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2	Assessment of exhaled pathogenic droplet dispersion and indoor-outdoor exposure
3	risk in urban street with naturally-ventilated buildings
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21 Abstract

22 Outdoor droplet exposure risk is generally regarded much smaller than that indoor, 23 but such indoor-outdoor assessment and comparison are still rare. By coupling indoor and 24 outdoor environments, we numerically simulate the ventilation and dispersion of exhaled 25 pathogenic droplets (e.g., diameter $d=10\mu m$) within typical street canyon (outdoor, aspect 26 ratio H/W=1) and each room (indoor) of two eight-floor single-sided naturally-ventilated 27 buildings. Inhaled fraction (IF) and suspended fraction (SF) between two face-to-face 28 people are calculated to quantify and compare the human-to-human exposure risk in all 16 29 rooms (indoor) on eight floors and those at two outdoor sites. Numerical simulations are 30 validated well by wind tunnel experiments.

Results show that, the rooms in the 1st and 8th floors attain greater air change rate per 31 hour (~4.5-6.6h⁻¹) and the lower exposure risk (*IF*~2-4ppm) than the 2nd-7th floors (air 32 33 change rate per hour~1.6-5.3h⁻¹, *IF*~4-11ppm). Although inter-floor droplet dispersion 34 exists, the room with index patient attains 2-4 order greater exposure risk than the other 35 rooms without index patient. When the index patient stays outdoor, outdoor IF will change 36 with locations, i.e. ~55ppm at leeward corner (even exceeding indoor IF~2-11ppm), and 37 ~7ppm at middle street. Hence, the outdoor infection risk should not be ignored especially 38 for people at leeward street corner where small vortex exists inducing local weak 39 ventilation. Particularly, outdoor IF is decided by short-distance spraying droplet exposure 40 (~1m) and long-route airborne transmissions by the main recirculation through entire street 41 canyon (~50-100m).

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43 Key Words: street canyon, air change rate per hour (ACH), indoor and outdoor, droplet

44 dispersion, exposure risk

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46 Environmental implication

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Respiratory infectious diseases can spread indoor and outdoor by exhaled droplets carrying pathogenic bacteria/viruses. Most researches emphasize indoor exposure risk (e.g. isolation room, airplane cabins, restaurants, coach buses etc). However, outdoor exposure risk assessments are still rare. The comparison of infection risks between indoor and outdoor environments also requires further investigations.

We innovatively simulate/discuss the ventilation and exhaled pathogenic droplets dispersion(10 μ m) in rooms of 8-floor naturally-ventilated buildings (indoor) and street canyons (outdoor). Inhaled fraction (*IF*) is calculated to evaluate human-to-human exposure risk. Results indicate that indoor *IF* are 2-11ppm, but outdoor *IF* varies with locations, i.e. ~55ppm in leeward corner (exceeding indoor *IF*), ~7ppm at middle street.

58

59 **1 Introduction**

Respiratory infectious diseases, such as SARS in 2003, global influenza in 2009, 60 the Middle East Respiratory Syndrome (MERS) in 2012, and COVID-19 in 2019-2022, 61 62 have been indicated to spread rapidly among people by pathogen-laden droplet 63 transmissions [1], which seriously threatens public health. Currently, there are more 64 than 610 million confirmed COVID-19 cases worldwide, including over 6 million 65 deaths [2]. Therefore, it has become an important scientific issue to study the pathogen-66 laden droplet dispersion and human-to-human exposure risks. 67 Numerous experiments and numerical simulations have been developed to study 68 the mechanisms of droplet transmission in various indoor environments, including 69 hospital isolation rooms [3-5], airplane cabins [6-8], coach buses [9-11]), within and 70 between naturally-ventilated buildings with cross-corridor transmission and that 71 between flats [12, 13]. Tung et al. [14] experimentally investigated contaminant 72 dispersion in an isolation room with different ventilation rates and negative pressure 73 differentials. Based on the experimental studies [15], Gupta et al. [6] found that airborne 74 transmission of respiratory infectious diseases could occur in an aircraft cabin. Cheng 75 et al. [12] investigated the cross-corridor transmission of SARS-CoV-2 due to cross 76 airflows, suggesting a high exposure risk at downstream flats under a prevailing wind,

with higher risk when the doors or windows connected to the corridor were open. These findings suggest that the exposure risk of airborne transmission is influenced significantly by ventilation airflow patterns in indoor environment. Moreover, the indoor ventilation airflow pattern is mainly controlled by the supply air and relative positions of the inlet and outlet, since the ambient wind speed is relatively less important. Besides, Ventilation pattern, buoyancy force induced by thermal bodies produces significant upward airflow (~0.1m/s), significantly impact on indoor airflow
patterns [16, 17]. Airborne transmission and infection risk between flats and different
floors are assessed for residential building models with wind-driven ventilation [18],
buoyancy-driven ventilation [13] and for street canyons by coupling indoor and outdoor
[19]. But they did not compare the infection risk between flats and that in local rooms
with index patient.

89 For outdoor places, most previous researches emphasized the dispersion of inert 90 or reactive gaseous pollutants and particles as well as pollutant exposure in urban 91 environments [20-25], but few investigate the dispersion of exhaled droplets from 92 human breathing activities in outdoor urban space which may evaporate and is a kind 93 of hazardous material carrying infectious bacterial or viruses. In recent years, limited 94 researches explore droplet evaporation/dispersion in an outdoor open space [26-27] and 95 a street canyon [28]. They found that human-to-human infection risks outdoor cannot 96 be neglected when the index patient locates in the upstream regions of other people... 97 However, there are occasional reports of outdoor infection, which suggests a probable 98 of outdoor airborne transmission. Blocken et al. [26] have indicated the influence of 99 wind speed on the outdoor social distance between two moving pedestrians by posing 100 different levels of airborne infection risk. Yang et al. [27] have numerically investigated 101 the transmission of solid-liquid droplets between two standing people in an open 102 outdoor environment. They suggested that people in outdoors should not only keep a 103 more than 1.5 m social distance from each other, but also avoid standing in the 104 downstream region of infected persons. Fan et al. [28] have numerically simulated the 105 interpersonal droplet transmission between two people in a two-dimensional street 106 canyon (H/W=2.4). They suggested a 2 m social distance for pedestrians in deep urban 107 street canyons with high winds, while 4 m with low wind speed (WS) and small relative humidity (*RH*). Hence, the outdoor transient environmental conditions, such as *WS*, temperature and *RH*, are important to determine the airborne transmission risk for outdoor places [29]. Among them, ventilation and buoyancy force respectively driven by wind and temperature differential are key to transport and dilute outdoor airborne droplets and droplets [30], which may penetrate to the nearby buildings with openings, and subsequently expose people there to airborne droplets, and vice versa [31].

However, there is rare research to compare and quantify exhaled droplet dispersion and the related human-to-human infection risk analysis in urban street canyon and ventilated buildings by coupling indoor and outdoor. Some questions are still not clear, for instance: 1) Is outdoor exposure risk is definitely smaller than indoor? What difference between them? 2) Exposure risk between flats and buildings has not been compared with that in the target room with index patient. 3) The exposure risk due to transmissions from outdoor to indoor is still unclear.

