

# ORCA - Online Research @ Cardiff

This is an Open Access document downloaded from ORCA, Cardiff University's institutional repository:https://orca.cardiff.ac.uk/id/eprint/93897/

This is the author's version of a work that was submitted to / accepted for publication.

Citation for final published version:

Kusche, Juergen, Eicker, Annette, Forootan, Ehsan , Springer, Anne and Longuevergne, Laurent 2016. Mapping probabilities of extreme continental water storage changes from space gravimetry. Geophysical Research Letters 43 (15) , pp. 8026-8034. 10.1002/2016GL069538

Publishers page: http://dx.doi.org/10.1002/2016GL069538

Please note:

Changes made as a result of publishing processes such as copy-editing, formatting and page numbers may not be reflected in this version. For the definitive version of this publication, please refer to the published source. You are advised to consult the publisher's version if you wish to cite this paper.

This version is being made available in accordance with publisher policies. See http://orca.cf.ac.uk/policies.html for usage policies. Copyright and moral rights for publications made available in ORCA are retained by the copyright holders.



# Mapping probabilities of extreme continental water storage changes from space gravimetry

J. Kusche<sup>1</sup>, A. Eicker<sup>1</sup>, E. Forootan<sup>1,2</sup>, A. Springer<sup>1</sup>, and L. Longuevergne<sup>3</sup>

Corresponding author: J. Kusche, Institute of Geodesy and Geoinformation, University of Bonn, Nussallee 17, D-53115 Bonn, Germany. (kusche@uni-bonn.de)

<sup>1</sup>Institute of Geodesy and

Geoinformation, University of Bonn, Bonn,

Germany.

<sup>2</sup>School of Earth and Ocean Sciences,

Cardiff University, Cardiff, UK.

<sup>3</sup>CNRS, Geosciences Rennes, University

or Rennes 1, Rennes, France.

DRAFT

July 6, 2016, 10:05am

## Key Points.

1. From 12 years of GRACE data, we derive statistically robust 'hotspot' regions of high probability of peak anomalous water storage and flux.

2. Comparison to ERA-Interim reanalysis reveals good agreement of these regions to GRACE, with most exceptions located in the Tropics.

3. Provided GRACE will be succeeded in time by GRACE-FO, by around year 2020 we will be able to detect changes in the frequency of peak total flux.

<sup>3</sup> Using data from the Gravity Recovery and

<sup>4</sup> Climate Experiment (GRACE) mission, we

5 derive statistically robust 'hotspot' regions of

<sup>6</sup> high probability of peak anomalous – i.e. with

 $_{7}$  respect to the seasonal cycle – water storage

<sup>8</sup> (of up to 0.7 m one-in-five-year return level)

 $_{9}\,$  and flux (up to 0.14 m/mon). Analysis of, and

<sup>10</sup> comparison to, up to 32 years of ERA-Interim

<sup>11</sup> reanalysis fields reveals generally good agree-

<sup>12</sup> ment of these hotspot regions to GRACE re-

<sup>13</sup> sults, with most exceptions located in the Trop-

<sup>14</sup> ics. A simulation experiment reveals that dif-

DRAFT

July 6, 2016, 10:05am

- <sup>15</sup> ferences observed by GRACE are statistically
- <sup>16</sup> significant. Further error analysis suggests that,
- <sup>17</sup> provided we will have a continuation of GRACE
- <sup>18</sup> by its follow-up GRACE-FO, we will likely be
- <sup>19</sup> able around year 2020 to detect temporal changes
- <sup>20</sup> in the frequency of extreme total fluxes (i.e.
- <sup>21</sup> combined effects of mainly precipitation and
- $_{22}$  floods) for at least one tenth to one fifth of the
- 23 continental area.

#### 1. Introduction

Due to its memory effect, terrestrial water storage contains information on antecedent 24 rainfall and runoff conditions that, to some extent, control future drought and flood 25 occurence and severity. However, at the time of writing, the NASA/DLR GRACE twin-26 satellite mission represents the only platform that observes terrestrial water storage with 27 global coverage. GRACE has provided an unprecedented record of more than 14 years 28 of monthly terrestrial water storage anomaly maps. The GRACE satellites show signs of 29 ageing, but with its successor GRACE-FO set for launch in 2017 [Flechtner et al., 2016], 30 it appears possible that we will soon have an almost uninterrupted observational record 31 of terrestrial water storage over three decades. The primary observable of GRACE, time-32 variable changes in the Earth's geopotential measured via precise intersatellite ranging, 33 has provided a new view on the ongoing patterns of mass redistribution at the planet's 34 surface, in particular related to the terrestrial and oceanic hydrological cycle. 35

Several researchers quantified the variability of water storage in form of groundwater, soil moisture, and surface water [*Forootan et al.*, 2014], snowpack and ice [*Velicogna et al.*, 2014], and mass-driven sea level [*Rietbroek et al.*, 2016], on different timescales from interannual to days. Observed variability in groundwater storage has been attributed to episodic events such as droughts and floods, to 'natural' variability related to modes of the climate system [*Phillips et al.*, 2012], and to anthropogenic effects such as depletion [*Döll et al.*, 2014] and land use change.

