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Rare coding variants as risk modifiers of the 22q11.2 deletion implicate postnatal cortical development in syndromic schizophrenia

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Jhih-Rong Lin¹, Yingjie Zhao¹, M. Reza Jabalameli¹, Nha Nguyen¹, Joydeep Mitra¹,
International 22q11.2DS Brain and Behavior Consortium^{*}, Ann Swillen², Jacob A. S.
Vorstman³, Eva W. C. Chow³, Marianne van den Bree⁴, Beverly S. Emanuel⁵, Joris R.
Vermeesch², Michael J. Owen⁴, Nigel M. Williams⁴, Anne S. Bassett³, Donna M.
McDonald-McGinn⁵, Raquel E. Gur⁶, Carrie E. Bearden⁷, Bernice E. Morrow¹, Herbert M.
Lachman¹, Zhengdong D. Zhang^{1,§}

- 13
- 14
- 15
- 16 1. Department of Genetics, Albert Einstein College of Medicine, NY, USA
- 17 2. Department of Human Genetics, KU Leuven, Leuven, Belgium
- 18 3. Department of Psychiatry, University of Toronto, Toronto, ON, Canada
- MRC Centre for Neuropsychiatric Genetics and Genomics, Division of Psychological Medicine and Clinical Neurosciences, School of Medicine, Cardiff University, Cardiff, UK
- 5. Division of Human Genetics and 22q and You Center, the Children's Hospital of
 Philadelphia, Philadelphia, PA, USA

Department of Psychiatry and Lifespan Brain Institute, Penn Medicine—CHOP,
 University of Pennsylvania, Philadelphia, PA, USA

Departments of Psychiatry and Biobehavioral Sciences and Psychology, Semel
 Institute for Neuroscience and Human Behavior, University of California, Los Angeles,
 CA, USA

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- 30 * A full list of members and their affiliations appears in the supplement.
- 31 § Corresponding author (E-mail: zhengdong.zhang@einsteinmed.edu)
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39 ABSTRACT

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41 22q11.2 deletion is one of the strongest known genetic risk factors for schizophrenia. 42 Recent whole-genome sequencing of schizophrenia cases and controls with this deletion 43 provided an unprecedented opportunity to identify risk modifying genetic variants and 44 investigate their contribution to the pathogenesis of schizophrenia in 22q11.2 deletion 45 syndrome. Here, we apply a novel analytic framework that integrates gene network and 46 phenotype data to investigate the aggregate effects of rare coding variants and identified 47 modifier genes in this etiologically homogenous cohort (223 schizophrenia cases and 233 48 controls of European descent). Our analyses revealed significant additive genetic 49 components of rare nonsynonymous variants in 110 modifier genes (adjusted P = 9.4 E-04) 50 that overall accounted for 4.6% of the variance in schizophrenia status in this cohort, of 51 which 4.0% was independent of the common polygenic risk for schizophrenia. The 52 modifier genes affected by rare coding variants were enriched with genes involved in 53 synaptic function and developmental disorders. Spatiotemporal transcriptomic analyses 54 identified an enrichment of coexpression between modifier and 22q11.2 genes in cortical 55 brain regions from late infancy to young adulthood. Corresponding gene coexpression 56 modules are enriched with brain-specific protein-protein interactions of SLC25A1, COMT, 57 and PI4KA in the 22q11.2 deletion region. Overall, our study highlights the contribution 58 of rare coding variants to the SCZ risk. They not only complement common variants in 59 disease genetics but also pinpoint brain regions and developmental stages critical to the 60 etiology of syndromic schizophrenia.

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63 INTRODUCTION

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65 22q11.2 deletion syndrome (22q11.2DS) is a severe developmental disorder, caused predominantly by a 3-Mb genomic deletion (in \sim 85% of cases)^{1,2}. It is one of the most 66 67 common chromosomal abnormalities (~1 in 4000 live births, ~1 in 1000 fetuses)^{3,4}. 68 Neuropsychiatric dysfunction is a prominent feature among its heterogeneous phenotypic 69 presentations: About one in four individuals with 22q11.2DS develops schizophrenia (SCZ), usually in late adolescence or early adulthood⁵⁻⁷. 22q11.2DS has been widely used 70 as a model to study SCZ due to its highly increased disease risk and clinical features 71 compatible with the more common, idiopathic form⁷⁻¹⁰. Thus, identifying genetic risk 72 73 factors for SCZ in addition to 22q11.2 deletion in those with 22q11.2DS has high clinical 74 and scientific value^{9,11}.

75

In addition to 22q11.2 deletion and common variants associated with SCZ, increasing evidence shows that many rare variants may act as the 'second hits' for SCZ in 22q11.2DS⁷ and thus contribute to its variable expressivity^{12,13}. Identifying rare coding variants separate from the common polygenic risk can complement risk prediction of 22q11.2DS-associated SCZ^{9,11} and pinpoint genes that play a role in its pathophysiology. Analyzing such modifier genes may reveal important biological processes in the development of syndromic SCZ and their connection to disease risk genes in the 22q11.2 deletion regions.

84 To uncover genetic variation that contributes to the high prevalence of SCZ in 22q11.2DS, 85 the International 22q11.2DS Brain and Behavior Consortium (IBBC) assembled and 86 whole-genome sequenced (WGS) a cohort of 519 22q11.2DS patients with and without 87 SCZ, providing an indispensable resource to identify modifier rare coding variants that change the disease risk of the 22q11.2 deletion in syndromic SCZ⁹. Although the cohort is 88 89 by far the largest to date, the conventional rare variant association tests failed to identify 90 significant associations with SCZ for any gene or pathway after multiple test correction, 91 likely due to insufficient statistical power from the moderate sample size. Therefore, it is 92 imperative to use a different approach.

93

94 We developed an integrated method – the integrated gene signal processing (IGSP) – that 95 can substantially increase the power to identify risk genes implicated by rare coding 96 variants in case-control sequencing studies using gene networks and mouse knockout 97 phenotypes¹⁴. Importantly, IGSP follows a 'discovery-driven' strategy to score risk genes without relying on prior disease-specific knowledge to avoid scoring bias (Supplementary 98 99 note). In this study, with a new analytic framework that incorporates IGSP, we investigated 100 modifier rare coding variants associated with SCZ in 22q11.2DS with three interconnected 101 aims to (1) identify the risk component of rare coding variants in each 22q11.2DS patient 102 and its contribution to SCZ, (2) uncover modifier genes and related biological processes 103 that play important roles in SCZ risk modification in 22q11.2DS, and (3) elucidate the 104 functional connection between modifier genes and 22q11.2 deletion in brain development. 105

- 105
- 107 **RESULTS**

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Rare coding variants contribute to the genetic risk of SCZ in 22q11.2DS

111 Our study cohort consisted of 223 SCZ cases and 233 controls of European ancestry in the 112 sequenced cohort of 22q11.2DS patients (Supplementary Table S2). We identified 113 173,752 rare coding variants (170,919 SNPs and 2,833 indels) with alternative allele 114 frequency (AAF) < 0.01 across 18,828 coding genes in the study cohort. This includes 115 61,900 synonymous, 101,944 missense, and 4,220 loss-of-function (stop gain and frame 116 shift) rare variants. There was no significant difference in the exome-wide minor allele 117 counts of either rare coding variants or rare nonsynonymous variants between SCZ cases 118 and controls (P = 0.857 and P = 0.2, respectively) (Supplementary Fig. S1).

