A twisted bay-substituted quaterrylene phosphorescing in the NIR spectral region

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The preparation of the first soluble quaterrylene derivative featuring peripheral tert-butyl substituents and sterically hindering, core-anchored triflate groups has been achieved. This involves a facile synthetic route based on an oxidative coupling of perylene precursors in the presence of H2O2 as oxidant. The steric hindrance between the OTf substituents at the central bay position of the quaterrylene board triggers a strong deformation of the central perylene planarity, which forces the quaterrylene platform to adopt a twisted geometry as shown by X-Ray analysis. Exceptionally, photophysical investigations show that the core-twisted quaterrylene phosphoresces in the NIR spectral region at 1716 nm. Moreover, third-order nonlinear optical (NLO) measurements on solutions and thin film containing the relevant molecule showed very large second hyperpolarizability values, as predicted by theoretical calculations at the CAM-B3LYP/6-31G** level of theory, making this material very appealing for photonic applications.

Keywords: quaterrylene • chromophores • cyclodehydrogenation • organic phosphorescent dyes • NIR emitters • polycyclic aromatic hydrocarbons • PAHs

Introduction

Amongst the myriad organic semiconductors, polycyclic aromatic hydrocarbons (PAHs) have unquestionably attracted enormous attention. [9–28] Particularly, the bottom-up covalent synthesis in solution is one of the most exploited strategies for the preparation of well-defined low band gap GNRs with tunable opto-electronic properties. [9–21] The preparation of well-defined low band gap GNRs with tunable opto-electronic properties. [9–21] The preparation of well-defined low band gap GNRs with tunable opto-electronic properties. [9–21] Among these, ryleneimides received a lot of attention for their application as light-harvesting materials. [9–14] The top-down covalent synthesis in solution is one of the most exploited strategies for the preparation of well-defined low band gap GNRs with tunable opto-electronic properties. [9–21] Among these, ryleneimides received a lot of attention for their application as light-harvesting materials. [9–14] This interest for this class of chromophores lies in their exceptional photochemical and thermal stabilities, high electron affinity and mobility, good synthetic accessibility and the possibility to finely tune their optical and redox properties. [9] It is well known that with the introduction of substituents in the bay positions of the rylene core one can significantly influence their structural and functional properties. In particular, different substituents such as amines, cyanides, halogens, aryl or aryloxy groups have been attached at the bay positions of ryleneimides so far [9,28] making those substrates highly versatile molecular modules for functional materials. [9,28] In this context, tetra-substituted derivatives are the most commonly investigated thanks to the lack of different isomeric arrangements in the bay positions and their high solubility originated by the twisted \( \pi \)-system. Recently, Hariharan and co-workers also demonstrated the importance of this class of compounds reporting the enhancement of triplet state generation as a result of the core-twisted geometry of perylenedimimide substituted in the bay positions. [24,31] However, to the best of our knowledge, up to the present only a few reports describe the bay-
substitution of higher order rylene that do not bear imide functionalities on the peri position. After the first synthesis of an unsubstituted quaterrylene reported by Clar in 1948, the preparation of the first soluble alkyl-functionalized quaterrylene derivatives through alkali-metal induced cyclization of oligonaphthalene precursors was reported by Mullen and co-workers in 1990. Important intermediates bearing four solubilizing tert-octylphenoxy groups in the bay positions of the perylene core have been reported by the same author in a pivotal work concerning the synthesis of soluble polymer with a poly(peri-naphthalene) (PPN) backbone. Recently, the groups of Wang and Wu described an efficient synthetic method toward processable bis-N-annulated quaterrylene and higher order rylene. However, such molecules being highly electron-rich are mostly unstable under ambient conditions. A breakthrough in the field has been achieved with the preparation of stable long rylene molecules containing cyclopenta ring-fused perylene as the constituent unit (Figure 1, center). In this work, we describe the synthesis of quaterrylene 1 bearing, for the first time, substituents in the central bay position of the quaterrylene core (Figure 1, right). A facile synthetic route based on an oxidative coupling of perylene precursors in the presence of H₂O₂ as oxidant has been proposed to prepare soluble derivatives featuring peripheral tert-butyl substituents and sterically hindering, core-anchored triflate groups. This approach allows us to fully characterize the unique quaterrylene derivative 1 by ¹H-, ¹³C-NMR, UV-Vis and emission spectroscopies and HRMS spectrometry. Exceptionally, we found the system phosphorescing in the NIR spectral region at 77 K. Non-linear optical (NLO) responses of core tetra-substituted quaterrylene 1 were investigated, both in solution and thin film, by the Z-scan technique with 35 ps, 532 nm (Vis) and 1064 (infrared) laser excitation. The molecule showed very large second hyperpolarizabilities, as predicted by theoretical calculations at the CAM-B3LYP/6-31G** level of theory.

Results and Discussion

In our synthetic pathway, the key starting material to obtain core-tetrasubstituted quaterrylene is perylene bis-boronic ester 3, which was prepared according to a previously reported synthetic protocol. Compound 3 was subjected to oxidative hydroxylation to prepare dihydroxyperylene 4 (Scheme 1). Only with the use of a stoichiometric amount of H₂O₂ and NaOH, compound 4 could be successfully isolated in 79 % yield, whereas the use of 6 equivalents of both reactants, oxidant and base, led to the formation of 4 and tetrahydroxy-bisperylene 5 in 36 and 63 % yield, respectively. It is noteworthy to underline that compound 4 is poorly stable even under ambient conditions, undergoing oxidation in solution and on SiO₂, which dramatically affects the reaction reproducibility. Furthermore, we noticed that treating compound 3 with a large excess of oxidant, a complex mixture of products is obtained, which apparently undergoes degradation upon extensive exposure to air. In order to understand the nature of the product formed in the oxidation reaction we performed a triflation reaction (Scheme 1). More specifically, bis-boronic ester 3 was treated with 50 eq. of H₂O₂ (aq. Sol. 35 % wt) in the presence of 50 eq. of NaOH in THF for 24 h. The crude product was directly reacted with triflic anhydride in the presence of DIPEA in CH₂Cl₂. Surprisingly, after four hours we were able to isolate quaterrylene 1 in 16-42% yield, perylene 6 in 9-36% yield and traces of bisperylene 7 (1-2%), all of which very soluble in common organic solvents.

