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- 1 Associations between polygenic liability for schizophrenia and level of
- 2 psychosis and mood-incongruence in bipolar disorder
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Key Points

- 27 **Question**: what is the relationship between schizophrenia related polygenic liability and
- the occurrence and level of mood-incongruence of psychotic symptoms in bipolar
- 29 disorder (BD)?
- Findings: in this case-control study including 4436 BD cases, 4976 schizophrenia cases
- and 9012 controls, there was an exposure-response gradient of polygenic risk:
- 32 Schizophrenia > BD with prominent mood-incongruent psychotic features > BD with
- 33 mood-congruent psychotic features > BD with no psychosis, all differential associations
- were statistically-significant.
- 35 Meaning: A gradient of genetic liability across schizophrenia and bipolar disorder,
- indexed by the occurrence of psychosis and level of mood-incongruence has been
- 37 shown for the first time.

Abstract 38 Importance 39 Bipolar disorder (BD) overlaps schizophrenia in its clinical presentation and genetic 40 41 liability. Alternative approaches to patient stratification beyond current diagnostic categories are needed to understand the underlying disease processes/mechanisms. 42 43 **Objectives** 44 To investigate the relationship between common-variant liability for schizophrenia, indexed by polygenic risk scores (PRS) and psychotic presentations of BD, using clinical 45 descriptions which consider both occurrence and level of mood-incongruent psychotic 46 47 features. 48 Design Case-control design: using multinomial logistic regression, to estimate differential 49 associations of PRS across categories of cases and controls. 50 Settings & Participants 51 52 4399 BDcases, mean [sd] age-at-interview 46[12] years, of which 2966 were woman 53 (67%) from the BD Research Network (BDRN) were included in the final analyses, with 54 data for 4976 schizophrenia cases and 9012 controls from the Type-1 diabetes genetics consortium and Generation Scotland included for comparison. 55 Exposure 56 57 Standardised PRS, calculated using alleles with an association p-value threshold < 0.0558 in the second Psychiatric Genomics Consortium genome-wide association study of

genotyping-platform.

schizophrenia, adjusted for the first 10 population principal components and

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Main outcome measure

- Multinomial logit models estimated PRS associations with BD stratified by (1) Research
- Diagnostic Criteria (RDC) BD subtypes (2) Lifetime occurrence of psychosis.(3) Lifetime
- 64 mood-incongruent psychotic features and (4) ordinal logistic regression examined PRS
- associations across levels of mood-incongruence. Ratings were derived from the
- 66 Schedule for Clinical Assessment in Neuropsychiatry interview (SCAN) and the Bipolar
- 67 Affective Disorder Dimension Scale (BADDS).
- 68 Results

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- 69 Across clinical phenotypes, there was an exposure-response gradient with the strongest
- PRS association for schizophrenia (RR=1.94, (95% C.I. 1.86, 2.01)), then schizoaffective
- 71 BD (RR=1.37, (95% C.I. 1.22, 1.54)), BD I (RR= 1.30, (95% C.I. 1.24, 1.36)) and BD II
- 72 (RR=1.04, (95% C.I. 0.97, 1.11)). Within BD cases, there was an effect gradient, indexed
- by the nature of psychosis, with prominent mood-incongruent psychotic features having
- 74 the strongest association (RR=1.46, (95% C.I. 1.36, 1.57)), followed by mood-congruent
- 75 psychosis (RR= 1.24, (95% C.I. 1.17, 1.33)) and lastly, BD cases with no history of
- 76 psychosis (RR=1.09, (95% C.I. 1.04, 1.15)).
- 77 Conclusion
- 78 We show for the first time a polygenic-risk gradient, across schizophrenia and bipolar
- 79 disorder, indexed by the occurrence and level of mood-incongruent psychotic
- 80 symptoms.

