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Do mobile phone applications improve the glycemic control (HbA1c) in self-management of patients with diabetes: A systematic review, meta-analysis and GRADE of the evidence of 14 randomized trials

SCHOLARONE™ Manuscripts

Do mobile phone applications improve glycemic control (HbA1c) in the selfmanagement of diabetes: A systematic review and meta-analysis and GRADE of 14 randomized trials

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ABSTRACT

OBJECTIVES: To investigate the effect of mobile phone applications on glycemic control (HbA1c) in the self -management of diabetes.

RESEARCH DESIGN AND METHODS: Relevant studies that were published between 1996 to June 1st, 2015 were searched from five databases: Medline, CINAHL, The Cochrane Library, Web of Science, and EMBASE. Randomized controlled trials that evaluated diabetes apps were included. We conducted a systematic review with meta-analysis and GRADE of the evidence.

RESULTS: 1360 participants from 14 studies were included and quality assessed. Whilst there may have been clinical diversity, all type 2 diabetes studies reported a reduction in HbA1c. The mean reduction in participants using an app compared to control was 0.49% (95% Cl 0.30%-0.68%;*I 2* =10%), with a moderate GRADE of evidence. Subgroup analyses indicated that younger patients were more likely to benefit from the use of diabetes apps and the effect size was enhanced with healthcare professional feedback. There was inadequate data to describe the effectiveness of apps for type 1 diabetes.

CONCLUSIONS: Apps may be an effective component to help control HbA1c, and could be considered as an adjuvant intervention to the standard self-management for patients with type 2 diabetes. Given the reported clinical effect, the access and nominal cost of this technology, it is likely to be effective at the population level. The functionality and use of this technology needs to be standardized, but policy and guidance is anticipated to improve diabetes self-management care.

Word Count Abstract = 235 Word Count Manuscript = 3002

What this study adds

- There is a moderate level of evidence that the self-management of type 2 diabetes is improved by using smart phone applications to reduce HbA1c
- Apps may offer a clinically effective component in the self-management of type 2 diabetes
- Younger users were associated with the largest reduction in HbA1c

Background

The number of diabetes patients globally is expected to rise to over 500 million by 2030 (1), there is an urgent need for an improved self-management suite of interventions. For self-management to be effective it needs to be structured and cost effective (2), and be widely accessible across all health economies, including the developing world (2).

As a newly emerging technology, diabetes mobile phone applications (hereafter referred to as diabetes apps) are a promising tool for self-management. We define diabetes apps as mobile phone software that accepts data (transmitted or manual entry), and provides feedback to patients on improved management (automated or by health care profession [HCP]). This technology combines the functions of the mobile phone, wireless network for data transmission and sometimes HCPs for providing feedback. Due to its ubiquitous, low cost, interactive, and dynamic health promotion, there is potential for diabetes apps to provide an effective intervention in diabetes self-care.

In terms of diabetes self-management, numerous studies have proven the effectiveness of other telemedicine technologies, such as short message service (3), computer-based interventions (4), and web-based interventions (3; 5). Compared with these telemedicine interventions, diabetes apps are advantageous in that they are global, cheaper, convenient, and more interactive. There is however, current uncertainty on the clinical effectiveness of diabetes apps in diabetes selfmanagement (6-9).

METHODS

Data sources and search strategy

The PRISMA statement and checklist was followed. Five electronic databases were searched (Medline, CINAHL, The Cochrane Library, Web of Science, and EMBASE) for studies published between January $1st$, 1996 to June $1st$, 2015. Included studies' references were hand searched to identify any additional articles. The following terms and medical subject headings (MeSH) were used during the search: (mobile OR mHealth OR cell phone OR MeSH "Cellular Phone" OR MeSH "Smartphone" OR app OR MeSH "Mobile Applications") AND (MeSH "Diabetes Mellitus" OR diabete*

OR T2DM OR T1DM OR IDDM OR NIDDM).

Inclusion and exclusion criteria

The inclusion criteria were: the participants were over 18 years old and had type 1 or type 2 diabetes; the studies were randomized controlled trials (RCTs); the control group in the study received usual diabetes care without any telehealth programs; baseline and follow-up mean for HbA1c were reported (or could be calculated).; Exclusion criteria were: simulated or self-reported HbA1c data; computer or other mobile terminal-based diabetes apps; diabetes apps were exclusively designed for HCPs; and diabetes apps were exclusively designed for providing general education, or allowing communication between patients and HCPs.

Two reviewers (CH, TF) searched the literature and assessed the studies independently. Any disagreements were resolved through discussion with a third reviewer (BC). No language restrictions were applied.

Data extraction

Participant demographics, study design considerations and context were extracted from the included studies. Two reviewers independently carried out the data extraction (CH, TF). Study authors were contacted to provide additional data, and missing standard deviations were estimated by calculation (10).

Quality assessment

The quality assessment was conducted by two reviewers independently (CH, TF), using the quality rating tool proposed by the US Preventive Service Task Force (11). Seven criteria were used to assess quality: baseline comparability of the groups; the maintenance of comparability of the groups; differential or high loss to follow-up; reliable and valid measurement; clear definition of the intervention; consideration of important outcomes; and an intention-to-treat analysis. The quality of each study was graded as Good, Fair, or Poor. To be rated as good studies needed to meet all the criteria. A study was rated as poor if one (or more) domain was assessed as having a serious flaw. Studies that met some but not all of the criteria was rated as fair quality.