Figure 1. General structure of substituted PAHs at the bay positions: perylenedimine (PDI) (left), rylene (center) and the core-tetrasubstituted quaterrylene 1 described in this work (right).
Further attempts to optimize the reaction yields for the oxidation of 3 are reported in Table S1 (SI). Notably, the oxidation reaction with H$_2$O$_2$ depicts a certain variability of the yield (Table S2, SI). During these investigations, we figured out that the oxidative homocoupling of molecule 4 also proceeds under air at r.t. in the presence of 2M NaOH. This has been already observed for analogous electron-rich di-hydroxy napthalene derivatives.[60,61] The oxidative C-C bond formation could also have been obtained treating compound 4 with DDQ in the presence of Sc(OTf)$_3$ in toluene for 12 h at r.t and 50 °C (Scheme S2, SI). Analogously to aforementioned, the reaction crude was directly submitted to the triflation to isolate compound 1 in 12 and 23% yield, over two steps, respectively. However, no significant amelioration of the reaction yields was achieved. Moreover, when this reaction was performed with PIFA/BF$_3$·OEt$_2$ in CH$_2$Cl$_2$ at -40 °C[62] molecule 1 was not detected, suggesting that an overoxidation of the substrate took place. In light of these results, we propose a hypotetic mechanism for the oxidative C-C bond formation in Scheme 2.

Presumably, we speculate that a deprotonation and an electron abstraction (by either O$_2$ or the oxidation) are involved generating radical 4·, the latter undergoing radical homocoupling reaction followed by a keto-enol tautomerization to give compound 5. Most likely, molecule 5 undergoes further oxidation giving radical species 5·, which subsequently experiences an intramolecular radical coupling reaction generating compound 11, the latter giving tetrahydroxy quaterrylene 12 after tautomerization. Given its electron richness, this substrate seems to be particualry sensitive to oxidation and thus of diffic ult isolation, as it could give rise to quinone byproducts (13 and 14·). This hypothesis was confirmed by the fact that quinones 14 (that can have two forms: 14· and 14·) could be isolated from the mixture by precipitation from THF/MeOH and characterized by $^1$H-NMR and HRMS analysis (Figure S15, SI). The $^1$H NMR spectrum of 14 in DMSO-d$_6$ consists of one singlet signal at 9.59 ppm (OH), 6 aromatic peaks in the region between 8.82- 7.67 ppm and two singlet signals at 1.54 ppm (tert-Butyl groups) in agreement with the tautomeric quinone structure 14 (notably, we could not identify univocally regioisomers 14· and 14·). The low intensities of the peaks account for the poor solubility of the
compound in the chosen solvent. HR-MS (MALDI) further confirmed the structure, depicting the peak related to the molecular mass (M) at m/z 786.3732 (C_{56}H_{50}O_{4}, calc.: 786.3709). However, other mechanistic pathways reported, for instance, for the coupling of phenols occurring through a radical cation mechanism cannot be excluded.\textsuperscript{[63]}

Finally, different attempts to substitute the four triflate groups in the bay positions of 1 under Suzuki conditions to obtain 8,9,18,19 tetraphenyl quaterrylene 8 were carried out (Scheme 1). Unfortunately, tetra-triflate quaterrylene 1 failed to react with phenylboronic acid following the classical Suzuki–Miyaura coupling route\textsuperscript{[64]} and fluoride ion-mediated and Ag_{2}O-promoted Suzuki coupling reaction previously described for parent tetrabromoperylene bisimide.\textsuperscript{[65]}

In both cases, the cross-coupling reactions lead to an untreatable mixture of products. We speculate that the steric hindrance at the central bay positions of tetra triflate quaterrylene 1 is the limiting factor. The structure of all intermediates and products were unambiguously identified by HR-MS through the detection of the peak corresponding to the molecular mass (M) and by \textsuperscript{1}H-, \textsuperscript{13}C- and \textsuperscript{19}F-NMR, UV-Vis, and IR spectroscopies (see SI, section S3). In particular, for the tetrasubstituted-core derivative 1, HR-MS (ESI) showed the peak related to the molecular mass (M+1) at m/z 1317.1943 (C_{60}H_{49}F_{12}O_{12}S_{4}, calc.: 1317.1915). Moreover, the \textsuperscript{1}H NMR characterization of derivative 1 in benzene-d_{6}, detailed on Scheme 1b, shows a simple spectrum with proton resonances H(a), H(b), and H(c), that appear distinctly as a doublet at 7.71 ppm (J ~ 1.5 Hz), doublet at 8.31 ppm (J ~ 1.5 Hz), and singlet at 8.52 ppm, respectively. The \textsuperscript{19}F-NMR spectrum (see Figure S12) displays a single peak centered at -73.56 ppm, in agreement with data reported in the literature.\textsuperscript{[66,67]}Although sustained by the spectroscopic and mass spectrometric investigations, only X-ray analysis could unambiguously confirm the molecular structures of both molecules 6 and 1 (Figures 2 and 3). Yellow needle-like crystals suitable for X-ray diffraction analysis of 6 were obtained by slow evaporation of an acetone-d_{6} solution. The crystal packing of 6 revealed perylene π–π stacks arranged in pillars along the unit cell axis b (Figure 2). Such pillars are tightly packed and the single molecules locked within the pillar through hydrophobic contacts established between the peripheral TBu and OTf substituents. No solvent molecules have been found in the crystal (crystallographic data and refinement details are reported in Table S3, SI).

Scheme 2. Proposed mechanism for the oxidative C-C bond formation through a radical pathway.
Slow evaporation of a benzene-$d_6$ solution of quaterpyrene 1 yielded dark-blue needle-like crystals suitable for X-ray diffraction analysis (Figure 3 and essential single-crystal refinement data are reported in the SI, Table S3), confirming the formation of the bay-substituted quaterpyrene springboard. Clearly, the steric hindrance between the OTf substituents at the central bay position of the quaterpyrene board triggers a strong deformation of the central perylene planarity, twisting the naphthyl portions of each perylene units (interplanar angle of ~48°, Figure 3b). This forces the quaterpyrene platform to adopt a helicoidal shape, with both the left- and right-handed isomers equally present at the solid state (related by crystallographic inversion centers). The crystal packing shows that only C-H…π and very weak π–π stacking contacts are intramolecularly established at the solid state. As observed with tetrasubstituted perylene derivatives at the bay position\cite{48,68–71} one can postulate that the considerable twisting of the quaterpyrene backbone also prevents the formation of strong π–π interactions in solution, thus significantly enhancing the solubility of the molecule in organic solvents. In fact, molecule 1 showed high solubility in a large variety of common organic solvents such as CH$_2$Cl$_2$, CHCl$_3$, THF, EtOAc and toluene.

