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1	The oxidative potential of PM10 from coal, briquettes and wood charcoal
2	burnt in an experimental domestic stove
3	
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15	
16	Highlights:
17	1. Burning raw powdered coal emits more PM than burning honeycomb briquette.
18	2. The $PM_{10}$ emitted by burning honeycomb briquettes had a higher oxidative potential.
19	3. The water-soluble heavy metals in $PM_{10}$ were associated with high oxidative potential.
20	4. Burning raw powdered coal had a higher health risk than burning honeycomb briquette.
21	
22	Abstract: Coal contains many potentially harmful trace elements. Coal combustion in
23	unvented stoves, which is common in most parts of rural China, can release harmful emissions
24	into the air that when inhaled cause health issues. However, few studies have dealt specifically
25	with the toxicological mechanisms of the particulate matter (PM) released by coal and other
26	solid fuel combustion. In this paper, $PM_{10}$ particles that were generated during laboratory stove
27	combustion of raw powdered coal, clay-mixed honeycomb briquettes, and wood charcoal were
28	analysed for morphology, trace element compositions, and toxicity as represented by oxidative
29	DNA damage. The analyses included Field Emission Scanning Electron Microscopy (FESEM),

Inductively Coupled Plasma Mass Spectrometry (ICP-MS) and Plasmid Scission Assay (PSA). 30 Gravimetric analysis indicated that the equivalent mass concentration of PM<sub>10</sub> emitted by 31 burning raw powdered coal was higher than that derived by burning honeycomb coal. FESEM 32 observation revealed that the coal burning-derived PM<sub>10</sub> particles were mainly soot aggregates. 33 The PSA results showed that the PM<sub>10</sub> emitted by burning honeycomb briquettes had a higher 34 oxidative capacity than that from burning raw powdered coal and wood charcoal. It is also 35 demonstrated that the oxidative capacity of the whole particle suspensions were similar to those 36 37 of the water soluble fractions; indicating that the DNA damage induced by coal burning-derived PM<sub>10</sub> were mainly a result of the water-soluble fraction. An ICP-MS analysis revealed that the 38 amount of total analysed water-soluble elements in the PM<sub>10</sub> emitted by burning honeycomb 39 briquettes was higher than that in PM produced by burning raw powdered coal, and both were 40 higher than PM from burning wood charcoal. The total analysed water-soluble elements in 41 these coal burning-derived PM<sub>10</sub> samples had a significantly positive correlation with the level 42 of DNA damage; indicating that the oxidative capacity of the coal burning-derived PM<sub>10</sub> was 43 mainly sourced from the water soluble elements. The water-soluble As, Cd, Ge, Mn, Ni, Pb, 44 45 Sb, Se, Tl, and Zn showed the highest correlation with the oxidative potential, implying that these elements in their water soluble states were the primary responsible factor for the plasmid 46 DNA damage. The exposure risk was further assessed using the particle mass concentrations 47 multiplied by the percent of DNA damage under the dose of 500 µg ml<sup>-1</sup>. The results revealed 48 that the exposure risk of burning raw powdered coal was much higher than that of burning 49 honeycomb coal. 50

51 Key words: plasmid scission assay; inductively coupled plasma mass spectrometry (ICP-MS);
52 coal burning-derived PM<sub>10</sub>; oxidative potential; water-soluble elements; exposure risk

53

# 54 **1. Introduction**

Emissions from coal combustion represent an important source of gaseous and particulate pollutants in the atmosphere, and these pollutants can have a significant impact on atmospheric chemistry, climate change, and human health (Dockery et al., 1993; Levine et al., 1995; Samet

et al., 2000; Andreae and Merlet, 2001; Kan et al., 2007, 2012; Jones et al., 2009). 58 Epidemiological investigations have demonstrated the association between exposure to 59 particulate matter (PM) and an increased incidence of mortality and morbidity from lung cancer 60 and cardiovascular diseases (Crabbe, et al., 2012; Xu et al., 2003; Hoek et al., 2013; Kheirbek, 61 et al., 2013; Shao et al., 2013). Recently, a literature review by Pui et al. (2013) demonstrated 62 that the components in PM2.5 from coal combustion and from vehicle emissions are the 63 dominant contributors to regional haze in China; they also demonstrated that short-term 64 65 exposure to PM<sub>2.5</sub> is strongly associated with an increased risk of morbidity and mortality from cardiovascular and respiratory diseases in China. Smoky coal used domestically indoors in 66 China is a known human carcinogen, and outdoor air containing coal-burning emissions is also 67 68 considered as a potential human lung carcinogen, (Loomis et al., 2013).

69 China is the largest coal producer and consumer in the world. In 2013, China produced 3.7 billion tons of coal and consumed approximately 3.61 billion tons of coal (China Statistical 70 Yearbook, 2013), which was equal to approximately 68% of the primary energy consumed in 71 72 China. Residential coal stoves are commonly used for cooking and heating, especially in the winter. Approximately 25% of the coal production in China is high-sulphur coal, with a sulphur 73 74 content exceeding 2%, and the burning of these high-sulphur coals releases SO<sub>2</sub>, together with NO<sub>2</sub> and PM<sub>10</sub> into the atmosphere, resulting in atmospheric pollution (Luo, 2008; Chen et al., 75 2009). Furthermore, coal contains many potentially harmful trace elements that may be 76 77 minimal on average, but can be enriched as a result of special geologic conditions (Bogdanovic et al., 1995; Xu et al., 2003; Dai et al., 2003; Shao et al., 2003; Tang et al., 2004; Ren et al., 78 2006; Li et al., 2006). During the combustion, processing, and utilization of coal, these trace 79 elements can be released into the air, causing harm to the environment and human health (Neas, 80 81 2000). Although we know that coal combustion can release harmful substances into the air, the toxicological mechanisms of the inhaled PM released by coal-combustion is still not clear. 82 Although toxic metals are found to be associated with some types of coals (Tang et al., 2004; 83 Ren et al., 2006), it is still unclear which elements emitted during coal combustion are of most 84 concern in terms of their toxicity. 85

