). China has been
33 implementing reclamation projects in coastal sea areas since the 1950s (Wang et al., 2014). With the rapid
34 expansion of China's coastal economy, land demand has sharply increased in recent decades. The total
35 reclamation area in China reached 13,380 km² from 1950 to 2008 (Fu et al., 2010), and an additional 2,469 km² of
36 reclamation projects are planned for implementation between 2012 and 2020 (Wang et al., 2014).

37 The Yangtze River is one of the largest rivers in the world. Shanghai, located near the Yangtze River Estuary
38 and the so-called 'Golden Coast', with 24 million residents, is China's largest city (Ma et al., 2018; Shi et al.,
39 2001). With economic and industrial expansion and population growth, many reclamation projects have been
40 implemented in the Yangtze River Estuary in recent years. The major reclamation projects are shown in Fig.1 and
41 include the Xincun Shoal reclamation project (RP-XCS), the Qingcao Shoal reclamation project (RP-QCS), the
42 Eastern Hengsha Shoal reclamation project (RP-EHS), and the Nanhui Shoal reclamation project (RP-NHS). The
43 RP-XCS was completed in 2012 and blocked the southern channel of the Xincun Shoal in the North Branch,
44 resulting in an artificial change in river topography. The RP-QCS began on June 5, 2007, and was completed in
45 October 2010. The main accomplishment of this project was the construction of the Qingcaosha Reservoir
46 (QCSR), which is located at the bifurcation of the North Channel and South Channel. The RP-EHS is located
47 between the North Channel and the North Passage and started in December 2003. This reclamation project was
48 constructed in stages and extends the length of the North Channel. The Nanhui Shoal is located on the south side
49 of the South Passage. Construction of the RP-NHS started in March 2013 and is still ongoing.

50 Previous studies indicated that estuarine reclamation projects can change the local topography and will affect
51 hydrodynamic processes and saltwater intrusion. For example, Han et al. (2001) found that a large-scale reservoir
52 and river reclamation would decrease saltwater intrusion in the Qiantang Estuary. Manda and Matsuoka (2006)
53 found that the reclamation project in the innermost part of Ariake Sound could cause the tidal currents to decrease
54 by more than 10% over a large area. Song et al. (2013) found that the reclamation project on the west coast of
55 Korea could result in an increase in tidal amplitude. Xu (2014) found that a large-scale reclamation project would
56 have some effects on saltwater intrusion in the Oujiang River Estuary. Andrews et al. (2017) found that
57 reclamation and other anthropogenic projects in the San Francisco Estuary had effects on saltwater intrusion due
58 to changes in estuary geometry.

59 The impacts of each of the reclamation projects mentioned above, such as the RP-XCS, RP-NHS and
60 RP-EHS, on saltwater intrusion in the Yangtze River Estuary were examined. For example, the RP-XCS
61 weakened the saltwater spillover (SSO), which favors the utilization of freshwater in the South Branch (Chen and

62 Zhu, 2014). The RP-NHS could weaken the salinity front in the South Passage (Li and Zhu, 2015). The RP-EHS
 63 enhanced saltwater intrusion in the South Channel, North Passage and South Passage and weakened saltwater
 64 intrusion in the North Channel (Lyu and Zhu, 2019). However, the combined influences of the RP-XCS, RP-QCS,
 65 RP-EHS and RP-NHS have not been examined. The aim of this study is to address this issue.
 66



67
 68 **Fig.1** River regime of the Yangtze River Estuary before (a) and after (b) the reclamation project and the pathways of
 69 saltwater intrusion (arrows). The four reclamation projects are labeled. Red dots are the locations of water intake for the

70 three reservoirs, and the cross-channel sections are marked by black lines. Black dots indicate the locations of three ship
71 measurement sites A, B, C and three buoy measurement sites Buoy 1, Buoy 2 and Buoy 3 in March 2018 in the South
72 Passage; W is the location of the Chongming Weather Station

74 2 MATERIALS AND METHODS

75 2.1 Study area

76 The Yangtze River Estuary, located from Shanghai to the offshore of southern Jiangsu Province in China
77 between 30.8°N–32°N and 121°E–122.5°E, is a funnel-shaped estuary (Fig.1). The Yangtze River Estuary is 90
78 km wide and is a typical estuary with multiple bifurcations (Fig.1). First, the estuary is bifurcated into the South
79 Branch and North Branch. Second, the lower South Branch is divided by Changxing Island into the South
80 Channel and the North Channel. Finally, the South Channel is bifurcated into the South Passage and the North
81 Passage by Jiuduansha Island. The grooves and tidal flats alternate and have developed in the different channels.
82 As a whole, the depth of the Yangtze River Estuary gradually deepens seaward. Most tidal flats are in the North
83 Branch and the mouth of the Yangtze River Estuary. The average depth of the North Branch is very shallow,
84 especially in the upper reaches of the North Branch, which is only 2-4 m deep. The average depth of the North
85 Branch is 5-30 m (Chen et al., 2019). Therefore, the South Branch is the main channel discharging runoff. The
86 Yangtze River Estuary is a mesotidal estuary (Shen et al., 2003). The tides in the Yangtze River Estuary exhibit
87 semidiurnal, diurnal, and fortnightly spring–neap cycles (Zhu, et al., 2015).

88 In the Yangtze River Estuary, longitudinal and lateral saltwater intrusions coexist, and both saltwater
89 intrusions can affect the temporal variation and spatial distribution of salinity (Fig.1a) (Li et al., 2014; Lyu and
90 Zhu, 2018; Qiu et al., 2012; Wu et al., 2006; Zhu et al., 2015). There are four outlets (the North Branch, North
91 Channel, North Passage and South Passage) into the sea in the Yangtze River Estuary. In the North Channel, North
92 Passage and South Passage, the saltwater from the sea mainly intrudes along the longitudinal direction, consistent
93 with most estuaries. The particular lateral saltwater intrusion in the Yangtze River Estuary is saltwater spillover
94 (SSO) from the North Branch into the South Branch. From the 1950s to 2000s, natural forces and human activities
95 severely narrowed the North Branch. Consequently, the upper reaches of the North Branch have become almost
96 perpendicular to the South Branch, while the lower reaches have become funnel shaped. The evolution of
97 topography led to a reduction in runoff entering the North Branch, especially during the dry season, and also
98 caused the tidal range in the North Branch to be greater than that in the South Branch. Strong tidal forcing in the
99 North Branch induces considerable subtidal circulation, resulting in a net landward flow when river discharge is

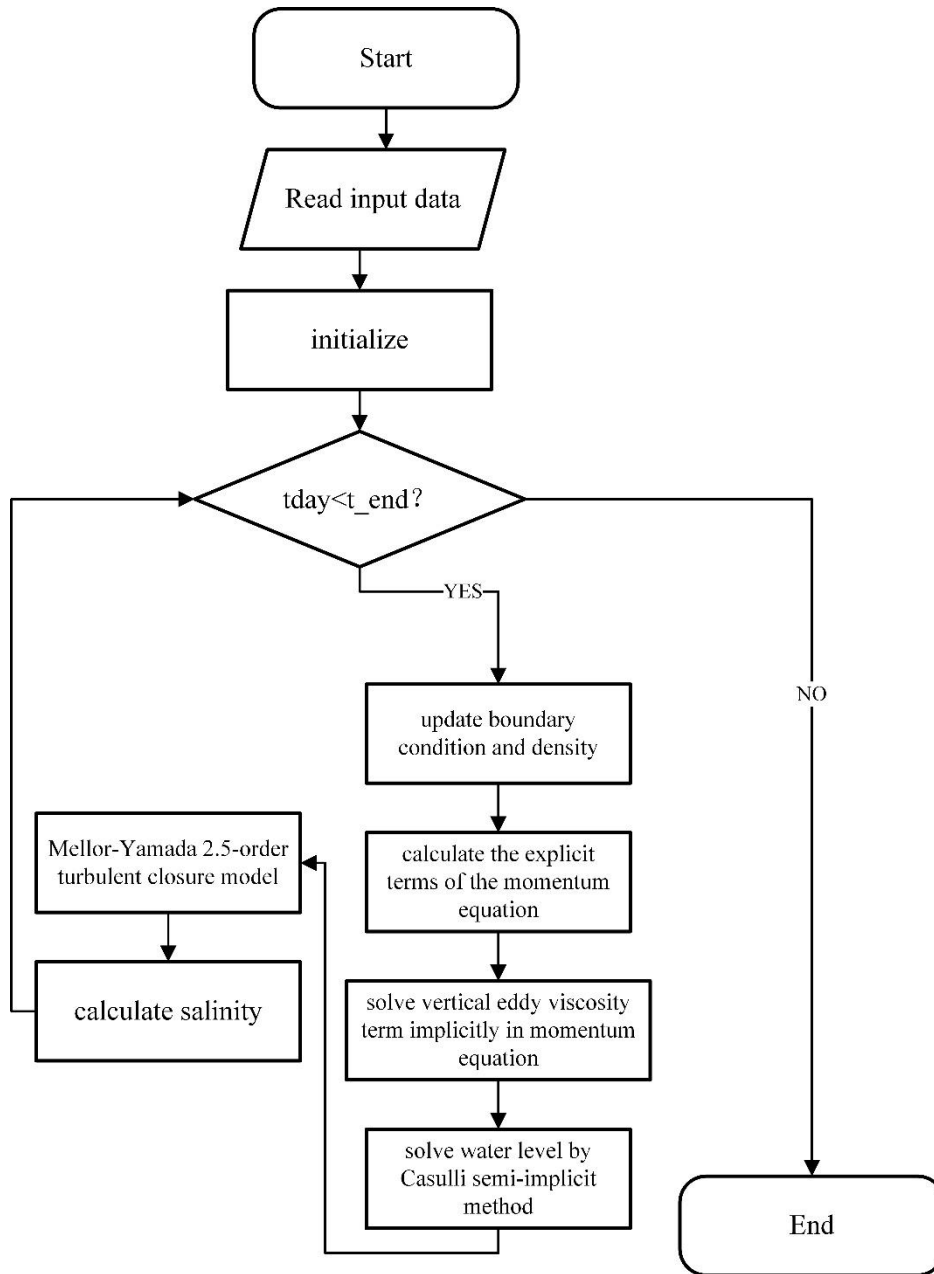
100 low during spring tide (Wu et al., 2006). This residual transport forms the SSO, which is the most characteristic
101 type of saltwater intrusion in the estuary (Li et al., 2014; Lyu and Zhu, 2018; Wu et al., 2006; Xue et al., 2009).
102 Saltwater intrusion will cause estuarine stratification and affect estuarine circulation (Geyer, 1993; Simpson et al.,
103 1990). The degree of mixing in the Yangtze River Estuary differs among the different channels. In the North
104 Branch, the water is well mixed. The diversion ratio of river discharge in the North Branch is very small
105 (approximately 1%) owing to the special river regime (the North Branch is almost perpendicular to the main
106 channel and is very shallow). Additionally, the shape of the North Branch is similar to that of the mouth of a horn,
107 so the tidal range is greater. Therefore, vertical mixing is strong in the North Branch. In the South Branch,
108 especially near the mouth, the salinity is partially mixed in spring tide and weakly mixed in neap tide (a salt
109 wedge appears). Additionally, the lateral intrusion is stronger on the north side than on the south side of a channel
110 due to the Coriolis force (Li et al., 2014; Lyu and Zhu, 2018). Together with the SSO, the landward saltwater
111 intrusion along the North Channel threatens the water intake of the reservoirs, i.e., the QCSR, Dongfengxisha
112 Reservoir (DFXSR) and Chenhang Reservoir (CHR). The QCSR is the largest tidal estuary freshwater reservoir
113 worldwide. More than 70% of the freshwater for Shanghai is supplied by the QCSR, but the QCSR is frequently
114 influenced by saltwater intrusion during the dry season (Chen et al., 2019; Wang and Zhu, 2015; Zhu et al., 2010).
115 The reservoirs cannot receive water from the Yangtze River Estuary when the salinity exceeds 0.45, which is the
116 salinity standard for drinking water.

