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Rural AI: Serverless-Powered Federated Learning for Remote Applications

Panos Patros\*§, Melanie Ooi\*, Victoria Huang\*¶, Michael Mayo\*, Chris Anderson\*, Stephen Burroughs\*, Matt Baughman<sup>‡</sup>, Osama Almurshed<sup>†</sup>, Omer Rana<sup>†</sup>, Ryan Chard<sup>‡</sup>, Kyle Chard<sup>‡</sup>, and Ian Foster<sup>‡</sup>

Abstract—With increasing connectivity to support digital services in urban areas, there is a realization that demand for offering similar capability in rural communities is still limited. To unlock the potential of Artificial Intelligence (AI) within rural economies, we propose Rural AI—the mobilization of serverless computing to enable AI in austere environments. Inspired by problems observed in New Zealand, we analyze major challenges in agrarian communities and define their requirements. We demonstrate a proof-of-concept Rural AI system for cross-field pasture weed detection that illustrates the capabilities serverless computing offers to traditional federated learning.

Index Terms—Serverless computing, cyber-physical infrastructure, computing continuum, federated learning, Rural AI.

#### I. WHY RURAL AI?

Unequal infrastructure between urban and rural areas a consequence of disparities in the economics of making such services available—is likely to increase further the gap between those areas' economic potential [1]. We propose a new discipline of Rural AI, which we define as the engineering of cyber-physical systems for enabling sovereign, sustainable AI in locations with limited and/or unreliable power/networking infrastructure. Fig. 1 illustrates an example Rural AI environment for precision agriculture in Aotearoa New Zealand (NZ). Such intelligent systems obtain sparse but valuable sensor data across multiple locations to predict resource needs, adapt to intermittent connectivity, and preserve data privacy. Thus, local software agents need to collaborate on shared models without sharing data, e.g., via federated learning [2]. We envision the formation of local cooperatives that benefit from data protection and from aggregation of resources on individual farms.

Rural AI applications require orchestration of computational tasks across the **computing continuum** [3]—a transparent computing fabric unifying cloud, HPC, and the edge—in rural environments. We make the following contributions:

- Introduce the discipline of Rural AI to bridge technical and economic requirements elicited from surveying rural NZ stakeholders.
- Demonstrate an experimental system highlighting the efficacy of federated learning and serverless computing for Rural AI applications.
- Motivate the use of serverless computing and federated learning for future Rural AI applications.

The authors are with: University of Waikato (NZ)\*, Cardiff University (UK) $^{\dagger}$ , University of Chicago and Argonne National Laboratory (USA) $^{\ddagger}$ , Raygun Performance Monitoring $^{\S}$ , and National Institute of Water and Atmospheric Research (NZ) $^{\P}$ .

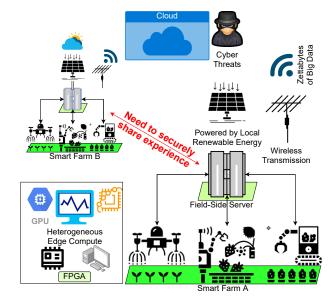


Fig. 1. Rural AI can sustainably support sovereign precision agriculture.

We first present seven NZ use cases and nine Rural AI characteristics we elicited in collaboration with local stakeholders in NZ. Focusing on a Robotic Weeds Removal example, we highlight tradeoffs between computing on the cloud, edge, or a hybrid approach on the computing continuum.

We develop a prototype system building on federated Function as a Service. Our experiments indicate that cloud-only learning exhibits the highest inference accuracy; however, it risks data sovereignty and fault tolerance, and has limited technical feasibility. Local-only (at the edge) learning suffers from low model accuracy, lacking the cooperative federation of Rural AI, which tolerates low node participation but converges slower than cloud-only. Choosing an approach depends on: (i) maturity of computational infrastructure; (ii) complexity of analysis required; (iii) types of end-user communities (farmers, regional planners, local authorities, etc.).

## II. RURAL AI DESIGN AND REQUIREMENTS ANALYSIS

We show seven Rural AI examples in Fig. 2—all funded projects of national interest in NZ. Their requirements can be broadly categorized to the nine shown in Table I. Referring to these examples, it is clear that cooperative federation, which involves the exchange and sharing of information among common stakeholders, is needed to improve performance of machine learning models based on data from many clients.