The thermal stability of compound 1 was investigated by thermogravimetric analysis (TGA). The TGA-DTG profiles of quaterpyrene 1 (Figure S18) shows a complex thermal decomposition profile, with a first steep weight loss at 228°C (see the DTG plot) followed by additional two weight losses centered at 265°C and 493°C, respectively. A carbonaceous black residue, representing the 48% of the initial weight, was recovered suggesting that this molecule undergoes some thermal decomposition, most likely triggered by the elimination of the triflate groups.\cite{72}

The photophysical properties of molecule 1 were investigated in toluene solutions and compared with those of ditriflate perylene 6 and tetra tert-butyl perylene 9 (Figure 4). Key data are gathered in Table 1. Absorption spectrum of 1 is dramatically red-shifted with respect to molecules 6 and 9, showing a
broad low energy electronic transition with a maximum at 664 nm ($\varepsilon = 104 600 \text{ M}^{-1} \text{cm}^{-1}$), similar to that observed for flat unsubstituted quaterrylene\textsuperscript{[73]}. Consistently, molecule 1 displays a red emission ($\lambda_{\text{max}} = 698 \text{ nm}$, 1.78 eV) with a sub-nanosecond lifetime, disclosing a fast deactivation of the singlet excited state. The fluorescence quantum yield ($\Phi = -0.04$) drops upon the extension of the conjugated $\pi$-system within the series 9$\to$6$\to$1. Unexpectedly, the increasing distortion of the molecular plane does not affect significantly the emission performance of 1 with respect to its unsubstituted congener previously reported.\textsuperscript{[74]} The phosphorescence spectrum of 1 (using an excitation wavelength of 670 nm) in CH$_2$Cl$_2$:MeOH (1:1) glass at 77 K exceptionally showed an unstructured band centered at 1716 nm, while the 77 K fluorescence maximum appeared at 689 nm (Figure 4b). As previously observed for other molecules, these results suggest that the population of the triplet excited state recorded could be induced by the non-planar geometry of molecule 1 as proposed for similar core-twisted PAHs.\textsuperscript{[42,51,75–78]} The corresponding lifetime of 1 at 1720 nm at 77 K appeared to be < 10 ns (i.e. beyond the limit of the detector response of our detector). To the best of our knowledge, there are no similar reports in the literature describing such low-energy phosphorescence for PAHs, which appears at higher wavelengths even in comparison with those observed for some organo-lanthanide complexes.\textsuperscript{[79,80]}

Table 1. Optical properties for compounds 1, 6 and 9 in toluene solutions.

<table>
<thead>
<tr>
<th>Molecule</th>
<th>$\lambda_{\text{a}}$ (nm)\textsuperscript{a}, $\varepsilon$ (M$^{-1}$ cm$^{-1}$)</th>
<th>$\lambda_{\text{max,fl}}$ (nm)$^b$</th>
<th>$\Phi_{\text{fl}}$\textsuperscript{c}</th>
<th>$\tau$ (ns)$^d$</th>
<th>$\lambda_{\text{max,ph}}$ (nm)$^f$</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>440, 24300$^1$</td>
<td>462</td>
<td>0.93</td>
<td>4.1$^3$</td>
<td>-</td>
</tr>
<tr>
<td>6</td>
<td>446, 24900</td>
<td>463</td>
<td>0.86</td>
<td>4.3</td>
<td>-</td>
</tr>
<tr>
<td>1</td>
<td>664, 104,600</td>
<td>698</td>
<td>0.04</td>
<td>0.9</td>
<td>1716</td>
</tr>
</tbody>
</table>

\textsuperscript{a}UV-vis absorption maximum of the lowest energy band in toluene; \textsuperscript{b}Emission maximum in air-equilibrated toluene at 25 °C; \textsuperscript{c}for 9, a value of $\varepsilon = 28 000$ M$^{-1}$ cm$^{-1}$ is reported in the literature;\textsuperscript{d}Recorded for air equilibrated solutions at 25°C. Coumarin 153 ($\Phi = 0.53$), Rhodamine 6G ($\Phi = 0.94$) and Nile Blue A ($\Phi = 0.27$) in air equilibrated ethanol were used as references for compounds 9, 6 and 1, respectively; \textsuperscript{e}Fluorescence lifetime ($\lambda_{\text{ex}}=355$ nm); \textsuperscript{f}Recorded in CH$_2$Cl$_2$:CH$_3$OH, 1:1 (v/v) at 77 K: $\tau = 4.5$ ns in EtOH.\textsuperscript{[81]}

Figure 4. a) Normalized absorption (---) and fluorescence (----) spectra of 9 (dark yellow) and 6 (red) at r.t. in toluene ($\lambda_{\text{ex}}=415$ nm); b) Normalized absorption (blue), excitation (orange) and fluorescence (blue dotted) spectra of 1 at r.t. in toluene ($\lambda_{\text{em}}=650$ nm, $\lambda_{\text{em}}=720$ nm); c) Normalized excitation (orange, $\lambda_{\text{em}}=1720$ nm), fluorescence (blue dotted, $\lambda_{\text{em}}=650$ nm) and phosphorescence (dark red, $\lambda_{\text{em}}=670$ nm) spectra of 1 at 77 K in CH$_2$Cl$_2$:CH$_3$OH (1:1, v/v) rigid matrix.