86

A number of studies have shown that atmospheric  $PM_{10}$ , in which coal-burning particles

dominate, is implicated in increased morbidity and mortality and can cause asthma, respiratory 87 disease, and respiratory inflammation; it can even involve the cardiovascular system, nervous 88 system, immune system and may eventually cause cancer (Zhang et al., 2003; Ostro et al. 2006; 89 Lin et al. 2007; Loomis et al., 2013). Although the biological mechanisms underlying the 90 induction of lung cancer by  $PM_{10}$  have been examined extensively (Straif et al., 2006; 91 Benbrahim-Tallaa et al., 2012; Loomis et al., 2013), the role played in these adverse health 92 effects by coal burning-derived PM<sub>10</sub> remain unclear. A widely accepted hypothesis is that 93 94 oxidative damage originates from the bioreactive surface of airborne particles (Donaldson et al., 1996; Li et al., 1997; Shao et al., 2007); the bioavailable transition metals on the surface of 95 airborne particles could activate oxidants that could damage DNA (Costa et al., 1997; 96 Greenwell et al., 2003; DiStefano et al., 2009; Sánchez-Pérez et al., 2009; Vidrio et al., 2009; 97 Zhong et al., 2010). Many other studies have also shown that soluble metal components 98 produce Reactive Oxygen Species (ROS), which can induce oxidative stress and inflammation 99 in the lungs and respiratory tract (See et al., 2007; Distefano et al., 2009; Vidrio et al., 2009; 100 Zhong et al., 2010). The USA Environmental Protection Agency (USEPA) defines Zn and Pb 101 102 as toxic elements, and Zn is regarded as a bioreactive element (Adamson et al., 2000). Other studies have also indicated that Zn is likely to be a major element responsible for particle-103 induced plasmid DNA damage (Greenwell et al., 2003; Shao et al., 2006, 2007; Lu et al., 2006). 104 Lan and co-workers (2004) demonstrated oxidative damage-related genes (e.g. AKR1C3 and 105 OGG1) modulated risks for lung cancer due to exposure to PAH-rich coal combustion 106 emissions. Their human molecular epidemiology study took place in Xuan Wei County, China, 107 which had the highest lung cancer rates in China. It was demonstrated that PAHs were activated 108 to genotoxic intermediates to produce PAH metabolites that form DNA adducts or reactive 109 oxygen species (ROS) leading to oxidative DNA damage, such as 8-hydroxy-2'-110 deoxyguanosine (8-oxo-dG). 111

112 Currently, many methods are employed in the toxicological study of atmospheric particles; 113 such as irrigation, the Ames test method, micronucleus experiments, chromosome aberration 114 tests, and the comet assay. However, most of these are only qualitative techniques. In recent 115 years, a plasmid DNA scission assay has been developed to study the toxicity of atmospheric particulates (Li et al., 1997; Whittaker, 2003; Shao et al., 2007; Chuang et al., 2011, 2013); it
is a simple, rapid, high-sensitivity technique to detect DNA damage and allows for the toxicity
of particles to be quantified. This method has been proved to be effective in characterizing the
oxidative capacity of coal burning-derived particles (Wang et al., 2014). Inductively Coupled
Plasma Mass Spectrometry (ICP-MS) can be used to measure the levels of the water-soluble
trace elements responsible for the particle-induced DNA damages (Shao et al., 2006).

This paper evaluates the oxidative damage to plasmid DNA by  $PM_{10}$  generated by laboratory-stove combustion of different types of Chinese coal. The water-soluble trace elements of  $PM_{10}$  were examined using ICP-MS. The aim of this paper was to assess the toxicity of coal burning-derived  $PM_{10}$  and the relationship between the particle-induced oxidative potential and the metal compositions of these coal burning-derived  $PM_{10}$ .

# 127 **2. Sampling and experiments**

#### 128 **2.1** Combustion system and sample collection

129 The coals that were used in these experiments were collected from a number of coal mining areas, including Zhijin in Guizhou Province (ZJ), Datong in Shanxi Province (DT), 130 Dongsheng in the Inner Mongolia Autonomous Region (DS), Yinchuan in the Ningxia Hui 131 Autonomous Region (YC), and Jingxi (western Beijing mountain) in Beijing (JX). Raw, loose, 132 powdered coals were prepared from ZJ, DT, DS, YC, and JX, and the clay-mixed honeycomb 133 briquettes were prepared from ZJ and DT by a combination of 80% raw powdered coals and 134 20% clay. Wood charcoal was used as a comparison in the experiments. Information about the 135 proximate and ultimate analyses of raw powdered coals used in this study is given in Table 1. 136

We used a laboratory-made combustion system to conduct the burning experiments, which were carried out at the Laboratory of the Chinese Research Academy of Environmental Sciences (Geng et al., 2012). The system was composed of a combustion stove with smoke dilution tunnels and smoke chambers. The coal-stove, which is used widely for domestic cooking and heating in China, was purchased from the grocery market. It has a metallic outer cover and thermal-insulated ceramic liner. The cylindrical inner volume is 0.01 m<sup>3</sup>. The dilution tunnel consisted of two main parts made of stainless steel, including an orthogonal

pipe and a cylindrical tunnel, and an attached suction fan. The orthogonal pipe with a length of 144 1 m and a radius of 20 cm was connected to the stove for flue gas introduction and first-step 145 dilution with filtered air. At the end of the orthogonal pipe, a horizontal cylindrical tunnel with 146 a length of 4 m and a radius of 40 cm was connected for second-step dilution. At the end of the 147 tunnel, there were several outlets for suction fans and sampling. The flow rate of the suction 148 fan was controlled by a Venturic tube and was fixed at 5800 L/min. The residence time of flue 149 gas in the dilution tunnel was 5.5 second. After second-step dilution, the temperature of diluted 150 151 flue gas decreased to 30°C. The smoke chamber connected to the horizontal cylindrical tunnel was used for real-time measurement of gaseous and particulate pollutant during the combustion 152 experiments. Our PM<sub>10</sub> sampler was connected to this smoke chamber. During the experiments, 153 the flow rate of the diluted flue gas into smoke chamber was fixed at 100 L/min. 154