119

In this study, we used an integrated approach with IGSP¹⁴ to address the issue of 120 insufficient statistical power of the previous study⁹, which found no significant rare variant 121 122 association with SCZ in the same 22q11.2DS patient cohort. We developed IGSP to 123 improve the power for prioritizing risk genes implicated by rare variants by integrating gene-level rare variant association signals with gene functional network and gene knock-124 125 out phenotypes. IGSP scores genes based on both rare variant association signals of genes 126 and their joint functional and phenotypic relationship (Supplementary note). According 127 to simulations, the IGSP score outperforms the rare variant gene association signal by 2 to 3 times¹⁴ in prioritizing disease risk genes. 128

130 Using IGSP to leverage its improved power of prioritizing risk genes, we first defined a 131 modifier risk score (MRS) to quantify aggregate effects of rare coding variants in risk genes 132 at the individual level (see Methods). We then evaluated how much SCZ risk that MRS 133 could account for in our study cohort with a new bootstrapping pipeline. Briefly, we 134 randomly divided our study cohort into 500 discovery and corresponding target subcohorts. 135 For each discovery subcohort, we ran IGSP to score the risk of genes implicated by rare 136 variants. Next, using top-scoring genes, we calculated the MRS of each subject in the 137 corresponding target subcohort. Finally, we averaged the MRS ranks across all target 138 subcohorts for each subject in the study cohort (Fig. 1). Our simulation showed that the 139 average MRS rank can effectively quantify individuals' relative SCZ risk from modifier 140 rare coding variants. It approaches the optimal MRS (after standardization) when the 141 prioritization power of risk genes increases (Supplementary note and Fig. S2).

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143 The results of our bootstrapping analysis (Fig. 1) showed that SCZ cases had a significantly 144 higher MRS than controls (Fig. 2a): rare nonsynonymous variants in 110 putative modifier 145 genes prioritized by IGSP with a full integration of both gene network and phenotypes 146 explained 4.6% of the variance in SCZ status in the study cohort (i.e., Nagelkerke's R^2 = 4.6%, 99% CI: 3.7%-5.6%, adjusted P = 9.4E-04). On average, subjects with a higher MRS 147 148 (> 50%) in a target cohort have an odds ratio (OR) of ~1.3 to develop SCZ (Fig. 2b). We 149 identified a smaller aggregate genetic signal from rare coding variants in 40 putative 150 modifier genes prioritized by IGSP with only a network integration (Nagelkerke's R^2 = 151 3.2%, 99% CI: 2.4%-4.2%, adjusted P = 9.6E-03) (Supplementary Fig. S3). In contrast, we did not observe a clear aggregate effect of rare coding variants (Nagelkerke's $R^2 = 1.0\%$, 152 99% CI: 0.5%-1.5%, adjusted P = 0.64) in the top 90 genes prioritized by genetic 153 154 association signals alone (Supplementary Fig. S4). Overall, these results suggest that the 155 integration of the gene network and mouse knockout phenotypes with genetic association 156 signals significantly improved modifier gene prioritization.

157

158 To better understand the nature of rare coding variants as SCZ risk modifiers, we 159 aggregated them in different ways (Fig. 2c). The results strongly suggested that modifier 160 rare coding variants in the study cohort can either increase or decrease SCZ risk, as the risk 161 contribution of the modifier rare coding variants would not have been identified without 162 accounting for the direction derived from the burden test. Aggregation of rare variants 163 without normalizing their effects at the gene level substantially diminished our ability to 164 identify their contribution to disease risk, likely due to bias toward large genes or ones with a high density of rare coding variants. The results also showed that including the variant 165 weights based on their predicted functionality (quantified as CADD scores¹⁵) improved the 166 167 identification of the risk contribution from rare coding variants, supporting the hypothesis that functional rare variants in SCZ risk genes outside the 22q11.2 deletion region can 168 169 modify the risk of developing SCZ among 22q11.2DS patients. This hypothesis was further 170 supported by the observation that no risk contribution from rare synonymous variants was 171 detected (Fig. 2d). We further examined individual types of rare nonsynonymous variants 172 and observed an association between SCZ and the risk component from missense (P =173 (0.009) or loss-of-function (P = 0.028) rare variants (Fig. 2d and Supplementary Fig. S5). 174 The agreement in risk direction between missense and loss-of-function variants suggests 175 that most functional modifier rare variants contribute to the SCZ risk by impairing the function of carrier genes (**Supplementary Fig. S5**). Of 173,752 rare variants in our study cohort, a very small proportion – 2,312 variants (1.3%) – have AAF > 0.01 among non-Finnish Europeans in gnomAD, a large population reference panel. After excluding them from MRS calculation, we observed a small increase in the identified risk contribution (Nagelkerke's $R^2 = 4.8\%$, 99% CI: 4.1%-5.9%), likely due to specific ancestries and heterogeneous effects of some excluded rare variants.

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183 Although common variants associated with idiopathic SCZ also contribute to syndromic SCZ in 22q11.2DS⁹, a finding that we replicated in our analysis (Nagelkerke's $R^2 = 4.9\%$, 184 185 adjusted P = 5.0E-04, Supplementary Fig. S6), it is not clear how common and rare 186 variants together contribute to the overall genetic risk for developing SCZ in 22q11.2DS. 187 To answer this question, for all individuals in the study cohort we first calculated polygenic 188 risk scores (PRS) for idiopathic SCZ using PRSice-2 with the P-value threshold that 189 yielded the most robust result. Risk scores for modifier rare coding variants were obtained 190 by combining MRS across 500 target subcohorts (i.e., the average MRS rank) as described 191 in Fig. 1. We confirmed that the risk components from common and rare variants were 192 highly orthogonal to each other: 4.0% out of 4.6% and 4.3% out of 4.9% of the variance in 193 the SCZ status was explained exclusively by MRS and SCZ PRS, respectively (See 194 Methods). With the orthogonal nature of two different types of risk scores, we showed that 195 their combination can explain SCZ expression (Nagelkerke's $R^2 = 8.9\%$) substantially 196 better than MRS or SCZ PRS alone (Fig. 3).