Data analysis

Changes in HbA1c, or HbA1c at follow-up were compared between groups using a mean difference, and were presented with an associated 95% confidence interval (95% CI). When studies investigated interventions and contexts that were both deemed clinically similar, and free from statistical heterogeneity, pooling was carried using an inverse variance random effects model (12). Meta-analyses were conducted using the Comprehensive Meta-Analysis Software (version 2.2). The level of evidence was applied to the GRADE criteria and reported.

Heterogeneity and subgroup analyses

Heterogeneity was assessed and quantified using the l^2 statistic. When substantial heterogeneity was found (l^2 >50%), further exploration using subgroup analysis was undertaken. For type 2 diabetes studies, subgroup analyses were: follow-up duration (less than six months, versus more than six months); length of time with diabetes (less than nine years, versus more than nine years); age of participants (mean age less than 55 years old, versus more than 55 years old); number of self-monitoring tasks supported by the diabetes apps (up to three, versus greater than three); and types of feedback provided. No type 1 diabetes subgroup analyses were performed due to the small number of studies.

Sensitivity analyses and publication bias

Additional analyses were carried out on studies with: good or fair quality; complete information; and studies with a baseline HbA1c level less than 9.0%. A funnel plot was used to visually inspect publication bias where 10 or more studies were pooled.

Results

Identified and included studies

Searches identified 5209 articles, 4238 were screened after removing duplicate records and 4178 were excluded. Sixty studies were eligible for full text review and 42 were excluded (Figure 1) resulting in 14 included studies. Four studies examined type 1 diabetes and 10 studies examined type 2 diabetes.

Characteristics of the included studies and quality assessment

In the 14 studies, there were 1360 participants, 509 and 851 with type 1 and type 2

diabetes respectively (Online Table 1). In the type 1 diabetes studies, the mean age of participants ranged from 34 (13) to 36 years old (14), and the mean duration of diabetes ranged from 16 (13-15) to 19 years (16). Two studies were undertaken in Europe (13; 14), one in Australia (16) and one was multinational (15). In the type 2 diabetes studies, the mean age of the participants was much higher, ranging from 51 (17) to 62 years old (18) and the mean duration of diabetes ranged from five (19) to 13 years (20) from six studies. Four studies were undertaken in Europe (18; 20-22), three in the USA (17; 23; 24), two in Asia (19; 25) and one in Africa (26).

One type 1 diabetes study was assessed as good quality (14), two were rated as fair (13; 15), and one was rated as poor (16), for further details see Online Table 2. For type 2 diabetes studies, one was rated as good quality (21), six were rated as fair (17-19; 22; 24; 25) and three were rated as poor (20; 23; 26) (Online Table 2).

INCLUDE FIGURE 1 HERE

Apps featured in the included studies

Twelve diabetes apps were identified and examined in this review, with six domains of functionality (Online Table 3), details of the feedback provided by each can be seen in Online Table 4

Type 1 diabetes apps

Three apps were used for participants with type 1 diabetes and aimed to help patients to calculate the most appropriate insulin bolus, on the basis of patient blood glucose levels, food intake and physical activity. Data for all three apps were manually entered. One study reported that there was little impact of the app on the total time spent on face-to-face or telephone follow-up and concluded that the software did not require more time for patients to manage their diabetes (13). A further study estimated the average cost to patients and educators time was £38 per patient, attributed to the app over a 9 month period (16). HCP feedback was provided in all apps, with a frequency ranging from every week to every three weeks (Online Table 4).

Type 2 diabetes apps

Nine apps were used for participants with type 2 diabetes. The apps were designed

to improve patient self-management, by providing personalized feedback on selfmonitoring data, such as blood glucose, food intake, and physical activity. In eight of the apps, BG was automatically transferred and other data manually entered., with one exception where BP, body weight and pedometer was also automatically transferred (25),. Quinn et al. (17) reported that the app was associated with shorter consultation times. Among seven apps with HCP feedback, three provided feedback when needed (eg patient data were considered abnormal). In the other apps, the frequency of feedback ranged from once a week to once every three months (Online Table 4).

Effectiveness of the apps:

Type 1 diabetes

There were mixed results from the type 1 diabetes studies. Two studies (14; 15) found no difference between the intervention group and the control group and two studies (13; 16) reported statistically significant results that favored the apps. There was a statistically insignificant difference in HbA1c between the apps and control group of -0.36% (95% Cl -0.87% to 0.14%, P = 0.16, l^2 = 87%; Figure 2). No subgroup analyses were reported.

INCLUDE FIGURE 2 HERE

Type 2 diabetes

All ten studies of type 2 diabetes reported a reduction of HbA1c in participants using an app, with a median reduction of 0.55% (range 0.15% to 1.87%). After pooling the mean reduction in HbA1c was 0.49% (95% Cl 0.30%, to 0.68%; P < 0.001; l^2 =10%; Figure 3). These results exhibited consistent findings with no heterogeneity. One study reported a reduction larger than clinically anticipated which raised debate over the legitimacy of their findings (26). After excluding the subgroup of studies that were assessed as poor quality, we found a mean reduction of 0.41% (95% CI 0.22%, to 0.61%; P<0.001; l^2 =0%; Figure 3). The level of evidence by GRADE was moderate, due to the findings being downgraded due to quality.

INSERT FIGURE 3 HERE

Type 2 diabetes subgroup analyses

The subgroup analysis by follow-up duration showed that five studies with a shorter follow-up duration (less than six months) displayed a larger (but non-significant) HbA1c reduction than those with a longer duration (greater than six months) 0.62% versus 0.40% (P = 0.33) respectively. There was no difference in the reduction of HbA1c in three studies with a mean diabetes duration of less than nine years (0.53%) compared to those with a duration \geq 9 years (0.55%; P = 0.93). Studies of younger participants with a mean age of \leq 55 years reported a larger and clinically significant reduction in HbA1c level of 1.03% compared to those with an average age greater than 55 of 0.41%, but the result was not found to be statistically significant (P $= 0.10$).