Furthermore, the electronic properties of core tetra-substituted derivative 1 were studied by cyclic voltammetry (CV) in 1,2-dichlorobenzene (ODCB) (Figure 5), using ferrocene (Fc) as an internal reference. Model compound 6 and extended quaterrylene 1 show one (0.85 V vs. Fc$^{+}/Fc$) and two reversible oxidation processes (0.51 and 0.73 V vs. Fc$^{+}/Fc$), respectively, while only irreversible processes were detected in the cathodic region (see SI). This is likely to be caused by the presence of triflate substituents, which can undergo an elimination reaction and give rise to irreversible transformations. As previously observed for other rylene\textsuperscript{[74,82]}\textsuperscript{a}, the oxidation potentials are decreasing upon increasing the $\pi$-extension of the aromatic scaffold (see molecules 6 and 1), while the presence of the strong electron-withdrawing triflate substituents tends to deplete the electronic density of the $\pi$-cloud. Taken all together, these data allowed us to estimate the energy of the HOMO-LUMO gap of molecule 1 (Figure 6) that results to be 1.80 eV, in good agreement with the computed
results (Table 2). Frontier orbital energies and energy gaps estimated from CV data for molecules 6 and 1 are illustrated in Figure 6, showing a significant shrinking of the HOMO-LUMO gap of 1 as a consequence of the significant extension of the \( \pi \)-surface.

Figure 5. Cyclic voltammetry of 1 (0.45 mM, blue line) and 6 (0.74 mM, red line) in 1,2-dichlorobenzene. Scan rate: 50 mV/s. Supporting electrolyte: TBAPF\(_6\). Ferrocene is used as internal reference.

Figure 6. Frontier orbital energies and energy gaps of compounds 1 and 6 estimated from CV data, considering halfwave potentials for oxidations and peak potentials for reductions. Fc+/Fc=−4.8 eV vs. vacuum. Redox potential of the \( \mathbf{1}^{+}/\mathbf{1}^{*} \) couple, calculated by considering the triplet excited state energy, is also shown.

To shed further light on the electronic structure and optical properties of the triflate substituted perylene derivative, the electronic properties of the HOMO and LUMO levels were calculated performing Density Functional Theory (DFT) calculations using the Gaussian 09 package. Molecule 1 was modeled in its neutral state performing a geometry optimization and a single point calculation using the Restricted Becke’s three-parameter exchange functional, the Lee–Yang–Parr correlation functional (B3LYP/6-31G** level of theory). The crystal structure, was considered as the starting geometry. The HOMO and LUMO orbitals were plotted using the Avogadro software. Other orbitals up to HOMO-4 and LUMO+4 were also calculated (Figure 7). It transpires that the molecular HOMO and LUMO orbitals of 1 are located on the entire \( \pi \)-surface of the molecule. Molecular structure of 1 was compared with that of unsubstituted quaterrylene, optimized by using the B3LYP/6-31G** method. The strong deformation of the central perylene core due to the introduction of bulky OTf substituents in central bay positions is evidenced in Figure S24 of the SI, which is in agreement with the geometry of the X-Ray structure (Figure 3).
Figure 7. Frontier orbitals of compound 1, calculated with DFT methods at the B3LYP/6-31G** level of theory.

In addition, the experimental photophysical measurements were compared with those theoretically calculated. The transition energies were computed by employing the time-dependent density functional theory (TD-DFT),\(^8\) using the CAM-B3LYP functional. The computed UV-Vis spectrum of 1 is shown in Figure S25 of the SI and the absorption wavelength \(\lambda_{\text{abs}}\) for the first allowed electronic transition is presented in Table 2. For comparison purpose the maximum absorption wavelength \(\lambda_{\text{abs}}\) of unsubstituted quaterrylene and the respective UV-Vis spectrum are reported in Table 2 and Figure S26, SI, respectively. The solvent (toluene) effect on the wavelength \(\lambda\) for the first allowed electronic transition was also taken into account in the simulation. The calculated maximum absorption wavelength for molecule 1 is centered at 611 nm which in good agreement with the experimental value (\(\lambda_{\text{abs}} = 664\) nm, see Figure 4 and Table 1). However, similar spectral characteristics were found for unsubstituted quaterrylene (Figure S26, SI), whereas its \(\lambda_{\text{abs}}\) lies at 659.4 nm, implying that the introduction of OTf substituents in the quaterrylene bay positions has a modest effect on the \(\lambda_{\text{abs}}\). Nonetheless, a noticeable difference between the two UV-Vis spectrum is observed. A strong absorption in the UV region, centered at 251 nm, was computed for molecule 1. In Figure S27, the first allowed electronic transition of 1, computed with the aid of natural transition orbital pairs,\(^8\) is depicted. One can hardly fail to notice that the electronic transition is assigned to a \(\pi \rightarrow \pi^*\) excitation involving the aromatic rings of the quaterrylene. This is confirmed by the computed charge density difference\(^8\) between the ground state and the first dipole-allowed electronic transition of 1 (Figure S28, SI), in which the hole (blue) and electron (red) distributions covering the aromatic rings of the molecule indicate a local excitation.

**Table 2.** Computed \(E_{\text{HOMO}}\) \(E_{\text{LUMO}}\), \(E_{\text{HOMO}} - E_{\text{LUMO}}\) (\(\Delta \text{HLG}\)), absorption wavelength \(\lambda\) (nm), and the excitation energy \(E_{\text{exc}}\) (eV) for the first allowed electronic transitions of tetra-OTf substituted compound 1 and reference unsubstituted quaterrylene. The values were calculated with the CAM-B3LYP/6-31G** method with explicit solvation (toluene).

<table>
<thead>
<tr>
<th>Molecule</th>
<th>(E_{\text{HOMO}}) (E_{\text{LUMO}}) (eV)</th>
<th>(\Delta \text{HLG}) (eV)</th>
<th>(\lambda_{\text{abs}}) (nm)</th>
<th>(E_{\text{exc}}) (eV)</th>
<th>Type of excitation</th>
<th>(\lambda) (nm)</th>
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<tr>
<td>1</td>
<td>-5.06 &amp; -3.13</td>
<td>1.93</td>
<td>610.99</td>
<td>2.03</td>
<td>HOMO (\rightarrow) LUMO (96.8%)</td>
<td>664</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1.88</td>
<td></td>
</tr>
<tr>
<td>quaterrylene</td>
<td>-4.38 &amp; -2.56</td>
<td>1.82</td>
<td>659.44</td>
<td>2.03</td>
<td>HOMO (\rightarrow) LUMO (96.8%)</td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>2.01</td>
<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td>2.04(^e)</td>
<td></td>
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</tbody>
</table>

