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Understanding the decline of water storage across the Ramsar-Lake Naivasha using satellite-based methods

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Abstract

It has been postulated that Lake Naivasha, Kenya, has experienced a rapid decrease (and fluctuations) in its spatial extent and level between the years 2002 to 2010. Many factors have been advanced to explain this, with horticultural and floricultural activities, as well as climatic change, featuring prominently. This study offers a multi-disciplinary approach based on several different types of space-borne observations to look at the problem bedeviling Lake Naivasha, which is a Ramsar listed wetland of international importance. The data includes: (1) Gravity Recovery and Climate Experiment (GRACE) time-variable gravity field products to derive total water storage (TWS) variations within a region covering the Lakes Naivasha and Victoria basins; (2) precipitation records based on Tropical Rainfall Measurement Mission (TRMM) products to evaluate the impact of climate change; (3) satellite remote sensing (Landsat) images to map shoreline changes and to correlate these changes over time with possible causes; and (4) satellite altimetry observations to assess fluctuations in the lake's level. In addition, data from an in-situ tide gauge and rainfall stations as well as the output from the African Drought Monitor (ADM) model are used to evaluate the results. This study confirms that Lake Naivasha has been steadily declining with the situation being exacerbated from around the year 2000, with water levels falling at a rate of 10.2 cm/yr and a shrinkage in area of 1.04 km²/year. GRACE indicates that the catchment area of 4° × 4° that includes Lake Naivasha loses water at a rate of 1.6 cm/year for the period from August 2002 to May

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2006, and 1.4 cm/year for the longer period of May 2002 to 2010. Examining the ADM outputs also supports our results of GRACE. Between the time periods 2000-2006 and 2006-2010, the lake surface area decreased by 14.43% and 10.85%, respectively, with a corresponding drop in the water level of 192 cm and 138 cm, respectively, over the same periods. Our results show a correlation coefficient value of 0.68 between the quantity of flower production and the lake's level for the period 2002-2010 at 95% confidence level, indicating the probable impact of anthropogenic activities on the lake's level drop.

Key words: multi-disciplinary satellite data, lake hydrology, total water storage, Lake Naivasha, climate change, floriculture

1. Introduction

Lake Naivasha (Kenya, Figure 1) is the only freshwater lake in the Great Rift Valley of East Africa in an otherwise soda/saline lake series (Everard et al., 2002). In fact, it is the freshness of the water of Lake Naivasha that is the basis for its diverse ecology (Harper et al., 1990), and in 1995, it was declared as a Ramsar wetlands giving it an international status (see, e.g., Mekonnen et al. 2012). During the years 2002 to 2010, the lake has seen a rapid decline in its extent to the point where questions are being raised in the local media as to whether the lake is dying.

In the last decade, the level of Lake Naivasha has continued to drop with floriculture being blamed for excessive water extraction from the lake and aquifers, and the small holder farms in the upper catchment being blamed for nutrient loadings, leading to outcry in both the local and international media that this Ramsar site could be dying as a result of the very resource that it supports (see, e.g., ILEC, 2005; FWWCC, 2008; Mekonnen and Hoekstra, 2010). For example, Mekonnen and Hoekstra (2010) and Mekonnen et al. (2012) observed that the total virtual water exported in relation to the cut flower industry from the Lake Naivasha basin was 16 Mm³/yr during the period 1996-2005. This total virtual water (m³/yr) in relation to export cut flower and vegetables is obtained by multiplying the trade volumes (tonnes/yr) by their respective water foot print in Kenya (m³/ton), see e.g., Mekonnen et al., (2012). Other factors that have also been proposed as influencing Lake Naivasha's water changes include irregular rainfall patterns (Harper et al., 1990), and trade winds (Vincent et al., 1979). All of these discussions, therefore, point to the need for the reliable mapping of the lake and its basin in order to properly understand its dynamics.

Lack of reliable basin mapping techniques has hampered the proper monitoring of its changes, while also not allowing accurate predictions of the likely future situation, despite modelling methods being used to calculate its water balance (see e.g., Becht and Harper, 2002). The situation is compounded by the fact that Lake Naivasha has no surface outlet that could assist in hydrological monitoring, and that changes in its water level occur rapidly, over the order of several meters over just a few months, shifting the shoreline by several meters (Becht et al., 2005).

34 The emergence of satellite-based methods offers the possibility of providing
35 a broader and more integrated analysis of the lake and its basin. Using time-
36 variable gravity field products of the Gravity Recovery and Climate Experiment
37 (GRACE) mission (Tapley et al., 2004), variations in the total water storage
38 (TWS) of the region extending from the Lake Naivasha basin to Lake Victo-
39 ria is assessed in this study, to determine whether the changes are climatic or
40 human induced. GRACE-TWS products are then compared with soil moisture
41 and separated into its compartments (i.e., precipitation and evaporation) us-
42 ing the African Drought Monitor (ADM) model. Changes in precipitation are
43 further examined by analysing monthly products of the Tropical Rainfall Mea-
44 surement Mission (TRMM), as well as four in-situ rainfall stations (Naivasha,
45 Narok, Nakuru, and Kisumu), allowing us to determine the proportion of the
46 fluctuations in Lake Naivasha that are related to changes in precipitation during
47 a long-term period (1960 to 2010) and the study period (2002 to 2010). Note
48 that analysing long-term precipitation variations also evaluates the impact of
49 climate variability such as the dominant El Niño-Southern Oscillation (ENSO)
50 phenomenon on the hydrological compartments of TWS variations within the
51 region of study (Omondi et al., 2012; 2013a,b).

52 The fluctuations in the water level of Lake Naivasha are determined using
53 both ground-based tide-gauge observations and satellite altimetry data (TOPEX/Poseidon
54 and Jason-1). These results are then related to the use of satellite imagery (e.g.,
55 Landsat) and change detection techniques to map the shoreline changes of Lake
56 Naivasha, analysing the trend of changes over the study period of interest, and
57 correlating shoreline changes to the proposed causes. Therefore, this study pio-
58 neers the use of both space-borne and ground-based observations for monitoring
59 Lake Naivasha.

60 2. Study Area

61 Lake Naivasha (00° 40' S - 00° 53' S, 36° 15' E - 36° 30' E) is the second
62 largest fresh water lake in Kenya with a maximum depth of 8 m. It is situated
63 in the Eastern African Rift Valley at an altitude of 1890 m above sea level and
64 is approximately 80 km northwest of the Kenyan capital, Nairobi. Its basin
65 (Figure 1) lies within the semi-arid belt of Kenya with mean annual rainfall
66 varying from about 60 cm at the Naivasha township to some 170 cm along
67 the slopes of the Nyandarua mountains, with open water evaporation estimated
68 to be approximately 172 cm/year (Becht et al., 2005). Mount Kenya and the
69 Nyandarua Range capture moisture from the monsoon winds, thereby casting a
70 significant rain shadow over the Lake Naivasha basin (Becht et al., 2005). Unlike
71 Lake Victoria which has its highest rainfall during the March-April-May (MAM)
72 wet season (e.g., Awange et al., 2008a, b), the Lake Naivasha basin experiences
73 its highest rainfall period during April-May-June (AMJ). There is also a short
74 rainy season from October to November. The lake's levels, therefore, follow
75 this seasonal pattern of rainfall cycle, with changes of several meters possible
76 over a few months. Superimposed upon this seasonal behaviour are longer-term

77 trends, for example, there has been a change in the lake’s water level of 12 m
78 over the past 100 years (Becht et al., 2005).

FIGURE 1

79 The lake is fed by three main river systems: Gilgil, Malewa and Karati,
80 the last of which only flows during the wet season (see Figure 2). Becht et al.
81 (2005) observed that whereas a small portion of the groundwater evaporates and
82 escapes in the form of fumaroles in the geothermal areas, the remaining water
83 flows into Lakes Magadi and Elmentaita, taking thousands of years to reach
84 them. The basin’s water balance has been calculated from a model based upon
85 long-term meteorological observations of rainfall, evaporation and river inflows
86 (Becht and Harper, 2002). This model reproduced the observed level from 1932
87 to 1982 with an accuracy of 95% of the observed monthly level, differing by 0.52
88 m or less (ILEC, 2005). This pattern was, however, noticed to deviate after
89 1982 and by 1997, the differences had reached 3-4 m (Becht et al., 2005). In
90 fact, the onset of this reduced ability to model the lake’s level coincided with
91 the increase in horticultural and floricultural activities.