117 **2.2 Model configuration**

118 A 3D numerical model (ECOM-si) was adopted in this study. This model has been applied and developed
119 continuously by many researchers (Blumberg, 1994; Chen et al. 2001; Wu and Zhu, 2010; Zheng et al., 2003;
120 Zheng et al., 2004; Zhu, 2003). The Mellor-Yamada level 2.5 turbulence closure module (Mellor and Yamada
121 1982) with stability parameters from Kantha and Clayson (1994) was included. In this study, the domain of the
122 model covers the Yangtze River Estuary and its adjacent sea (from 117.5 to 125 °E longitude and 27.5 to 33.7 °N
123 latitude) (Fig.2). The model grid was composed of 337×225 cells horizontally and 10 uniform σ levels vertically.
124 The resolutions of the model varied from 100 m to 10 km around the Yangtze River Estuary. The model can
125 simulate tidal currents, estuarine circulation, and the spatial and temporal distributions of salinity with little
126 sacrifice in terms of run time. The Datong station recorded daily river discharge, which can be used in the model
127 as the river boundary condition. In this study, the mean discharge during the dry season ($11,500 \text{ m}^3/\text{s}$) was adopted
128 in all experiments. The open sea boundary was driven by 16 astronomical constituents: M_2 , S_2 , N_2 , K_2 , K_1 , O_1 , P_1 ,
129 Q_1 , MU_2 , NU_2 , T_2 , L_2 , $2N_2$, J_1 , M_1 , and OO_1 . The initial salinity distribution around the Yangtze River Estuary was
130 derived from the Ocean Atlas in the Huanghai Sea and East China Sea (Hydrology) (Editorial Board for Marine

131 Atlas 1992). The semimonthly mean wind data of ten years from the NECP (National Centers for Environmental
132 Prediction) with a resolution of $0.25^{\circ} \times 0.25^{\circ}$ were used. Ecom-si adopted the HSIMT-TVD advection scheme to
133 solve the transport equations developed by Wu and Zhu (2010). This scheme features third-order accuracy and can
134 prevent numerical oscillations from exacerbating the calculation error. The model adopted the implicit
135 time-stepping method, which could break the limits of the Courant-Friedrichs-Lewy (CFL) stability criterion (Lax
136 and Wendroff, 1962) for the external mode at the cost of solving an elliptic system. The density in the model is
137 calculated by using the parameterized formula of Fofonoff and Millard (Fofonoff and Millard, 1983) based on the
138 salinity, temperature and pressure at the current time. The temperature in the Ecom-si was set at 10°C . The
139 hydrodynamic and salinity formulas are solved at the same time step. The integrated time step was set to 40 s for
140 all experiments. The concrete calculation process of Ecom-si is shown in Fig.2. More detail about the numerical
141 model setup can be found in Wu et al. (2011).

142



143

144 **Fig.2 The flow chart of ECOM-si computational process**

145 To describe the water and salt transport, the residual unit width water flux (RUWF) and the residual unit
 146 width salt flux (RUSF) are defined as follows:

147
$$\vec{F} = \int_{h2}^{h1} \vec{V} dz$$

148
$$RUWF = \frac{1}{T} \int_0^T \vec{F} dt$$

149
$$RUSF = \frac{1}{T} \int_0^T \vec{F} s dt$$

150 where \vec{F} is the instantaneous rate of water transport per unit width through a layer and $h1$ and $h2$ are the upper
 151 and lower bounds of the water layer, respectively. \vec{V} is the current vector in the specific layer, H is the total
 152 water depth, and Z is the depth of the relative σ layer bound. T is the time period (which equals one or

153 several tidal cycles; in this study, it equals six semidiurnal tidal cycles) used as an averaging time window to
 154 remove the tidal signals. s is salinity.

155 Additionally, the residual transection water flux (NTWF) is determined to calculate the WDR between
 156 channels (transection locations labeled in Fig.1) as follows:

$$157 \quad NTWF = \frac{1}{T} \int_0^T \int_{-H(x,y)}^{\zeta} \int_0^L \vec{V}_n(x, y, z, t) dl dz dt$$

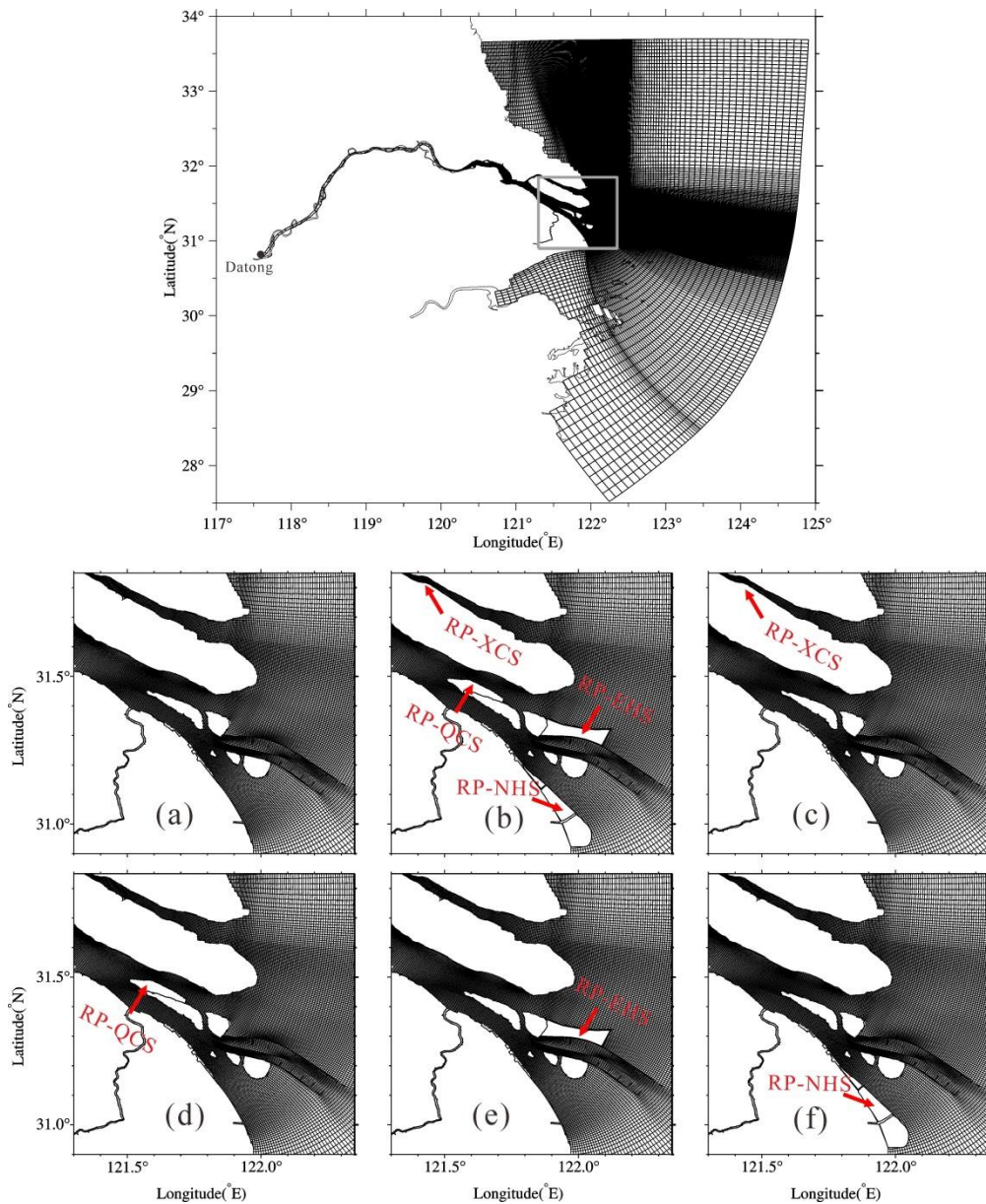
158 where ζ is the elevation, $H(x, y)$ is the depth, L is the width of the transect, and $\vec{V}_n(x, y, z, t)$ is the
 159 velocity component vertical to the transect.