In the subgroup analysis by number of self-monitoring tasks six diabetes apps supported at most three self-monitoring tasks, and had similar results to those studies with more than three self-monitoring tasks (mean reduction of 0.44% versus 0.58%; P = 0.56). Two studies of diabetes apps with only automated feedback had a small and statistically non-significant reduction in HbA1c of 0.26% (95% Cl 0.09%, to -0.62%). When diabetes apps included HCP feedback were pooled, eight studies reported a reduction of 0.56% (95% Cl 0.35%, to 0.78%). There was no statistically significant difference between HCP verses automatic feedback subgroup (P = 0.16).

Four sensitivity analyses were undertaken to test the robustness of the results. Removing three studies (20; 23; 26) with poor quality reported a mean reduction of 0.41% (95% Cl 0.22%, to 0.61%, Figure 3). The removal of one study (17) with incomplete statistical information was associated with a mean reduction of 0.48% (95% CI 0.28%, to 0.67%), and the exclusion of one study (20) conducted on mixed participants with type 1 and type 2 diabetes had an attendant mean reduction of 0.48% (95% Cl 0.27%, to 0.69%). Finally, the exclusion of two studies (17; 23) with baseline HbA1c levels > 9.0% was associated with a mean reduction of 0.47% (95% Cl 0.25%, to 0.69%).

Discussion

Ten studies were included for type 2 diabetes, predominately of fair quality. The results of these indicated a consistent reduction in HbA1c of 0.5%. Although there

was no indication of heterogeneity, the study conducted by Takenga et al. (26) introduced a large effect, that was likely to be caused by poor study quality (high attrition rate, differential loss to follow-up and high baseline HbA1c level). Thus, studies were stratified into subgroup determined by their quality assessment (27). No differences were found between the subgroups, and the studies of poor quality were included for completeness, and to highlight the challenges in study design.

Five subgroup analyses showed that the effect did not differ significantly by follow-up duration, mean diabetes duration of participants, mean age of participants, number of self-monitoring tasks supported by the diabetes apps, or types of feedback. Compared to studies that have investigated alternative interventions to improve their diabetes self-management, such as: text messaging, mobile device, computer based and convention self-management, we have found that apps offer promising results and reinforce the message argued by other authors (3; 4; 28-30). The evidence for this finding by GRADE was moderate, after down grading due to quality.

The subgroup analysis by follow-up duration suggested that the effect of diabetes apps on blood glucose control may attenuate over time. A possible rationale for this subgroup effect is a lack in user-friendliness, a lack in perceived additional benefits and a lack of use of gamification elements, resulting in a lack of efficacy following use (31). The subgroup analysis by mean age of participants indicated that younger patients were more likely to benefit from the use of the diabetes apps. It may be speculated that younger patients are more amenable to new technologies and more familiar with the use of mobile phones. The subgroup analysis by personalized feedback system highlighted the gap between automated feedback and healthcare professional feedback. Although automated feedback has the advantage of being interactive and dynamic, there is a limit to presupposed scenarios, whereas feedback provided by healthcare professionals was more individual, especially in emergency situations. Feedback options ranged widely between the apps, but it is postulated that it was the feedback that triggered improved lifestyle choices, which in turn lowered HbA1c. None of the five sensitivity analyses changed the overall effect size significantly, which suggests that the findings are not sensitive to these scenarios. The results of our meta-analysis lend support to the use of diabetes apps in diabetes self-management, especially for type 2 diabetes. However, we have

highlighted a number of limitations of current diabetes apps.

For type 1 diabetes, there was little difference in HbA1c between intervention and control groups and the results were associated with considerable heterogeneity. The level of evidence by GRADE was downgraded to very low due to: study quality; inconsistency; and uncertainty; so the findings should be interpreted as very uncertain and likely to be change following future research. Furthermore, none of the apps in the included type 1 diabetes studies had an automatic data uploading functionality. In future studies for type 1 diabetes, we encourage investigators to include apps with this functionality, not only for the purpose of being user-friendly, but also for safety concerns by reducing the risk of data entry errors.

Two studies reported on the cost effectiveness of the apps for type 1 diabetes with inconclusive findings (15; 16). Of three studies on type 2 diabetes that discussed compliance, two reported poor compliance with only 35% of patients regular app users (21; 24). One study (25) reported a decline in patient use over time, from 70% in the first week to 50% in the last two weeks. Four studies tried to explore the mechanisms behind the effects, but the conclusions were inconsistent (16; 17; 21; 24). We postulate that diabetes apps influence lifestyle choice, but how this occurs is unclear. One hypothesis is that the reminder and feedback features of diabetes apps can lead to improvement in health beliefs, self-efficacy and social support (32).

By the end of the decade, worldwide mobile phone usage is anticipated to exceed 5 billion (33). Therefore apps may be able to offer an affordable and widely available adjunct to diabetes self-management. We have included studies across a variety of healthcare systems, from both the developed and developing world, so we argue the apps are currently available and could form the basis of improved health promotion on diabetes education and self-management.

