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- $\frac{15}{50}$ **Key words:** Costa Rica, banana production, benthic macroinvertebrates, water quality, monitoring, risk
- assessment.

1

# $\begin{array}{c} 2 \\ 3 \end{array}$ 3 **Acknowledgements**

4 We would like to thank: Manuel Zumbado at INBio and Bert Kohlmann at the University of Agronomy EARTH,

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## 1 **1. Introduction**

2 Costa Rica is one of the richest countries in the world in terms of biodiversity and considerable effort goes to<br>3 conservation and protection. Several protected areas, some being wetlands or marine reserves, are however

3 conservation and protection. Several protected areas, some being wetlands or marine reserves, are however,<br>4 situated downstream agricultural areas, where the use of agrochemicals is very high (Schreinemachers &

4 situated downstream agricultural areas, where the use of agrochemicals is very high (Schreinemachers & Tipraqsa 2012) and run-off into nearby surface waters is of particular concern (Castillo et al. 2006). A m

5 Tipraqsa 2012) and run-off into nearby surface waters is of particular concern (Castillo et al. 2006). A major

6 contributor of agrochemicals to the surrounding environment is the large-scale banana production, which<br>7 receives an average of 57.5 pesticide applications per year as well as 2775 kg/ha of synthetic fertilizers (E

7 receives an average of 57.5 pesticide applications per year as well as 2775 kg/ha of synthetic fertilizers (Bellamy 2013: Bravo et al. 2013). Several of the pesticides used in banana production have been detected in the

8 2013; Bravo et al. 2013). Several of the pesticides used in banana production have been detected in the aquatic environment downstream of banana production areas (Castillo et al. 2006), some in concentrations expected to

9 environment downstream of banana production areas (Castillo et al. 2006), some in concentrations expected to<br>10 have acute or chronic toxic effects on aquatic organisms according to toxicity values derived from laborator have acute or chronic toxic effects on aquatic organisms according to toxicity values derived from laboratory

11 toxicity tests (Diepens et al. 2014; Arias-Andres et al. 2016; Rämö et al. 2016).

12 Banana companies are today increasingly aware of the need to reduce their negative environmental impact, and<br>13 several changes in management practices have resulted in some companies being certified according to one of

13 several changes in management practices have resulted in some companies being certified according to one of<br>14 several certification systems (e.g. Rainforest Alliance and ISO14000). Attempts to reduce environmental impa 14 several certification systems (e.g. Rainforest Alliance and ISO14000). Attempts to reduce environmental impact<br>15 by farms include: sediment traps that are constructed to reduce erosion and capture/retain pesticides adh

15 by farms include: sediment traps that are constructed to reduce erosion and capture/retain pesticides adhered to solids; riparian vegetation zones that are planted/left to intercept spray drift, prevent erosion and redu

solids; riparian vegetation zones that are planted/left to intercept spray drift, prevent erosion and reduce surface

17 flow and leaching of pesticides; manual chopping of weeds instead of using herbicides; manual injections of<br>18 mematicides into the banana plant instead of applying soil granular nematicides; and post-harvest applicatio

18 nematicides into the banana plant instead of applying soil granular nematicides; and post-harvest applications of

19 fungicides using brushes instead of fumigation chambers, thereby reducing the amount of pesticides used.

20 Some of these practices may reduce the negative impact on the environment, but few ecological field studies<br>21 have been done to evaluate the efficiency of mitigation strategies that aim to reduce negative environmental

21 have been done to evaluate the efficiency of mitigation strategies that aim to reduce negative environmental<br>22 impact in Costa Rican rivers and in similar tropical aquatic systems. Monitoring changes of benthic

22 impact in Costa Rican rivers and in similar tropical aquatic systems. Monitoring changes of benthic<br>23 macroinvertebrate community composition is commonly used in monitoring programs and ecologic

23 macroinvertebrate community composition is commonly used in monitoring programs and ecological status 24 assessments of freshwater and marine coastal systems around the world (e.g. van Hoev et al. 2010; von der 0

24 assessments of freshwater and marine coastal systems around the world (e.g. van Hoey et al. 2010; von der Ohe  $\&$  Coedkoop 2013). In this study we evaluate changes in benthic community composition up- and downstream

25 & Goedkoop 2013). In this study we evaluate changes in benthic community composition up- and downstream<br>26 from banana plantations as a means to evaluate ecological effects of current agricultural practices and the

26 from banana plantations as a means to evaluate ecological effects of current agricultural practices and the<br>27 efficiency of proposed improvements, as well as a complement to chemical analysis of pesticide residues

27 efficiency of proposed improvements, as well as a complement to chemical analysis of pesticide residues in<br>28 environmental risk assessment.

environmental risk assessment.

