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- 1 A 3D coupled finite element model for simulating mechanical regain
- 2 in self-healing cementitious materials
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- 6 Keywords: Embedded strong discontinuity, Self-healing, Finite element method, Coupled model, Constitutive,
- 7 Damage-healing mechanics, Flow in discrete cracks, Cohesive zone model
- 8 Abstract
- This study presents a new 3D coupled model for simulating self-healing cementitious materials. The 9 10 mechanical behaviour is described using a damage-healing cohesive zone model that is implemented 11 using a new embedded strong discontinuity hexahedral element. The transport component of the model considers the flow of healing agent through discrete cracks, governed by the mass balance 12 13 equation with Darcy's law being employed for the healing agent flux. The dependency of the 14 mechanical response on the healing agent transport is accounted for through a local crack filling 15 function that represents the amount of healing agent available to undergo healing. The healing itself 16 is described by a generalised healing front model that simulates the accumulation of healed material 17 within the crack, emanating from the crack faces. The performance of the model is demonstrated 18 through the consideration of a healing front study and experimental tests on self-healing cementitious 19 specimens. The examples consider a vascular self-healing cementitious specimen that uses a sodium 20 silicate solution as the healing agent and the autogenous healing of a cementitious specimen with and 21 without crystalline admixtures. The results of the validations show that the model is able to reproduce 22 the experimentally observed behaviour with good accuracy.

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## 1. Introduction

The performance of infrastructure materials is greatly hindered by the presence of cracks. This reduction in performance affects both the mechanical behaviour in terms of stiffness and strength, and the durability, as cracks act as pathways for moisture and aggressive ions. Whilst the formation of cracks in cementitious materials is all but inevitable, a great deal of progress has been made on the development of self-healing systems that heal cracks as they form (De Belie *et al.*, 2018). Alongside this, significant progress has been made on the development of numerical models for simulating the self-healing behaviour (Jefferson *et al.*, 2018). Many of the models developed have focussed on mechanical damage-healing behaviour (Voyiadjis, Shojaei and Li, 2011; Zhang and Zhuang, 2018; Esgandani and El-Zein, 2020), though there have been a number that considered the associated transport processes (Aliko-Benítez, Doblaré and Sanz-Herrera, 2015; Chitez and Jefferson, 2016; Gilabert *et al.*, 2017). In addition to this, there is an ever increasing number of models being developed that consider the coupled physical processes governing the self-healing response (Hilloulin *et al.*, 2016; Di Luzio, Ferrara and Krelani, 2018; Rodríguez *et al.*, 2019; Cibelli *et al.*, 2022; Jefferson and Freeman, 2022).

The representation of damage in self-healing models has varied, with many of the earlier studies employing a continuum damage mechanics framework (CDM) (Lemaitre and Desmorat, 2005). In such models, damage is represented using one or more damage variables that express the relative area of micro-cracked material. The extension of the framework to include healing (termed continuum

damage healing mechanics, CDHM) is achieved through the introduction of one or more healing variables that act as a multiplier on the damaged portion of material (Barbero, Greco and Lonetti, 2005; Voyiadjis, Shojaei and Li, 2011). CDHM models are particularly convenient for simulating healing due to their direct representation of the area available for healing through the damage variable(s). In addition, CDHM theories can be applied in micromechanical models that can naturally account for anisotropic damage and healing (Davies and Jefferson, 2017; Han *et al.*, 2021). Whilst CDHM models provide a convenient framework for predicting self-healing behaviour, the simulation of a number of self-healing systems, including vascular networks (De Nardi, Gardner and Jefferson, 2020), requires a discrete representation of the macro-cracks. To this end, a number of approaches have been employed, including the discrete element method (Zhou *et al.*, 2017), lattice models (Rodríguez *et al.*, 2019), elements with embedded strong discontinuities (Zhang and Zhuang, 2018; Freeman *et al.*, 2020), the extended finite element method (Gilabert, Garoz and Paepegem, 2017) and the lattice discrete particle model (Cibelli *et al.*, 2022).

For representing the healing itself, and the associated mechanical regain, approaches have varied from healing functions derived from thermodynamic potentials (Barbero, Greco and Lonetti, 2005; Voyiadjis, Shojaei and Li, 2011), to mechanistic models that capture the underlying physical processes (Koenders, 2012; Hilloulin *et al.*, 2016; Xin *et al.*, 2020). Xin et al. (2020) presented a crystal pillar growth model for simulating the healing associated with microbially induced calcite precipitation (MICP). The model considered the nucleation of calcite crystals on the crack face that grow in pillar like structures towards the centre of the crack. The model is based on the assumption that mechanical strength and stiffness regain commences when the pillars first bridge a crack and that healing progresses as a function of the relative bridged area. A number of authors have employed cement hydration models, such as HYMOSTRUC (van Breugel, 1995) and CEMHYD3D (Bentz, 1997), to simulate the healing of cracks due to further hydration (Koenders, 2012; Hilloulin *et al.*, 2016). Hilloulin et al. (2016) used a version of CEMHYD3D (CemPP), combined with the Cast3M finite element code, to simulate mechanical regain due to self-healing. The authors found that the mechanical regain was directly related the filling fraction of healed material at the centre of the crack.

The mechanical regain associated with self-healing is directly related to the amount of healing agent and/or moisture available at the damage site. Whilst a number of authors account for this (Aliko-Benítez, Doblaré and Sanz-Herrera, 2015; Di Luzio, Ferrara and Krelani, 2018; Xin et al., 2020), there have been few coupled models to date that consider the transport of healing agents through discrete cracks (Rodríguez et al., 2019; Cibelli et al., 2022; Jefferson and Freeman, 2022). Romero Rodríguez et al. (2019) presented a lattice model for the simulation of crack sealing in cementitious materials containing super absorbent polymers. The model employed Richard's equation to describe the unsaturated moisture flow, with cracked elements being assigned a higher diffusivity, based on Poiseulle flow within a planar crack. The cracking was simulated using a lattice fracture model with crack widths obtained from experimental data. Cibelli et al. (2022) presented a coupled model that linked a discrete hygro-thermo-chemical model, based on that presented by Di Luzio, Ferrara and Krelani (2018), to the lattice discrete particle model of Cusatis, Pelessone and Mencarelli (2011) for describing the mechanical behaviour. Transport through the discrete cracks was simulated by increasing the local diffusivity of cracked elements, through an empirical function of the crack widths. For the simulation of healing, a linear function of the reaction extent was employed, where the reaction affinity accounted for the effects of local moisture content, crack width, amount of crystalline admixture and temperature, through an Arrhenius type term. The performance of the model was demonstrated through the consideration of autogenous healing of a cementitious specimen loaded in three-point bending (Ferrara, Krelani and Carsana, 2014). The model predictions of the mechanical regain were found to be in good agreement with the experimental results.

90 The aim of this paper is to present a new 3D coupled finite element model for simulating self-healing 91 cementitious materials. The mechanical behaviour of the material is simulated using a damage-healing 92 cohesive zone model that is implemented in a new embedded strong discontinuity hexahedral element. A particular feature of the model is the coupling between the mechanical regain and the 93 94 transport of healing agent through the discrete cracks, described here using the mass balance 95 equation combined with Darcy's law for the healing agent flux. The mechanical healing is described 96 using a generalised healing front model, originally derived for the curing of adhesives (Freeman and 97 Jefferson, 2020; Jefferson and Freeman, 2022), which we show can accurately simulate other healing 98 mechanisms that involve precipitation of healed material that evolves from the crack faces.

