#### 1 Discovery of 95 PTSD loci provides insight into genetic architecture and neurobiology of 2 trauma and stress-related disorders

3

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59

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#### 287

## 288 Abstract

289 Posttraumatic stress disorder (PTSD) genetics are characterized by lower discoverability than 290 most other psychiatric disorders. The contribution to biological understanding from previous 291 genetic studies has thus been limited. We performed a multi-ancestry meta-analysis of genome-292 wide association studies across 1,222,882 individuals of European ancestry (137,136 cases) and 293 58,051 admixed individuals with African and Native American ancestry (13,624 cases). We 294 identified 95 genome-wide significant loci (80 novel). Convergent multi-omic approaches 295 identified 43 potential causal genes, broadly classified as neurotransmitter and ion channel 296 synaptic modulators (e.g., GRIA1, GRM8, CACNA1E), developmental, axon guidance, and 297 transcription factors (e.g., FOXP2, EFNA5, DCC), synaptic structure and function genes (e.g., 298 PCLO, NCAM1, PDE4B), and endocrine or immune regulators (e.g., ESR1, TRAF3, TANK). 299 Additional top genes influence stress, immune, fear, and threat-related processes, previously 300 hypothesized to underlie PTSD neurobiology. These findings strengthen our understanding of 301 neurobiological systems relevant to PTSD pathophysiology, while also opening new areas for 302 investigation.

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Introduction
Posttraumatic stress disorder (PTSD) is characterized by intrusive thoughts, hyperarousal, avoidance, and negative alterations in cognitions and mood that can become persistent for some individuals after traumatic event exposure. Approximately 5.6% of trauma-exposed adults worldwide have PTSD during their lifetimes, and rates are higher in those with high levels and certain types of trauma exposure such as combat survivors and assault victims.<sup>1</sup> PTSD is a chronic condition for many, posing a substantial quality-of-life and economic burden to individuals and society.<sup>2</sup>

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313 Substantial advances are being made in the understanding of PTSD biology through preclinical 314 studies,<sup>3</sup> many of which are focused on fear systems in the brain, and some of which are being 315 translated to human studies of PTSD.<sup>4</sup> Human neuroimaging studies highlight probable 316 dysfunction in brain fear circuitry that includes deficits in top-down modulation of the amygdala by 317 regulatory regions such as the anterior cingulate and ventromedial prefrontal cortex.<sup>5,6</sup> 318 Neuroendocrine studies have identified abnormalities in the HPA axis and glucocorticoid-induced 319 gene expression in the development and maintenance of PTSD.<sup>7,8</sup> However, many questions 320 remain about the pathophysiology of PTSD and new targets are needed for prevention and 321 treatment.

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323 While twin and genetic studies demonstrated that risk of developing PTSD conditional on trauma exposure is partly driven by genetic factors,<sup>9,10</sup> the specific characterization of the genetic 324 325 architecture of PTSD is just emerging as very large meta-analyses of genome-wide association 326 studies (GWAS) become available. Recent research by our workgroup - the Psychiatric Genomic Consortium for PTSD (PGC-PTSD),<sup>11,12</sup> and the VA Million Veterans Program (MVP)<sup>13</sup>, 327 328 contributed to an increased appreciation for the genetic complexity of PTSD as a highly polygenic 329 disorder. Despite sample sizes of over 200,000 individuals, these studies identified up to 15 PTSD 330 risk loci, which were not consistent across datasets, indicating the necessity of still larger sample

sizes. In addition, these studies did not examine the X chromosome, which comprises 5% of the
 human genome, and may be particularly important given sex differences in PTSD prevalence.

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334 Furthermore, GWAS to date have had limited power to identify credible treatment candidates. 335 PTSD is also known frequently to be comorbid and genetically correlated with other mental (e.g., 336 major depressive disorder [MDD]; attention deficit hyperactivity disorder)<sup>14</sup> and physical health conditions (e.g., cardiovascular disease; obesity),<sup>15-17</sup> but studies to date are limited in their ability 337 338 to parse shared and disorder-specific loci and link them to underlying biological systems. 339 Importantly, prior GWAS are severely limited in generalizing their findings to non-European 340 ancestries. Recent work on polygenic risk scores (PRS) in PTSD shows potential utility of these measures in research,<sup>16-18</sup> but also, vexingly, limited cross-population transferability. Without 341 342 expansion to other ancestries, there is a risk that recent advances in PTSD genetics will result in 343 the widening of research and treatment disparities. This inequity is particularly troubling in the US 344 given the disproportionately high burden of trauma and PTSD faced by populations of African, 345 Native, and Latin American origin.<sup>19,20</sup>

346

347 In the present analysis, we synthesize data from 88 studies to perform a multi-ancestry meta-348 analysis of GWAS data from European ancestry (EA) (N = 137,136 cases and 1,085,746 349 controls), African ancestry (AA) (N=11,560 cases and 39,474 controls), and Native American 350 ancestry (LAT) (N=2,064 cases and 4,953 controls) samples, including analyses of the X 351 chromosome. We follow-up on GWAS findings to examine global and local heritability, infer 352 involvement of brain regions and neuronal systems using transcriptomic data, describe shared 353 genetic effects with comorbid conditions, and use multi-omic data to prioritize a set of 43 putatively 354 causal genes (Fig. 1). Lastly, we use this information to identify potential candidate pathways for 355 future PTSD treatment studies. Together, these novel findings mark significant progress towards 356 discovering the pathophysiology of trauma and stress-related disorders and inform future 357 intervention approaches for PTSD and related conditions.

- 358
- 359 Results
- 360

# 361 Data collection and GWAS

362 The PGC-PTSD<sup>21</sup> Freeze 3 data collection includes 1,307,247 individuals from 88 studies 363 (Supplementary Table 1). Data in this freeze were assembled from three primary sources (Fig. 364 1A): PTSD studies based on clinician administered or self-reported instruments (Freeze 2.5<sup>11,12</sup> 365 plus subsequently collected studies), MVP release 3 GWASs utilizing the Posttraumatic Stress 366 Disorder Checklist (PCL for DSM-IV),<sup>13</sup> and 10 biobank studies with electronic health record 367 (EHR)-derived PTSD status. We included 95 GWASs, including EA (N=1,222,882; effective 368 sample size (N<sub>eff</sub>)=641,533), AA (N=51,034; N<sub>eff</sub>=42,804) and LAT (N=7,017; N<sub>eff</sub>=6,530) 369 participants (Supplementary Table 2).

# 370 Comparisons of PTSD data subsets

Population, screening, and case ascertainment differences between datasets led to the assumption that there would be substantial cross-dataset variation in PTSD genetic signal. We

373 investigated this possibility using the software MiXeR, which incorporates an LD score regression

- 374 (LDSC) genetic correlation model plus an extension to estimate sets of causal variants and model
- their shared and unshared polygenic overlap.<sup>22,23</sup> In univariate analyses, we identified comparable
- 376 genetic architecture between PGC2.5 and EHR datasets in regards to h<sup>2</sup><sub>SNP</sub>, polygenicity, and
- discoverability. However, the uniformly assessed MVP had a higher  $h_{SNP}^2$ , was more discoverable
- and less polygenic than the other datasets (p < 0.05; Supplementary Table 3). Bivariate analyses
- identified high genetic correlations between the three subsets ( $r_g$  range = 0.79 0.87) (Extended Data Fig. 1, Supplementary Table 4). The MiXeR model did not provide a substantially better fit
- than the  $r_{\rm q}$  model (all AIC < 0), indicating that there is no evidence for subset-specific genetic
- 382 causal variation.

# 383 European ancestry PTSD GWAS

- 384 Given the similarities of the PTSD subsets, we performed a sample-size weighted fixed-effects
- 385 meta-analysis of GWAS. For the EA meta-analysis (137,136 cases and 1,085,746 controls), the

386 GC lambda was 1.55, the LDSC<sup>24</sup> intercept was 1.0524 (SE = 0.0097) (Supplementary Table 5),

- and the attenuation ratio was 0.0729 (SE=0.0134), indicating that 92.7% of the observed inflation
   in test-statistics was due to polygenic signal; thus artifacts produced only minimal inflation.
- The EA meta-analysis identified 81 independent genome-wide significant (GWS) loci, including 5 GWS loci on the X chromosome (Extended data Fig. 2, Supplementary Figs. 1 and 2, Supplementary Table 6, regional association plots in Supplementary Data 1, forest plots in Supplementary Data 2, Supplementary Text). Relative to recent prior PTSD GWAS, 67 loci are novel<sup>11-13</sup>(Supplementary Table 7). No region exhibited significant effect size heterogeneity (Supplementary Fig. 3).
- We next sought to gain insights into whether loci harbor multiple independent variants. While FUMA<sup>25</sup> annotations reported independent lead SNPs within risk loci based on pair-wise LD (Supplementary Table 8), COJO<sup>26</sup> analysis of each locus conditional on the leading variants suggested that only one locus carried a conditionally independent GWS SNP (rs3132388 on chromosome 6, p=2.86 x10<sup>-9</sup>). This locus however, is in the MHC region, whose complicated linkage disequilibrium (LD) structure<sup>27</sup> may not be accurately captured by reference panels.