92 In general, three contemporary global water issues can be identified as occur-
93 ring in this region, namely *water scarcity/availability*, *water quality*, and *water*
94 *security*. While the focus of this study is on water scarcity/availability, several
95 previous works have focused on the problem of water quality and competition
96 for water resources within the study area (see e.g., Kitaka et. al 2002; Becht,
97 2007). Although water security issues are a reality in the Lake Naivasha basin,
98 few studies have been done to better understand the underlying conditions. For
99 example, Carolina (2002) asserts that the area of the Lake Naivasha basin is of
100 high economic and political importance to Kenya, and presents a wide variety
101 of economic activities based around the water resources, with many different
102 stakeholders often competing for the water resources.

103 The flower industry in Kenya has experienced a phenomenal growth, main-
104 taining an average growth rate of 20% per year over the last decade. It is an
105 industry that is the second largest export earner for Kenya, employing 50,000 -
106 60,000 people directly and 500,000 others indirectly through affiliated services
107 (KFC, 2011). Although flowers are now grown in many areas with temperate cli-
108 mate and an altitude above 1,500 m in Kenya, the region around Lake Naivasha
109 still remains the nation’s main floriculture farming center. The foremost cat-
110 egories of cut flowers exported from Kenya include roses, carnations, statice,
111 alstromeria, lilies and hypericum. Indeed, Kenya is arguably the largest ex-
112 porter for flowers in the world, supplying over 35% of cut flowers to the world’s
113 largest market - the European Union (KFC, 2011).

FIGURE 2

114 3. Datasets and Methodology

115 In Table 1, a complete set of data set used in this study are presented. Data
116 description is presented in our paper.

Table 1: Summary of the data sets used in this study.

Data	Period	Time steps
GRACE	2002.8 - 2010	monthly and 10-days
Altimetry	1992 - 2003	10-days
ADM	2002 - 2012	monthly
TRMM	2002 - 2012	monthly
In-situ rainfall	1960 - 2010	monthly
Tide gauge	1985 - 2010	monthly
Landsat	1989 - 2006	1989, 1995, 2000, and 2006
Flower export	1990 - 2010	yearly

FIGURE 3

117 **4. Results and Discussions**

118 *4.1. Lake Level Analysis*

119 The computed time series of level changes for Lake Naivasha derived from
 120 the T/P observations and in-situ measurements are shown in Figure 4. The T/P
 121 observation cover only the period between 1992 and 2003. The calculated satel-
 122 lite altimetry results were noisy at the first step, which may be related to the
 123 shallow depth of the lake (i.e., 8 m). To reduce this noise, the altimetry derived
 124 levels were smoothed using a moving average filter and interpolated according to
 125 the tide gauge time steps. As Figure 4 illustrates, the smoothed monthly altime-
 126 try derived levels are comparable to the available tide gauge measurements. We
 127 found a significant correlation coefficient of 0.69 between smoothed altimetry
 128 data and tide gauge observations (Figure 4,(Bottom)). Figure 4,(Top) confirms
 129 that although the lake level has been fluctuating both annually and seasonally
 130 over time up to around the year 2000, thereafter, a general downward trend at
 131 a rate of -10.2 cm/year before the onset of the 2007 ENSO rains is visible.

FIGURE 4

132 *4.2. GRACE Analysis*

133 Next, we estimated the changes in water mass over the Lake Naivasha basin
 134 as derived from GRACE observations. Because the GRACE-TWS results have
 135 a low spatial resolution, we compare two segments, one centred over Lake Vic-
 136 toria (to the west of Lake Naivasha) and the other centred over Lake Naivasha,
 137 as shown in Figure 5. The black boxes mark the areas where the GRACE-
 138 TWS and TRMM-total rainfall values were inferred. We chose a $4^\circ \times 4^\circ$ degree
 139 window as this is the limit to what can be confidently resolved from GRACE.
 140 Whereas GRACE is appropriate for areas the size of Lake Victoria (see section
 141 3.1), our intention was to determine if it could still provide some information
 142 when comparing the variation of water within the basins of Lakes Naivasha and

143 Victoria, which in turn may be compared to TRMM data in order to infer the
144 influence of climatic change to the region around Lake Naivasha.

FIGURE 5

145 Figure 6 (a) shows the TWS changes as described by the three GRACE
146 products considered; CSR, CNES/GRGS and GFZ. Evidently, all GRACE so-
147 lutions indicate water loss in both Lakes Naivasha and Victoria regions from
148 2002 to late 2006. The increase in late 2006 is attributable to the ENSO effect,
149 with water loss continuing again after an increase in late 2006-early 2007. Previ-
150 ous studies have demonstrated that the fall in Lake Victoria during that period
151 was due to anthropogenic factors such as the expanded Nalubale dam (see, e.g.,
152 Awange et al., 2008a,b; Swenson and Wahr, 2009). Similar findings are shown
153 by the cumulated water as illustrated in Figure 6 (b). The cumulative annual
154 TWS of the Naivasha catchment lost water at a rate of 72 cm/yr from 2003 to
155 May 2006. From January 2007 to December 2009, this loss was 41 cm/yr.

156 GRACE-TWS (as computed in Section 3) consists of a summation of ter-
157 restrial water storage (WS), i.e., related to the catchment, and surface WS, i.e.,
158 related to the lake itself. To enhance the interpretation of the GRACE's results
159 in Figure 6, Lake Naivasha's surface WS changes are computed using its surface
160 area, as shown with the solid-blue line in Figure 7 (top). To compute the blue
161 line, the surface level changes (Figure 4) are transformed to the spherical har-
162 monic domain and used to generate the surface WS changes time series (e.g.,
163 as done in Swenson and Wahr (2009) for the derivation of hydrological trend of
164 the East African lakes). The red line of Figure 7 (top) shows the time series
165 computed for the GFZ GRACE products for the Naivasha region (i.e., Figure
166 5; the right-hand-side box). Note that the leakage caused by Lake Victoria
167 fluctuations is already removed from the red line, following Swenson and Wahr
168 (2009). The catchment signal (terrestrial WS), shown on the bottom part of
169 the figure as a black line, is the difference between GRACE-TWS (red line) and
170 surface WS (blue line).

171 From Figure 7, we computed the slope of the blue line from August 2002
172 to 2010 to determine the trend, obtaining a declining trend of 1.9 cm/year,
173 while the period from May 2006 to 2010 saw a decline of 1.8 cm/year. After
174 removing the signals of Lake Naivasha, the catchment area (black line in Figure
175 7 (bottom)) loses water at a rate of 1.6 cm/year for the period from August 2002
176 to May 2006 and 1.4 cm/year from May 2006 to 2010, thus signifying that not
177 only is water lost from the Lake Naivasha but also from its catchment. The loss
178 of water in the catchment could be attributed to floriculture and horticultural
179 activities, and also boreholes providing water to the population that largely
180 depends on the floricultural industry. In the next section, the use of ADM is
181 employed to further enhance the GRACE results.

FIGURE 6

FIGURE 7

182 *4.3. ADM Analysis*

183 The red line in Figure 8 (top) shows the output of the ADM model derived
184 from the right hand side of Eq. ?? compared to GFZ GRACE-TWS, averaged
185 over the Lake Naivasha basin (i.e., Figure 7 (top), the red line). The mean of P
186 for the years 2002 to 2012 was 103.7 mm and the standard deviation was 90.8
187 mm (maximum P was 645.8 mm). For E , ADM estimated a mean of 62.4 mm
188 with a standard deviation of 20.1 mm (maximum E was 112.7 mm). The ADM-
189 derived P and E are considerably smaller than what Becht et al. (2005) report,
190 i.e., P of between 600 and 1700 mm/year and E of 1700 mm/year. Since the
191 runoff parameter is not available after the year 2000 for Lake Naivasha (see also
192 Ayenew and Becht, 2008) and the fact that Ojiambo et al. (2001) suggest that
193 yearly R is negligible for the lake, we did not include it in our computations.

194 From the derived patterns, one can see that the ADM model responds more
195 quickly to climatic variations such as ENSO in 2006 (red line in Figure 8 (A))
196 than the observed GRACE outputs (black line in Figure 8 (A)). Computing a
197 correlation coefficient at 95% level of confidence shows a value of 0.68 between
198 the two outputs, thus giving a reasonable level of agreement (Figure 8 (B)).

199 Visually comparing GRACE-derived terrestrial WS changes (shown by the
200 black line in Figure 7 (Bottom)) with ADM-integrated soil moisture layers (Fig-
201 ure 8 (C)) reveals a similar pattern. The amplitude of the soil moisture signal
202 is one third of the GRACE terrestrial WS changes. The reason for this incon-
203 sistency requires further research. Fitting a linear trend to the soil moisture
204 results shows a TWS loss of 1.4 cm/year for the period from August 2002 to
205 May 2006, and 0.6 cm/year from May 2006 to 2010.