160 The model calculation period starts on December 1, 2014, and ends at the end of February 2015, and the
 161 calculation results in February are analyzed and compared. The river discharges in December, January and
 162 February are set by the average since 1950, as measured by the Datong hydrological station, and these discharges
 163 are 13,600, 11,100 and 12,000 m³/s, respectively. Six numerical experiments are conducted for
 164 contrastive analysis (as shown in Table 1): Experiment 0 (Exp 0) is the control experiment, which is designed to
 165 simulate the saltwater intrusion pattern before the four reclamation projects (Fig.3a). Experiment 1 (Exp 1)
 166 considers the four projects (Fig.3b). Experiment 2 (Exp 2) only considers the RP-XCS (Fig.3c), Experiment 3
 167 (Exp 3) only considers the RP-QCS (Fig.3d), Experiment 4 (Exp 4) only considers the RP-EHS (Fig.3e), and
 168 Experiment 5 (Exp 5) only considers the RP-NHS (Fig.3f).

169 **Table 1 Different numerical experimental settings for consideration of the reclamation projects**

	Exp0	Exp1	Exp2	Exp3	Exp4	Exp5
RP-XCS		√	√			
RP-QCS		√		√		
RP-EHS		√			√	
RP-NHS		√				√

170



171

172 **Fig.3 Numerical model domain and grids. Enlarged views are the different model grids used in the six experiments. (a) No**

173 **reclamation projects; (b) the four reclamation projects combined; (c) only the RP-XCS; (d) only the RP-QCS; (e) only the**

174 **RP-EHS; and (f) only the RP-NHS**

175 **2.3 Model validation**

176 The model has been extensively validated in the Yangtze River Estuary (Li et al., 2010; Li et al., 2012; Li et
 177 al., 2014; Lyu and Zhu, 2018; Qiu and Zhu, 2013; Qiu and Zhu, 2015). In this paper, the measured data in the
 178 South Passage from 9 to 19 in March 2018 were used to validate the model (three buoy sites and three ship sites
 179 shown in Fig.1b).

180 The measured river discharge data recorded by the Datong hydrological station (location as shown in Fig.3)
 181 and wind data recorded by the Chongming weather station (W, blue solid circles in Fig.1) were adopted to validate
 182 the model. The correlation coefficient (CC), root mean square error (RMSE), and skill score (SS, Wilmott, 1981)

183 were used to quantify the validation as follows:

$$184 \quad CC = \frac{\sum (X_{\text{mod}} - \bar{X}_{\text{mod}})(X_{\text{obs}} - \bar{X}_{\text{obs}})}{[\sum (X_{\text{mod}} - \bar{X}_{\text{mod}})^2 \sum (X_{\text{obs}} - \bar{X}_{\text{obs}})^2]^{1/2}}$$

$$185 \quad RMSE = \sqrt{\frac{\sum (X_{\text{mod}} - X_{\text{obs}})^2}{N}}$$

$$186 \quad SS = 1 - \frac{\sum |X_{\text{mod}} - X_{\text{obs}}|^2}{\sum (|X_{\text{mod}} - \bar{X}_{\text{obs}}| + |X_{\text{obs}} - \bar{X}_{\text{obs}}|)^2}$$

187 where X_{mod} is the simulated data, X_{obs} is the observed data, and \bar{X} is the mean value. The value range of
 188 SS is 0-1. When the SS is closer to 1, the agreement between the simulation results and observations is better. Due
 189 to space limitations, only the comparison results of the simulation and observations at Site B and Buoy 2 are
 190 selected and shown in Fig.4 and Fig.5. The assessment indicator scores of simulated water velocity and salinity
 191 are listed in Table 2 and Table 3, respectively. Comparing the simulated and observed data reveals that the model
 192 can successfully simulate the variation processes of current and salinity.

193

194 **Table 2 The assessment indicator scores for comparison of simulated and observed water velocities at the surface and bottom**
 195 **layers at the measured sites**

	Sites	RMSE/(m/s)	CC	SS
Surface layer	Buoy 1	0.3	0.7	0.8
	Buoy 2	0.3	0.9	0.9
	Buoy 3	0.2	0.9	0.9
	A	0.4	0.6	0.8
	B	0.2	0.9	0.9
	C	0.3	0.8	0.9
Bottom layer	Buoy 1	0.1	0.8	0.9
	Buoy 2	0.1	0.8	0.9
	Buoy 3	0.1	0.8	0.9
	A	0.2	0.5	0.7
	B	0.2	0.8	0.9
	C	0.2	0.7	0.8

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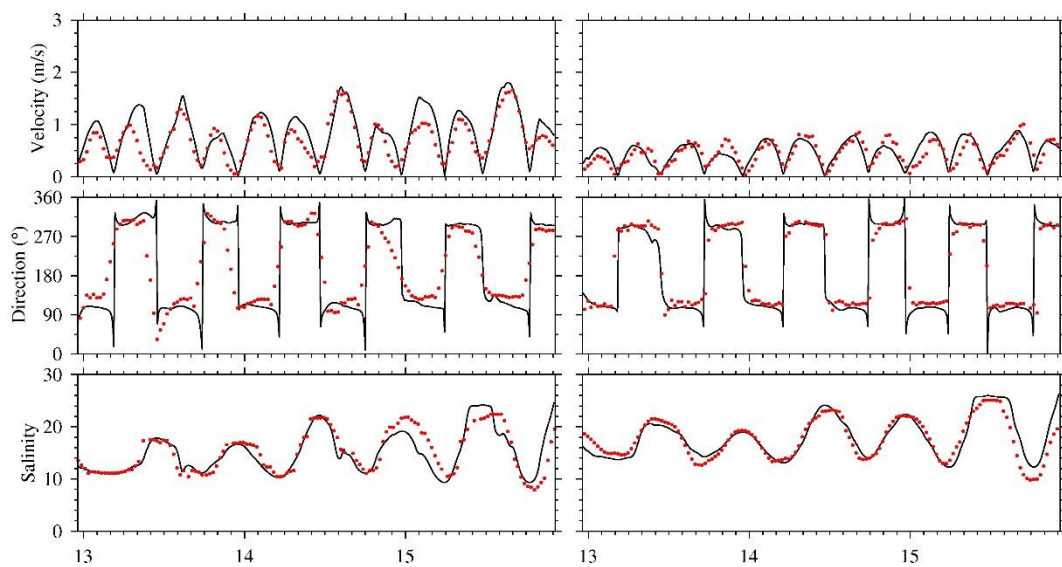
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198 **Table 3 The assessment indicator scores for comparison of simulated and observed salinities at the surface and bottom layers**

199 **at the measured sites**

	Sites	RMSE	CC	SS
Surface layer	Buoy 1	2.3	0.9	0.9
	Buoy 2	1.7	0.9	1.0
	Buoy 3	0.4	0.8	0.9
	A	1.9	0.7	0.9
	B	2.5	0.9	0.9
	C	2.2	0.7	0.8
Bottom layer	Buoy 1	1.3	0.9	0.9
	Buoy 2	/	/	/
	Buoy 3	0.3	0.9	1.0
	A	2.1	0.7	0.8
	B	1.6	0.9	1.0
	C	1.3	0.9	0.9

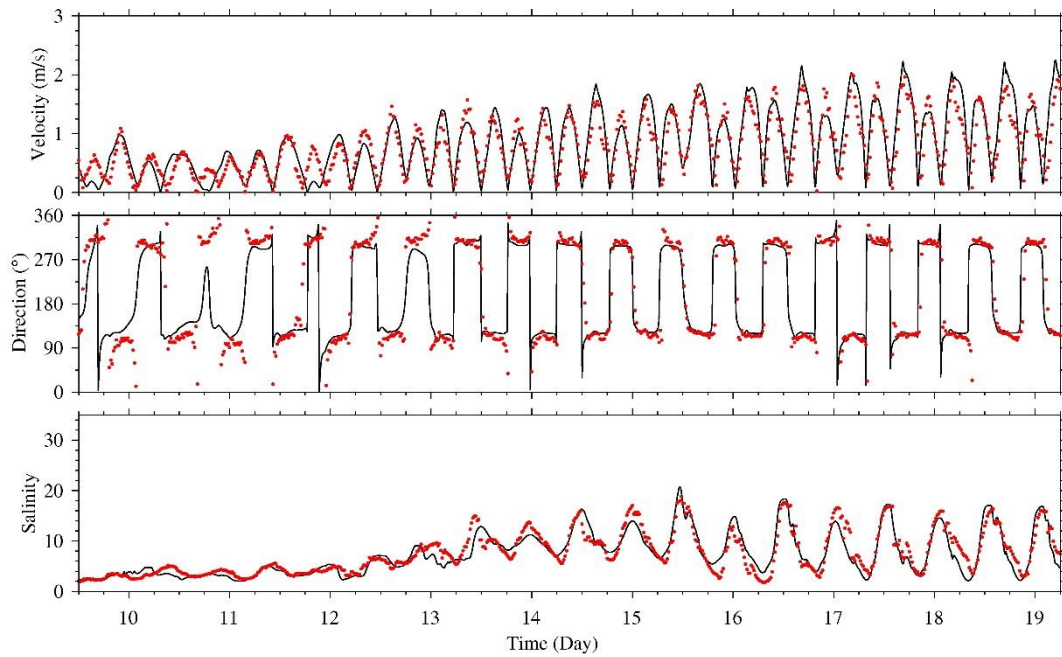
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201