# 401 African and Native American ancestry PTSD GWAS meta-analyses

The AA meta-analysis included 51,034 predominantly admixed subjects (N=11,560 cases and 39,474 controls). There was minimal inflation of test statistics, with GC lambda = 1.031. No GWS loci were identified (Supplementary Fig. 4). The LAT meta-analysis was performed in 7,017 subjects (N=2,064 cases and 4,953 controls). There was minimal inflation of test statistics (GC Lambda=0.993) and no GWS loci were identified (Supplementary Fig. 5).

# 407 Multi-ancestry GWAS meta-analysis

- 408 A multi-ancestry fixed-effects meta-analysis of EA, AA, and LAT GWAS (150,793 cases,
- 409 1,130,197 controls) identified 85 GWS loci. Compared to the EA meta-analysis, 10 loci lost GWS,
- while 14 previously suggestive loci ( $p < 5 \times 10^{-7}$ ) became GWS (Fig. 2). In total, the present study
- 411 identified 95 unique GWS PTSD loci between the EA and multi-ancestry meta-analyses (Table
- 1). Due to the complex local ancestry structure in individuals with African and Native American

- 413 ancestry, which complicates LD modeling, we focused subsequent fine-mapping analyses (Fig.
- 414 1B) on data from the EA GWAS.

# 415 Gene-mapping

416 To link GWS SNPs to relevant protein coding genes, we applied three gene mapping approaches 417 implemented in FUMA: positional mapping, expression quantitative trait loci (eQTL), and 418 chromatin interaction mapping (Supplementary Table 9). GWS SNPs within the 81 EA loci 419 mapped to 415 protein coding genes under at least one mapping strategy. A total of 230 genes 420 (55%) were mapped by two or more strategies, and 85 (20%) genes were mapped by all three 421 strategies (Supplementary Fig. 6). Notably, some genes were implicated across independent risk 422 loci by chromatin interactions/eQTL mapping, including EFNA5, GRIA1, FOXP2, MDFIC, WSB2, VSIG10, PEBP1, and C17orf58. Chromatin interaction plots are shown in Supplementary Data 3. 423

- 424 Functional annotation and fine-mapping of risk loci
- 425 Functional annotations were used to gain insights into the functional role of SNPs within the 81
- risk loci (Supplementary Table 10): 72 loci contained at least one SNP with Combined Annotation
- 427 Dependent Depletion  $(CADD)^{28}$  scores suggestive of deleteriousness to gene function ( $\geq$ 12.37),
- 428 43 loci contained GWS SNPs with Regulome DB<sup>29</sup> scores likely to affect binding, and 23 loci
- 429 contained at least one SNP in the exon region of a gene.
- 430 To narrow the credible window of risk loci and identify potentially causal SNPs, we fine-mapped
- 431 loci using Polyfun+SUSIE<sup>30</sup>, which identified a credible set for 67 loci. Credible set window lengths
- 432 were on average 62% of the original set lengths (Supplementary Table 11) and contained a
- 433 median of 23 credible SNPs (range 1-252). Only one contained a SNP with posterior inclusion
- 434 probability > 0.95, a missense SNP in the exon of *ANAPC4* (rs34811474, R[CGA]>Q[CAA];
- 435 Supplementary Table 12).

# 436 Gene-based, gene-set, and gene-tissue analyses

As an alternative approach to SNP-based association analysis, we tested the joint association of 437 markers within genes using a gene-based association analysis in MAGMA,<sup>31</sup> which is a 2-stage 438 439 method that first maps SNPs to genes and then tests whether a gene is significantly associated 440 with PTSD. The gene-based analysis identified 175 GWS genes (Supplementary Table 13, 441 Supplementary Fig. 7). Of these, 52 were distinct from the genes implicated by the gene-mapping 442 of individual SNPs within GWS loci. These notably include DRD2, which has been thoroughly 443 investigated in the context of psychiatric disorders and is a significant GWAS locus for multiple psychiatric disorders including schizophrenia.<sup>32</sup> Refer to the Supplementary Text and 444 445 Supplementary Table 14 for further investigation of conditionally independent SNPs within these 446 52 genes.

447

MAGMA gene-set analysis of 15,483 pathways and gene ontology (GO) terms from MSigDB<sup>33</sup> 448 449 identified 12 significant GO terms. Significant terms were related to the development and 450 differentiation of neurons (e.g. go\_central\_nervous\_system\_development, p=2.0x10<sup>-7</sup>), the go postsynaptic membrane,  $p=6.9x10^{-7}),$ 451 synaptic membrane (e.g. regulation 452 (go positive regulation of gene expression  $1.0x10^{-6}$ ), and nucleic acid binding (p= $1.52x10^{-6}$ ) 453 (Extended Data Fig. 3, Supplementary Table 15).

454 MAGMA gene-tissue analysis of 54 tissue types showed PTSD gene enrichment in the brain 455 (most notably in cerebellum, but also cortex, hypothalamus, hippocampus and amygdala) and in 456 the pituitary with enrichment found across all 12 examined brain regions (Extended Data Fig.4)

- 456 the pituitary, with enrichment found across all 13 examined brain regions (Extended Data Fig 4).
- 457 Cell type analysis conducted in midbrain tissue data<sup>34</sup> identified GABAergic neurons, GABA 458 neuroblasts, and mediolateral neuroblast type 5 cell types as having enriched associations above
- 459 other brain cell types tested (p<0.05/268) (Extended Data Fig 5). GABAergic neurons remained
- 460 significant ( $p=4.4x10^{-5}$ ) after stepwise conditional analysis of other significant cell types.

# 461 Multi-omic investigation of PTSD

462 To gain insights into which particular genes in enriched brain tissues were contributing to PTSD, we conducted a combination of a transcriptome-wide association study (TWAS)<sup>35</sup> and summary 463 464 based mendelian randomization (SMR) analyses<sup>36</sup> using GTEx brain tissue data based on the EA 465 GWAS summary data. TWAS identified 25 genes within 9 loci with Bonferroni-significantly different genetically regulated expression levels between PTSD cases and controls 466 467 (p<0.05/14,935 unique genes tested) (Fig. 3A, Supplementary Fig. 8, Supplementary Table 16). SMR identified 26 genes within 4 loci whose expression levels were putatively causally associated 468 with PTSD (p<0.05/9,003 unique genes tested) (Fig. 3B, Supplementary Table 17). Many of these 469 genes have been previously implicated in PTSD<sup>37</sup> and other psychiatric disorders (e.g., 470 CACNA1E, CRHR1, FOXP2, MAPT, WNT3). Notably, the 3p21.31 (incl., RBM6, RNF123, 471 472 MST1R, GMPPB, INKA1), 6p22.1 (incl., ZCAN9 and HCG17) and 17g21.31 (incl., ARHGAP27, ARL17A, CRHR1, MAPT, FAM215B, LRRC37A2, PLEKHM1, and SPPL2C) regions contained 473 474 >10 putative causal genes each.

475 Among the GTEx tissues with the most TWAS and SMR signals was the dorsolateral prefrontal 476 cortex (dIPFC). To gain insight into cell type resolution, we conducted MAGMA for cell-type-477 specific markers of dIPFC and cell-type-specific SMR. MAGMA showed a significant enrichment 478 of dIPFC inhibitory and excitatory neurons, but also of oligodendrocytes and oligodendrocyte 479 precursor cells (Supplementary Table 18), while the SMR analyses identified cell-type-specific 480 signals for 8 genes (KANSL1, ARL17B, LINC02210-CRHR1, LRRC37A2, SMR 481 ENSG00000262633, MAPT, ENSG00000273919, PLEKHM1) over 3 loci (6 out of 8 from 482 17q21.31) and all cell types (p<0.05/1,885 unique genes tested) whose expression levels were 483 potentially causally associated with PTSD (Supplementary Table 19). The top-gene, KANSL1, 484 was significant in all cell types.

- Given previously reported associations between blood-based protein levels and PTSD,<sup>38,39</sup> we performed protein quantitative trait loci (pQTL) SMR<sup>36</sup> analysis for PTSD using data from the UK Biobank Pharma Proteomics Project<sup>40</sup> (N=54,306 samples and N=1,209 proteins). We identified 16 genes within 9 loci whose protein levels were significantly associated with PTSD (p<0.05/1,209 and p HEIDI > 0.05) (Fig. 3C, Supplementary Table 20), including members of the TNF superfamily (e.g., *CD40, TNFRSF13C*) implicating TNF-related immune activation in PTSD.
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# 492 Gene prioritization

493 One research objective was to identify the genes with the greatest evidence of being responsible 494 for the associations observed at each identified PTSD locus. Following recent research 495 methods,<sup>41</sup> we prioritized genes based on weighted sum of evidence scores taken across the 496 functional annotation and post-GWAS analyses (Fig. 1B). Based on the absolute and relative 497 scores of genes within risk loci, we ranked genes into Tier 1 (greater likelihood of being the causal 498 risk gene) and Tier 2 (prioritized over other GWAS-implicated genes, but lower likelihood than 499 Tier 1 of being the causal gene). 75% of loci contained prioritized genes (Tier 1 or Tier 2), the 500 remaining loci did not contain any genes over the minimum threshold of evidence (score  $\geq$  4) to 501 suggest prioritization. The prioritized genes for the top 20% of loci (ranked by locus p-value) are 502 shown in Fig 4. A complete list of scores and rankings for all 415 protein coding genes mapped 503 to risk loci is available in Supplementary Data 4.