206 Comparing the modeled precipitation (the green line in Figure 8 (D)) with
207 in-situ precipitation (the cyan line in Figure 8 (D)), shows some inconsistencies,
208 mainly in terms of the differences in the amplitudes between the modeled and
209 in-situ values. A phase difference of one month is also evident between the
210 two data sets. The dark-blue line in Figure 8 (D) represents the amount of
211 evaporation changes for the period of July 2002 to 2012 showing almost steady
212 range when compared to precipitations and soil moisture changes. As a result,
213 one can see that the water capacity corresponding to soil moisture layers and
214 rainfall is declining within the basin.

FIGURE 8

215 *4.4. Rainfall Analysis*

216 From the in-situ rainfall observations, the rainfall regime over the Naivasha
217 basin has seen a downward trend since 1960 (see Figure 9). For instance, Figure
218 9 (bottom) shows a time series of the annual total (the black line), March-May
219 (MAM, the blue line), June-August (JJA, the red line) and October-December
220 (OND, the green line) rainfall seasons over Naivasha. In this study, we em-
221 ployed both graphical and statistical methods (described in WMO, 1966) to
222 superficially test the significance of the observed trends (see also discussions in
223 Wilks (1995) and Omondi et al. (2012; 2013a)). The data were analysed for

224 trends using linear regression, and the significance of trends was tested using
225 the non-parametric Mann-Kendall tau test (Sneyers, 1990). An overview of
226 the total amount of annual rainfall variation derived from the four stations is
227 summarized in Figure 9, while their corresponding linear rates are reported on
228 each graph. However, although the derived long-term linear trend values were
229 negative, they were not large enough to pass the tau test (see also Omondi et
230 al., 2013b).

231 There is also a high degree of variability, within both the wet periods (during
232 strong El Niño years) and dry periods (during strong La Niña years). Several
233 studies have investigated the relationship between eastern Africa rainfall and
234 evolutionary phases of ENSO, and have shown strong relationship. Therefore,
235 ENSO plays a significant role in determining the monthly and seasonal rainfall
236 patterns in the East African region (e.g., Ogallo, 1988; Janowiak, 1988; Indeje,
237 2000; Mutemi, 2003, Nyakwada 2009 and Omondi et al., 2012). Considering
238 the trends from the rain-gauge stations shown in Figure 9 suggests that the
239 prolonged rainfall decrease over the catchment during the period 1960 to 2010
240 might contribute to the drop in the lake’s level. Note that the linear trends for
241 the period 2002-2010 (Figure 9, bottom) shows sharper decreasing values in all
242 seasons and in the annual total rainfall than for 1960 to 2010. The result is in
243 agreement with the variation in TWS as shown by GRACE analysis (Figure 7)
244 and ADM (Figure 8). In Figure 11, the total amount of rainfall from the in-situ
245 stations is compared to the GRACE TWS and the soil moisture WS from ADM.

FIGURE 9

246 Figure 10 illustrates the total rainfall of the catchment and its accumulated
247 values as described by the TRMM 3B43 product over the 4×4 degree windows
248 defined in Figure 5. The larger rainfall over Lake Victoria is seen both in terms of
249 the time series, and also in the greater rate of increase in the accumulated values.
250 Comparatively, while there seems to have been an increase in the precipitation
251 rate over the Lake Victoria basin after late 2006, there seems to be little change
252 in the rainfall over the Lake Naivasha basin. Comparing the TRMM results in
253 Figure 10 with the GRACE results in Figure 6 for period 2002-2010, while no
254 significant change is visible in the TRMM results, those from GRACE show a
255 loss of water from the Lakes Naivasha and Victoria basins. This could therefore
256 mean that the drop in Lake Naivasha’s water level (as is the case for Lake
257 Victoria) may be more influenced by anthropogenic factors compared to climatic
258 factors.

FIGURE 10

259 4.5. Comparing TWS Changes Across Lake Naivasha with Rainfall

260 Figure 11 compares the accumulated annual TWS over the Naivasha catch-
261 ment derived from GRACE as well as soil moisture from ADM (Figure 7) with
262 the total annual rainfall variations derived from the four rainfall stations in Fig-
263 ure 9. To make the comparison easier, the values for 2003 are set to zero. As a

264 result, one can see that soil moisture and rainfall are decreasing between 2002
265 to 2010. For 2006, GRACE still shows that TWS is decreasing, while precipita-
266 tion increased as a result of ENSO, and soil moisture stays almost steady. The
267 sharper rate of change that the GRACE results exhibit for 2002-2006 might
268 also be related to the correlation of the derived TWS over Naivasha to that
269 of Victoria. After 2006, again all component exhibit declining trends, showing
270 that the impact of the 2006 ENSO has subsided.

FIGURE 11

271 4.6. Image Analysis

272 Next, we present the approach undertaken to map the shoreline variations
273 of Lake Naivasha, using satellite images.

274 *Image classification:* To validate the results obtained from using GRACE
275 and TRMM data sets, satellite remote sensing and GIS analysis were performed.
276 Landsat imagery of the study area acquired from different epochs was employed
277 and different land use / land cover types were discriminated. The interpreta-
278 tion of Landsat imagery was undertaken using the minimum distance supervised
279 classifier. The overall accuracy of the land use / land cover map was estimated
280 to be 85.0% with a kappa statistic of 0.79. This meets the minimum thresh-
281 old established by the United States Geological Survey (USGS) classification
282 scheme (Anderson et al., 1976). As an example, the classification results for the
283 first epoch (1989) are shown in Figure 12 (a). The classified image depicts a
284 clear demarcation between land/vegetation and water, hence revealing a clear
285 picture of the shoreline position. The red colour depicts water, yellow repre-
286 sents general vegetation cover while green represent general bare land. There
287 is a significant intrusion onto the northern shoreline by vegetation, indicating
288 a positional change of the shoreline. Figure 12 (b), showing the second epoch
289 examined (1995) shows a significant departure from Figure 12 (a), especially
290 in the north, where vegetation has significantly receded, leaving only scattered
291 traces in contrast to the 1989 image that showed a thick vegetation cover around
292 the same area. There is also a change around Crescent Bay (formerly Crescent
293 Island, see Figure 2). While the 1989 image shows a near excision of the bay
294 from the main lake, the situation is different in the 1995 image. This is because
295 of the general increase in water volume caused by increased rainfall over the
296 same period.

297 Figure 12 (c) shows that there is an increase in water volume in 2000, due
298 to more rainfall, compared with the preceding maps in Figures 12 (a and b).
299 Crescent Bay has swollen with the south eastern section joined to the main lake
300 to form the original Crescent Island, indicating an increase in water volume.
301 This increase is probably due to the 1997 ENSO rainfall (see also the satellite
302 altimetry results in Figure 4). The traces of vegetation that had infringed the
303 northern part of the lake have fully disappeared by 2000. However, there are
304 some traces of vegetation at the centre of the lake. These might be due to the
305 presence of water lillies in the lake or traces of leaves transported by run-off

306 into the lake. Figure 12 (d) shows that the scattered traces of vegetation in
307 the middle of the lake that were part of the preceding images have disappeared.
308 However, the Crescent Bay has receded and a section of it is almost cut-off from
309 the main lake to form an independent lake. There is also a significant change
310 in the shape of the island when compared to the previous images. The amount
311 of grassland cover has also increased along the shoreline compared to the 2000
312 image, indicating a relationship between vegetation and the lake's surface area.

FIGURE 12

313 *Extraction:* It is visually clear from the classified land cover maps above
314 that there is a perpetual shifting of the Lake Naivasha shoreline between dif-
315 ferent epochs. Due to the difficulty in quantifying the amount and rate of the
316 change, and in defining the actual trend through visual interpretation, the ac-
317 tual position of the shoreline in each epoch was extracted and then compared
318 to that obtained for the reference year, 1989. This allowed the actual change
319 and subsequently the rate of change to be estimated.