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Rare variants implicate genes that modify risk of SCZ in 22q11.2DS

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200 To better understand the contribution of rare variants to the development of SCZ in 201 22q11.2DS, we used the full integration of IGSP to identify disease risk modifying genes 202 (i.e., modifier genes hereafter) by scoring genes outside 22q11.2 deletion region for their 203 connection with SCZ based on rare nonsynonymous variants in them in the full study 204 cohort. Our statistical framework showed that rare nonsynonymous variants in the top 110 205 IGSP-scored genes (with a full integration) of a random subcohort (n = 406, 89% of the 206 full study cohort) can best explain the SCZ status of the remaining subjects (n = 50) in the 207 study cohort (Fig. 2a). Therefore, we selected the 110 top-scoring genes of the full study 208 cohort (Supplementary Table S3) as putative modifier genes and analyzed their relevant 209 clinical support, pathway enrichment, regulatory elements, and cross-ethnicity. Of these 210 110 modifier genes, 54 and 56 had a higher weighted burden of rare nonsynonymous 211 variants among SCZ cases and controls (and thus increase or decrease SCZ risk in 212 22q11.2DS), respectively. These candidate modifiers have been annotated for 213 neurodevelopmental disorders in the ClinVar database (as of July 31, 2021) 214 (Supplementary Table S4).

215

To explore the biology involved in the disease risk modulation of modifier genes, we analyzed gene-set enrichment among them with 10 preselected gene sets relevant to SCZ etiology (see **Methods**). We identified a significant enrichment of synaptic genes (P =8.29E-09) and developmental disorder genes (P = 9.66E-04) (**Fig. 4a** and **Supplementary Fig. S7**). Notably, although the 22q11.2 deletion rarely occurs, we also detected an

enrichment of loss-of-function intolerant genes (P = 9.36E-06) and missense constrained

222 genes (P = 3.19 E-05). Using a hypothesis-free approach, we further investigated the 223 enrichment of modifier genes in gene sets for different biological processes and found that 224 gene sets with the most significant enrichment were highly relevant to neurological (e.g., 225 neurogenesis and differentiation) and developmental biological processes (e.g., neuron 226 development and heart development) (Fig. 4b). While our gene-set enrichment analysis 227 revealed biological connections between modifier genes and SCZ, further examination 228 identified known SCZ genes among them with more specific pathological roles in synaptic function (e.g., $TNIK^{16}$ and $NRXNI^{17}$) or calcium channel activity (e.g. $CACNAIC^{18}$). In 229 addition, some modifier genes (e.g., BDNF and HIF1A)¹⁹⁻²¹ are associated with other 230 mental disorders, such as bipolar disorder and major depression disorder, that share disease 231 232 pathogenesis with SCZ²².

233

234 To ascertain the role of modifier genes in modulating SCZ risk, we examined their 235 expression (on the exon level) during brain development and its connection to the SCZ 236 association signals of rare variants. Using BrainSpan RNA-seq data, we identified 1,318 237 exons in 92 (83.6%) of the 110 modifier genes with general expression in developing brains 238 (See Methods). Association tests (SKAT-O) on 2,353 rare variants in those exons 239 confirmed a significant association with SCZ (P = 1.44E-09). Moreover, 1,018 exons of 240 the 110 modifier genes with specific expression in developing brains, and 1,477 rare 241 variants in them showed less evidence of association with SCZ (P = 0.0015).

242

243 Given the WGS data, we were interested in not only rare but low-frequency ($1\% \le AAF \le$ 244 5%) noncoding variants in the regulatory elements of modifier genes. Such noncoding 245 variants are important for two reasons. First, they may constitute additional risk 246 components not covered by SCZ PRS (common variants) and MRS (rare coding variants). 247 Second, their SCZ association can confirm the involvement of the identified modifier genes 248 in developing 22q11.2DS-associated SCZ. Using SKAT-O, we tested SCZ association of 249 rare variants aggregated in four types of regulatory regions of those 110 modifier genes 250 (see **Methods**) and identified a significant SCZ association of transcriptional regulator 251 binding sites in neurons (neuron-TRBS) (P = 1.87E-04). Next, we added low-frequency 252 variants to the tests and identified a significant SCZ association of enhancers (P = 4.69E-253 04) (Supplementary Table S5).

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255 In addition to 22q11.2DS patients of European ancestry, the WGS data generated by IBBC 256 included patients of other minority ethnicities (Supplementary Table S2), among which 257 the Hispanic subcohort was the largest (n = 31; 19 SCZ cases and 12 controls). We 258 investigated whether the modifier genes identified among 22q11.2DS patients of European 259 ancestry (i.e., our study cohort) were also enriched with the modifier variants among 260 patients of other ethnicities. We first aggregated rare nonsynonymous variants in the 110 261 modifier genes in the Hispanic subcohort and tested the association with SCZ for this set 262 of variants. We did not identify a significant association (SKAT-O, P = 0.094). Moreover, 263 for the 110 modifier genes, our association test (SKAT-O) identified a significant SCZ 264 association of rare noncoding variants in the neuron-TRBS (P = 0.03) and of rare and low-265 frequency noncoding variants in the brain enhancer regions (P = 0.047), respectively, in 266 this Hispanic subcohort, despite its small sample size.

268 Modifier genes are potential therapeutic targets for SCZ in 22q11.2DS. To investigate 269 whether they are involved in common biological processes with genes affected by SCZ 270 drugs, we analyzed the expression of modifier genes and that of genes most differentially 271 expressed in cells treated with SCZ drugs (see Methods). We selected 3 FDA-approved 272 antipsychotics – i.e., Haloperidol, Clozapine, and Ouetiapine – and identified a significant 273 enrichment of coexpression between modifier genes and drug-induced top 10 differentially 274 expressed genes (P = 8.4E-04, P = 9.4E-04, and P = 8.0E-04, respectively). The 275 significance of the enrichment was not sensitive to different numbers of top differentially 276 expressed genes.