We performed pathway enrichment analysis of the Tier 1 genes in SynGO. From Tier 1, 11 genes mapped to the set of SynGO annotated genes (*CACNA1E, DCC, EFNA5, GRIA1, GRM8, LRFN5, MDGA2, NCAM1, OLFM1, PCLO,* and *SORCS3*). Relative to other brain-expressed genes, Tier 1 genes were significantly overrepresented in the synapse (p=0.0009, qFDR=0.003), pre- and post-synapse (p=0.0086, qFDR=0.0086 and p=0.003, qFDR=0.004, respectively), and four subcategories (Extended Data Fig. 6). By contrast, there was no significant overrepresentation of genes when we applied this test to the entire set of 415 protein coding genes. Other notable Tier

- 511 1 genes included *PDE4B* related to synaptic function and TNF-related immune-regulatory genes,
- 512 including *TANK* and *TRAF3*.

#### 513 Genetic architecture of PTSD

- 514 SNP-based heritability ( $h^2_{SNP}$ ) estimated by LDSC was 0.053 (SE=0.002, p=6.8x10<sup>-156</sup>). Whereas
- 515 previous reports suggested sex-specific differences in PTSD,<sup>11</sup> no significant differences were
- 516 found (p=0.13), and  $r_g$  between male and female subsets was high ( $r_g$ =0.98,SE=0.05, p=1.2x10<sup>-</sup>
- <sup>98</sup>; Supplementary Table 5). MiXeR estimated 10,863 (SE=377) influential variants and a
- 518 discoverability of  $7.4 \times 10^{-6}$  (SE=2.2x10<sup>-7</sup>) (Supplementary Table 3), indicating a genetic
- 519 architecture comparable to other psychiatric disorders.<sup>42</sup>

520 Partitioned heritability across 28 functional categories identified enrichment in histone markers 521 (H3K9ac peaks: 6.3 fold enrichment, SE = 1.12, p= $3.11\times10^{-6}$ ; H3K4me1: 1.5 fold enrichment, 522 SE=0.14, p= $3.3\times10^{-4}$ ; Supplementary Table 21), and in evolutionary constrained regions across 523 29 Eutherians (18.37 fold enrichment, SE = 1.18, p= $1.29\times10^{-17}$ ). This is consistent with findings 524 for multiple other psychiatric disorders, but has not been previously identified in PTSD.<sup>42</sup>

### 525 **Contextualization of PTSD among psychiatric disorders**

526 We measured the genetic overlap between PTSD and other psychiatric disorders using the most recent available datasets.<sup>32,43-52</sup> We observed moderate to high positive r<sub>q</sub> between PTSD and 527 528 other psychiatric disorders (Extended Data Fig. 7A). To gain further insights into this overlap, we 529 used MiXeR to quantify the genetic overlap in causal variation between PTSD and bipolar disorder 530 (BPD), MDD, and schizophrenia (SCZ) (Extended Data Fig. 7B). The strong majority (79-99%) of 531 the variation influencing PTSD risk also influenced these disorders (Extended Data Fig. 7B, 532 Supplementary Tables 22 and 23). Similar to r<sub>a</sub>, PTSD had the highest fraction of concordant 533 effect directions with MDD (among the shared variation) (87% concordant, SE=2%), significantly 534 higher than the directional concordance with BPD (67%, SE=1%) and SCZ (65%, SE=0.5%).

While our results indicate an overall strong  $r_0$  between PTSD and MDD ( $r_0=0.85$ , SE = 0.008, p< 535 536 2x10<sup>-16</sup>), the correlation between PTSD and MDD varied significantly across PTSD subsets, with 537 the most homogeneously assessed subset, MVP, showing the lowest correlation, and the biobank 538 subset being most strongly associated (Supplementary Table 24). Further, to evaluate if specific 539 genetic regions differ substantially from genome-wide estimates we used LAVA<sup>53</sup> to estimate the local h<sup>2</sup><sub>SNP</sub> and r<sub>g</sub> of PTSD and MDD across the genome, as partitioned into 2,495 approximately 540 541 independent regions (Supplementary Table 25). Local h<sup>2</sup><sub>SNP</sub> was significant (P<0.05/2,495) for both PTSD and MDD in 141 regions. Of these, local  $r_g$  was significant (p < 0.05/141) in 40 regions, 542 all in the positive effect direction, where the mean local  $r_q^2$  was 0.57 (SD=0.24). In addition, we 543 544 assessed the local r<sub>a</sub> between PTSD and MDD specifically for the 76 autosomal GWS EA loci 545 (Supplementary Table 26). While LAVA identified 20 significantly correlated loci (r<sub>g</sub><6.58x10<sup>-4</sup>), 546 there was also evidence for PTSD loci lacking evidence for correlation with MDD (Supplementary 547 Figures 9 and 10 showcase 6 selected loci with low and high  $r_{a}$ ).

#### 548 **Contextualization of PTSD across other phenotype domains**

549 Considering all 1,114 traits with SNP-based heritability z>6 available from the Pan-UKB<sup>54</sup> analysis, we observed Bonferroni-significant r<sub>a</sub> of PTSD with 73% of them (Supplementary Table 550 27). Examining the extremes of estimates observed, the top positive r<sub>g</sub> was with sertraline 551 prescription ( $r_g$ =0.88, p=3.25 x 10<sup>-20</sup>), a medication frequently prescribed for PTSD and other 552 internalizing disorders<sup>55</sup>. Other leading associations included medication poisonings (e.g. 553 "Poisoning by psychotropic agents"  $r_{a}=0.88$ , p=3.92x10<sup>-20</sup>), which could support a link with 554 accidental poisonings or self-harm behaviors.<sup>56,57</sup> Converging with epidemiologic studies, there 555 were correlations with gastrointestinal symptoms<sup>58</sup> (e.g., "Nausea and vomiting"  $r_q=0.80$ , 556 p=2.39x10<sup>-16</sup>), mental health comorbidities<sup>59</sup> (e.g., Probable Recurrent major depression (severe)" 557 558  $r_{a}=0.87$ , p=1.18x10<sup>-18</sup>; "Recent restlessness"  $r_{a}=0.86$ , p=4.21x10<sup>-54</sup>;), chronic pain<sup>60</sup> (multi-site chronic pain  $r_g=0.63$ , p=7.5x10<sup>-301</sup>) and reduced longevity<sup>61-63</sup> ("Mother's age at death" ( $r_g=-0.51$ , 559 560  $p=7.6x10^{-27}$ ).

#### 561 Drug target and class analysis

We extended MAGMA gene-set analysis to investigate 1530 gene sets comprising known drug targets (Supplementary Table 28). We identified one drug (stanozolol, an anabolic steroid) significantly enriched for targets associated with PTSD ( $p=1.62x10^{-5}$ ). However, stanozolol has only two target genes in our analyses (*ESR1, JUN*), and likely reflects the strong association of *ESR1* with PTSD in gene-level analyses ( $p=8.94x10^{-12}$ ).

567 We further examined whether high-ranking drug targets were enriched for 159 drug classes 568 defined by Anatomical Therapeutic Chemical (ATC) codes. We identified two broad classes where 569 drugs were significantly enriched for association in drug target analyses (Supplementary Table 570 29). These were opioid drugs (ATC code N02A,  $p=2.75x10^{-4}$ ), and psycholeptics (ATC code N05, 571  $p=3.62x10^{-5}$ ), particularly antipsychotics (ATC code N05A,  $p=3.55x10^{-7}$ ). However, sensitivity 572 analyses limited to drugs with 10 or more targets identified no significant drug target sets nor drug 573 classes.