121 In addition, according to the literature, droplet initial size, ambient temperature 122 and relative humidity, background wind speed are the key factors of droplet dispersion [16-17, 27-28, 32]. Here we considered the specific conditions where the affecting 123 124 ambient environment parameters including air temperature (300 K), RH (35%), WS (3 125 m/s), and airflow pattern [11, 32-33]. The physical and chemical characteristics of 126 droplets (e.g., the initial size (10µm), initial velocity, the droplet components, etc) were 127 also considered as significant factors to affect the spread of respiratory infectious 128 diseases [24, 34-35].

129 This study aims to address the gap in the literature about ventilation performance 130 and droplet dispersion in the indoor and outdoor coupling street canyon model with 131 naturally-ventilated buildings, which can simultaneously consider the droplet $\frac{6}{33}$ dispersion in outdoors (urban street canyon) and indoors (the nearby building rooms).
In this study, we comprehensively assessed the droplet dispersion in urban street
canyons, evaluating the possibility of airborne transmission among different room of
multi-floor buildings, street canyons and those between them.

136

137 2 Methodology

138 **2.1 CFD validation study**

139 2.1.1. CFD validation and grid independent tests in street canyons

To evaluate the numerical accuracy of ventilation simulations in the 2-D street canyon, we compared the flow field of CFD simulations with the wind tunnel experiments in University of Gavle, Sweden [20]. The placements of wind tunnel experiments are displayed in Fig.1a. There are 25 rows of building models in the working section, which is 11 m long, 3 m wide, and 1.5 m high. Velocity profiles at Line A between the 12th and 13th buildings and Line B above the 12th building are measured to be used in the subsequent CFD computations.

The geometric dimension of the wind tunnel model is the building height H = 0.12m, the street width is equal to the building width (W = B = 0.05 m), and the scale ratio to the full-size street canyon model is 1:200 (H = 24 m, W = B = 10 m, Fig. 1b). The approaching wind is perpendicular to the street array, with the reference velocity U_{ref} = 13 m/s at z = H in far upstream free flow. The corresponding Reynolds number (Re = $U_{ref}H/v$, $v = 1.46 \times 10^{-5}$ m²/s) is 106,849, big enough to satisfy the Reynolds independence. In the present CFD simulation, zero normal gradient boundary 154 conditions are adopted at the domain outlet (i.e., outflow), domain roof and lateral 155 boundaries (i.e., symmetry). Fig.1c-d illustrate the stream-wise velocity (u(z)) 156 components and turbulence kinetic energy (k(z)) along Line B, which is measured by 157 Laser Doppler Anemometry (LDA) System to provide the domain inlet boundary under 158 $U_{ref} = 13$ m/s.

159 Grid independent tests have been done by different minimum grid sizes near the 160 building walls (Fig. 2), named as fine grid (0.05 m), medium grid (0.1 m) and coarse grid (0.2 m). And the expansion ratio is 1.0-1.2 which is smaller than 1.3 satisfying the 161 162 requirement by the literature of CFD guideline [36-37]. It shows that there is tiny 163 difference among the results of the three different grid arrangements. In order to ensure 164 accuracy and save computational time, the medium grid will be adopted to evaluate the 165 flow field in the following cases. High correlation coefficient (R~0.993), low normalized mean square error (NMSE~0.004) and low fractional bias (FB~0.017) have 166 167 been found between experiments data and simulation results, which shows that the predicted stream-wise velocity (u(z)) profiles agree well with the measured data. The 168 169 numerical simulation results also indicate that the stream-wise velocity is positive 170 above z = 0.5H while negative below it. This confirms that one main vortex appears as 171 H/W = 2.4 with sufficiently large Re (>> 11,000) [38-40].

The coupled approach means simultaneously simulating both indoor and outdoor environments in a single computational domain. Our previous research [41] has validated that the couple approach can perform well in evaluating the indoor and outdoor ventilation [36-37,42]. Hence, it can be reasonably applied in the following 176 investigation.

177

178 **2.1.2.** Validation of building ventilation

Another measurement of indoor-outdoor airflow is also carried out in the aforementioned wind tunnel. A four-floor building with single-sided natural ventilation is located in the target measurement area (Fig.3a). Each floor is divided into two rooms by a partition in the middle, and the only window of each room is perpendicular to the incoming flow. Steam-wise (U_x) and vertical (U_z) velocity components profiles along Line PA and Line PB around the building are measured (Fig.3a) to evaluate the simulation performance of building ventilation.

In the following validation, we build a model according to the wind tunnel experiment (Fig.3b) with a scale ratio of 50:1 to wind tunnel models. The single-sided natural ventilation building is 5H, 5H, and 10H away from the domain inlet, lateral sides and domain outlet. For the domain inlet, the measured profiles of velocity (u) and turbulent parameters (turbulence kinetic energy (k) and its dissipation rate (ε)) are provided in the upstream free flow (Fig.3c-d).

Fig.4a-b compares wind tunnel data and CFD results in terms of the stream-wise and vertical velocity components along Line PA and Line PB. It indicates that simulation results can predict the flow before and behind the building. While the results also show that the simulation results in Line PB above the building deviate from the measurement data to some extent (1 < z/H < 1.4). Such phenomenon can be attributed to that the RNG *k-\varepsilon* model has some shortcomings in describing the airflow in the corner area [43]. However, on the whole view, the CFD simulation model validated above is ahelpful tool in the following investigation.

- 200
- 201 **2.2 CFD modelling setups**

There are two ways in indoor-outdoor flow simulations, namely decoupled and coupled approaches [44-46]. The decoupled approach simulates the indoor and outdoor airflow separately in different computational domains. While, the coupled approach builds indoor and outdoor environments in a single domain, which has been applied in our study on the indoor-outdoor ventilation and droplet dispersion.

207 Fig. 5a depicts the simplified street canyon in CFD simulation. The building height 208 (H) and the street width (W) are 24 m. The span-wise length (y-direction) of the street 209 canyon (L) and the building width (B) are 20 m. A typical street canyon is displayed in 210 the front and rear of the target building to serve as roughness elements in the urban 211 boundary layer [17, 47-49]. There are eight floors, and each floor is 3 m high with a 0.3 212 m thick floor slab in the near-road building. The wall thickness is 0.3 m and the size of 213 each room is 5.7 m×4 m×2.7 m (length × width × height). We considered the natural 214 ventilation of single-sided buildings with an opening $(1.5 \text{ m} \times 1.5 \text{ m})$ in the wall of every 215 windward or leeward room. In order to investigate the exposure risk of the susceptible 216 person who stays in the same room with the infected, we set two face-to-face people in 217 a single room, with one susceptible and the other infected. The distance between them 218 is 1.5 m which is the recommended smallest safe distance in Liu et al. [50]. In most 219 cities, there are pedestrian streets for people to walk and shop in, and these places seem 220 to be prone to disease transmission events. Therefore, the following scenarios of people 221 in outdoor environment are considered: two people stand symmetrically along the

222 central plane (y = 0) of the street canyon, with a distance of 0.5 m away from the nearby 223 building leeward and in the middle of the canyon. Detailed information of building and 224 human model has been illustrated in Fig.5a-b.