This study had several limitations. Since this review was restricted to published studies and so publication bias cannot be ruled out as highlighted by other investigators (30) All included study designs were not blinded, so were downgraded in the quality assessment tool, (highlighting the increased risk of ascertainment bias). Furthermore, patient-important outcomes and behavioral mechanisms and outcomes

were not considered and is a clear gap to be addressed in future studies. A further weakness is that some of the effect attributed to the apps could be explained by health care providers. Finally, there is no clear definition of diabetes apps and study authors defined their interventions in different ways as a result. In this review, we defined diabetes apps as software that is designed for use on a mobile phone allowing patients to enter data into the app and receive feedback.

The implications for future research include establishing a common standardized platform of functionality. Investigators of future studies need to consider adequately powered pragmatic RCTs with secure sequence generation, concealed allocation, use of an active control app, and comparable access to HCP. Features such as these might reduce the impact of ascertainment bias and effects due to HCP. RCTs with longer duration of follow up (> 6 months) using standardized app technology may well demonstrate beneficial clinical effect in type 2 diabetes. Furthermore, there is significant scope for research in the use of apps in other areas of selfmanagement, such as increasing physical activity, weight loss and smoking cessation.

In a clinical context, we recommend that HCP feedback should be central in all future app design and supplemented with dynamic automated feedback. Future technology should also be underpinned by behavior change theories and gamification elements to achieve a larger effect on blood glucose control and improve compliance of patients in using diabetes apps. Finally, future technology should also consider the needs of older patients.

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A declaration of competing interests.

"All authors have completed the Unified Competing Interest form at www.icmje.org/coi_disclosure.pdf and declare that (1) CH, SM, JM, TF, or BC have no support from any companies for the submitted work; (2) CH, SM, JM, TF, or BC have no relationships with companies that might have an interest in the submitted work in the previous 3 years; (3) their spouses, partners, or children have no financial relationships that may be relevant to the submitted work; and (4) CH, SM, JM, TF, or BC have no non-financial interests that may be relevant to the submitted work."

Author Contribution:

Can Hou (CH) designed the protocol, searched the literature, extracted the data, carried out the analysis, and drafted the manuscript Sharon Mayor (SM) designed the protocol, interpreted the results, and contributed to the manuscript Jonathan Hewitt (JH) reviewed the manuscript and advised on the clinical context of the review Trevor Francisa (TF) searched the literature, extracted the data Ben Carter (BC) interpreted the results, drafted to the manuscript and is the guarantor of the review.

Guarantor's statement

Dr. Ben Carter is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and accuracy of the data analysis

A transparency declaration:

The manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

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Figure 1: PRISMA Flowchart of included studies

Figure 2: Pooled Type 1 diabetes studies of HbA1c comparison of apps versus control

Figure 3: Pooled Type 2 diabetes studies of HbA1C comparison of apps versus control

Identification

Figure 1: PRISMA Flowchart of included studies 653x876mm (120 x 120 DPI)

a: change in mean HbA1c from baseline to follow-up
b: follow-up mean HbA1c from baseline to follow-up
b: follow-up mean HbA1c

Figure 2: Pooled Type 1 diabetes studies of HbA1c comparison of apps versus control 567x424mm (120 x 120 DPI)

a: change in mean HbA1c from baseline to follow-up
b: follow-up mean HbA1c from baseline to follow-up

Figure 3: Pooled Type 2 diabetes studies of HbA1C comparison of apps versus control 529x501mm (120 x 120 DPI)

Online Table 1: Baseline characteristics of the included studies and participants

I=Intervention; C=Control; *SDs were imputed;

I=Intervention; C=Control; *SDs were imputed;

Study or subgroup	1	$\mathbf{2}$	3	4	5	6	7	Overall quality
Type 1 diabetes								
Rossi et al. (2013) ¹⁴								Good
Rossi et al. (2010) ¹⁵			\mathbf{x}		$\checkmark\quad\checkmark\quad\checkmark$			Fair
Charpentier et al. $(2011)^{13}$		$x \checkmark$			\checkmark \checkmark \checkmark \checkmark			Fair
Kirwan et al. $(2013)^{16}$	x	\mathbf{x}			$x \checkmark \checkmark \checkmark$		$\boldsymbol{\mathsf{x}}$	Poor ^{&}
Type 2 diabetes								
Orsama et al. (2013) ¹⁸			$x \, \sqrt{} \,$ $x \, \sqrt{} \,$ $x \, \sqrt{} \,$ $x \,$					Fair
Holmen et al. $(2014)^{21}$					\checkmark \checkmark \checkmark \checkmark			Good
Faridi et al. $(2008)^{24}$		$x \times$			$x \checkmark \checkmark \checkmark \checkmark$			Fair
Waki et al. (2014) ²⁵		$x \checkmark$			\checkmark \checkmark \checkmark \checkmark			Fair
Nagrebetsky et al. (2013) ²²	x	\mathbf{x}			\checkmark \checkmark \checkmark \checkmark		$\boldsymbol{\mathsf{x}}$	Fair
Yoo et al. (2009) ¹⁹		$x \checkmark$			\checkmark \checkmark \checkmark \checkmark \checkmark			Fair
Quinn et al. (2008) ¹⁷	\mathbf{x}				\checkmark \checkmark \checkmark $\check x$		$\boldsymbol{\mathsf{x}}$	Fair
Istepanian et al. $(2009)^{20}$		$\boldsymbol{\mathsf{x}}$	x		\checkmark \checkmark	\checkmark \checkmark		Poor*
Takenga et al. $(2014)^{26}$	$\boldsymbol{\mathsf{x}}$	$\boldsymbol{\mathsf{x}}$	x		$x \quad \checkmark \quad x$		$\boldsymbol{\mathsf{x}}$	$Poor$ [#]
Quinn et al. $(2011)^{23}$	\mathbf{x}	\mathbf{x}	x		\checkmark \checkmark \checkmark			Poor [^]

