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Citation for final published version:

Jones, Phil , Hou, Shan and Li, Xiaojun 2015. Towards zero carbon design in offices: Integrating smart facades, ventilation, and surface heating and cooling. Renewable Energy 73 , pp. 69-76. 10.1016/j.renene.2014.06.027

Publishers page: https://doi.org/10.1016/j.renene.2014.06.027

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Towards zero carbon design in offices: integrating smart facades, ventilation, and surface heating and cooling

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Abstract: This paper discusses an overall strategy for reducing energy demand in non-domestic buildings, mainly focusing on office developments. It considers four areas: reducing internal heat loads; addressing passive design through the building construction; using efficient and responsive HVAC systems and focusing on chilled (heated) surface systems; integrating renewable energy supply systems into the building design. The impact on energy use and carbon dioxide emissions will be discussed. The paper will draw from a range of design projects carried out in Europe, where this integrated approach has been applied, and then explore the benefits in relation to applications in the Middle East and China. Energy modelling results, to inform the design process will be presented, using energy simulation for three case study locations, in Zurich, the Chongqing and Abu Dhabi.

Keywords: Building energy use, low carbon, energy simulation

1 1. Introduction

2 Reducing energy demand in buildings requires an integrative and holistic approach to all aspects affecting energy 3 performance, whilst ensuring comfortable healthy conditions for occupants, and within the context of the local climate. 4 Achieving a low or zero carbon building is a combination of reducing energy demand and providing energy supply from 5 renewable sources. In many cases it may not be feasible to provide a totally zero carbon building, and the concept of a 6 low carbon building might be currently more appropriate to provide a bridge to eventually achieving zero carbon 7 performance within acceptable costs. This paper discusses the process of arriving at a low energy, low to zero carbon 8 building design, using an office building type as an example. The paper takes as a baseline, typical European design 9 standards and techniques, based on buildings that the authors have worked on. It then applies the same standards to China 10 (Chongqing) and UAE (Abu Dhabi) in order to explore how these standards would compare under different climatic 11 conditions. The paper discusses how energy demand can be reduced, and then how low carbon performance can be 12 achieved through the additional application of renewable energy. The paper discusses the need for energy storage, if the 13 renewable supply is building integrated, although in many cases energy exchange with the grid is often used in practice 14 to achieve a 'carbon neutral' building.

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16 **2. Low Carbon Design**

17 A number of design models have been suggested to help achieve low energy and low carbon design. They generally focus 18 on the elements of design as well as the design process. One example, using an integrated design process was developed 19 through the International Energy Agency's Solar Heating and Cooling Programme Task 23 in 2003 (Larsson and Poel 20 2003) which was based on experience in Europe and North America. It consists of a series of design loops at stages of 21 the design process, namely of, pre-design, concept design and design development. Performance targets aim to minimize 22 heating and cooling loads, and maximize daylighting potential and the use of solar heat gains, together with efficient 23 heating and cooling systems, and using renewable technologies to meet the energy demand loads. An iterative approach 24 is used to produce a range of design alternatives, which are then tested through energy simulations. Another approach 25 was developed by M-A. Knudstrup, Aalborg University, Denmark in 2004 (IEA 2006), which requires a description of 26 the environmental or sustainable building concept at the first stage of the building project. Subsequent stages are 27 developed around an Analysis phase, to provide a statement of aims, a Sketching phase, where the of architecture and 28 engineering is integrated into the design process, a Synthesis Phase, to produce the building's final form, and finally, a 29 Presentation phase, where the project is clearly described for the building owner. The system developed by K. Steemers, 30 Cambridge University, the UK, 2005, assesses the interrelationships and levels of integration of design parameters for

- 31 low energy design in an urban context. It raises awareness of a range of environmental and design parameters rather than 32 a rigid process, relating to the principles of low energy design. This is followed by steps for developing the pre-design
- 33 context, the building design, and then the building services (IEA, 2006), with each phase broken down into aspects and
- 34 sub categories. P. Jones, at Cardiff University developed an approach (Jones and Wang 2007), beginning with climate
- 35 data to help define the design objectives, then to passive design strategies, from site planning, building form to building
- fabric, followed by energy efficient building services, with renewable energy systems used to supply energy required by
- the building systems (Figure 1). Also considered are waste associated with construction materials and products used, as
- 38 well as waste generated in the process. Although there is no diagrammatic description of its links with process, the
- 39 intention is that it is referred to at all stages, from concept through to detailed design.