- 99 The layout of the remainder of this paper is as follows:
  - i. Section 2 presents the theoretical basis for the coupled model.
- ii. Section 3 presents the numerical implementation including a description of the new embedded strong discontinuity hexahedral element and a description of the coupling between the transport of healing agent and mechanical regain.
- iii. Section 4 presents a series of example problems used to validate the model.
- iv. Finally, Section 5 presents some concluding remarks from the study.
  - 2. Theoretical basis
- 107 Mechanical model

100

- To describe the damage-healing behaviour we employ a cohesive-zone crack plane model (Jefferson and Freeman, 2022) that is applied to an element with an embedded strong discontinuity, whilst the
- behaviour of the matrix continuum is described by a linear elastic model.
- 111 The stress in the matrix material is given by:

112 
$$\sigma = \mathbf{D} : (\varepsilon - \varepsilon_c), \quad \forall \mathbf{x} \in \Omega$$
 (1)

- where  $\sigma$  and  $\epsilon$  are the stress and strain tensors respectively, D is the elasticity tensor and  $\epsilon_c$  is
- the strain in the continuum caused by the displacement jump across the crack, which is elaborated in
- the next section.
- The cohesive zone damage-healing model relates the crack plane tractions ( $\tilde{\sigma}$ ) to the crack opening
- displacements ( $\tilde{\mathbf{u}}$ ) through the following relationship:

118 
$$\tilde{\mathbf{\sigma}} = (1 - \omega) \cdot \tilde{\mathbf{K}}_{\mathbf{e}} : \tilde{\mathbf{u}} + h \cdot \tilde{\mathbf{K}}_{\mathbf{e}} : (\tilde{\mathbf{u}} - \tilde{\mathbf{u}}_{\mathbf{h}}), \quad \forall \mathbf{x} \in \Omega_{crk}$$
 (2)

- in which  $\omega \in [0,1]$  is a scalar damage parameter,  $\tilde{\mathbf{K}}_e$  is the crack plane stiffness matrix,  $h \in [0,\omega]$  is a
- scalar healing parameter and  $\tilde{\mathbf{u}}_{h}$  are the crack opening displacements at the time of healing, included
- in such a way as to ensure the thermodynamic consistency of the healing component of the model
- 122 (Jefferson and Freeman, 2022).
- 123 In the present work, the damage is described using a classical exponential softening relationship that
- depends on the damage evolution parameter ( $\zeta$ ):

125 
$$\omega = 1 - \frac{u_t}{\zeta} \cdot e^{-c_1 \frac{\zeta - u_t}{u_m - u_t}}$$
 (3)

- in which  $\,u_{_t} = f_t h_{_{\!\it CP}}\,$  / E , where  $\,f_{_t}\,$  is the tensile strength of the material,  $\,h_{_{\!\it CP}}\,$  is the crack zone width 126
- and E is Young's modulus,  $c_1 = 5$  is a softening constant and  $u_m$  is the crack opening displacement 127
- at the end of the softening curve. 128
- 129 The evolution of damage is governed by the following damage function:

$$130 \qquad \psi = \zeta_{eq} - \zeta \tag{4}$$

$$\text{131} \quad \text{where } \zeta_{eq} = \frac{\tilde{u}_1}{2} \left[ 1 + \left( \frac{\mu}{\gamma} \right)^2 \right] + \frac{1}{2\gamma^2} \sqrt{\left( \gamma^2 - \mu^2 \right)^2 \, \tilde{u}_1^2 + 4 \gamma^2 \left( \tilde{u}_2^2 + \tilde{u}_3^2 \right)} \; .$$

Damage evolution is subject to the standard Kuhn-Tucker loading conditions: 132

133 
$$\dot{\zeta} \ge 0, \quad \psi \le 0, \quad \dot{\zeta}\psi = 0$$
 (5)

- in which the superior dot indicates the time derivative. 134
- In the present model, healed material is allowed to re-damage and re-heal an unlimited number of 135
- 136 times.

- 137 Transport model
- The governing equations for the crack plane flow are the mass balance equation combined with 138
- Darcy's law for the healing agent flux (Freeman and Jefferson, 2020) which, along with boundary 139
- 140 conditions, are as follows:

$$\mathbf{v} = -\left(\frac{k_{crk} + 0.5 \mu w_c \beta_w \beta_{wr}}{\mu}\right) (\nabla P_{hcrk} - \rho \mathbf{g}), \qquad \forall \mathbf{x} \in \Omega_{crk}$$

$$\frac{\partial (\rho w_c)}{\partial t} + \nabla \cdot (\rho w_c \mathbf{v}) = 0, \qquad \forall \mathbf{x} \in \Omega_{crk}$$

$$P_{hcrk} = P_{Dcrk} = P_c (\theta_d) (1 - \beta_s) - 2 \frac{\beta_m}{w_c} \mathbf{v} \cdot \mathbf{n}, \qquad \forall \mathbf{x} \in \Gamma_f$$

$$P_{hcrk} = P_{app}, \qquad \forall \mathbf{x} \in \Gamma_{app}$$

$$(6)$$

- where  $\,\Omega_{\it crk}\,$  is the crack domain,  $\,\Gamma_{\it f}\,$  is the free surface of the healing agent,  $\,\Gamma_{\it app}\,$  is the part of the 142 boundary to which pressure,  $P_{app}$  , is applied,  ${f V}$  is the vector of healing agent velocities ,  $P_{hcrk}$  is the 143 healing agent pressure,  $w_c$  is the crack width,  $\mu$  is the dynamic viscosity and  $\rho$  is the density. The 144 crack permeability is given as  $k_{crk}=w_c^2/12$ , and is derived from Poiseuille flow conditions.  $eta_s$  and  $eta_m$ 145 are factors to allow for stick-slip of and frictional dissipation at the meniscus respectively,  $eta_{_{\! W}}$  is a 146 factor to account for wall slip and  $eta_{wr}$  is the relative wall slip factor (Freeman and Jefferson, 2020). 147
- In discrete cracks, the capillary pressure,  $P_{c}$  , is given by the Young-Laplace equation:

$$P_c = \frac{2\gamma \cos\left(\theta_d - \psi\right)}{w_c} \tag{7}$$

- where  $\gamma$  is the surface tension,  $\psi$  is the inclination of the crack wall and  $\theta_d$  is the dynamic contact
- angle that is related to the static contact angle ( $\theta_s$ ) through the Jiang et al.'s (Jiang, Soo-Gun and
- 152 Slattery, 1979) relationship:

$$\tanh\left(c_{1}Ca^{c_{2}}\right) = \frac{\cos\left(\theta_{s}\right) - \cos\left(\theta_{d}\right)}{\cos\left(\theta_{s}\right) + 1} \tag{8}$$

- where  $c_1$  and  $c_2$  are constants and  $Ca = \mathbf{v} \cdot \mathbf{n} \mu / \gamma$  is the capillary number.
- 155 Generalised healing front model
- 156 In the present work, the healing agent curing mechanism considered is based on the propagation of a
- diffuse reaction front, emanating from the crack faces. This process has been shown to be well-
- described by the following function that is based on an analytical solution of the advection-diffusion
- equation (Freeman and Jefferson, 2020; Jefferson and Freeman 2022):