29 Benthic macroinvertebrates are usually abundant in rivers, represent several trophic levels, participate in nutrient<br>20 cvcling and differ in sensitivity to pollution. Most of them have small home ranges, at least in aq

cycling and differ in sensitivity to pollution. Most of them have small home ranges, at least in aquatic stages, and

31 usually have long life cycles and thus are good bioindicators as they provide information about the water quality<br>32 integrated over a longer time period, compared to the values given by water samples taken at discrete

integrated over a longer time period, compared to the values given by water samples taken at discrete points in

33 time. Pesticide and nutrient levels in the aquatic environment can be expected to vary, with peaks after

34 application and high rainfall events. Monitoring of pesticide levels thus requires a very frequent sampling to<br>35 detect peak concentrations (Liess et al. 2003). Another concern is that toxic effects can result from exp

35 detect peak concentrations (Liess et al. 2003). Another concern is that toxic effects can result from exposure near<br>36 or below the analytical detection limit for a given pesticide (Walter et al. 2002) or from a combina

- 36 or below the analytical detection limit for a given pesticide (Walter et al. 2002) or from a combination of pesticides and other stressors, e.g. temperature or high nutrient loads (Polidoro & Morra 2016). It is also
- 37 pesticides and other stressors, e.g. temperature or high nutrient loads (Polidoro & Morra 2016). It is also<br>38 important to consider the effect of chronic exposure to pesticides as well as the exposure to mixtures of s

38 important to consider the effect of chronic exposure to pesticides as well as the exposure to mixtures of several<br>39 pesticides, which together can cause toxic effects through additive toxicity (Verbruggen & Van den Br 39 pesticides, which together can cause toxic effects through additive toxicity (Verbruggen & Van den Brink 2010).

40<br>41 41 The possible additive or synergistic effects between stressors are a major concern in rivers, which receive<br>42 irrigation and run-off water from banana farms. Large-scale banana farming relies on the use of fungicides

42 irrigation and run-off water from banana farms. Large-scale banana farming relies on the use of fungicides,<br>43 nematicides, insecticides and herbicides. Most often several different compounds of each type of pesticide a

43 nematicides, insecticides and herbicides. Most often several different compounds of each type of pesticide are<br>44 applied over the year in order to minimize risk of inducing resistance in pests. The extensive system of

44 applied over the year in order to minimize risk of inducing resistance in pests. The extensive system of drainage<br>45 canals in a typical banana farm causes increased stream flashiness and sedimentation and due to high

45 canals in a typical banana farm causes increased stream flashiness and sedimentation and due to high<br>46 precipitation a substantial amount of pesticides and nutrients end up in the aquatic environment. Non-46 precipitation a substantial amount of pesticides and nutrients end up in the aquatic environment. Non-target

47 aquatic organisms further downstream will thus be subjected to a complex mixture of toxic substances, fertilizers<br>48 and changes in stream flow. To assess cumulative effects of several physical and chemical stressors, r 48 and changes in stream flow. To assess cumulative effects of several physical and chemical stressors, responses<br>49 thus have to be studied at the community or ecosystem level and using benthic macroinvertebrates has prov

49 thus have to be studied at the community or ecosystem level and using benthic macroinvertebrates has proved to

50 be a cost-effective monitoring tool.

51 In the present study we evaluated whether the overall impact of banana farming affects aquatic benthic

52 macroinvertebrate fauna in waters subjected to agricultural run-off to test if benthic macroinvertebrate<br>53 community composition can be used as a bioindicator of ecological stress to these aquatic ecosystems.

53 community composition can be used as a bioindicator of ecological stress to these aquatic ecosystems. Our

54 research hypothesis was that surface waters downstream of banana farms will have a different benthic

55 macrofauna community composition with a lower diversity compared to upstream sites. The changes in