Introduction

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Although classified as a discrete diagnostic category¹⁻³, bipolar disorder (BD) overlaps considerably with schizophrenia (SCZ) in both its clinical presentation 4-13 and genetic liability ¹⁴⁻²². BD is a phenomenologically heterogeneous construct and within the diagnostic category, individuals may have quite different symptom profiles. It has been proposed, that this clinical heterogeneity indicates underlying aetiological heterogeneity and the degree of clinical similarity between BD and SCZ reflects, overlapping alleles which selectively influence specific, shared clinical characteristics, rather than the global risk for the disorders ²³⁻²⁵. Delusions and hallucinations are common in BD ^{26,27} with around one third of all psychotic features judged to be mood-incongruent ^{28,29}. Mood-incongruent psychotic features, are associated with poorer prognosis, poor lithium-response and are qualitatively similar to the prototypic symptoms of SCZ 30-32, suggesting that BD with psychosis and particularly mood-incongruent psychotic features, may specify a subgroup/stratum with stronger aetiological links to SCZ. Stratified linkage and candidate-gene studies of BD associations with chromosomal regions and genes implicated in SCZ, show stronger effects in psychosis and mood-incongruent subsamples ³³⁻³⁶ providing some support for this causal heterogeneity hypothesis, however lack of consistency in earlier linkage and candidate-gene studies renders the overall support weak. Recently, genome-wide association studies (GWAS) have found a substantial polygenic component to both BD and SCZ risk, with a large proportion of their genetic variance explained by common alleles, partially shared across the two disorders 20. Polygenicrisk can be calculated for individuals, with a single summary measure: the polygenic

risk score (PRS), which allows us to examine the genetic basis of symptom domains, within and across the two disorders ³⁷⁻³⁹ with greater power than the historical linkage and candidate-gene approaches. PRS-SCZ differentiate BD from controls 20,40 and there are differential associations across subtypes with schizoaffective bipolar disorder (SABD) (intermediate subtype, characterised by admixture of SCZ and BD symptoms) having a relatively larger burden of SCZ risk, compared to other BD subtypes ^{15,41}. To date, lack of power in well phenotyped samples has hindered fine-scale examination of the relationship between SCZ polygenic-risk and psychotic symptoms in BD. We aimed to examine the relationship between polygenic liability for SCZ and psychotic presentations of BD using PRS generated from the most powerful SCZ-GWAS discovery set available, currently ²¹. Measures relevant to the occurrence and nature of psychotic symptoms were considered. We hypothesised BD with psychosis would be associated with higher polygenic-risk for SCZ and this association would be stronger when moodincongruent psychotic features were present, given their phenotypic similarity to the psychotic symptoms of prototypic SCZ.

Methods

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122 Sample Ascertainment

Bipolar Disorder sample

4436 cases of BD with deep phenotypic information, European ancestry, domicile in the UK, collected between 2000 - 2013 were available via the UK BD Research Network (BDRN) using recruitment methods reported previously ^{15,42,43}. The sample has 1399 cases not included in prior BDRN publications ^{15,41}. All participants were assessed using a consistent protocol which included the Schedule for Clinical Assessment in Neuropsychiatry interview (SCAN) ⁴⁴ administered by trained research psychologists

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and psychiatrists, with very good to excellent inter-rater reliability for all domains of psychopathology ⁴⁵. Using information from the SCAN and casenote review, the Operational Criteria Checklist (OPCRIT) 46 was completed. Research Diagnostic Criteria (RDC) ³diagnoses, which differentiate individuals on the basis of the their pattern of mood and psychotic symptoms better 41 than either DSM 2 or ICD-101, were made using the consensus lifetime best-estimate method, informed by all available information⁴⁷. Schizophrenia sample To allow comparison of BD with SCZ, we included a subset (N=4976) of the CLOZUK sample, collected via the Zapronex® Treatment Access System as detailed in a previous report⁴⁸, All were prescribed clozapine for treatment resistant SCZ (TRS) and are independent of, and unrelated (pi-hat < 0.2) to individuals in the discovery GWAS²¹. In principle, TRS may carry higher polygenic-risk burden, however PRS in CLOZUK are similar to the other SCZ samples used by the Psychiatric Genomics Consortium²¹. Control Samples The controls came from two UK sources: the Type-1 diabetes genetics consortium (TIDGC) (n = 2,532) are unscreened controls, recruited through the 1958 birth-cohort 49 and the other is a subsample of the Generation Scotland (n = 6.480) study, screened for psychiatric disorders 50 . Controls are unrelated (pi-hat < 0.2) to individuals in the PGC-SCZ discovery set, and were matched ancestrally to our case datasets ⁴⁸. All samples have appropriate ethics approvals. Genotyping, quality control (QC), phasing and imputation Bipolar cases Genotypic data for the BD cases were processed in 3 batches, each on a different platform. To mitigate against potential bias from batch effects⁵¹, stringent QC was