155 Coal samples were ignited in the stove using pre-weighed wood charcoal (0.5 kg). To 156 minimise the influence of charcoal burning emissions, the coal samples (1.0 kg for each 157 experiment) were put into the stove until smoking from charcoal combustion stopped. 158 Sampling started when the raw coal samples were put into the stove and ended when the 159 combustion was complete, and the whole process lasted for approximately one hour. The 160 schematic diagram showing this combustion system is shown in Figure 1.

A medium-volume particle sampler (Dickel-80, Beijing Geological Instrument Dickel 161 Cooperation limited, China) and a Minivol<sup>TM</sup> particle sampler (Air Metric, U.S.A), which were 162 connected to the dynamic smoke chamber, were used to collect the particulate matter. Particles 163 with an aerodynamic diameter of 10 µm or less were collected. Quartz fibre filters (diameter 164 90 mm) were used in the Dickel-80 sampler (flow rate 78 L/min), and were used for the plasmid 165 scission assay and ICP-MS experiments. Polycarbonate filters (diameter 47 mm) were used in 166 the Minivol sampler (the flow rate was 5 L/min), and were used for the Field Emission 167 Scanning Electron Microscopy (FESEM). 168

#### 169 **2.2 FESEM analysis**

A Field Emission Scanning Electron Microscope (FESEM) (JSM-6700F) was used to
 investigate the morphology of the particles. Approximately one eighth of each polycarbonate

filter was cut and then mounted onto a copper washer using epoxy resin. The specimen was gold-coated to a thickness of 20 nm. Secondary electron images were obtained to analyse the morphology of the burning-derived  $PM_{10}$  particles.

### 175 **2.3 Plasmid Scission Assay**

The plasmid scission assay is an *in-vitro* method for assessing and comparing the 176 oxidative potential of inhalable particles (Greenwell et al., 2003; Reche et al., 2012; Xiao et al., 177 178 2013; Chuang et al., 2013; Sun et al., 2014). The assay is based on the principle that any free radicals associated with particle surfaces can damage the supercoiled DNA by "nicking" the 179 strands. This damage initially causes the DNA to unwind from being supercoiled into a relaxed 180 coil. Further damage results in linearization followed by complete fragmentation. This change 181 in structure alters the electrophoretic mobility of the DNA, thereby allowing for separation and 182 quantification on an agarose gel. 183

A detailed experimental procedure has been described in Merolla and Richards (2005), 184 Shao et al. (2006), Reche et al. (2012) and Chuang et al. (2013). The PM<sub>10</sub> samples, together 185 186 with a procedural blank filter, were incubated in HPLC-grade water. The incubations were gently agitated in a vortex mixer (Scientific Industries, Vortex Genie 2) for 20 h at room 187 temperature to ensure the maximum mixing of the PM<sub>10</sub> sample in water and to avoid 188 sedimentation. At this stage after incubation each sample was separated into two parts; one part 189 was taken to directly represent the intact whole-particle suspension, and another part was used 190 to prepare the water-soluble fraction. The water-soluble fraction of PM<sub>10</sub> sample was obtained 191 by spinning the intact whole-particle suspension in a centrifuge (D37520, Germany) at 192 13000r/min for 80 minutes. At the end of this centrifuging, the supernatant was collected using 193 194 a pipette; this supernatant represented the soluble fraction of the PM<sub>10</sub> samples. The plasmid scission assay was used for both the intact whole-particle suspension and the centrifuged water-195 soluble fraction of the PM<sub>10</sub> sample. Each of them was sequentially diluted into five particle 196 dosages; 25, 50, 100, 300, and 500 µg ml<sup>-1</sup> respectively. All of the dose-scaled incubations were 197 calibrated into a final volume of 20 µl, each containing 200 ng of  $\phi$ X174-RF DNA (Promega 198 Corporation, USA). The prepared dose-scaled incubations were gently agitated in a vortex 199 mixer for another 6 h to ensure the maximum mixing of the  $PM_{10}$  sample with DNA. At this 200

stage, bromophenol blue dye  $(3.5 \ \mu)$  was added to each dose-scaled sample before injecting 201 the sample into the gel which is composed of 0.6% agarose and 0.25% ethidium bromide. Then 202 the gel carrying the injected samples was placed in a 30 V electrophoretic voltage for 16 h at 203 room temperature in a 1% tris-borate-EDTA (TBE) buffer. The electrophoresis gels were 204 photographed and a densitometric analysis was performed using the Genetools program 205 (TRANSILLUMINATOR 2020D). A semi-quantitative protocol was established that measured 206 the relative proportion of damaged DNA (relaxed and linearized) in each lane of the gel, and 207 208 was expressed as a percentage of the total DNA in each lane. Two replicates of each lane were quantified in this way, and the means were recorded for each particle dosages. Subtracting the 209 damage caused by the negative control (HPLC-grade water), the DNA damage that was 210 induced by the airborne particles at different dosages was calculated. 211

To guarantee the accuracy of the experiment result, we analysed one procedural blank quartz fibre filter. The oxidative damage to plasmid DNA induced by the blank quartz fibre filter was below 10%, so it was considered that the quartz filter does not have a significant influence on the experimental results. In addition, the water-soluble fraction obtained after centrifuging will have had any broken quartz filter shards removed, thus avoiding any extra oxidative damage.