Fig.1 The model of low/ zero carbon design

42 The above design systems can be simplified for low to zero carbon design to include four steps, reducing energy demand, 43 passive design, mechanical systems, and the renewable energy supply (Fig.2). In common with the above design systems, 44 this simplified approach should be holistic, applied from the early concept stages of design, and tested through analysis 45 simulation. The use of simulation should be to inform the design process, rather than dictate it, and therefore it should be 46 carried out at early design stages. The four areas of the design process (Fig 2) are used as a basis for discussion in the 47 following sections, with specific reference to office design. The discussion draws from experience of providing building 48 physics advice to many design projects in Switzerland (in collaboration with Kopitsis Bauphysik Ag). This is followed 49 by an example of building simulation of a typical office development in three different climate locations.



Fig.2 An approach towards zero carbon buildings

52 2.1 Reducing internal heat gains

53 The first step in any low energy design should be to reduce the electrical energy loads in the space, from lighting, small 54 power (plug loads) and any other incidental energy use. This will have the added benefit of reducing the cooling load 55 demand needed to exhaust the heat gains from this equipment. In locations requiring heating, these incidental heat gains can prove useful in meeting the space heating demand. However, for most modern office designs there is little space-56 57 heating requirement, and so these heat gains are not always useful. In many cases, even in cold weather, after initial warm 58 up in the morning, active space-heating is not required. Table 1 presents typical 'current' good practice and 'efficient' 59 loads associated with internal heat gains. In the table, 'Current' refers to design parameters that are currently generally 60 recognized as energy efficient. 'Efficient' refers to design parameters with more advanced technologies, with improved 61 energy efficiency, which are available but may not be so widely applied in practice. For example, the use of low energy 62 computers and LED lighting can result on overall space internal heat gains as low as 18 W/m^2 . The values are for an 63 occupancy density of around 1 person to 12m², which would represent a typical design standard in Zurich (which is used 64 as the basic standard for this study).

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Table 1 Internal heat gains to space from	'current' good practice and	'efficient' design [1, 2]
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Internal heat gains	Current (W/m ²)	Efficient(W/m ²)
Internal gain from people (~12m ² /person)	6.0 (sensible)	6.0 (sensible)
	5.0 (latent)	5.0 (latent)
Plug load	10.9	4.9
Lighting	12.0	7.0
Total (sensible)	28.9	17.9

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68 2.2 Passive design – smart facades

69 Low energy design should consider the passive design attributes that can be used modify the external climate to the benefit 70 of improving the energy performance of the building, including orientation, solar control, daylight and use of thermal 71 mass. Even in mechanically cooled and ventilated spaces, passive design can contribute to reducing energy use. The 72 building envelope is a major part of the passive design approach, and, for modern office design, the use of 'smart' layered 73 facades can provide for good thermal insulation, control of solar heat gains and daylight. Glazed facades can now be 74 designed with high levels of thermal insulation (with U-values below 1W/m²/°C), effective solar control (g-values 75 typically down to less than 0.15), and good visible qualities to allow for the use of daylight. Such facades can incorporate 76 blind systems (Fig.3) located, externally, between pane, and internally, although the latter does not easily achieve lower 77 g-values as the solar heat gain has already entered the space.

79 Examples of adjustable external and inter-pane blind systems are illustrated in Fig.4. The EMPA office in Zurich (Fig.4a) 80 has external vertical diffuse glass blinds that move to accept daylight but control the sun (Jones, P. J. and Kopitsis, K. 81 2005). Ta-Media, also located in Zurich, has horizontal diffuse glass external blinds (Fig.4b), which are lowered when 82 the solar radiation exceeds a specified value. The Hannover EXPO HQ has inter-pane blinds (Fig.4c). In general blinds 83 located on the outside perform best in terms of reducing solar gains, although they may prove more difficult to maintain. 84 Blinds located on the inside, and to some extent mid-pane blinds, generally do not perform as well because the solar heat 85 gains have already entered the construction and will result in some level of heating the internal surface of the glazing/blind 86 system. In general, the 'rule of thumb' adopted by the authors through design experience, is that the inside glass or blind 87 surface temperature should be within 5° C of air temperature, to maintain thermal comfort conditions. Although these 88 glazing systems may be referred to as passive facades, they do include active features of moveable blinds. Facades become 89 even more active if they include building integrated energy supply systems, such as discussed later in this section (2.4). 90



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Fig.3 Range of layered glass facades incorporating shading.