performed on each platform separately prior to merging. Single nucleotide polymorphisms (SNPs) were excluded if the call rate was < 98%, MAF was < 0.01 or they deviated from HWE at p $< 1 \times 10^{-6}$. Individuals were excluded if they had minimal or excessive autosomal homozygosity (|F|>0.1), high pairwise relatedness (pi-hat >0.2) or mismatch between recorded and genotypic sex. Following QC, the data for each platform were phased using SHAPEIT 52 and imputed with IMPUTE2 53, using the 1000 Genomes reference panel (Phase 3, 2014). Imputed data were converted into the most probable genotypes (probability >0.9) and merged on shared SNPs. 4399 BD cases remained after OC CLOZUK cases and Controls The CLOZUK and control samples had been though strict QC separately, before being phased and imputed simultaneously as part of a larger SCZ study ⁴⁸. Merging BD, CLOZUK and control imputed genotypic datasets After excluding SNPs with stand ambiguity; BD, CLOZUK and control samples were merged and the imputed markers underwent a second QC filter⁵¹, excluding SNPs with; missingness in >5% of individuals, (INFO) <0.8, MAF <0.01 or deviation from HWE at p $< 1 \times 10^{-6}$ Principal Component Analysis To adjust for potential confounding from population structure, we performed PCA using PLINK v1.9, after LD pruning and frequency filtering the SNPs from the merged sample, keeping the eigenvectors for the first 10 principal components (PCs) to use as covariates in the association analysis.

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Polygenic Risk Scores (PRS) We generated PRS²⁰, using the 2014 PGC-SCZ meta-analysis as our discovery set²¹ calculated for each individual, based on a set of alleles with association p-values < 0.05. This decision was informed by the PGC leave one-cohort-out PRS analyses, for all SNP selection p-value thresholds, which found the median and mode of the cut-off = 0.05. This represents the association that best optimises the balance of false and true risk alleles, at the current discovery sample size ²¹. The most informative and independent markers were selected to minimise statistical noise where possible, using p-value informed clumping, at $r^2 < 0.2$ with 1MB windows and by excluding the extended MHC (Chr6: position 25-35MB) because of its complex LD structure. Outcome measure of lifetime psychosis & mood incongruence Subtypes of BD RDC subtypes were used as categorical outcomes in case-control analyses. The RDC ³ and Diagnostic and Statistical Manual of Mental Disorders (DSM) 2, though not the ICD-10 Classification of Mental and Behavioural Disorder (ICD-10) 1, subdivides BD into bipolar I disorder (BD I) and bipolar II disorder (BD II) depending on the nature of the mood states; mania in (BP I) and hypomania in (BP II). All classification systems recognise SABD. Psychotic symptoms are most prominent in SABD, then BD I, and least prominent in BD II 54,55. The Bipolar Affective Disorder Dimension Scale Outcome measures were generated from The Bipolar Affective Disorder Scale (BADDS) Psychosis (P) and mood-incongruence (I) subscales, which provide an ordered (not necessarily linear) measure of lifetime symptom domain severity⁵⁶. An inter-rater