# 218 2.4 ICP-MS analysis

This study used a high-resolution Inductively Coupled Plasma Mass Spectrometer (model 219 number: ELEMENT; Manufacturer: Finnigan-MAT company) for the analysis of trace 220 elements from coal burning-derived PM<sub>10</sub> with a detection limit of 1ppt~1ppb ( $10^{-12}$ ~ $10^{-9}$ ). The 221 experiments were carried out at a laboratory in the Beijing Research Institute of Uranium 222 Geology. The procedure used was based on Shao et al. (2013). Water-soluble trace elements 223 were obtained by directly analysing the water-soluble fractions of the  $PM_{10}$  samples that were 224 previously processed by centrifugation before the plasmid scission assay. The results were 225 reported as a concentration of the element in its soluble form in the intact PM<sub>10</sub> sample, which 226 were expressed in ppm by weight. 227

# 228 **3 Results**

#### 3.1 Mass concentration and particle morphology of the coal burning-derived PM<sub>10</sub>

In order to investigate the relative amounts of  $PM_{10}$  emitted by burning different fuels, the equivalent (equal amount of fuel, equal time of combustion, and equal combustion conditions) mass concentration of the coal burning-derived  $PM_{10}$  were estimated by combining the sampling air volume and the loaded  $PM_{10}$  mass in filters. The equivalent mass concentration can be calculated by following formula:

235 
$$MC(ug/m^3) = \frac{M_2 - M_1}{V}$$

In this formula, MC represented equivalent mass concentration of the coal burning-derived PM<sub>10</sub> ( $\mu$ g/m<sup>3</sup>), M<sub>1</sub> represented the mass of the quartz fibre filters before sampling ( $\mu$ g), M<sub>2</sub> represented the mass of the quartz fibre filters loaded with particles after sampling ( $\mu$ g), V represented the sampling volume (m<sup>3</sup>), which was the value of sampling time multiplied by the flow rate of the sampler.

241 Table 2 showed the mass concentrations of the  $PM_{10}$  emitted by burning different coals. The mass concentration of the coal burning-derived  $PM_{10}$  varied greatly, ranging from 689 242  $\mu g/m^3$  for the DT honeycomb briquette to 10694  $\mu g/m^3$  for the DS raw powdered coal. The 243 mass concentrations of the PM<sub>10</sub> emitted by burning honeycomb briquette were from 689 244  $\mu g/m^3$  to 1282  $\mu g/m^3$ , and averaged 986  $\mu g/m^3$ . The mass concentrations of the PM<sub>10</sub> emitted 245 by raw powdered coal were from 1436  $\mu$ g/m<sup>3</sup> to 10694  $\mu$ g/m<sup>3</sup>, and averaged 5251  $\mu$ g/m<sup>3</sup>. This 246 result demonstrated that the mass concentration of  $PM_{10}$  emitted by burning raw powdered coal 247 was higher than that by burning honeycomb briquette. 248

FESEM observation revealed that the morphology types of coal burning-derived particles were almost all soot aggregates, which consisted of many carbon spheres. Figure 2 demonstrates that the number density per unit area in the images of the  $PM_{10}$  emitted by burning honeycomb briquette was relatively small, while number density per unit area in the images of the  $PM_{10}$  emitted by burning raw powdered coal was much denser. This reconfirmed that burning raw powdered coal would generate more particles than burning honeycomb briquettes.

#### **3.2 Oxidative potential of PM10 generated from burning different types of coal**

The oxidative potential of the PM<sub>10</sub> generated by burning honeycomb briquettes, the raw 256 powdered coals, and the wood charcoal were examined with a plasmid scission assay. A total 257 of 8 types of PM<sub>10</sub> samples were used in this study and represented emissions from burning 258 raw powdered coals from ZJ, DT, DS, YC, and JX, the clay-mixed honeycomb briquettes from 259 ZJ and DT, and wood charcoal. The gel images and histograms shown in Figure 3 and Table 3 260 provide a quantitative analysis of the oxidative DNA damage induced by a whole-particle 261 suspension and a water-soluble fraction in five particle doses (25, 50, 100, 300, 500 µg ml<sup>-1</sup>). 262 It can be seen that with increasing doses, the particle-induced DNA damage for all of the coal 263 burning-derived PM<sub>10</sub> types showed a general increasing trend. A positive dose-response 264 relationship exists between the amounts of DNA damages and the sample doses, which implies 265 that higher mass concentrations of PM in the ambient air could cause a higher oxidative 266 potential, and thus a higher toxicity per cubic metre of air. 267

The amount of damage to the plasmid DNA that was induced by coal burning-derived PM<sub>10</sub> varied from 5% to 55%. Table 3 and Figure 3 also demonstrated that, under the same dose (Table 3), the oxidative potential of both the whole-particle suspension and the watersoluble fraction of the PM<sub>10</sub> followed a clear pattern. High from the ZJ and DT honeycomb briquettes emissions, moderately high from the YC and ZJ powdered coals emissions, and relatively low from the DT, DS, and JX powdered coals and wood charcoal emissions.

It was found that the oxidative potential of the  $PM_{10}$  generated from burning honeycomb briquettes was significantly higher than that generated by burning the corresponding raw powdered coals. At a particle dose of 500 µg ml<sup>-1</sup>, the  $PM_{10}$  generated from burning ZJ and DT honeycomb briquettes induced DNA damage of 55% and 46% by their whole-particle suspension respectively, which was higher than the damage percentages of 34% and 19% from their corresponding raw powdered coals.