Ogawa et al. [2011] have shown how GRACE data can be related to total terrestrial water
flux, the sum of precipitation, evapotranspiration, and runoff, and Springer et al. [2014]

DRAFT

suggested its use to validate the water cycle in atmospheric reanalyses. In these applica-45 tions, numerical differentiation schemes are applied to total water storage time series in 46 order to derive flux. At longer time scales, anomalies of total flux with respect to a mean 47 state can be linked to the sum of (i) modifications of the land boundary conditions and 48 the resulting climate forcing, (ii) the direct and indirect impact of anthropogenic activ-49 itites, and (iii) the hydrological response of the system [Eicker et al., 2016]. Important, 50 at shorter time scales and at grid scale, GRACE data relate to lateral water redistribu-51 tion: water storage increase (flux has a positive sign) corresponds to precipitation plus 52 upstream river flow, while storage decrease (flux has a negative sign) corresponds to evap-53 otranspiration plus river discharge. However, inferring lateral transports from GRACE 54 is difficult since month-to-month variability in GRACE data is contaminated by stronger 55 noise and limited in spatial resolution, when compared to longer timescales. 56

Estimating the frequency or probability of future events based on time-limited records 57 represents an established concept in hydrology and hydrological engineering (e.g. Beard 58 [1962], Stedinger et al. [1992]). Drought and flood indicators can be expressed as per-59 centiles in reference to their historical frequency of occurrence. For example, the U.S. 60 Drought Monitor combines several short-term and long-term indices and indicators in 61 this way for each location and time of year. Since only little information on deep soil 62 moisture and groundwater enters common drought indices, GRACE data are being as-63 similated in the Catchment Land Surface Model, and assimilated fields are converted into 64 soil moisture and groundwater percentiles [Houborg et al., 2012]. 65

<sup>66</sup> Few studies so far (e.g. Moore and Williams [2014], Humphrey et al. [2016]) have at-

DRAFT

tempted to look directly at the statistical behaviour of 'anomalous' GRACE signals, i.e. beyond the dominating seasonal cycle and beyond episodic drought and flood events, and no study is known to us that quantifies occurrence frequency and expected return levels of such changes in a probabilistic sense.

In particular interesting would be, in the light a hypothesized intensification of the wa-71 ter cycle (Huntington [2006], or [Durack et al., 2012]), whether and if, after what time, 72 changes in the occurrence frequency of extremes in storage and flux, including floods and 73 droughts, can be observed with space gravimetry. In a probabilistic view, changes in the 74 mean and variance of the distribution underlying a climate variable affect the severity 75 and occurrence frequency of extremes [Folland et al., 2001]. Return times of events of a 76 given magnitude, or return levels for a given return time as considered here, are sensitive 77 indicators to increases in magnitude in the tails of the underlying distribution [Allen and 78 Ingram, 2002]. For example, the CMIP5 analysis by Yoon et al. [2015] projects an in-79 crease in the sliding-window variance of California top-1m soil moisture that equates to 80 an increase of intense droughts and excessive floods by at least 50% towards the end of 81 the twenty-first century. Validating such studies using GRACE/GRACE-FO would be of 82 tremendous significance. 83

Here, we analyse annual peak high and low levels of water storage and in storage change (total flux), observed by GRACE, for their recurrence frequency and levels in a probibilistic framework. Recurrence frequency and return level are equivalent once the underlying distribution is known, so we express all findings as return levels. We outline hotspot regions where large anomalies are to be expected, which differ, to some extent, from what

DRAFT

X - 6

<sup>89</sup> is expected based on 32 years of ECMWF ERA-Interim reanalysis. Based on a realistic
<sup>90</sup> simulation, we then discuss the probability of detecting temporal changes in recurrence
<sup>91</sup> frequency of total water flux with the future combined GRACE/GRACE-FO data record.

# 2. Data and methods

Total water storage (TWS) represents aggregated variations in the terrestrial water 92 content with respect to a long-term mean; thus reflecting the combined effect of changes 93 in groundwater volume, soil moisture, root and canopy water content, and lake, river 94 and reservoir levels. We use GRACE data to derive TWS as follows: Monthly spheri-95 cal harmonic coefficients (University of Texas, release 5) for the 2003.0-2015.0 timespan, 96 augmented by geocenter,  $c_{20}$ , and glacial isostatic adjustment (GIA) corrections and decor-97 related/smoothed through the DDK3 method as in *Eicker et al.* [2016], are mapped to 1° 98 grids. Finally, in order to focus on departures from the large average seasonal water stor-99 age modes, we first remove a six-parameter model (mean, rate, annual and semi-annual 100 waves), and then, subsequently, the monthly residual TWS climatology from the grids. 101

<sup>102</sup> The instantanous rate of change of TWS corresponds, according to mass conservation, <sup>103</sup> to the sum of precipitation, evapotranspiration and runoff, and we denote this quantity <sup>104</sup> as total water flux (TWF) here. From the GRACE coefficients, TWF grids are derived <sup>105</sup> following methods outlined in *Eicker et al.* [2016]. Since TWF exhibits more noise due to <sup>106</sup> temporal differentiation, we chose to apply slightly more agressive spatial filtering (DDK2) <sup>107</sup> as compared to TWS grids.