160 
$$\xi_x(x,t) = \frac{1}{2} \left( 1 - \tanh \left( \left( \frac{2}{\sqrt{\pi}} \right) \left( \frac{x - z(t) - z_c}{z_c + \sqrt{\frac{z(t)}{z_{c1}}}} \right) \right) \right)$$
 (9)

- where  $\xi_x(x,t)$  is the degree of cure,  $z_c$  is a wall factor,  $z_{c1}$  is a diffusion constant and z(t) is a
- 162 propagation distance that reads:

163 
$$z(t) = z_{c0} \left( 1 - e^{-\frac{t}{\tau}} \right)$$
 (10)

- where  $z_{c0}$  is a critical curing depth at which the propagation of the front ceases and au is a
- 165 characteristic time.
- 166 The degree of mechanical healing is given by the degree of cure or relative area of healed material at
- the centre of the crack:

$$168 h(w_c, t) = \frac{1}{2} \left( \left( \frac{f_{stat} + f_{dyn}}{2} \right) - \left( \frac{f_{stat} - f_{dyn}}{2} \right) \tanh \left( \frac{\dot{w}_c - w_{rt}}{w_{rnom}} \right) \right) \left( 1 - \tanh \left( \left( \frac{2}{\sqrt{\pi}} \right) \left( \frac{\frac{w_c}{2} - z(t) - z_c}{z_c + \sqrt{\frac{z(t)}{z_{c1}}}} \right) \right) \right)$$

$$169 (11)$$

- 170 in which  $f_{\it stat}=1$  and  $f_{\it dyn}=0.45$  are the static and dynamic factors,  $w_{\it rt}=10^{-3}\,{\it \zeta}\,{\it /}\,{\it \tau}\,{\it mm/s}$  and
- $w_{rnom} = w_{rt} / 10$ . The rate term (in the first set of brackets) accounts for the disruption in the
- 172 propagation of the reaction front arising from significant movement of the crack faces (Jefferson and
- 173 Freeman, 2022).

- 174 3. Numerical implementation
- 175 Finite element framework
- 176 To solve the coupled system of non-linear equations, we employ the finite element method and a
- staggered solution method. The governing equation for the transport model is given by Equation 6
- and the governing equation for the mechanical model is derived using a conventional virtual work
- approach. To discretise the crack flow model, we employ the unfitted finite element method of
- Hansbo and Hansbo (2002), with strong imposition of pressure boundary conditions (Freeman, 2022)
- and a smooth extension of the solution in elements cut by the healing agent interface to the entire
- 182 element (Pande, Papadopoulos and Babuška, 2021).
- 183 The global system of equations for the mechanical model reads:

184 
$$\mathbf{K}_{\mathbf{g}}(\mathbf{u}_{\mathbf{g}})\mathbf{u}_{\mathbf{g}} = \mathbf{F}_{\mathbf{g}}, \quad \forall \mathbf{x} \in \Omega$$
 (12)

- where  $K_{g}\left(u_{g}\right)$  is the global stiffness matrix,  $u_{g}$  is the global vector of displacements and  $F_{g}$  is the
- global force vector.  $\mathbf{K}_{\mathbf{g}}(\mathbf{u}_{\mathbf{g}})$  is assembled from element stiffness matrices that take the standard
- 187 form for uncracked elements and a special form with an embedded strong discontinuity for cracked
- elements. The non-linearity is dealt with using the Newton-Raphson method.
- 189 For the crack plane flow, the global system of equations is given by:

190 
$$\mathbf{K}_{t}\mathbf{P}_{t} = \mathbf{F}_{t}, \quad \forall \mathbf{x} \in \Omega_{crk}$$
 (13)

- Where  $K_t$  is the global transport system matrix,  $P_t$  is the global vector of pressures and  $F_t$  is the
- 192 global right hand side vector.
- 193 For the crack plane flow, it is necessary to track the movement of the healing agent interface. To this
- 194 end, we employ the level set method. The level set is a signed distance function whose zero level
- indicates the interface and, in the present work, a positive value indicates the healing agent domain.
- The evolution of the level set function is governed by the following transport problem:

197 
$$\frac{\partial \varphi}{\partial t} + \mathbf{u} \cdot \nabla \varphi = 0, \qquad \forall \mathbf{x} \in \Omega_{crk}$$

$$\varphi(t) = 0, \qquad \forall \mathbf{x} \in \Gamma_f$$
(14)

- 198 where  $\varphi$  is the level set function.
- 199 For the level set method we obtain the following linear system of equations:

200 
$$\mathbf{C}_{\alpha} \partial_{t} (\mathbf{\phi}) + \mathbf{K}_{\alpha} \mathbf{\phi} = 0, \quad \forall \mathbf{x} \in \Omega_{crk}$$
 (15)

- 201 For the spatial discretisation we employ the streamline upwind Petrov-Galerkin method (SUPG) where
- the stabilisation parameter is given as:

$$\tau = 2\left(\frac{1}{\Delta t^2} + \frac{|\mathbf{u} \cdot \mathbf{u}|}{h^2}\right)^{-\frac{1}{2}}$$
(16)

- Whilst we employ an implicit Euler finite difference scheme for the temporal discretisation, we note
- 205 that re-initialisation is required in order to maintain the level set as a singed distance function. In the
- 206 present work we employ the modified fast marching method as described in (Groß, Reichelt and
- 207 Reusken, 2006).
- 208 Extension of crack flow solution
- The tracking of the fluid interface using the level set method requires the solution in the entire crack
- 210 plane. As mentioned above, the unfitted finite element method of Hansbo and Hansbo (2002) is
- 211 employed for the crack plane flow. In this method, the solution is only computed for elements with
- 212 non-zero intersection with the physical domain (i.e. those that are at least partially filled with healing
- agent), and as such, the solution needs to be extended. In the present work, the solution is extended
- using a ghost penalty on the jump in the solution gradient across element edges that reads:

215 
$$\mathbf{j}_{p} = g_{sp} \sum_{E \in E_{crit}^{G}} h \int_{E} \nabla \zeta \int_{F} \nabla P_{c} \int_{F}$$
 (17)

- where  $E_{ck}^{G}$  is the set of element edges that lie outside of the fluid domain,  $g_{sp}$  is a penalty parameter,
- 217 h is a measure of element size and  $x_{F} = x_{+}|_{F} x_{-}|_{F}$  is the jump in quantity  $\chi$  across an element
- 218 edge. We note that this penalty is not required for stabilisation.
- 219 Embedded strong discontinuity element
- 220 In the present work, the cohesive zone model is applied to an embedded strong discontinuity element,
- 221 which was presented in 2D form in Freeman et al., (2020). Here, we present the derivation of the new
- 3D hexahedral element that contains an embedded plane discontinuity. A depiction of the element
- that shows the inelastic components of crack opening displacements ( $\breve{\mathbf{u}}$ ), crack rotations ( $\alpha$ ) and
- 224 crack plane local axes (r), can be seen in Figure 1.
- The discontinuity representing the crack splits the element into two subdomains,  $\Omega_K^-$  and  $\Omega_K^+$  such
- that  $\Omega_{\rm K}=\Omega_{\rm K}^-\cup\Omega_{\rm K}^+$  where  $\Omega_{\rm K}$  is the element domain. Using the Heaviside function, H, the
- 227 element displacements can be split into a continuum part,  $\mathbf{u}_{\rm e}$ , and the displacement jump associated
- 228 with the crack,  $\mathbf{u}$ , giving:

229 
$$\mathbf{u}(\mathbf{x},t) = \mathbf{u}_{c}(\mathbf{x},t) + H \mathbf{u}(\mathbf{x},t)$$
 (18)

- 230 In the present work, the displacement jumps associated with the crack are stored in a crack plane
- vector that contains the crack opening and sliding displacements, as well as rotations about the local
- 232 crack plane axes, at the centre of the crack:

233 
$$\mathbf{\tilde{w}} = \left[ \vec{u}_{l,C}, \vec{u}_{2,C}, \vec{\alpha}_{l}, \vec{\alpha}_{2}, \vec{\alpha}_{3} \right]$$
 (19)

- where  $\check{\mathbf{u}}$  is the inelastic component of the local relative displacement vector. The elastic part,  $\check{\mathbf{u}}_e$ , is
- associated with an elastic band of material on either side of the discontinuity and arises as a result of
- the treatment of the crack plane as a narrow band of material of finite width.

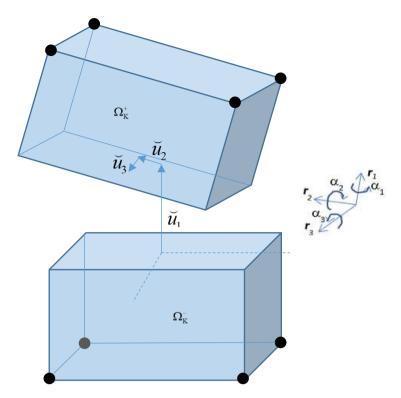


Figure 1 – Eight-noded hexahedral element with an embedded discontinuity

The opening and sliding displacements can be obtained at any position within the crack,  $(r_2, r_3)$ , from the crack plane vector as follows:

241 
$$\mathbf{u}_{cp}(r_2, r_3) = \begin{bmatrix} 1 & 0 & 0 & 0 & r_3 & -r_2 \\ 0 & 1 & 0 & -r_3 & 0 & 0 \\ 0 & 0 & 1 & r_2 & 0 & 0 \end{bmatrix} \mathbf{\tilde{w}}^T = \mathbf{\Lambda} \mathbf{\tilde{w}}^T$$
 (20)

The displacement in the positive part of the element, due to the relative displacement across the discontinuity, is given by the following mapping of the rigid body motion:

243 discontinuity, is given by the following mapping of the rigid body motion: 
$$\mathbf{u}_{c}\left(\mathbf{x}\right) = H\left(\mathbf{x}\right)\begin{bmatrix} r_{l,l} & r_{2,l} & r_{3,l} & \left(r_{l,2}\Delta x_{3} - r_{l,3}\Delta x_{2}\right) & \left(r_{2,2}\Delta x_{3} - r_{2,3}\Delta x_{2}\right) & \left(r_{3,2}\Delta x_{3} - r_{3,3}\Delta x_{2}\right) \\ r_{l,2} & r_{2,2} & r_{3,2} & \left(r_{l,3}\Delta x_{l} - r_{l,l}\Delta x_{3}\right) & \left(r_{2,3}\Delta x_{l} - r_{2,l}\Delta x_{3}\right) & \left(r_{3,3}\Delta x_{l} - r_{3,l}\Delta x_{3}\right) \\ r_{l,3} & r_{2,3} & r_{3,3} & \left(r_{l,l}\Delta x_{2} - r_{l,2}\Delta x_{l}\right) & \left(r_{2,l}\Delta x_{2} - r_{2,2}\Delta x_{l}\right) & \left(r_{3,l}\Delta x_{2} - r_{3,2}\Delta x_{l}\right) \end{bmatrix} \mathbf{\tilde{w}}^{T}$$

$$= \mathbf{T}_{w}\left(\mathbf{x}\right)\mathbf{\tilde{w}}^{T}$$
245 (21)

in which  $\Delta \mathbf{x} = \mathbf{x} - \mathbf{x}_c$ , where  $\mathbf{x}_c$  are the coordinates of the crack plane centre.

The force vector across the crack plane is obtained by integrating the crack plane tractions as follows:

248 
$$\tilde{\mathbf{F}} = \begin{bmatrix} \tilde{\mathbf{F}}_{r_{I}} \\ \tilde{\mathbf{F}}_{r_{2}} \\ \tilde{\mathbf{F}}_{r_{3}} \\ \tilde{\mathbf{M}}_{r_{I}} \\ \tilde{\mathbf{M}}_{r_{2}} \\ \tilde{\mathbf{M}}_{r_{3}} \end{bmatrix} = \begin{bmatrix} \int_{A} (\tilde{\sigma}_{r_{I}}) dA \\ \int_{A} (\tilde{\sigma}_{r_{2}}) dA \\ \int_{A} (\tilde{\sigma}_{r_{3}}) dA \\ \int_{A} (-\tilde{\sigma}_{r_{2}} r_{3} + \tilde{\sigma}_{r_{3}} r_{2}) dA \\ \int_{A} (\tilde{\sigma}_{r_{I}} r_{3}) dA \\ \int_{A} (-\tilde{\sigma}_{r_{I}} r_{2}) dA \end{bmatrix}$$
(22)

- 249 where the integrals are evaluated using a summation over local crack plane triangular elements.
- Using Equation (22) and the constitutive relation given in Equation (2), the relationship between the
- 251 crack plane displacement and force vectors can be derived as:

$$\tilde{\mathbf{F}} = \tilde{\mathbf{K}}\tilde{\mathbf{w}} + \tilde{\mathbf{K}}_h \left( \tilde{\mathbf{w}} - \tilde{\mathbf{w}}_h \right) \tag{23}$$

253 The elastic crack plane stiffness matrix is given by:

254 
$$\tilde{\mathbf{K}}_{e} = \begin{bmatrix} \int_{A} (k_{r}) dA & 0 & 0 & 0 & \int_{A} (k_{r}r_{3}) dA & \int_{A} (-k_{r}r_{2}) dA \\ 0 & \int_{A} (k_{s}) dA & 0 & \int_{A} (-k_{s}r_{3}) dA & 0 & 0 \\ 0 & 0 & \int_{A} (k_{s}) dA & \int_{A} (k_{s}r_{2}) dA & 0 & 0 \\ 0 & \int_{A} (-k_{s}r_{3}) dA & \int_{A} (k_{s}r_{2}) dA & \int_{A} (k_{s}r_{2}) dA & \int_{A} (k_{r}r_{3}) dA & \int_{A} (k_{r}r_{3}) dA & \int_{A} (-k_{r}r_{2}r_{3}) dA \\ \int_{A} (-k_{r}r_{2}) dA & 0 & 0 & \int_{A} (-k_{r}r_{2}r_{3}) dA & \int_{A} (k_{r}r_{2}^{2}) dA \end{bmatrix}$$
255 (24)

- We note that  $ilde{\mathbf{K}}$  and  $ilde{\mathbf{K}}_h$  are defined similarly with each term within the integrals being multiplied
- 257 by  $(1-\omega)$  and h respectively.
- 258 The strain in the continuum due to the discontinuity can be obtained as follows:

$$\mathbf{\varepsilon}_{\mathbf{c}} = \mathbf{M}_{w} \tilde{\mathbf{w}}^{\mathrm{T}} + \mathbf{M}_{h} \tilde{\mathbf{w}}_{h}^{\mathrm{T}}$$
 (25)