202 **Fig.4 Temporal variation in water velocity, direction and salinity in the neap tide following moderate tide at the surface layer**

203 **(left) and bottom layer (right) at ship-measured site B. Red dots: measured values; black lines: simulated values**



204

205 **Fig.5 Temporal variations in water velocity, direction and salinity at the surface layer at buoy-measured site Buoy 2**

206 **3 RESULTS AND DISCUSSION**

207 **3.1 Before the reclamation projects**

208 Before the four reclamation projects (Exp 0), during spring tide (Fig.6a), the surface RUWF in the North
 209 Channel, North Passage and South Passage flowed seaward. Because of the special topography of the North
 210 Branch, the RUWF in the North Branch flows landward (Chen et al., 2019). The NTWF and WDR in the North
 211 Branch are $-288.78 \text{ m}^3/\text{s}$ and -2.52% (shown in Table 4), respectively, where the negative sign indicates that the
 212 water is transported from the North Branch into the South Branch. This indicates that river runoff flows into the
 213 sea mainly through the South Branch, and most of the river runoff (63.41%) flows into the sea through the North
 214 Channel rather than the South Channel (Table 4). Similarly, the South Passage (53.24%) is the main channel for
 215 river runoff into the sea (Table 4). On eastern Chongming Island, the RUWF flows northward because of the
 216 action of tidal pumping transport and tidal Stokes transport (Qiu and Zhu, 2015). Additionally, in the South
 217 Branch, the RUWF is seaward, but the RUWF is smaller in the bottom layer because of the bottom friction
 218 (Fig.6b). The bottom RUWF near the river mouth is landward due to the strong salinity front (Yuan and Zhu,
 219 2017). The RUWF in the north of the RP-EHS flows seaward, and that in the east of the RP-EHS flows landward.
 220 The distribution of RUSF (as shown in Fig.6 (c, d)) is similar to that of RUWF. The magnitude of the RUSF is
 221 much larger outside of the river mouth because of the high salinity in that area. The RUSF on the northern side of
 222 the North Passage flows landward, which brings highly saline water into the area around the mouth of the North
 223 Channel. The North Branch contains highly saline water due to the lower WDR (Table 4), and the SSO is
 224 simulated, which is the result of the RUWF and RUSF from the upper reaches of the North Branch flowing into

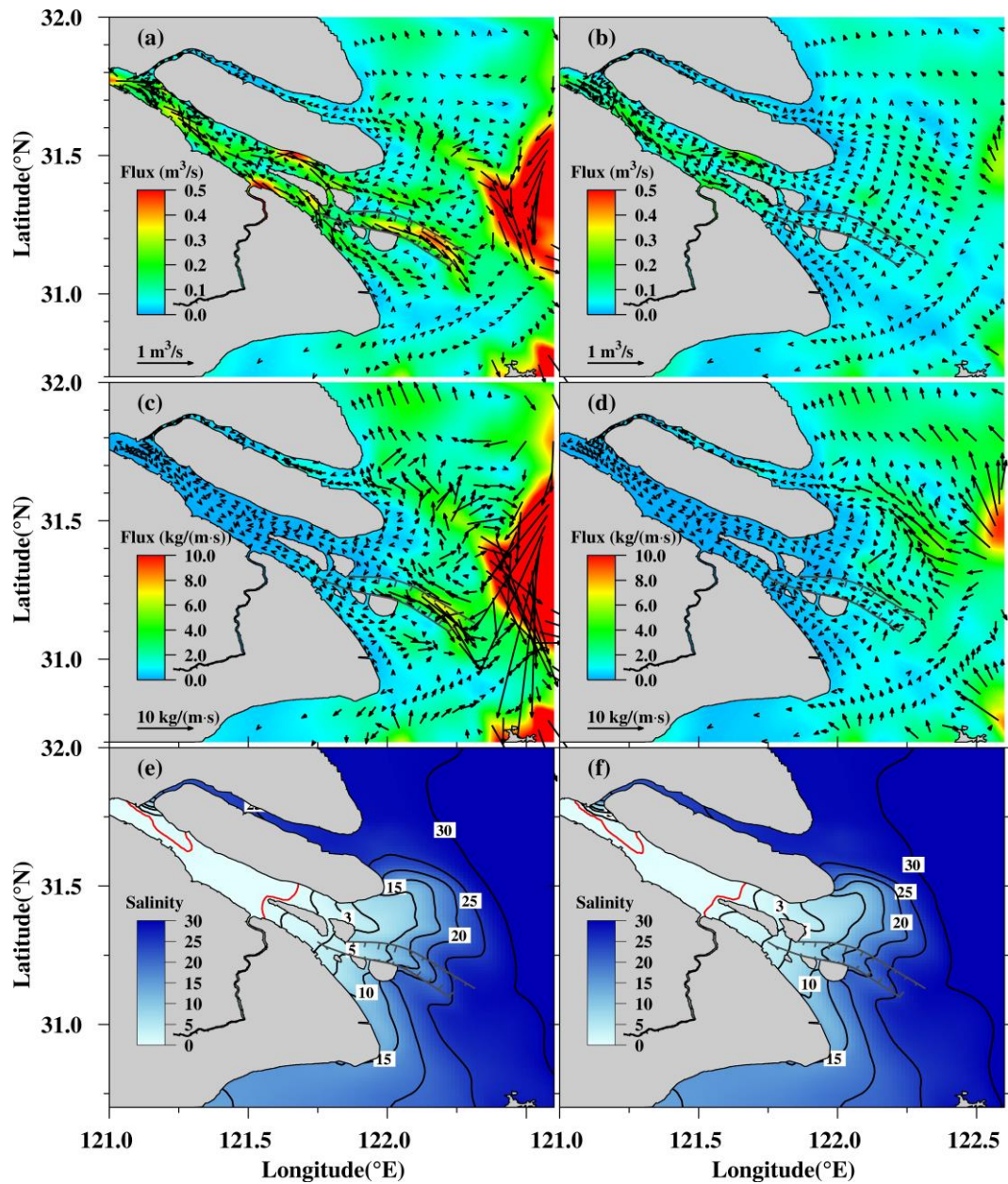
225 the South Branch. The low-salinity water around eastern Chongming Island extends northeastward (Fig.6 (e, f)).
 226 As a result, the saltwater intrusion is strongest in the South Passage and weakest in the North Channel in the
 227 Yangtze River Estuary, and the bottom saltwater intrusion is stronger than the surface.

228

229 **Table 4 NTWF and WDR in each outlet in the Yangtze River Estuary during spring and neap tides in different experiments**
 230 **(North Brach: NB, South Branch: SB, North Channel: NC, South Channel: SC, North Passage: NP, South Passage: SP)**