Online Table 2: Quality Assessment of the included studies

 \checkmark : criteria met; \checkmark : criteria NOT met or unclear

Methodological Domains

1, Initial assembly of comparable groups (eg Initial assembly of comparable groups: adequate randomization, including concealment and whether potential confounders were distributed equally among groups)

2, The maintenance of comparable groups (eg Maintenance of comparable groups (includes attrition, crossovers, adherence, and contamination)

3, No important loss to follow-up (eg Important differential loss to follow-up or overall high loss to follow-up)

- 4, Equal, reliable, and valid measurement
- 5, Clear definitions of intervention
- 6, All the important outcomes were considered
- 7, Intention-to-treat analysis

Studies determined as poor quality

&Kirwan et al (2013): The study had a very high dropout rate in the intervention group compared to the control group. The groups were not suitably comparable (eg the baseline HbA1c was higher in the intervention group compared to control) and reported a healthier diet. The randomisation mechanism was inadequate

***Istepanian et al 2009:** The study had a very high dropout rate and differential loss to follow-up rate (55.6% in the intervention group and 15.4% in the control group).

^Quinn et al (2011): the study had a high dropout rate which was differential between groups. The randomisation mechanism was inadequate and the two groups were not comparable (CPDS patients had higher baseline glycated haemoglobin than UC (9.9 vs. 9.2%, $P = 0.04$).

#**Takenga et al 2014:** The study provided limited information on the randomisation methods and measurement. The study had neither intention-to-treat analysis nor sample size calculation.

Online Table 3: Functionality of the featured apps included

Type 1 Diabetes

Type 2 Diabetes

Online Table 4: Feedback provided by the featured apps

Type 1 Diabetes

Do mobile phone applications improve glycemic control (HbA1c) in the selfmanagement of diabetes: A systematic review and meta-analysis and GRADE of 14 randomized trials

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ABSTRACT

OBJECTIVES: To investigate the effect of mobile phone applications on glycemic control (HbA1c) in the self -management of diabetes.

RESEARCH DESIGN AND METHODS: Relevant studies that were published between 1996 to June 1st, 2015 were searched from five databases: Medline, CINAHL, The Cochrane Library, Web of Science, and EMBASE. Randomized controlled trials that evaluated diabetes apps were included. We conducted a systematic review with meta-analysis and GRADE of the evidence.

RESULTS: 1360 participants from 14 studies were included and quality assessed. Whilst there may have been clinical diversity, all type 2 diabetes studies reported a reduction in HbA1c. The mean reduction in participants using an app compared to control was 0.49% (95% Cl 0.30%-0.68%;*I 2* =10%), with a moderate GRADE of evidence. Subgroup analyses indicated that younger patients were more likely to benefit from the use of diabetes apps and the effect size was enhanced with healthcare professional feedback. There was inadequate data to describe the effectiveness of apps for type 1 diabetes.

CONCLUSIONS: Apps may be an effective component to help control HbA1c, and could be considered as an adjuvant intervention to the standard self-management for patients with type 2 diabetes. Given the reported clinical effect, and the access and nominal cost of this technology, it is likely to be cost-effective at the population level. The functionality and use of this technology needs to be standardized, but policy and quidance is anticipated to improve diabetes self-management care and reducehealthcare cost.

Word Count Abstract = 235 Word Count Manuscript = 3002

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What this study adds

- There is a moderate level of evidence that the self-management of type 2 diabetes is improved by using smart phone applications to reduce HbA1c
- Apps for type 2 diabetes may offer a clinically effective adjuvant component to in the self-medicationmanagement of type 2 diabetes
- Younger users were associated with the largest reduction in HbA1c

Background

The number of diabetes patients globally is expected to rise to over 500 million by 2030 (1), there is an urgent need for an improved self-management suite of interventions. For self-management to be effective it needs to be structured and cost effective (2), and be widely accessible across all health economies, including the developing world (2).

As a newly emerging technology, diabetes mobile phone applications (hereafter referred to as diabetes apps) are a promising tool for self-management. We define diabetes apps as mobile phone software that accepts data (transmitted or manual entry), and provides feedback to patients on improved management (automated or by health care profession [HCP]). This technology combines the functions of the mobile phone, wireless network for data transmission and sometimes HCPs for providing feedback. Due to its ubiquitous, low cost, interactive, and-dynamic health promotion, there is potential for diabetes apps to provide an cost-effective intervention in diabetes self-care.

In terms of diabetes self-management, numerous studies have proven the effectiveness of other telemedicine technologies, such as short message service (3), computer-based interventions (4), and web-based interventions (3; 5). Compared with these telemedicine interventions, diabetes apps are advantageous in that they are global, cheaper, convenient, and more interactive. There is however, current uncertainty on the clinical effectiveness of diabetes apps in diabetes selfmanagement (6-9).

METHODS

Data sources and search strategy

The PRISMA statement and checklist was followed. Five electronic databases were searched (Medline, CINAHL, The Cochrane Library, Web of Science, and EMBASE) for studies published between January $1st$, 1996 to June $1st$, 2015. Included studies' references were hand searched to identify any additional articles. The following terms and medical subject headings (MeSH) were used during the search: (mobile OR mHealth OR cell phone OR MeSH "Cellular Phone" OR MeSH "Smartphone" OR app OR MeSH "Mobile Applications") AND (MeSH "Diabetes Mellitus" OR diabete*

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OR T2DM OR T1DM OR IDDM OR NIDDM).