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reliability exercise for this sample demonstrates excellent interclass correlation: (P) 0.91 and (I) 0.89. 1) A binary categorical outcome measure for lifetime occurrence of psychosis defined as an unambiguous episode of positive and/or disorganised psychotic symptoms, generated by dichotomising the (P) domain scale at a score > 9 ⁵⁶. 2) A binary categorical outcome measure for lifetime occurrence of predominant moodincongruent psychotic features (high v low prominence of mood-incongruence), generated by dichotomising the (I) domain scale at a score >19. 3) An ordinal measure of mood-incongruent psychotic features which assesses the overall balance between mood-congruent and mood-incongruent psychosis across the lifetime, rated using all available information according to BDRN protocol (E supplement: Note 1) Statistical Analysis A multinomial logit model (MNLM) was used to estimate differential associations of standardised PRS, adjusted for the first 10 PCs and genotyping-platform, across categories of cases and controls. We report the estimated coefficients transformed to relative risk-ratios (RR), defined as the exponentiated regression coefficient. PRS association across levels of mood-incongruent psychotic features using ordinal logistic regression was also estimated. To examine whether SABD subtypes were driving observed PRS associations with mood-incongruent psychotic features, we did a sensitivity analysis excluding SABD cases. Post-estimation predicted probabilities were plotted to aid interpretation of the PRS associations across RDC subtypes of BD ⁵⁷. To correct for multiple comparisons of PRS associations across different phenotypic strata

within each model, bootstrapped standard errors and 95% confidence intervals were

generated, as an approximation to exact permutation methods 58 (supplementary E -Note 2). Possible family-wise type-1 error proliferation was controlled for using the Bonferroni Method, calculated by multiplying the bootstrapped p-values by four 59. Post-hoc analyses used a MNLM case-control design to examine differential associations across composite phenotypic categories defined by subtype BDI and BD II stratified by psychosis status and a complementary logistic regression analyses comparing the effect of PRS on lifetime occurrence of psychosis, across BD I and BD II subtypes. To examine the distribution of RDC defined cases across levels of PRS, we converted PRS to deciles and generated a stacked bar-chart (SCZ (CLOZUK), SABD, BD I, BD II), by decile. Analyses were performed using PLINK v1.9 60 or STATA (Stata Statistical Software: Release 14. College Station, TX: Stata Corp, LP). Results Sample description, Genotyping and quality control After merging BD, CLOZUK and control imputed-genotyped samples and further QC, 18,387 cases and controls (E-supplementary Table 1) with 3,451,354 SNPs with INFO score > 0.8 and MAF > 1% were available for analysis. Within the BD sample 52% (N = 2296) of cases endorsed lifetime occurrence of definite psychosis, with <1% missingness in this variable (N=25). Of the BD cases with definite psychosis, 43% (N=981) were classed as having high lifetime mood-incongruent psychotic features. There was a 9% (N=214) missingness rate for the mood-incongruence variable, within the BD cases with psychosis.

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Case Control PRS associations As expected (Table 1 Section A), PRS discriminated CLOZUK from controls. PRS in those with a diagnosis of SABD or BD I, but not BD II, were significantly higher than controls. PRS associations within cases PRS discriminated SCZ from all BD subtypes (Table 2). Within BD, PRS discriminates BD II from both BD I and SABD (Figure 1). The percentage of CLOZUK cases increased monotonically with increasing decile PRS, while the percentage of bipolar subtypes decreased (Figure 2). PRS associations with psychotic BD Compared to controls, the PRS were higher in BD, regardless of whether there was a history of psychosis (Table 1, Section B, Figure 2). However, PRS were significantly higher in BD with psychosis, compared to BD without psychosis (Table 1, Section B, figure 3). Within BD cases, PRS discriminated those with and without psychosis (RR=1.25, 95% bootstrapped adjusted p-value < 001, C.I. (1.16, 1.33)). Post hoc analyses showed the association between PRS and psychosis was present in BD I (OR = 1.21, 95% C.I. 1.10, 1.32) but were not statistically significant in BD II (OR = 1.21, 95% C.I. 1.10, 1.32) 0.98, 95% C.I. 0.80, 1.18). Composite subgroup defined as BD I with psychosis - had higher PRS compared to controls (RR = 1.38,95% C.I. 1.31,1.46) this association was significantly stronger than that of the composite BD I/no psychosis (RR= 1.16, 95% C.I. 1.08, 1.25). Within BD II, there was no differential association across subgroups defined by presence/absence of psychosis as compared to controls (supplementary-E: Table-1).