<sup>108</sup> It is not clear whether any current hydrological or land surface model captures the full

DRAFT

storage capacity in all soil and groundwater layers, and lends itself for providing a reference 109 for GRACE-derived extreme TWS and TWF under either stationarity or non-stationarity 110 assumptions. In this work, both for comparison and multi-decadal simulation purposes, 111 TWF grids are derived directly from ERA-Interim reanalysis fields [Dee et al., 2011] of 112 precipitation, evapotranspiration and runoff. We realize that limitations of the under-113 lying land surface model (H-TESSEL) exist, but results in *Eicker et al.* [2016] suggest 114 that ERA-Interim and GRACE data fit well at shorter timescales. To enforce spectral 115 consistency, these fields are first converted to spherical harmonic representation, filtered 116 using the same procedures as with GRACE, and converted back to grids as in *Eicker et al.* 117 [2016].118

From this point on, our method is as follows (see supporting information): We decimate 119 all grid time series first to annual maximum and minimum anomalous storage and flux, 120 and compute mean, standard deviation and skewness (up to 2.6-2.9) for these peak series. 121 A Generalized Extreme Values (GEV) distribution is then fitted using a moment method 122 [Martins and Stedinger, 2000], and return levels (i.e. expected maximum or minimum 123 after N years) are computed. This has the advantage that occurrence frequencies can be 124 represented through a single, physically interpretable value (the N-year return level in m 125 or m/mon) per grid point. Since the GRACE record is rather short compared to precipi-126 tation, discharge or sea level data where GEV analysis is common, we restrict ourselves in 127 this study to one-in-five-year return levels, and no attempt is made to extrapolate return 128 level curves to more infrequent extremes. 129

DRAFT

X - 8

#### 3. Probabilities of anomalously high or low water storage

Following this approach, Fig. 1 illustrates return levels of annual anomalously high (a) 130 and low (b) water storage from GRACE, with respect to the monthly TWS climatology. 131 Expected one-in-five-year peak water levels reach up to 0.70 m, with dominating regions 132 being the Central Amazon and the Mississippi (related to catastrophic 2011 floods) basins, 133 and a range of regions at the 0.2 - 0.4 m level; such as the South America Parana basin, 134 Central Africa (including the Zambezi), India, Northern Australia, Turkey, and North-135 East China (it is important to understand that expected N-year levels can be larger than 136 those actually observed within any N-year period). Measured by the latitude-weighted 137 RMS, land-averaged return levels amount to 0.14 m. As an aside, we note that one-in-138 ten-year levels are generally found about 25% larger than one-in-five year levels. 139

It must be understood that, at GRACE temporal and spatial resolution, hydro-140 meteorological extreme events are difficult to relate to common flood or rainfall peak 141 levels or return intervals. For example, the 2011 Mississippi 500-year flood inundated an 142 area of several thousand  $\mathrm{km}^2$  by the order of meters, as a result of rainfall rates of 50 143 cm per week but concentrated within few days. Though GRACE results are typically ex-144 pressed in metric 'equivalent water height', due to its measurement principle the mission 145 observes water mass (or 'equivalent volume') which is difficult to scale to observable water 146 levels. As a result, GRACE-derived extreme events always refer to monthly large-scale 147 averages and may miss, or average out, 'real' extreme events that are focused in space 148 and time by nature. 149

<sup>150</sup> In contrast to annual maxima, one-in-five-year levels of exceptional low (i.e. below cli-

DRAFT

X - 10

matology) water storage are found reaching 0.55 m in the Amazon, and on average over 151 land masses, less than 0.14 m. It is interesting to note that extreme levels in TWS are 152 not symmetric; some regions affected by floodings (e.g. Mississippi basin, Lake Victoria) 153 feature prominently in Fig. 1.a while some others show up only (Amazonas river mouth) 154 in Fig. 1.b, but overall the maps are quite similar. 14-year minimum TWS events have 155 been analysed in *Humphrey et al.* [2016], and their Fig. 14 of maximum average storage 156 deficit and year of maximum resembles our Fig. 1.b. This confirms that levels of high 157 probability of low water storage in our Fig. 1.b are typically related to the occurrence of 158 two or three strong droughts (some of which may not have been described in literature, 159 Humphrey et al. [2016]). 160