Inclusion and exclusion criteria

The inclusion criteria were: the participants were over 18 years old and had type 1 or type 2 diabetes; the studies were randomized controlled trials (RCTs); the control group in the study received usual diabetes care without any telehealth programs; baseline and follow-up mean for HbA1c were reported (or could be calculated).; Exclusion criteria were: simulated or self-reported HbA1c data; computer or other mobile terminal-based diabetes apps; diabetes apps were exclusively designed for HCPs; and diabetes apps were exclusively designed for providing general education, or allowing communication between patients and HCPs.

Two reviewers (CH, TF) searched the literature and assessed the studies independently. Any disagreements were resolved through discussion with a third reviewer (BC). No language restrictions were applied.

Data extraction

Participant demographics, study design considerations and context were extracted from the included studies. Two reviewers independently carried out the data extraction (CH, TF). Study authors were contacted to provide additional data, and missing standard deviations were estimated by calculation (10).

Quality assessment

The quality assessment was conducted by two reviewers independently (CH, TF), using the quality rating tool proposed by the US Preventive Service Task Force (11). Seven criteria were used to assess quality: baseline comparability of the groups; the maintenance of comparability of the groups; differential or high loss to follow-up; reliable and valid measurement; clear definition of the intervention; consideration of important outcomes; and an intention-to-treat analysis. The quality of each study was graded as Good, Fair, or Poor. To be rated as good studies needed to meet all the criteria. A study with a fair quality had to be free of fatal flaws and a study with at least one fatal flaw was recorded as having a poor quality. A study was rated as poor if one (or more) domain was assessed as having a serious flaw. Studies that met some but not all of the criteria was rated as fair quality.

Data analysis

Changes in HbA1c, or HbA1c at follow-up were compared between groups using a mean difference, and were presented with an associated 95% confidence interval (95% CI). When studies investigated interventions and contexts that were both deemed clinically similar, and free from statistical heterogeneity, pooling was carried using an inverse variance random effects model (12). Meta-analyses were conducted using the Comprehensive Meta-Analysis Software (version 2.2). The level of evidence was applied to the GRADE criteria and reported.

Heterogeneity and subgroup analyses

Heterogeneity was assessed and quantified using the I^2 statistic. When substantial heterogeneity was found (l^2 >50%), further exploration using subgroup analysis was undertaken. For type 2 diabetes studies, subgroup analyses were: follow-up duration (less than six months, versus more than six months); length of time with diabetes (less than nine years, versus more than nine years); age of participants (mean age less than 55 years old, versus more than 55 years old); number of self-monitoring tasks supported by the diabetes apps (up to three, versus greater than three); and types of feedback provided. No type 1 diabetes subgroup analyses were performed due to the small number of studies.

Sensitivity analyses and publication bias

Additional analyses were carried out on studies with: good or fair quality; complete information; and studies with a baseline HbA1c level less than 9.0%. A funnel plot was used to visually inspect publication bias where 10 or more studies were pooled.

Results

Identified and included studies

Searches identified 5209 articles (Figure 1), 4238 were screened after removing duplicate records and 4178 were excluded. Sixty studies were eligible for full text review and 42 were excluded (Figure 1) resulting in 14 included studies. Four studies examined type 1 diabetes and 10 studies examined type 2 diabetes.

Characteristics of the included studies and quality assessment

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In the 14 studies, there were 1360 participants, 509 and 851 with type 1 and type 2 diabetes respectively (Online Table 1). In the type 1 diabetes studies, the mean age of participants ranged from 34 (13) to 36 years old (14), and the mean duration of diabetes ranged from 16 (13-15) to 19 years (16). Two studies were undertaken in Europe (13; 14), one in Australia (16) and one was multinational (15). In the type 2 diabetes studies, the mean age of the participants was much higher, ranging from 51 (17) to 62 years old (18) and the mean duration of diabetes ranged from five (19) to 13 years (20) from six studies. Four studies were undertaken in Europe (18; 20-22), three in the USA (17; 23; 24), two in Asia (19; 25) and one in Africa (26).

Our quality assessment of the One type 1 diabetes study was assessedies report one rated as good quality (14), two were rated as fair (13; 15), and one was rated as poor (16), for further details see Online Table 2. For type 2 diabetes studies, one was rated as good quality (21), six were rated as fair (17-19; 22; 24; 25) and three were rated as poor (20; 23; 26) (Online Table 2).

INCLUDE FIGURE 1 HERE

Apps featured in the included studies

Twelve diabetes apps were identified and examined in this review, with six domains of functionality (Online Table 3), details of the feedback provided by each can be seen in Online Table 4

Type 1 diabetes apps

Three apps were used for participants with type 1 diabetes and aimed to help patients to calculate the most appropriate insulin bolus, on the basis of patient blood glucose levels, food intake and physical activity. Data for all three apps were manually entered. One study reported that there was little impact of the app on the total time spent on face-to-face or telephone follow-up and concluded that the software did not require more time for patients to manage their diabetes (13). A further study estimated the average cost to patients and educators time was £38 per patient, attributed to the app over a 9 month period (16). HCP feedback was provided in all apps, with a frequency ranging from every week to every three weeks (Online Table 4).