320 This was done by digitizing the shoreline from the respective classified images
321 in a Geographic Information System (GIS) environment using ArcGIS version
322 9.3. The shorelines from each epoch were then overlaid to reveal the general
323 change trend. To allow for a detailed analysis, the overlay result was further
324 divided into five segments as shown in Figure 13. In general, the results show
325 that there was an increase in water level in Lake Naivasha between 1989 and
326 1995. This increase continued until 2000, however, the 2006 shoreline shows
327 a decline in water level between 2000 and 2006. Detailed scrutiny shows that
328 there was a steady northern (outward) shift of the shoreline from 1989 to the
329 year 2000, indicating an increase in water level. This was followed by an inward
330 shift in 2006, indicative of a drop in water level. However, the magnitude of the
331 shoreline change is not uniform over the different epochs. The lack of uniformity
332 can be attributed to variations in the local terrain, resulting in, obviously, the
333 shoreline changing more in flatter terrain as opposed to steeper areas.

FIGURE 13

334 *Shoreline change and variation:* The surface area of the lake in each epoch
335 was computed in the GIS environment. A summary of the changes in the surface
336 area between different epochs for the different land cover classes, with 1989 as
337 the reference year, is shown in Table 2, which indicates a direct relationship
338 between the lake's level and surface area. The increase in area in 1995 compared
339 to 1989 (i.e., 14.8%) is represented by an increase in water level (1.19 m). The
340 situation is even more apparent between 1989 and 2000 where there is an increase
341 of 20% in surface area and a corresponding increase of 2.33 m in water level.
342 There was a drop in surface area of the lake between 2000 and 2006 (i.e., a drop
343 of about 4.7%) and again this is shown by a drop of 1.92 m in the water level
344 during this period. This general trend is corroborated by results obtained from
345 both satellite altimetry and GRACE illustrated in Figures 4 and 7, respectively.

Table 2: Summary of the changes in the area of Lake Naivasha and the surrounding bare land and vegetation, with 1989 serving as the reference year (see Figures 12 and 14).

Year	Lake area (km ²)	Vegetation (km ²)	Bare land (km ²)	Mean Lake level (asl)	Lake area (%)	Vegetation (%)	Bare land (%)
1989	113.67	95.35	174.18	1885.41	0.00	0.00	0.00
1995	130.47	90.37	162.35	1886.60	14.78	-5.22	-6.89
2000	136.42	26.70	219.77	1887.74	20.01	-72.00	26.17
2006	130.07	48.44	204.12	1885.82	14.43	-49.20	17.19
2010	126.01	48.93	207.69	1884.44	10.85	-48.69	19.24

346 Figure 14 shows the variation of the surface area for the lake, vegetation, and
 347 bare land classes between 1989 and 2010.

FIGURE 14

348 From the above results, it is clear that Lake Naivasha has experienced shore-
 349 line variations over the last 17 years as indicated by the changes in surface area.
 350 There was a positive gain in area by 16.80 km² between 1989 and 1995 (i.e.,
 351 14.8%), with a further gain by the year 2000 of 5.95 km², due largely to the
 352 1997 ENSO rainfall. However, there was a drastic decline in the surface area
 353 between 2000 and 2006, with the lake losing 6.35 km² of its surface area (i.e.,
 354 4.7%), indicating a recession in its shoreline. The surface area of the lake in
 355 2006 is comparable to that of 1995 (both ~ 130 km²). After 2006, the lake
 356 continued shrinking with a surface area of 126.01 km² in 2010 (i.e., a reduction
 357 of about 7.6%). In general, from these images, it was calculated that the lake's
 358 area is shrinking at a rate of 1.04 km²/year. These findings agree with those of
 359 the satellite altimetry and tide gauge observations (see, e.g., Figure 4 in Sect.
 360 4). The variation around the area shows that there is loss of vegetation around
 361 the lake as the lake surface area increases. There was a decline in the vegetation
 362 cover between 1989 and 1995, despite a gain in the surface area over this period.
 363 The same scenario was seen between 1995 and 2000. This can be attributed to
 364 the fact that the area around the lake is comprised of papyrus, which are nor-
 365 mally swallowed by the increase in water level. There was, however, an increase
 366 in the vegetation cover between the years 2000 and 2006 as the lake receded and
 367 vegetation sprouted up along the shores of the lake .

368 4.7. Comparing Lake Levels with Rainfall and Flower Exports

369 In light of the previous results, the relationship between the decline of WS
 370 within the catchment, the lake itself, and the local and catchment precipitation
 371 were explored. To finalize this study, a simple comparison is made between the
 372 level of lake (one of the main water sources of the catchment) and other data
 373 sets considered in this work, including rainfall recorded by the Naivasha station
 374 (a representation of climate variability) and flower production (a representation
 375 of human use) (see Figure 15). Considering first rainfall and the lake's levels

376 (Figure 15 (A)), where we plot annual rainfall against annual average lake level,
377 a correlation coefficient of -0.24 is obtained, suggesting no statistically significant
378 correlation between these quantities. On the other hand, considering lake levels
379 with flower production (Figure 15 (B)), where we have plotted tonnage of flower
380 production against the annual averages of the lake levels for the years where the
381 tonnage data were available, we find a strong statistically significant correlation,
382 with a correlation coefficient of -0.68. This suggests strongly that flower exports
383 could have influenced the reduction in Lake Naivasha's water level. Finally,
384 Figure 15 (C) shows an insignificant correlation coefficient of -0.19 between
385 rainfall and flower production.

386 Caution should be exercised, however, when one is interpreting the corre-
387 lation results above. This is due to the fact that flower production, though it
388 is a useful proxy for estimating water consumption in the Lake Naivasha re-
389 gion, and indeed constitutes the main cause of water consumption, depends on
390 other factors unrelated to water withdrawal from the lake, e.g., in-put fertilizers.
391 Therefore, an analysis of other factors that influence flower production, e.g., the
392 amount of water withdrawn and used to irrigate the flowers, would be desir-
393 able. Along these lines, Mekonnen et al. (2012) quantified the water footprint
394 within the Lake Naivasha Basin related to cut flowers and analysed the possi-
395 bility of mitigating the footprint by involving cut-flower traders, retailers and
396 overseas customers. Hagos (2008) assessed the possibility of using shallow and
397 deep underground water, while Reta (2011) simulated a long term groundwater
398 and lake water balance of Lake Naivasha in an attempt to establish the rela-
399 tionship between water consumption and water levels. Both Hagos (2008) and
400 Reta (2011) highlighted the importance of underground water in the dynamics
401 of Lake Naivasha's water levels. Such influence has been investigated, e.g., by
402 Becht et al. (2002) and Becht and Nyaoro (2005), who considered the influence
403 of groundwater fluctuations on Lake Naivasha and found it to have an impor-
404 tant effect on the water balance of the Lake. In fact, Becht and Nyaoro (2005)
405 deduced that the interaction of the groundwater and the Lake dynamics intro-
406 duces a degree of inertia to the lake groundwater system, resulting in delayed
407 reactions to external (meteorological) stresses where the groundwater acts as an
408 extra reservoir absorbing water during wet periods and releasing water during
409 droughts. Evaporation also plays a key role in Lake Naivasha's water balance as
410 evident by the results of Farah et al., (2004), who obtained in-situ evaporation
411 values at a grassland and woodland site in the Lake Naivasha basin for about
412 a year. Another example of extraneous factors affecting Lake Naivasha's water
413 levels is presented, e.g., in Olago et al. (2009), who showed that the hydrology
414 of the Rift Valley is controlled mainly by climate and water table variation,
415 among other factors.

FIGURE 15

416 5. Conclusions

417 As a Ramsar wetland, Lake Naivasha is a very important area not only to
418 East Africa, but internationally. It supports a rich ecosystem with hundreds of
419 species of diverse flora and fauna. Moreover, being the only freshwater lake in
420 the Kenyan sector of the East African Rift, Lake Naivasha serves as the home of
421 the flower industry in Kenya and is one of the most important flower producing
422 regions world-wide. The results of this study have demonstrated that:

- 423 1. During the study period 1989 to 2010, Lake Naivasha experienced varia-
424 tion in its spatial extent and significant fluctuations in its level. However,
425 from around the year 2000, a steady decline in its spatial extent has been
426 observed with the lake receding at a rate of $1.41 \text{ km}^2/\text{year}$, accompanied
427 by a corresponding drop in water level of about 33 cm/year.
- 428 2. Although the lake's level has been fluctuating both annually and seasonally
429 over time in the past, there is a visible general downward trend observed
430 from around 2000. This coincides with the period during which the flower
431 exports from Kenya increased significantly. This is supported by the re-
432 sults of the linear regression analysis that gave a correlation coefficient of
433 -0.68 between Lake Naivasha's water levels and the flower exports from
434 the region for the period 2000-2010. Since much of the irrigation water
435 used in the flower farms comes from Lake Naivasha, the recent decline
436 in the lake water level and spatial extend could feasibly be largely at-
437 tributed to adverse anthropogenic influences, with climatic factors such as
438 prolonged rainfall decrease of the catchment during 1960-2010 also having
439 a noticeable influence. A climatic influence is supported by the fact that
440 in-situ rain gauge stations for the annual rainfall totals clearly indicate
441 decreasing trends in the catchment area. The results support the find-
442 ings in Mekonnen et al. (2012), who established a relationship between
443 cut-flower production and level changes of Lake Naivasha.
- 444 3. Not only is the lake losing water, but also the catchment area of $4^\circ \times 4^\circ$ that
445 includes Lake Naivasha as a whole is noticed to have lost water at a rate of
446 6.8 cm/yr from August 2002 to May 2008, and 1.7 cm/yr from May 2002
447 to 2010. The results are supported by the ADM output showing a decrease
448 in soil moisture content, although the magnitude of the changes was one
449 third of that shown by the GRACE results. While the long-term trend in
450 the changes in precipitation was considerably less than those associated
451 with soil moisture content and GRACE-TWS, the decline in the basin's
452 water storage could possibly be related to the increased human use of
453 groundwater within the catchment for horticulture, subsistence farming
454 and domestic use.