#### 574 Polygenic predictive scoring

575 We evaluated the predictive accuracy of PRS based on PTSD Freeze 3 in a set of MVP holdout 576 samples (Fig. 5). In EA holdouts, risk was significantly different across the range of PTSD PRS: 577 For example, individuals in the highest quintile of PTSD PRS had 2.4 times the relative risk of PTSD (log relative risk SE=0.032; 95%CI = [2.25, 2.56]; p=1.16x10<sup>-167</sup>) than individuals in the 578 579 lowest quintile. PRS explained 6.6% of the phenotypic variation in PTSD (Nagelkerke's R<sup>2</sup> 580 transformed to the liability scale at 15% population and sample prevalence), representing a major 581 improvement over PRS based on Freeze 2. In contrast, among AA holdout samples, PRS 582 explained only 0.9% (liability scale) of the variation in PTSD, consistent with previous work 583 suggesting that AA PRS based on EA data lag behind in prediction.65

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### 585 Discussion

586 In the largest PTSD GWAS to date we analyzed data from over one million subjects and identified 587 a total of 95 independent risk loci across analyses, a five-fold increase over the most recent PTSD 588 GWAS.<sup>11-13</sup> Compared to previous PTSD GWAS, we confirmed 14 out of 24 loci, and identified 589 80 novel PTSD loci. Variant discovery in psychiatric GWAS follows a sigmoid curve, rapidly increasing once sample size passes a given threshold. This analysis passes that inflection point 590 in PTSD,<sup>66</sup> thus representing a major milestone in PTSD genetics. Moreover, by leveraging 591 592 complementary research methodologies, our findings provide new functional insights and a 593 deeper characterization of the genetic architecture of PTSD.

594 Tissue and cell-type enrichments revealed involvement of cerebellum, in addition to other traditionally PTSD-associated brain regions, and interneurons in PTSD risk. Structural alterations 595 in the cerebellum are associated with PTSD<sup>67</sup> and large postmortem transcriptomic studies of 596 597 PTSD consistently reveal differential expression of interneuron markers in prefrontal cortical 598 tissue and amygdala nuclei.<sup>68-70</sup> We used a combination of TWAS and SMR to probe the causal 599 genes operating within the enriched tissues and cell types with brain transcriptomic data. The 600 identified signals were concentrated in some GWAS loci like 17q21.31 whose inversion region is 601 associated with a range of psychiatric phenotypes and linked to changes in brain structure and 602 function. KANSL1, ARL17B, LINC02210-CRHR1 (encoding a fusion protein with CRHR1) and 603 LRRC37A2 were the top causal genes in both neuronal and non-neuronal cell-types. KANSL1 604 plays a critical role in brain development. Furthermore, the first single cell transcriptomic study of 605 PTSD confirmed neuronal, excitatory and inhibitory, alterations in 17q21.31 with top alterations in 606 ARL17B, LINC02210-CRHR1 and LRRC37A2, while also emphasizing the involvement of 607 immune and glucocorticoid response in neurons (Chatzinakos et al. 2023, in press).

Notably, although PTSD risk in epidemiological studies is higher in women than men,<sup>71</sup> here we found no sex differences in heritability. Five loci on the X chromosome associated with the disorder. Our finding that the estrogen receptor (*ESR1*) gene was identified in GWAS, as well as observations of differential effects of estrogen levels on a variety PTSD symptoms,<sup>72,73</sup> suggests the importance of further analyses of *ESR1* as a potential mediator of observed sex differences.

613 Our analyses prioritized 43 genes as Tier 1 (likely causal) based on weighted sum of evidence 614 scores taken across the functional annotation and post-GWAS analyses. These genes can 615 broadly be classified as neurotransmitter and ion channel synaptic plasticity modulators (e.g., 616 GRIA1, GRM8, CACNA1E), developmental, axon guidance and transcription factors (e.g., 617 FOXP2, EFNA5, DCC), synaptic structure and function genes (e.g., PCLO, NCAM1, PDE4B), 618 and endocrine and immune regulators (e.g., ESR1, TRAF3, TANK). Furthermore, many additional 619 genes with known function in related pathways were genome-wide significant and met Tier 2 620 prioritization criteria (e.g., GABBR1, CACNA2D2, SLC12A5, CAMKV, SEMA3F, CTNND1, and 621 CD40). Together, these top genes show a remarkable convergence with neural network, synaptic 622 plasticity and immune processes implicated in psychiatric disease. Furthermore, CRHR1,<sup>74,75</sup> 623 WNT3,<sup>76,77</sup> and FOXP2,<sup>78,79</sup> among other genes, are implicated in preclinical and clinical work 624 related to stress, fear and threat-processing brain regions thought to underlie the neurobiology of 625 PTSD. These findings largely support existing mechanistic hypotheses, and it will be important to 626 examine how these genes and pathways function in already identified stress-related neural 627 circuits and biological systems. Furthermore, while some of the prioritized genes are largely within 628 pathways currently indicated in PTSD, many of the specific genes and encoded proteins were not 629 previously established and warrant further investigation. Additionally, many genes and noncoding 630 RNAs were not previously identified in any psychiatric or stress-related disorder, and offer an 631 important road map for determining next steps in understanding new mechanisms of vulnerability 632 for posttraumatic psychopathology. Future mechanistic research in preclinical models should 633 examine whether targeting combinations of these genes, for example via polygenic targeting, 634 epigenetic, or knockdown approaches, would have increased power in regulating stress, fear, 635 cognitive dysfunction or other symptoms and behaviors seen in PTSD.

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637 We observed highly shared polygenicity between PTSD and other psychiatric disorders, albeit 638 with effect discordance across the shared variation. In particular, in some cases we found that 639 the genetic correlation of PTSD with MDD is as high or higher than genetic correlations between 640 different cohorts, with different measures, of PTSD. Thus, our findings corroborate the hypothesis that psychiatric disorders share a substantial amount of risk variation but are differentiated by 641 disorder-specific effect sizes.<sup>43</sup> Across the disorders we assessed, the correlation between PTSD 642 643 and MDD was highest, in agreement with existing genetic multi-factor models of psychopathology that consistently cluster these disorders together<sup>42,80</sup> and concordant with their epidemiologic co-644 645 morbidity.<sup>81</sup> Evaluation of local patterns of heritability and genetic correlation however indicates 646 disorder-specific risk variation, which will serve as targets for follow-up in cross-disorder 647 investigations. We note that as GWAS of psychiatric traits grow in size and power, the field is 648 seeing relatively strong genetic correlations among these traits, as well as with other behavioral 649 and medical traits. This likely reflects, in part, the reality that there is substantial shared genetic 650 variance among these traits, while not excluding the consistent observations that: (1) these traits 651 do vary considerably in the magnitude of their genetic correlations, and (2) local genetic 652 correlations reveal even greater genetic heterogeneity among these traits than global genetic 653 correlations alone would lead us to believe. Finally, while PTSD is the most well-understood 654 psychiatric outcome of trauma exposure, it is well documented that trauma is a risk factor for 655 many different psychiatric disorders, with perhaps depression as the highest risk. Thus these 656 shared areas of overlap may represent general trauma vulnerability as well. 657

658 Despite the high level of overall correlation between PTSD and depression, we also note certain 659 areas of clear distinction. When we examined local genetic correlations between PTSD and 660 depression within all significant loci from the EA PTSD GWAS, we found that there were some 661 regions with significant local heritability for PTSD but not depression, suggestive of PTSD-specific 662 signals. In contrast, we also find other regions with clear shared signals showing local correlation 663 across depression and PTSD, indicating that we have the power to detect shared and distinct 664 local heritability. Together these findings suggest several PTSD-specific loci worthy of further 665 investigation.

666 Further identification of PTSD genetic loci will provide therapeutic insights.<sup>89</sup> We explored whether 667 genes targeted by specific drugs (and drug classes) were enriched for GWAS signal. These 668 analyses provided tentative support for antipsychotics and opioid drugs - known psychiatric drug 669 classes – and were driven by gene-wise associations with DRD2 (antipsychotics) and CYP2D6 670 (opioids). Atypical antipsychotics may have efficacy in treating severe PTSD, but otherwise their use is not supported.<sup>90</sup> Similarly, whereas some observational studies find that chronic opioid use 671 worsens PTSD outcomes,<sup>91</sup> there is preclinical work motivating the further study of opioid 672 673 subtype-specific targeting (e.g., partial MOR1 agonism, k-type opioid receptor [KOR1] antagonism) in the treatment of comorbid PTSD and opioid use disorders.<sup>92</sup> Analyses in better-674 powered datasets may identify drug repositioning opportunities and could use the predicted effect 675 676 of associated variants on gene expression to indicate whether drug candidates would be 677 beneficial or contraindicated in people with PTSD.

In summary, we reported 81 loci associated with PTSD in a EA meta-analysis, and 85 loci when expanding to trans-ancestry analyses. While these results represent a milestone in PTSD genetics and point to exciting potential target genes, further investment into data collection from underrepresented populations of diverse ancestries is needed for identification of additional risk variants and to generate equitable and more robust PRS.

### 683 Methods

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### 685 **Participants and studies**

686 PTSD assessment and DNA collection for GWAS analysis were performed by each study 687 following their protocols. A description of the studies included and the phenotypic and genotyping 688 methods for each study Supplementary Methods and Supplementary Table 1. We complied with 689 relevant ethical regulations for human research. All subjects provided written informed consent 690 and studies were approved by the relevant institutional review boards and the UCSD IRB (protocol 691 #16097x).