- 95 Fig.4 Adjustable external blind systems in (a) EMPA, (b) Ta-Media offices in Zurich, and ta mid-pane system in (c) Hannover EXPO 96 HQ.
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99 Once the internal gains have been minimized and the building envelope has been designed to reduce heat loss and to 100 control solar heat gains, the active processes associated with heating and cooling should become simpler and more 101 efficient. The reduced energy demand loads, from internal and solar heat gains, make it easier to decouple cooling (and 102 heating) from the ventilation system, using chilled and heated surfaces (usually the ceiling). This has been an area of 103 major development in Europe. A traditional air-conditioning system would use the air supply as the cooling means, 104 therefore requiring relatively large amounts of air delivery. Typical air supply rates for cooling could be 6ac/h or more,

- 105 compared to less than 2ac/h if used for just ventilation. Fig.5 illustrates a comparison between an all-air system with a 106 decoupled chilled ceiling system, indicating the level of reduction in internal heat gains and solar heat gains, through the
- above design strategy. As the proposed system does not require any air recirculation the ventilation air supply is 100%
- 108 'fresh'. It also has the potential for improved comfort, with lower air volume flows (less draft) and cooler surfaces in
- 109 summer (generally considered better for comfort). Dehumidification will often be required when the external relative
- 110 humidity (RH) is high, and this can be achieved through the mechanical ventilation system, and as long as the façade is
- 111 'airtight', high external RH should not prove a problem.
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Fig.6 presents typical options for chilled surface cooling depending on internal loads. For lower internal loads, thermal

mass with night cooling may prove sufficient. The chilled ceiling system, with embedded pipes in the slab, can satisfy typical use ($\sim 30W/m^2$, as indicated in Fig.6). If there are areas with high heat gain, then a chilled beam solution might be more appropriate. However, the ceiling surface temperatures will be lower, typically 17°C compared to the chilled ceiling option of around 20°C, and so the potential risk of surface condensation increased.

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127 The combination of the above design approach can result in: lower fan power due to the lower rate of mechanical air

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supply; higher COP values for cooling, due to the raised chilled water temperatures; better air quality, due to no

- recirculation needs and easier air distribution to the occupied zone; less space for plant, due to the reduced air handling
- equipment size; less space for air distribution, due to the reduced duct sizes (potentially reducing floor to floor heights);
- 131 higher space use for occupants, due to the reduction in glare and greater solar control; greater comfort and more stable
- 132 conditions, due to simpler controls. The main drawback is the need to control the RH of the supply air, especially for
- 133 chilled beam systems, and in relation to this, ensuring an airtight façade system, to avoid the infiltration of moist air.
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136 **2.4 Renewable energy supply and energy storage**

137 If the above low energy design process has been followed, then the energy demand for the building will be considerably 138 reduced, making it potentially easier to supply the remaining energy from 'active' renewable energy systems, for both 139 electrical and thermal energy. This will include the energy needed for cooling, heating, small power (plug load) and lights, 140 which will depend on the building type, occupancy and location. The energy supply needed to meet the demand will 141 depend on the efficiencies and COP's of the various environmental systems and the type of fuel used. Fig.7 illustrates the 142 relationship between energy demand and supply, and also the link with CO₂ emission factors, which are used to provide 143 the total CO₂ emissions for the operation of the building. The CO₂ emission factors will depend on the fuel type and the carbon content of the local grid, which can vary considerably depending on the nuclear, hydro and other renewable and 144 145 'zero carbon' contributions, or, whether the building has its own renewable energy supply. When describing the building 146 performance, a clear definition of energy use and CO₂ emissions is needed, for example, whether quoted values (say in 147 kWh/m²/annum) refer to demand or supply, and do they include heating, cooling, ventilation (fan power), small power, 148 lights, etc.

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Fig.7 Relationship between energy demand, energy supply and CO₂ emissions.

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In many cases renewable energy systems can be integrated into the design of the building envelope, such as transpired solar collectors (TSC's) for collecting thermal energy through south facing cladding systems for solar air heating (Fig.8a), or, such as encapsulating thin film PV onto metal roof cladding, or using glazed PV systems as the roof material. In some cases, solar PV systems can be used as blinds, such as in the office facades of the Baglan Energy Park 'Gateway' industrial building (Fig.8b).

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159 The direct availability of renewable energy is usually dependent on the prevailing conditions, for example, solar and wind.

160 So, unless some form of local storage is available, the grid will be needed to provide energy when renewable energy is

161 not available. Net zero energy or carbon neutral performance may be achieved by input and export from the grid, often

162 referred to as two-way flow.



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Fig.8 (a) Transpired solar collectors (TSC's) for collecting thermal energy through south facing cladding systems for solar air heating. (b) PV system used as shading device.