455 These findings provide independent confirmation based on both ground and
456 space-based observations on what has long been suspected, that is, floriculture
457 has been exploiting the water resources of Lake Naivasha and the surrounding
458 basin at an unsustainable rate. As pointed out in Sect. 4.7, however, floricult-
459 ure may not be the sole cause of the decline of Lake Naivasha water levels.

460 Other factors, such as evaporation, fluctuation of groundwater level and climate
461 among others, could also be contributing to the decline. Future studies on Lake
462 Naivasha water levels should also include the effects of fluctuations of the Mal-
463 eva and Gilgil rivers, especially the Maleva, which accounts for over 80% of
464 inflows into the lake.

465 Remedial measures for the conservation and management of Lake Naivasha
466 should thus be seriously considered before this Ramsar wetland becomes extinct.
467 Already, the potential seriousness of the consequences arising from the decline of
468 Lake Naivasha has finally been appreciated by the Government of Kenya, who
469 has appointed an administrative body known as the Imarisha Lake Naivasha
470 Management Board, for managing the Lake Naivasha Catchment Restoration
471 Programme, whose aim is to restore Lake Naivasha and its catchment.

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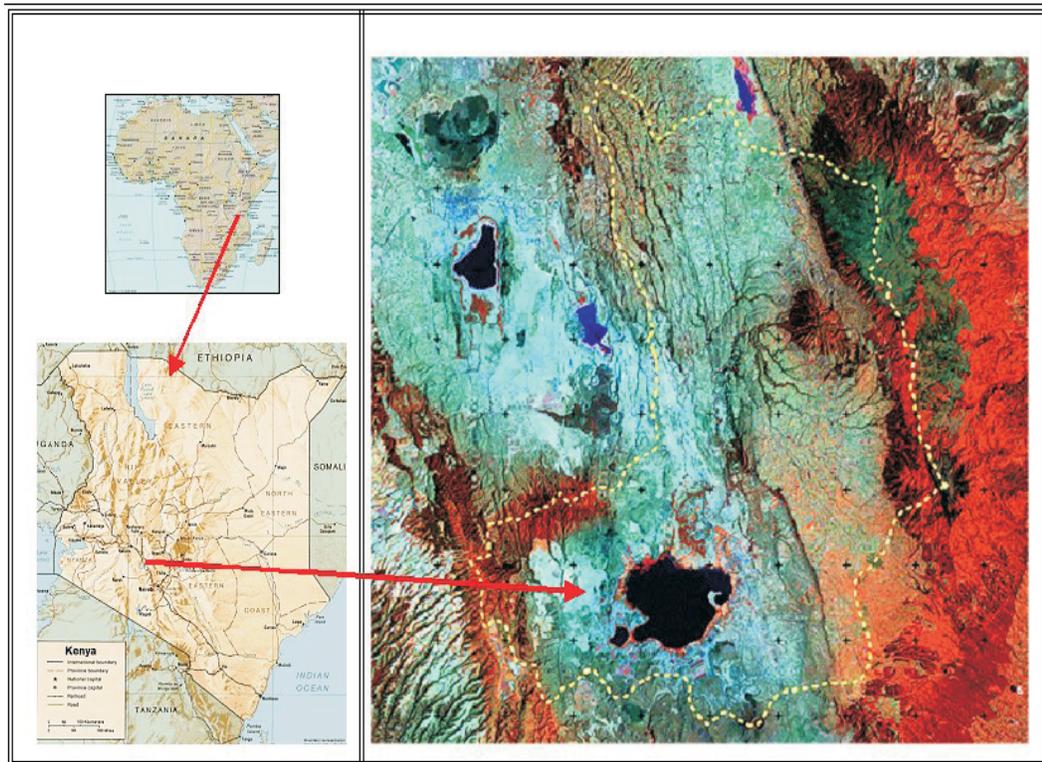


Figure 1: Location map of the Lake Naivasha Basin (Becht et al., 2005).

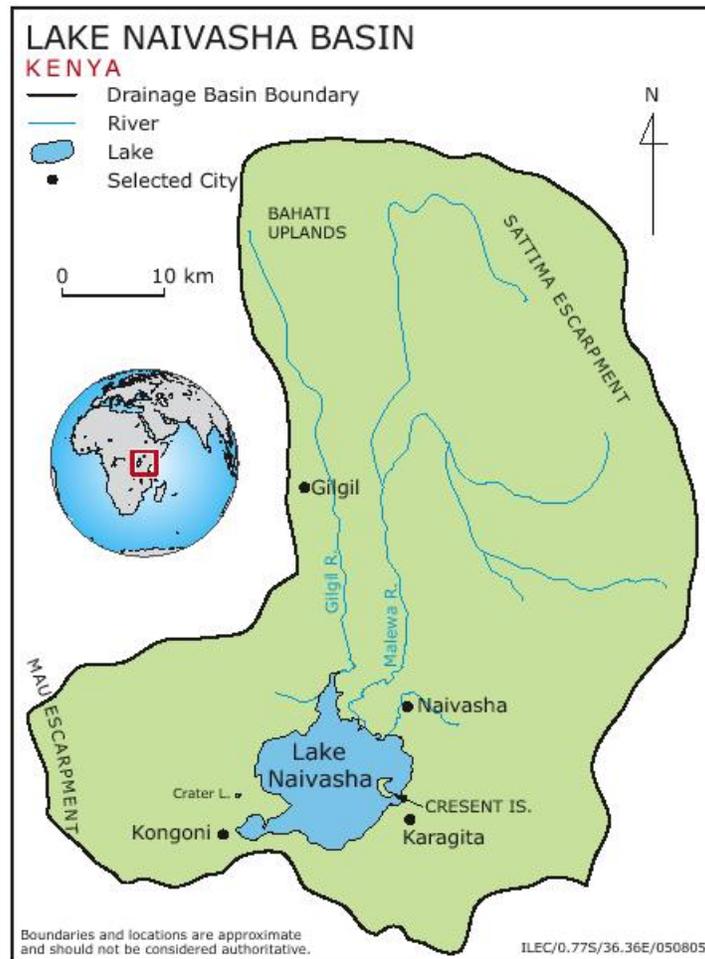


Figure 2: Lake Naivasha drainage system (Becht et al., 2005).

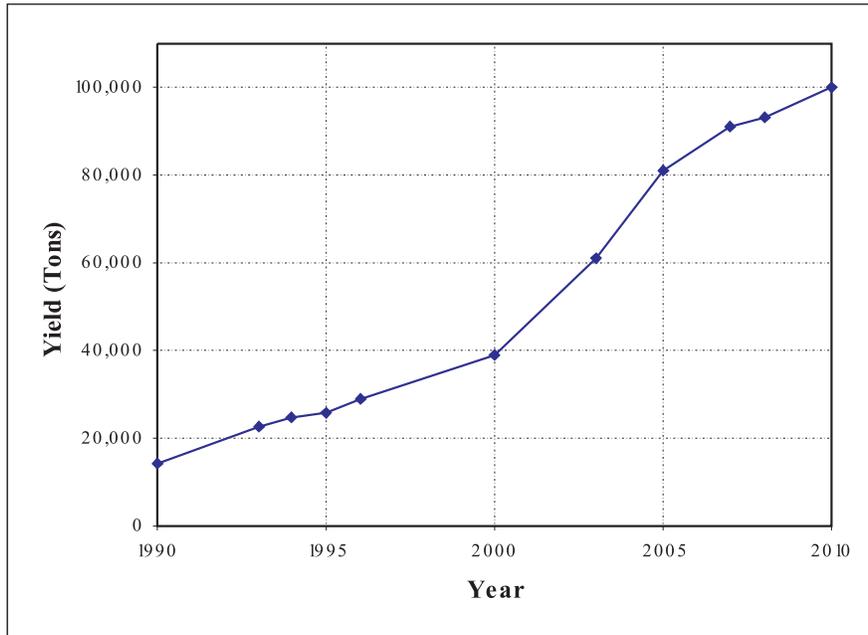


Figure 3: Annual flower exports from Kenya (KFC 2011).