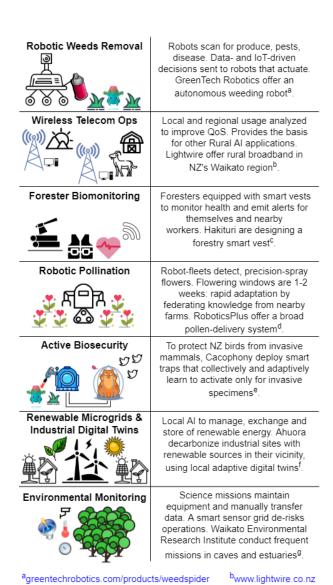


Fig. 2. Rural AI Applications: Seven NZ Examples

<sup>f</sup>ahuora.co.nz

Increasingly mission-critical autonomous systems must be able to self-navigate safely even when geo-location services are down: agricultural robots must continue performing AI tasks at brownout quality and upload local updated models upon reconnection.

<sup>c</sup>isdb.cms.waikato.ac.nz/research-projects

<sup>d</sup>www.roboticsplus.co.nz/quaddusterecacophony.org.nz

gwww.waikato.ac.nz/eri

Given these observations, we define Rural AI requirements as follows:

Data sovereignty: NZ has strict data sovereignty laws, and in particular, those of the nation's indigenous peoples. For instance, Māori data sovereignty means that Māori must have control over their data. This applies to information about native plant species, agriculture, health, etc. Collected data must be stored on the edge and deleted as soon as possible. Only trained models that obfuscate information should be communicated. This enables group knowledge sharing between competitors or consumers while preserving the privacy of information for individuals. Additionally, recent literature

 $TABLE\ I$  The Rural AI Requirements must be met Concurrently

Functionality  Cyber-physical and mission-critical applications and the underlying platform need to handle concept drifts, uncertainty, instrument variability, unpredicted changes in the system and environmental fluctuations.  Deployment may take place on remote/ isolated areas with unreliable power, limited networking infrastructure and adverse environmental conditions.  Cooperative Federation  Big data  Risky and cost-prohibitive to securely move data manually. Training of models needs to take place incrementally to avoid saturating resources.  Security and Data  Sovereignty  Compute and Network  Heterogeneity  Power- and Energy- Awareness  Human User and Developer Barriers  Sustainability  Ryber-physical and mission-critical applications and environmental to handle concept file to handle conditions.  Sustainability  Rural AI systems should be cost effective to develop and operate vs. cloud. Local renewable power sources	Adantina AT	Cubon abrosical and mission suitical applications and
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demonstrates significant skepticism in the agricultural community [4] and, as such, it is important to respect those sensitivities to ensure wide-ranging applications.

Federated Learning: Initial training is undertaken on edge devices with local data before aggregating models within fog/cloud nodes. Aggregated models can be transferred back to the edge devices for inference/actuation.

Federated Cyberinfrastructure: In-field devices could comprise the first layer of the computing continuum. These devices (e.g., pesticide spraying robots) could then decide (a) when to perform the next round of federated learning by submitting recently captured data, (b) which field-side unit(s) to communicate with, and (c) at what granularity to relay their data.

Scheduling strategy: Self-adaptive methods to determine where and when to distribute workloads are needed to optimize deployment objectives and provide guarantees under uncertainty. Decision making must address conflicting metrics, such as time-cost, geographic proximity and computing/ bandwidth/ energy availability tradeoffs.

## III. SERVERLESS ARCHITECTURE FOR RURAL AI

We developed a prototype Rural AI platform based on the requirements in the previous section. Our architecture uses funcX [5], a federated Function as-a-Service (FaaS) platform that enables function execution on remote compute endpoints. FuncX supports reliable execution across heterogeneous computing resources—ideal for heterogeneous computational resources of rural areas. Our prototype (Fig. 3) comprises one node as the federation server and three edge cluster nodes, each with 4 VMs, which we then used for our use case.