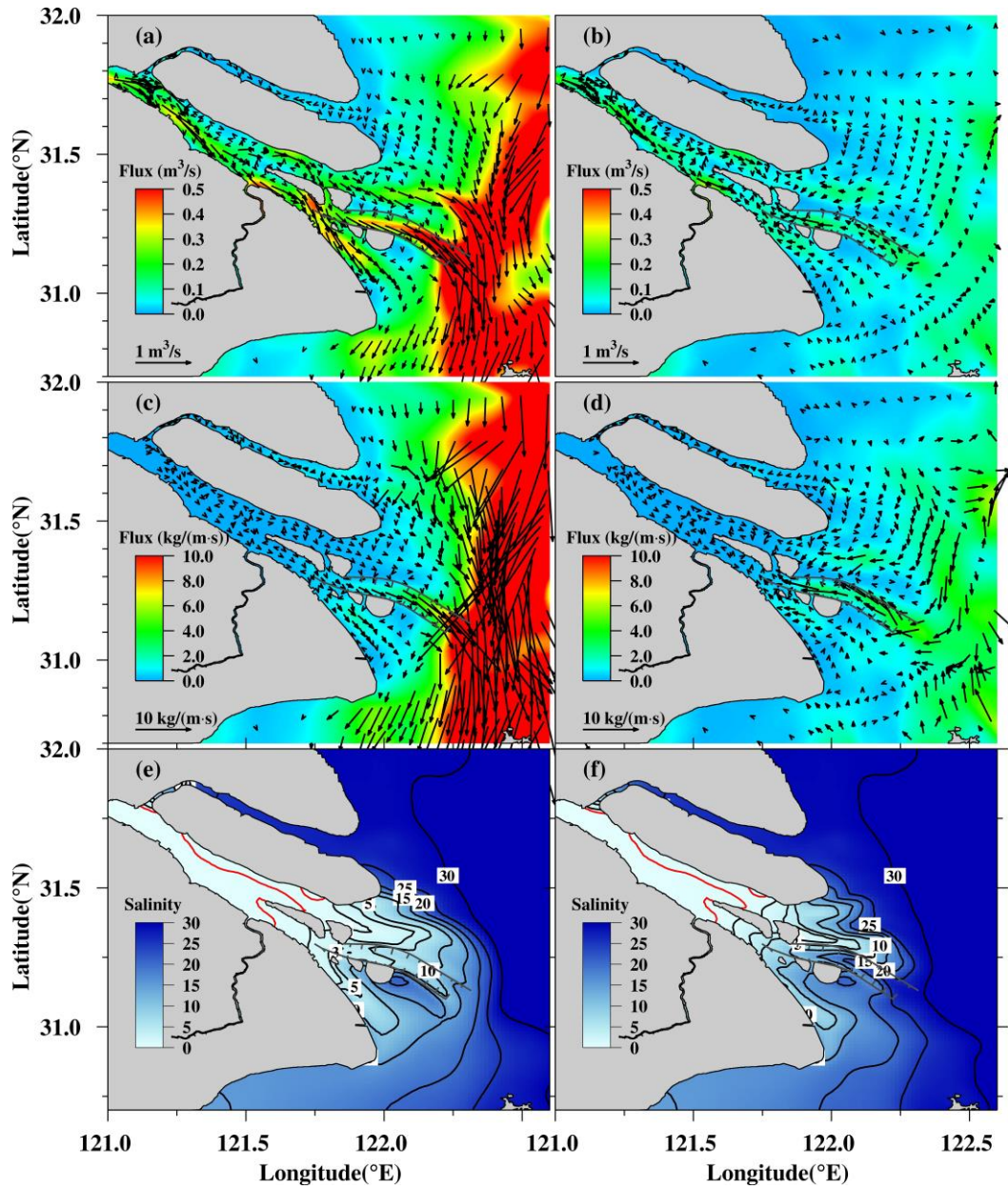
	NTWF/(m ³ /s)				WDR/(%)			
	Spring		Neap		Spring		Neap	
	NB	SB	NB	SB	NB	SB	NB	SB
Exp 0	-288.78	11 756.87	83.16	12 851.92	-2.52	102.52	0.64	99.36
Exp 1	-275.44	11 758.73	120.23	12 811.75	-2.40	102.4	0.93	99.07
Exp 2	-251.84	11 731.59	137.82	12 813.05	-2.19	102.19	1.06	98.94
Exp 3	-303.76	11 775.72	81.86	12 863.22	-2.65	102.65	0.63	99.37
Exp 4	-294.06	11 753.63	76.62	12 841.87	-2.57	102.57	0.59	99.41
Exp 5	-284.63	11 715.41	78.45	12 839.29	-2.49	102.49	0.61	99.39
	NC	SC	NC	SC	NC	SC	NC	SC
Exp 0	7 370.9	4 254.05	7 262.47	5 664.21	63.41	36.59	56.18	43.82
Exp 1	7 890.09	3 725.49	7 119.47	5 754.73	67.93	32.07	55.3	44.7
Exp 2	7 302.03	4 294.89	7 238.31	5 655.11	62.97	37.03	56.14	43.86
Exp 3	7 162.64	4 485.52	6 917.5	6 001.42	61.49	38.51	53.55	46.45
Exp 4	8 172.57	3 434.48	7 497.34	5 431.38	70.41	29.59	57.99	42.01
Exp 5	7 424.41	4 149.26	7 239.02	5 666.04	64.15	35.85	56.09	43.91
	NP	SP	NP	SP	NP	SP	NP	SP
Exp 0	2 263.57	2 576.97	5 218.9	1 426.46	46.76	53.24	78.53	21.47
Exp 1	1 875.71	1 938.86	5 241.24	1 327.91	49.17	50.83	79.79	20.21
Exp 2	2 292.63	2 619.52	5 202.37	1 431.38	46.67	53.33	78.42	21.58
Exp 3	2 290.92	2 441.62	5 403.97	1 486.16	48.41	51.59	78.43	21.57
Exp 4	1 561.98	2 409.88	5 078.89	1 343.38	39.33	60.67	79.08	20.92
Exp 5	2 461.38	2 214.94	5 267.11	1 315.79	52.63	47.37	80.01	19.99

231



232
 233 **Fig.6 Distributions of the RUWF (a, b), RUSF (c, d) and average salinity (e, f) in the surface (left) and bottom (right) layers**
 234 **during spring tide before the four reclamation projects. The red isohaline is 0.45, which is the salinity standard of drinking**
 235 **water**

236 During neap tide (Fig.7), wind is dominant because tides become weaker, and the RUWF and RUSF around
 237 the outside of the river mouth flow southward due to the effect of the northerly winter monsoon (Chen et al., 2019;
 238 Lyu and Zhu, 2019; Wu et al., 2014). In addition, the SSO disappeared, which is different from spring tide. The
 239 NTWF in the North Branch became a positive value (83.16 m³/s), and the WDR was 0.64% (Table 4). The North
 240 Channel (56.18%) is the main channel for river discharge rather than the South Channel (Table 4). Because of the
 241 blockage of the stronger salinity front in the South Passage, the North Passage (78.53%) is the main channel for
 242 river discharge (Table 4). The bottom RUWF and RUSF near the river mouth flow landward due to a strong
 243 salinity front. Additionally, distinct saline wedges form around the river mouth due to weaker tidal mixing.

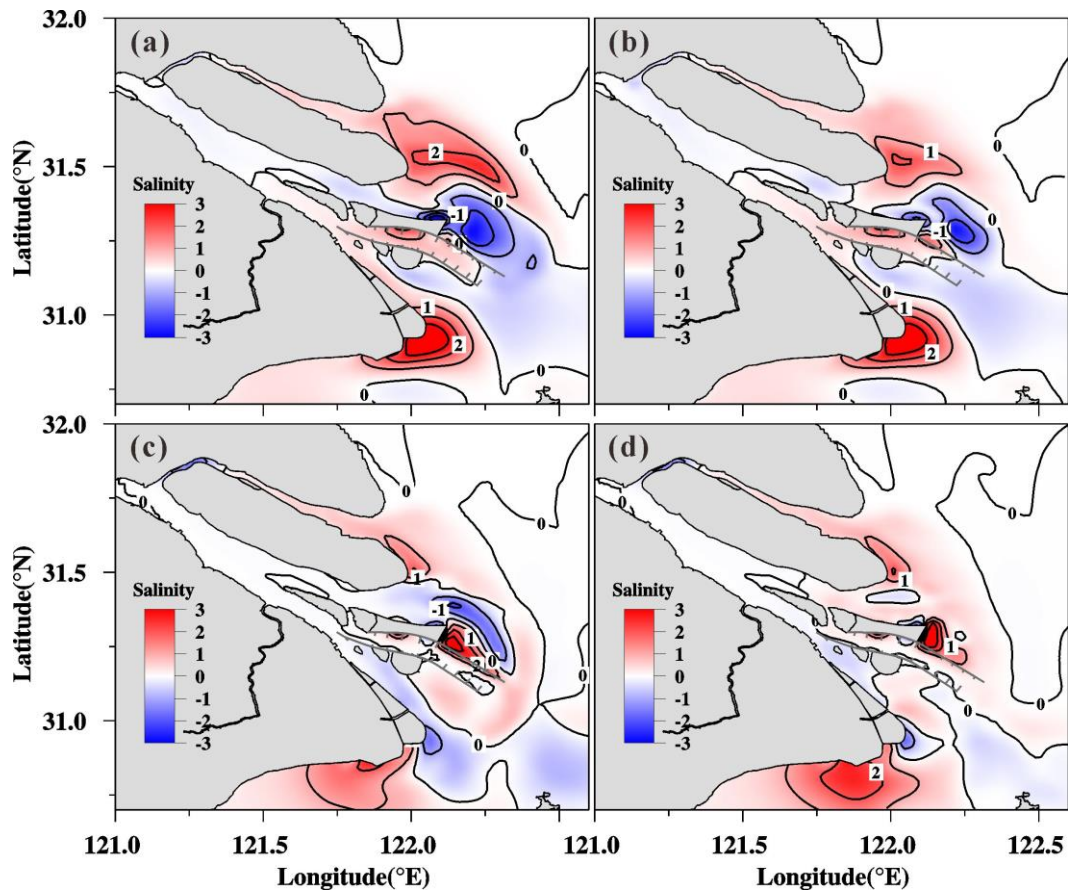


245

246 **Fig.7** The same as Fig.6 except during neap tide

247

248 As shown by the above results, the four reclamation projects should have a strong influence on saltwater
 249 intrusion in the Yangtze River Estuary. To determine the reason and mechanism for the variation, the other four
 250 experiments (Exp 2-5) were performed. In this way, the effect of the individual reclamation project can be
 251 distinguished from the total effects of the four reclamation projects on saltwater intrusion.



252

253 **Fig.8 Differences in the salinity distributions in the surface (left) and bottom (right) layers during spring tide (a, b) and neap**
 254 **tide (c, d) after and before the four reclamation projects (Exp 1-Exp 0)**

255

256 3.2 After the reclamation projects

257 The difference in the average salinity distribution after completion of the four reclamation projects (Exp
 258 1-Exp 0) is shown in Fig.8. During spring tide, the surface and bottom salinity in the upper reaches of the North
 259 Branch decreases by approximately 0.5. In contrast, the salinity in the middle and lower reaches of the North
 260 Branch increases by approximately 1.0. In the area east of Chongming Island, the salinity increases by more than
 261 2.0. In the South Branch, the surface and bottom salinity decrease slightly. Therefore, the intensity of the SSO
 262 weakens after the four reclamation projects. In the North Channel, the salinity near the QCSR decreases slightly.
 263 However, the salinity in the area east of the RP-EHS decreases significantly. In particular, in the zone northeast of
 264 the RP-EHS, the maximum magnitude is above 2.0. In the South Channel, the salinity increases slightly. In the
 265 North Passage, the overall salinity increases by approximately 1.0. In the South Passage, the salinity variation
 266 presents a decreased distribution on the north side and increases on the south side. The maximum salinity
 267 variation area is located southeast of the RP-NHS, where it increases by more than 3.0.