Type 2 diabetes apps

Nine apps were used for participants with type 2 diabetes. The apps were designed to improve patient self-management, by providing personalized feedback on selfmonitoring data, such as blood glucose, food intake, and physical activity. In eight of the apps, BG was automatically transferred and other data manually entered., with one exception where BP, body weight and pedometer was also automatically transferred (25),. Quinn et al. (17) reported that the app was associated with shorter consultation times. Among seven apps with HCP feedback, three provided feedback when needed (eg patient data were considered abnormal). In the remaining-other apps, the frequency of feedback ranged from once a week to once every three months (Online Table 4).

Effectiveness of the apps:

Type 1 diabetes

There were mixed results forom the type 1 diabetes studies. Two studies (14; 15) found no difference between the intervention group and the control group and two studies (13; 16) reported statistically significant results that favored the apps-. There was a statistically insignificant difference in HbA1c between the apps and control group of -0.36% (95% CI -0.87% to 0.14%, P = 0.16, l^2 = 87%; Figure 2). No subgroup analyses were reported.

INCLUDE FIGURE 2 HERE

Type 2 diabetes

All ten studies of type 2 diabetes reported a reduction of HbA1c in participants using an app, with aand the median reduction from the studies wasof 0.55% (range 0.15% to 1.87%). After pooling the mean reduction in HbA1c was 0.49% (95% Cl 0.30%, to 0.68%; P < 0.001; l^2 =10%; Figure 3). These results exhibited consistent findings with no heterogeneity. One study reported results a reduction larger than clinically anticipated which raised debate over the legitimacy of their findings (26). After excluding the subgroup of studies that were-quality assessed as poor quality and only including those studies with good or fair quality, we found a mean reduction of 0.41% (95% CI 0.22%, to 0.61%; P<0.001; l^2 =0%; Figure 3). The level of evidence by GRADE was moderate, due to the findings being downgraded due to quality.

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INSERT FIGURE 3 HERE

Type 2 diabetes subgroup analyses

The subgroup analysis by follow-up duration showed that five studies with a shorter follow-up duration (less than six months) displayed a larger (but non-significant) HbA1c reduction than those with a longer duration (greater than six months) 0.62% versus 0.40% ($P = 0.33$) respectively. There was no difference in the reduction of HbA1c in three studies with a mean diabetes duration of less than nine years (0.53%) compared to those with a duration \geq 9 years (0.55%; P = 0.93). Studies of younger participants with a mean age of ≤ 55 years reported a larger and clinically significant reduction in HbA1c level of 1.03% compared to those with an average age greater than 55 of 0.41%, but the result was not found to be statistically significant (P $= 0.10$).

The In the subgroup analysis by number of self-monitoring tasks found no difference. S six diabetes apps that supported at most three self-monitoring tasks, and had similar results to those studies with more than three self-monitoring tasks (mean reduction of 0.44% versus 0.58%; $\frac{1}{2}P = 0.56$). Two studies of diabetes apps with only automated feedback had a small and statistically non-significant reduction in HbA1c of 0.26% (95% Cl 0.09%, to -0.62%). When diabetes apps included HCP feedback wereas pooled, eight studies reported a reduction of 0.56% (95% CI 0.35%, to 0.78%). There was no statistically significant difference between HCP verses automatic feedback subgroup $(P = 0.16)$.

Four sensitivity analyses were undertaken to test the robustness of the results. Removing three studies (20; 23; 26) with poor quality reported a mean reduction of 0.41% (95% Cl 0.22%, to 0.61%, Figure 3). The removal of one study (17) with incomplete statistical information was associated with a mean reduction of 0.48% (95% CI 0.28%, to 0.67%), and the exclusion of one study (20) conducted on mixed participants with type 1 and type 2 diabetes had an attendant mean reduction of 0.48% (95% Cl 0.27%, to 0.69%). Finally, the exclusion of two studies (17; 23) with baseline HbA1c levels > 9.0% was associated with a mean reduction of 0.47% (95% Cl 0.25%, to 0.69%).

Discussion

Ten studies were included for type 2 diabetes, of predominately of fair quality. The results of these indicated a consistent reduction in HbA1c of 0.5%. Although there was no indication of heterogeneity, the study conducted by Takenga et al. (26) introduced a large effect, that was likely to be caused by poor study quality (high attrition rate, differential loss to follow-up and high baseline HbA1c level). Subgroupof study quality were used to stratify studies with a poor quality assessment, compared to those with a fair and good quality assessment Thus, studies were stratified into subgroup determined by their quality assessment (27). No differences were found between the subgroups based on quality, and the studies of poor quality were included for completeness, and to highlight the challenges in study design.

Five subgroup analyses showed that the effect did not differ significantly by follow-up duration, mean diabetes duration of participants, mean age of participants, number of self-monitoring tasks supported by the diabetes apps, or types of feedback. Compared to studies that have investigated alternative interventions to improve their diabetes self-management-of HbA1c, such as: text messaging, mobile device, computer based and convention self-management, we have found that apps offer promising results and reinforce the message argued by other authors (3; 4; 28-30). The evidence for this finding by GRADE was moderate, after down grading due to quality.

The subgroup analysis by follow-up duration suggested that the effect of diabetes apps on blood glucose control may attenuate over time. A possible rationale for this subgroup effect is a lack in user-friendliness, a lack in perceived additional benefits and a lack of use of gamification elements, resulting in a lack of efficacy following use (31). The subgroup analysis by mean age of participants indicated that younger patients were more likely to benefit from the use of the diabetes apps. It may be speculated that younger patients are more amenable to new technologies and more familiar with the use of mobile phones. The subgroup analysis by personalized feedback system highlighted the gap between automated feedback and healthcare professional feedback. Although automated feedback has the advantage of being interactive and dynamic (and probably cost effective), there is a limit to presupposed

scenarios, whereas feedback provided by healthcare professionals wais more individual, especially in emergency situations. Feedback options ranged widely between the apps, but it is postulated that it was the feedback that triggered improved lifestyle choices, which in turn lowered HbA1c. None of the five sensitivity analyses changed the overall effect size significantly, which suggests that the findings are not sensitive to these scenarios. The results of our meta-analysis lend support to the use of diabetes apps in diabetes self-management, especially for type 2 diabetes. However, -we have highlighted a number of limitations of current diabetes apps.