As expected, these hotspot regions of extreme annual anomalous storage broadly corre-161 spond to regions where seasonal water storage amplitudes are large (see Fig. S1), but they 162 also reflect that GRACE picks up anomalous floods and droughts for Southern Australia, 163 the Parana basin (where the large groundwater response to climate variability was shown 164 in *Chen et al.* [2010]), or North East China regions where the annual signal is less promi-165 nent. On the contrary, Fig. 1 does not prominently feature part of the Amazon, Alaska 166 coastal glaciers, and the Ganges-Brahmaputra delta where surface or snow-equivalent wa-167 ter loads are huge but mostly follow the seasonal cycle (Fig. S1). 168

Figure 1 (c, d, g, and h) show time series (black dots), annual maximum TWS levels (red dots), observed frequency of maximum TWS (red bars) and the fitted GEV distribution (grey) for the two locations Central Amazon (c,d), Parana (g, h) indicated in Fig. 1 (a), while figures on the right-hand side show the same for minimum TWS levels (Cuvelai-

DRAFT

Etosha e, f, Northern Australia i, j). Locations have been chosen to display different 173 behaviour: Central Amazon where annual signals are among the largest on Earth, with 174 a wide spread of both annual maxima and minima of anomalous TWS, while for the 175 Cuvelai-Etosha basin [*Eicker et al.*, 2016] a multiannual oscillation appears to be present. 176 For the Parana basin, again the seasonal signal is weak but extreme levels peak every two 177 to three years, likely related to ENSO (Chen et al. [2010], Phillips et al. [2012], Eicker 178 et al. [2016]). We note that, with the exception of the Central Amazon location, the GEV 179 distribution appears quite suitable for fitting to observed extreme levels of storage. As 180 will be shown later, our GEV fits are less sensible with respect to record length compared 181 to, e.g. Gaussian fits. 182

# 4. Probabilities of anomalous increase or decrease of water storage

Analysis of the time-differentiated GRACE record reveals a number of regions of in-183 creased probability of maximum (Fig.2.a) and minimum (Fig.2.b) water flux that broadly 184 correspond to those of anomalous TWS but in general follow rainfall patterns such as 185 the monsoon. One-in-five-year levels of annual peak flux (Fig.2.a) amount up to 0.14 186 m/mon for the Central Amazon region, with an overall land-average weighted RMS of 187 0.033 m/mon. We remind that annual extremes in TWF relate to the fastest increase 188 (linked to extreme precipitation) or decrease of total water storage per given year; and 189 peak maxima in the figures have to be interpreted as levels of storage increase or decrease 190 that statistically occur once every five year. Peak one-in-five year decrease (Fig.2.b) 191 reaches up to 0.14 m/mon, with a land-average RMS of 0.031 m/mon. 192

<sup>193</sup> In ERA-Interim (Fig.2.c), tropical precipitation extremes dominate total flux and con-

DRAFT

<sup>194</sup> tribute to one-in-five-year maximum levels up to 0.31 m/mon over Tropical Northern
<sup>195</sup> Australia and South-East Asia, with RMS close to 0.040 m/mon. Minimum levels (Fig.2.c)
<sup>196</sup> are up to 0.27 m/mon, land-averaged to RMS 0.036 m/mon. In fact, ERA-Interim iden<sup>197</sup> tifies many regions outside the Tropics that closely correspond to GRACE-derived ex<sup>198</sup> treme levels, with some exceptions (Southern Europe, US/Canada West coast, East Eu<sup>199</sup> rope/Russia). Overall, we find an average difference between GRACE-derived TWF and
<sup>200</sup> ERA-Interim reanalysis fields of only RMS 0.023 m/mon (max) and 0.021 m/mon (min).

Humphrey et al. [2016] found significant positive correlation between GRACE highfrequency anomalies and ERA-Interim precipitation over many regions, that we identify
here as having high probability of maximum water flux: the Amazon and Parana basins,
Northern Australia, South/Central Africa, Northern India, South-East Europe, parts of
the U.S.. This supports our hypothesis that extreme levels of TWS increase (positive
TWF) are likely driven by precipitation.

#### 5. Stationarity with respect to climate modes: ENSO

It is possible that our results are influenced by the occurrence of climate modes within the analysis time frame. In fact, *Phillips et al.* [2012] and *Eicker et al.* [2016] have shown that GRACE-derived water storage is correlated with ENSO, and other authors have identified correlations e.g. with the Pacific Decadal Oscillation (PDO, e.g. *Seoane et al.* [2013]). While these studies generally focused on identification of modes and problems in estimation of trend and accelerations, we here focus on the imprint of ENSO on the occurrence probability of extreme storage and flux.