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278 279

8 Both modifier and 22q11.2 genes are involved in brain development

280 22q11.2 deletion is the strongest known molecular genetic risk factor for SCZ^{23} . We 281 hypothesize that the modifier genes and 22q11.2 deletion share convergent pathological 282 mechanisms in brain development. We conducted contextualized analysis using brain 283 transcriptomic data to assess this hypothesis. First, using data from PsychENCODE²⁴ we 284 constructed gene coexpression networks corresponding to different spatiotemporal 285 combinations of regions and stages during brain development (Supplementary Table S6, S7, and S8), following a previously developed approach 25 . A sliding window was used to 286 combine three consecutive time periods into a time frame, so there was an overlap of 287 288 samples between two successive time frames. First, we assessed the enrichment of 289 coexpression among the modifier genes in the spatiotemporal combinations of brain 290 development to test the hypothesis that modifier genes are likely involved in the same 291 biological process in a spatiotemporal combination (see Methods). Our results showed that 292 modifier genes tended to be coexpressed in the cortical region from the neonatal period to 293 young adulthood, the limbic system from late infancy to young adulthood, and mediodorsal 294 nucleus of the thalamus and cerebellar cortex from late fetal stage to early childhood. (Fig. 295 5a and Supplementary Table S6 and S7). Next, we tested whether modifier genes tended to be coexpressed with genes in the 22q11.2 deletion region (hereafter 22q11.2 genes) in 296 297 any spatiotemporal combinations of brain development (see Methods). We identified 298 enriched coexpression between modifier and 22q11.2 genes with spatiotemporal 299 combinations localized to the cortical region from late infancy to young adulthood (Fig. 300 **5b**); five convergent spatiotemporal combinations were implicated by both modifier genes 301 and connection between modifier and 22q11.2 genes: P7-9/R1, P8-10/R1, P8-10/R2, P9-302 11/R1, and P10-11/R1. This suggests that modifier and 22q11.2 genes likely disrupt 303 intersecting biological processes at these convergent points of postnatal cortical 304 development.

305

306 To uncover potential intersecting biological processes disrupted by modifier genes and 307 22q11.2 deletion, we carried out the weighted gene co-expression network analysis 308 (WGCNA) to identify gene coexpression modules in the aforementioned five convergent 309 spatiotemporal combinations of brain development, all of which have at least 49 samples 310 (Supplementary Table S8). Among them, P8-10/R2 did not produce a soft thresholding-311 based scale free topology model fit > 0.8 (Supplementary Fig. S8) and thus was excluded from the following module analyses. $10 \sim 18$ gene coexpression modules (each with more 312 313 than 100 genes) were identified at each combination (see Methods) (Supplementary Fig.

314 **S9** and **Table S9-12**). We then searched for modules that may be affected by both modifier 315 and 22q11.2 genes. For the deletion, we assembled a gene set (i.e., 22q-SB-PPI genes) 316 including both 22g11.2 genes and their direct interaction partners in a spatiotemporal brain 317 protein-protein interaction network (SB-PPI) (see Methods) since 22q11.2 genes have been shown to form a brain-developmental PPI network that may affect SCZ-associated 318 319 modules²⁶. As we assessed whether 22q11.2 deletion affects a module by testing its 320 enrichment of 22q-SB-PPI genes, we identified significant concurrent enrichment of both 321 modifier and 22q-SB-PPI genes in modules of three out of four spatiotemporal 322 combinations: M3p7-9/R1, M4p9-11/R1, and M1p10-11/R1 (Fig. 5c-f). M3p7-9/R1 corresponded to 323 the period from late infancy to late childhood, while M4P9-11/R1 and M1P10-11/R1 324 corresponded to the period from middle childhood to young adulthood and the period from 325 adolescence to young adulthood, respectively. The three modules were from the same brain 326 region (R1) and highly overlapped, sharing 638 genes among them (Supplementary Fig. 327 S10). They were associated with nervous system development, cell migration and 328 angiogenesis (Supplementary Fig. S11) and were enriched with cell-marker genes for 329 neurons and astrocytes. To investigate specific 22q11.2 genes that contributed to the 330 observed enrichment, we tested the enrichment of SB-PPI using individual 22q11.2 genes 331 as the seed gene in these three modules. We identified significant enrichment of SB-PPI 332 for SLC25A1 in all of them, COMT in M4P9-11/R1 and M1P10-11/R1, SEPT5 and CRKL in 333 M3_{P7-9/R1}, and *PI4KA* and *CLDN5* in M1_{P10-11/R1} (Fig. 5f).

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336 DISCUSSION

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338 Using an integrated approach to gene prioritization and a risk-scoring framework based on 339 bootstrapping, we analyzed the WGS-based genotype data of a 22q11.2DS cohort to 340 identify rare variants that modify syndromic SCZ risk. Our modifier risk scoring uncovered 341 potential rare coding variant-based genetic risk to develop SCZ in 22q11.2DS at the 342 individual patient level that were largely independent of common polygenic risk for 343 idiopathic SCZ. The implicated modifier genes were enriched with genes involved in 344 neurodevelopment and synaptic functions. Recent genetic studies of rare and common 345 variants for idiopathic SCZ suggest the convergent disease origin especially in synaptic biology²⁷⁻²⁹ and highlight the importance of using different approaches. Our results shed 346 347 new light on genetic modifiers of SCZ in 22q11.2DS and show that synapse genes harbor 348 genetic variants modifying SCZ risk caused by 22q11.2 deletion, suggesting a convergent 349 disease origin between idiopathic and syndromic SCZ. In addition, our results provide not 350 only insights to improve risk prediction for 22q11.2DS-associated SCZ but genetic support 351 of drug targets for treatment in this cohort. In addition to rare coding variants, we identified 352 SCZ associations of rare and low-frequency variants in enhancer regions of modifier genes 353 active in the prefrontal cortex (PFC), suggesting that their gene regulatory activities in the 354 PFC are involved in the development of SCZ. Finally, our gene expression analysis 355 revealed a concurrent enrichment of modifier and 22q11.2-connected genes in gene 356 coexpression modules localized to the cortical region from late infancy to young adulthood. 357 This result suggested that modifiers are involved in biological pathways for the postnatal 358 cortical development perturbed by 22q11.2 deletion.

360 Earlier studies of 22q11.2 genes have provided important biological insights into the 361 potential impact of 22q11.2 deletion on brain development. For example, 22q11.2 genes 362 were found to be enriched with spatiotemporal PPIs during childhood that may be driven 363 by the pathologies of associated brain disorders³⁰. Also, SCZ-associated neurodevelopmental modules implicated by common risk variants in idiopathic SCZ were 364 365 found to contain many genes in the brain developmental PPI network of 22q11.2 genes, especially SEPT5, PI4KA, and SNAP29²⁶. In our study, we used a conceptionally different, 366 367 bottom-up approach to first find gene coexpression modules associated with SCZ in 368 22q11.2DS in brain development implicated by modifier genes and then investigated the 369 connection between those modules and 22q11.2 genes. Notably, we uncovered such gene 370 coexpression modules in childhood that were enriched with 22q11.2-connected genes. In 371 addition to SEPT5 and PI4KA, our analysis suggested that the enrichment was driven by 372 other genes especially COMT and SLC25A1. COMT is one of the most widely studied 22q11.2 genes for SCZ due to its role in degradation of dopamine^{31,32}. SLC25A1 is a 373 mitochondrial protein whose interactome was recently found to participate in synaptic 374 375 function and was altered in SCZ patients with 22q11.2DS^{33,34}. Overall, our study confirms 376 results from previous ones and provides additional insights specific to SCZ in 22q11.2DS.