- 2 relevant method to detect environmental impact of agricultural run-off.
- 3

## 4 **2. Material and Methods**

## 5 *2.1 Sites*

6 Aquatic benthic invertebrate samples were collected at 13 sites in the Caribbean low-lands of Costa Rica<br>7 between March 8 and April 26, i.e. during the dry season, 2007 (Table 1). Sites were chosen both up- and 7 between March 8 and April 26, i.e. during the dry season, 2007 (Table 1). Sites were chosen both up- and<br>8 downstream in rivers and watercourses receiving run-off from banana farms and at sites assumed not to be 8 downstream in rivers and watercourses receiving run-off from banana farms and at sites assumed not to be affected by banana farming (Fig. 1). A high natural variability in community composition can be expected 9 affected by banana farming (Fig 1). A high natural variability in community composition can be expected 10 between and along streams. Both stream order and stream size influence taxa richness and community stru 10 between and along streams. Both stream order and stream size influence taxa richness and community structure<br>11 (Malmqvist and Hoffsten 2000; Vannote et al. 1980), as do local factors, such as riparian characteristics, 11 (Malmqvist and Hoffsten 2000; Vannote et al. 1980), as do local factors, such as riparian characteristics, water 12 chemistry and in-stream habitat structure. Sampling sites were thus, when possible, chosen in pairs along the 13 same watercourse, with one site situated upstream and the second downstream banana farms (Fig 1). By 14 comparing sites in an upstream-downstream fashion the difficulty with interpretation associated with the natural 15 inter-stream variation is greatly reduced. Spatial habitat heterogeneity, current velocity at base and high flows,<br>16 and type of substrate also affect within-site diversity of stream invertebrates (Beisel et al. 2000). 16 and type of substrate also affect within-site diversity of stream invertebrates (Beisel et al. 2000). Sampling of highly similar habitats was therefore favoured to reduce this variability, with fast flowing streams, mos 17 highly similar habitats was therefore favoured to reduce this variability, with fast flowing streams, mostly<br>18 cobbles for substrate in runs and riffles, and no or little macrophytes being the preference (see Table 1 f 18 cobbles for substrate in runs and riffles, and no or little macrophytes being the preference (see Table 1 for<br>19 comparison between sites). Keeping to those prerequisites in combination with limited access, only 3 river 19 comparison between sites). Keeping to those prerequisites in combination with limited access, only 3 rivers were<br>20 sampled in a true, replicated upstream-downstream fashion (see Table 2). sampled in a true, replicated upstream- downstream fashion (see Table 2).

21 In the provinces of Sarapiquí and Siquirres, where conventional, large-scale banana farms are abundant, Río<br>22 Sucio and Río Pacuare were sampled up- and downstream of single farms as well as downstream 'banana' 22 Sucio and Río Pacuare were sampled up- and downstream of single farms as well as downstream 'banana<br>23 districts', with large-scale banana farms being the dominating land use (Fig 1, Table 1). In addition, sampl

23 districts', with large-scale banana farms being the dominating land use (Fig 1, Table 1). In addition, samples<br>24 were taken in two small streams, with one site (SSS1Nat) located within National Park Braulio Carrillo ne

24 were taken in two small streams, with one site (SSS1Nat) located within National Park Braulio Carrillo near the<br>25 source of Río Sucio, and another site upstream from banana farms (SSS1up). In the province of Talamanca

25 source of Río Sucio, and another site upstream from banana farms (SSS1up). In the province of Talamanca a<br>26 small stream between Cahuita and Hone Creek was sampled up- and downstream a small-scale, certified orga

26 small stream between Cahuita and Hone Creek was sampled up- and downstream a small-scale, certified organic<br>27 farm. Finally, in the province of Guácimo a small, first order stream located adjacent to a low-input banana 27 farm. Finally, in the province of Guácimo a small, first order stream located adjacent to a low-input banana farm<br>28 within EARTH University a few hundred meters west of Río Dos Novillos was sampled as was Río Parismina

28 within EARTH University a few hundred meters west of Río Dos Novillos was sampled as was Río Parismina<br>29 downstream a conventional banana farm. At each site the following data were recorded in a field protocol: GPS

29 downstream a conventional banana farm. At each site the following data were recorded in a field protocol: GPS-

30 position, date and time, rainfall within last 24 hours, present cloud conditions, measurements of temperature (air

31 and water), assessment of river width, depth, current, velocity, turbidity, colour and amount of shade, assessment<br>32 of substrate composition (amount boulders, stones, sand, silt, plant material etc.), Additionally, we of substrate composition (amount boulders, stones, sand, silt, plant material etc.). Additionally, we measured

33 approximate distances from the sample site to the source of the stream or river sampled.

34 *2.2 Sampling and identification of macroinvertebrates*

35 We used kick net sampling since Armitage (1978) and Pollard (1981) found the method to give consistent

36 results. By disturbing the bottom, specimens are dislodged and drift into a net held immediately downstream.

37 The net used was a D-framed 40 cm wide kick net with 0.5 mm mesh size. To obtain a representative composite

38 sample an area equivalent to the area of the net was disturbed at six sub-sampling positions (randomly chosen

39 within an area of about  $25 \text{ m}^2$ ). Each sampling position was approached either at a right angle to the flow

40 direction or from downstream in order not to sample where the bottom had been inadvertently disturbed. The net

41 was held as close as possible to the streambed. The substrate in front of the net was disturbed, either by kicking

42 or by hand. The latter was favoured due to the substrate; in most cases rocks of a size that would not easily turn

43 over by kicking. Animals and epiphytes were dislodged by brushing hands over rock surfaces and collected with

44 the softer substrate into the net. All sweeping of substrate/disruption of bottom was directed toward the net to 45 reduce loss of swimming specimens. One composite sample represents approximately 1  $m<sup>2</sup>$  and up to three