225 Mesh information on the central plane and manikin is provided in Fig. 5c, where 226 the grid size of the mouth is 0.005 m, and those of other parts of manikin are 0.05 m. 227 The medium grid arrangement (0.1 m) with the expansion ratio of 1.05-1.15 is adopted 228 near the building walls with the total grids of about 11 million. At the domain inlet, the 229 vertical profiles of velocity ($U_x(z)$), turbulent kinetic energy (k(z)) and turbulent 230 dissipation rate ($\varepsilon(z)$) are calculated as follows [51]:

$$U_{\rm x}(z) = U_{ref} (\frac{z - H}{z_{ref}})^{0.22}$$
(1a)

$$k(z) = (U_x(z) \times I_{in})^2 \tag{1b}$$

$$\varepsilon(z) = \frac{C_{\mu}^{3/4} K^{3/2}}{k(z)}$$
(1c)

Where the reference velocity $U_{ref} = 3$ m/s. The building height *H* equals to the reference height z_{ref} which is 24 m in this study. The turbulence intensity $I_{in} = 0.1$. Von Karman constant *K*=0.41 and C_{μ} =0.09 are empirical constants. At the lateral, upper and outlet boundaries of the computational domain, the normal velocity component and normal gradients of tangential velocity components are set to zero, i.e., zero normal gradient. Background temperature is set to 300 K (26.85 °C), and the heat flux of the body surface is 58 W/m² [3].

There are two groups of numerical settings on breathing activities in previous researches: i) In the first group, the droplets are released periodically during a period like unsteady/transient breathing, talking, coughing, speech [15, 33, 50] etc. ii) In the second group, some literature utilized the mean and constant expiration flow rate as exhale boundary, and the droplet dispersion is also released continuously [9, 10, 52]. This simplification can not only effectively predict the droplet dispersion characteristics but also efficiently mitigate the cost of computational resources. For simplification, this study considers the respiratory activity that the infected person only exhales and the susceptible person only inhales with the mass flow rate of 1.225×10^{-4} kg/s [11, 27].

The governing equations are discretized to algebraic on a staged grid system based on the finite volume method. SIMPLE algorithm is applied to couple pressure and velocity. Standard wall function has been used in near wall treatment. The convection and diffusion terms are discretized with second-order upwind scheme. Convergence is assumed to be obtained when residuals of *x*, *y* and *z* momentum are stably smaller than 10^{-6} , 10^{-5} for *k*, and 10^{-4} for ε and continuity [53].

In this study, droplets with the initial diameter of 10 μ m are composed of 90% liquid (water) and 10% solid elements (sodium chloride) [54]. The density of water liquid and sodium chloride are 1000 kg·m⁻³ and 2170 kg·m⁻³ respectively and the droplet density follow the volume weighted mixing law. The Lagrangian method is adopted to solve the motion equation of a single droplet. According to Newton's second law, the equation of droplet movement can be written as Eq. (3):

$$\frac{\mathrm{d}u_{\mathrm{pi}}}{\mathrm{dt}} = \sum F_i = F_{drag,i} + F_{g,i} + F_{a,i}$$

$$F_{drag,i} = f_D(u_i - u_{p,i})/\tau_p$$
(3a)

$$= 18\mu(u_i - u_{p,i})(1 + 0.15Re_p^{0.687})/(\rho_p d_p^2 C_c)$$
(3b)

$$F_{g,i} = g_i (\rho_p - \rho) / \rho_p \tag{3c}$$

where u_{pi} and u_i are the droplet and the air velocity vector respectively (m/s), $\sum F_i$ is all external forces exerted on the droplet per unit droplet mass (m/s²) in the *i* direction. $F_{drag,i}$, $F_{g,i}$, $F_{a,i}$ respectively represent the drag force, gravity and the additional forces on the droplet [55-56]. f_D is the Stoke's drag modification function 263 for large aerosol Reynolds number (Re_p) and τ_p is the aerosol characteristic response time (s). ρ_p and ρ are the droplet and air density (kg·m⁻³). d_p is the droplet diameter 264 (m) and μ is the turbulent viscosity (kg·m^-1·s^-1). C_c is the Cunningham correction to 265 266 Stokes drag law. g_i is the acceleration of gravity in the *i* direction. $F_{a,i}$ is the additional 267 forces consisting of the pressure force, virtual mass force, Brownian force, and 268 Saffman's lift force. Among them, the pressure force and virtual mass force are 269 sufficiently small for indoor and outdoor droplet dispersion and so they are ignored 270 according to the literature [47,55,57], thus this paper only considered the Brownian 271 force and Saffman's lift force.

272 The dispersion of droplets owing to turbulent flows was predicted using the 273 discrete random walk model-DRM. In the computational domain, the interaction 274 between particles and airstreams is calculated as one-way coupling (i.e. the influence 275 of droplets themselves on turbulent airflow is negligible) to save computational load. 276 Droplet boundary conditions are list in Table. 1, the droplet will be inhaled by the 277 susceptible person (the person shaded with yellow in Fig.5a) who located at each floor 278 and the canyon, e.g. those droplet escape from the domain through the human mouth. 279 The building wall and human body surface are thought to be rough and the droplet will 280 deposit on these surfaces. If the droplet be inhaled by human or be trapped by subject, 281 their calculation of trajectories is terminated.

After the steady flow field was obtained, respiratory droplets have released from the direction perpendicular to the index patient mouth at a rate of 44 droplets per time step (0.01s for a time step) for 15 minutes, totaling 3,960,000 number of droplets. At this stage, the diffusion range remains stable, and the normalized constants—inhaled fraction (*IF*) and suspended fraction (*SF*) are calculated as follows:

$IF = N_{inhaled} / N_{total}$		(3a)
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 $SF = N_{suspended}/N_{total}$ (3b)

287	Where $N_{inhaled}$ and $N_{suspended}$ are the number of the droplets/droplet nuclei inhaled
288	by the susceptible person and suspended in rooms, respectively. N_{total} is the total number
289	of droplets released from the infected person's mouth and nose. This study investigates
290	a total of 18 investigated cases according to the different location of the index patient,
291	i.e., respectively eight cases when index patient in windward and leeward floors
292	(indoors) and two cases in different site of canyon (outdoors) (Table. 2). Supported by
293	the National Supercomputer Center in Guangzhou, all CFD simulations were finished
294	on Tianhe II supercomputer.
295	
296	3 Results and discussion
297	3.1 Flow field and ventilation capability in street canyons and indoors

298 As depicted in Fig. 6a, there is a clockwise vortex in the street canyon. Vertical 299 movement can be found near the windward side and leeward side of the building wall, 300 and the streamlines are basically parallel to the building wall except in the corner. The 301 normalized velocity at the pedestrian level (Fig. 6b) shows that the largest wind speed 302 $(\approx 1.05 \text{ m/s})$ is appeared in the middle of the canyon (about twice the area near the 303 buildings on both sides of the canyon), and fluctuations are produced in the corner of 304 the first floors of the windward and leeward side buildings. The changing wind speed and direction in the corner will influence the droplet dispersion in outdoors and in the 305 306 low-level indoor rooms.