Furthermore, the differences between the amount of DNA damage induced by the wholeparticle suspension and the corresponding water-soluble fraction of the PM<sub>10</sub> samples at all dose levels were relatively small. Figure 4 shows a comparison between the amount of DNA

damage resulting from the whole-particle suspension and from the soluble fraction of the coal 283 burning-derived  $PM_{10}$  at a dose of 500 µg ml<sup>-1</sup>; it is clear that the differences between the 284 amount of DNA damage induced by the whole-particle suspension and by the corresponding 285 water-soluble fraction of these PM<sub>10</sub> were mostly insignificant, ranging from 4% to 30%, but 286 mostly less than 20%. The amount of damage induced by the soluble fraction can contribute 287 more than 70% to the amount of damage induced by the whole-particle suspension. This result 288 indicates that the oxidative potential of the PM<sub>10</sub> generated by coal burning-derived is mainly 289 290 sourced from their water-soluble components.

# **3.3 Trace elements in the PM**<sub>10</sub> emitted by burning different coals

An ICP-MS was used to detect the concentration of elements in the coal burning-derived PM<sub>10</sub>. The elements analysed included As, Cd, Co, Cr, Cs, Cu, Ge, Mo, Mn, Ni, Pb, Sb, Se, Sr, Ti, Tl, V, and Zn. The concentrations of these elements in their water-soluble fractions were analysed and the results were reported in weight ppm of each element in its soluble state in the original intact  $PM_{10}$  samples (Table 4).

For the total analysed water-soluble elements, the  $PM_{10}$  emitted by burning the ZJ 297 honeycomb briquette had the highest level (21480.63 ppm), followed by those emitted by 298 299 burning the DT honeycomb briquette (5658.73 ppm). For coal samples the values were YC powdered coal (3960.71 ppm), the ZJ powdered coal (3196.05 ppm), the DT powdered coal 300 (219.28 ppm), the DS powdered coal (212.65 ppm), the JX powdered coal (201.52 ppm), and 301 the wood charcoal (140.11 ppm) in descending order. It is clear that the PM<sub>10</sub> particles emitted 302 303 by burning honeycomb briquettes produced a higher content of total analysed water-soluble trace elements than those emitted by burning raw powdered coals, with the lowest values being 304 305 the PM<sub>10</sub> emitted by burning wood charcoal.

Table 4 also showed that the  $PM_{10}$  emitted by burning honeycomb briquettes was enriched with water-soluble elements such as As, Cd, Cu, Ge, Mn, Ni, Pb, Se, Sb, Ti, Tl, V, and Zn. All of these elements were present at concentrations greater than 10 ppm, and the water-soluble As, Ge, Pb, Tl, and Zn were present at levels greater than 100 ppm. For the raw powdered coals, the coal burning-derived  $PM_{10}$  was enriched with water-soluble As, Cu, Ni, Pb, Ti, and Zn; these individual elements reached levels that were greater than 10 ppm.

# 312 **4 Discussion**

# 4.1 Relationship between the oxidative potential and the content of water-soluble trace elements

To examine the most probable source of the oxidative potential of the coal burning  $PM_{10}$ , the amounts of DNA damage were correlated with corresponding concentrations of the watersoluble elements in the intact  $PM_{10}$  samples. As the DNA damage values from all particle doses showed the same trend, we only choose one dose level (500 µg ml<sup>-1</sup>) for the correlation analysis. The Pearson correlation coefficients are provided in Table 5, with a sample number n=8 and a threshold correlation coefficient of 0.71 at 95% confidence level.

The particle-induced oxidative potential displayed a significant positive correlation with the total analysed water-soluble metal concentrations with a Pearson correlation coefficient of 0.87, implying that the oxidative potential of coal burning-derived  $PM_{10}s$  was derived mainly from its water-soluble elements. This finding was also supported by the fact that the wholeparticle suspension of these coal burning-derived  $PM_{10}$  induced similar amounts of DNA damages relative to their corresponding water-soluble fractions.

The correlation coefficients between the particle-induced oxidative potentials from a 327 dosage of 500 µg ml<sup>-1</sup> and the concentrations of individual water-soluble heavy metals in PM 328 (Table 5) can be used to determine which individual elements were most responsible for the 329 particle-induced oxidative potential of these coal burning-derived PM<sub>10</sub>. The water-soluble As, 330 Cd, Ge, Mn, Ni, Pb, Sb, Se, Tl, and Zn exhibited relatively significant positive correlations 331 with the oxidative potential (correlation coefficients higher than 0.71), indicating that these 332 elements in their water-soluble states were likely responsible for the plasmid DNA damage. 333 Similar conclusions have been reached by other reports for PM<sub>10</sub>, from Beijing (Shao et al., 334 2006, Sun et al., 2014) and in the UK (Moreno et al., 2004; Merolla and Richards, 2005; Reche 335 et al., 2012). 336

Some previous studies (Querol et al., 2006; Liu et al., 2010) have shown that heavy metal
pollutants, such as Cr, Zn, Pb, and Mn, can be produced from combustion-related industry.
Tang and Huang (2004) and Ren et al.(2006) found that high levels of As, Se, Zn and Pb might

be sourced from the combustion of different types of coal, and all of these elements are considered to be potentially harmful to human health. The results of this study demonstrated the occurrence of Cr and Zn, and to a lesser extent Pb and Mn in coal burning-derived  $PM_{10}$ ; although this does depend on the geochemistry of the original coal.

#### **4.2** Possible causes of the variation in the oxidative potential of coal burning-derived PM<sub>10</sub>.

The  $PM_{10}$  emitted by burning honeycomb briquettes had a higher content of total analysed water-soluble trace elements and a higher oxidative potential than those emitted by burning raw powdered coals. This can be attributed to the clay mixed into the briquettes, which would enrich the inorganic elements in the  $PM_{10}$ , effectively being released as fly ash.

