1   **Haplotype-based stratification of Huntington's disease**

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5   **Running title:** Haplotype of Huntington's disease

6

1 **ABSTRACT**

2

3 Huntington's disease (HD) is an autosomal dominant neurodegenerative disease caused

4 by expansion of a CAG trinucleotide repeat in *HTT*, resulting in an extended

5 polyglutamine tract in huntingtin. We and others have previously determined that the

6 HD-causing expansion occurs on multiple different haplotype backbones, reflecting

7 more than one ancestral origin of the same type of mutation. In view of the therapeutic

8 potential of mutant allele-specific gene silencing, we have compared and integrated two

9 major systems of *HTT* haplotype definition, combining data from 74 sequence variants

10 to identify the most frequent disease-associated and control chromosome backbones

11 and revealing that there is potential for additional resolution of HD haplotypes. We

12 have used the large collection of 4,078 heterozygous HD subjects analyzed in our

13 recent genome-wide association study of HD age at onset to estimate the frequency of

14 these haplotypes in European subjects, finding that common genetic variation at *HTT*

15 can distinguish the normal and CAG-expanded chromosomes for more than 95% of

16 European HD individuals. As a resource for the HD research community, we have also

17 determined the haplotypes present in a series of publicly available HD subject-derived

18 fibroblasts, induced pluripotent cells, and embryonic stem cells in order to facilitate

19 efforts to develop inclusive methods of allele-specific *HTT* silencing applicable to most

20 HD patients. Our data providing genetic guidance for therapeutic gene-based targeting

21 will significantly contribute to the developments of rational treatments and

22 implementation of precision medicine in HD.

23

INTRODUCTION

Huntington's disease (HD) [MIM 143100] is a progressive neurodegenerative disorder caused by expansion of a CAG repeat in huntingtin (*HTT*) exon 1 that lengthens a normally polymorphic polyglutamine tract in *HTT* [1] and produces characteristic motor disturbances, along with cognitive and psychiatric manifestations.[2] Both the age at onset and the age at death of HD subjects are inversely correlated with the length of their CAG repeat, while the duration from onset to death, typically 15-20 years is largely independent of the mutation size.[3,4] Currently, there is no treatment to either delay the onset or slow the progression of HD, but the recent discovery of genetic modifiers of age at onset establishes that the rate of HD pathogenesis can be altered before symptoms appear.[5] Genetic analysis of large HD cohorts has demonstrated that HD is inherited as a complete dominant where a single mutant *HTT* allele determines the timing of disease onset, with no discernible impact of either the normal *HTT* allele or, when present, a second mutant *HTT* allele.[4] Consequently, suppression of the expression of mutant *HTT* is an appealing therapeutic strategy which, if achieved in an allele-specific manner,[6] could avoid any potential negative consequences attributable to deficiency of normal huntingtin activity.

HTT allele-specific gene silencing strategies can either directly target the expanded CAG repeat or aim at other genetic variants in the surrounding haplotype.[7-9] While the former is an attractive target that would be applicable in all HD subjects, establishing allele specificity in individuals where the second *HTT* CAG repeat is high in the normal range, and limiting the effect to *HTT* when there are other expressed CAG repeats in the human genome may be technically challenging. However, targeting

1   genetic variants on the mutant *HTT* haplotype can achieve allele-specificity only in

2   those HD individuals who are heterozygous for those variants.[10-12] A multiplicity of

3   *HTT* haplotypes, with normal and expanded repeats, have been observed in HD

4   individuals of European ancestry.[13-17] We have previously delineated the 8 most

5   common *HTT* haplotypes bearing expanded alleles based upon 21 genetic variants[14,18]

6   while others have described 3 major haplogroups,[16] which they recently resolved into

7   subtypes using 63 variants.[11] The two marker sets, which are only partially overlapping,

8   have each been used to define sites that are most frequently heterozygous in HD

9   subjects as potential targets for allele-specific *HTT* silencing.[6,11] In order to facilitate

10 research and development towards this goal, we have compared and integrated the two

11 haplotype systems, better estimated *HTT* haplotype frequencies on normal and disease

12 chromosomes in Europeans, and delineated the *HTT* haplotypes present in publicly

13 available cell line resources available to the HD research community.

14

15 **MATERIALS AND METHODS**

16

17 **Definitions of *HTT* haplotypes**

18 Selection of variants, mainly single-nucleotide polymorphisms (SNPs), and samples

19 initially used to characterize *HTT* haplotypes on HD expanded chromosomes and

20 normal chromosomes were described elsewhere.[14] Briefly, twenty SNPs and one 3 bp

21 insertion-deletion that showed significant association with HD in either 1) comparison

22 of all HD vs. controls, or 2) comparison of those HD individuals lacking the major

23 disease haplotype vs. controls, were used for haplotype phasing.[14] The *HTT* CAG

24 repeat sizes in HD individuals were coded as bi-allelic genotypes (expanded and

normal), each person being a heterozygote. In contrast, each control individual was coded as homozygous normal for the *HTT* CAG repeat. Haplotype phasing of SNP genotypes was performed by the MaCH program,[19] and the ten most frequent haplotypes on each of expanded chromosomes and normal chromosomes were identified. As four haplotypes overlapped between both disease and normal, the union set comprised 16 distinct haplotypes. Definitions of haplotypes described previously (hap.01 ~ hap.07) [14] are same as those in this study. The phylogeny tree of haplotypes was obtained by the MEGA5 program (neighbor-joining method, P-distance model; http://www.megasoftware.net/).

Haplotype-specific SNP sites for mutant allele-selective silencing

Previously, based on cumulative heterozygosity analyses of HD subjects with European ancestry, we revealed 20 SNP sites that can be targeted for mutatn allele-specific *HTT* silencing / lowering. [18] In order to relate alleles of target SNPs to haplotypes, we determined consensus alleles of those 20 SNPs (10 exon SNPs and 10 intron SNPs) for each haplotype. Briefly, for a given haplotype, we extracted chromosomes from 1000 Genomes Project data (phase 1; http://www.internationalgenome.org/data/) to determine consensus alleles by taking the most frequent allele of each of 20 target SNP sites. Some of SNP sites are not variable among 16 haplotypes, and consensus alleles of variable SNPs are indicated in Figure 1B together with 2 exon SNPs used to define haplotypes. In 1000 Genomes data, hap.10 is not present, and therefore excluded in this analysis.

Haplotypes of publicly available cell lines

1   We assembled genotypes of 21 tagging SNPs, either from genome-wide association

2   data[5] or from specific TaqMan assays applied to DNA from blood, lymphoblasts,

3   fibroblasts, induced pluripotent stem cells (iPSC) or derived neural progenitor cells.

4   Those cell line data described in this study represent 59 individuals whose fibroblast

5   cell lines are available in public repositories and 7 human embryonic stem cell (hESC)

6   lines from Genea Biocells Inc. (http://geneabiocells.com/). *HTT* CAG repeat length was

7   also determined as described previously.[20] Cell line genotype data and the *HTT* CAG

8   repeat genotype coded as a bi-allelic system (expanded or normal) were combined for

9   haplotype phasing in order to identify haplotype carrying expanded CAG or normal

10   repeat. Genotype data for HD and control subjects that were used to define haplotypes[14]

11   were also included to increase the accuracy of computational population phasing by the

12   MaCH program.[19] Familial relationships (S. Table 1) were further considered when the

13   relationships between CAG repeats and haplotypes were ambiguous to determine the

14   phase of CAG repeats and haplotypes (e.g., control subjects).