307 Indoor temperature and velocity distribution have been displayed in Fig. 6c-d. It 308 shows that the indoor temperature is higher in the top than in the bottom due to the body thermal plume -- an updraft around the human body. It appears that the 2nd to 7th floors 309 310 in the leeward building and the 4th to 6th floors in the windward building experience a 311 higher average temperature than other floors, due to the limitation of air change rate 312 between indoor and outdoor. Fig.6d indicate that body thermal plume greatly impacts 313 the indoor airflow. The airflow velocity is smaller than 0.1 m/s in most indoor spaces 314 but about 0.2 m/s above the head. In general, the wind velocity in windward rooms is 315 larger than that in leeward rooms, leading to a higher temperature and weaker 316 ventilation performance in leeward rooms. The airflow pattern of the same side rooms 317 is similar, with the air entering the room from the lower part of the window, then 318 forming a weak vortex between the person and the window. There is a uniform upward 319 flow between the two people, and then the air is discharged from the upper part of the 320 window.

321 Fig. 6e displays the air change rates per hour for purging flow rate (ACH_{PFR}) in all 322 windward and leeward rooms, which is used to evaluate overall ventilation capacities. 323 For floors with the same height, the ACH_{PFR} in windward is greater than that in leeward, 324 which is consistent with the velocity distribution. For different floors, the near ground 325 floors (1st floor) and top floor (8th floor) have the highest ACH_{PFR} than middle floors (2nd-7th floors) for both windward and leeward buildings of the canyon. In order to 326 327 make the subsequent analysis more convenient, we divide all rooms into the following three categories according to the indoor ACH_{PFR}. One is the lower part of the street 328

canyon (the 1st floor), where the turbulence fluctuation makes good ventilation 329 $(ACH_{PFR} \sim 6.57 \text{ h}^{-1} \text{ and } 4.50 \text{ h}^{-1} \text{ respectively in windward and leeward } 1^{\text{st}} \text{ floor})$; The 330 other is the middle floors of the street canyon (the $2^{nd}-6^{th}$ floors), where the parallel 331 332 wind to the window lead to small ACH_{PFR} , with the average ACH_{PFR} of about 3.28 h⁻¹ and 1.97 h⁻¹ respectively in windward and leeward middle rooms; The last is the high 333 floors (the 7^{th} and 8^{th} floors) which hold better ventilation, with about 5.94 h⁻¹ and 4.97 334 h⁻¹ on windward and leeward 8th floors, respectively, due to the high wind speed at the 335 upper corner of the canyon vortex. 336

337

338 **3.2 Exposure risk analysis when index patient indoors**

Our previous research [11, 27] has found that droplet diffusion characteristics and range are dominated by the interplay of the airflow and ambient temperature. Therefore, we selected three floors (1st, 5th, 8th floors) as a representative for analysis from the aforementioned three types: lower part, middle floors and high floors. The droplet distribution characteristics will be similar in rooms of the same type.

Fig.7 displays the dispersion process of droplets with an initial particle size of 10 μ m when the infected person is in windward and leeward side floors, taking 1st, 5th, 8th floors for example. It indicates that the droplets move following the indoor and outdoor airflows because they evaporate rapidly into 3.64 µm nuclei (~0.1 s) in a dry environment of relative humidity *RH* = 35% which is similar with the finding in our previous studies [11, 27]. We find that most of the droplet nuclei are still suspended in the room where the patient is located, and a small number of them disperse to the street 351 canyon and then enter other rooms. For both windward and leeward, the rising and 352 circulating air flow carries the droplet nuclei to the window and then outdoors. A part 353 of them reenter to the room due to turbulence at the window and fill the whole room 354 over time. The results agree well with Zhang and Li [55] who simulated 48 thermal 355 manikins in a high-speed rail cabin, suggesting that the exhaled droplets tended to 356 follow the upward body thermal plume and could directly enter the upper zone.

Droplets released by the patient on the windward 1st and 8th floors may disperse to 357 the street canyon more quickly than those released on the windward 5th floor. When the 358 patient is on the windward 1st floor, the droplet nuclei escaped from the room will join 359 360 the fluctuation in the corner of the windward building (Fig. 6(a)), then move up to the height of the 3rd floor. Therefore, when the patient is on the windward 1st floor, the 361 pathogen-laden droplets can spread to windward 2nd and 3rd floors. When the patient is 362 on the windward 8st floor, the droplet nuclei dispersed to the street canyon will re-entry 363 to the lower floor rooms of the same building, which agrees well with Ai et al. [56]. 364 When the infected person is located in leeward rooms, the number of droplet nuclei 365 reentering the rooms is less than that on the windward side rooms. Especially when the 366 patient is on the leeward 8th floor, the releasing droplets will disperse to the urban 367 368 boundary layer due to the near building upward airflow. Contrary to the case of the 369 patient in windward rooms, the droplet nuclei are more likely to disperse to the urban 370 boundary layer, thereby reducing the number of droplets dragged into the target street 371 canyon.

372

On one hand, the exposure risk will be greatly increased for the susceptible person

373	staying in the same room with the infected person. Thus, we discuss the circumstance
374	when the susceptible person is in the same room with the infected person and the
375	resulting exposure risk (IF) of the susceptible person (Fig.8). From this figure, we can
376	find that the IF of the susceptible person in the windward rooms are larger than those
377	in the leeward except rooms on the 2^{nd} and 3^{rd} floors, which is consistent with the wind
378	velocity distribution. It is found that IF of the 1^{st} floor (IF~4.29 ppm and 1.77 ppm in
379	windward and leeward, respectively) and of the 8^{th} floor (<i>IF</i> ~3.03 ppm and 1.77 ppm
380	in windward and leeward, respectively) are smaller than those of other floors. IF of
381	floors 4 to 7 are similar (e.g., IF in leeward 4 th to 7 th floors is 3.54 to 6.57ppm). Overall,
382	when a susceptible person is in the same room with the infected person, the most
383	dangerous situation is that they are located on the 6 th floor on the windward side and
384	the 2 nd and 3 rd floors on the leeward side.

385 On the other hand, the droplets spreading to other rooms will be suspended in the air, leading to the risk of infection to people on other floor or in the canyon, hence there 386 387 is a need for a further count of the suspended droplet nuclei in each room when the 388 patient is on various floors. SF of various rooms when the patient is on different floors have been listed in Table.3. Note that all data in the table are in ppm. The double 389 390 underlined data are SF in the rooms where the infected is located, and the data marked 391 with orange highlight the relatively larger SF (i.e., greater than 50 ppm). SF in the patient's room is the highest, reaching up to 10^4 ppm, which is around 2-4 orders larger 392 393 than rooms at other floors without patient. Moreover, the larger wind speed and better ventilation ($ACH_{PFR} \sim 6.57$ h⁻¹ and 4.50 h⁻¹ respectively in windward and leeward 1st 394

floor, and 5.94 h⁻¹ and 4.97 h⁻¹ in windward and leeward 8th floors) in upper/lower floor carry more droplets entering the canyon, and the exposure risk in these floors is small (e.g., $SF \sim 1.55 \times 10^4$ -2.12×10⁴ ppm).