\(^a\)Computed \(E_{\text{HOMO}}\) and \(E_{\text{LUMO}}\) potentials; \(^b\)Computed HOMO/LUMO gap; \(^c\)Computed absorption maxima (nm); \(^d\)Computed excitation energy (eV); \(^e\)Experimental UV/Vis absorption maximum of the lowest-energy band in toluene; \(^f\)Ref.\(^{[75]}\), Method CASPT2; \(^g\)Referred to the experimental value from Ref.\(^{[90]}\)
Furthermore, we have computed the wavelength for the phosphorescence emission of 1. For this, the geometry of 1 was optimized at the triplet state (B3LYP/6-31G*). The expectation value of the spin operator, \( S^z \), for the triplet state is equal to 2.06, indicating a negligible spin contamination. It was found that, \( \Delta E_{ST} = E_{opt} - E_{opt} \) (S) = 0.0329 a.u. (1394.8 nm). For the computation of \( \Delta E_{2ST} \) (CAMB3LYP/6-31G*) the effect of the solvent (MeOH) was also considered. The computed value of \( \Delta E_{ST} \) is in reasonable agreement with the experimental one (1716 nm, see Figure 4 and Table 1).

The nonlinear optical (NLO) response of molecule 1 has been studied under visible (532 nm) and infrared (1064 nm) 35 ps laser excitation and its NLO properties (i.e. NLO absorption and refractive index) are determined using the Z-scan technique. The NLO response of 1 was studied both, in toluene solution and in thin films of PMMA. The Z-scan is a powerful and relatively simple technique that allows the determination of the magnitude and the sign of the nonlinear absorption coefficient \( \beta \) (m/W) and the nonlinear refractive index parameter, \( \gamma' \) (m²/W) of a sample, all from a single measurement. Since the Z-scan technique has been presented in detail elsewhere, only a brief description will be given hereinafter. According to this technique, the normalized transmittance \( T \) of a sample is measured by two different experimental configurations, as it is translated along the propagation direction (i.e. the z-axis) of a focused Gaussian laser beam. These two experimental configurations are known as “open-aperture” (OA) and “closed-aperture” (CA) Z-scans, respectively. Following the former configuration, the transmitted laser beam through the sample is collected and measured just after the sample, while in the latter, the laser beam is measured after it has passed through a small aperture placed at the far field. The OA Z-scan provides information about the NLO absorption, while the CA Z-scan provides information about the NLO refraction of the sample. Specifically, the shape of the OA Z-scan can exhibit a transmittance minimum or a maximum, corresponding to reverse saturable absorption (RSA) or saturable absorption (SA) behavior of the sample, acting as a negative/defocusing lens).

From the OA Z-scan the nonlinear absorption coefficient \( \beta \) can be determined fitting the experimental transmittance curve by the following equation:

\[
T = \frac{1}{\sqrt{\pi}} \left( \frac{\beta L_{eff}}{1 + z^2/\omega_0^2} \right) \int_{-\infty}^{+\infty} \ln \left[ 1 + \frac{\beta L_{eff}}{1 + z^2/\omega_0^2} \exp(-t^2) \right] dt
\]

where \( I_0 \) is the on-axis peak irradiance, \( L_{eff} \) is the effective thickness of the sample given by the relation: \( L_{eff} = (1 - e^{-\alpha L})/\alpha_0 \), and \( \alpha_0 \) is the linear absorption coefficient of the sample at the laser excitation wavelength and \( L \) is the thickness of the sample. The \( \alpha_0 \) for the solution was obtained through the relation: \( \alpha_0(\text{cm}^{-1}) = \ln 10 \frac{A(\text{abs})}{l(\text{cm})} \) (with \( A \) the absorbance at 532 nm and \( l \) the thickness of the sample, i.e. 0.1 cm). In the case of thin films, the thickness was \( l = 423.4 \text{ nm} \), corresponding to an \( \alpha_0 \) value of about \( 1796 \text{ cm}^{-1} \). The corresponding \( L_{eff} \) for the solution and the film were about \( 0.9 \) mm and \( 0.4 \times 10^{-3} \) mm, respectively.

From the CA Z-scan, the NLO refraction parameter \( \gamma' \) can be deduced using the difference of the normalized transmittance between the valley and the peak, the so-called \( \Delta T_{p-v} \) parameter, when NLO absorption is negligible. In the opposite case, in order to remove the effect of the absorption from the NLO refraction (i.e. to decouple the two phenomena occurring simultaneously), the “divided” Z-scan is used, which corresponds to the division of the CA Z-scan by the corresponding OA Z-scan. The \( \Delta T_{p-v} \) parameter is related to the NLO refraction parameter \( \gamma' \) of the sample through the following relation:

\[
\gamma' = \frac{\sqrt{2}}{kL_{eff}0.406(1-S)^{1/2}} \Delta T_{p-v}
\]

where \( S = 1 - e^{-2\omega_0^2/\omega^2} \) is the linear transmission of the aperture, \( r_0 \) and \( w_0 \) are the radius of the aperture and the beam radius at the aperture, respectively, and \( k \) is the wavenumber in vacuum.

The nonlinear absorption coefficient \( \beta \) and the nonlinear refractive index parameter \( \gamma' \) are related to the imaginary part (\( \text{Im} \chi^{(3)} \)) and real part (\( \text{Re} \chi^{(3)} \)) of the third-order nonlinear susceptibility \( \chi^{(3)} \) through the following relations:

\[
\text{Re} \chi^{(3)}(\text{esu}) = 10^{-6} \frac{cm^4}{400a^2} \gamma' \ (cm^2/W)
\]

and

\[
\text{Im} \chi^{(3)}(\text{esu}) = 10^{-7} \frac{cm^4}{96a^2} \beta \ (cm^2/W)
\]

With the real and imaginary parts of \( \chi^{(3)} \) known, its magnitude can be easily calculated:

\[
\chi^{(3)} = \sqrt{\text{Re}^{2} \chi^{(3)} + \text{Im}^{2} \chi^{(3)}}
\]
Since the third-order susceptibility \( \chi^{(3)} \) depends on the concentration, in order to facilitate the comparisons of the NLO response with other molecules, the second hyperpolarizability \( \gamma \) is often used, which is a molecular property expressing the third-order optical nonlinearity per molecule. It is defined through the following relation:

\[
\gamma = \frac{\chi^{(3)}}{NL^4}
\]

where \( N \) is the number of molecules per unit volume, \( L \) is the Lorenz–Lorentz local field correction factor defined as \( L = \left( n_0^2 + 2 \right)/3 \) and \( n_0 \) is the refractive index of the solvent (ca. 1.496 for toluene) or of the PMMA (1.493) in the case of the thin film.