15

16   **Frequencies of haplotypes and haplogroups in control samples**

17   Fully   phased   1000   Genomes   Project   data   (Phase   1;

18   http://www.internationalgenome.org/) were used to estimate population frequencies of

19   *HTT* haplotypes defined in this study and haplogroups described by Kay et. al.[11] Each

20   chromosome was classified into haplotypes based on 21 SNPs, and further summarized

21   for each population group (i.e., Europeans, Asians, Africans, and Ad Mixed

22   Americans). The haplogroup of each chromosome was determined similarly based on

23   63 SNPs, permitting direct delineation of correspondence between haplotype systems

24   on normal chromosomes.

1

Genotype imputation of HD samples

2

3   Genotypes on chromosome 4 were imputed for HD samples with European ancestry

4   used in a recent onset-modifier genome-wide association (GWA) study (4082

5   Europeans) [5] and control samples (1676 Europeans) [21] using the Michigan Imputation

6   Server.[22] Pre-phasing was performed by Eagle2 [23] and imputation was performed by

7   Minimac3 using 1000 Genomes Phase 1 as a reference panel (all populations).[24] A set

8   of SNPs used for haplotype and/or haplogroup analysis were then extracted from

9   imputed data to determine relationships between haplotypes and haplogroups.

10

Determination of the relationship between haplotypes and haplogroups

11

12   Twenty-one genetic variations from our study[14] and 63 tagging SNPs from Kay and

13   colleagues[11] were used to classify haplotypes of samples used in the HD modifier

14   GWA study.[5] There were 10 shared SNPs between the two haplotype systems

15   (rs2798296, GRCh37 chr4:g.3062165A>G; rs3856973, GRCh37 chr4:g.3080173G>A;

16   rs2285086, GRCh37 chr4:g.3089259A>G; rs10015979, GRCh37 chr4:g.3109442A>G;

17   rs11731237, GRCh37 chr4:g.3151813C>T; rs363096, GRCh37 chr4:g.3180021T>C;

18   rs2298969, GRCh37 chr4:g.3186244A>G; rs363092, GRCh37 chr4:g.3196029A>C;

19   rs916171, GRCh37 chr4:g.3216815C>G; and rs362272, GRCh37 chr4:g.3234980G>A)

20   so genotypes for a total of 74 variants were extracted from the imputed data. Then the

21   recoded bi-allelic *HTT* CAG repeat length genotype (expanded or normal) was added to

22   the imputed genotype data, and haplotype phasing was performed for the 75 variant

23   sites in the HD samples (4082 Europeans),[5] control samples (1676 Europeans),[21] and

24   1000 Genomes Phase 1 samples (379 Europeans, 181 Ad Mixed Americans, 246

1 Africans, 286 Asians)[24] by the Beagle program.[25] Subsequently, the CAG-expanded

2 and normal chromosomes from each HD heterozygous subject (4078 Europeans) were

3 named based on 1) our haplotype definitions, and 2) haplotype definitions used by Kay

4 and colleagues[11] in order to delineate the relationships between the two haplotype

5 systems.

6

7 **Description of SNPs, Website, and public access**

8 Detailed description of SNPs used in this study can be found in S. Table 2. In addition,

9 description of SNPs, definition of haplotypes, and genotype data are available at

10 chgr.partners.org/htt.haplotype.html. The genotype data set is also avalable at the

11 European Variation Archive (http://www.ebi.ac.uk/eva/) (accession numer:

12 PRJEB20817).

13

14

1 **RESULTS**

2

3 **Common SNP-based haplotypes**

4 We previously defined the 8 most frequent haplotypes (hap.01 to hap.08) on HD

5 disease-causing chromosomes using 21 common genetic variants, including 20 SNPs

6 and one 3 bp indel, genotyped in 699 unrelated HD subjects and 1,676 population

7 controls of European ancestry.[14,18] Approximate locations and alleles of DNA

8 variations that were used for haplotype analysis are summarized in Figure 1A. Here, we

9 extend the definitions in that dataset to the most frequent 10 HD and the 10 most

10 frequent normal European *HTT* haplotypes. Four haplotypes were shared between the

11 two groups, so the union created a single set of 16 different haplotypes. These were

12 named based first upon decreasing frequency on CAG-expanded disease chromosomes

13 in this initial HD dataset (hap.01 through hap.10) and then, after excluding the four

14 shared haplotypes (hap.08, hap.02, hap.03 and hap.01, in order of normal frequency),

15 based upon decreasing frequency on normal chromosomes (hap.11 through hap.16); all

16 other rare haplotypes were grouped as "hap.other". A comparison of the potential

17 relationships between these 16 haplotypes was achieved by phylogeny analysis using a

18 neighbor-joining algorithm. The result is a dendrogram with two main branches

19 containing different-sized sub-clusters (Figure 1A). For example, hap.01, the most

20 common haplotype on the HD disease chromosomes forms a cluster with hap.05 and

21 hap.10, whereas hap.08, the most common haplotype on normal chromosomes is a part

22 of a cluster of haplotypes involving hap.04, hap.16, and hap.14. Divergence of related

23 haplotypes could potentially be explained by a single marker allele change in some

24 cases (e.g., hap.01, hap.05, and hap.10; hap.11 and hap.12; hap.02 and hap.07; hap.04

1 and hap.16), by insertion/deletion of a simple repeat (e.g., hap.01 and hap.12), and by

2 combinations of various genetic events including local recombination or gene

3 conversion. The two main branches of the dendrogram suggest at least two different

4 ancestral origins of *de novo* CAG expansion mutation. However, it is likely that the

5 haplotype diversity within the subclusters reflects the occurrence of many more *de*

6 *novo* expansions rather than being the result of haplotype decay since we have

7 previously demonstrated *de novo* CAG expansion on both hap.01 and hap.05.[18]

8

9 Haplotype-specific target SNP sites for allele-specific silencing

10 Initial selection of SNPs for haplotyping was based on comparisons between HD

11 subjects and normal control individuals, and therefore did not represent the

12 combination of disease chromosomes and normal chromosomes in HD subjects.[14]

13 Subsequently, we performed iterative heterozygosity analyses aiming at revealing a

14 minimal number of SNPs covering the maximum proportion of HD patients in allele-

15 specific gene targeting therapies.[18] Cumulatively, 10 exon SNPs and 10 intron SNPs

16 covered 93.8% and 97.% of HD subjects, respectively, indicating that the vast majority

17 of HD subjects with Enropean ancestry carry at least one heterozygous SNP site among

18 20 nominated targetable locations.[18] However, the heterozygosity analysis did not

19 immediately show mutant alleles to target. Here, we determined consensus alleles of 20

20 targetable SNP sites on each of haplotypes based on 1000 Genomes Project data, and

21 mapped variable alleles on eah haplotype. As summarized in Figure 1B, target SNP

22 sites for each diplotype can be selected immediately by comparing two haplotypes

23 (assuming one is mutant chromosome and the other is normal chromosome). For

24 example, if a HD individual carries mutant hap.01 and normal hap.08 chromosomes,

1   there are 12 SNP sites that can be used to distinguish mutant allele from normal allele

2   (Figure 1B).

3

4   **Haplotypes of publicly available cell line resources**

5   Results of mutant allele-specific gene silencing studies have produced promising

6   results in animal models,[10] encouraging the application of this approach to human HD.