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# 693 EHR Studies

A total of 10 EHR-based cohorts (not including the MVP, which also contributed data) provided GWAS summary statistics. These cohorts consisted of four US-based sites (Vanderbilt University Medical Center's BioVu, the Mass General Brigham Biobank, Mount Sinai's BioMe, and Mayo Clinic's MayoGC) and six non-US sites (iPSYCH from Denmark, FinnGen, HUNT Study from Norway, STR-STAGE from Sweden, UK Biobank, and Estonia Biobank). More details on

- of PTSD cases was defined based on patients having at least 1 PTSD or other stress disorder
- code (see Supplementary Text for the list of corresponding ICD-9 and 10 codes). All other patients
- without such a code were defined as controls. From a total of 817,181 participants across all
- cohorts, this case definition resulted in 78,687 cases based on the broad definition (9.6%).
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#### 705 Data assimilation

Subjects were genotyped on Illumina (N=84 studies) or Affymetrix genotyping arrays (N=5 studies) (Supplementary Table 1). Studies which provided direct access to pre-quality control genotype data (N=64 studies) were deposited on the LISA server for central processing and analysis by the PGC-PTSD analyst. Studies with data sharing restrictions (N=24 studies) were processed and analyzed following their own site-specific protocols (Supplementary Table 28), and shared GWAS summary statistics for inclusion in meta-analysis.

#### 712 Genotype quality control and imputation

713 Genotype data was processed separately by study. For genotype data processed by the PGC-714 PTSD analyst, quality control was performed using a uniform set of criteria, as implemented in 715 the RICOPILI<sup>93</sup> pipeline version 2019\_Oct\_15.001. Modifications were made to the pipeline to 716 allow for ancestrally diverse data and are noted where applicable. Quality control: using SNPs 717 with call rates >95%, samples were excluded with call rates <98%, deviation from expected 718 inbreeding coefficient (fhet < -0.2 or >0.2), or a sex discrepancy between reported and estimated 719 sex based on inbreeding coefficients calculated from SNPs on X chromosomes. SNPs were 720 excluded for call rates <98%, a >2% difference in missing genotypes between cases and controls, 721 or being monomorphic. Hardy-Weinberg equilibrium was calculated within only in the largest 722 homogenous ancestry group found in the data. SNPs with a Hardy-Weinberg equilibrium P-723 value  $< 1 \times 10-6$  in controls were excluded.

724 After guality control, datasets were lifted over to the GRCh37/hg19 human genome reference 725 build. SNP name inconsistencies were corrected, and genotypes were aligned to the strand of 726 the imputation reference panel. Markers with non-matching allele codes or with excessive MAF 727 difference (> 0.15) with the selected corresponding population in the reference data were 728 removed. The pipeline was modified so that only the largest homogenous ancestry group in the 729 data was used for the calculation of MAF. For ambiguous markers, strand was matched by 730 comparing allele frequencies: if a strand flip resulted in a lower MAF difference between the study 731 and the reference data, the strand was flipped. Ambiguous markers with high MAF (> 0.4) were 732 removed. The genome was broken into 132 approximately equally sized chunks. For each chunk, 733 genotypes were phased using Eagle v2.3.5 and phased genotypes were imputed into the 734 Haplotype Reference Consortium panel<sup>94</sup> using minimac3. Imputed datasets were deposited with 735 the PGC DAC and are available for approved requests.

Studies with data sharing restrictions followed similar criteria for quality control, as detailed in Supplementary Table 28 and in the references in the supplemental material. Studies were imputed to either the 1000G phase 3, HRC, SISu panel, or a composite panel. GWAS summary data were lifted to the GRCh37 reference build where required. As differences in the imputation panels and genome reference build can result in SNP-level discrepancies between datasets, each set of summary data was examined for correspondence to the centrally imputed data. Multi-allelic SNPs and SNPs with non-matching allele codes were excluded. Stand ambiguous SNPs with
high MAF difference (>20%) from the average frequency calculated the PGC-PTSD data were
flagged and examined for strand correspondence.

#### 745 Ancestry determination

746 For studies where the PGC analyst had genotype data access, ancestry was determined using a global reference panel<sup>11</sup> using SNPweights<sup>95</sup>. The ancestry pipeline was shared with external 747 748 sites to be utilized where possible. Subjects were placed into three large groupings: European 749 and European Americans (EA; subjects with ≥90% European ancestry), African and African-750 Americans (AA; subjects with  $\geq$ 5% African ancestry, <90% European ancestry, <5% East Asian, 751 Native American, Oceanian, and Central-South Asian ancestry; and subjects with ≥50% African ancestry, <5% Native American, Oceanian, and <1% Asian ancestry), and Latinos (LAT; subjects 752 753 with ≥5% Native American ancestry, <90% European, <5% African, East Asian, Oceanian, and 754 Central-South Asian ancestry). Native Americans (subjects with  $\geq 60\%$  Native American ancestry, 755 <20% East Asian, <15% Central-South Asian, and <5% African and Oceanian ancestry) were 756 grouped together with LAT. All other subjects were excluded from the current analyses. For the 757 MVP cohort, ancestry was determined using standard principal components analysis approach 758 where MVP samples were projected onto a PC space made from 1000 Genomes Phase 3 (KGP3) 759 samples with known population origins (EUR, AFR, EAS, SAS, and AMR populations). EHR 760 cohorts followed their own site-specific ancestry classification protocols.

#### 761 **GWAS**

762 GWAS was performed with stratification by ancestry group and study. Strata were only analyzed 763 if they had a minimum of 50 cases and 50 controls, or alternatively 200 subjects total. Where 764 noted (Supplementary Table 2), small studies of similar composition were jointly genotyped so 765 that they could be analyzed together as a single unit. For GWAS, the association between each 766 SNP and PTSD was tested under an additive genetic model, using a regression model 767 appropriate to the data structure. The statistical model, covariates, and analysis software used to 768 analyze each study is detailed in Supplementary Table 30. In brief, studies of unrelated subjects 769 with continuous (case/control) measures of PTSD were analyzed using PLINK 1.9,<sup>96</sup> using a linear 770 (logistic) regression model which included 5 PCs as covariates. For studies that retained related 771 subjects, analyses were performed using methods that account for relatedness. QIMR was 772 analyzed using GEMMA<sup>97</sup> v0.96, including the first five PCs as covariates. RCOG was analyzed using the generalized disequilibrium test.<sup>98</sup> UKBB was analyzed using Bolt-LMM<sup>99</sup> including 6 773 774 PCs, and batch and center indicator variables as covariates. VETS was analyzed using BOLT-775 LMM including 5 PCs as covariates. EHR based studies that included related subjects were 776 analyzed using saddle point approximation methods to account for case/control imbalances. AGDS and QIM2 were analyzed using SAIGE<sup>100</sup> including 4 PCs and study specific covariates. 777 778 BIOV was analyzed using SAIGE including 10 PCs and age of record. ESBB, FING, HUNT, and 779 SWED were analyzed using SAIGE including 5 PCs. UKB2 was analyzed using REGENIE<sup>101</sup> 780 including 6 PCs, assessment center, and genotyping batch covariates. GWAS was additionally 781 performed stratified by sex. For the X chromosome analysis, sex was added as a covariate.

#### 782 Meta-analysis

783 Sample-size weighted fixed-effects meta-analysis was performed with METAL.<sup>102</sup> Within each dataset and ancestry group, summary statistics were filtered to MAF ≥1% and imputation 784 785 information score ≥0.6. Meta-analyses were performed within the EA, AA, and LAT ancestry 786 groups. A multi-ancestry meta-analysis was performed as the meta-analysis of the three meta-787 analyses. Genome-wide significance was declared at  $P < 5 \times 10^{-8}$ . Heterogeneity between 788 datasets was tested with the Cochran test. Markers with summary statistics in less than 80% of 789 the total effective sample size were removed from meta-analyses. LDSC<sup>24</sup> intercept was used to 790 estimate inflation of test statistics related to artifacts rather than genetic signal. The proportion of 791 inflation of test statistics due to the actual polygenic signal (rather than other causes such as 792 population stratification) was estimated as 1-(LDSC intercept-1)/(mean observed Chi-square-1).

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## 794 **Regional Association Plots**

Regional association plots were generated using LocusZoom<sup>103</sup> with 1.5MB windows around the
 index variant (unless the locus region was wider than 1.5MB, in which case it was the locus region
 plotted plus an additional buffer to include data up to the recombination region). The LD patterns
 plotted were based on the 1000 Genomes Phase 3 reference data,<sup>104</sup> where a sample ancestry
 appropriate subpopulation (EUR, AFR, or AMR) was used.

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### 801 Conditional analysis of significant loci

To determine if there were independent significant SNPs within risk loci, GCTA Conditional and Joint Analysis<sup>26</sup> was performed. Stepwise selection was performed using the --cojo-slct option and default parameters, where UKBB European genotype data was used to model LD structure.