- 46 composite samples were collected at each site. Sites are designated by an abbreviation for actual watercourse, a
- 47 numeral for relative position along the watercourse and whether it is an up- or downstream site.
- 
- 48 Samples were transferred to labelled containers and preserved in 70% alcohol. Sorting and identification was<br>49 done to family level (Oligochaeta, Acarina were only identified to order and Bivalyia to class) under stere 49 done to family level (Oligochaeta, Acarina were only identified to order and Bivalvia to class) under stereoscope
- 50 using relevant taxonomical keys (Thorp & Covich 1991; Roldán Pérez 1992). Reference specimens were
- 51 deposited at Costa Rica's National Institute of Biodiversity (INBio).
- 52 *2.3 Analyses of benthic community composition*

1 Our research question was whether surface waters downstream banana farms have a different benthic<br>2 macroinvertebrate community composition with a lower diversity compared to surface waters upstrea

- 2 macroinvertebrate community composition with a lower diversity compared to surface waters upstream banana<br>3 farms. The effect of agricultural run-off on benthic macroinvertebrate community composition was studied with farms. The effect of agricultural run-off on benthic macroinvertebrate community composition was studied with
- 
- 4 multivariate statistics. Similarity between invertebrate communities up- and downstream plantations was<br>5 assessed using principal component analysis (PCA) (Van den Brink et al. 2003; van Wijngaarden et al. 19
- 5 assessed using principal component analysis (PCA) (Van den Brink et al. 2003; van Wijngaarden et al. 1995)<br>6 using CANOCO (version 5) (Ter Braak and Smilauer, 2012). PCA was used since the invertebrate data set ha
- 6 using CANOCO (version 5) (Ter Braak and Smilauer, 2012). PCA was used since the invertebrate data set had a short length of gradient (2.8 SD; Van Wijngaarden et al., 1995), while the abundance data were  $Ln(2x+1)$ 7 short length of gradient (2.8 SD; Van Wijngaarden et al., 1995), while the abundance data were  $Ln(2x+1)$ <br>8 transformed (see Van den brink et al., 2000 for rationale). Analyses were performed on mean values per si
- 8 transformed (see Van den brink et al., 2000 for rationale). Analyses were performed on mean values per site<br>9 where more than one composite sample exists. PCA generates an ordination diagram, which allows comparis
- 9 where more than one composite sample exists. PCA generates an ordination diagram, which allows comparison of how closely the different sites are related to each other in terms of community composition and additionally
- 10 of how closely the different sites are related to each other in terms of community composition and additionally<br>11 show how the taxa composition varies between sites, i.e. upstream or downstream banana farms. Sites that
- 11 show how the taxa composition varies between sites, i.e. upstream or downstream banana farms. Sites that lie<br>12 close together on the PCA diagram share a more similar community composition than those sites that lie furt 12 close together on the PCA diagram share a more similar community composition than those sites that lie further
- 
- 13 apart (Ter Braak 1995). Site characteristics were introduced as supplementary explanatory variables to assess the correlations between taxa abundance values and the levels of the explanatory variables (Van den Brink et 14 correlations between taxa abundance values and the levels of the explanatory variables (Van den Brink et al., 15 2003).
- $2003$ ).
- 16 The Biological Monitoring Working Party (BMWP) score system (National Water Council 1981), originally
- 17 developed in Great Britain as a rapid and sensitive method to determine water quality using macroinvertebrate
- 18 sensitivity to organic pollution has also been adapted for use in tropical environments, and has proved to
- 19 correctly assess water quality in e.g. Thailand (Mustow 2002). The BMWP scores adapted to Costa Rican
- 20 conditions according to Springer et al. (2007) were used to rank the sites based on their indicated sensitivity to
- 21 organic pollution. In the BMWP system a score (from 1 for the most tolerant to 10 for the most sensitive) is
- 22 assigned to different taxa depending on their sensitivity to organic pollution and only requires identification to<br>23 the family level (Oligochaeta only to class). In order to make interpretation less sensitive to sampl
- 23 the family level (Oligochaeta only to class). In order to make interpretation less sensitive to sampling effort,<br>24 Armitage et al. (1983) and others (e.g. Friedrich et al. 1996) suggest dividing the total sample score
- 24 Armitage et al. (1983) and others (e.g. Friedrich et al. 1996) suggest dividing the total sample score with the
- 25 number of contributing taxa, giving the result as Average Score Per Taxon (ASPT). These values as well as 26 number of families, individuals per taxon, Shannon-Wiener diversity index, EPT index (Lenat 1988) and percent
- 27 contribution of the most abundant taxon out of the total abundance were compared to determine if differences
- 28 between sites could be detected.
- 29