Serverless computing is a computing paradigm pioneered by cloud providers [6]. Its aim is to allow software developers to focus on the development side without having to manage

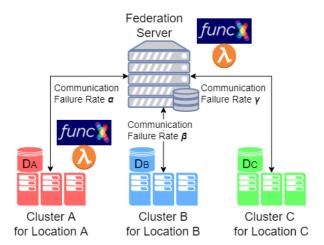


Fig. 3. Federated FaaS Architecture using funcX

physical or virtual servers. For example, in the function as a service (FaaS) model, developers define a programming function; they may then invoke that function via an API by passing the function ID and input arguments to the FaaS platform. The FaaS platform is responsible for provisioning computing resources, executing the function, and relaying results to the user.

Others have explored the use of serverless models for IoT scenarios [7]: cloud providers, such as AWS Greengrass and Microsoft Azure IoT Edg,e provide methods to bridge between public clouds and edge devices. However, to the best of our knowledge, such methods have not been used to deliver with federated learning applications in rural settings.

#### A. Weed Detection Use Case

We evaluated the fitness of our prototype Rural AI platform for weeds detection—a representative application with generalizable observations. Pastures require analysis of highly localized datasets that benefit from cooperative training (Fig. 4).

We used hyperspectral pasture images from three different sites [8] labelled with four classes—three different weeds and a background class.

**Dataset:** The dataset contains 104,544 labelled 148-channel hypervoxels drawn from three 900nm–1700nm infrared spectrum images, one image per site; 60,072 hypervoxels were sampled from Site A, 30,240 from Site B, and 6,232 from Site C. Sites A and B data were balanced across all four classes; Site C data contained one weed species and the background class. We randomly split the dataset into training and testing with an 80: 20 ratio. Using linear discriminant analysis in a non-federated (centralised) setting as a baseline yields 91% test accuracy.

**Approach:** Based on initial experiments and a non-exhaustive architecture search, we selected the following ANN architecture: Two 1D convolutional layers with max-pooling after each, flattened and fed into a dense classifier; ReLU activations for the convolutions and softmax for the classifier.

We implemented a federated averaging [9] algorithm that divides the model training process into T iterations. A random

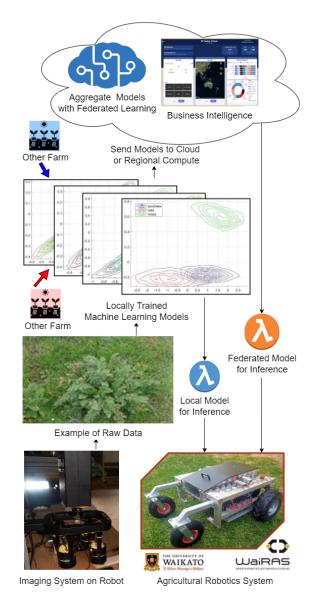


Fig. 4. Exemplar Rural AI Application: Near-infrared hyperspectral imaging system to distinguish weeds from produce [8].

subset of local models is selected and E epochs of training are performed on their local data with minibatch size B.

Both hyperparameters E and B must be optimized: we experimented with  $E \in \{1, 5, 10\}$  and  $B \in \{10, 50\}$  and found that E = 1 and B = 50 performed optimally. When each local training iteration is complete, the local sites return their models to the federation server for model aggregation. In the original algorithm [9], a key parameter is N—the proportion of local models uploaded to the cloud server per iteration. In a rural setting, this would correspond to the fraction of local nodes available at any one time. Therefore, we also evaluate different N values ranging from 100% to 25%. After aggregation, each local model is replaced with the downloaded new model.

## B. Experimental Results

We demonstrate the benefit of using federated learning vs. building independent models based on locally captured image

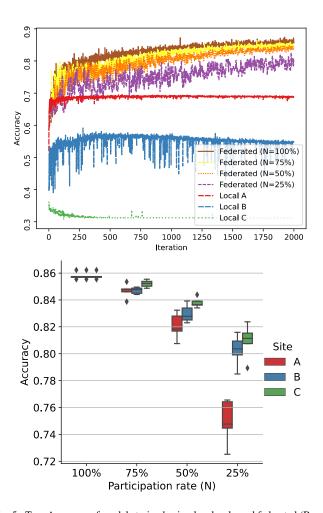


Fig. 5. Top: Accuracy of models trained using local-only and federated (Rural AI) approaches over 2,000 iterations. N, the fraction of local nodes available at any one time. Bottom: Model accuracy with different participation rates N of different local nodes.

data. As illustrated in Fig. 5, three local models (A, B, C) are developed on different sized datasets: accuracy can vary from 30% (Local C) to 70% (Local A).