169 There is an increasing interest in energy storage systems, both thermal and electrical, to provide short and long term 170 storage so that grid two-way flow is not needed. Grid back-up may still be desirable, for periods when there is no 171 renewable energy supply and the storage has been exhausted. In some countries, exporting electricity into the grid is not 172 allowed, so two-way flow is not applicable. Fig.9 illustrates systems for thermal and electrical storage, from a current 173 project SOLCER (Smart Operation for a Low Carbon Energy Region) being carried out in Wales (EU ERDF funded), 174 which is investigating the combination of reduced energy demand, renewable energy supply and energy storage at a range 175 of built environment scales. So, a carbon neutral building is possible using two-way flow with the electricity supply grid, 176 but to achieve a zero carbon building will require some means of energy storage.

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Fig.9 Example of building integrated energy supply and energy storage for a thermal system, using a TSC air collector, and an electrical system, combining solar PV and wind (SOLCER project, Wales Low Carbon Research Institute).

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183 **3. Energy simulation**

184 The above section has described the process of 'low energy' and 'low carbon' design, based primarily on the office 185 building type. The examples referred to in the above sections are from Europe, and especially based on experience of 186 office design in the area of Zurich, Switzerland. The discussion of design models at the start of the previous section

- 187 identified the need for early design stage simulation as part of the analysis process. This section presents an example of
- 188 energy simulation that would be typical of that used in the design of a number of the above case study building design
- 189 examples from Europe. In this study, the analysis has been extended, firstly, to consider applying European (Swiss)
- 190 standards to two other locations, namely, Chongqing and Abu Dhabi, and secondly, to explore the energy demand and
- supply needs in relation to the availability of renewable solar energy. The aim of this simulation energy analysis is to
- 192 determine if reducing energy demand then allows the potential for renewable energy supply.



(a)



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 $\label{eq:Fig.10} Fig.10 \ \mbox{Building simulated: (a) Plan of typical office floor layout; (b) Site plan.}$

199 It takes as a basis for comparison a 6-storey office block (Fig.10a: typical floor layout) of overall dimension, 26m by 68m 200 with a floor to ceiling height 3.0m. This hypothetical medium rise design, is typical of what might occur in Europe, placed 201 in the context of surrounding buildings to account for typical overshadowing and its impact on energy performance 202 (Fig.10b: site plan). The main input data used in the simulation it summarized in Table 2.

Table 2 Simulation input data					
Internal gains (W	7/m²)	H	Building fabric	Systems	
Internal gain from people (12.2m ² /person)	6.0	External wall	U-value=0.17W/m ² /K	Mechanical ventilation 0.97ac/h at 18°C Operating 9:00 - 18:00	
Plug load	4.9	Roof	U-value=0.17W/m ² /K	Cooling COP = 4; Heating COP = 3 Operating 9:00 - 18:00	
Lighting	7.0	Ground floor	U-value=0.17W/m ² /K	Solar PV, 1768 m2 at 15% efficiency.	
Total	18.0	Glazing	U-value=1.0 W/m ² /K; G- value=0.15 Window to wall ratio is 70%		
Notes: a) The building is a	only used durir	ng workday, namely fro	m Monday to Friday;		



b) The occupancy profile is set as: 09:00-13:00 100% occupied; 13:00-14:00 100% occupied; 14:00-18:00 100% occupied, which refers to the typical condition in Switzerland.

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Fig.11 presents a summary of the monthly weather data used in the simulations for comparison; the actual simulation uses hourly weather data. The weather data for the simulation was obtained from the EnergyPlus weather database [Energy Plus weather data DATE]. The three cases represent a range of climates, from distinct seasonal conditions in Zurich, to warm humid conditions in Chongqing and hot desert conditions in Abu Dhabi. Chongqing has an interesting climate in that it is warm but often overcast with relatively low levels of direct solar radiation.

212 The simulation was carried out using the dynamic building energy model, HTB2, which has been developed at the Welsh 213 School of Architecture (Cardiff University). It has undergone extensive testing, validation, including the IEA Annex 1 214 (Oscar Faber and Partners 1980), IEA task 12 (Lomas 1994) and the IEA BESTEST (J. Neymark et al 2011), and has 215 been applied in many research and design projects (IEA 2006). HTB2 is able to simulate hourly annual energy and internal 216 environmental conditions, based on the location's weather data, the building construction and layout details, the 217 mechanical services and occupation profiles for people and equipment use. It is also able to account for overshadowing 218 of surrounding buildings and landscape features. In the simulation used in this study, the mechanical services have been 219 simplified using seasonal average values or COP, and PV efficiency. For comparison sake, the buildings are 'all electric' 220 and heated and cooled using a heat pump. A constant outside air supply has been assumed for the mechanical ventilation 221 system. The main focus of this paper is on the energy demand, as described in figure 7 above.