Results obtained from Z-scan measurements of \( 1 \) on toluene solutions at different concentration and on thin PMMA films are gathered in Table 3. The thin film of PMMA containing the relevant dye was prepared by spin coating on glass substrate (thickness of 423 nm, as measured by a stylus profilometer). The detailed film preparation is reported in the SI (section S10). The UV-Vis-NIR absorption spectra of all samples were measured regularly in order to ensure that no unwanted effects, such as e.g. aggregation, laser induced photo-degradation, photo-reduction, etc have occurred. All samples were found to exhibit remarkable stability both, prior to, and after, laser irradiation. The UV-Vis-NIR absorption spectra of two different toluene solutions and the thin film are shown in Figure S29. The absorption spectrum of the thin film containing molecule \( 1 \) was found to exhibit some broadening of the main absorption band in comparison with those of the toluene solutions, suggesting the presence of non-negligible aggregation of the molecule in PMMA. In Figure 8 the “divided” Z-scans of neat toluene and molecule \( 1 \) at three different concentrations, namely 0.25, 0.08 and 0.04 mM, measured under 35 ps, 532 nm laser excitation are reported. In all cases a valley-peak transmittance configuration is observed. We noticed that increasing the concentration of \( 1 \) an increase in the \( \Delta T_{p-v} \) magnitude is observed, denoting that the solvent (i.e. toluene) and molecule \( 1 \) have the same sign (i.e. positive) refractive nonlinearity. This sign of the \( \Re \chi^{(3)} \) of \( 1 \) is expected according to the two-level system which predicts positive \( \Re \chi^{(3)} \) when the excitation frequency is higher than the main resonance frequency of the system, that in our case is located at about 611 nm. The opposite is expected to hold (i.e. negative \( \Re \chi^{(3)} \)) when the excitation frequency is lower than the resonance frequency.\cite{93} Similar behavior has been reported elsewhere.\cite{94,95}

![Figure 8. "Divided" Z-scans of neat toluene and molecule 1 in toluene at three different concentrations measured under 35 ps, 532 nm laser excitation.](image)

For toluene solutions and thin film of \( 1 \), the dependence of the \( \Delta T_{p-v} \) parameter as a function of the incident laser energy is shown in Figure 9. From the slopes of the straight lines corresponding to the linear best fit of the experimental data points the nonlinear refractive parameter \( \gamma' \) is deduced and the \( \Re \chi^{(3)} \) can be calculated from the previously given relations. As can be seen, the thin film exhibits opposite sign nonlinear refractivity, i.e. negative \( \Re \chi^{(3)} \) with respect to those measured in solution (Figure 9b). In fact, the “divided” Z-scans performed on the thin film at several positions and using different laser energies were all found to exhibit a peak-valley configuration, i.e. the opposite trend than the solutions. This could be plausibly attributed to the effect of aggregation and/or other intermolecular interactions that \( 1 \) undergoes in the thin film. Moreover, as negative control, a solution of PMMA (60 mg/mL) without the relevant dye was spin-coated on a glass substrate. No measurable NLO response, for the range of laser energies employed, was detected. It is noteworthy to underline that both, the toluene solutions at different concentration and the thin film of \( 1 \) did not exhibit any measurable NLO absorption under 532 nm excitation, at least up to the double of the range of incident laser energies employed. This experimental finding is extremely promising for several photonic/optoelectronic applications in the visible wavelength range. Z-scan measurements performed under 1064 nm, 35 ps excitation did not show any measurable NLO response for laser energies as high as 15μJ.
Figure 9. Variation of the ΔT<sub>p-v</sub> parameter as a function of incident laser energy for (a) neat toluene and 1 in toluene (c = 0.25, 0.08 and 0.04 mM), and (b) PMMA thin film containing 1 (1mM in 60 mg/ml PMMA in toluene).

The experimental values of the NLO parameters of molecule 1 in toluene solutions and thin PMMA film are gathered in Table 3. Molecule 1 showed significantly higher NLO second hyperpolarizability values in respect to data reported in the literature for parent π-extended PAHs.[59] Moreover, comparing the values of the NLO parameters of the thin film with those of solutions, it is easily seen that they are exceptionally larger, and, as already mentioned, of opposite sign refractive nonlinearity, i.e. negative Re<sub>χ</sub><sup>(3)</sup>. This situation is most probably due to the formation of aggregates favouring larger NLO response and to the change of sign, a situation that is very attractive for several applications in photonics, in particular in the absence of nonlinear absorption. Similar behaviour between the solutions and thin films have been reported recently elsewhere.[96]

Table 3. NLO parameters of 1 in toluene solutions at different concentrations and PMMA thin films on glass under 35 ps, 532 nm laser excitation.

<table>
<thead>
<tr>
<th>Sample / concentration (mM)</th>
<th>γ'&lt;sup&gt;(×10&lt;sup&gt;-18&lt;/sup&gt; m&lt;sup&gt;2&lt;/sup&gt;/W)&lt;/sup&gt;</th>
<th>χ&lt;sup&gt;(3)&lt;/sup&gt;&lt;sup&gt;(×10&lt;sup&gt;-31&lt;/sup&gt; esu)&lt;/sup&gt;</th>
<th>γ&lt;sup&gt;(10&lt;sup&gt;-31&lt;/sup&gt; esu)&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Toluene</td>
<td>1.22±0.03</td>
<td>1.73±0.04</td>
<td>0.73±0.01</td>
</tr>
<tr>
<td>1 / 0.25</td>
<td>6.66±0.03</td>
<td>9.45±0.04</td>
<td>15.71±0.2</td>
</tr>
<tr>
<td>1 / 0.08</td>
<td>1.86±0.06</td>
<td>2.64±0.09</td>
<td></td>
</tr>
<tr>
<td>1 / 0.04</td>
<td>1.12±0.03</td>
<td>1.59±0.04</td>
<td></td>
</tr>
<tr>
<td>1 in PMMA on glass&lt;sup&gt;a&lt;/sup&gt; / 1mM</td>
<td>-3394±134</td>
<td>-3977±139</td>
<td></td>
</tr>
</tbody>
</table>

<sup>a</sup>Thin film thickness of 423 nm; <sup>b</sup>NLO refraction parameter; <sup>c</sup>Third-order susceptibility; <sup>d</sup>Second hyperpolarizability.