268 Similarly, during neap tide (Fig.8 (c, d)), the salinity decreases in the upper reaches and increases in the

269 middle and lower reaches of the North Branch. The variation is approximately 1.0. The salinity east of
 270 Chongming Island also increases by approximately 1.0. The area of surface salinity decrease in the North Channel
 271 widens. However, the difference in the bottom salinity distribution varies differently from that during spring tide.
 272 The bottom salinity near the outlet of the North Channel increases by approximately 0.5. The surface and bottom
 273 salinities east of the RP-EHS can increase by more than 3.0, and they can change slightly in the North Passage. In
 274 the South Passage, the salinity variation is completely opposite to that during spring tide. South of the RP-NHS,
 275 salinity changes substantially by approximately 2.0.

276

277 3.3 Effects of every reclamation project

278 During spring tide, the effect of the RP-XCS narrows the transection in the middle reaches of the North
 279 Branch, induces the NTWF to increase by 36.94 m³/s and the WDR to increase by 0.33% (Table 5) and causes
 280 more freshwater to flow into the upper reaches of the North Branch from upstream, hindering landward saltwater
 281 intrusion in the lower reaches from the project and resulting in a salinity decrease in the upper reaches and a slight
 282 increase in the middle and lower reaches (Fig.9 (a, b)). The salinity decreases in the South Branch and increases
 283 east of Chongming Island and in the North Channel. Because the RP-XCS is small and located in the North
 284 Branch, the change in WDR is 0.44% in the bifurcation of the North Channel and South Channel and only 0.09%
 285 in the bifurcation of the North Passage and South Passage. The project's influence on the RUWF and RUSF has
 286 no obvious changes in the North Branch and South Branch (figures omitted due to space limitations).

287

288 **Table 5 The differences in the NTWF and WDR at each outlet in the Yangtze River Estuary during spring and neap tides**
 289 **before and after the reclamation project**

	NTWF/(m ³ /s)				WDR/(%)			
	Spring		Neap		Spring		Neap	
	NB	SB	NB	SB	NB	SB	NB	SB
$\Delta_{Exp1-Exp0}$	13.34	1.86	37.07	-40.17	0.12	-0.12	0.29	-0.29
$\Delta_{Exp2-Exp0}$	36.94	-25.28	54.66	-38.87	0.33	-0.33	0.42	-0.42
$\Delta_{Exp3-Exp0}$	-14.98	18.85	-1.3	11.3	-0.13	0.13	-0.01	0.01
$\Delta_{Exp4-Exp0}$	-5.28	-3.24	-6.54	-10.05	-0.05	0.05	-0.05	0.05
$\Delta_{Exp5-Exp0}$	4.15	-41.46	-4.71	-12.63	0.03	-0.03	-0.03	0.03
	NC	SC	NC	SC	NC	SC	NC	SC

$\Delta_{\text{Exp1-Exp0}}$	519.19	-528.56	-143	90.52	4.52	-4.52	-0.88	0.88
$\Delta_{\text{Exp2-Exp0}}$	-68.87	40.84	-24.16	-9.1	-0.44	0.44	-0.04	0.04
$\Delta_{\text{Exp3-Exp0}}$	-208.26	231.47	-344.97	337.21	-1.92	1.92	-2.63	2.63
$\Delta_{\text{Exp4-Exp0}}$	801.67	-819.57	234.87	-232.83	7	-7	1.81	-1.81
$\Delta_{\text{Exp5-Exp0}}$	53.51	-104.79	-23.45	1.83	0.74	-0.74	-0.09	0.09
	NP	SP	NP	SP	NP	SP	NP	SP
$\Delta_{\text{Exp1-Exp0}}$	-387.86	-638.11	22.34	-98.55	2.41	-2.41	1.26	-1.26
$\Delta_{\text{Exp2-Exp0}}$	29.06	42.55	-16.53	4.92	-0.09	0.09	-0.11	0.11
$\Delta_{\text{Exp3-Exp0}}$	27.35	-135.35	185.07	59.7	1.65	-1.65	-0.1	0.1
$\Delta_{\text{Exp4-Exp0}}$	-701.59	-167.09	-140.01	-83.08	-7.43	7.43	0.55	-0.55
$\Delta_{\text{Exp5-Exp0}}$	197.81	-362.03	48.21	-110.67	5.87	-5.87	1.48	-1.48

290

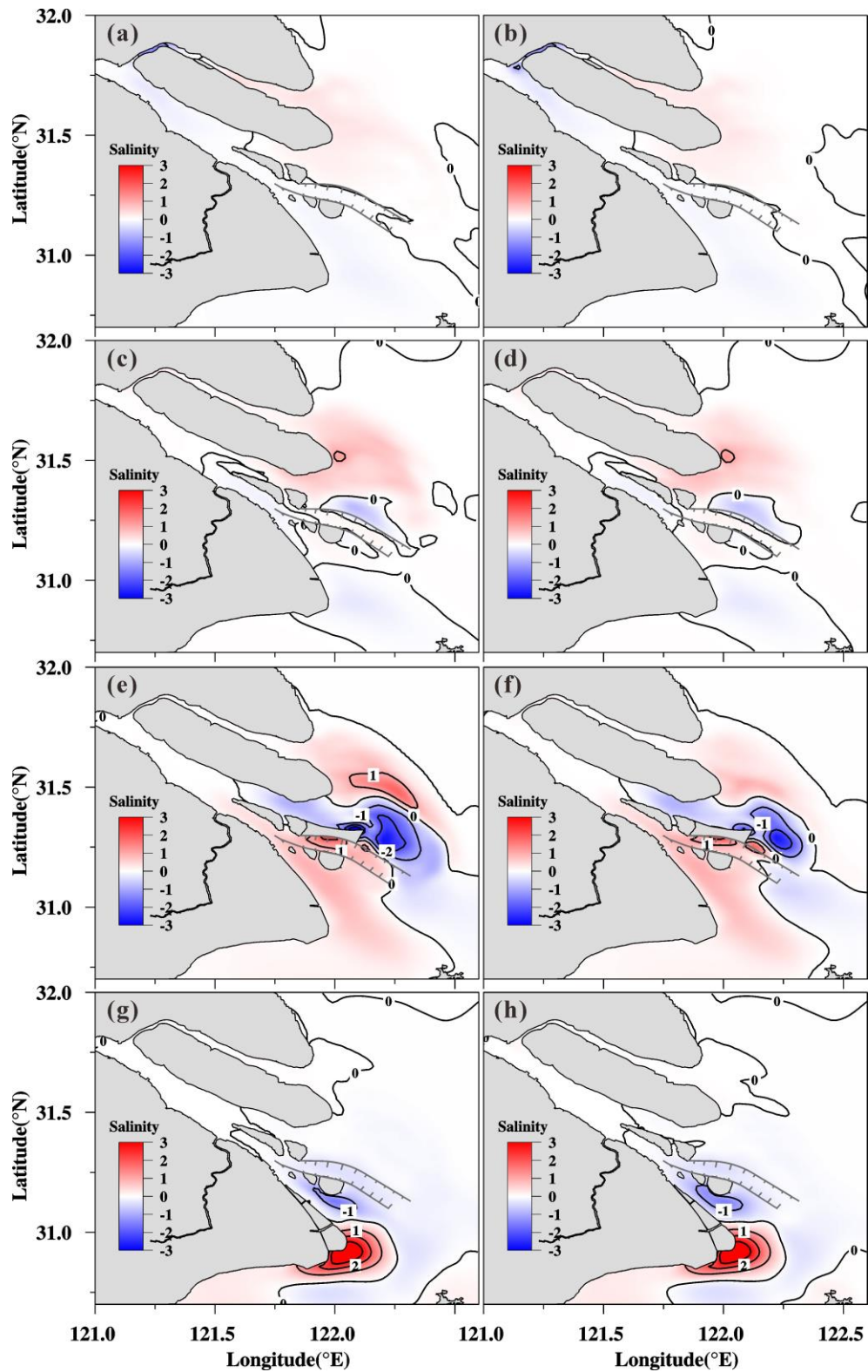
291 The effect of the RP-QCS narrows the upper reaches of the North Channel, causing the NTWF and WDR to
292 decrease by 208.26 m³/s and 1.92% in the North Channel (Table 5), respectively, resulting in salinity increases in
293 the North Channel and east of Chongming Island. This effect does not occur in the area north of the North Passage,
294 as the salinity decreases in that area. The impact of the RP-QCS on the WDR change is 0.13% in the bifurcation
295 of the North and South Branch and 1.65% in the bifurcation of the North Passage and South Passage. The salinity
296 decreases slightly in the South Channel, North Passage and South Passage (Fig.9 (c, d)). The difference between
297 the surface and bottom RUWF is landward near the QCSR after the RP-QCS, meaning that the seaward RUWF
298 decreases.