For the intact powdered coals, the variations in the oxidative potential could be explained 349 by the raw coal quality; especially in terms of the difference in the sulphur and ash content. 350 The higher percentages of total water-soluble trace elements and the higher oxidative potential 351 of the PM<sub>10</sub> emitted by burning ZJ powdered coal can be attributed to the higher sulphur content 352 of the raw ZJ coal (Table 1). The sulphur-rich coals of the Late Permian age from the Zhijin 353 354 coal mining area of southwestern China normally contain higher levels of pyritic sulphur that tends to be associated with some toxic heavy metals (Ren et al., 2006). Therefore, the PM<sub>10</sub> 355 emitted by burning high-sulphur coal would have higher percentages of trace elements and a 356 higher oxidative potential. 357

The PM<sub>10</sub> that was emitted by burning YC powdered coal also had higher percentages of 358 total water-soluble trace elements and a higher oxidative potential. This could have been 359 because the YC powdered coal had a higher ash content (Table 1). The high coal ash content 360 tends to be accompanied by higher levels of certain trace elements (Ren et al., 2006). This 361 finding allows us to infer that the PM<sub>10</sub> emitted by burning high-ash coals can be associated 362 with a relatively high percentage of water-soluble trace elements, thereby inducing a high 363 oxidative potential. The JX coal had high ash content but was associated with a lower PM<sub>10</sub>-364 induced oxidative potential. This is due to the lower sulphur content in the raw coal. 365

#### **4.3 Exposure risk of the PM**<sub>10</sub> **emitted by burning different coal types**

367 In order to elucidate the exposure risk to humans we devised a toxicity index to represent

the relative risk for people exposed to the ambient air with different types of coal burning-derived PM<sub>10</sub>:

370

$$TI = MC \times P_{DNA}$$

In this formula, TI represents toxicity index, MC represents the mass concentration of the coal 371 burning-derived PM<sub>10</sub>, P<sub>DNA</sub> represented the percentage of DNA damage at the 500 µg/ml PM<sub>10</sub> 372 dose. Table 6 showed that the TI of the PM<sub>10</sub> emitted by burning YC raw powdered coal and 373 DS raw powdered coal were much higher than that by burning other types of coals. It can also 374 been seen that the *TI* values of the PM<sub>10</sub> emitted by burning ZJ and DT honeycomb briquettes, 375 (70987 and 31754 respectively) were clearly lower than those by burning their corresponding 376 raw powdered coals (96577 and 80265 respectively). This result indicated that exposure to the 377 PM<sub>10</sub> emitted by burning raw powdered coals is associated with higher risks to human health 378 than exposure to the  $PM_{10}$  emitted by burning honeycomb briquettes. 379

# 380 **5. Conclusion**

1) Burning raw powdered coal emits more  $PM_{10}$  than burning honeycomb briquette. The oxidative potential of the  $PM_{10}$  emitted by burning honeycomb briquettes was significantly higher than that by burning raw powdered coals and wood charcoal.

2) The  $PM_{10}$  emitted by burning honeycomb briquettes had a higher content of total watersoluble trace elements than that emitted by burning raw powdered coals and wood charcoal. The  $PM_{10}$  particles emitted by burning honeycomb briquettes were enriched with water-soluble As, Ge, Pb, Tl, and Zn, and the  $PM_{10}$  particles emitted by burning raw powdered coals was enriched with water-soluble As, Cu, Ni, Pb, Ti, and Zn.

389 3) The oxidative potential of the coal burning-derived  $PM_{10}$  was mainly sourced from 390 their water-soluble fractions. The water-soluble As, Cd, Ge, Mn, Ni, Pb, Sb, Se, Tl, and Zn 391 showed the most significant correlation with the oxidative potential, implying that these 392 elements in their water-soluble states were responsible for the particle-induced DNA damage.

4) The PM<sub>10</sub> emitted by burning coals with high levels of mixed clay, sulphur, and ash is
 associated with a correspondingly high percentage of water-soluble trace elements, thereby

inducing a high oxidative potential.

5) The exposure risk to humans from the  $PM_{10}$  emitted by burning raw powdered coal was

significantly higher than that from burning honeycomb briquette emissions.

398

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- 530 531

532 533	Tables:
534 535	Table 1. Information of the proximate and ultimate analysis of the raw coals used in this study
536 537	Table 2. Mass concentration of the $PM_{10}$ emitted by burning different coals ( $\mu g/m^3$ )
538 539	Table 3. Percentage of DNA damage induced by the $PM_{10}$ emitted from burning different fuels
540 541 542	Table 4. Contents of water-soluble trace elements in the intact whole $PM_{10}$ emitted from burning different types of coal and wood charcoal (ppm)
543 544 545	Table 5. Correlation coefficients between the total and individual water-soluble heavy metal concentrations and the percentages of DNA damage by coal-burning $PM_{10}$
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548 549	Figures:
550 551 552 553	Fig.1 Sketch diagram showing composition of the coal combustion stove system which contains a stove, a dilution tunnel and the smoke chambers. "HC" represents honeycomb briquette; "RC" represents raw powdered coal.
555 555 556 557 558	Fig.2 A comparison between the morphology and the quantity of PM <sub>10</sub> emitted by burning honeycomb briquette and raw powdered coal. DT-H and ZJ-H represent Datong and Zhijin honeycomb briquettes respectively, DT-R and ZJ-R represent Datong and Zhijin raw powdered coals respectively.
559 560 561 562 563 564 565	Fig.3 Gel images and histograms of the oxidative damage on DNA that was induced by PM <sub>10</sub> emitted by burning different fuels. ("a" represents ZJ honeycomb briquette; "b" represents DT honeycomb briquette; "c" represents ZJ powder coal; "d" represents DT powder coal; "e" represents DS powder coal; "f" represents YC powder coal; "g" represents JX powder coal; "h" represents wood charcoal; "W" represents the whole-particle suspension; and "S" represents the water soluble fraction).
566 567 568 569	Fig. 4. Comparison between the DNA damage percentages of the whole particles and the water- soluble fraction of the coal burning-derived $PM_{10}$ under a dose of 500mgml <sup>-1</sup> ("H" represents honeycomb briquette, "R" represents raw powdered coal).