To further interpret this NLO response, the static electronic (i.e. when the excitation frequency of the incoming laser beam tends to zero, with ω → 0) and frequency-dependent (i.e. ω ≠ 0) second hyperpolarizabilities, denoted as γ(0; 0,0,0) and γ(−ω; ω, −ω, ω), respectively, were calculated using the CAM-B3LYP/6-31G** method. Results obtained for molecule 1 were compared with those computed for reference unsubstituted quaterrylene. To all computations the structure of 1 is rotated so that its dipole moment coincides with z-axis. For all the reported second hyperpolarizability computations employed for derivative 1, the t-Bu groups have been substituted with H to reduce the computational time. The t-Bu groups have a small effect on the value of the average second hyperpolarizability, as shown from a previous study on π-extended PAHs.[59] The results for the static and dynamic second hyperpolarizability, γ(−ω; ω, −ω, ω), of 1 and reference unsubstituted quaterrylene in the gas phase are reported in Table 4. The effect of the solvent, on the frequency-dependent hyperpolarizabilities, has been calculated employing the following approximation:

\[ γ^{sol}(d) = ργ^{gas}(d) \]

where γ<sup>sol</sup>(d) and γ<sup>gas</sup>(d) denote the γ(−ω; ω, −ω, ω) values of the derivative d, in solution and the gas phase, respectively; while ρ is defined as ρ = γ<sup>sol</sup>/γ<sup>gas</sup>, where γ<sup>sol</sup>(0,0,0) and γ<sup>gas</sup>(0,0,0) are the static second hyperpolarizabilities, computed in the presence of the solvent (toluene) and in the gas phase, respectively. This approximation has been successfully employed, in several cases, to compute the solvent effect on the hyperpolarizabilities.[59] It has been found that...
\( \rho(\lambda) = 0.94 \rho(\text{quaterrylene}) \), thus, the solvent effect on the NLO response of 1 and unsubstituted quaterrylene is similar. It is observed that at the static case \((\omega_0)\): \(\gamma^1(\lambda) = 0.6 \gamma^1(\text{quaterrylene})\). It can also be noted that [HLG] for 1 is 2.6 \[\text{HLG}^1(\text{quaterrylene})\]. The previous trends show the dependence between \(\gamma(0)\) and HLG for 1 and quaterrylene, where the decrease of the HLG leads to an increase of \(\gamma(0)\). However, the frequency-dependent results show a different trend: \(\gamma^1(\lambda) = 11.4 \gamma^1(\text{quaterrylene})\). This change of the picture could be attributed to the fact that the computed absorption wavelength of 1 (611 nm) lies closer to the excitation wavelength of the laser (532 nm), compared with that of quaterrylene (659.4 nm). The computed \(\gamma(-\omega,-\omega,-\omega)\) value of 1 is in good agreement with the experimental data (Table 4). Moreover, in Figure S26 of the SI, it is notable that around the region of the excitation wavelength (532 nm) the absorption \(\chi^1\) of 1 is almost 1.8 times larger than that of quaterrylene, which could explain the computed higher NLO response of 1.

Table 4. The average values of static (\(\gamma(0)\)) and dynamic second-hyperpolarizabilities (\(\gamma(-\omega,-\omega,-\omega)\)) of core-tetrasubstituted quaterrylene 1 and reference unsubstituted quaterrylene. The reported data were calculated at the B3LYP/6-31G* gas phase optimized geometry, by using the CAM-B3LYP/6-31G** method. The tert-Bu groups are substituted with H atoms.

<table>
<thead>
<tr>
<th>Molecule</th>
<th>(\rho = \gamma^{\text{mol}} / \gamma^{\text{gas}})</th>
<th>(\gamma(0; 0, 0, 0)) (\times 10^3) (a.u.)</th>
<th>(\gamma(-\omega; -\omega, -\omega)) (\times 10^5) (a.u.)</th>
<th>(\gamma(-\omega, -\omega, -\omega)) (\times 10^{-31}) (esu)</th>
</tr>
</thead>
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<tr>
<td>quaterrylene</td>
<td>2.61</td>
<td>29831</td>
<td>832021</td>
<td>2.096</td>
</tr>
<tr>
<td>1</td>
<td>2.46</td>
<td>19071</td>
<td>1002701</td>
<td>11.46</td>
</tr>
<tr>
<td></td>
<td></td>
<td>46921</td>
<td>2466644</td>
<td>15.760.2</td>
</tr>
</tbody>
</table>

1 Second hyperpolarizability computed in the presence of the solvent (toluene); 2 Second hyperpolarizability computed in the gas phase; Value computed in the presence of toluene; Value computed by multiplying the gas-phase value with the scaling factor, \(\rho\), in order to get an estimation of the property value in solution; 5 Experimental value was measured by using the Z-Scan technique, with 35 ps laser excitation at 532 nm (solvent: toluene). 6 The computed value was converted to esu by using the conversion factor 1 a.u. \(\approx\) 0.067 x 10^{-34} esu.