377

378 Previous studies of rare coding variants in idiopathic SCZ were limited to extremely rare 379 and highly deleterious variants due to high genetic heterogeneity and selective pressure in 380 this disorder^{28,35}. We were able to demonstrate aggregate effects of rare coding variants on 381 SCZ in this 22q11.2DS cohort, despite the relatively moderate sample size, likely for three reasons. First, our analytic framework incorporates a gene network and phenotypes 382 383 (through IGSP) to improve prioritization of risk genes. Second, the cohort shares the same 384 disease-causing copy number variant -i.e., 22q11.2 deletion - and thus likely also shares 385 certain modifier risk variants. Third, SCZ risk variants among carriers of 22q11.2 deletion 386 tend to have larger effect sizes according to the liability model. Modifier genes by nature 387 are candidate drug targets to treat 22g11.2DS-associated SCZ. Of note, modifier genes that 388 we identified include targets of antipsychotic drugs (e.g., DRD1, DRD2, and CACNA1C) 389 and, especially, ones that have not been well-studied (e.g., C3 and OPRK1). This highlights 390 the potential of studying modifier rare coding variants in 22q11.2DS to identify therapeutic 391 targets for SCZ in the general population.

392

393 The recent SCHEMA study implicated ultra-rare coding variants (URVs) in 10 genes as 394 conferring substantial risk for SCZ (odds ratios of 3–50, P < 2.14E-06) and 32 genes at a false discovery rate of $<5\%^{28}$. Among the latter, *NR3C2* also appeared as a modifier risk 395 396 gene in our study. Two reasons may account for the lack of more substantial overlap. First, 397 we tested SCZ association based on the weighted burden of all nonsynonymous rare 398 variants in a gene, not limited to ultra-rare coding variants. Second, many ultra-rare coding 399 variants with large effect sizes may be too rare to be observed in a study cohort with 400 hundreds of subjects. Nevertheless, those genes implicated by ultra-rare coding variants 401 are also highly relevant to synapse functions, suggesting a convergence of disease 402 mechanisms at the pathway level regardless whether the disease is part of a syndrome.

403

404 Having shown that modifier genes are enriched in modules associated with nervous system 405 development and cell migration, we considered whether the mouse phenotype data 406 integration could potentially add scoring bias. As per the design of the IGSP algorithm, 407 genes with certain phenotypes in human tend to have higher phenotype scores only if 408 stronger gene association signals are enriched among genes whose mouse orthologs have 409 annotations of relevant phenotypes. Therefore, although certain types of mouse knockout 410 phenotypes (e.g., of the nervous system) are better studied and thus probably more 411 complete than others, such a research variation does not introduce scoring bias in IGSP 412 since the distribution of gene association signals is independent of the degree of 413 completeness of mouse phenotype annotation. On the other hand, the power of our 414 integrative statistical framework will be reduced when the disease and related phenotypes 415 are less studied in mouse models. While the moderate sample size is no doubt a limiting 416 factor of this study, this problem is being actively addressed by the Consortium through 417 continuously recruiting more 22q11.2DS patients.

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419 In additional to 22q11.2 deletion and SCZ-associated common variants, modifier rare 420 variants also contribute to the disease risk of syndromic SCZ. Although their aggregate 421 effects may not be directly significant to idiopathic SCZ, given the genetic heterogeneity 422 of the disease in general, the identification and analysis of modifier rare variants is 423 complementary to that of highly pathogenic ultra-rare variants in SCZ of the general 424 population. For example, our study shows how rare variants across genes important in brain 425 development collectively contribute to substantial risk in syndromic SCZ, which shares 426 similar clinal features with idiopathic SCZ. This sheds light on important biological 427 processes in the etiology of the disease and highlights the value of studying SCZ using 428 22q11.2DS as a model.

429 430

431 METHODS

432

433 22q11.2DS cohort and rare variants

434 435 The original WGS data consisted of 519 unrelated 22q11.2DS patients recruited across 22 international sites and underwent stringent measures of quality control⁹ (Supplementary 436 437 **note**). The study complies with all relevant ethical regulations and was approved by local 438 institutional research ethics boards and have informed consent from all studied subjects. 439 Among 519 22q11.2DS patients, there were 259 SCZ cases who have been diagnosed at 440 any age by a stringent case consensus procedure and 260 controls who had no history of 441 any psychotic illness when assessed at age ≥ 25 years. To maximize the sample size with 442 a homogeneous genetic background, our study cohort was the subcohort of European 443 ancestry that included 223 SCZ cases and 233 controls (Supplementary note). The largest 444 subcohort of minorities, consisting of 31 subjects of Hispanic ancestry, was used to 445 investigate whether modifier genes identified in the study cohort were enriched with 446 modifier rare variants. WGS was performed with the Illumina pipeline. Sequence 447 alignment was carried out with PEMapper to map WGS reads to the human genome build hg38. Variants were called with PECaller⁹ (Supplementary note). Rare variants were 448 449 defined as variants with AAF < 1% in the study cohort. Rare variants with a missing 450 genotype rate > 0.01 in the study cohort were excluded from the analyses. This study focused on autosomal rare variants outside the 22q11.2 deletion region; variants in the
22q11.2 deletion region were not analyzed.

453

454 Variant annotation method

455

We identified coding variants using CADD annotation (v.1.6, 'CodingTranscript'). In our study, different types of coding variants were defined by the Ensembl Variant Effect Predictor (VEP) as part of CADD: nonsynonymous variants are coding variants not annotated as 'synonymous' variants, while loss-of-function variants are either 'stop_gained' or 'frameshift' variants.

461

462 Modifier rare coding variants463

464 The statistical framework that we used to identify and analyze modifier rare coding variants 465 included sample bootstrapping, risk gene prioritization based on rare coding variants (the 466 IGSP method), modifier risk calculation and evaluation to examine the aggregate effects 467 of rare coding variants on SCZ risk (**Fig. 1**).