398 From the aforementioned droplet dispersion process, we know that there is an upward movement near the building on windward 1st-3rd floors. Therefore, when the 399 infected is on the windward 1st or 2nd floor, in addition to the floor where the infected 400 person is located, the 2nd and 3rd floors are also the key areas for prevention and 401 402 measures. The SF can reach 572.22 ppm when the infected is on the windward 1st floor. When the infected is on the windward 3rd or 4th floor, there is a small ratio of droplets 403 404 reentering other floors following the ambient vortexes. It also shows that when the infected is located above the 3rd floor on the windward side, the floor below it will be 405 406 affected by the airflow, and the floor closed to the source room may have the greatest impact, due to the downward transport induced by the combination of gravity and wind 407 408 effects [57-58]. When the droplets are released from the leeward floor, the main affected 409 area is the room on the upper floor due to the near building upward airflow induced by 410 the canyon vortex. Generally speaking, when the infected person is in the windward side rooms, the impact range is not only on the floor on its own side, but also spread to 411 412 the upstream buildings (i.e., leeward side rooms) with the airflow. The average SF in the street canyon is 0.81×10^4 ppm, which is more than three times that of when the 413 infected person is in the leeward side rooms (the average is 0.23×10^4 ppm). 414

415

416 **3.3 Exposure risk analysis when index patient stays outdoors**

It is suggested that staying outdoors may have a much lower infection risk compared with the indoor environment [59]. Nevertheless, an increasing number of cases of infection shows that there is also a risk of infection outdoors [60-61], and it is important to assess the infection risk in outdoor activities.

421 Fig.9 and Table. 4 illustrate the dispersion process when the infected person is 422 outdoors. In terms of the susceptible person facing to the infected person outdoors, the 423 exposure includes the short spraying transmission (~1m) and the long distance 424 transmission through the entire canyon (~100m). Droplet inhaled here are the total 425 inhaled number by the susceptible person during the calculation period. In general, the 426 number of inhaled droplets is rather small, but large quantities of droplets circulate 427 around, increasing the possibility of inhalation and there are also some differences to 428 some extent in the two outdoor situations. When the infected person is on the leeward 429 corner of the canyon where local urban wind is weak (Fig. 9a), the upward body thermal 430 plume can be clearly found around human, and the relatively small flow in leeward-431 side corner of the street canyon will result in a larger number of droplets inhaled by the 432 susceptible person (IF is 54.8ppm), which is short spraying exposure. When further 433 considering the situation of two people staying in the middle of the canyon (Fig.9b), 434 the parallel and much larger airflow passes between the infected and susceptible persons. In this situation, the IF is 7.07 ppm, smaller than that of people in leeward corner, which 435 436 may be caused by the local wind speed and direction. When the susceptible and infected people all stay outdoors, compared with all staying indoors, the susceptible people are 437 438 relatively safe in the middle, while they are more dangerous when they are all on the 439 leeward side of the canyon.

440 The outdoor velocity is much greater than the indoor velocity (e.g., the greatest 441 velocity at the pedestrian level is seven times larger than indoors, Fig.6a-d). Besides, 442 the droplets will join the canyon vortex, resulting in a fast diffusion speed and a wide range of droplets. When the infection source exists in the street canyon, it is surprising 443 444 that the floors with better natural ventilation have higher indoor droplet concentrations 445 due to more frequent indoor and outdoor air exchange, which will also increase the infection risk of indoor people. Therefore, although the ventilation of the 4th-6th floors 446 is worse than that of other floors, the SF (31.06 ppm-68.18 ppm) is much smaller than 447 448 that of other floors when the infected person is in outdoor environment. Moreover, 449 when the infected person stays in the middle of the canyon, droplets are blown away 450 quickly due to the large wind speed in outdoors, and the number of droplets entering 451 the street-side buildings will be greatly reduced to at least one-fifth of that when in the 452 leeward side of the canyon, leading a little impact on indoor environment.

453

454

4 Limitations and future work

From this study, we found the local airflow and ventilation may be important to the exhaled droplet dispersion in outdoor, resulting in a big difference for different sites in street canyon, relative locations between people outdoor and the background dominant wind direction, which is worth studying in the future. Besides, more complicated processes and factors will be taken into account, for instance, more atmospheric conditions of solar radiation and ambient relative humidity/temperature as well as wind speed/directions under various urban shape (e.g. emphasizing high-density 462 urban area with small distance between buildings). Such CFD simulations coupling
463 turbulence and radiation processes will be evaluated and validated by our recent scaled
464 outdoor experiments (H~1m) on urban airflow in street canyons [62-63] and that
465 coupling indoor and outdoor [64].

In particular, it is noted that the ambient humidity should be interrelated to temperature, which is reflected by the setting of the evaporation model in the simulation. Therefore, further efforts will be made on the more practical evaporation model of droplets.

470 Moreover, as we simplified the breathing to continuously exhaling or inhaling may 471 possibly overestimate the exposure risk, more practical human breathing activities with 472 various droplet initial sizes and velocities should be considered to find out the droplet 473 distribution in the air. Last but not least, more complex and practical droplet 474 composition and breath activity like talking, coughing, speech etc., which related to the 475 number and activity of viruses in the droplet, will be integrated to further evaluate the 476 exposure risk.

477

478 **5** Conclusions

In this work, a coupling model of the indoor and outdoor environment in a target street canyon with two near-road 8-floor buildings was established to evaluate the potential human-to-human exposure risk in a windward building, a leeward building and street canyon when there is an index patient.

483 Some meaningful points are concluded as follows:

484 1)Air change rate (ACH_{PFR}) and wind velocity in windward side rooms is

greater than that in leeward side, with best ventilation at the lower floors and top floors (~4.5-6.6 h⁻¹) and worst ventilation at the middle floors (~1.6-5.3 h⁻¹). The exposure risk in 1st and 8th floor is smaller than that in other floors (e.g., *IF* ~ 2-4 ppm in 1st and 8th floor, and 4-11 ppm in 2nd-7th floors).

489 2)If the infected person is located on different floors in near-road building 490 (indoor), the index patient's room experience the largest *SF* (10^4 ppm), about 2-4 491 orders greater than that in other rooms at other floors without the index patient.

3)When the infected person is in the street canyon (outdoors), the exposure
risk (*IF*) of the face-to-face susceptible person varies among the locations, the
higher risk appears when they both are in the leeward corner (~55 ppm), and 7 ppm
when they are in the middle of the street.

496 4)When the infected person stands on the windward 1^{st} and 2^{nd} floors, the 497 people on the windward 2^{nd} and 3^{rd} floors must pay more attention for prevention 498 work. While the infected person is on the floor above the windward 3^{rd} floor, the 499 floors below it will be affected. When the leeward floor is the release source, the 500 main affected area is the room on the upper floors.

501 Based on the results, it is emphasized that there is high possibility of outdoor 502 human-to-human infection induced by droplet dispersion in weak wind regions of 2D 503 street canyons (e.g. leeward corner), even higher than indoor and should be taken 504 seriously.

505

506

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Appendix: Validation of the buoyancy effect

697 Before cases investigation, a former simulation of buoyancy effect, ventilation 698 mode in a real inpatient ward (Yin et al, 2009) have been conducted to evaluate the 699 accuracy of CFD simulation (Fig. S1a). The isolation room equipped with displace 700 ventilation supply rate of 114 cubic feet per minute (CFM), 19.5°C of temperature, and 701 the 36CFM and 78CFM of rates in the bathroom and main exhausts respectively. 702 Patients, visitors, TV and equipment generate 106W, 110W, 24W and 36W heat 703 respectively. The mesh was generated with the maximum grid size of 5cm, totaling 704 tetrahedral cells of 1.8 million. The measurement locations are marked in Fig. S1b.