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### 806 SNP heritability

h<sup>2</sup><sub>SNP</sub> of PTSD was estimated using LDSC. LD scores calculated within KGP3 European
populations (<u>https://data.broadinstitute.org/alkesgroup/LDSCORE/</u>) were used for the input.
Analyses were limited to HapMap 3 SNPs, with the MHC region excluded (chr6: 26–34 million
base pairs). SNP-based heritability was also calculated as partitioned across 28 functional
annotation categories (<u>https://data.broadinstitute.org/alkesgroup/LDSCORE/</u>) using stratified
LDSC.<sup>105</sup>

### 813 Comparisons of Genetic Architecture

We used univariate MiXeR (version 1.3)<sup>22,23</sup> to contrast the genetic architecture of phenotypes. 814 815 MiXeR estimates SNP-based heritability and two components that are proportional to heritability: 816 the proportion of non-null SNPs (polygenicity), and the variance of effect sizes of non-null SNPs 817 (discoverability). MiXeR was applied to GWAS summary statistics under the default settings with 818 the supplied European ancestry LD reference panel. The results reported for the number of 819 influential variants reflects the number of SNPs necessary to explain 90% of SNP-based 820 heritability. Bivariate MiXeR was used to estimate phenotype-specific polygenicity and the shared 821 polygenicity between phenotypes. Goodness of fit of the MiXeR model relative to simpler models 822 of polygenic overlap was assessed using AIC values. Heritability, polygenicity and discoverability 823 estimates were contrasted between datasets using the z-test.

#### 824 Local genetic correlation analyses

Local  $h_{SNP}^2$  and  $r_g$  between PTSD and MDD<sup>50</sup> were estimated using LAVA.<sup>53</sup> KGP3 European data was used as the LD reference. Local  $h_{SNP}^2$  and  $r_g$  were evaluated across the genome, as partitioned into 2,495 approximately equally sized LD blocks. Local rg was only evaluated for loci where local heritability was significant (P < 0.05/2,495) in both phenotypes. Significance of local rg was based on Bonferroni adjustment for the number of  $r_g$  evaluated.

#### 830 Polygenic risk scores (PRS)

831 PRS were calculated in ancestry-stratified MVP holdout samples, based on the EA Freeze 3 832 PTSD GWAS. GWAS summary statistics were filtered to common (MAF >1%), well-imputed 833 variants (INFO > 0.8). Indels and ambiguous SNPs were removed. PRS- $CS^{106}$  was used to infer posterior effect sizes of SNPs, using the KGP3 EUR based LD reference panel supplied with the 834 835 program, with the global shrinkage parameter set to 0.01, 1,000 MCMC iterations with 500 burn-836 in iterations, and the Markov chain thinning factor set to 5. PRS were calculated using the --score 837 option in PLINK 1.9, using the best-guess genotype data of target samples, where for each SNP 838 the risk score was estimated as the posterior effect size multiplied by the number of copies of the 839 risk allele. PRS was estimated as the sum of risk scores over all SNPs. PRS were used to predict 840 PTSD status under logistic regression, adjusting for 5 PCs. The proportion of variance explained 841 by PRS for each study was estimated as the difference in Nagelkerke's R<sup>2</sup> between a model

842 containing PRS plus covariates and a model with only covariates.

#### 843 Functional Mapping and Annotation

We used the SNP2GENE module in FUMA<sup>25</sup> v1.4.1 (https://fuma.ctglab.nl) to annotate and 844 845 visualize GWAS results. The complete set of parameters used for FUMA analysis are shown in 846 the Supplementary Text. Independent genomic risk loci were identified ( $r^2 < 0.6$ , calculated using 847 ancestry-appropriate KGP3 reference genotypes). SNPs within risk loci were mapped to protein 848 coding genes using positional mapping (10KB window), eQTL mapping (GTEx v8 brain tissue,<sup>107</sup> BRAINEAC,<sup>108</sup> and CommonMind<sup>109</sup> data sources), and chromatin interaction mapping 849 (PsychENCODE<sup>110</sup> and HiC<sup>111,112</sup> of brain tissue types) methods. Chromatin interactions and 850 851 eQTLs were plotted in circos plots. SNPs were annotated to functional annotation databases including ANNOVAR,<sup>113</sup> CADD,<sup>28</sup> and RegulomeDB.<sup>29</sup> 852

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### 854 Novelty of risk loci

The start and stop positions of independent risk loci were assessed for positional overlap with existing PTSD loci<sup>11-13</sup>. Loci were declared novel if their boundaries did not overlap with a variant reported significant in prior GWAS.

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### 859 MAGMA gene-based and gene-set analyses

60 Gene-based association analyses were conducted using MAGMA<sup>31</sup> v1.08. SNPs were 61 positionally mapped (0KB window) to 19,106 protein-coding genes. The SNP-wide mean model 62 was used to derive gene-level p-values, with an ancestry appropriate KGP3 reference panel was 63 used to model LD. Significance was declared based on Bonferroni adjustment for the number of 64 genes tested. Gene-based association statistics were used in MAGMA for gene-set and gene-65 property analyses. Gene-set analysis used the MsigDB<sup>33</sup> version 7.0 including 15,483 curated 66 gene-sets and gene-ontology (GO) terms. Gene-property analysis of tissues and tissue subtypes was performed using GTEx v8 expression data, with adjustment for the average expression of all
tissues in the dataset. To evaluate cell type specific enrichment, the FUMA cell type module was
used, selecting 12 datasets related to the brain (full list in Supplementary Text). Finally, MAGMA
was used to estimate the enrichment of dIPFC cell types in PTSD risk based on the DER21 marker
gene list from PsychEncode Consortium Phase 1 resource release.<sup>110</sup>

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## 873 GWAS Fine-mapping

Polygenic functionally informed fine-mapping (Polyfun)<sup>30</sup> software was used to annotate our results data with per-SNP heritabilities, as derived from a meta-analysis of 15 UK Biobank traits. PTSD risk loci were fine-mapped using SUSIE,<sup>114</sup> with these per SNP heritabilities used as priors, pre-computed UKB based summary LD information used as the LD reference, and locus start and end positions as determined by FUMA. The SUSIE model assumed a maximum of two causal variants.

880

## 881 Expression quantitative trait loci (eQTL) and blood protein quantitative trait loci (pQTL) 882 analyses

883 To test for a joint association between GWAS summary statistics SNPs and eQTL, the SMR 884 method,<sup>36</sup> a Mendelian randomization approach, was used. SMR software (version 1.03) was run 885 using the default settings. The European samples of the 1000G were used as a reference panel. 886 Bonferroni multiple-testing correction was applied on SMR P-value (P<sub>SMR</sub>). Moreover, a post-887 filtering step was applied by conducting heterogeneity in dependent instruments (HEIDI) test. The 888 HEIDI test distinguishes the causality and pleiotropy models from the linkage model by 889 considering the pattern of associations using all SNPs significantly associated with gene 890 expression in the cis-eQTL region. The null hypothesis is that a single variant is associated with 891 both trait and gene expression, while the alternative hypothesis is that trait and gene expression 892 are associated with two distinct variants. Finally, gene-trait associations based on SMR-HEIDI 893 were defined as the ones for which  $P_{\text{SMR}}$  met the Bonferroni significance threshold and had 894  $P_{\text{HEIDI}}$ >0.05. We conducted a combination of SMR and HEIDI based on GTEx project latest (version 8) multi-tissue cis-eQTL databases<sup>107</sup> from 13 brain regions and pituitary tissue that 895 896 showed significant enrichment in MAGMA/FUMA analyses (see above). We also used cell-typespecific eQTLs in dIPFC for SMR analyses.<sup>115</sup> Finally, we used a blood UK Biobank pQTLs 897 898 database of 1,463 plasma proteins<sup>40</sup> relying on a very large population (54,306) for SMR/HEIDI 899 analysis to evaluate biomarker potential.

### 900 Brain focused TWAS

JEPEGMIX2-P<sup>116</sup> software with default settings was used to conduct TWAS on 13 brain regions 901 902 and pituitary tissue that showed significant enrichment in MAGMA/FUMA analyses using our 903 PEC-DLPFC GReX model. JEPEGMIX2-P was applied on GWAS summary statistics to estimate 904 gene-trait associations. This method was preferable since it relied on a covariance matrix based on 33K samples compared to other TWAS methods which use less than 3k samples.<sup>117</sup> To 905 906 determine significance, a Bonferroni correction threshold for the unique number of genes tested 907 was applied) P < 0.05/14,935). As a less conservative approach, we also applied FDR at a q 908 value threshold of 0.05.