- 260 in which  $\mathbf{M}_{w} = \mathbf{B}(\mathbf{x})\mathbf{T}_{\mathbf{w}}(\mathbf{x})(\mathbf{I}_{6} \tilde{\mathbf{K}}_{e}^{-1}(\tilde{\mathbf{K}} + \tilde{\mathbf{K}}_{h}))$ , where  $\mathbf{B}(\mathbf{x})$  is the standard strain displacement
- 261 matrix and  $\mathbf{I}_6$  is the rank 6 identity matrix, and  $\mathbf{M}_b = \tilde{\mathbf{K}}_e^{-1} \tilde{\mathbf{K}}_b$ .
- Using Equation (25) and noting that an increment of healing does not alter the stress state, the virtual
- work equation can be written as (Freeman et al., 2020):

$$\delta \Pi_{e} = \int_{\Omega_{e}} \left( \delta \mathbf{u}_{e}^{T} \mathbf{B}^{T} - \delta \tilde{\mathbf{w}}^{T} \mathbf{M}_{w}^{T} \right) \mathbf{D} \left( \mathbf{B} \mathbf{u}_{e} - \mathbf{M}_{w} \tilde{\mathbf{w}} - \mathbf{M}_{h} \tilde{\mathbf{w}}_{h} \right) d\Omega_{e} + \delta \tilde{\mathbf{w}}^{T} \left( \tilde{\mathbf{K}}_{ww} \tilde{\mathbf{w}} - \tilde{\mathbf{K}}_{wh} \tilde{\mathbf{w}}_{h} \right) \\
- \delta \mathbf{u}_{e}^{T} \mathbf{F}_{e} = 0 \tag{26}$$

where 
$$\tilde{\mathbf{K}}_{ww} = \left(\mathbf{I}_6 - \tilde{\mathbf{K}}_e^{-l} \left(\tilde{\mathbf{K}} + \tilde{\mathbf{K}}_h\right)\right)^T \left(\tilde{\mathbf{K}} + \tilde{\mathbf{K}}_h\right)$$
 and  $\tilde{\mathbf{K}}_{wh} = \left(\mathbf{I}_6 - \tilde{\mathbf{K}}_e^{-l} \left(\tilde{\mathbf{K}} + \tilde{\mathbf{K}}_h\right)\right)^T \left(\tilde{\mathbf{K}}_h\right)$ .

266 Enforcing equilibrium between the tractions and stresses in the crack plane and continuum

respectively in a weak sense, we obtain the following relationship:

$$\widetilde{\mathbf{w}} = \left( \left[ \int_{\Omega_{e}} \mathbf{M}_{w}^{T} \mathbf{D} \mathbf{M}_{w} d\Omega_{e} + \widetilde{\mathbf{K}}_{ww} \right]^{-1} \int_{\Omega_{e}} \mathbf{M}_{w}^{T} \mathbf{D} \mathbf{B} d\Omega_{e} \right) \mathbf{u}_{e} + \left( \int_{\Omega_{e}} -\mathbf{M}_{w}^{T} \mathbf{D} \mathbf{M}_{h} d\Omega_{e} + \widetilde{\mathbf{K}}_{wh} \right) \widetilde{\mathbf{w}}_{h} 
= \mathbf{C}_{u} \mathbf{u}_{e} + \mathbf{C}_{h} \widetilde{\mathbf{w}}_{h}$$
(27)

269 Employing (27) in Equation (26), the following element stiffness matrix relationship is derived:

$$\mathbf{K}_{SDeh}\mathbf{u}_{e} = \mathbf{F}_{e} + \mathbf{K}_{eh}\tilde{\mathbf{W}}_{h} \tag{28}$$

271 where:

$$\mathbf{K}_{SDeh} = \int_{\Omega_{e}} \left( \mathbf{B}^{T} - \mathbf{C}_{u}^{T} \mathbf{M}_{w}^{T} \right) \mathbf{D} \left( \mathbf{B} - \mathbf{M}_{w} \mathbf{C}_{u} \right) d\Omega_{e} + \mathbf{C}_{u}^{T} \tilde{\mathbf{K}}_{ww} \mathbf{C}_{u}$$

$$\mathbf{K}_{eh} = \int_{\Omega_{e}} \left( \mathbf{B}^{T} - \mathbf{C}_{u}^{T} \mathbf{M}_{w}^{T} \right) \mathbf{D} \left( \mathbf{M}_{w} \mathbf{C}_{h} - \mathbf{M}_{h} \right) d\Omega_{e} - \left( \mathbf{C}_{u}^{T} \tilde{\mathbf{K}}_{ww} \mathbf{C}_{h} - \tilde{\mathbf{K}}_{wh} \right)$$
(29)

- 273 Crack tracking
- 274 In order to ensure crack continuity, we employ a 3D version of the crack tracking algorithm of Alfaiate
- et al. (2002; 2003), whilst U-turns in the crack path were prevented using the approach of Cervera et
- al. (2010). In elements for which there are no crack tips on the element faces, the crack is assumed to
- 277 cross through the centroid of the element, with the orientation being governed by the direction of the
- 278 maximum principal strain. For elements that have a crack tip on one of the element faces, the crack is
- assumed to start from the existing crack tip and the orientation is governed by the direction of the
- 280 maximum principal strain. Finally, for elements that have crack tips on multiple faces, the crack is
- assumed to connect the existing crack tips.
- 282 Coupling between mechanical and transport model
- 283 An important aspect of the formulation is the coupling between the mechanical and transport
- 284 components of the model. In the present work, there is a two-way coupling between these
- 285 components, which reflects the physical processes that govern the self-healing response. The effect
- of the mechanical response on the healing agent transport is accounted for through both the crack
- opening displacements (crack widths) and crack opening displacement rate, as can be seen from
- 288 Equation (6). The mechanical response is dependent on the amount of agent available for healing. In
- the model, this is accounted for in the healing variable update, where the area available for healing is
- dependent on the degree of damage and the relative crack filling predicted by the transport model.
- 291 The healing variable update employs the method described in Jefferson and Freeman (2022), as
- 292 follows:

$$\Delta a = \Delta \omega r_{fill} + a_{i-1} \Delta r_{fill}$$

$$293 \qquad a_{v_i} = a_{v_{i-1}} + \Delta a$$

$$a_i = a_{v_i} - a_{red} + a_{rec} r_{fill}$$

$$(30)$$

- in which a is the relative area (termed area hereafter) available for healing,  $a_{red} = \omega_{h_{il}} h_{V_{i,j}}$  is the re-
- damaged area, where  $\omega_{\rm h_{ij}}$  is the degree of damage of healed material and  $h_{\rm v_{ij}}$  is the virgin healing

variable,  $a_{rec} = a_{red} r_{fill}$  is the area of  $a_{red}$  that has been re-filled and  $r_{fill}$  is the degree of crack filling that is calculated from the transport model. The link between the area available for healing and the mechanical regain is found in the calculation of the degree of healing, through the healing front variable, z (noting that h(z)), which is updated as follows:

300 
$$z_i = z_r e^{-\Delta t/\tau} + z_{c0} a_i \left( 1 - e^{-\Delta t/\tau} \right)$$
 (31)

As the crack plane integrations are carried out over sub-triangles, a value of  $r_{fill}$  is calculated for each sub-triangle from the level set function. A depiction of a sub-triangulated crack plane, and associated level set can be seen in Figure 2.