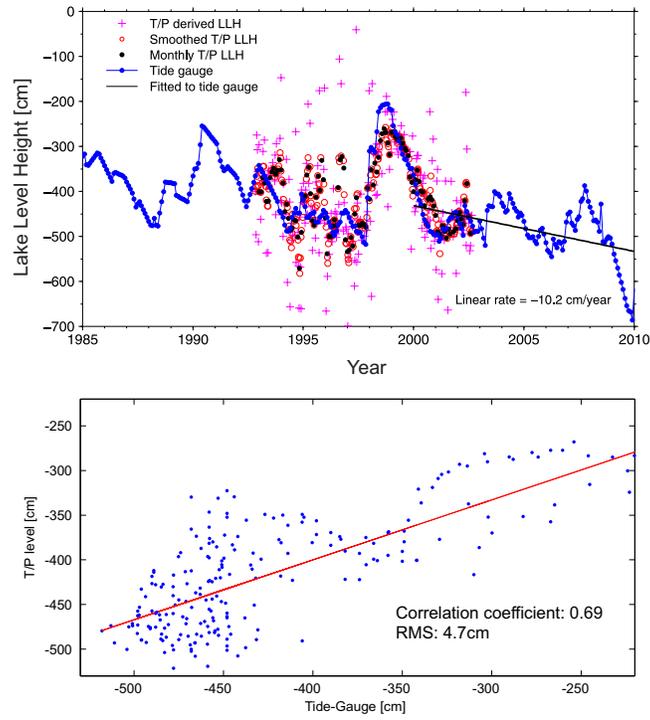


Figure 4: (Top) Time series of lake level height changes for Lake Naivasha as provided by satellite altimetry (T/P) and a tide gauge. (Bottom) Correlation between the lake level heights given by the tide gauge and the T/P altimetry.

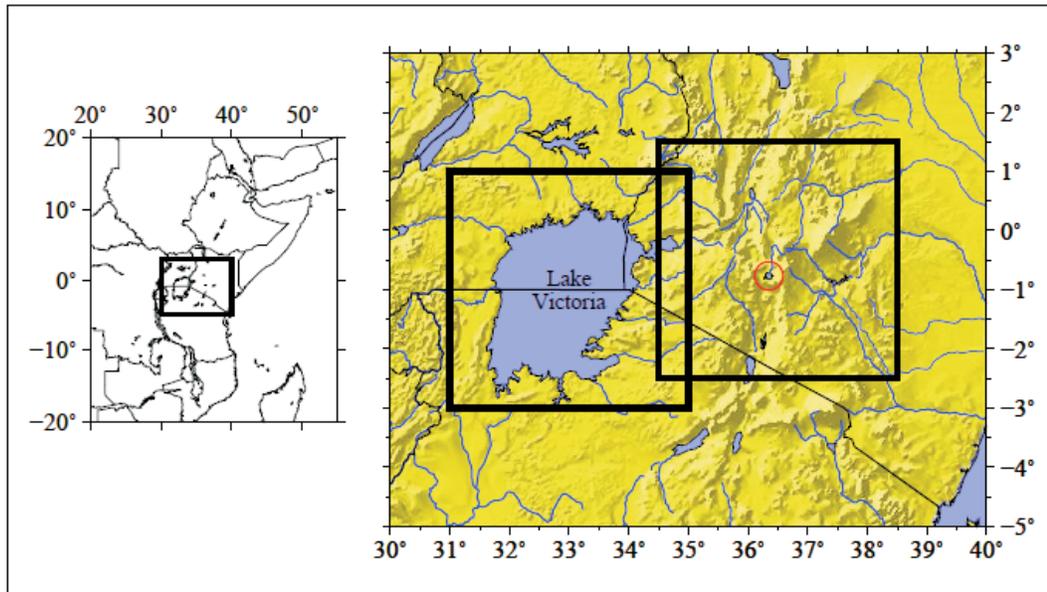


Figure 5: The areas defined over the Lakes Naivasha and Victoria basins considered in the GRACE and TRMM analysis (see Figures 6 and 10). The red circle marks the location of Lake Naivasha.

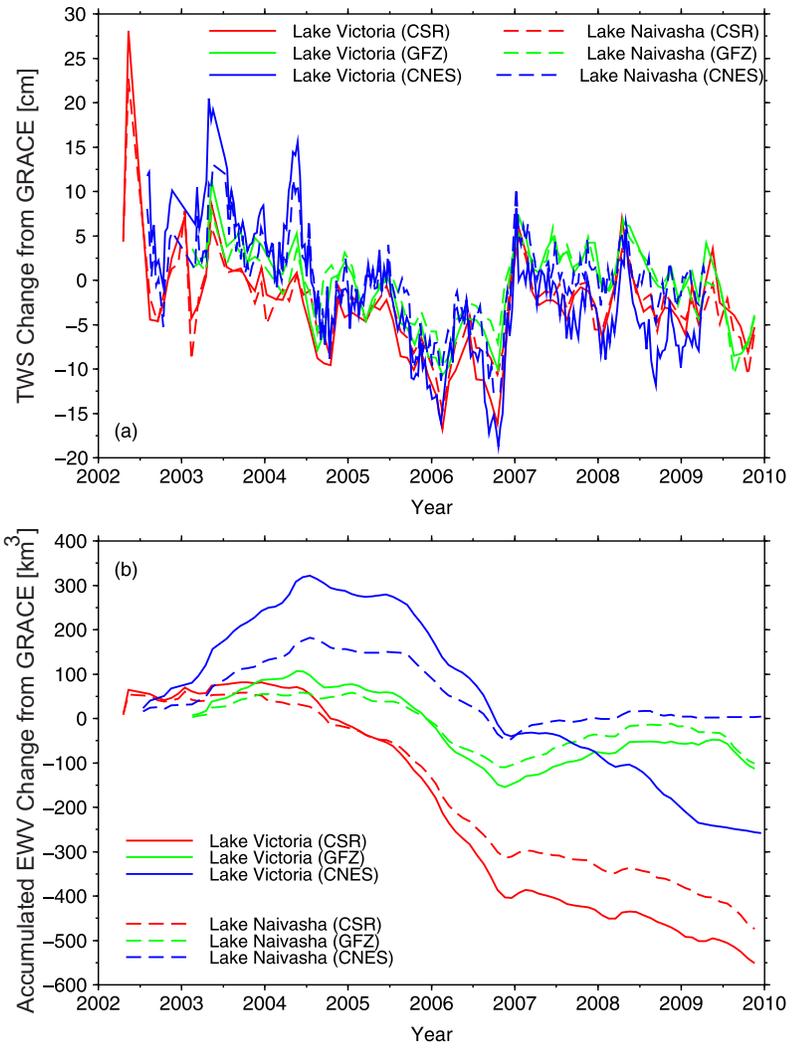


Figure 6: Variations in stored waters (an integration of surface and terrestrial water storage changes) over Lakes Naivasha and Victoria derived from GRACE products. (a) Change in TWS and (b) accumulated changes of TWS in equivalent water volume (EWW) (see Figure 5, for the Victoria and Naivasha catchments).