#### 909 Gene prioritization

910 Genes within risk loci were prioritized following the general approach previously described.<sup>41</sup> 911 Genes were given prioritization scores based on the weighted sum of evidence across all 912 evidence categories: FUMA positional, eQTL, and CI mapping, variant and gene annotation scores (CADD, predicted loss of impact [pLI], and RDB scores), positional overlap in fine-913 914 mapping, significance in gene-based analyses, brain tissue TWAS, eQTL SMR, and pQTL SMR. 915 Weights for each evidence category are provided in Supplementary Table 31. Within a given 916 locus, the evidence scores were compared across genes to identify the most likely causal gene. 917 Genes with scores >=4 were ranked as either Tier 1 (greater likelihood of being the causal risk 918 gene) or Tier 2 (lower likelihood of being the causal risk gene) and genes with scores < 4 were 919 left unranked. The ranking algorithm is as follows: For a given locus, if there was a gene whose 920 evidence score >= 4 and this gene's score was > 20% higher than all other genes in the locus, it 921 was ranked as a Tier 1 gene (greater likelihood of being the causal risk gene). Within a locus with 922 a Tier 1 gene, other genes with scores between 20% and 50% lower than the Tier 1 gene were 923 labeled as Tier 2. For loci without a Tier 1 gene, all genes with scores >= 4 that were within 50% 924 of the leading gene were ranked as Tier 2.

### 926 SynGO

PTSD related genes were tested for overrepresentation among genes related to synaptic terms
in the SynGO<sup>118</sup> web interface (https://www.syngoportal.org/). Brain expressed genes were
selected as the background list for the overrepresentation tests. SynGO terms with FDR q < 0.05</li>
were considered as being overrepresented.

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## 932 Drug Targeting Analyses

933 Following a previously described approach,<sup>119</sup> we analyzed the enrichment of gene-level 934 associations with PTSD in genes targeted by individual drugs. We then examined the enrichment 935 of specific drug classes among these drug target associations. We obtained gene-level associations using MAGMA<sup>31</sup> v1.08. Variant-level associations were converted to gene-level 936 937 associations using the "multi=snp-wise" model, which aggregates Z scores derived from the 938 lowest and the mean variant-level P value within the gene boundary. We set gene boundaries 35 939 kilobases upstream and 10 kilobases downstream of the transcribed regions from build 37 940 reference data (National Center for Biotechnology Information, available at 941 https://ctg.cncr.nl/software/magma).

942

We performed drug target analysis using competitive gene-set tests implemented in MAGMA.
Drug target sets were defined as the targets of each drug from: the Drug–Gene Interaction
database DGIdb v.4.2.0,<sup>120</sup> the Psychoactive Drug Screening Database Ki DB,<sup>121</sup> ChEMBL v27,<sup>122</sup>
the Target Central Resource Database v6.7.0,<sup>123</sup> and DSigDB v1.0,<sup>124</sup> all downloaded in October
2020. We additionally used the drug target sets to identify targets of drugs of interest from genebased analyses.

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We grouped drugs according to the Anatomical Therapeutic Chemical class of the drug.<sup>119</sup> Results
 from the drug target analysis were ranked, and the enrichment of each class in the drug target

analysis was assessed with enrichment curves. We calculated the area under the enrichment

- curve and compared the ranks of drugs within the class to those outside the class using the Wilcoxon Mann-Whitney test. Multiple testing was controlled using a Bonferroni-corrected significance threshold of  $P < 3.27 \times 10^{-5}$  for drug target analysis and  $P < 4.42 \times 10^{-4}$  for drug class analysis, accounting for 1530 drug sets and 113 drug classes tested.
- 957

We initially limited drug target analyses to drugs with two or more targets. However, results suggested this low limit may lead to false positive findings. As a sensitivity analysis, we further limited these analyses to drugs with 10 or more targets. Multiple testing was controlled using a Bonferroni-corrected significance threshold of  $P < 5.42 \times 10^{-5}$  for drug target analysis and  $P < 7.94 \times 10^{-4}$  for drug class analysis, accounting for 923 drug sets and 63 drug classes tested.

## 963 Genetic correlations and causal associations with other phenotypes

964 Using LDSC, we assessed the r<sub>a</sub> of PTSD derived from the PGC meta-analysis conducted in EUR 965 cohorts with traits available from the Pan-UKB analysis conducted in EUR samples. Details 966 regarding the Pan-UKB analysis are available at https://pan.ukbb.broadinstitute.org/. Briefly, Pan-967 UKB genome-wide association statistics were generated using the SAIGE and including a kinship matrix as a random effect and covariates as fixed effects. The covariates included age, sex, age 968 x sex, age<sup>2</sup>, age<sup>2</sup> x sex, and the top-10 within-ancestry principal components. We limited our 969 970 analysis to data derived from UKB participants of European descent (N=420,531) because of the 971 limited sample size available in the other ancestry groups. Initially, we calculated SNP-based 972 heritability of phenotypes available from Pan-UKB, retaining only those with SNP-based 973 heritability z>6 (Supplemental Table 25) as recommended by the developers of LDSC.<sup>125</sup> To 974 define traits genetically correlated with PTSD, we applied a Bonferroni correction accounting for 975 the number of tests performed.

# 976 Data availability

977 Summary statistics for PGC2.5 will be made available upon publication via the PGC 978 (https://pqc.unc.edu/for-researchers/download-results/). Access to study level summary statistics 979 and genotype data can be applied for by using the PGC data access portal 980 (https://pqc.unc.edu/for-researchers/data-access-committee/data-access-portal/). Summary 981 statistics for MVP are available from dbGAP (accession id phs001672.v3.p1) to qualified 982 researchers. EHR dataset summary statistics availability follows the policies of the individual 983 contributing cohorts.

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# 985 Code availability

986	Analysis	code	is	made	available	in	а	public	repository
987	(https://github.com/nievergeltlab/freeze3_gwas).								

988

# 989

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- 1399 Figure Legends
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# 1401 Figure 1: Data sources and analyses in PTSD Freeze 3.

1402 a, Data sources of genome-wide association studies (GWAS) included in PGC-PTSD Freeze 3. 1403 Collections of contributing studies are pictured as bubble plots where each circle represents a 1404 contributing study. Circle areas are proportional to sample size and colors indicate the ancestry 1405 classification of participants (blue, EA; red, AA; purple, LAT). Arrowed lines indicate data sources 1406 being pooled together to perform GWAS meta-analyses stratified by ancestry. b, Methods applied 1407 for genetic characterization of PTSD, gene prioritization analyses, and translational applications. 1408 Abbreviations: EA, European ancestry, AA, African ancestry, LAT, Native-American ancestry 1409 (Latinx); EHR, electronic health record

1410

# 1411Figure 2: GWAS meta-analyses in European and multi-ancestry individuals identify a total1412of 95 PTSD risk loci.

1413 Overlaid Manhattan plots of European ancestry (EA; 137,136 cases and 1,085,746 controls) and 1414 multi-ancestry meta-analyses (150,760 cases and 1,130,173 controls), showing 81 genome-wide 1415 significant (GWS) loci for the EA (full circles) and 85 GWS loci for the multi-ancestry (hollow 1416 circles) analyses. Circle colors alternate between chromosomes, with even chromosomes colored 1417 blue and odd chromosomes colored black. The *y* axis refers to  $-\log_{10}$  p-values. The horizontal 1418 red bar indicates the threshold for GWS associations (p < 5x10<sup>-8</sup>).

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# 1420 Figure 3: Manhattan plots of PTSD associations in multi-omic analyses.

1421 Gene expression data from 13 brain tissue types and the pituitary were used to conduct **a**. 1422 Transcriptome-wide association study (TWAS) identifying 9 loci with differential expression 1423 between PTSD cases and controls and **b**, expression quantitative trait locus summary based 1424 mendelian randomization (eQTL SMR) identifying 4 loci where gene expression has putative 1425 causal effects on PTSD. c, Blood protein quantitative trait locus (pQTL) SMR identify 16 blood 1426 proteins whose abundance has a putative causal effect on PTSD. The y axis refers to  $-\log_{10} p$ -1427 values of each respective analysis. The horizontal red bars indicate gene-wide significance (p < p1428 0.05/14,935 for TWAS, p < 0.05/9,903 for eQTL SMR, and p < 0.05/1,209 for pQTL SMR). 1429 Significant findings are labeled.

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# 1431 Figure 4: Gene prioritization in PTSD loci.