468

469 First, we bootstrapped the study cohort to randomly generate 500 target subcohorts and 470 their paring discovery subcohorts. In each iteration, we randomly selected $n_t/2$ cases and 471 $n_t/2$ controls from the study cohort to create the target subcohort; the remaining $223-n_t/2$ 472 cases and $233-n_t/2$ controls in the study cohort constituted its corresponding discovery 473 subcohort. n_t determines not only the sample size of target subcohorts and thus the rank 474 resolution (see details below) but also the sample size of the corresponding discovery 475 subcohorts and thus the power for prioritizing risk genes. In this study, we set $n_t = 50$ and 476 showed that out result was not sensitive to selection of n_t within a reasonable range 477 (Supplementary Fig. 12). Our sample bootstrapping procedure ensured that every 478 individual in the study cohort was included in at least one target subcohort. Using each 479 discovery subcohort, we applied IGSP to prioritize genes for risk modification based on 480 the SCZ association of rare coding variants weighted by orthogonal information contained 481 in the gene network and mouse phenotypes. Specifically, we collected gene association 482 signals of each protein-coding gene outside the 22q11.2 deletion region by applying the 483 weighted burden test (using the R package SKAT) to rare nonsynonymous variants with 484 their CADD scores (v.1.6). The test used the SCZ status as the phenotype and included as 485 covariates sex and the top 10 principal components to account for the subpopulation 486 structure, which were obtained using PLINK (v.1.9) based on common variants (MAF >487 0.05). The output association *P*-values were then used as input to IGSP to score and rank 488 genes.

489

490 Next, we defined a modifier risk score for each individual in the target subcohorts based491 on rare nonsynonymous variants in the top *n* putative modifier genes:

492

$$\sum_{i=1}^{n} \left(\frac{d_i}{v_i} \sum_{j=1}^{v_i} c_{ij} \cdot a_{ij} \right) \tag{1}$$

494 in which d_i is the risk direction of gene *i*, taking on the value of 1 or -1 if the direction of 495 variant burden is on SCZ cases or controls, respectively, v_i the number of rare 496 nonsynonymous variants in gene *i*, *c_{ij}* and *a_{ij}* the CADD score and the number of alternative 497 alleles of rare nonsynonymous variant *j* in gene *i*, respectively. For each putative modifier 498 gene (one of the top n genes scored by IGSP), the equation calculates the weighted sum of 499 alternative alleles for rare nonsynonymous variants in the gene weighted by the 500 corresponding CADD scores. To avoid scoring bias to large genes and genes harboring a 501 high density of rare coding variants, we performed a normalization process in which the 502 weighted sum was divided by the number of rare nonsynonymous variants for the same 503 gene observed in the corresponding discovery subcohort.

504

505 Finally, we evaluated whether the MRS explained the variance in SCZ status in the target 506 subcohorts. Since MRS across different target subcohorts may involve different top scoring genes and thus are not directly comparable, we ranked subjects in each target subcohort in 507 508 ascending order of their MRS and summarized each subject's modifier risk by taking the 509 average of his MRS ranks over target subcohorts. The relationship between the summarized 510 MRS and SCZ status was evaluated using logistic regression including as covariates sex 511 and the top 10 principal components for correcting population substructure. Subjects may 512 appear in different target subcohorts, which could introduce uncertainty to average MRS 513 rank. We used another bootstrapping procedure to evaluate rare variant SCZ risk 514 characterized by the average MRS rank. Briefly, in each bootstrap replicate, we calculated 515 the variance of SCZ status explained by the average MRS rank from 20 random 516 observations of each subject (i.e., 20 random target subcohorts including the subject) 517 (Supplementary Fig. S13). The estimated variance of SCZ status explained by the average 518 MRS rank and 99% confidence interval are derived from 201 replicates (median and the 519 range after removing the first and last estimates after sorting, respectively).

520

We started the process with the MRS based on the top 10 genes and repeated the process by including the next 10 genes until the top 500 genes were examined. Overall, 50 tests are carried out to test relationship between MRS and SCZ status; however, the tests are correlated because the tested genes are highly overlapped. Therefore, we used the minimal *P*-value test³⁶ to calculate the corrected *P*-value of the most significant association in 50 tests. First, we calculated *e*, the effective number of independent tests as :

527

$$e = M - \sum_{i=1}^{M} [I(\lambda_i > 1)(\lambda_i - 1)], \qquad (2)$$

(3)

528

529 in which *M* equals the number of tests (50), λ s are the eigenvalues of the *M* × *M* correlation 530 matrix of the *P*-values of *M* tests, and *I* is an indicator function. The *P*-value correlation 531 matrix can be calculated based on the Pearson correlation coefficient between the vectors 532 of *P*-values from the 201 bootstrap replicates. Next, given *e* and the lowest *P*-value among 533 *M* tests (*P*_{min}), the corrected *P*-value can be calculated as: 534

$$P=1-(1-P_{\min})^e.$$

536 **Common polygenic risk for idiopathic SCZ**

537

538 We calculated common polygenic risk of idiopathic SCZ for individuals with 22q11.2DS based on the PRS analysis using PRSice- $2^{37,38}$. We first downloaded the summary statistics 539 of the idiopathic SCZ GWAS²⁷ from the Psychiatric Genomics Consortium (PGC). We 540 541 selected common SNPs in our 22q11.2DS cohort (internal MAF > 5%) and carried out LD clumping if they were within 250 kbps and $R^2 > 0.1$. Next, we used 19 *P*-value thresholds 542 543 -1, 0.9, 0.8, 0.7, 0.6, 0.5, 0.4, 0.3, 0.2, 0.1, 0.01, 1E-3, 1E-4, 1E-5, 1E-6, 1E-7, 1E-8, 1E-544 9, and 1E-10 – to select SNPs for PRS scoring after clumping. Sex and the top 10 principal 545 components for population substructure correction were used as covariates in PRSice-2.

546

547 **Cross-analysis of SCZ risk from common and rare coding variants** 548

549 We analyzed SCZ status using four logistic regression models with (1) SCZ PRS and 550 average MRS rank as predictor variables, and sex and top 10 principal components for 551 population substructures as covariates, (2) SCZ PRS and covariates, (3) average MRS rank 552 and covariates, and (4) covariates only. The independent risk component exclusively from 553 common and rare coding variants, respectively, can be evaluated across these models. The 554 combined genetic risk scores that characterize the overall genetic risk from both common 555 and rare coding variants for SCZ in 22q11.2DS can be derived by applying coefficients 556 from the logistic regression model (1).