705 Velocity, temperature of the experiment and simulation results are compared. Fig. 706 S2 displays the normalized velocity (u/U, U=0.14 m/s is the supply air velocity) and the 707 normalized temperature ($\Theta = (T - T_i)/(T_e - T_i)$, T_i and T_e are the temperature respectively at 708 inlet and main exhaust) along the normalized height (z/H, H=2.7m) is the height of the 709 inpatient ward) in Pole 4 and Pole 5 for example. From the results, it is found that the 710 CFD have a good performance in predicting the velocity and temperature field in this 711 isolation chamber. The Pearson correlations of velocity and temperature are >0.71and >0.94 respectively. The velocity and temperature are slightly overestimate while 712 713 they still show the good agreement of the experiment data. Therefore, the above 714 comparison proves the CFD simulation is an effective tool in simulating the flow field 715 including the buoyancy effect.

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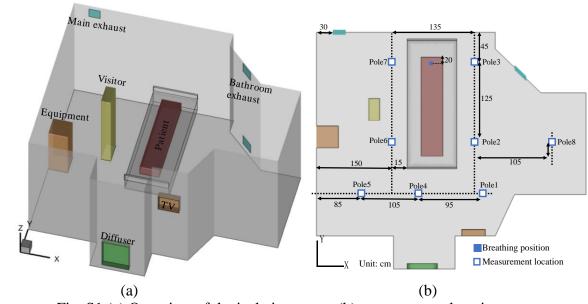
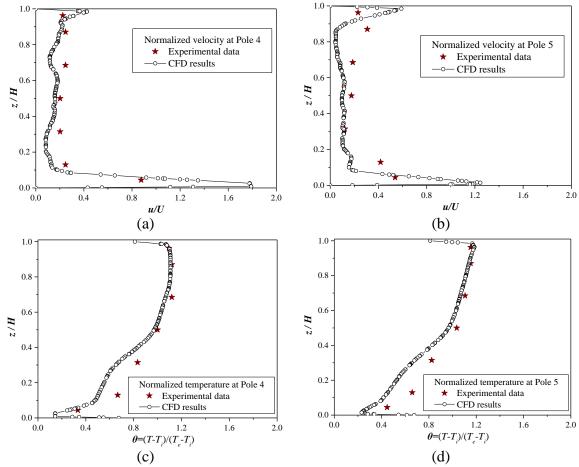
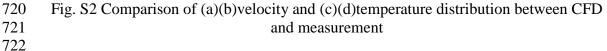




Fig. S1 (a) Overview of the isolation room, (b) measurement location





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Table. 1 Boundary condition setups in CFD simulations.

Boundary name	Boundary condition of airflow	Boundary condition of droplet					
Domain inlet	Velocity inlet , the vertical velocity obeys the exponential profile and temperature is 300°C, and turbulent intensity is 5 %.	Escape (trajectory calculations are terminated here)					
Domain outlet	Outflow	Escape (trajectory calculations are terminated here)					
Domain roof, laterals	Symmetry	Escape (trajectory calculations are terminated here)					
Domain floor, building surfaces	No slip wall	Trap (trajectory calculations are terminated here)					
Mouth of infected patient	Mass-flow-inlet , mass flow rate is 1.225×10^{-4} kg/s (in a direction perpendicular to human mouth), temperature is 308°C.	Escape (trajectory calculations are terminated here)					
Mouth of susceptible person	Mass-flow-outlet , mass flow rate is 1.225×10^{-4} kg/s (in a direction perpendicular to human mouth), temperature is 308°C.	Escape (trajectory calculations are terminated here					
Other body surfaces	No slip wall, heat flux is 58 W/m^2 for each person.	Trap (trajectory calculations are terminated here)					

Table. 2 Parameters and setups for 18 simulations.									
Case Infected patient location									
Case 1-8	Case 1-8 Indoors, 1 st floor to 8 th floor in windward-side building								
Case 9-16	Indoors, 1 st floor to 8 th floor in leeward-side building								
Case 17	Outdoors, leeward side corner of canyon								
Case 18	Outdoors, middle of canyon								

		Patient in indoor different floor													Patie outd				
Floor No.		Windward									Leeward								
		1 st	2 nd	3 rd	4 th	5 th	6 th	7 th	8 th	1 st	2 nd	3 rd	4 th	5 th	6 th	7 th	8 th	leeward	middle
	1 st	<u>1.55×10⁴</u>	2.53	1.26	0.51	1.01	2.02	2.78	1.77	0.51	0.51	0.00	0.00	0.25	0.00	0.51	0.00	44.19	7.07
	2 nd	252.78	2.31×10 ⁴	1.77	2.02	0.76	1.01	1.77	0.51	0.25	1.01	0.00	0.25	0.00	0.25	0.25	0.51	34.09	6.31
	3 rd	572.22	195.45	2.07×10^{4}	7.58	6.57	4.55	7.83	2.27	0.51	1.26	0.00	1.01	0.25	0.00	0.25	1.01	79.80	18.18
	4 th	1.77	1.01	1.52	<u>2.68×10⁴</u>	152.78	75.51	47.22	12.37	1.26	2.27	0.76	0.76	0.00	0.51	1.26	0.25	53.54	9.60
Windward	5 th	3.03	0.00	1.01	0.76	<u>2.74×10⁴</u>	145.20	64.65	15.15	1.77	0.76	0.51	0.25	0.51	0.00	1.26	1.01	52.78	9.85
rooms	6 th	2.27	1.01	2.02	1.52	0.76	<u>2.77×10⁴</u>	182.58	27.27	2.02	0.25	0.00	0.00	0.00	0.00	1.77	0.76	68.18	11.62
	7 th	1.77	1.01	2.78	2.27	2.27	1.77	<u>2.70×10⁴</u>	86.87	3.03	1.26	0.76	2.02	0.51	1.26	2.78	2.53	118.18	16.16
	8 th	3.54	1.77	2.27	5.56	2.53	2.53	2.53	<u>1.81×10⁴</u>	2.53	2.27	1.52	0.76	0.51	1.01	2.53	2.53	157.07	21.46
	average	\	\	\	\	\	\	\	Ţ	1.48	1.20	0.44	0.63	0.25	0.38	0.33	1.07	75.98	12.53
Target ca	nyon	1.26	0.47	0.71	0.77	0.69	0.81	1.17	0.61	0.57	0.31	0.15	0.15	0.09	0.07	0.26	0.27	21.4	4.20
(×10 ⁴))	Average : 0.81								Average : 0.23								/	/
	1 st	9.85	6.82	6.31	7.07	4.80	9.09	6.57	2.27	1.78×10^{4}	0.76	0.25	0.00	0.76	0.51	0.51	1.01	898.23	33.59
	2 nd	7.07	3.54	4.80	4.55	4.29	3.54	4.80	4.04	57.83	<u>2.24×10⁴</u>	0.51	0.76	0.00	0.51	1.26	0.25	318.69	11.62
Looward	3 rd	3.03	2.27	3.03	3.28	0.51	2.02	2.53	1.26	0.51	195.45	<u>2.57×10⁴</u>	0.25	0.00	0.00	0.76	0.25	109.85	12.37
Leeward rooms	4 th	3.03	0.76	1.26	1.01	1.26	2.27	2.02	0.51	1.52	10.35	97.98	<u>2.24×10⁴</u>	0.00	0.00	0.25	0.51	34.60	7.32
1001115	5 th	3.03	0.25	0.76	0.25	0.51	0.76	1.01	0.51	0.51	4.04	19.95	54.80	<u>2.14×10⁴</u>	0.00	0.00	0.51	31.06	7.07
	6 th	1.77	0.00	1.26	0.76	0.51	0.00	0.00	0.25	1.26	2.53	9.85	25.76	34.09	<u>2.52×10⁴</u>	0.00	0.00	38.64	7.07
	7 th	2.02	1.52	2.27	1.26	1.52	3.03	2.27	0.51	2.27	4.29	10.86	17.42	28.54	72.22	<u>2.43×10⁴</u>	0.25	78.79	18.69