299 Among the effects of the RP-EHS, the NTWF and WDR increased by 801.67 m³/s and 7.0% in the North
300 Channel (Table 5), respectively, meaning that more freshwater was bifurcated into the North Channel, resulting in
301 salinity decreases of 1-2 in the mouth of the North Channel. The other reason for the salinity decrease is that the
302 RP-EHS lengthens the North Channel seaward. The differences in the RUWF and RUSF in the area east of
303 Chongming Island are the opposite of the directions before the RP-EHS, meaning that the southward transport of
304 water and salt by the northerly wind is weakened by the RP-EHS, inducing the highly saline water to gather in the
305 area east of Chongming Island, resulting in salinity increases of approximately 0.5 in that area. In the South
306 Channel, the NTWF and WDR decreased by 819.57 m³/s and 7.0%, respectively, after the RP-EHS, which led the
307 NTWF in the North and South Passages to decrease by 701.59 and 167.09 m³/s, respectively. For this reason, the
308 salinity in the South Channel increased by approximately 1.0 after the projects. In the North Passage, the WDR
309 decreased by 7.43%, resulting in a surface and bottom salinity increase of 1.0. The reason for the salinity increase

310 in the North Passage is that the project blocks the lower salinity water flowing southward in the North Channel
311 into the North Passage, which is forced by the northerly wind and Coriolis force.

312 Among the effects of the RP-NHS, the NTWF and WDR in the South Passage decreased by 362.03 m³/s and
313 5.87% (Table 5), respectively, because the transect area at the entrance of the South Passage was narrowed. The
314 impact of the RP-NHS on the WDR change is only 0.03% in the bifurcation of the North Branch and South
315 Branch and 0.74% in the bifurcation of the North Channel and South Channel. The narrower transect area reduces
316 the landward salt flux, resulting in a salinity decrease in the South Passage, even though the WDR in the South
317 Passage decreases. In the southeastern area of the project, the salinity increases by more than 3.0 because the
318 RP-NHS blocks the upstream river water flowing there and gathers highly saline water from the open sea.

319 Comparing the distribution of the salinity difference between Exp 1 and Exp 0 (Fig.8 (a, b)), with and
320 without the individual reclamation projects (Exp 2, Fig.9 (a, b); Exp 3, Fig.9 (c, d); Exp 4, Fig.9 (e, f) and Exp 5,
321 Fig.9 (g, h)), the salinity decrease in the upper reaches of the North Branch and increases in the middle and lower
322 reaches of the North Branch are mainly caused by the RP-XCS. The salinity decrease in the North Channel is
323 caused by the RP-EHS, and the effect is much larger than the effect of the RP-QCS, which increases the salinity.
324 The salinity decrease in the South Passage is caused by the RP-NHS, and the effect is much larger than the effect
325 of the RP-EHS, which increases the salinity in that area. A distinct salinity increase southeast of the RP-NHS is
326 completely induced by the RP-NHS. In the area east of Chongming Island, the salinity increase is considerable
327 under the cumulative effect of the four reclamation projects, where the RP-EHS has the largest contribution, the
328 RP-QCS has the second largest contribution, the RP-XCS has the third largest contribution, and the RP-NHS has a
329 very small negative contribution.



330

331 **Fig.9 Differences in salinity distributions in the surface (left) and bottom (right) layers during spring tide after and before**
 332 **RP-XCS (a, b), RP-QCS (c, d), RP-EHS (e, f), and RP-NHS (g, h)**

333 During neap tide, the RP-XCS caused the NTWF to increase by 54.66 m³/s and the WDR to increase by 0.42%
 334 (Table 5), resulting in a salinity change in the North Branch that is similar to that during spring tide, with a
 335 decrease in the upper reaches and an increase in the middle and lower reaches (Fig.10 (a, b)). Salinity increases
 336 east of Chongming Island and in the North Channel. The RP-XCS changes the WDR by only 0.04% in the

337 bifurcation of the North Channel and South Channel and 0.11% in the bifurcation of the North Passage and South
338 Passage because the project is located far away.

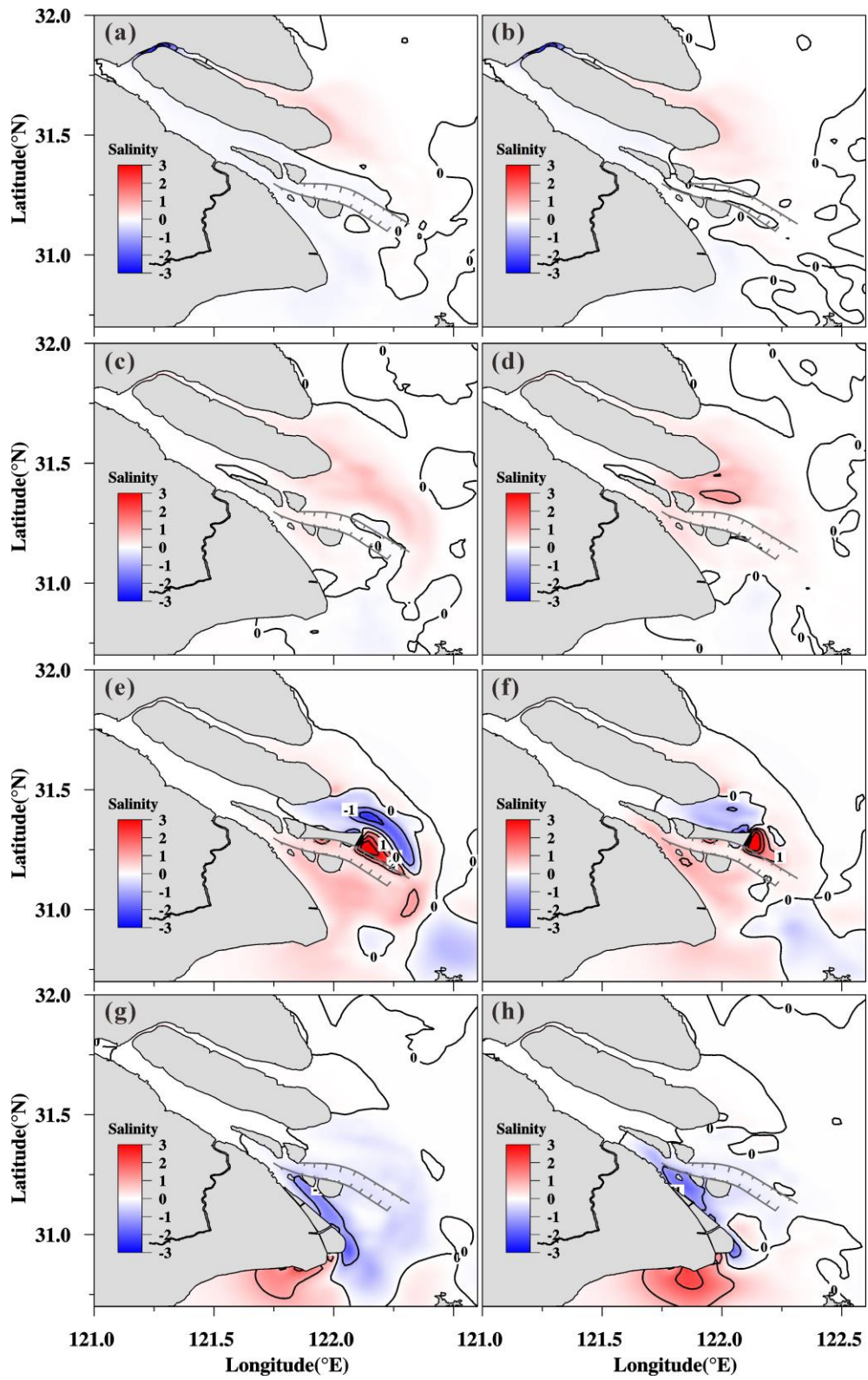
339 The RP-QCS caused the NTWF and WDR to decrease by 344.21 m³/s and 2.63% in the North Channel
340 (Table 5), respectively, resulting in salinity increases in the North Channel, in the area east of Chongming Island,
341 and in the lower reaches of the North Branch. The impact of the RP-QCS on the WDR change was 0.01% in the
342 bifurcation of the North and South Branch and 1.10% in the bifurcation of the North and South Passage. The
343 salinity increased slightly in the South Channel, North Passage and South Passage (Fig.10 (c, d)).

344 The RP-EHS resulted in increases in the NTWF and WDR by 234.87 m³/s and 1.81% in the North Channel
345 (Table 5), respectively, meaning that more freshwater is bifurcated into the North Channel, resulting in a salinity
346 decrease of more than 1.0 in the mouth of the North Channel. In the South Channel, the NTWF and WDR
347 decreased by 234.87 m³/s and 1.81%, respectively, after the RP-EHS, which caused the NTWF in the North
348 Passage and the South Passage to decrease by 104.01 and 83.08 m³/s, respectively. The salinities in the South
349 Channel, North Passage and South Passage increased by 0.5-1.0. The salinity in the area east of the RP-EHS
350 increased for the same reason as during spring tide described above.

351 The RP-NHS caused the NTWF and WDR in the South Passage to decrease by 5.87 m³/s and 1.48% (Table
352 5), respectively. However, the salinity in the South Passage decreased by 0.5 to 1.0 even though the WDR in the
353 South Passage decreased because the RP-NHS narrowed the entrance of the South Passage, which reduced the
354 landward salt flux from the open sea. The impact of the RP-NHS on the WDR change was only 0.03% in the
355 bifurcation of the North Branch and South Branch and 0.09% in the bifurcation of the North Channel and South
356 Channel. In the southern area of the RP-NHS, the salinity increased by more than 2.0, and the cause was the same
357 as that during spring tide.