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PRS associations with mood-incongruent psychotic features Psychotic BD characterised by high mood-incongruence has a higher SCZ polygenic risk burden than controls, with a one standard-deviation increase in PRS increasing the RR of being in the high mood-incongruence category by 46% (RR= 1.46, bootstrapped, 95% C.I. 1.36, 1.57) (Figure 3, Table 1 Section C). Although the association was significantly weaker than for the high mood-incongruent group, schizophrenia riskalleles were enriched in those with low mood-incongruence compared with controls (RR= 1.24, bootstrapped 95% C.I. (1.17, 1.33). Sensitivity analysis excluding the SABD group from analyses found comparable results (Table 1: Section D). Finally, a within-BD-case analysis, measuring mood-incongruence on an ordinal scale found the odds of having higher levels of mood-incongruence, increased with increasing PRS (OR=1.17, (bootstrapped p-value < .001, 95% C.I. 1.08 - 1.27)). Analyses excluding the SABD sample found comparable results (OR=1.20, bootstrapped p-value < .001, 95% C.I. 1.09, 1.32). Discussion Main Findings Higher PRS-SCZ in BD ^{20,61} is well established. Here, we replicate and extend this observation, demonstrating a gradient of PRS associations across SCZ and BD subtypes (CLOZUK > SABD > BD I with psychosis > BD I without psychosis > BD II). We also show BD cases with psychosis carry a higher burden of SCZ risk-alleles, compared to BD without a history of psychosis. Furthermore, individuals with psychotic BD characterised by prominent mood-incongruent psychotic features, carry the highest burden of schizophrenia risk-alleles. There is a clear exposure-response gradient, with increasing PRS associated with psychotic BD and increasing mood-incongruence

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(mood-incongruent > mood-congruent > no psychosis), supporting our hypothesis that mood-incongruence indexes phenotypic features linked to SCZ liability. Previously published work examining PRS for SCZ across BD, stratified by psychosis, did not find significant discrimination 41.62 although a trend was observed, consistent with the findings presented here. The most likely explanations for the enhanced signal in the current analysis are: PRS were constructed using alleles derived from a larger SCZ-GWAS discovery set which reduces measurement error plus improved power from both this and the larger BD sample ⁶³. This group has shown⁴¹, PRS-SCZ significantly differentiate SABD from non-SABD subtypes, while finding no statistically significant differential between BD stratified by psychosis, suggesting it is the nature of the psychotic symptoms rather than their presence which better indexes liability shared with SCZ. The current analysis supports this proposition that it is the level of moodincongruence rather than the presence of psychosis per se which better specifies a shared biologically-validated dimensional trait, captured, but with less precision by the SABD diagnostic category. Psychosis and mood-incongruent psychotic features are known to be correlated to poorer prognosis and treatment response³⁰⁻³² It is possible the trans-diagnostic exposure-response gradient for PRS with the occurrence and nature of psychotic symptoms presented here, could be the result of a general psychopathological factor cutting across psychiatric disorders which influences the severity of psychopathology generally, as well as, or rather than a psychosis-specific domain and that PRS derived from SCZ GWAS may be indexing a general liability for psychopathology severity (at least in part)⁶⁴ rather than a (SCZ) disease specific liability.