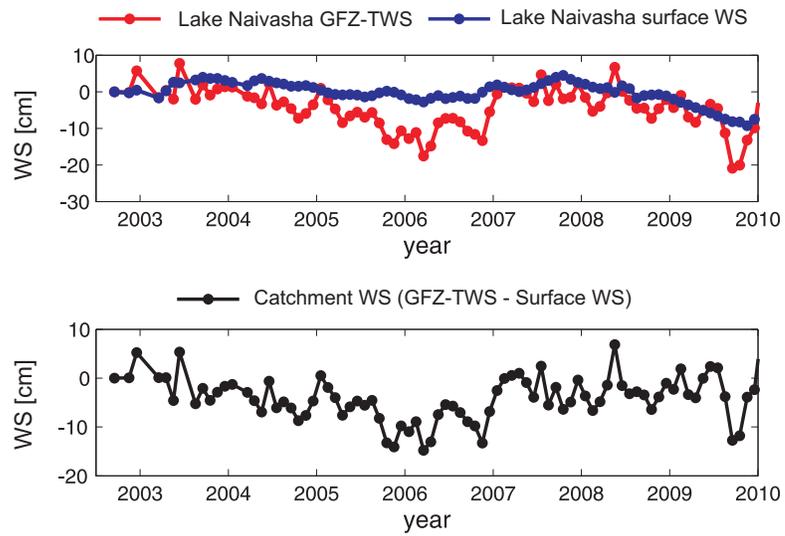


Figure 7: Top, the red line shows the average TWS computed from the GFZ GRACE data (related to Figure 5, the black box on the right-side). The blue line is surface WS belonging only to Lake Naivasha. The catchment terrestrial WS signal is then obtained from the difference between GRACE-TWS signal (red line) and the Lake's surface WS signal (blue), i.e., the bottom graph with the black line.

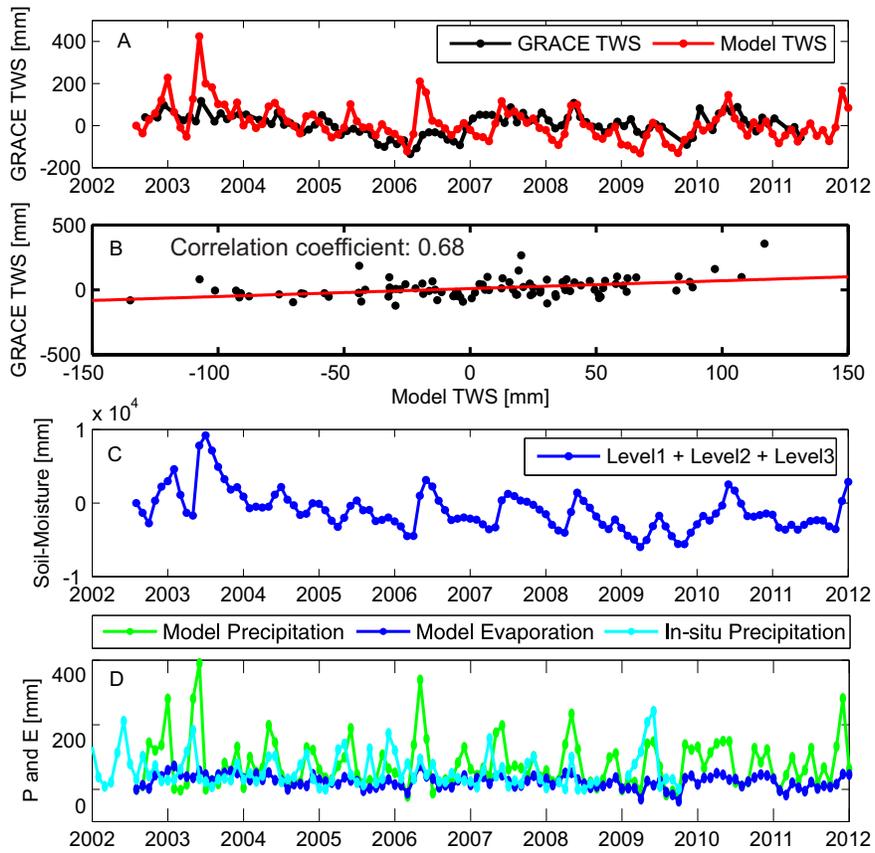


Figure 8: (A) A comparison between the calculated TWS from the ADM TWS and the GRACE TWS, (B) shows the GRACE TWS against ADM TWS changes, (C) a basin averaged soil moisture layers over Naivasha, and (D) a comparison between model-derived precipitation and in-situ measurements along with the temporal pattern of evaporation.

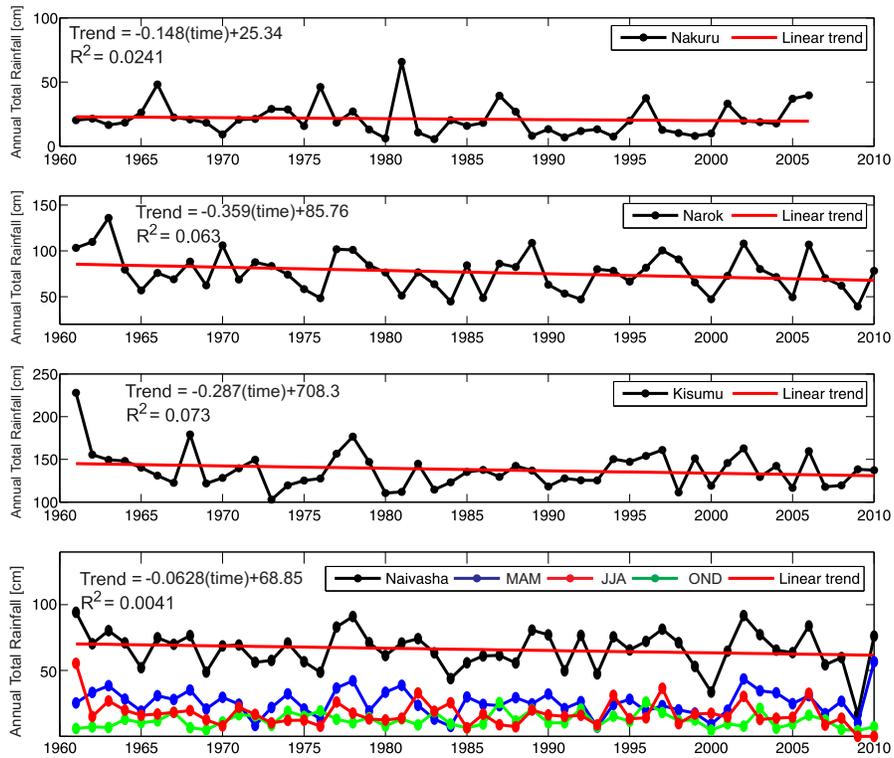


Figure 9: Annual rainfall time series over four stations in the region of Lake Naivasha. From top, Nakuru (0.28°S, 36.1°E), Narok (1.1°S, 35.9°E), Kisumu (0.1°S, 34.8°E) and Naivasha (0.72°S, 36.4°E) stations. For Naivasha, MAM [blue], JJA [red] and OND [green] yearly values are also provided.

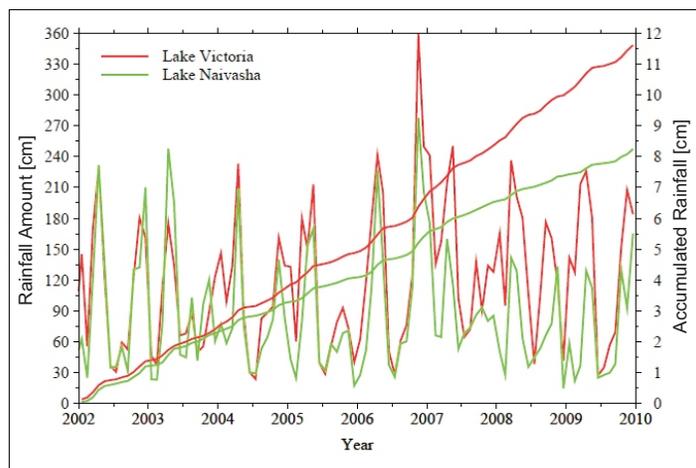


Figure 10: Rainfall over the Lakes Naivasha and Victoria basins (see Figure 5) as provided by the TRMM 3B43 product. Rainfall amounts are shown by the solid lines and the accumulated values are dashed.