7   In this context, cell lines derived from HD subjects provide valuable tools to test the

8   specificity and efficacy of allele-specific silencing reagents in pre-clinical experiments.

9   Thus, we performed haplotype analysis using our haplotype system for HD cell lines

10   readily available from various public repositories. Table 1 gives the *HTT* haplotypes for

11   59 fibroblast lines available from the NIGMS Repository at the Coriell Institute

12   (https://catalog.coriell.org/1/NIGMS) or the NINDS Human Cell and Data Repository

13   at RUCDR Infinite Biologics (https://nindsgenetics.org/). These include 43 lines

14   representing individuals (from 26 families) with an expanded *HTT* repeat, whose allele

15   lengths range from 38 to 180 CAGs. The remaining 16 lines from 10 families represent

16   control individuals with CAG repeat lengths 33 or shorter. Where possible the phase of

17   the CAG repeat with respect to the *HTT* haplotype was confirmed from family

18   relationships (S. Table 1). In the remaining instances (unrelated subjects; noted by * on

19   the sample ID in Table 1), the phase of the expanded repeat was assigned

20   probabilistically using MaCH program (see methods) or the phase of distinguishable

21   normal alleles was assigned arbitrarily for control individuals. As expected from HD

22   population data, the most frequent haplotype on the disease and normal chromosomes

23   in these families are hap.01 and hap.08, respectively, and this most common HD

24   diplotype, hap.01/hap.08, is present in multiple lines from independent families.

1   However, many other HD haplotypes and diplotypes are also represented. Only 5 of the

2   HD individuals are homozygous for the same haplotype, and 4 of these, two of which

3   are also homozygous for an expanded CAG repeat, derive from the large Venezuela

4   HD kindreds in which the disease segregates with hap.03 haplotype.

5   Induced pluripotent stem cell (iPSC) lines are already available to the research

6   community from the above repositories or from the Cedars-Sinai iPSC Core

7   (https://www.cedars-sinai.edu/Research/Research-Cores/Induced-Pluripotent-Stem-

8   Cell-Core-/) for 11 of the subjects with expanded repeat fibroblast lines and 5 of the

9   normals, as noted in Table 1. In addition, we have performed haplotyping for 7 human

10   embryonic stem cell (hESC) lines, with expanded CAG alleles ranging from 40 to 48

11   repeats, available from Genea Biocells, as shown in Table 2. The HD mutation in these

12   lines resides either on hap.01 (4 independent lines) or hap.02 (3 lines from the same

13   family).

14

15   **Haplogroup definition of HD chromosomes**

16   A different set of genetic markers (S. Table 2) has been used by others to define

17   haplogroups A, B and C, each of which represents a cluster of similar haplotypes.[13,16,17]

18   Recently, Kay *et al*. performed a more detailed analysis of the haplogroup system in

19   738 European reference haplotypes from the 1000 Genomes Project and 2,364

20   haplotypes from HD patients and relatives in Canada and Europe to define individual

21   subtypes within each haplogroup based upon 63 genetic variants across *HTT*.[11] Across

22   the Canadian and European HD subjects, selected subtypes from the A haplogroup

23   accounted for 86% of all CAG-expanded chromosomes, but the remaining HD

1 chromosomes fell into haplogroup B or C subtypes or rarely, into none of the three

2 major haplogroups ("Other").

3

4 **Comparison and integration of the two *HTT* haplotype systems**

5 Between the 21 markers used in our haplotype system and the 63 markers used in the

6 recent subdividing of A, B, and C haplogroups, only 10 markers are overlapping (S.

7 Table 2). In order to maximize the utility of both haplotype systems, we have directly

8 compared them by examining the fully phased 1000 Genomes Project haplotype data

9 (Phase 1, Release v3; http://www.internationalgenome.org/). To extend the analysis

10 across all available populations rather than only Europeans, we analyzed a total of

11 1,092 control individuals (2,184 normal chromosomes) consisting of Africans (ASW,

12 LWK and YRI), Ad Mixed Americans (CLM, MXL, and PUR), East Asians (CHB,

13 CHS, and JPT) and Europeans (CEU, FIN, GBR, IBS, and TSI). Each 1000 Genomes

14 chromosome was independently classified into our haplotypes using 21 variants sites

15 and haplogroup subtypes using 63 variant sites. The hap.01-hap.16 designations

16 encompassed almost 76% of European chromosomes and more than 60% of Ad Mixed

17 American and Asian chromosomes, but only 23% of African chromosomes, which

18 display far greater genetic complexity (S. Table 3). A similar pattern was evident using

19 haplogroup subtypes which accounted for almost 67% of European chromosomes,

20 about half of Ad Mixed American and Asian chromosomes and only about 7% of

21 African chromosomes (S. Table 3). Subsequently, we delineated the relationships

22 between the two haplotype systems by calculating the percentage of chromosomes with

23 each haplotype defined in our system that distributed to each haplotype defined in the

24 haplogroup system (S. Table 4; Figure 2) and, vice versa (S. Table 5). For example,

1 93.6% and 100% of chromosomes defined as bearing the related hap.01 or hap.05

2 haplotypes are classified as haplogroup subtype A1a (S. Table 4; Figure 2). Among

3 only Europeans, the same correspondence is 100% for both haplotypes. The third

4 related member of this haplotype subcluster from Figure 1, hap.10, was originally

5 defined from HD chromosomes but was not seen on any 1000 Genomes Project

6 chromosomes and so is not reflected in the Tables. The haplotype most common on

7 European normal chromosomes, hap.08, corresponds 95.1% of the time with the C1

8 subtype designation in the haplogroup system (S. Table 4). However for some other

9 haplotypes, the correspondence is not so direct, as some hap.02 chromosomes (25.6%)

10 are classified as haplogroup subtype A2a while others (61.0%) are classified as A2b.

11 Similarly, hap.06 also divides between these two related A2 subtypes, but is primarily

12 assigned to the "Other" class, not being classified as haplogroup A, B or C.

13 Interestingly, haplotypes hap.04, hap.07 and hap.09, which were named by decreasing

14 order of their frequency on HD disease chromosomes in our original study all

15 correspond to the "Other" class of haplogroups except 12.5% of the hap.04 group,

16 which are designated as C4b.

17 Considering the reverse comparison of chromosomes named by the haplogroup

18 system to our haplotypes (S. Table 5), the correspondence is similar to the above, with

19 the A1, A2 and A3 designations, which are the most common on European HD

20 chromosomes, corresponding largely to hap.01+hap.05, hap.02+hap.06 and hap.03,

21 respectively, encompassing most of the haplotypes seen frequently on European HD

22 chromosomes. Those haplogroup subtypes rarely seen on HD chromosomes, such as

23 A4a, A4b, A5a, A5b, B1a, C2, C4 and C6 correspond largely with haplotypes seen on

24 the normal chromosomes in our HD dataset (hap.12, hap.11, hap.12, hap.15, hap.13,

1   hap.14, hap.16, and hap.14, respectively), or in the cases of B1b, B2, C3, C5, C7 and

2   C8, among the mixed hap.other group of less frequent normal haplotypes.

3   Overall, these comparisons indicate that the haplotypes most frequently

4   associated with HD disease chromosomes (i.e., hap.01, hap.02, and hap.03) correspond

5   in general with haplogroup subtypes A1, A2, and A3. However, there is the potential

6   for additional resolution in both systems, as illustrated by the fact that the subtypes of

7   A2 (A2a and A2b) subdivide the hap.02 chromosomes, but each subtype (A2a and

8   A2b) is also classified into either hap.02 or hap.06. Similarly, the lack of strong

9   correspondence with haplogroup subtypes of some of the rarer haplotypes identified on

10 HD chromosomes in our studies suggests yet greater diversity among disease

11 chromosomes, and predicts that additional genetic variants can further subdivide the

12 defined haplotypes and haplogroups, particularly in non-European populations.