DRAFT

In what follows, we repeat our previous experiments but we exclude either (1) years 2003, 215 2009, and 2010, or (2) 2007, 2008, 2010 and 2011 from our analysis. These years were, 216 according to the Ocean Niño Index (ONI, a three-month running mean of sea surface 217 temperature anomalies in the Niño3.4 region) categorized as (1) moderate or stronger El 218 Niño years (ONI> 1) or (2) moderate or stronger La Niña years (ONI< -1).

Results are shown in Fig. S2, and can be compared to Fig.1 which shows return levels 219 derived from the full time series including ENSO years. We find that, overall, our results 220 are surprisingly robust. Largest differences can be observed for South America. In case 221 of (1), excluding El Niño years, maximum one-in-five-year water levels are reduced from 222 0.70 m (full 12-year period) to 0.50 m with land RMS 0.013 m, while for (2) excluding 223 La Niña years leads to up to 0.53 m with RMS 0.014 m. Yet, removing El Niño years 224 does not lead to a general smoothing, and for some regions one-in-five-year levels slightly 225 increase. In contrast, minimum five-year water levels (0.55 m for 12-year period) increase 226 by (1) removing El Niño years to up to 0.65 m with RMS 0.014 m, while for (2) excluding 227 La Niña years leads to up to 0.63 m with RMS 0.013 m. 228

Results for total flux (not reported here) point in the same direction. In line with expectations, we conclude that ENSO, to some extent, influences extreme high water volumes and less so extremely low levels (storage deficit events). It is also interesting to note that El Niño and La Niña do not appear to have a symmetric effect on water surplus; although due to the reduced sample size such comparisons are problematic and need to be repeated once we have longer data records.

DRAFT

#### X - 14

# 6. Detecting an intensification from a future combined GRACE and GRACE-

# FO record

With 14 years of GRACE data at the time of writing, and the GRACE Follow-On 235 mission (GRACE-FO) on track for launch in late 2017, it is reasonable to ask whether, 236 and after what time, a continuous multi-decadal data set of TWS and TWF will enable 237 us to detect temporal changes in the frequency of extreme water storage and water flux 238 events. In order to answer this question, we conduct a twin experiment: (1) We derive 239 the ocurrence frequency of peak total water flux in ERA-Interim, when analyzed over 240 varying time frames from 32 years to 12 years, all ending January 2015. (2) We create 241 a synthetic, composite 32-years GRACE/GRACE-FO data set which is then analyzed 242 for peak TWF frequency over varying analysis intervals. This data set is derived from 243 the 'truth' ERA-Interim data by adding realistic, spatially anisotropic GRACE-errors. 244 In a conservative approach, we assume that GRACE-FO will have the same error char-245 acteristics as GRACE (both GRACE and GRACE-FO errors are synthesized from fully 246 populated, monthly covariance matrices from real-data GRACE analysis over 2013 and 247 2014, as in *Landerer and Swenson* [2012], but in random permutations over all 32 years). 248 In Fig. 3, we represent ERA-Interim-derived one-in-five-year peak water flux (left) and 249

ERA-Interim, Fig. 3 right), for 2003.0-2015.0 (top), 1991.0-2015.0 (center), and 1983.02015.0 (bottom). All results are summarized in Table 1.

the same statistics derived from synthetic GRACE/GRACE-FO (i.e. 'GRACE-perturbed'

<sup>253</sup> Differences between ERA-Interim peak TWF return levels over differing time spans, yet <sup>254</sup> referring to the same seasonal model, are small (cf. Table 1) but can be identified for

DRAFT

250

some regions (Orinoco basin, North-West India, Siberia). Such differences may occur due 255 to either problems in fitting the GEV distribution to small samples (comparing moment 256 and maximum likelihood (ML) estimation, or using the information matrix from the ML 257 approach [Hosking, 1985], suggests that  $1\sigma$  values may be at the 0.01 - 0.02 m/mon level 258 from 12 years) or owing to real nonstationarity. But in our twin experiment we will as-259 sume they represent the 'target signal' to be detected from the gravity mission records. As 260 expected, differences grow with decreasing analysis window. As a reference, for the same 261 12-year period for which we analysed real GRACE data, reanalysis-derived return levels 262 differ from those derived from 32 years by about 0.01 m/mon RMS and up to 0.09 m/mon 263 for the above mentioned regions. We note that it is of course possible that ERA-Interim 264 fails to capture real nonstationarity; in this case our GRACE/GRACE-FO simulation is 265 biased towards stationarity and conclusions on detectability may be too conservative. 266

Differences between one-in-five-year levels of peak TWF from simulated GRACE/GRACE-267 FO data and the corresponding 'truth' ERA-Interim derived levels (right column in Table 268 1) vary from 0.007 m (32 years) to 0.011m (8 years); they depend on the data record 269 length but much less compared to Gaussian statistics such as applied in trend estimates. 270 Our twin experiment simulates that for the 12 year period analysed in this study from 271 real GRACE data, average RMS errors may be slightly above the 0.01 m level. In fact, 272 GRACE-like errors amplify near-zero peak levels over the Sahara desert (Fig. 3 b, d, f) 273 to about 0.02 m/mon which is almost exactly what we observe from the real GRACE 274 data (Fig. 2 a and b); the visual correspondence is striking, suggesting that our error 275 model may be quite close to the real noise. With the above, we can conclude that we find 276

DRAFT

July 6, 2016, 10:05am

<sup>277</sup> ERA-Interim return levels above the noise level for nearly 90% of the total land area.