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The results from the simulation for the monthly energy supply are presented in Fig.12. Figure 13 presents the annual demand and supply. The Zurich case has a relatively high heating demand and relatively low cooling demand compared to the other two cases. Abu Dhabi has no heating demand and a high cooling demand. The energy supply is estimated

from the average COP values, which for this simulation are assumed the same for each location (table 2). In practice, they would vary depending on local conditions and load profiles, as would the efficiency of the PV system. However, the main purpose of this paper is to provide some initial estimates of the potential to meet the energy supply from renewables, in the context of reduced demand. The potential solar energy generation is seasonal in Zurich, with relatively high summer values. It is relatively low in Chongqing, due to the overcast nature of its climate. Solar energy potential is high throughout the year in Abu Dhabi. However, even for the relatively low case in Chongqing, he solar energy generated can meet the energy demand most of the year, on a monthly basis.

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237 Overall, the results indicate that a relatively low energy demand performance can be achieved if the 'Swiss' standards are 238 followed, and that the availability of solar energy to power the building has the potential to supply a major proportion of 239 the energy for all three situations. However, in all situations some form of energy storage would be required for achieving 240 zero carbon performance. In Abu Dhabi only short term 'diurnal' energy storage would be required for energy autonomy, 241 whilst in Zurich longer term storage would also be needed to cover the winter months, when the monthly energy demand 242 exceeds the solar availability. In Chongging, the match between energy demand and solar potential is 'borderline' and 243 there is not a net annual gain from the solar energy supply. It is interesting to note that for the reduced demand situation 244 used in each location, the small power demand from lights and equipment now dominates the energy equation.



Fig.13 Summary of annual energy demand and the corresponding required supply accounting for heating and cooling system COP. The heating and small power use direct electric.

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- Fig. 14 shows the related carbon emission in the three locations, based on the local; carbon emission factors as presented in Table 3. Of course, if the energy supply is met by renewables the CO_2 emissions would be zero. The values are therefore related to the potential CO_2 savings as a result of providing renewable energy supply. It is interesting to note that due to the low CO_2 emission factor for Switzerland the potential CO_2 savings are relatively small. The implication is that low carbon design may be more important for countries with high carbon emission factors, resulting from high carbon grid supply.
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Table 3 CO₂ emissions per kWh from electricity generation (IEA2013)

Location	Carbon dioxide emission factor (kgCO ₂ /kWh)
Zurich (Switzerland)	0.03
Chongqing (P.R. China)	0.76
Abu Dhabi (UAE)	0.6

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Fig.14 Summary of annual electricity supply, renewable energy and the related carbon dioxide emission savings

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4. Conclusions

259 The paper has described a process of achieving low to zero energy design through a combination of reducing internal heat 260 gains and façade design. Innovative cooling and heating systems, possibly using surface cooling and heating, combined 261 with mechanical ventilation (as discussed in section 2.3), have the potential to maximize the benefits from reduced 262 demand, although they have not been explicitly modeled in the simulations. They also result in other benefits, such as 263 comfort and space use efficiencies. The paper has discussed the concept of a 'systems approach', combining reduced 264 energy demand, with renewable energy supply and energy storage, and the use of building integrated renewable energy 265 systems. The reported energy simulations have presented an analysis of the energy performance of the same building in three locations, relating energy demand to the required energy supply, and to the potential for meeting this supply from 266 267 renewable energy (in this case solar PV). The results indicate that reducing energy demand provides the potential for 268 using solar energy to meet all or most of the energy supply needs. Short term 'diurnal' storage may be sufficient for Abu 269 Dhabi, whereas longer term storage may be needed for Zurich to achieve energy autonomy. In Chongqing the specific 270 overcast climate features make the situation borderline.

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A holistic systems approach to zero-carbon building design can therefore lead to reduced carbon emissions. The actual

reduction in emissions will be related to the carbon content of the local grid as expressed through carbon emission factors.

274 There are wide variations of carbon emission factors across the three locations, from 0.03kg/kWh in Zurich, to

0.76kg/kWh in Chongqing. The implication is that zero carbon design may be most beneficial in countries with a highcarbon content supply grid.

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There is also the potential for construction cost savings if an integrated 'buildings as power stations' approach is applied, using the renewable energy systems as construction elements, such as for the wall and roof, and offsetting increased fabric and renewable energy system costs with reduced system sizing for heating, cooling and ventilation. The relationship between energy costs and construction costs is a subject for further research.

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