557

558 Gene-set enrichment analysis

559

560 We systematically investigated gene sets enriched with 110 modifier genes using both 561 hypothesis-driven and hypothesis-free approaches. In the hypothesis-driven approach, we 562 investigated the enrichment of the modifier genes in 10 gene sets that were commonly used 563 in SCZ studies: synaptic genes, FMRP target, postsynaptic density from human neocortex 564 (hPSD), neurotransmitter system, presynaptic genes, calcium channel activity, 565 developmental disorder, loss-of-function intolerant genes, and missense constrained genes 566 (Supplementary Table S13). The first eight gene sets are relevant to SCZ biology and the last two were enriched with rare variants in idiopathic SCZ³⁵. In the hypothesis-free 567 568 approach, we investigated the enrichment of modifier genes in 7,481 gene sets annotated 569 with gene ontology (GO) terms for different biological processes from the Molecular Signatures Database $(v.7.4)^{39,40}$. For each gene set, we considered only the subset of genes 570 that was scored by IGSP. We used Fisher's exact test to assess the significance of 571 572 enrichment of modifier genes for a gene set, using 8,028 genes scored by IGSP (the full 573 integration requires the phenotype annotation of mouse gene knockouts, which cover only 574 half of human gene homologs) as the background. Bonferroni multiple test correction was 575 used to correct P-values for 10 and 7,481 tests performed in the two approaches, 576 respectively.

577

578 To investigate whether a gene coexpression module was enriched with modifier genes, we 579 used Fisher's exact test and considered only genes in modules scored by IGSP (8,028 genes

581 22q-SB-PPI genes or cell-marker genes⁴¹, we used Fisher's exact test with all 21,196 582 coding genes as the background.

583

584 Exon expression of modifier genes

585

586 We used RNA-seq gene expression data at the exon level from BrainSpan 587 (https://www.brainspan.org/static/download.html)⁴² to identify regions (exons) of modifier 588 genes with general and specific expression during brain development, defined as exons 589 with median RPKM ≥ 1 and < 1, respectively, across all samples cataloged in BrainSpan 590 regardless of brain regions or age.

591

592 **Regulatory regions of modifier genes**

593

We investigated noncoding rare variants in four different types of regulatory regions of 594 595 modifier genes: promoters, brain-active enhancers, transcriptional regulator binding sites 596 in neurons (neuron-TRBS), and DNase I hypersensitive sites in neural tissues (neural-597 DHS). We defined a gene's promoter as the genomic region within 500 bp of its 598 representative transcription start site based on FANTOM CAGE data⁴³ and obtained its 599 brain-active enhancer(s) (in the PFC) from the gene regulatory network generated by 600 PsychENCODE⁴⁴. We collected neuron-TRBS and neural-DHS as potential regulatory elements for a gene within its 50-kb upstream and 50-kb downstream regions from ReMap 601 602 database (Homo sapiens; nonredundant peaks)⁴⁵ and an online repository 603 (https://zenodo.org/record/3838751#.Y7yD3i-B2-x)⁴⁶, respectively.

604

605 SCZ association for a set of variants

606

607 We used the weighted burden test to derive rare variant associations at the gene level as 608 input to IGSP because the direction of rare variant burden was required to calculate MRS. 609 For other analyses that aimed to simply test SCZ association for a set of variants, we used 610 weighted SKAT-O⁴⁷ (with CADD scores as the variant weights). When testing associations 611 in the study cohort (n = 456) and discovery subcohorts (n = 406), we used sex and the top 612 10 principal components for the subpopulation structure correction as covariates. When 613 testing associations in the Hispanic subcohort (n = 31), we used sex and the top 4 principal 614 components as covariates.

615

616 **Modifier genes and drug-induced differentially expressed genes**

617

618 We tested whether modifier genes tend to be coexpressed with differentially expressed 619 genes induced by SCZ drugs. Top differentially expressed genes were identified using 620 drug-induced gene expression signatures from the Expanded CMap LINCS Resource 2020 (https://clue.io/data/CMap2020#LINCS2020)⁴⁸. Briefly, for an antipsychotic drug, we 621 622 identified in CMap n genes that most frequently appear in the list of top n most 623 overexpressed and underexpressed genes, respectively, across different treatments of the 624 drug. For this coexpression analysis, we focused on brain tissues between middle childhood 625 and young adulthood. We considered two gene to be coexpressed if there is significant 626 coexpression between them in any one of the 12 spatiotemporal combinations of four brain 627 regions and three stages of brain development (Supplementary Tables S6, S7, and S8) 628 (see the next subsection). We assessed the degree of coexpression using a permutation test 629 with 100,000 iterations of randomization, in each of which the degree of coexpression 630 between 110 random IGSP-scored genes and drug-induced top differentially expressed 631 genes was calculated to construct the null distribution.

632

634

633 Transcriptional analysis in brain development

635 **PsychENCODE** Gene expression data were downloaded from 636 (http://development.psychencode.org/). Four brain regions and 11 overlapping stages of brain development defined in a previous study²⁵ were used to construct 44 spatiotemporal 637 638 combinations (Supplementary Tables S6, S7 and S8). For each spatiotemporal 639 combination, we measured high-confidence coexpression (1 or 0) based on a stringent 640 threshold of Pearson correlation coefficient (the absolute value ≥ 0.85). We measured the 641 degree of coexpression among modifier genes based on the sum of high-confidence 642 coexpression between each pair of modifier genes. To assess the degree of coexpression 643 among modifier genes in a spatiotemporal combination, we performed a permutation test 644 with 100,000 iterations of randomization, in each of which the degree of coexpression 645 among 110 random IGSP-scored genes was calculated to construct the null distribution. 646 We measured the degree of coexpression with 22q11.2 genes for each IGSP-scored gene 647 based on the number of high-confidence coexpression between the gene and each of 46 648 22q11.2 genes⁴⁹. To investigate whether modifier genes tended to be coexpressed with 649 22q11.2 genes, we performed logistic regression to regress the status of modifier genes 650 (i.e., 110 modifier genes and the remaining 7,918 IGSP-scored genes as non-modifier 651 genes) on the degree of coexpression with 22q11.2 genes. Bonferroni correction was 652 applied to 44 spatiotemporal combinations. To identify gene coexpression modules in a 653 spatiotemporal combination, we performed the weighted gene co-expression network 654 analysis (WGCNA), which uses a soft-thresholding method to better detect gene modules. 655 First, to determine a soft thresholding power, we run "pickSoftThreshold" function to 656 obtain the first soft thresholding power (starting from 2 to 15) of which the corresponding scale-free topology model fit $R^2 > 0.8$ without considering sign of coexpression 657 (networktype = 'unsigned'). Next, we transformed the adjacency matrix of a coexpression 658 659 network to topological overlap matrix (TOM; unsigned 'networkType' and 'TOMType') using the selected soft thresholding power and clustered genes based on the corresponding 660 661 dissimilarity as the distance measure. The gene clustering was based on a hierarchical 662 clustering function 'flashClust' (the 'average' method). Last, we determined gene modules by running 'cutreeDynamic' function (the 'tree' method) with a minimum module size = 663 664 100 genes. Genes in a coexpression network not classified into any modules (i.e., grey 665 genes) were excluded from our module analyses.