Finally, the RMS fit of GRACE/GRACE-FO peak levels from differing analysis windows to the 32-year ERA-interim five-in-one-year return levels (middle column in Table 1) suggest that temporal variability (or sampling error) of 'true' water flux and the effect of GRACE errors add up virtually independent. This metric tells how good the satellitederived return levels from limited observational records would reconstruct 'true' return levels under the assumption of stationarity.

The main conclusion from the twin experiment is that GRACE/GRACE-FO errors will 284 allow to derive return level statistics for water flux extremes at the 0.010 - 0.012 m/mon 285 land average error level within a 12 year temporal window (Table 1), only slighly less 286 accurate from 8-year windows, and more accurate down to 0.007 m/mon from 32 years. 287 In the light of our 12-year comparison of GRACE and ERA-Interim discussed in the pre-288 vious section, this means that the differences observed by GRACE (Fig. 3 (a) vs. (c) 289 and (b) vs. (d)) exceed a noise level of 0.01 m/mon for about 40% of the land area (and, 290 conservatively, a noise level of 0.03 m/mon for still more than 9% of the area). 291

This suggests that we apply a moving-window approach to a near-future GRACE/GRACE-FO time series in order to isolate temporal changes in extreme water flux frequency. Our error estimates can be compared against such changes in the ERA-Interim record, to understand for which part of the landmass changes would be detected with statistical significance. Yet, identifying such an 'intensification', leading to changes in the mean, variability, or skewness of extremes and therefore to a change in e.g. one-in-five-year levels, requires to contrast a certain window against a reference period of at least the same

DRAFT

duration. With this in mind, we deduce that for a 24-year data record with two 12-year 299 windows (i.e. corresponding to 2027, likely within the GRACE-FO lifetime), we could 300 have detected about 13 - 18% of the frequency changes in ERA-Interim (this is the share 301 of land area where changes exceed the noise). Surprisingly, for a 32-year data record, 302 divided into two windows each of the about the lifetime of GRACE, only for 10 - 16 % of 303 area such changes in ERA-Interim would be detected; this is since the longer timeframe 304 despite allowing for better GEV fitting tends to temporally average out changes in peak 305 frequency. On the contrary, our results suggest that already for a 16-year record (i.e. 306 2019) for 13 - 21 % of land area those changes in frequency that were captured in the 307 recent 8-year period in ERA-Interim with respect to the previous one would be detectable. 308

## 7. Conclusions

<sup>309</sup> Climate variability as well as a range of direct and indirect anthropogenic modifications <sup>310</sup> of the water cycle cause land-atmosphere water fluxes and surface runoff to depart from <sup>311</sup> the regional climatology on a range of timescales. Such anomalous total water flux and <sup>312</sup> total water storage signals can be observed with the GRACE satellite mission and, with <sup>313</sup> its successor GRACE-FO hopefully launched in 2017, we may have soon a multi-decade <sup>314</sup> observational record that can be used to inform model simulations.

Here we have focused on the occurrence of extreme, annual maximum or minimum anomalous fluxes and storages in the GRACE record. From 144 months of GRACE data, we quantify and map return levels (expected anomalous flux or storage once in N years) of these extremes, with good statistical significance. We find that most hotspot regions correspond to regions of known large storage amplitudes due to groundwater variability

DRAFT

July 6, 2016, 10:05am

X - 17

<sup>320</sup> or seasonal flooding or inundation, but the situation is more complex and not symmetric. <sup>321</sup> Few studies so far have aimed at lateral water redistribution using GRACE due to its <sup>322</sup> comparably low resolution, but here we show that this is largely possible when focusing <sup>323</sup> on extreme events.

The current GRACE data set has been used before to isolate and study the response of 324 total water storage and of groundwater and river discharge to extreme events such as heat-325 waves and heavy-precipitation years. Yet it is too short to derive conclusions on changes 326 in the probability of such events. But provided the GRACE mission will be succeeded in 327 time by GRACE-FO, we conclude that around year 2020 we will be able to detect changes 328 in the frequency of extreme total fluxes for at least one tenth to one fifth of the continental 329 area, when assuming the magnitude of such changes corresponds to what we observed in 330 the ERA-Interim reanalysis over the past decades. We anticipate that such changes may 331 occur along with an intensified water cycle due to global warming as the combined effects 332 of precipitation and floods. Yet, there is no consensus on what exactly may happen in 333 the future, and where, and we suggest that a combined GRACE/GRACE-FO record may 334 provide a useful additional observational data sets in order to test hypotheses regarding 335 the changing water cycle. 336

Acknowledgments. The University of Texas GRACE solutions are available via the GFZ Potsdam Information Systems and Data Center (ISDC, isdc.gfz-potsdam.de) as well as the JPL Physical Oceanography Distributed Active Archive Center (PODAAC, www.grace.jpl.nasa.gov). The European Center for Midrange Weather Forecasting provided the ERA-Interim data, which are publicly available.