Table 1. Information of the proximate and ultimate analysis of the raw coals used in this study

Proximate analysis									Ultima	ate anal	ysis	
	moisture %	ash content %	Volatiles %	Fixed carbon %	Higher heating value MJ/kg	Lower heating value MJ/kg		S%	C%	H%	N%	O%
Coal of ZJ	0.94	9.08	5.76	84.22	31.82	31.23		2.24	81.86	2.76	1.04	2.08
Coal of DT	6.98	9.50	32.07	51.45	27.12	26.19		0.21	64.6	3.56	0.84	14.31
Coal of DS	7.46	6.68	30.82	55.04	28.45	27.51		0.20	68.72	3.76	0.90	12.28
Coal of YC	1.30	23.28	19.74	55.68	19.85	19.51		0.36	62.68	2.16	0.62	9.60
Coal of JX	3.02	26.34	4.44	66.20	22.96	22.73		0.25	68.26	0.79	0.26	1.08

571 Note: the above content is for the air dry basis of coal; "ZJ" represents Zhijin; "DT" represents Datong; "DS" represents

572 Dongsheng; "YC" represents Yinchuan; and "JX" represents Jingxi.

574

Table 2 Mass concentration of the  $PM_{10}$  emitted by burning different coals ( $\mu g \ /m^3)$ 

Fuel types	Mass (µg)	flow rate (L/min)	sampling time (min.)	mass concentration ( $\mu g/m^3$ )
ZJ-H	7800	78	78	1282.05
DT-H	4300	78	80	689.10
ZJ-R	13400	78	60	2863.25
DT-R	18100	78	56	4143.77
DS-R	34200	78	41	10694.18
YC-R	16100	78	29	7117.60
JX-R	5600	78	50	1435.90

575 Note: H represents honeycomb briquettes; R represents raw powdered coal.

Table 3. Percentages of DNA damage induced by the PM<sub>10</sub> emitted from burning different fuels

Fuel	Complex status	Dosage of PM <sub>10</sub> (µg/ml)							
Types	Samples status	25	50	100	300	500			
	With a la manti a la anno anni an (0/ )	12.46(±0.69	30.70(±1.67	33.03(±1.48	38.61(±2.49	55.37(±1.91			
71.11	whole-particle suspension (%)	)	)	)	)	)			
ZJ-H		14.05(±0.02	23.42(±1.05	25.89(±0.75	36.73(±0.89	48.34(±1.40			
	Water-soluble fraction (%)	)	)	)	)	)			
	W/h = l = m = m + i = l = m = m = m = m = (0/ )	12.29(±1.14	11.95(±1.75	26.22(±0.22	37.85(±1.18	46.08(±1.34			
DT II	whole-particle suspension (%)	)	)	)	)	)			
DI-H	Water coluble fraction $(0)$	10.98(±0.94	11.35(±2.09	22.54(±0.38	34.10(±1.58	44.97(±1.70			
_	water-soluble fraction (%)	)	)	)	)	)			
	Whole particle suspension (%)	13.32(±0.36	15.18(±0.39	17.17(±1.11	23.18(±1.43	33.73(±1.22			
71 P	whole-particle suspension (%)	)	)	)	)	)			
ZJ-IX	Water soluble fraction $(0/)$	8 58(±0 37)	$0.63(\pm 0.71)$	11.79(±1.39	23.81(±0.30	23.61(±0.98			
	water-soluble fraction (%)	8.38(±0.37)	9.03(±0.71)	)	)	)			
DT-R	Whole-particle suspension (%)	12.55(±0.24	13.59(±0.18	13.44(±0.60	20.09(±0.64	19.37(±0.15			
	whole-particle suspension (70)	)	)	)	)	)			
	Water-soluble fraction (%)	7 70(+0 46)	9.86(+0.04)	9 30(+0 16)	12.37(±0.37	15.60(±0.92			
		////0(_0.10)	9.00( <u>_</u> 0.01)	).50( <u>_</u> 0.10)	)	)			
	Whole-particle suspension (%)	10.33(±0.65	11.29(±0.25	12.24(±0.06	14.27(±0.18	16.85(±0.45			
DS-R		)	)	)	)	)			
Don	Water-soluble fraction (%)	7,58(+0,06)	10.14(±0.86	12.46(±0.91	13.57(±0.04	15.52(±0.13			
		/100(_0100)	)	)	)	)			
	Whole-particle suspension (%)	15.68(±1.85	16.62(±0.27	20.70(±0.45	31.89(±0.74	33.70(±1.14			
YC-R	I I I I I I I I I I I I I I I I I I I	)	)	)	)	)			
	Water-soluble fraction (%)	20.66(±1.26	22.51(±0.79	23.02(±0.28	25.52(±0.43	30.01(±1.49			
	,	)	)	)	)	)			
	Whole-particle suspension (%)	6.83(±0.81)	8.84(±0.79)	11.65(±0.67	14.71(±0.83	16.37(±0.50			
JX-R	• • • • •			)	)	)			
	Water-soluble fraction (%)	4.84(±0.74)	7.45(±0.26)	8.85(±1.38)	10.72(±0.83	12.21(±1.11			
	· · ·	. ,	. ,	. ,	)	)			
	Whole-particle suspension (%)	11.37(±0.93	12.25(±0.07	14.48(±0.69	15.55(±0.13	16.99(±1.05			
wood		)	)	)	)	)			
charcoal	Water-soluble fraction (%)	11.61(±1.01	11.89(±0.75	13.15(±0.20	13.95(±1.42	14.38(±0.25			
		)	)	)	)	)			