# 30 **3. Results**

- 31 In total, 2888 specimens were collected, belonging to 15 orders and 48 families or taxa. The PCA diagram
- 32 clearly shows the differences in community composition between the rivers and between up- and downstream
- 33 sites (Fig. 2). The horizontal and vertical axes (displaying 30% and 18% of the total variation, respectively)
- 34 show that most upstream sites and taxa are located in the upper, right part of the diagram, while most
- 35 downstream sites are located in the lower, left quadrant, where also no taxa are located. This shows that most
- 36 downstream sites have a poorer community composition compared to the upstream sites. Surprisingly, the sample taken in the national park (Sarapiquí) clusters together with the downstream sites. The samples taken
- sample taken in the national park (Sarapiquí) clusters together with the downstream sites. The samples taken in
- 38 the Río Sucio and Río Pacuare just below the conventional farm are still relatively rich in taxa (i.e. located on the 139 right side of the diagram), while their more downstream located sites are located in the lower, l
- right side of the diagram), while their more downstream located sites are located in the lower, left quadrant.
- 40 Fig. 2B shows how the community composition at each site relates to the explanatory variables. The sites located in the left, lower part of the diagram, have a low number of taxa and are correlated with being downstream
- 41 in the left, lower part of the diagram, have a low number of taxa and are correlated with being downstream both<br>42 organic and conventional farms, high turbidity, a high % of still water, a high presence of sandy, silty
- 42 organic and conventional farms, high turbidity, a high % of still water, a high presence of sandy, silty<br>43 substratum, a high distance from source and a large width of the river. Upstream sites are correlated w substratum, a high distance from source and a large width of the river. Upstream sites are correlated with a
- 44 higher amount of shade, an intermediate river width and a higher number of taxa.
- 
- 45 The number of families per site ranged from 2.3 to 19 with a mean value of 11.6 taxa per site. Family/taxa<br>46 richness was higher in unstream samples as were BMWP-scores and Shannon-Wiener diversity values (Ta
- 46 richness was higher in upstream samples as were BMWP-scores and Shannon-Wiener diversity values (Table 2).<br>47 In the Talamanca stream passing an organic farm there were fewer orders present in the upstream site (site
- In the Talamanca stream passing an organic farm there were fewer orders present in the upstream site (site
- 48 SST1up, 7 orders) compared to the downstream site (site SST2down, 11 orders). Only 6 orders were found at
- 49 site SSS1Nat within the national park, but 14 families, meaning higher within-order diversity (Table 2). With
- 50 regards to abundance, Chironomidae (i.e. midge larvae) was the most abundant family at several sites (RS1up, 51 RS2down, SST2down, SSS1Nat, RS4down and RPc3down) and were found at all sites. The range of
- 52 Chironomid abundance varied between 10% downstream a banana district along Río Sucio (site RS3down) to
- 
- 53 91% downstream another banana district along Río Pacuare (site RPc3down). Within the order of Trichoptera<br>54 (caddisflies), Glossomatidae, Hydropsychidae and Philopotamidae all had higher abundances at downstream 54 (caddisflies), Glossomatidae, Hydropsychidae and Philopotamidae all had higher abundances at downstream

1 sites despite high BMWP scores (score 8, 5, 7, respectively). Leptoceridae, on the other hand, were fewer<br>2 downstream (score 8; Table 2). EPT index values varied between 0.63 downstream a banana district and 9 2 downstream (score 8; Table 2). EPT index values varied between 0,63 downstream a banana district and 9 upstream an organic farm, and were higher at upstream sites.

upstream an organic farm, and were higher at upstream sites.

4 Invertebrate diversity at the order level varied between 3 and 11 orders (mean 7.1), and was higher in upstream samples except for sites SST1up and SST2down (up- and downstream the organic farm). Diptera were found at samples except for sites SST1up and SST2down (up- and downstream the organic farm). Diptera were found at 6 all sites (total 624 individuals), and were the dominant order at site RS4down and RPc3down, both downstream<br>7 banana districts. At site RS4down, one Diptera family contributed with 53% of total abundance while at site 7 banana districts. At site RS4down, one Diptera family contributed with 53% of total abundance while at site<br>8 RPc3down two families added up to 92%. This is in contrast to site SSS1Nat, in the national park, where Dir 8 RPc3down two families added up to 92%. This is in contrast to site SSS1Nat, in the national park, where Diptera<br>9 contributed 32% of the sample, but were represented by four families. Trichoptera contributed a total of 1 9 contributed 32% of the sample, but were represented by four families. Trichoptera contributed a total of 1185<br>10 individuals. Ephemeroptera (553 individuals), missing only at site RPc3down, were the dominant order at sit individuals. Ephemeroptera (553 individuals), missing only at site RPc3down, were the dominant order at site 11 RS3down, both sites situated downstream large banana districts. Coleoptera were missing at sites RS3down, 12 RS4down, and RPc3down, i.e. the sites downstream large banana districts. Plecoptera were found only at site 12 RS4down, and RPc3down, i.e. the sites downstream large banana districts. Plecoptera were found only at site<br>13 SST1up and SSG1down, i.e. upstream the organic and downstream the low-input farm. Only a few taxa were 13 SST1up and SSG1down, i.e. upstream the organic and downstream the low-input farm. Only a few taxa were<br>14 absent at most sites. None of those taxa present only at one single site were found downstream large-scale absent at most sites. None of those taxa present only at one single site were found downstream large-scale 15 conventional banana farms. Special note should be taken that oligochaetes (with the lowest sensitivity score, 1)<br>16 were only found at unstream sites.

were only found at upstream sites.