DRAFT

#### References

- Allen, M. and W. Ingram, Constraints on future changes in climate and the hydrologic cycle. *Nature*, 419, 224-229
- Beard, L. (1962), Statistical methods in hydrology. U.S. Army Corps of Engineers, Sacramento, CA
- <sup>346</sup> Chen, J.L., C. Wilson, B. Tapley, L. Longuevergne, Z. Yang, and B. Scanlon (2010),
- Recent La Plata basin drought conditions observed by satellite gravimetry, J. Geophys.
- <sup>348</sup> *Res. (Atmospheres)*, *115*, D22108
- <sup>349</sup> Dee, D.P., and 35 authors (2011), The ERA-Interim reanalysis: configuration and perfor-
- mance of the data assimilation system, Q. J. R. Meteorol. Soc., 137(656), 553-597
- <sup>351</sup> Döll, P., H. Müller Schmied, C. Schuh, F. T. Portmann, and A. Eicker (2014), Global-scale
- assessment of groundwater depletion and related groundwater abstractions: Combining
- <sup>353</sup> hydrological modeling with information from well observations and GRACE satellites,
- <sup>354</sup> Water Resour. Res., 50, 56985720
- <sup>355</sup> Durack, P., S. Wijffels, and R. Matear (2012), Ocean salinities reveal strong global water <sup>356</sup> cycle intensification during 1950 to 2000, *Science*, *336*(6080), 455-458
- <sup>357</sup> Eicker, A., E. Forootan, A. Springer, L. Longuevergne, and J. Kusche (2016), Does
   <sup>358</sup> GRACE see the terrestrial water cycle 'intensifying'?, J. Geophys. Res. (Atmospheres),
   <sup>359</sup> 121, 733–745.
- <sup>360</sup> Flechtner, F., K.-H.Neumayer, C. Dahle, H. Dobslaw, E. Fagiolini, J.-C. Raimondo, and
- A. Güntner (2016), What can be expected from the GRACE-FO laser ranging interfer-
- $_{362}$  ometer for Earth science applications?, Surv. Geophys., 37(2), 453-470

DRAFT

- <sup>363</sup> Folland, C., T. Karl, J. Christy, R. Clarke, G. Gruza, J. Jouzel, M. Mann, J. Oerlemans,
- M. Salinger, and S.-W. Wang, Observed climate variability and change, In: Houghton,
- J. et al. (eds.), Climate Change 2001: The Scientific Basis, *Cambridge Univ. Press*, 99-181
- <sup>367</sup> Forootan, E., R. Rietbroek, J. Kusche, M. Sharifi, J. Awange, M. Schmidt, P. Omondi,
   <sup>368</sup> and J. Famiglietti (2014), Separation of large-scale water storage patterns over Iran
- using GRACE, altimetry and hydrological data, *Remote Sens. Environ.*, 140, 580-595
- <sup>370</sup> Houborg R., M. Rodell, B. Li, R. Reichle, and B. Zaitchik, Drought indicators based
  <sup>371</sup> on model-assimilated Gravity Recovery and Climate Experiment (GRACE) terrestrial
  <sup>372</sup> water storage observations, *Water Resour. Res.*, 48, W07525
- <sup>373</sup> Humphrey, V., L. Gudmundsson, and S. Seneviratne (2016), Assessing global water stor-
- age variability from GRACE: Trends, seasonal cycle, subseasonal anomalies and extremes, *Surv. Geophys.*, 37, 357-395
- Huntington, T. (2006), Evidence for intensification of the global water cycle: Review and
  synthesis, J. Hydrol., 319, 83-95
- Hosking, J.R.M. (1985), Maximum likelihood estimation of the parameters of the generalized extreme value distribution, *Appl. Stat.*, *34*, 301-310
- Landerer, F., and S. Swenson (2012), Accuracy of scaled terrestrial water storage estimates, *Water Resour. Res.*, 48, W04531
- Martins, E.S., and J.R. Stedinger (2000), Generalized maximum-likelihood generalized extreme value quantile estimators for hydrologic data, *Water Resour. Res.*, 36(3), 737– 744.