666

667 Spatiotemporal brain-PPI network of 22q11.2 genes

668

We defined a spatiotemporal brain protein-protein interaction network of 22q11.2 (22q-SB-PPI) genes for a spatiotemporal combination as genes that have spatiotemporal brain protein-protein interactions with at least one 22q11.2 gene. We determined a spatiotemporal brain protein-protein interaction between two genes if they satisfied two 673 conditions: first, their protein products physically interacted according to MIST (v.5.0, 674 Homo sapiens)⁵⁰; second, both genes are transcribed in a specific spatiotemporal 675 combination and have evidence of coexpression at transcriptional level (i.e., the absolute 676 value of Pearson correlation coefficient ≥ 0.7).

677 678

679 DATA AVAILABILITY680

681 This study is a secondary data analysis of the whole genome sequencing data of SCZ in 682 22q11.2DS generated by the International 22q11.2DS Brain and Behavior Consortium 683 (IBBC). All summary statistics for the SCZ association of rare coding variants in the IBBC 684 22q11.2DS cohort are available at http://zdzlab.einsteinmed.org/1/sz-22q.html. All 685 predicted modifier genes are available in the supplementary material. Due to privacy 686 concerns for our research participants, individual-level genetic data from the IBBC study 687 of SCZ in 22q11.2DS are not publicly available; however, access to anonymized data can 688 be requested from a qualified academic investigator to the IBBC Executive Committee, 689 providing the data transfer is approved by the Institutional Review Board and regulated by 690 a material transfer agreement.

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- 692

693 CODE AVAILABILITY

694

695 IGSP is publicly available at <u>https://zenodo.org/record/1034362#.X-JWQNgzY2w</u>. All 696 other software used in our analyses is open source and described in Methods.

697 698

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700

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705

706 **COMPETING INTERESTS**

- 707
- 708 All authors declare no competing interests.
- 709

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- 836 FIGURE LEGEND
- 837

Figure 1. Statistical framework to examine the aggregate effects of modifier rare coding variants on SCZ. We first randomly split the study cohort of SCZ in 22q11.2DS into a target subcohort with 25 SCZ cases and 25 controls and a discovery subcohort with the remaining subjects. We then calculated the relative SCZ risk of subjects in a target subcohort based on their rare coding variants in genes prioritized in the corresponding discovery subcohort (MRS, Equation 1). We repeated the calculation 500 times and evaluated MRS for SCZ across subcohorts based on the average MRS rank.

845

846 Figure 2. SCZ risk from rare coding variants in 22q11.2DS. a. SCZ status and MRS. 847 The plot shows the fraction of variance in SCZ status in target subcohorts explained by the 848 MRS (y-axis) based on rare nonsynonymous variants in the top n genes prioritized by IGSP 849 scoring with a full integration (using gene network and phenotypes) in discovery 850 subcohorts (x-axis). D(+/-) denotes that a higher MRS corresponds to a higher/lower risk 851 of SCZ. The vertical bars denote a 99% confidence interval. b. Odds ratio. To directly 852 evaluate whether MRS quantifies the SCZ risk, for each of 500 target subcohorts, we calculated odds ratio of SCZ patients using top 50% MRS (based on the top 110 genes in 853 854 the discovery subcohort) as the exposure. The x- and y-axis show the number of observed 855 rare nonsynonymous variants and the number of affected genes, respectively, in a target 856 subcohort. c. SCZ status vs. MRS calculated in four different ways. S1 is for using Equation 857 1, while S2, S3, and S4 denote using Equation 1 without c_{ii} for considering the predicted 858 functionality of rare coding variants (i.e., CADD-score weighting), without v_i for 859 normalizing variant effects at the gene level, and without d_i for considering risk direction, 860 respectively. d. SCZ status vs. MRS calculated for different types of rare coding variants.

861

862 Figure 3. Composition of genetic risk of SCZ in the study cohort. The horizontal bars 863 show min-max normalized PRS, average MRS rank, and combined risk scores for 456 864 22q11.2DS patients with and without SCZ (i.e., cases and controls). The combined risk 865 scores were calculated by applying coefficients of PRS and average MRS rank obtained from a logistic regression model (with the SCZ status as outcome and sex and top 10 866 867 principal components for population substructure correction as covariates). The same SCZ 868 cases are connected by pink lines. Three SCZ cases with PRS lower than the median but 869 with high MRS are highlighted by red connecting lines.

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Figure 4. Gene-set enrichment analysis of modifier genes. a. Ten gene sets known to be highly relevant to SCZ. **b.** Gene sets for GO terms of biological processes. Of the 7,481 such gene sets, only 10 with the most significant enrichment are shown. Nominal enrichment *P*-values calculated by Fisher's exact tests are indicated. The error bars represent a 95% confidence interval. The ones in red denote significant enrichment (adjusted P < 0.05) after Bonferroni correction.

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Figure 5. Transcriptomic analyses of modifier and 22q11.2 genes in brain
development. a. Enrichment of coexpression among modifier genes in brain development.
In each spatiotemporal combination, we tested whether modifier genes tended to be
coexpressed using permutation tests. b. Enrichment of coexpression between modifier and

22q11.2 genes in brain development. In each spatiotemporal combination, we tested 882 883 whether modifier genes tended to be coexpressed with 22q11.2 genes using logistic regression. c-f. Concurrent enrichment of modifier and 22q-SB-PPI genes in modules of 884 885 P7-9/R1, P8-10/R1, P9-11/R1, and P10-11/R1. The heatmap shows the enrichment of cell 886 markers among module genes for different cell types (only relevant cell types with at least 50 marker genes cataloged in CellMarker⁴¹ were considered). N: Neuron. A: Astrocyte. M: 887 Macrophage. O: Oligodendrocyte. g. Contribution of specific 22q11.2 genes to the 888 889 enrichment of 22q-SB-PPI genes in M3p7-9/R1, M4p9-11/R1, and M1p10-11/R1. One, two, and 890 three asterisks denote Bonferroni adjusted P < 0.05, < 0.01 and < 0.001 for 138 tests (46) 891 22q11.2 genes and 3 modules), respectively.