17

# 18 **4. Discussion**

19 The aim of the study was to assess if benthic macroinvertebrate community composition at the resolution of 20 family level could be used to evaluate improvements in banana farming practices. The PCA ordination plots

20 family level could be used to evaluate improvements in banana farming practices. The PCA ordination plots<br>21 show differences in community composition between rivers but community composition also differed between

21 show differences in community composition between rivers but community composition also differed between<br>22 up- and downstream sites in the same river (Fig 2). The explanatory variable 'upstream' was positively

22 up- and downstream sites in the same river (Fig 2). The explanatory variable 'upstream' was positively 23 associated with a larger number of taxa (Fig 2), indicating a general trend that upstream sites are more s

23 associated with a larger number of taxa (Fig 2), indicating a general trend that upstream sites are more species-<br>24 diverse. Higher river width was inversely correlated with number of taxa indicating that other factors

24 diverse. Higher river width was inversely correlated with number of taxa indicating that other factors than<br>25 oxygen stress are affecting these communities. The fact that Oligochaetes, normally very tolerant to low o 25 oxygen stress are affecting these communities. The fact that Oligochaetes, normally very tolerant to low oxygen<br>26 levels, are only found at upstream sites further supports this conclusion (Fig 3). Oligochaetes have bee

26 levels, are only found at upstream sites further supports this conclusion (Fig 3). Oligochaetes have been found to<br>27 be relatively sensitive to fungicides (Cuppen et al. 2000), which have been found in surface waters d

27 be relatively sensitive to fungicides (Cuppen et al. 2000), which have been found in surface waters downstream<br>28 banana farms in streams nearby (Castillo et al. 2000; Diepens et al. 2014; Arias-Andres et al. 2016; Eche

28 banana farms in streams nearby (Castillo et al. 2000; Diepens et al. 2014; Arias-Andres et al. 2016; Echeverria-<br>29 Saenz et al. 2016; Rämö et al. 2016). Thus, pesticides used by banana farms may be influencing patterns 29 Saenz et al. 2016; Rämö et al. 2016). Thus, pesticides used by banana farms may be influencing patterns shown in the biplots presented here (Fig 2).

in the biplots presented here (Fig 2).

31 The BMWP scores, taxa richness and diversity indices were slightly lower downstream conventional farms than<br>32 unstream, interpreted as a response to water quality or habitat deterioration. It should be emphasized that 32 upstream, interpreted as a response to water quality or habitat deterioration. It should be emphasized that 33 upstream 'reference' sites in some cases are affected by land use further upstream, i.e. not to be considered to 34 represent pristine conditions (Fig 1). This is e.g. the case for sites RS1up and RPc1up, the upstream conventional<br>35 farm sites in the pairwise comparison. Therefore, a reduction of taxa richness and loss of the most s farm sites in the pairwise comparison. Therefore, a reduction of taxa richness and loss of the most sensitive 36 species may have already occurred further upstream, possibly explaining the sometimes modest differences 37 found when sites up- and downstream banana farms were compared (Table 2). The small difference in taxa 37 found when sites up- and downstream banana farms were compared (Table 2). The small difference in taxa richness is to some extent related to an increased richness of tolerant taxa at some of the downstream sites. 38 richness is to some extent related to an increased richness of tolerant taxa at some of the downstream sites.<br>39 Environmental impact is therefore difficult to interpret from taxa richness alone. Diversity index figures 39 Environmental impact is therefore difficult to interpret from taxa richness alone. Diversity index figures are<br>40 likewise unaffected if one taxon replaces another in response to pollution, and, accordingly, differences 40 likewise unaffected if one taxon replaces another in response to pollution, and, accordingly, differences in<br>41 diversity were moderate. A metric that showed a distinct difference was abundance, where, contrary to resu 41 diversity were moderate. A metric that showed a distinct difference was abundance, where, contrary to results by<br>42 Paaby et al. (1998), abundance was found to be higher at sites downstream conventional farms. In the st 42 Paaby et al. (1998), abundance was found to be higher at sites downstream conventional farms. In the streams<br>43 not affected by banana farms and the one passing a small-scale organic farm the number of individuals per t 43 not affected by banana farms and the one passing a small-scale organic farm the number of individuals per taxon<br>44 was quite low, but the number of individuals per taxon almost doubles downstream large-scale banana farm 44 was quite low, but the number of individuals per taxon almost doubles downstream large-scale banana farms,<br>45 despite the short distance. Higher abundance in these cases co-varies with increased dominance by one or two 45 despite the short distance. Higher abundance in these cases co-varies with increased dominance by one or two<br>46 taxa (Hydropsychidae and Chiropomidae at site RS2down resp. Glossomatidae at site RPc2down), indicating 46 taxa (Hydropsychidae and Chironomidae at site RS2down resp. Glossomatidae at site RPc2down), indicating<br>47 stress (Pearson & Rosenberg 1978) and evenness have been found to respond faster than species richness to 47 stress (Pearson & Rosenberg 1978) and evenness have been found to respond faster than species richness to<br>48 environmental stress (Chapin et al. 2000). Previous as well as recent studies in nearby rivers, which also rec environmental stress (Chapin et al. 2000). Previous as well as recent studies in nearby rivers, which also receive 49 fertilizers and pesticides from banana plantations have shown similar effects on the invertebrate community 50 (Castillo et al. 2006; Pringle and Ramirez 1998; Echeverria- Saenz et al. 2016). The ASPT (Average Score Per<br>51 Taxon) score, contrary to expected, suggested that there were more sensitive taxa downstream banana farms Taxon) score, contrary to expected, suggested that there were more sensitive taxa downstream banana farms 52 compared to sites upstream (Table 2). Oligochaetes, with a low score, were present only at the upstream sites possibly due to fungicide exposure, lowering the ASPT scores compared to downstream sites and this highlig 53 possibly due to fungicide exposure, lowering the ASPT scores compared to downstream sites and this highlights the shortcomings of many water quality score systems. The BMWP score system is based on sensitivity of the shortcomings of many water quality score systems. The BMWP score system is based on sensitivity of 55 families to oxygen depletion, which is used as an indicator of organically polluted surface waters. While this<br>56 generally correlates with agricultural runoff, particularly with regards to sediment and fertilizer run-o 56 generally correlates with agricultural runoff, particularly with regards to sediment and fertilizer run-off, the