358 Comparing the difference in salinity distributions between Exp 1 and Exp 0 (Fig.8 (c, d)), with and without
359 the individual reclamation project (Exp 2, Fig.10 (a, b); Exp 3, Fig.10 (c, d); Exp 4, Fig.10 (e, f) and Exp 5, Fig.10
360 (g, h)), the salinity decreases in the upper reaches of the North Branch and increases in the middle and lower
361 reaches of the North Branch are mainly caused by the RP-XCS. The salinity decrease in the North Channel is
362 caused by the RP-EHS, and the effect is much larger than the effect of the RP-QCS, which increases the salinity.
363 The salinity decrease in the South Passage is caused by the RP-NHS, and the effect is much larger than the effect
364 of the RP-EHS, which caused the salinity to increase in that area. A distinct salinity increase south of the RP-NHS
365 was induced by the RP-NHS. In the area east of Chongming Island, the salinity increase was caused by the
366 cumulative effect of the RP-QCS and RP-NHS.

367

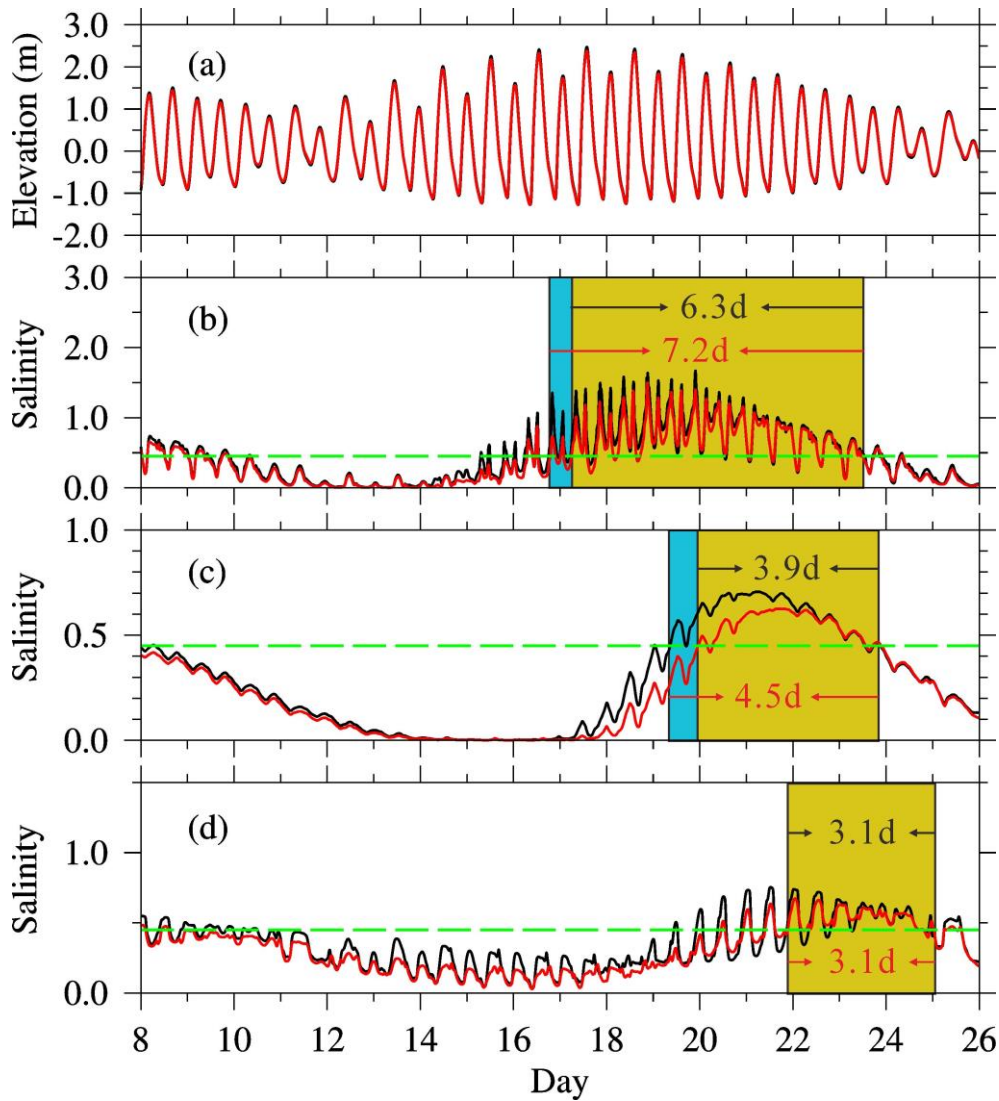


368

369 Fig.10 The same as Fig.9 except during neap tide

370

371



372

373 **Fig.11 Simulated temporal variation in elevation at the water intake of the QCSR (a) and the salinity at the water intakes of**
 374 **the DFXSR (b), CHR (c) and QCSR (d) before (black lines) and after (red lines) the four reclamation projects from February**
 375 **8 to February 26, 2015. The green line is 0.45. The blue and yellow belts indicate the longest continuous non-suitable water**
 376 **intake periods for the reservoirs before and after the four reclamation projects, respectively**

377

378 **3.4 Effects on reservoirs**

379 Due to the low river discharge in winter, saltwater intrusion frequently threatens freshwater intake from the
 380 Yangtze River Estuary. Previous studies indicated that the salinity at the QCSR is controlled by the SSO and
 381 saltwater intrusion along the North Channel. However, the salinity at the CHR and DFXSR is completely
 382 influenced by the SSO (Chen and Zhu, 2014; Li et al., 2014). The temporal salinity variations at the water intakes
 383 of the QCSR, CHR and DFXSR before and after the four reclamation projects are shown in Fig.11, and the
 384 corresponding tidally averaged salinities are shown in Table 6. As demonstrated in previous studies, the reservoir
 385 still cannot receive water if the duration of salinity lower than 0.45 is shorter than 4.0 hours (Zhu et al., 2013).

386 Therefore, the reservoir still cannot intake water during the flood and ebb periods, even though the salinity will be
 387 below 0.45 over less than 4.0 hours. As mentioned above, the SSOs are somewhat weakened after the four
 388 reclamation projects. The saline water that threatened the reservoirs is mainly from the SSO. The longest
 389 continuous nonsuitable water intake period for the QCSR, CHR and DFXSR slightly decreased overall (Fig.11 (b,
 390 c, d)). The longest continuous nonsuitable water intake period for the DFXSR decreased from 7.2 days to 6.3 days,
 391 that for the CHR decreased from 4.5 days to 3.9 days, and that for the QCSR was still 3.1 days even though the
 392 average salinity decreased. Correspondingly, during spring tide, moderate tide after spring tide (MTST), neap tide,
 393 and moderate tide after neap tide (MTNT), the tidally averaged salinity reductions at the water intakes of the
 394 DFXSR, CHR and QCSR are 0.16, 0.04, and 0.03 and 0.08, 0.08, 0.10, 0.02 and 0.00, and 0.06, 0.02, 0.04 and
 395 0.06, respectively. These findings indicate that the four reclamation projects favor the security of freshwater
 396 resources in the Yangtze River Estuary.

397

398 **Table 6 Tidally averaged salinity and difference during spring tide, MTST, neap tide and MTNT at the water intakes of the**
 399 **DFXSR, CHR and QCSR before and after the four reclamation projects**

		Spring	MTST	Neap	MTNT
DFXSR	Exp 0	0.81	0.86	0.13	0.20
	Exp 1	0.65	0.82	0.10	0.12
	$\Delta_{\text{Exp1-Exp0}}$	-0.16	-0.04	-0.03	-0.08
CHR	Exp 0	0.16	0.64	0.14	0.01
	Exp 1	0.08	0.54	0.12	0.01
	$\Delta_{\text{Exp1-Exp0}}$	-0.08	-0.10	-0.02	0.00
QCSR	Exp 0	0.21	0.48	0.32	0.20
	Exp 1	0.15	0.46	0.28	0.14
	$\Delta_{\text{Exp1-Exp0}}$	-0.06	-0.02	-0.04	-0.06

400

401 **4 CONCLUSIONS**

402 The effects of the four reclamation projects and each individual project on the saltwater intrusion and water
 403 resources in the Yangtze River Estuary were simulated by a 3D numerical model. The results of the study show
 404 that for a multichannel estuary, a local reclamation project changes the local topography, changes the WDR
 405 between channels, and influences the water and salt transport in the estuary. The cumulative effects of the four
 406 reclamation projects on saltwater intrusion in the North Branch and South Branch, the North Channel and South

407 Channel, and in the North Passage and South Passage, in spring tide and neap tide, are different, and their causes
408 were analyzed in detail.

409 The SSO was somewhat weakened after the completion of the four reclamation projects, which resulted in
410 slight salinity decreases at the water intakes of the DFXSR, CHR and QCSR. The cumulative effects of the four
411 reclamation projects are favorable for the security of freshwater resources in the Yangtze River Estuary.

412 The conclusions from this study are only appropriate for saltwater intrusion under mean climate conditions in
413 the dry season in the Yangtze River Estuary. As mentioned in the description of the model configuration, all of the
414 numerical experiments were run under the mean runoff and wind conditions. Thus, the results cannot reflect the
415 impacts of the projects on saltwater intrusion in the Yangtze River Estuary under extreme weather conditions.

416

417 **5 DATA AVAILABILITY STATEMENT**

418 The observation and model data and computer codes used in this paper are available from the authors (e-mail:
419 jrzhu@sklec.ecnu.edu.cn).

420

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424

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