Table. 3 Suspended fraction (SF) in each room when patient in different floors. Unit: ppm

8 th	7.58	1.77	2.78	3.79	2.27	5.05	4.80	2.78	1.77	0.76	0.51	1.26	1.01	1.01	10.10	<u>2.12×10⁴</u>	97.98	15.15
average	4.67	2.11	2.81	2.75	1.96	3.22	3.00	1.52	\	\	\	\	\	\	\	Ţ	200.98	14.11

Table 4 IF of the susceptible person when two people in outdoors.

Exposure index	Site L (Leeward side of canyon)	Site M (Middle of canyon)			
IF (inhaled fraction)	54.8ppm	7.07ppm			

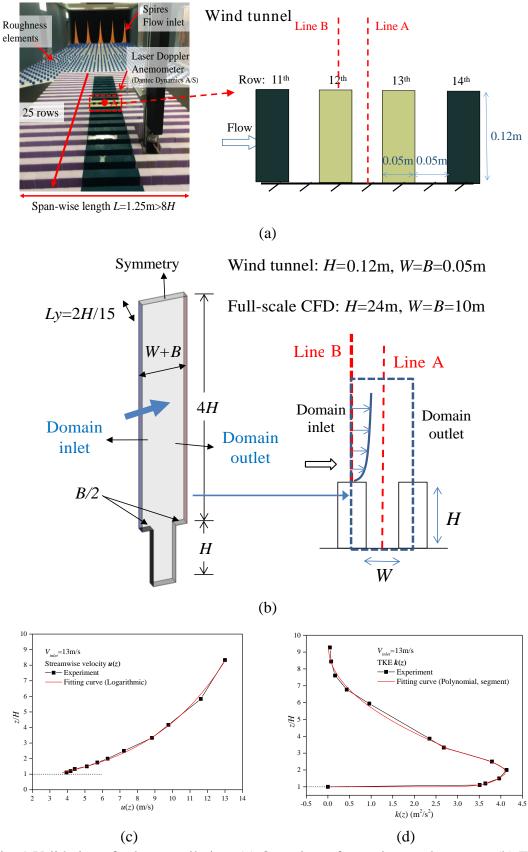


Fig. 1 Validation of urban ventilation. (a) Overview of experiment placement, (b) Full scale model in CFD simulation. Domain inlet boundary condition of (c) stream-wise velocity and (d) turbulence kinetic energy.

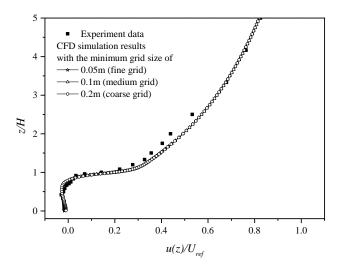
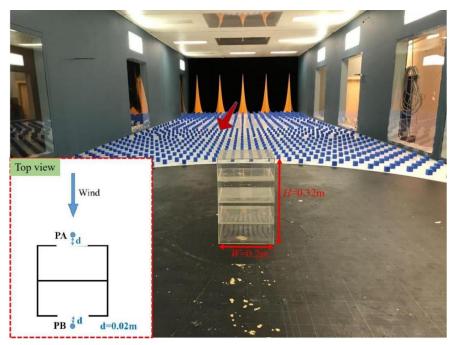


Fig. 2 Comparison of experimental data and CFD simulation results.



(a)

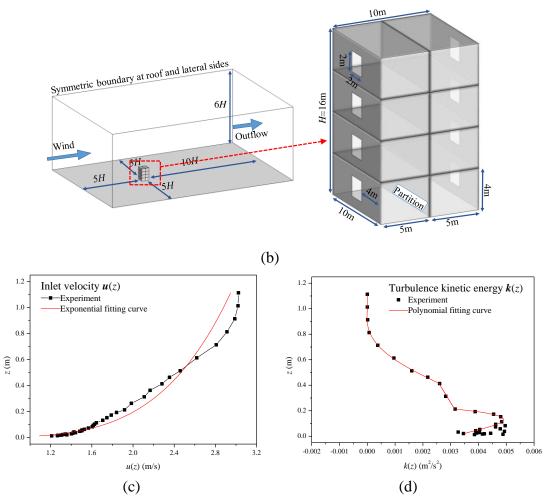
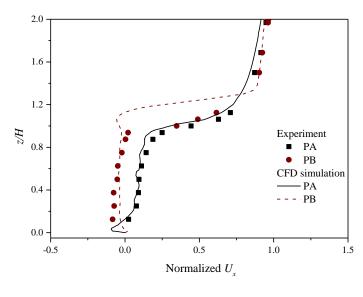


Fig. 3 Validation of indoor and outdoor ventilation. (a) Overview of experiment placement, (b) Full scale model in CFD simulation. Domain inlet boundary condition of (c) stream-wise velocity and (d) turbulence kinetic energy.





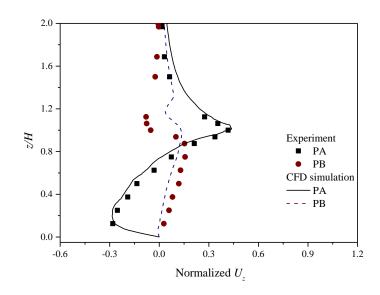




Fig. 4 Results of experiment data comparing to CFD simulation results. (a) Normalized U_x , (b) Normalized U_z