Compared to cloud-only (98% accuracy) and local-only (30%-70%), our full-participation federated model (N=100%) reached an accuracy of 85% after 2,000 iterations—but all federated models exhibit slower convergence rate.

Although cloud-only achieves higher accuracy by training a global model using *all* the data from *all* three sites, it requires: (i) data to be shared with the datacenter—incurring additional latency, bandwidth and communication time during the data transfer process; (ii) violates sovereignty; (iii) suffers from sustainability, scalability and synchronization issues.

The federated models' accuracy exhibit higher variance with smaller N values due to the reduced model sharing. Nevertheless, all federated models show consistently increasing accuracy. Even with low local-node availability (N=25%), the federated model outperformed the best local model, achieving 75%. Hence, we hypothesize that *nodes can adaptively, voluntarily forgo participating in training rounds to save energy* without penalizing the federated models' performance.

To test our hypothesis, we simulate different nodes volun-

tarily participating at different rates for each training round. As shown in Fig. 5, sites with more samples have a higher impact on accuracy if they only partially join the training. Nevertheless, the federated model still outperformed the best local model. Meanwhile, for sites with fewer samples (e.g., B and C), they can significantly benefit in terms of model accuracy and energy savings even when only participating in 25% of training rounds.

## IV. THE FUTURE OF RURAL AI

We outline in Table I key research challenges in Rural AI, considering constituent technologies and research areas.

Agriculture: To support cooperating farms, self-adaptive distributed systems are needed to connect computing resources with those on the farm and progressively moving to the cloud. To support interoperability between farm systems, new specification languages, metamodels and models-at-runtime are needed. With an increase in capability must also follow an increase in usability. A possible future solution is to integrate serverless federated learning with new edge-based farm management architectures [10].

Artificial Intelligence: At the time of writing, there are 27 ISO/IEC standards on AI under development to address data quality, management, applications, etc. Adaptive AI algorithms will be required in planning (e.g., robotic automation of orchards [11]), natural language processing (e.g., ease of control of complex farming systems), and heuristic optimization (e.g., developing plans for robotic harvesters). More generally, there is no guarantee that algorithms developed and evaluated in one rural region will work effectively in another region due to the likelihood of the data from the new region not following the same distribution as that used to train and build the AI systems. Climate change presents further complications, and can be viewed as an potential instance of concept drift. Both systems will necessitate extensive and continuous model testing well beyond initial deployment.

Computing continuum: The increase in compute capabilities is mirrored readily by networking infrastructure. While not always the case, as networking increases with compute, adaptive task placement across different devices becomes more pressing as the resources are no longer obfuscated by network bottlenecks. With Rural AI, we envision the ultimate computing continuum—seamlessly combining resources at every level (including local renewables) to dynamically, adaptively, and autonomously execute tasks where and when required.

Serverless computing: As our prototype shows, serverless computing [12] provides a promising direction for delivering a Rural AI platform and offers an intuitive programming model to develop and execute AI applications. Extending FaaS to federated environments is necessary to support Rural AI, enabling functions to be dispatched to different devices in the computing continuum based on resource availability, performance, data privacy, and location and scheduling requirements.

## V. CONCLUSION

Using federated learning to support independent model construction and subsequent aggregation provides significant benefits for Rural AI. Using this approach, a robot can capture

data in its proximity using on-board sensors, develop a model based on this data, and share this with field-side units to carry out model "stitching". In an environment with limited network and computational resource availability, this approach provides greater flexibility to sustainably support decision making and actuation (e.g., weed spraying). Thus, considering the tradeoffs between local-only (edge computing) vs. cloud-only (cloud computing), Rural AI architectures offer a valuable middle-ground, hybrid solution that can achieve better performance, fault tolerance and data sovereignty without sacrificing accuracy or increasing costs and power/energy requirements.

## ACKNOWLEDGMENT

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