13

14 ***HTT* haplotype frequencies on CAG-expanded and normal chromosomes**

15 The comparisons in S. Tables 4 and 5 relied on fully phased control chromosomes to

16 define haplotype/haplogroup relationships in samples with various ancestries. To

17 estimate the frequency of these groupings on HD chromosomes of European ancestry,

18 we examined the imputed genotypes of 4,078 heterozygous HD subjects recently

19 studied in a GWA study of HD modifiers.[5] We extracted a unionset of 74 SNPs

20 (representing 21 SNPs used to define our *HTT* haplotypes and the 53 non-overlapping

21 variant sites used by Kay et. al.), and performed probabilistic phasing of the marker

22 alleles using the Beagle program.[25] Each of 74-SNP haplotypes (either expanded CAG

23 or normal CAG chromosome) was assigned to both a haplotype and a haplogroup

24 subtype, generating a data set that permitted assessment of the frequency of each

1   haplotype/haplogroup subtype combination. When focusing on our haplotypes defined

2   in this study (Figure 1), frequencies of haplotypes of the expanded and normal

3   chromosomes based on a large collection of HD subjects with European ancestry

4   revealed that HD expansion mutation sits on diverse haplotypes that are also present in

5   normal chromosomes (Figure 3). In addition, comparisons of haplotype frequencies

6   revealed overrepresented and underrepresented haplotypes in HD. For example, hap.01

7   and hap.08 are enriched in disease and normal chromosomes, respectively (Figure 3).

8   Frequency data predicted that the most common diplotype in heterozygous HD subjects

9   would be expanded CAG repeat on hap.01 and normal CAG repeat on hap.08. When

10   comparing our haplotypes to haplogroup, overall, 78% and 71% of European HD and

11   normal chromosomes, respectively, were assignable to discrete 'super'-haplotype

12   backbones that combined discrete haplotypes and haplogroup subtypes, excluding the

13   uncertain hap.other and haplogroup 'Other" catch-all categories (Table 3). As expected,

14   the most frequent HD chromosome backbone was hap.01/A1a and comprised over 38%

15   of European HD chromosomes from the GWA study. Similarly, the most frequent

16   control backbone hap.08/C1 accounted for about 25% of normal chromosomes.

17   Examination of diplotypes of the 4,078 European HD individuals revealed that 56%

18   possessed HD and normal chromosomes that could both be assigned to a fully-defined

19   haplotype/haplogroup backbone, without the uncertainty of the hap.other and

20   haplogroup 'Other' categories (Table 4). Notably, less than 5% of these HD subjects

21   had fully-defined chromosomal backbones that were identical on disease and normal

22   chromosomes, being homozygous for all tagging markers. If all 4,078 heterozygous

23   HD subjects were analyzed, 4.9% of them carry identical alleles for 74 SNPs,

24   suggesting that the majority of HD subjects of European descents are eligible for allele-

1   specific gene targeting strategies. Our previous full sequence analysis of HD hap.01

2   chromosomes suggests that many of the individuals with the same haplotype backbone

3   on the normal and disease chromosomes could harbour heterozygous variants not

4   considered in the current haplotypes/haplogroups,[18] further implying an additional

5   likelihood of allele discrimination.

6

7   **DISCUSSION**

8   Huntingtin (*HTT*) shows evolutionarily conserved structural characteristics, and

9   deficiency or hypomorphism of huntingtin are associated with pleiotropic effects

10   involving a number of critical biological processes,[26] suggesting that *HTT* silencing

11   approaches to treat HD may need to be specific to the mutant allele. Allele-specific

12   silencing of *HTT* can be achieved either by directly targeting the CAG repeats or,

13   alternatively, by targeting polymorphisms in linkage disequilibrium (LD) with the

14   CAG expansion.[8,10] Because the HD mutation can occur across a wide range of

15   pathogenic sizes, and CAG repeats are found in many other genes, directly targeting the

16   CAG expansion could result in variable levels of allele selectivity and off-target effects.

17   Previous studies have demonstrated the feasibility of silencing the expression of the

18   expanded allele by targeting a variation on the expanded chromosome.[10,27-29] Recently,

19   SNP heterozygosity analysis has revealed that the disease chromosome can be

20   distinguished from the normal chromosome in most HD subjects of European

21   ancestry.[11,16,18,28,30] Theraeputic strategy leveraging a SNP-targeting approach is

22   therefore possible (Figure 1B), but would require knowledge about presence of target

23   SNP site, haplotype phasing, and preferably additional exon SNP sites for outcome

24   measurements (i.e., levels of mutant *HTT*) for a given HD individual. Still, analytical

pipelines to identify variant alleles on the CAG-expanded chromosome of an HD

individual are yet to be developed because simple genotyping assays do not

differentiate allelic phase unless family members are also analyzed. This limitation can

be overcome by computational haplotype phasing approaches, because haplotype

phasing with a large collection of HD data allows relatively accurate inference of the

disease and normal chromosome. Results described here on haplotype phasing of large

populus of HD individuals, can help populate attributes on HD patient database, and

inform where patient groups enriched for targeting SNP can be sought. Subsequent

sequence analysis of representative common HD haplotypes and pair-wise comparisons

then provide a comprehensive list of targetable sites for each diplotype. In addition,

development of allele-specific *HTT* quantification assays to assess the efficacy and

allele specificity of silencing reagents require knowledge of variations and their

relationships to the expanded chromosomes. Therefore, haplotypes of expanded

chromosomes, individual-level diplotype data, and our analytical pipelines provide

guidance for identifying targets for mutant allele-specific *HTT* lowering strategies and a

route to developing allele-specific readouts to assess specificity of silencing reagents.

In addition, genome-wide genotyping assays for HD subjects in a large observational

study is on going (i.e., ENROLL-HD), and our pipelines can efficiently identify each

individual's expanded and normal chromosomes. Such individual level diplotype data

will be critically important in stratifying subjects to identify optimal study populatons

in clinical trials.

In summary, we performed individual level haplotype analyses on a large

cohort of HD subjects to evaluate the power of haplotype-based genetics in stratifying

HD subjects. Our haplotypes based on a relatively small number of SNPs were able to

1   distinguish mutant chromosomes from their normal counterparts, and confirmed that

2   the majority of HD subjects carry two different haplotypes, further supporting the

3   conclusion from population-based SNP analysis that most HD individuals could be

4   eligible for allele-specific gene silencing[18] and demonstrating the efficiency of

5   haplotype-based approaches. By providing the HD haplotypes of commonly-used

6   publicly available cell lines and a haplotype conversion tables for the comparable

7   haplogroup classification strategy, we hope to promote and facilitate the use of these

8   resources to accelerate pre-clinical allele-specific gene silencing studies and a true

9   precision medicine approach to HD.