For type 1 diabetes, there was little difference in HbA1c between intervention and control groups and the results were associated with considerable heterogeneity. The level of evidence by GRADE was downgraded to very low -due to: study quality; inconsistency; and uncertainty; so the findings should be interpreted as very uncertain and likely to be change following future research. Furthermore, none of the apps in the included type 1 diabetes studies had an automatic data uploading functionality. In future studies for type 1 diabetes, we encourage investigators to include apps with this functionality, not only for the purpose of being user-friendly, but also for safety concerns by reducing the risk of data entry errors.

Two studies reported on the cost effectiveness of the apps for type 1 diabetes with inconclusive findings (15; 16) on type 1 diabetes reported on the cost of the intervention. Although the data provided by the two studies seemed to favor the diabetes apps as a low-cost self-management intervention for type 1 diabetes, its effect on diabetes self-management is still questioned. Of t_{Three} studies on type 2 diabetes that discussed compliance, described patient compliance, and two reported poor compliance of the patients, reporting compliance with only 35% of patients as regular app users (21; 24). One study (25) reported a decline in patient use over time, from 70% in the first week to 50% in the last two weeks. Four studies tried to explore the mechanisms behind the effects, but the conclusions were inconsistent (16; 17; 21; 24). We postulate that diabetes apps influence lifestyle choice, but how this occurs is unclear. One hypothesis is that the reminder and feedback features of diabetes apps can lead to improvement in health beliefs, self-efficacy and social support (32).

By the end of the decade, worldwide mobile phone usage is anticipated to exceed 5 billion (33). Therefore apps may be able to offer an affordable and widely available adjunct to diabetes self-management. We have included studies across a variety of healthcare systems, from both the developed and developing world, so we argue the apps are currently available and could form the basis of improved health promotion on diabetes education and self-management.

This study had several limitations. Since this review was restricted to published studies and so publication bias cannot be ruled out as highlighted by other investigators (30) All included study designs were unblindednot blinded, so were downgraded in the quality assessment tool, (highlighting the increased risk of ascertainment bias). Furthermore, patient-important outcomes and behavioral mechanisms and outcomes were not considered and is a clear gap to be addressed in future studies. A further weakness is that some of the effect attributed to the apps could be explained by health care providers. Finally, there is no clear definition of diabetes apps and study authors defined their interventions in different ways as a result. In this review, we defined diabetes apps as software that is designed for use on a mobile phone allowing patients to enter data into the app-with response to theinputted data through either automatically generated feedback or patients' HCPs' feedback. and receive feedback.

The implications for future research include establishing a common standardized platform of functionality. Investigators of future studies need to consider adequately powered pragmatic RCTs with secure sequence generation, concealed allocation, use of an active control app, and comparable access to HCP. Features such as these might reduce the impact of ascertainment bias and effects due to HCP. RCTs with longer duration of follow up (> 6 months) using standardized app technology may well demonstrate beneficial clinical effect in type 2 diabetes. Furthermore, there is significant scope for research in the use of apps in other areas of selfmanagement, such as increasing physical activity, weight loss and smoking cessation.

In a clinical context, the need to consider patient safety issues is of paramount-

importance with apps aligned with current diabetes self-management guidelines. Wwe recommend that HCP feedback should be central in all future app design and supplemented with dynamic automated feedback. Future technology should also be underpinned by behavior change theories and gamification elements to achieve a larger effect on blood glucose control and improve compliance of patients in using diabetes apps. Finally, future technology should also consider the needs of older patients.

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A declaration of competing interests.

"All authors have completed the Unified Competing Interest form at www.icmje.org/coi_disclosure.pdf and declare that (1) BC and CH, SM, JM, TF, or BC have no support from any companies for the submitted work; (2) CH, SM, JM, TF, or BC BC and CH-have no relationships with companies that might have an interest in the submitted work in the previous 3 years; (3) their spouses, partners, or children have no financial relationships that may be relevant to the submitted work; and (4) CH, SM, JM, TF, or BCBC and CH have no non-financial interests that may be relevant to the submitted work."

Author Contribution:

Can Hou (CH) designed the protocol, searched the literature, extracted the data, carried out the analysis, and drafted the manuscript Sharon Mayor (SM) designed the protocol, interpreted the results, and contributed to the manuscript Jonathan Hewitt (JH) reviewed the manuscript and advised on the clinical context of the review Trevor Francisa (TF) searched the literature, extracted the data Ben Carter (BC) interpreted the results, drafted to the manuscript and is the

guarantor of the review.

Guarantor's statement

Dr. Ben Carter BC is the guarantor of this work and, as such, had full access to all the data in the study and takes responsibility for the integrity of the data and accuracy of the data analysis has full access to all the data in the study and had final responsibility for the decision to submit for publication

A transparency declaration:

The manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as planned (and, if relevant, registered) have been explained.

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Figure 1: PRISMA Flowchart of included studies

Figure 2: Pooled Type 1 diabetes studies of HbA1c comparison of apps versus control

Figure 3: Pooled Type 2 diabetes studies of HbA1C comparison of apps versus control