To calculate the filling area, we first employ an inverse isoparametric mapping to obtain the crack plane centre in the local coordinate system, as detailed in Li, Wittek and Miller (2014). Following this, we then find the coordinates of the intersections of the level set with the sub-triangle edges, before the area for each is calculated using Gauss' area formula.

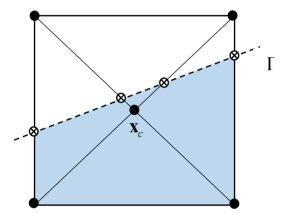


Figure 2 – Crack plane showing sub-triangulation and healing agent interface, marked by the dashed line, where the shaded region indicates the healing agent domain, the sub-triangle corners are indicated by the black circles and the points of intersection between the level set and the sub-triangles are indicated by the crossed circles

#### 4. Example problems

In this section we consider example problems to demonstrate the performance of the model. The first set of problems considers the healing front model. This model (presented in Section 2) was originally developed to describe the propagation of curing fronts in cyanoacrylate adhesives. Two problems are considered that show the generality of the model and its applicability to other healing mechanisms in which curing, or precipitation of healing material, starts at the crack faces. The next example problem considers the mechanical response of a cementitious specimen with embedded vascular networks and a SS healing agent. The penultimate example concerns the autogenous healing of a cementitious specimen with and without CA that are introduced to stimulate healing. In the final example, the mechanical response of a vascular self-healing slab with SS is considered. For these simulations, we assume that the rate of molecular diffusion of the chemical species is negligible relative to both the chemical reaction rates and the rate of liquid transport. The extension of the healing model to include chemical species transport in the crack is the subject of future work. The model parameters used in the latter three analyses can be seen in Tables 1 & 2, where the subscript h indicates healed material.

Table 1 – Mechanical model parameters

Parameter	Example				
	2	3a	3b	4	
$E, E_h$ (N/mm²)	30 000	20 000	20 000	30 000	
$V, V_h$ (-)	0.2	0.2	0.2	0.2	
$f_t$ (N/mm²)	3.7	1.0	1.0	3.7	
$f_{\it th}$ (N/mm²)	2.0	0.5	0.5	2.0	
$u_t$ (mm)	0.110	0.175	0.175	0.110	
$u_{th}$ (mm)	0.060	0.200	0.120	0.060	
au (days)	21	135	270	21	
$z_{c0}$ (mm)	0.05	0.05	0.05	0.05	
$z_{cI}$ (mm)	25	25	25	25	
$E_s$ (N/mm $^2$ )	-	-	-	200 000	
$f_{tsu}$ (N/mm²)	-	-	-	850	
$H_s$ (N/mm²)	-	-	-	5 000	

\*where  $\overline{E_s}$  and  $f_{tsu}$  are the Young's modulus and ultimate tensile strength of steel and  $\overline{H_s}$  is the hardening stiffness

\*\*3a refers to the case with CA, whilst 3b refers to that without

Table 2 – Transport model parameters

Parameter	Example			
	2	<b>3</b> a	3b	4
$\mu$ (Ns/m²)	0.1	0.1	0.1	0.1
$ heta_{\scriptscriptstyle s}$ (rad)	0.1754	0.1754	0.1754	0.1754
C <sub>1</sub> (-)	1.325	1.325	1.325	1.325
C <sub>2</sub> (-)	0.35	0.35	0.35	0.35
γ (N/m)	0.0728	0.0728	0.0728	0.0728
$\rho$ (kg/m $^3$ )	1840	1000	1000	1840
$eta_{_{\scriptscriptstyle W}}$ (m³/Ns)	0	0	0	0
$eta_s$ (-)	0	0	0	0
$eta_{\scriptscriptstyle m}$ (Ns/m²)	0	0	0	0
$P_{app}$ (N/m²)	20 000	0	0	5 000

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# Example 1. Healing front study

The first healing front study concerns healing through microbially induced calcite precipitation and considers a set of tests undertaken by Xin et al. (2020). In Xin et al's experiments, porous ceramic specimens of dimension  $24 \, \text{mm} \times 8 \, \text{mm} \times 2 \, \text{mm}$  were prepared, before being soaked in solution containing bacteria for 24 hours. Following this, the specimens were broken in half using three-point bending and the two halves fixed together leaving a crack of 300  $\, \mu \text{m}$  width. The fixed specimens were then immersed in a urea-CaCl<sub>2</sub> solution for 14 days, with scanning electron microscopy images taken of the area coverage ratio of calcite pillars bridging the crack every two days during this healing period. The model material parameters are given Table 3. A comparison of the predictions of the healing front model with the experimental data can be seen in Figure 3.

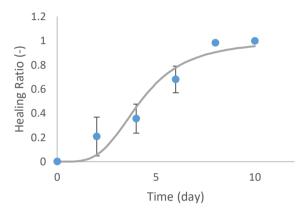


Figure 3 – Comparison between healing front model (solid line) and experimental data (markers) for healing ratio at the centre of a crack

Table 3 – Healing front model parameters MICP

Parameter	Value	
$\mathcal{Z}_{c0}$ (mm)	1	
$z_{c1}$ (mm)	20	
$Z_{c2}$ (mm)	0.00001	
au (days)	26	

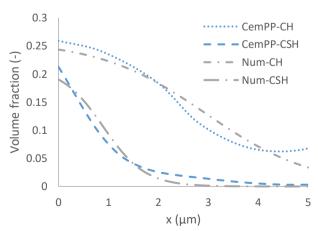


Figure 4 – Comparison between healing product profiles predicted by the healing front model and cement hydration model CemPP

Figure 3 shows that the model gives a good prediction of the experimental behaviour.

The second problem concerns autogenous healing due to further hydration, and considers an example presented in Hilloulin et al. (2016). As part of their study, the authors employed a modified version of the CemPy model (based on CEMHYD3D (Bentz, 1997)) called CemPP, in order to simulate the healing response. Using the model, the authors investigated the distribution of healing products within a 10 µm crack in a 7 day old cement paste specimen. The model parameters used for the analysis are given in Table 4. A comparison of profiles of calcium hydroxide (CH) and calcium-silicate-hydrate (CSH) from the healing front model fitted with the results of the cement hydration model can be seen in Figure 4.

Table 4 – Healing front model parameters further hydration

Parameter (CH)	Value (CH)	Parameter (CSH)	Value (CSH)
$Z_{c0}$ ( $\mu$ m)	10	$z_{c0}$ (µm)	2.4
$Z_{c1}$ ( $\mu$ m)	0.5	$z_{c1}$ (µm)	1
$Z_{c2}$ ( $\mu$ m)	0.00001	ζ <sub>c2</sub> (μm)	0.00001
au (days)	20	au (days)	15

It may be seen from the figure that the healing front model is in good agreement with the results of the cement hydration model.