10

1 **CONFLICT OF INTEREST**

2 The authors declare no conflict of interest.

3

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12

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1   **Figure Legends**

2

3   **Figure 1. Definitions and sequence relationships of *HTT* haplotypes.**

4   (A) Twenty-one SNPs, one 3bp indel (rs149109767, alleles R-reference & D-deletion)

5   and the CAG repeat polymorphism are shown at their genomic locations relative to that

6   of the *HTT* RefSeq transcript (NM_002111). Genotype at each marker on each of 16

7   *HTT* haplotypes, defined in the text, is shown above the marker. Haplotypes are

8   ordered based upon a neighbor-joining method (p-distance model) in a dendrogram

9   with two main branches, each with different sizes of sub-clusters. Alleles in red

10   represent differences from hap.01, the most frequent haplotype on CAG-expanded HD

11   chromosomes.

12   (B) Consensus alleles of 10 exon SNPs and 10 intron SNPs that showed the biggest

13   cumulative heterozygosity were determined for each haplotype based on 1000

14   Genomes Project data. A consensus allele for a given SNP site represents the most

15   frequent allele among a collection of chromosomes with same haplotpye. Since hap.10

16   is not present in 1000 Genomes data (Phase 1), hap.10 was excluded in this analysis.

17   Subsequently, alleles of SNPs that show variable alleles in 15 haplotypes and alleles of

18   two exon SNPs that were used to define the haplotypes are indicated. SNPs in orange

19   and black font colors represent SNPs on exons and introns of RefSeq NM_002111,

20   respectively.

21

22   **Figure 2. Correspondences of haplotypes and haplogroups.**

23   Based on S. Table 4, correspondences of haplotypes to haplogroups were summarized.

24   "hap.other" and "Other" were excluded to focus on distinct haplotypes. Thickness of an

1   arrow represents relative proportion of a specific haplotype-haplogroup correspondence

2   for a given haplotype. For example, most of hap.02 is classified as haplogroup A2b,

3   and a small portion of hap.02 is classified as haplogroup A2a. Actual haplotype-

4   haplogroup correspondence data can be found in S. Table 4.

5

6   **FIgure 3. Frequencies of haplotypes in HD disease and normal chromosomes.**

7   HD subjects carrying one expanded and one normal chromosome were included in this

8   analysis to estimate overall frequencies of haplotypes. From haplotypes

9   probabilisitcally determined based on union set of 74 SNPs, we used our haplotype

10   definitions to calssify each chromosome. Subsequently, frequencies of our haplotypes

11   in HD disease chromosomes (A) and normal chromosomes in HD subjects (B) were

12   calcuated and summarized.

13

14

15

1   Table 1. *HTT* haplotypes of publicly available cell resouces.

Sample ID	Gender	Chromosome 1		Chromosome 2	
		CAG	Haplotype	CAG	Haplotype
GM02077	Female	44	hap.01	17	hap.03
GM02079	Female	44	hap.01	21	hap.02
GM02147	Male	43	hap.06	15	hap.08
GM02149	Female	18	hap.08	18	hap.13
GM02151	Female	45	hap.06	18	hap.08
GM06274	Female	43	hap.06	16	hap.11
GM02153	Female	32	hap.other	16	hap.14
GM02155	Female	17	hap.12	16	hap.14
GM02157	Female	17	hap.12	16	hap.14
GM02159	Female	32	hap.other	17	hap.12
GM02161	Male	17	hap.12	16	hap.14
GM02163	Male	47	hap.other	32	hap.other
GM02165	Male	44	hap.01	33	hap.other
GM02177	Male	44	hap.01	18	hap.08
GM02183	Female	33	hap.other	18	hap.08
GM03621	Female	60	hap.01	18	hap.other
GM02171	Female	17	hap.14	17	hap.08
GM02173	Female	44	hap.01	17	hap.08
GM02175	Male	20	hap.other	17	hap.14
GM00305*	Female	43	hap.other	17	hap.12
GM01061*	Male	44	hap.01	18	hap.other

GM01085*	Male	44	hap.other	21	hap.other
GM03814*	Female	28	hap.01	22	hap.02
GM03864	Female	45	hap.01	15	hap.15
GM03866	Female	43	hap.01	21	hap.02
GM03868	Female	47	hap.01	17	hap.11
GM03872	Male	21	hap.02	15	hap.15
GM04188	Female	16	hap.14	15	hap.08
GM04200	Male	45	hap.07	16	hap.14
GM04281	Female	78	hap.03	17	hap.11
GM04689	Female	45	hap.03	16	hap.other
GM04717	Female	42	hap.03	29	hap.02
GM04773	Female	38	hap.03	15	hap.08
GM04777	Male	44	hap.03	18	hap.03
GM04805	Female	29	hap.other	15	hap.08
GM04807	Male	50	hap.03	38	hap.03
GM04887	Female	45	hap.03	21	hap.02
GM04287	Male	51	hap.03	17	hap.03
GM04687	Female	50	hap.03	15	hap.15
GM04723	Female	69	hap.03	15	hap.08
GM04729*	Female	17	hap.08	17	hap.11
GM04797	Female	17	hap.08	15	hap.15
GM04849	Female	51	hap.03	45	hap.03
GM08330*	Male	17	hap.08	17	hap.other
GM21756*	Female	69	hap.03	15	hap.08

GM21757*	Male	63	hap.03	16	hap.12
GM01168*	Male	48	hap.other	25	hap.11
GM01169*	Male	44	hap.01	17	hap.08
GM01187*	Male	46	hap.01	18	hap.12
GM05539*	Male	96	hap.03	22	hap.02
ND31551*	Male	39	hap.08	18	hap.13
ND33947*	Female	40	hap.01	18	hap.08
ND30013*	Male	43	hap.09	17	hap.other
ND30259*	Female	38	hap.06	21	hap.other
ND30626	Male	41	hap.02	17	hap.11
ND31038	Female	44	hap.02	19	hap.02
ND29970*	Male	40	hap.04	17	hap.other
ND33392*	Female	56	hap.07	17	hap.08
GM09197*	Male	180	hap.01	18	hap.08

1

2 Computational haplotype phasing analysis was performed using 21 SNPs and biallele

3 coding genotype of *HTT* CAG repeats as described in the method section.

4 Subsequently, phased alleles of CAG repeat genotype and family relationships (refer to

5 S. Table 1) were considered to determine and confirm the phase of CAG repeat size

6 and *HTT* haplotype. In some cases, genotypes and haplotypes of relatives were not

7 available, or family relationshp was not informative in determining the phase. In such

8 cases, only probalistic population phasing results are shown (samples marked by *).

9 * population probabilistic phasing for HD; arbitrary phasing for controls.

10

Table 2. Sample information and phased haplotypes of hESC from of Genea

Biocells.

Sample ID	Gender	Sibship	Disease Chromosome		Normal Chromosome	
			CAG	haplotype	CAG	haplotype
GENEA017	Male		40	hap.01	12	hap.other
GENEA018	Female		46	hap.01	17	hap.other
GENEA020	Female		48	hap.01	17	hap.03
GENEA046	Female		45	hap.01	23	hap.02
GENEA089	Female	Sib to 090 & 091	42	hap.02	18	hap.08
GENEA090	Female	Sib to 089 & 091	46	hap.02	19	hap.02
GENEA091	Female	Sib to 089 & 090	41	hap.02	19	hap.02

3

4 Computational haplotype phasing analysis was performed to determine haplotypes and

5 corresponding CAG repeat sizes of embronic stem cell lines from Genea Biocells. Each

6 phased disease (CAG-expanded) or normal chromosome consists of two components:

7 CAG and *HTT*. This collection include related individuals (siblings) as shown in the

8 Sibship column.

9

10

11

12

Table 3. Frequency of combined haplotype/haplogroup system backbones on

CAG- expanded and normal chromosomes in European HD subjects.