579 Note: "H" represents honeycomb briquettes, "R" represents raw powdered coal, "±" represent error range

582			wood	charcoal (ppm)				
	71.11	рт н	71 D	DT D	DCD	VC D	IV D	Wood
	ZJ-H	DI-H	ZJ-K	D1-K	DS-K	YC-R	ЈА-К	charcoal
As	412	155	19.6	19.9	18.3	46.5	9.46	19.7
Cd	93.6	15.8	10.3	0.08	0.23	19.8	0.6	0.3
Cr	1.92	9.08	9.36	8.02	3.09	5.2	3.99	13.5
Со	0.6	1.92	0.15	0.63	1	0.23	0.02	—
Cs	2.57	6.42	2.97	4.48	2.3	5.9	3.88	5.34
Cu	86.4	16.2	278	12.4	8.69	18.8	15.3	16.5
Ge	890	37.5	7.88	2.86	2.71	1.08	0.471	0.74
Mn	16.6	30.5	12.2	0.42	1.44	8.76	3.79	—
Мо	13	5.02	3.73	7.36	7.57	7.5	2.56	2
Ni	47.4	22.7	22.9	13.6	19	8.37	18.8	13.1
Pb	2385	824	324	0.39	2.12	77.1	3.39	16
Se	61.3	43.2	10.5	12	2.57	4.16	1.5	8.88
Sr	8.28	7.86	12.3	3.15	2.23	10.1	5.42	14
Sb	46.5	14.8	0.93	1.92	9.38	4.21	1.16	0.75
Ti	84.1	19.5	61.5	29.2	65.2	20.5	56.6	3.16
Tl	179	98.1	9.86	2.76	1.73	16.5	2.19	4.83
$\mathbf{V}$	2.36	1.13	1.87	1.9	1.59	4	1.39	2.5
Zn	17150	4350	2408	98.2	63.5	3702	71	18.8
Total	21480.63	5658.73	3196.05	219.28	212.65	3960.71	201.52	140.11

Table 4. Contents of water-soluble trace elements in the intact whole PM<sub>10</sub> emitted from burning different types of coal and

583 Note: "—"represents that the measured values of the corresponding element are below the detection limit. "Total" represents

sum of the analysed elements. "H" represents honeycomb briquettes. "R" represents raw powdered coal.

 586
 Table 5. Correlation coefficients between the total and individual water-soluble heavy metal concentrations and the

587	percentages of DNA damage by coal-burning PM <sub>10</sub>									
Elements	As	Cd	Cr	Co	Cs	Cu	Ge	Mn	Mo	Ni
DNA damage percentages at 500	0.854	0.828	-0.237	0.335	0.047	0.305	0.712	0.823	0.595	0.718
µg/ml										
Elements	Pb	Se	Sb	Sr	Ti	Tl	V	Zn	total	
DNA damage percentages at 500	0.869	0.882	0.785	0.258	0.284	0.898	0.111	0.871	0.870	
µg/ml										

Table 6. Toxic index of the  $\ensuremath{\text{PM}_{10}}$  emitted by burning different coals

Fuel types	mass concentration $(\mu g/m^3)$	percentage of DNA damage at 500 μg/ml dose	toxicity index
ZJ-H	1282.05	55.37	70987
DT-H	689.10	46.08	31754
ZJ-R	2863.25	33.73	96577
DT-R	4143.77	19.37	80265
DS-R	10694.18	16.85	180197
YC-R	7117.60	33.70	239863
JX-R	1435.90	16.37	23506

590

Note: "H" represents honeycomb briquette, "R" represents raw powdered coal.



593 Fig.1 Sketch diagram showing composition of the coal combustion stove systems which contains a stove, a dilution tunnel and





Fig.2 A comparison between the morphology and the quantity of PM10 emitted by burning honeycomb briquette and raw powdered coal. DT-H and ZJ-H represent Datong and Zhijin honeycomb briquettes respectively, DT-R and ZJ-R represent Datong and Zhijin raw powdered coals respectively.

		601
a		ູ່ອື່a'
25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	H <sub>2</sub> H <sub>2</sub> H <sub>2</sub> H <sub>2</sub> H <sub>2</sub> H <sub>2</sub> H <sub>2</sub> H <sub>2</sub>
b 25 50 10 30 50	25 50 10 30 50	Berteringer of the second seco
Whole-particle	Water soluble	25 50 100 300 500 dosage(ug/ml)
<b>c</b> 25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	H <sub>1</sub> H <sub>2</sub> H <sub>3</sub> H <sub>4</sub> H <sub>5</sub> H <sub>6</sub> H <sub>7</sub> H <sub>7</sub> H <sub>7</sub> H <sub>7</sub> H <sub>7</sub> H <sub>7</sub> H <sub>7</sub> H <sub>7</sub>
d 25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	<b>H</b> <sub>n</sub> <b>J</b> <sub>2</sub> <b>L</b> <sub>2</sub> <b>d</b> <sub>1</sub> <b>d'</b> <b>d'</b> <b>d'</b> <b>d'</b> <b>d'</b> <b>d'</b> <b>d'</b> <b>d'</b>
e 25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	H <sub>2</sub> H <sub>2</sub> $e'$ $w$ s
f 25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	Hn Hn Hn
g 25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	H <sub>2</sub> $H_2$
h 25 50 10 30 50 Whole-particle	25 50 10 30 50 Water soluble	$H_2(\mathbf{v}_1) = \begin{bmatrix} 20 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 20 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} = \begin{bmatrix} 10 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 $

Fig.3 Gel images and histograms of the oxidative damage on DNA that was induced by  $PM_{10}$  emitted by burning different fuels. ("a" represents ZJ honeycomb briquette; "b" represents DT honeycomb briquette; "c" represents ZJ powder coal; "d" represents DT powder coal; "e" represents DS powder coal; "f" represents YC powder coal; "g" represents JX powder coal; "h" represents wood charcoal; "W" represents the wholeparticle suspension; and "S" represents the water soluble fraction).





Fig. 4. Comparison between the DNA damage percentages of the whole particles and the water-soluble fraction of the coal
 burning-derived PM<sub>10</sub> under a dose of 500mgml<sup>-1</sup>.