# Example 2. Three-point bending vascular self-healing specimen

In this example, we consider the three-point bending of a cementitious specimen with an embedded vascular network presented in (Davies, Jefferson and Gardner, 2021). The test set up and specimen dimensions are shown in Figure 5. The specimen was loaded in three-point bending until a crack mouth opening displacement (CMOD) of 0.3 mm was reached, after which the specimen was unloaded, left submerged in water for a healing period of one week, before being reloaded to failure. The finite element mesh employed is given in Figure 6. The mesh comprised 1950 elements, whilst the load was applied in 53 increments.

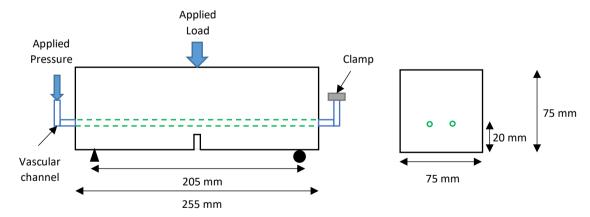


Figure 5 – Test set up and specimen dimensions left) elevation and right) cross-section

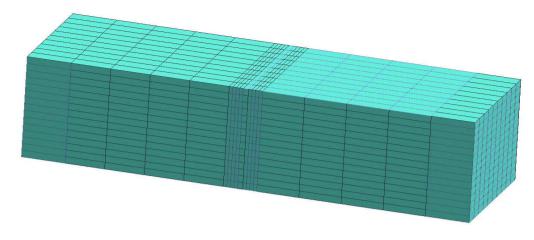


Figure 6 – Finite element mesh

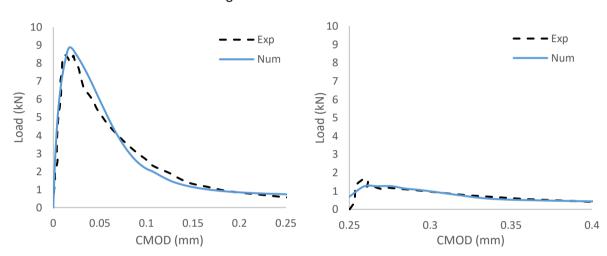


Figure 7 – Comparison of load response left) Pre-cracking and right) Post-healed response

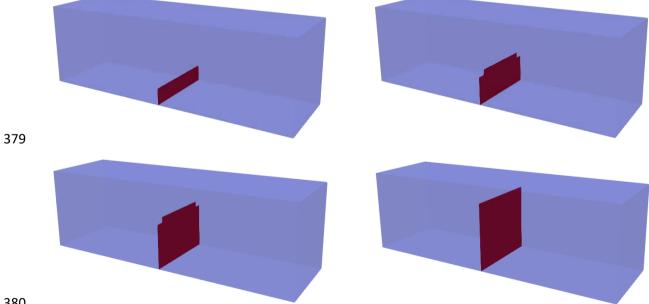


Figure 8 – Predicted crack pattern at displacements of top left) 0.0025 mm, top right) 0.0083 mm, bottom left) 0.0206 mm and bottom right) 0.0544 mm

The comparison between the numerical simulations and the experimental data is given in Figure 7. It can be seen from the figure that the numerical model is able to accurately reproduce the experimental data in terms of both the pre-cracking and post-healed response. The growth of the crack as predicted by the numerical model is shown in Figure 8. It may be seen from the figure that in the early and later stages of loading, the crack is uniform across the width of the specimen, but in the intermediate stage (corresponding to a vertical displacement of 0.0083-0.0206 mm), the outer edges of the crack front are lower than that in the central portion. Contours showing the final displacements at the end of the test are given in Figure 9.

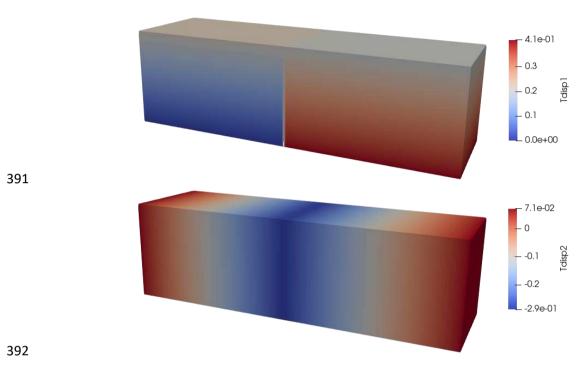


Figure 9 – Contour of final displacements in top) x and bottom) y

Example 3. Autogenous healing with and without crystalline admixtures

In this example, we consider the three-point bending of the cementitious specimens presented in Ferrara, Krelani and Carsana (2014), which have been simulated by Cibelli et al. (2022). The original tests series explored the effect of adding crystalline admixtures (CAs) to the cementitious mix on the degree of autogenous healing. The test set up and specimen dimensions are shown in Figure 10. The specimen was loaded in three-point bending until a crack mouth opening displacement (CMOD) of 0.3 mm was reached, after which the specimen was unloaded. The specimen was then left submerged in water for a range of healing periods, before being reloaded to failure. The finite element mesh employed can be seen in Figure 11. The mesh comprised of 12320 elements, whilst the load was applied in 56 increments.

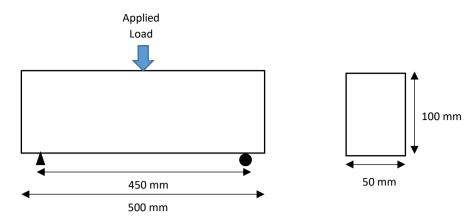


Figure 10 – Test set up and specimen dimensions

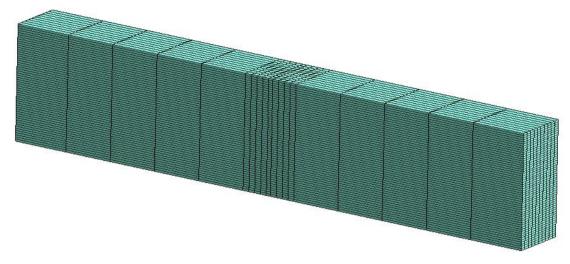


Figure 11 – Finite element mesh

Comparisons between the results from the numerical simulations and the experimental data are given in Figure 12. It can be seen from the figure that the numerical model is able to accurately reproduce the experimental data in terms of the pre-cracking response. The figure also shows that the numerical simulations are able to capture the post-healed response. The largest discrepancies are in the post-healed peak load and initial reloading stiffness. The reason for these differences is thought to relate to residual stresses and crack-opening displacements present in the sample in the unloading phase and associated creep behaviour. The numerical model does not account for this and as such, the residual strength and stiffness is that of the sample at the point of unloading. However, the predicted post-healed peak loads are in good agreement with the results of Cibelli et al. (2022). The growth of the crack -as predicted by the numerical model- is shown in Figure 13. Finally, contours that show the displacements at the end of the test are shown in Figure 14.