'Super'-haplotype of CAG-expanded chromosomes

Haplotype	Haplogroup	# HD disease chromosomes	Percent
hap.01	A1a	1556	38.16%
hap.02	A2b	553	13.56%
hap.03	A3a	323	7.92%
hap.02	A2a	291	7.14%
hap.05	A1a	164	4.02%
hap.08	C1	136	3.33%
hap.06	A2b	107	2.62%
hap.06	A2a	60	1.47%
hap.11	A4b	3	0.07%
hap.12	A5a	3	0.07%
hap.15	A5b	2	0.05%
hap.12	A1a	1	0.02%
Sum			**78.45%**

Haplotype "hap.other" or haplogroup "Other" categories of CAG-expanded chromosomes

Haplotype	Haplogroup	# HD disease chromosomes	Percent

hap.other	Other	380	9.32%
hap.04	Other	134	3.29%
hap.07	Other	134	3.29%
hap.12	Other	51	1.25%
hap.02	Other	33	0.81%
hap.06	Other	30	0.74%
hap.other	B2	22	0.54%
hap.09	Other	18	0.44%
hap.11	Other	17	0.42%
hap.14	Other	14	0.34%
hap.01	Other	13	0.32%
hap.16	Other	10	0.25%
hap.other	C5	7	0.17%
hap.05	Other	4	0.10%
hap.03	Other	3	0.07%
hap.other	B1b	3	0.07%
hap.other	A2b	2	0.05%
hap.other	A5a	2	0.05%
hap.08	Other	1	0.02%
hap.other	A1a	1	0.02%
Sum			**21.55%**

'Super'-haplotype of normal chromosomes

Haplotype	Haplogroup	# Normal chromosomes	Percent

hap.08	C1	1034	25.36%
hap.03	A3a	479	11.75%
hap.02	A2b	242	5.93%
hap.11	A4b	197	4.83%
hap.02	A2a	153	3.75%
hap.13	B1a	141	3.46%
hap.01	A1a	82	2.01%
hap.12	A5a	81	1.99%
hap.12	A4a	76	1.86%
hap.15	A5b	71	1.74%
hap.06	A2a	63	1.54%
hap.14	C6	61	1.50%
hap.06	A2b	53	1.30%
hap.16	C4b	51	1.25%
hap.16	C4a	47	1.15%
hap.05	A1a	42	1.03%
hap.14	C2	26	0.64%
hap.10	A1a	1	0.02%
hap.12	A1a	1	0.02%
Sum			**71.14%**

Haplotype "hap.other" or haplogroup "Other" categories of normal

chromosomes

Haplotype	Haplogroup	# Normal chromosomes	Percent

hap.other	Other	559	13.71%
hap.12	Other	187	4.59%
hap.14	Other	96	2.35%
hap.other	B1b	73	1.79%
hap.07	Other	54	1.32%
hap.11	Other	47	1.15%
hap.other	C8	36	0.88%
hap.03	Other	21	0.51%
hap.06	Other	16	0.39%
hap.other	C5	14	0.34%
hap.16	Other	11	0.27%
hap.04	Other	10	0.25%
hap.other	C7	10	0.25%
hap.02	Other	9	0.22%
hap.01	Other	8	0.20%
hap.other	C1	6	0.15%
hap.08	Other	4	0.10%
hap.13	Other	3	0.07%
hap.other	B1a	3	0.07%
hap.15	Other	2	0.05%
hap.other	A5a	2	0.05%
hap.other	B2	2	0.05%
hap.other	A2a	1	0.02%
hap.other	A4b	1	0.02%

hap.other	A5b	1	0.02%
Sum			**28.84%**

1

2 Phased haplotypes of subjects (4078 heterozygous HD) were grouped into HD disease

3 chromosomes and normal chromosomes. Subsequently, the frequency of each

4 combined haplotype/haplogroup (i.e., 'super'-haplotype) was calculated for HD disease

5 and normal chromosomes. Frequency and corresponding percentage value of each

6 'super'-haplotype were based on 1) haplotypes not involving "hap.other" or "Other" and

7 2) haplotypes involving "hap.other" or "Other".

8

Table 4. Fully-defined diplotypes in HD subjects with European ancestry.

HD		Normal		# Subjects	% of 4078 subjects
hap.01	A1a	hap.08	C1	350	8.58%
hap.01	A1a	hap.03	A3a	179	4.39%
hap.02	A2b	hap.08	C1	133	3.26%
hap.01	A1a	hap.02	A2b	121	2.97%
hap.03	A3a	hap.08	C1	114	2.80%
hap.02	A2b	hap.03	A3a	79	1.94%
hap.02	A2a	hap.08	C1	75	1.84%
hap.01	A1a	hap.11	A4b	70	1.72%
hap.01	*A1a*	*hap.01*	*A1a*	*69*	*1.69%*
hap.01	A1a	hap.02	A2a	69	1.69%
hap.01	A1a	hap.13	B1a	49	1.20%
hap.08	*C1*	*hap.08*	*C1*	*47*	*1.15%*
hap.02	A2a	hap.03	A3a	40	0.98%
hap.03	*A3a*	*hap.03*	*A3a*	*40*	*0.98%*
hap.05	A1a	hap.08	C1	38	0.93%
hap.01	A1a	hap.05	A1a	34	0.83%
hap.06	A2b	hap.08	C1	34	0.83%
hap.01	A1a	hap.12	A4a	33	0.81%
hap.02	*A2b*	*hap.02*	*A2b*	*29*	*0.71%*
hap.01	A1a	hap.15	A5b	28	0.69%
hap.02	A2b	hap.11	A4b	28	0.69%

hap.01	A1a	hap.12	A5a	27	0.66%
hap.01	A1a	hap.06	A2b	24	0.59%
hap.02	A2b	hap.02	A2a	24	0.59%
hap.01	A1a	hap.06	A2a	23	0.56%
hap.01	A1a	hap.14	C6	23	0.56%
hap.02	A2b	hap.13	B1a	19	0.47%
hap.03	A3a	hap.11	A4b	18	0.44%
hap.03	A3a	hap.13	B1a	17	0.42%
hap.06	A2b	hap.03	A3a	17	0.42%
hap.01	A1a	hap.16	C4a	16	0.39%
hap.05	A1a	hap.03	A3a	16	0.39%
hap.06	A2a	hap.03	A3a	16	0.39%
hap.01	A1a	hap.16	C4b	15	0.37%
hap.02	A2a	hap.02	A2b	15	0.37%
hap.02	A2b	hap.16	C4a	14	0.34%
hap.02	A2b	hap.14	C6	13	0.32%
hap.06	A2a	hap.08	C1	13	0.32%
hap.02	A2a	hap.11	A4b	12	0.29%
hap.02	A2b	hap.12	A5a	12	0.29%
hap.03	A3a	hap.12	A4a	11	0.27%
hap.05	A1a	hap.02	A2a	11	0.27%
hap.02	*A2a*	*hap.02*	*A2a*	*10*	*0.25%*
hap.02	A2b	hap.06	A2a	10	0.25%
hap.02	A2b	hap.06	A2b	10	0.25%