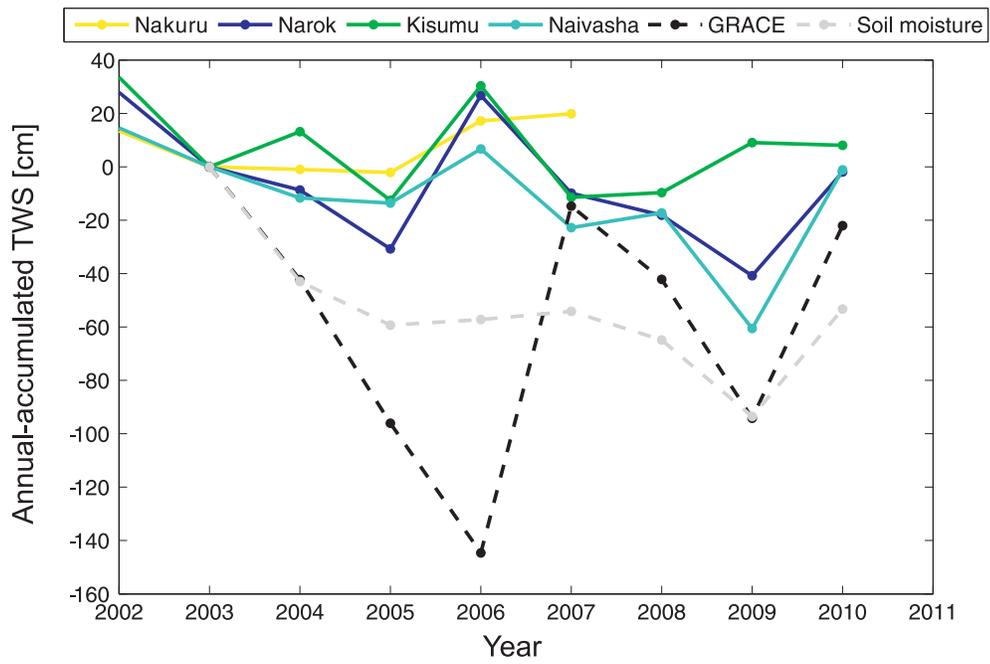


Figure 11: Comparing annual total water storage variations derived from GRACE with annual soil moisture contents (from ADM) and annual rainfall (from in-situ stations).

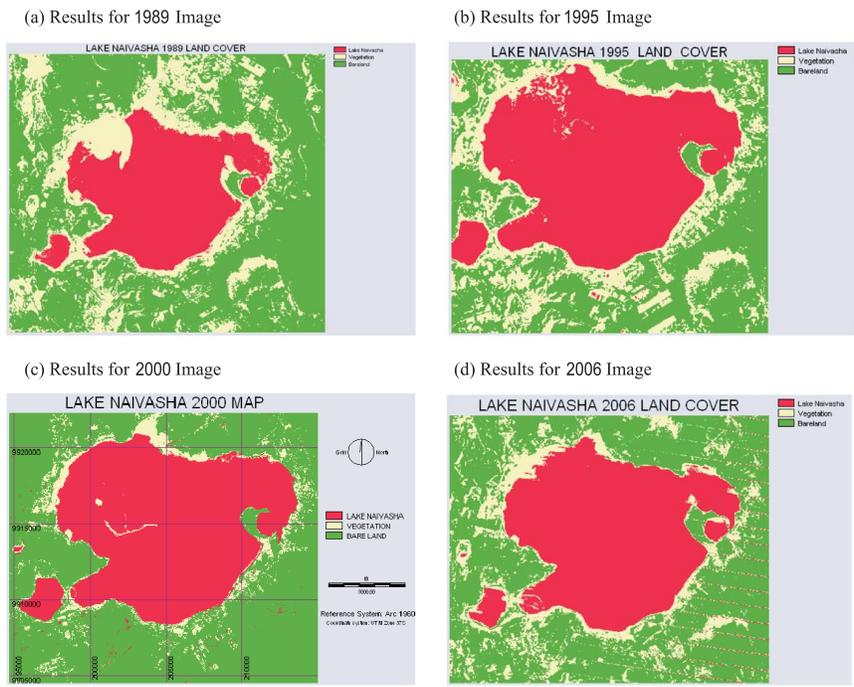


Figure 12: Surface-type classification results for the considered Landsat images. (a) 1989, (b) 1995, (c) 2000 and (d) 2006.

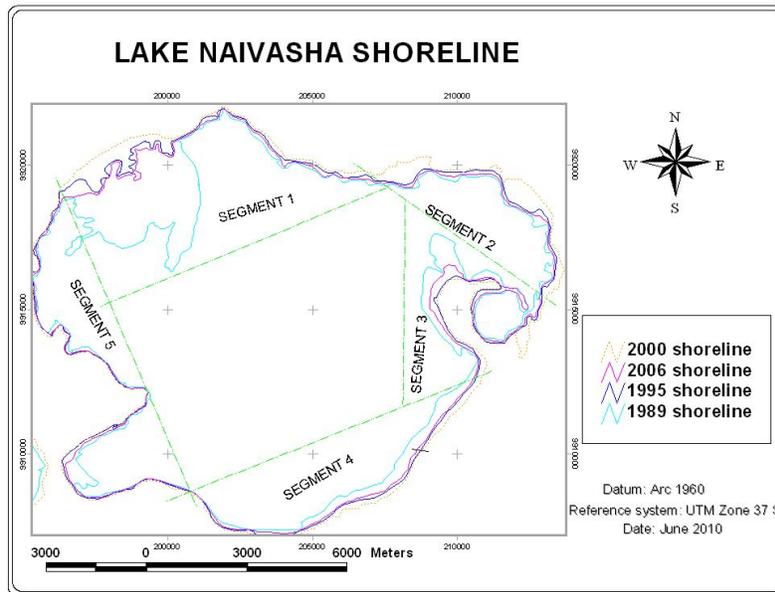


Figure 13: Segmentation of the changes in the Lake Naivasha shoreline for the years 1989, 1995, 2000 and 2006.

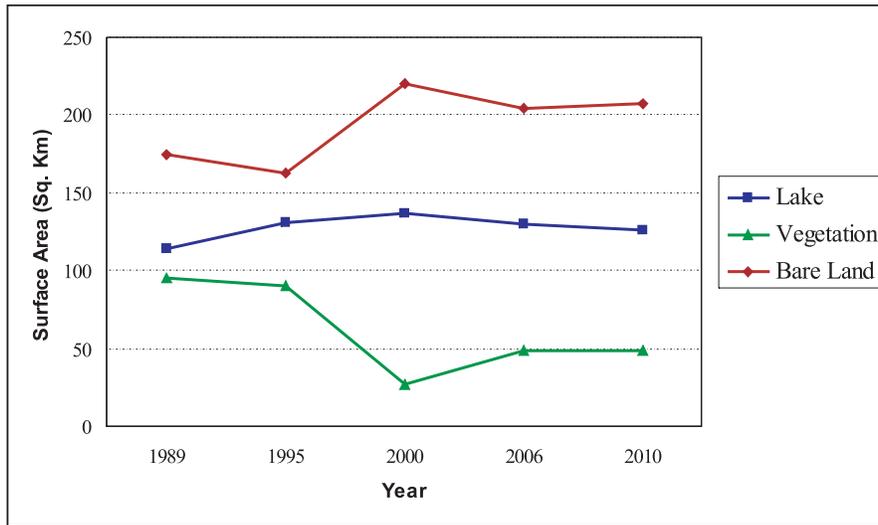


Figure 14: Variation in the area of the different land types around Lake Naivasha.

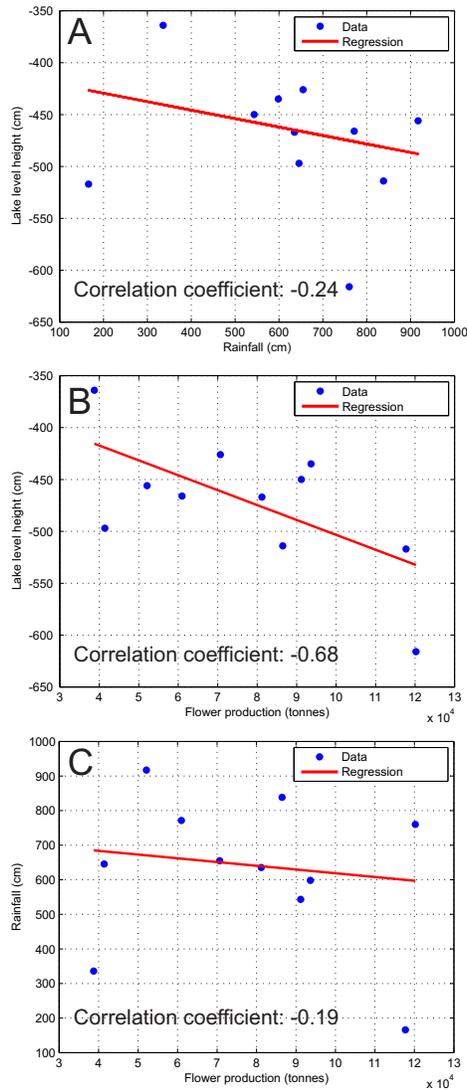


Figure 15: Comparing annual average lake levels with (A) rainfall observed at the Naivasha station and (B) flower exports. (C) Comparing annual average rainfall of the Naivasha station and flower exports. The solid lines are fitted linear trends, along with the correlation coefficients.