1 BMWP score system does not reflect species' sensitivity to pesticide exposure. Rico and Van den Brink (2015)<br>2 propose a trait-based methodology using focal species that also incorporates landscape characteristics to imp 2 propose a trait-based methodology using focal species that also incorporates landscape characteristics to improve<br>3 insecticide risk assessment based on invertebrate monitoring. However, at the community level, the respo insecticide risk assessment based on invertebrate monitoring. However, at the community level, the response of 4 aquatic invertebrates to stress, e.g. lower diversity and higher abundance of some more tolerant species can be<br>5 expected to be similar regardless of the stressor (e.g. oxygen deficiency or contaminants such as metals, 5 expected to be similar regardless of the stressor (e.g. oxygen deficiency or contaminants such as metals, oil or polycyclic aromatic hydrocarbons (Diaz 1992)). 6 polycyclic aromatic hydrocarbons (Diaz 1992)).

7 Pringle and Ramirez (1998) found Diptera (e.g. Chironomidae) and Ephemeroptera to be dominant insect groups<br>8 at sites both in primary forest and in streams draining banana plantations. Sensitivity varies among Dipteran 8 at sites both in primary forest and in streams draining banana plantations. Sensitivity varies among Dipteran<br>9 families, but Chironomidae, according to the BMWP score system, are considered tolerant to organic pollution 9 families, but Chironomidae, according to the BMWP score system, are considered tolerant to organic pollution and oxygen deficiency. In the present study Chironomidae were found at all sites, and were also to varying and oxygen deficiency. In the present study Chironomidae were found at all sites, and were also to varying 11 degree the dominating taxon at six of the sites, four of which were downstream sites. At the site furthest 12 downstream Río Pacuare (site RPc3down), receiving run-off from several large-scale banana farms, the 12 downstream Río Pacuare (site RPc3down), receiving run-off from several large-scale banana farms, the abundance of Chironomidae was one order of magnitude greater than that of the second most abundant t 13 abundance of Chironomidae was one order of magnitude greater than that of the second most abundant taxon,<br>14 and the extremely low total abundance at this site indicates very poor conditions. Ephemeroptera, generally and the extremely low total abundance at this site indicates very poor conditions. Ephemeroptera, generally 15 considered as relatively sensitive to organic pollution, were not represented at the aforementioned site, but<br>16 dominated at four other sites, two of which were situated downstream banana farms. However, the 16 dominated at four other sites, two of which were situated downstream banana farms. However, the 17 Ephemeroptera families observed in these streams include several species (*Baetis spp.* and *Caenis*. 17 Ephemeroptera families observed in these streams include several species (*Baetis spp.* and *Caenis spp.*) that are commonly found in organically enriched streams (Barbour et al. 1999). Thus it is important to consider 18 commonly found in organically enriched streams (Barbour et al. 1999). Thus it is important to consider that<br>19 while scores are based on aggregate sensitivity of species within the order or family, there may be some spe 19 while scores are based on aggregate sensitivity of species within the order or family, there may be some species 20 more or less sensitive than the aggregate score given to a group. Other examples from the data are more or less sensitive than the aggregate score given to a group. Other examples from the data are 21 Leptophlebidae (Ephemeroptera) and Glossomatidae (Trichoptera), two families with high BMWP scores, which

22 were also present in high numbers or even dominant at downstream sites.