hap.05	A1a	hap.02	A2b	10	0.25%
hap.05	A1a	hap.11	A4b	10	0.25%
hap.06	A2b	hap.02	A2b	10	0.25%
hap.02	A2b	hap.12	A4a	9	0.22%
hap.08	C1	hap.11	A4b	9	0.22%
hap.08	C1	hap.13	B1a	9	0.22%
hap.02	A2b	hap.15	A5b	8	0.20%
hap.03	A3a	hap.16	C4b	8	0.20%
hap.02	A2a	hap.06	A2a	7	0.17%
hap.02	A2a	hap.13	B1a	7	0.17%
hap.03	A3a	hap.12	A5a	7	0.17%
hap.05	A1a	hap.15	A5b	7	0.17%
hap.08	C1	hap.12	A5a	7	0.17%
hap.05	A1a	hap.12	A4a	6	0.15%
hap.05	A1a	hap.13	B1a	6	0.15%
hap.06	A2b	hap.02	A2a	6	0.15%
hap.01	A1a	hap.14	C2	5	0.12%
hap.02	A2a	hap.16	C4a	5	0.12%
hap.05	A1a	hap.12	A5a	5	0.12%
hap.08	C1	hap.16	C4b	5	0.12%
hap.02	A2a	hap.12	A4a	4	0.10%
hap.02	A2a	hap.15	A5b	4	0.10%
hap.02	A2b	hap.16	C4b	4	0.10%
hap.03	A3a	hap.14	C6	4	0.10%

hap.03	A3a	hap.15	A5b	4	0.10%
hap.06	A2a	hap.11	A4b	4	0.10%
hap.08	C1	hap.15	A5b	4	0.10%
hap.02	A2a	hap.14	C2	3	0.07%
hap.02	A2a	hap.16	C4b	3	0.07%
hap.02	A2b	hap.05	A1a	3	0.07%
hap.03	A3a	hap.06	A2a	3	0.07%
hap.03	A3a	hap.14	C2	3	0.07%
hap.05	A1a	hap.14	C6	3	0.07%
hap.05	A1a	hap.16	C4b	3	0.07%
hap.06	A2a	hap.02	A2b	3	0.07%
hap.06	A2b	hap.11	A4b	3	0.07%
hap.08	C1	hap.14	C2	3	0.07%
hap.08	C1	hap.14	C6	3	0.07%
hap.02	A2a	hap.06	A2b	2	0.05%
hap.02	A2a	hap.12	A5a	2	0.05%
hap.02	A2a	hap.14	C6	2	0.05%
hap.02	A2b	hap.14	C2	2	0.05%
hap.03	A3a	hap.16	C4a	2	0.05%
hap.05	A1a	hap.06	A2b	2	0.05%
hap.05	A1a	hap.14	C2	2	0.05%
hap.06	A2a	hap.13	B1a	2	0.05%
hap.06	A2a	hap.16	C4b	2	0.05%
hap.06	A2b	hap.12	A4a	2	0.05%

hap.06	A2b	hap.16	C4b	2	0.05%
hap.08	C1	hap.16	C4a	2	0.05%
hap.01	A1a	hap.12	A1a	1	0.02%
hap.03	A3a	hap.02	A2a	1	0.02%
hap.05	A1a	hap.16	C4a	1	0.02%
hap.06	*A2a*	*hap.06*	*A2a*	*1*	*0.02%*
hap.06	A2a	hap.12	A5a	1	0.02%
hap.06	A2b	hap.06	A2a	1	0.02%
hap.06	*A2b*	*hap.06*	*A2b*	*1*	*0.02%*
hap.06	A2b	hap.12	A5a	1	0.02%
hap.06	A2b	hap.13	B1a	1	0.02%
hap.06	A2b	hap.15	A5b	1	0.02%
hap.06	A2b	hap.16	C4a	1	0.02%
hap.08	C1	hap.12	A4a	1	0.02%
hap.12	A5a	hap.11	A4b	1	0.02%
hap.12	A5a	hap.13	B1a	1	0.02%
hap.15	A5b	hap.13	B1a	1	0.02%
Total				**2291**	**56.18%**
Total homozygous diplotype				*197*	*4.83%*

1

2   To determine the proportion of HD subjects eligible for allele-specific gene targeting

3   approaches, diplotype of each HD subject (i.e., HD disease chromosome and normal

4   chromosome in a given HD subject) in our data was constructed based on 'super'-

5   haplotype system. Subsequently, the frequency of each unique diplotype was calcuated.

1   Diplotypes in bold and italic represent HD subjects who carry the same haplotypes for

2   disease and normal chrommosomes.