1432 Summary of evidence categories of prioritized genes (Tier 1 or 2) for the top 20% of PTSD loci (as ranked by leading SNP p-value). Locus number, prioritized genes within locus, gene locations 1433 1434 (in terms of cytogenic band), and gene tier ranks (Tier 1, orange; Tier 2, blue) are indicated on 1435 the left. Categories of evidence are grouped and colored according to the domain they belong to. 1436 CADD scores, pLI scores and fine-mapping PIPs are written within their respective squares. The 1437 total weighted scores taken across all 9 evidence categories are shown on the rightmost squares. 1438 Abbreviations: eQTL, expression QTL; CI, chromatin interaction; CADD, combined annotation 1439 dependent depletion; RDB, regulate DB; pLI, predicted loss of impact; PIP, posterior importance 1440 probability; TWAS, transcriptome-wide association study; SMR, summary Mendelian 1441 randomization; pQTL, protein QTL;

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1443 Figure 5: Polygenic risk score analysis for PTSD across different data sets and ancestries. 1444 PGC-PTSD Freeze 2 and Freeze 3 European ancestry (EA) based genetic risk score (PRS) 1445 predictions into independent samples of different ancestries. The y axis represents PTSD odds 1446 ratios relative to the lowest quintile of PRS. For EA, predictions based on Freeze 3 training data 1447 (10,334 cases and 55,504 controls; blue circles) demonstrate a significant performance increase 1448 compared to predictions based on the previous Freeze 2 training GWAS (Nievergelt et al. 2019; 1449 yellow circles). Based on Freeze 3 EA training data, EA individuals in the highest guintile of PRS 1450 have 2.8 fold the odds of PTSD relative to individuals in the lowest quintile PRS (blue circles). 1451 Lower prediction accuracies are found for individuals of African (AA; 10,151 cases and 22,420 1452 controls; red circles)) and Native American (Latinx; LAT; 5,346 cases and 10,821 controls; purple 1453 circles) ancestries, indicating poor PRS transferability across ancestries.

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## 1455 Extended data figure legends

# 1457 Extended data Figure 1: Comparison of the genetic architecture of PTSD in the three main 1458 data sources.

1459 Quantification of polygenicity and polygenic overlap in the three main data subsets based on (1) 1460 symptom scores in clinical studies and cohorts assessed on a variety of instruments in Freeze 1461 2.5 (yellow; 26,080 cases and 192,966 controls), (2) PCL (for DSM-IV) based symptom scores in 1462 the MVP (red; 32,372 cases and 154,317 controls), and (3) ICD9/10 codes in EHR studies (blue; 1463 78,684 cases and 738,463 controls) indicate a similar genetic architecture. The circles on the top half of the plot depict univariate MiXeR estimates of the total polygenicity for each data subset. 1464 1465 Numbers within circles indicate polygenicity values, expressed as the number of variants (in 1466 thousands, with SE in parenthesis) necessary to explain 90% of SNP based heritability ( $h^2_{SNP}$ ). 1467  $h^2_{SNP}$  estimates are written in the boxes at the bottom of the circles. The Euler diagrams on the 1468 bottom half of the plot depict bivariate MiXeR estimates of the polygenic overlap between data 1469 subsets. Values in the overlapping part of the Euler diagrams denote shared polygenicity and 1470 values on the non-overlapping parts note dataset-specific polygenicity. Genetic correlations (r<sub>a</sub>) 1471 between dataset pairs are noted in the boxes below the Euler diagrams. Arrowed lines are drawn 1472 between univariate and bivariate results to indicate which dataset pairs are being evaluated. 1473 Abbreviations: Neff, effective sample size.

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# 1475 Extended data Figure 2: Manhattan plot of the PTSD GWAS meta-analysis in individuals of 1476 European ancestry (EA).

1477 Results of the EA GWAS meta-analysis (137,136 PTSD cases, 1,085,746 controls) identifying 81 1478 genome-wide significant PTSD loci. The *y* axis refers to the  $-\log 10$  p-value from a meta-analysis 1479 using a sample size weighted fixed-effects model. Circle colors alternate between chromosomes: 1480 even chromosomes are colored blue and odd chromosomes are colored black. The horizontal red 1481 bar indicates genome-wide significant associations (p < 5x10<sup>-8</sup>).

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# 1483 Extended Data Figure 3: Significant PTSD gene-sets.

1484 MAGMA gene-set analysis using the Molecular Signatures database (MSigDB) identifies 11 1485 significant gene-sets. The dotted line indicates significance adjusted for the number of 1486 comparisons (p < 0.05/15,483 gene-sets). Bars depict -log<sub>10</sub> p-values. Corresponding gene-set names are indicated to the left of bars. Terms are clustered and colored according to their Gene
Ontology term category (biological processes, yellow; molecular function, blue; cellular
component, red).

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# 1491 Extended Data Figure 4: MAGMA tissue enrichment analysis.

1492MAGMA gene-property analysis in 53 specific tissue types from GTEx v8 shows enrichment of1493PTSD-related genes in 13 brain tissue types and in the pituitary. Bars depict  $-log_{10}$  p-values.1494Corresponding tissue names are indicated below bars. The dotted horizontal line indicates1495statistical significance adjusted for the number of comparisons (p < 0.05/53). Significant tissues</td>1496are colored red.

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# 1498 Extended Data Figure 5: MAGMA cell-type enrichment analysis in midbrain.

1499 MAGMA gene-property analysis of 25 midbrain cell types (GSE76381) indicate enrichment of 1500 GABAergic neurons, GABAergic neuroblasts, and mediolateral neuroblasts. Vertical bars depict 1501 -log<sub>10</sub> p-values. Significant cell types are colored blue and grey if not. The dotted horizontal line 1502 indicates statistical significance adjusted for the number of comparisons (p < 0.05/25). The 1503 asterisk (\*) indicates that GABAergic neurons remained significant in stepwise conditional 1504 analysis of the other significant cell types. Abbreviations: Gaba - GABAergic neurons; NbGaba -1505 neuroblast gabaergic; NbML1-5, mediolateral neuroblasts; DA0-2 - dopaminergicneurons; Sert, 1506 serotonergic; RN, red nucleus; Rgl 1-3, radial glia-like cells; NbM, medial neuroblast; OPC, oligodendrocyte precursor cells. ProgFPL - progenitor lateral floorplate : OMTN - oculomotor and 1507 1508 trochlear nucleus; Endo, endothelial cells; ProgM, progenitor midline; NProg, neuronal progenitor; 1509 ProgBP, progenitorbasal plate; Mgl, microglia; ProgFPM, progenitor medial floorplate; Peric -1510 pericytes.

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# 1512 Extended Data Figure 6: PTSD genes in SynGO.

Sunburst plots show enrichment of PTSD-related genes in SynGO cellular components. The
synapse is at the center ring, pre- and post-synaptic locations are at the first rings, and child terms
are in subsequent outer rings. *a*, enrichment test results for all 415 genes mapped to PTSD GWAS
loci by FUMA from one of three gene-mapping strategies (positional, expression quantitative trait
loci, and chromatin interaction mapping). *b*, enrichment test results for 43 genes prioritized into
Tier 1 using a gene prioritization strategy. Plots are colored by -log10 Q-value (see color code in
the bar at left) from enrichment of PTSD genes relative to a brain expressed background set.

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  1521 Extended Data Figure 7: Genetic correlations and polygenic overlap between PTSD and
  1522 other psychiatric disorders.
- 1523 **a**, Genetic correlations ( $r_q$ ) between PTSD and other psychiatric disorders are indicated by circles 1524 that are drawn along the x axis. Red dots indicate SNP based heritability  $(h_{SNP}^2)$  z-score > 6 in the psychiatric disorder GWAS and colored grey to indicate z-score < 6 (rg estimates may be 1525 1526 unreliable). The first author and publication year of source summary data is noted in parenthesis 1527 following the disorder name. b, Quantification of the polygenic overlap between PTSD and other 1528 psychiatric disorders. Euler diagrams depict Bivariate MiXeR analysis of PTSD (blue circles) and 1529 bipolar disorder (BIP), major depression (MDD), and schizophrenia (SCZ) (red circles). Values in 1530 the overlapping part of the Euler diagrams denote shared polygenicity (expressed as the number

of influential variants, in thousands, with SE in parenthesis), and values in the non-overlapping part indicate dataset-specific variation. r<sub>g</sub> between dataset pairs are noted in the boxes below the Euler plots. Abbreviations: ADHD, attention deficit hyperactive disorder; alc. dep, alcohol dependence; BIP, bipolar disorder; MDD, major depression; OCD, obsessive compulsive disorder; SCZ, schizophrenia.

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# 1537 Extended Data Figure 8: Genetic correlation and causal relationships with non-psychiatric 1538 traits.

A total of 1,114 traits from the Pan-UKB database were analyzed. The 12 traits with a significant shared genetic causality proportion (GCP) with PTSD are depicted. **a**, Genetic correlation between PTSD with each trait. Red circles indicate genetic correlation estimates. **b**, GCP estimates between PTSD and each trait. Blue circles indicate the GCP estimates. The vertical dotted line indicates zero shared causality. GCP estimates to the right of the dotted line indicate the causal influence of PTSD on the trait, whereas values to the left the line indicate a causal influence of the trait on PTSD.

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## 1547 *Extended Data Figure 9: Mendelian randomization analysis identifies causal effects of* 1548 *PTSD on lipid traits.*

Two-sample Mendelian randomization (MR) of PTSD and lipid traits, including disorders of lipoid metabolism (phecode 272); hyperlipidemia (phecode 272.1); hypercholesterolemia (phecode 272.11); disorders of lipoprotein metabolism and other lipidemias (ICD-10 E78), and "Non-cancer illness code, self-reported: High cholesterol". Results are shown for MR analyses as corrected for sample overlap between datasets (orange) and uncorrected inverse variance weighted MR (blue).

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