23 Sampling of rivers affected by small-scale organic farms proved to be difficult due to the lack of permanent<br>24 streams or due to streams being impacted by other land use upstream. The one sampled, though, presented an

24 streams or due to streams being impacted by other land use upstream. The one sampled, though, presented an <br>25 upstream reference site within primary forest, and the banana farm being the only land use. The choice of 25 upstream reference site within primary forest, and the banana farm being the only land use. The choice of

26 sampling sites was in general limited by access difficulties in combination with aforementioned requisites of

27 comparable habitats. Ideally more sites would have been sampled and preferably some with large-scale banana

28 farms being the only land use. However, with the upstream-downstream samples taken within a rather short<br>29 distance, and banana farming being the most significant land use and also the most chemical intense, one can distance, and banana farming being the most significant land use and also the most chemical intense, one can

30 assume that most of the observed effects are due to production practices on banana farms.

31

# 32 **5. Conclusions**

33 Although it can be difficult to distinguish natural variations in e.g. diversity and community composition from<br>34 the effects of human impact, the consistent pattern with increasing dominance by a single or only a few

34 the effects of human impact, the consistent pattern with increasing dominance by a single or only a few taxa at downstream sites indicates an impact from banana farming. The present study hints at a lower impact by orga downstream sites indicates an impact from banana farming. The present study hints at a lower impact by organic

36 farming, but lacks replication to support it. The fact that differences, although small, were detected when<br>37 comparing up- and downstream single farms, implies that monitoring of macroinvertebrate community

37 comparing up- and downstream single farms, implies that monitoring of macroinvertebrate community<br>38 composition is useful for assessing management practices and improvements proposed or introduced by

38 composition is useful for assessing management practices and improvements proposed or introduced by the 39 banana industry aiming to produce bananas in a more sustainable way. As monitoring invertebrate communi

39 banana industry aiming to produce bananas in a more sustainable way. As monitoring invertebrate community<br>40 composition is highly ecologically relevant, we recommend that it should be done in combination with chemica 40 composition is highly ecologically relevant, we recommend that it should be done in combination with chemical 41 analysis of pesticide residues in environmental monitoring programs in Costa Rica and in ecological risk

41 analysis of pesticide residues in environmental monitoring programs in Costa Rica and in ecological risk<br>42 assessment of these rivers and similar aquatic systems.

- assessment of these rivers and similar aquatic systems.
- 43

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#### 1 **Figures and Tables with captions:**





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**Fig 1**: Map of Costa Rica, showing the location of the 13 sampling sites and rivers sampled. White and black squares denote upstream and downstream sites respectively. RS= Río Sucio, RPc= Río Pacuare, SST= Small 5 squares denote upstream and downstream sites respectively. RS= Río Sucio, RPc= Río Pacuare, SST= Small<br>6 stream in Talamanca, SSS= Small stream in Sarapiquí, SSG= Small stream in Guácimo and RPm= Río 6 stream in Talamanca, SSS= Small stream in Sarapiquí, SSG= Small stream in Guácimo and RPm= Río 7 Parismina. For GPS coordinates and description of sites and farming type at each site see Table1. For number of composite samples and mean values for different community structure descriptors see Table 2

8 composite samples and mean values for different community structure descriptors see Table 2

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13 **Fig 2**: PCA biplots showing the variation in taxa composition between the sites (Fig 2A) and the correlation

14 between the taxa and the measured explanatory variables (Fig 2B) Of the variation in taxa composition, 30% is<br>15 displayed on the horizontal axis and another 18% on the vertical axis. Analyses were performed on mean val 15 displayed on the horizontal axis and another 18% on the vertical axis. Analyses were performed on mean values<br>16 where more than 1 composite sample was taken where more than 1 composite sample was taken

1 **Table 1**: Sampling sites with GPS coordinates, a description of the site and some characteristics including the distance from the source of the surface water, the type of substrate, the width of the river and the veloci

distance from the source of the surface water, the type of substrate, the width of the river and the velocity of

water flow at the sampling site



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**Table 2:** Benthic community structure comparisons of upstream and downstream sites with mean values (standard deviation within brackets). Each composite sample consists of 6 pooled kick-samples, correspon 7 (standard deviation within brackets). Each composite sample consists of 6 pooled kick-samples, corresponding to approximately 1 square meter approximately 1 square meter