3

1   **Supplementary Tables**

2   S. Table 1. Familial relationships and other IDs of publicly available cell resouces

3   S. Table 2. Description of SNPs used in this study.

4   S. Table 3. *HTT* haplotypes and haplogroup subtypes in the 1000 Genomes Project

5   Data.

6   S. Table 4. Distribution of *HTT* haplogroup subtypes relative to *HTT* haplotypes.

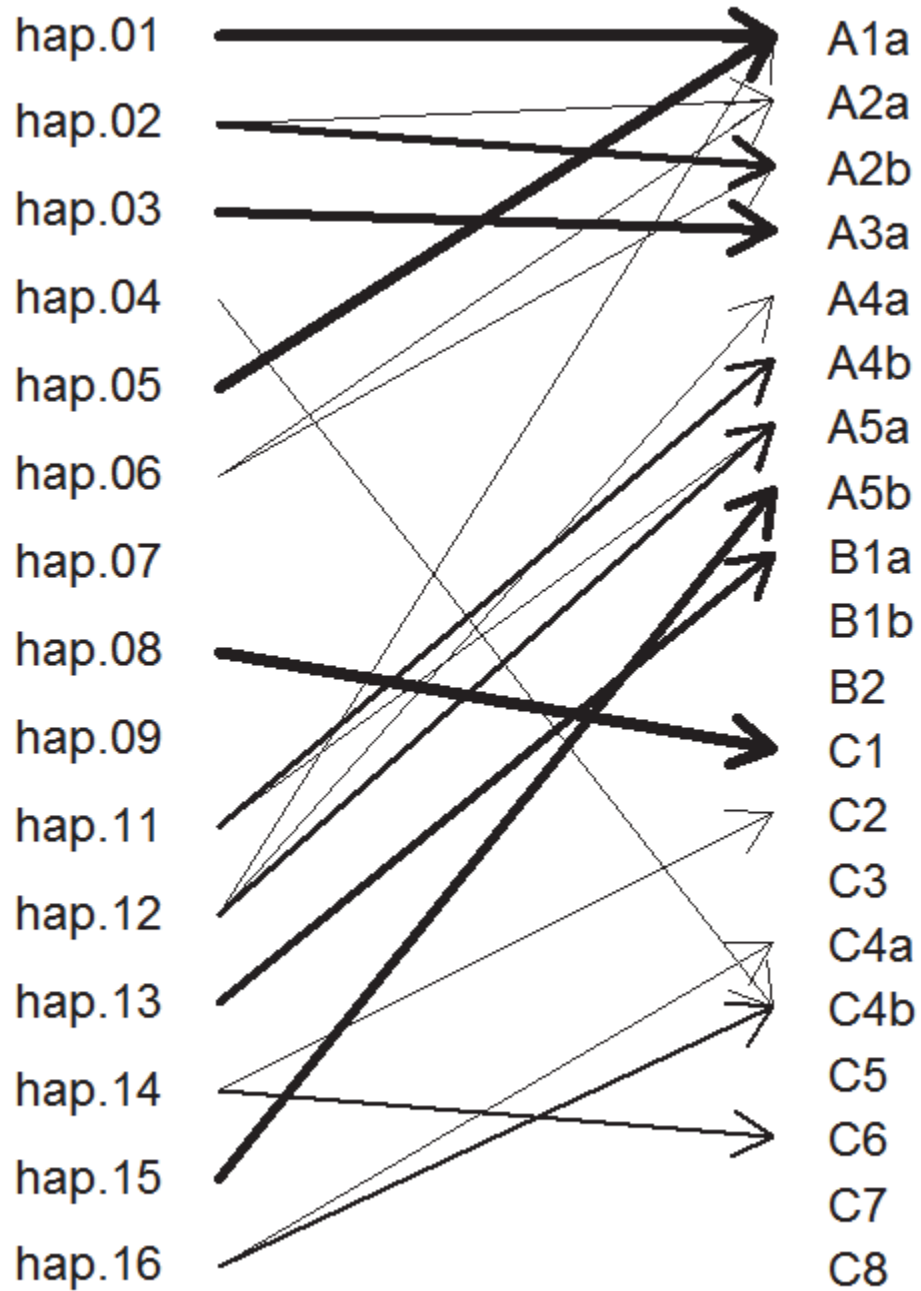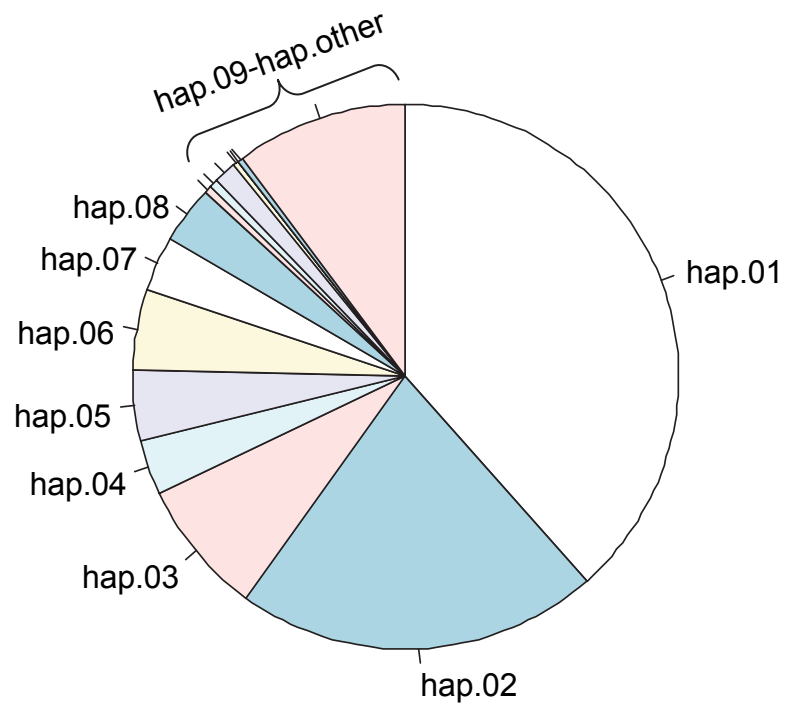7   S. Table 5. Distribution of *HTT* haplotypes relative to *HTT* haplogroup subtypes.

8
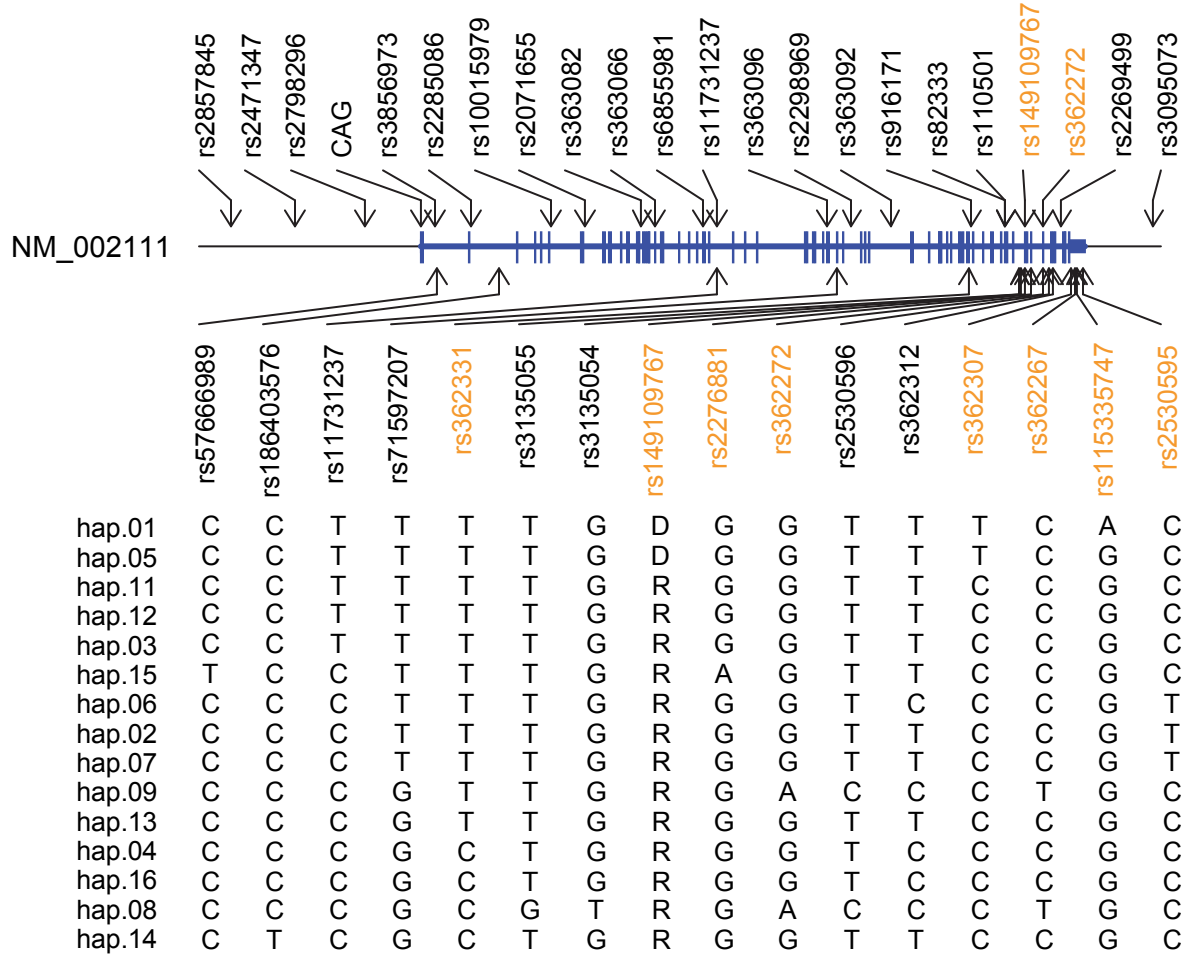
A. Disease chromosomes

B. Normal chromosomes