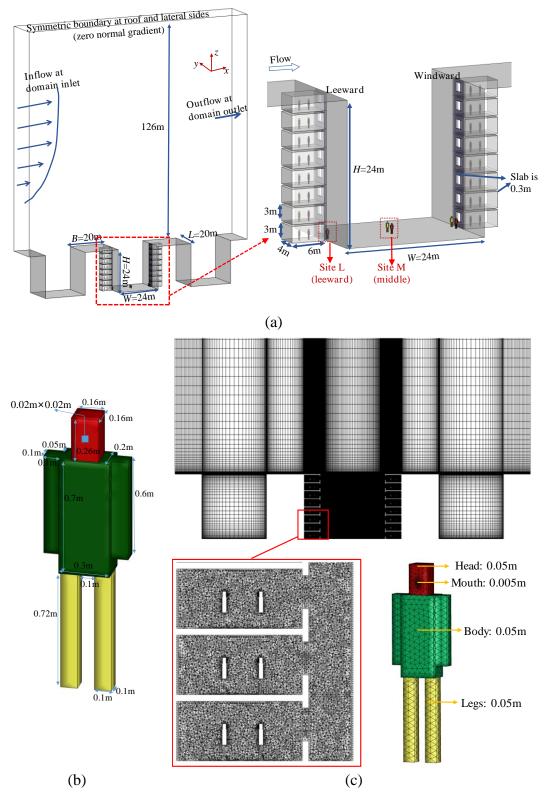
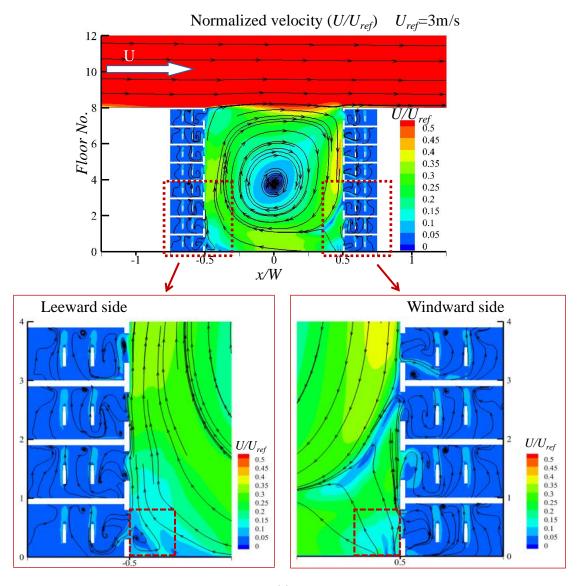
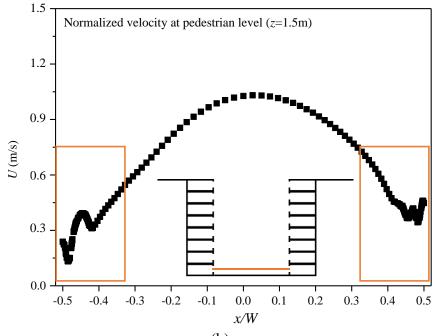


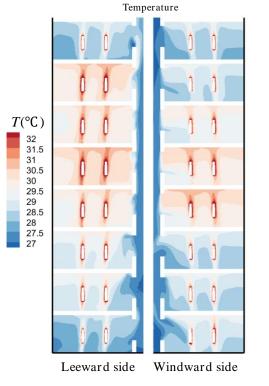
Fig. 5 (a) Urban street canyon in CFD simulation, (b) Detailed information of human model, (c) Grid arrangement on central plane and manikin surface.



(a)

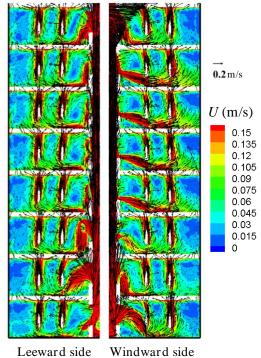


(b)



(c)

Normalized velocity and vector indoor



(d)

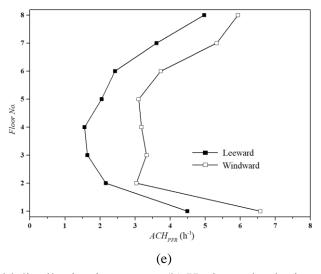


Fig. 6 (a) Flow field distribution in canyon, (b) Horizontal velocity profile at pedestrian breathing height z = 1.5 m, (c) Indoor temperature distribution, (d) Indoor velocity vector superimposed standardized velocity cloud map, (e) Indoor *ACH*_{PFR}.

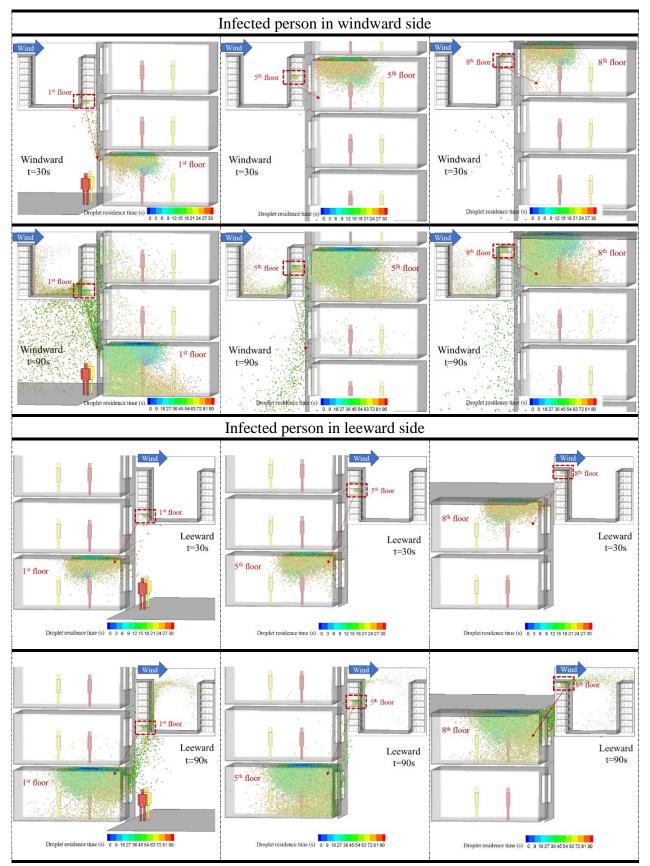


Fig. 7 Dispersion process of droplets with an initial particle size of 10 μ m when infected person in windward side and leeward side floors (*t* = 30 s, 90 s), taking 1st, 5th, 8th as examples.

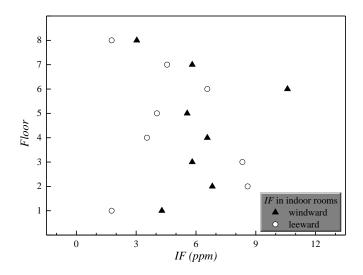


Fig. 8 Inhaled fraction (*IF*) of susceptible person who stay in the same room with the infected person.

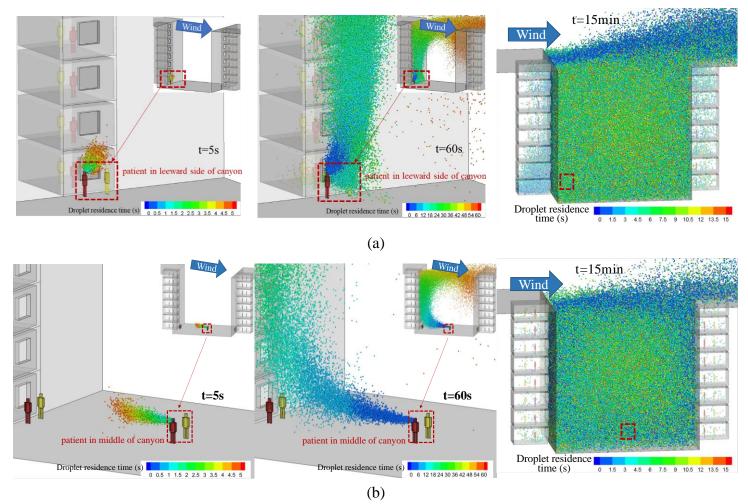


Figure. 9 Dispersion process (t = 5 s, 60 s, 15 min) when patient in (a) leeward side of canyon, (b) middle of canyon