DRAFT

X - 20

- Moore, P., and S. Williams (2014), Integration of altimetric lake levels and GRACE 385
- gravimetry over Africa: Inferences for terrestrial water storage change 2003-2011, Water 386
- Resour. Res., 50(12), 9696-9720 387
- Phillips, T., R. Nerem, B. Fox-Kemper, J. Famiglietti, and B. Rajagopalan (2012), The 388
- influence of ENSO on global terrestrial water storage using GRACE. Geophys. Res. 389 Lett., 39(16), L16705. 390
- Rietbroek, R., S.-E. Brunnabend, J. Kusche, J. Schröter, and C. Dahle (2016), Revisiting 391 the contemporary sea level budget on global and regional scales, Proc. Nat. Acad. Sci. 392 U.S.A., 113(6), 15041509.393
- Seoane, L., G. Ramillien, F. Frappart, and H. Leblanc (2013), Regional GRACE-based 394 estimates of water mass variations over Australia: validation and interpretation, Hydrol. 395 Earth Syst. Sci., 17, 4925-4939. 396
- Springer, A., J. Kusche, K. Hartung, C. Ohlwein, and L. Longuevergne (2014), New 397 estimates of variations in water flux and storage over Europe based on regional (Re) 398 analyses and multisensor observations, J. Hydrometeorol., 15(6), 23972417. 399
- Stedinger, J., R. Vogel, and E. Foufula-Georgiou (1992), Frequency analysis of extreme 400 events, In: Maidment, R. (ed.) Handbook of Hydrology McGraw-Hill, New York, NY 401
- Ogawa, R., B. F. Chao, and K. Heki (2011), Acceleration signal in GRACE time-variable 402
- gravity in relation to interannual hydrological changes, Geophys. J. Int., 184(2), 673679. 403
- Velicogna, I., T. Sutterley, and M. van den Broeke (2014), Regional acceleration in ice 404
- mass loss from Greenland and Antarctica using GRACE time-variable gravity data, 405 Geophys. Res. Lett., 41(22), 8130-8137.

DRAFT

406

# Table 1. Differences

of one-in-five year levels from ERA-Interim and simulated GRACE/GRACE-FO derived TWF

with respect to ERA-Interim, $1983.0-2015.0$ (latitude-weighted land-only RMS, m/mon)				
		ERA-I	GRACE/GRACE-FO	GRACE/GRACE-FO
	years	vs. 1983.0-2015.0	vs. ERA-I 1983.0-2015.0	vs. ERA-I, same timeframe
2007.0-2015.0	8.0	0.012	0.015	0.011
2003.0-2015.0	12.0	0.009	0.012	0.009
1999.0-2015.0	16.0	0.008	0.011	0.009
1991.0-2015.0	24.0	0.004	0.008	0.008
1983.0-2015.0	32.0	-	0.007	0.007

407 Yoon, J.-H., S. Wang, R. Gilles, B. Kravitz, L. Hipps, and P. Rasch (2015), Increasing

<sup>408</sup> water cycle extremes in California and in relation to ENSO cycle under global warming,

409 Nat. Commun., 6:8657, doi:10.1038/ncomms9657.

X - 22

**Figure 1.** (a) One-in-five-year levels of anomalously high total water storage (TWS) with respect to climatology, as observed by the GRACE satellite mission (2003.0-2015.0), (b) one-in-five-year levels of anomalously low TWS from GRACE, (c, e, g and j) TWS time series for locations indicated by red circles) (d and h) corresponding empirical and fitted probability density functions for anomalously high TWS, (f and j) corresponding empirical and fitted probability density density functions for anomalously low TWS. c and d: Central Amazon, g and h: Parana, e and f: Cuvelai-Etosha, i and j: Northern Australia

DRAFT

July 6, 2016, 10:05am

**Figure 2.** (a) One-in-five-year levels of anomalously high total water flux (TWF) as observed by the GRACE satellite mission (2003.0-2015.0), (b) one-in-five-year levels of anomalously low TWF from GRACE, (c) one-in-five-year levels of anomalously high TWF from ERA-Interim reanalysis, (d) one-in-five-year levels of anomalously low TWF from ERA-Interim reanalysis

DRAFT

July 6, 2016, 10:05am

Figure 3. (a) One-in-five-year levels of anomalously high TWF from ERA-Interim, 2003.0-2015.0, (b) same, from simulated GRACE data (ERA-Interim plus GRACE correlated noise model), 2003.0-2015.0, (c) one-in-five- year levels of anomalously high TWF from ERA-Interim, 1991.0-2015.0, (d) same, from simulated GRACE data (ERA-Interim plus GRACE correlated noise model), 1991.0-2015.0, (e) one-in-five- year levels of anomalously high TWF from ERA-Interim, 1983.0-2015.0, (f) same, from simulated GRACE data (ERA-Interim plus GRACE correlated noise model), 1983.0-2015.0, (f) same, from simulated GRACE data (ERA-Interim plus GRACE correlated noise model), 1983.0-2015.0

DRAFT

July 6, 2016, 10:05am

Figure 1. Figure



Figure 2. Figure



Figure 3. Figure