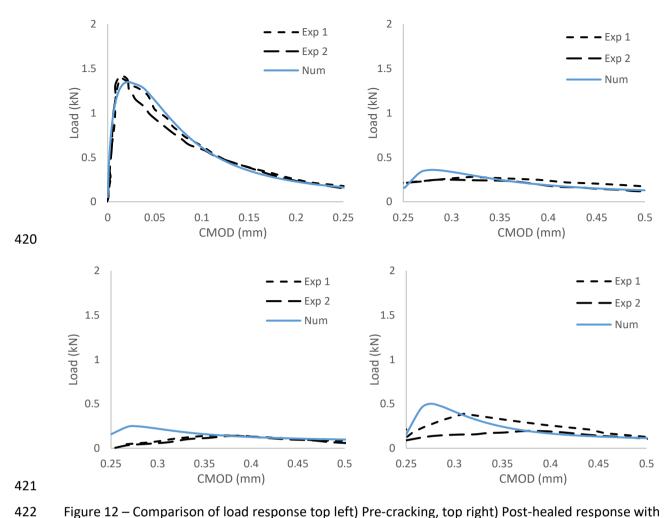


Figure 12 – Comparison of load response top left) Pre-cracking, top right) Post-healed response with CA and 3 months healing period, bottom left) Post-healed response without CA and 3 months healing period and bottom right) Post-healed response without CA and 12 months healing period

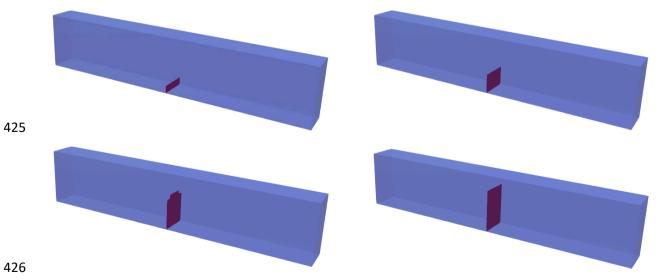


Figure 13 – Predicted crack pattern at displacements of top left) 0.0022 mm, top right) 0.0073 mm, bottom left) 0.0178 mm and bottom right) 0.0337 mm

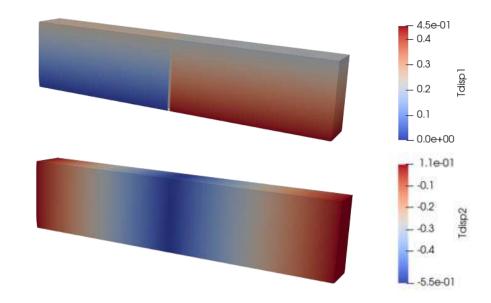


Figure 14 – Contour of displacements in x and y after final load increment

Example 4. Vascular self-healing slab

The final example concerns the loading of a concrete slab with an embedded vascular network presented in (Davies, Jeffersons and Gardner, 2021). The test set up and specimen dimensions are shown in Figure 15. A concentrated patch load was applied to the upper surface of the slab in the centre of the specimen until a central displacement of 6 mm was reached, after which the specimen was unloaded, covered in a moist hessian sack for a healing period of 28 days, and then reloaded to failure. The finite element mesh employed is shown in Figure 16. The mesh comprised 10571 elements and the load was applied in 42 increments. The steel reinforcement was assumed to be perfectly bonded to the concrete and was simulated using a 1D elastic strain-hardening plastic material model. Due to the symmetry of the problem, one quarter of the domain was simulated.

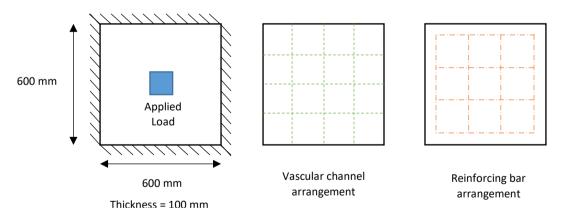
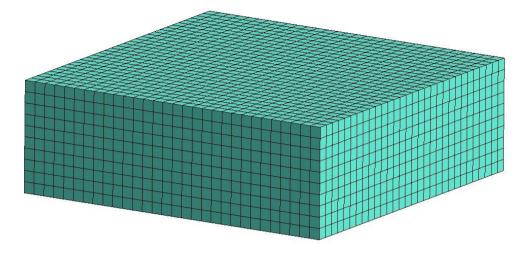


Figure 15 - Test set up and specimen dimensions



445 Figure 16 – Finite element mesh

The comparison between the numerical simulations and the experimental data is given in Figure 17. It can be seen from the figure that the numerical model is able to accurately reproduce the experimental data in terms of both the pre-cracking and post-healed response. For the post-healed response, the experimental data show a load increase of just 2 % due to healing. In general, strength and stiffness gains in reinforced samples are very much lower than in unreinforced specimens because the degree of mechanical healing is masked by the presence of reinforcement (Tsangouri *et al.*, 2019). In addition, in reinforced specimens larger crack widths are reached for which healing may be limited (Tsangouri *et al.*, 2019). Figure 18 shows that the numerical and experimental crack patterns are similar. A contour plot showing the vertical displacement at the end of the pre-cracking phase is given in Figure 19.

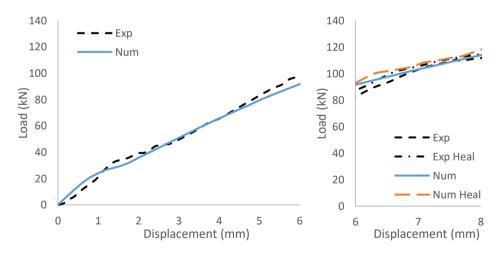
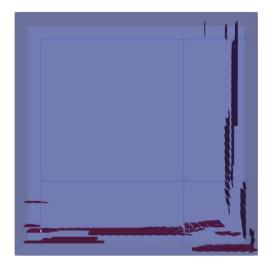


Figure 17 – Comparison of load response left) Pre-cracking and right) Post-healed response

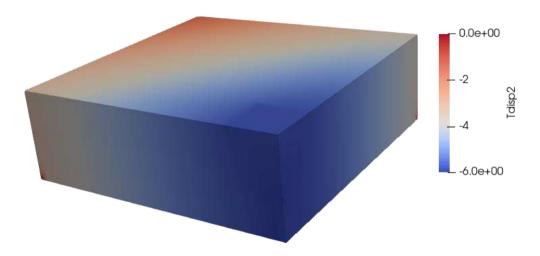




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Figure 18 – Predicted crack pattern left) Numerical predictions and right) Experimental after (Davies, Jefferson and Gardner, 2021)



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Figure 19 – Contour of y displacement after pre-cracking phase

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# 5. Concluding remarks

465 466 In this study, a new 3D coupled finite element model for simulating the behaviour of self-healing cementitious materials has been presented. Based on the results of this work, the following conclusions can be drawn:

467 468  the new embedded strong discontinuity hexahedral element is an effective means of representing discrete cracks and healing in three dimensions:

469 470  the effect of the cracking behaviour on the healing agent transport is naturally accounted for by the crack-width dependent discrete crack flow relationships:

471 472  the proposed crack filling function provides an effective means of accounting for the amount of agent available for healing:

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 the generalised curing front model is able to accurately simulate the accumulation of healed material within the cracks for a range of agents:

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 the degree of healing of a discrete crack may be computed with good accuracy from the overlap of curing fronts emanating from opposing crack faces:  the new coupled model, originally developed for a vascular system with cyanoacrylate as the healing agent, is applicable to a wider-range of healing materials including sodium silicate solution and autogenous agents enhanced by the presence of crystalline admixtures.

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- 481 Data Availability Statement
- Some or all data, models, or code generated or used during the study are available in a repository
- 483 online in accordance with funder data retention policies.
- Information on the data underpinning the results presented here, including how to access them, can
- be found in the Cardiff University data catalogue at (https://doi.org/10.17035/d.2022.0217821360).
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