Conclusions

We have described the synthesis of soluble quaterrylene derivative 1 featuring peripheral tert-butyl substituents and sterically hindering, core-anchored triflate groups. To this end, a synthetic route based on an oxidative coupling of perylene precursors in the presence of HCl as oxidant has been employed. X-ray diffraction clearly showed that the steric hindrance between the OTf substituents at the central bay position of the quaterrylene board triggers a strong deformation of the central perylene planarity, twisting the naphthyl portions of each perylene units. Remarkably, this unique core-twisted quaterrylene was found to emit in the NIR spectral region, with a phosphorescence maximum centered at 1716 nm at 77K. Considering that, up to now, the library of NIR-emissive organic materials that emit beyond 750 nm is truly limited and it is mostly based on metal complexes and chalcogen-containing hybrids, this finding is very promising in the design of efficient organic NIR-emitting materials. Moreover, third-order nonlinear optical (NLO) measurements on solutions and thin film containing the relevant dye showed considerably high NLO second hyperpolarizability values \((15,710.2 \times 10^{-31} \text{ esu})\) in comparision with those reported in the literature for parent n-extended PAHs. Particularly, PMMA thin film of core-twisted quaterrylene 1 exhibits negligible NLO absorption under 532 nm excitation and negative nonlinear refraction making this system very attractive for nonlinear optics and photonics applications. Finally, theoretical computation of the optoelectronic properties performed with the CAM-B3LYP/6-31G** method provided reliable data for predicting the excitation spectra, energy gaps and second hyperpolarizability values corroborating experimental findings. From these results, it becomes evident the importance to further functionalize and/or n-extend the quaterrylene core in order to built a broad variety of emissive molecules. Thus, further investigations will be now focused on studying different strategies to substitute the four triflate groups in the bay positions of 1 in order to finely tune the molecular linear and non-linear optical properties.

Experimental Section

General

Instruments, materials and general methods are detailed in the SI (SI).

Experimental

8,11-di-tert-butylperylene-2,5-diol 4

Perylene bis-boronic ester 3 (1.06 g, 1.72 mmol) and NaOH (137 mg, 3.44 mmol) were dissolved in THF (80 mL). An aqueous solution of HCl (0.28 mL, 3.44 mmol, 5 wt%) was added drop-wise and the reaction mixture stirred at r.t. for 6 h. The solution was acidified to pH 1-2 by addition of 1 M HCl solution and
extracted with CHCl₃ (3 x 50 mL). Combined organic layers were dried over Na₂SO₄ and the solvent removed in vacuo. The crude was purified by column chromatography (neutral Al₂O₃ eluents: EtOAc → EtOAc:MeOH, 10:1) affording 8,11-di-tetrt-butylopyrene-2,5-diol (4) as a greenish solid (538 mg, 79%). M.p.: 204-205 °C. ¹H-NMR (500 MHz, (CD₂)CO, 25 °C): δ = 8.52 (s, 2H, OH), 8.32 (d, J = 1.5 Hz, 2H, ArH), 7.80 (d, J = 2.0 Hz, 2H, ArH), 7.76 (d, J = 1.5 Hz, 2H, ArH), 6.93 (d, J = 2.0 Hz, 2H, ArH), 1.49 (s, 18H, C(CH₃)₃). ¹³C-NMR (125 MHz, (CD₂)CO): δ = 157.33, 149.79, 139.53, 135.81, 131.73, 131.01, 126.17, 124.63, 120.16, 119.40, 109.15, 105.29, 35.31, 35.14. IR (KBr) (ν (cm⁻¹)) = 3289, 2953, 2907, 2868, 1605, 1444, 1413, 1393, 1377, 1364, 1340, 1256, 1215, 1173, 1142, 1115, 1070, 1028, 989, 941, 899, 876, 865, 818, 777, 748, 650, 621, 569, 550, 524, 473, 420, 413, 407. HRMS (ESI, m/z): [M+H⁺] calc. for C₉H₇O₂: 397.2162, found: 397.2188. Compound 4 undergoes oxidation under ambient conditions both, in solution and on SiO₂.

8,8',11,11'-tetra-tetrt-butyl-(3,5,5'-biperylene)-2,2',5,5'-tetral 5 Perylene bis-boronic ester 3 (1.0 g, 1.62 mmol) and NaOH (390 mg, 9.73 mmol) were dissolved in THF (80 mL). An aqueous solution of H₂O₃ (0.836 mL, 9.73 mmol, 35 wt%) was added drop-wise and the reaction mixture stirred at r.t. for 2 h. The solution was acidified to pH 1-2 by addition of 1 M HCl solution and extracted with CHCl₃ (3 x 50 mL). Combined organic layers were dried over Na₂SO₄ and the solvent removed in vacuo. The crude was purified by column chromatography (SiO₂ eluents: toluene:EtOAc, 10:1) affording 8,11-di-tetrt-butylopyrene-2,5-diol (4) as orange solid (402 mg, 63 %) and compound 4 as greenish powder (232 mg, 36%). M.p.: >300 °C. ¹H-NMR (500 MHz, (CD₂)CO, 25 °C): δ = 8.46 (d, J = 1.0 Hz, 2H, ArH), 8.41 (d, J = 1.5 Hz, 2H, ArH), 8.35 (s, 2H, OH), 8.01 (s, 2H, ArH), 7.87-7.82 (m, 8H), 7.46 (d, J = 2.0 Hz, 2H, ArH), 1.54 (s, 18H, C(CH₃)₃, 1.52 (s, 18H, C(CH₂)₃). ¹³C-NMR (125 MHz, (CD₂)CO): δ = 157.44, 155.68, 150.00, 149.97, 138.93, 135.82, 134.07, 133.75, 131.14, 130.91, 126.01, 127.71, 124.69, 120.61, 119.67, 119.50, 114.08, 110.25, 109.93, 108.13, 35.63, 31.57. IR (ATR) (ν (cm⁻¹)) = 3528, 3398, 2955, 2907, 2868, 1601, 1522, 1464, 1437, 1393, 1362, 1347, 1290, 1256, 1209, 1177, 1138, 1069, 993, 945, 878, 856, 820, 777, 766, 733, 644, 621, 590, 503, 471, 405. HRMS (ESI, m/z): [M+H⁺] calc. for C₉H₇O₂: 397.2162, found: 397.2188; UV-Vis (toluene): λₘₚ = 463 nm (ε = 95577 ±1370 M⁻¹ cm⁻¹).
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Author Contribution Statement

T.M. performed all the synthesis and prepared all the materials for the different studies. A.F. performed all the photophysical and electrochemical characterization. S.J.A.P. carried out the phosphorescence lifetime measurements. N.D. performed all the X-Ray diffraction structural characterizations. I. P., I.O., N.K. and S.C. performed all the NLO measurements. A.A. and M.P. performed all the computational studies of the NLO properties and excitation phenomena. F.D.L. performed the DFT calculation for the HOMO and LUMO levels. Moreover, Prof. Paola Ceroni and Prof. Giacomo Bergamini are kindly acknowledged for the accessability to some equipment of Photochemical Nanoscience Group at University of Bologna.

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