Implications

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Our study supports the hypothesis that within BD, positive and disorganized psychotic symptoms, and in particular mood-incongruent psychotic features, represent a dimensionally defined stratum with underpinning biological validity. These features are not only phenotypically similar to those observed in prototypal schizophrenia but also index a greater shared genetic aetiology suggesting they share more pathophysiology 65. It is notable that in those diagnosed with BD I with no history of psychosis, the association with schizophrenia liability was weaker but still on average higher than in the control group, while in the BD II subsample there was no overlap with SCZ liability. We are not suggesting psychotic features are the best or only index of shared pathophysiology, but having established stronger genetic links between the risk for SCZ and BD characterised by the occurrence of psychosis and level of mood-incongruence, we now have a basis to refine this signal. These findings represent a step towards the goal of reconceptualising phenotypic definitions using richer clinical signatures, measured across quantitative/qualitative domains including, symptom loadings and biomarker expression, outlined in the rationale for the Research Domain Criteria (RDoC) 66,67 and the road map for mental health research (ROAMER) 68 projects. It is probable however a multidimensional stratification process will harness the observed clinical heterogeneity better and define more precise patient-strata/subgroups in closer alignment with the underlying pathophysiology ⁶⁸⁻⁷⁰

Methodological considerations

The phenotypic ratings used in the current analyses are based on both SCAN interviews and case-note review by raters with excellent inter-rater reliability, which is expected to minimise rates of missing data and reduce the likelihood of phenotypic

misclassification⁷¹. Our psychosis phenotypes are broadly defined and likely to represent imperfect measurements of a continuously distributed phenotype⁷², imposing categorical constraints as we have done may reduce power. We generated PRS using a single discovery set p-value threshold < 0.05 and dealt with multiple comparisons, across different phenotypic categories/strata using bootstrap re-sampling approaches within each of our 4 independent analyses, adjusting for family-wise type-1 error proliferation using Bonferroni's correction. We have mitigated against potential confounding due to population stratification and potential batch effects across cases and controls, by partialling out the first 10 PCs and genotyping platforms from the PRS. The PRS were generated using most probable genotypes which can potentially reduce power due to a small (non-differential) loss of information at some markers making our results conservative, but the conclusions are unlikely to change. Finally, we have only examined the effect of common variants, as rare variants are not captured by current GWAS.

Conclusions

We show for the first time a gradient of polygenic liability across schizophrenia and bipolar disorder, indexed by the occurrence and level of mood-incongruence of positive and disorganised psychotic symptoms. This highlights the usefulness of genetic data to dissect clinical heterogeneity within and across disorders, and suggests further research could potentially aid in defining patient stratifiers with improved biological precision/validity, moving us tentatively towards precision medicine in psychiatry.

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Table 1:Differential Association of PRS across variously defined BD strata (controls as comparator category)

	N (subsample)	RR	Bootstrapped p-value	Bonferroni Corrected p-value	Bootstrapped 95% confidence intervals		
CLOZUK	4,976	1.94	< .001	< .001	1.86, 2.01		
		A) Bipolar Disorder cases stratified by RDC defined subtypes					
SABD	356	1.37	< .001	< .001	1.22, 1.54		
BD I	2,775	1.30	< .001	< .001	1.24. 1.36		
BD II	1,268	1.04	0.26	0.26	0.97, 1.11		
		B) Bipolar Disorder cases stratified by lifetime occurrence of					
		psychosis					
No LEP	2,079	1.09	0.001	0.004	1.04, 1.15		
LEP	2,296	1.36	< .001	< .001	1.29, 1.43		
		C) Psychotic Bipolar Disorder cases stratified by level of mood					
		incongruence					
Low LMI	1,126	1.24	< .001	< .001	1.17, 1.33		
High LMI	981	1.46	< .001	< .001	1.36, 1.57		
		D) Sensitivity Analysis: Psychotic Bipolar Disorder cases					
		stratified by levels of mood incongruence (excluding SABD cases)					
Low LMI	1,068	1.25	< .001	< .001	1.16, 1.33		
High LMI	699	1.49	< .001	< .001	1.37, 1.62		

CLOZUK – Treatment resistant Schizophrenia, treated with clozapine, BD I - RDC bipolar disorder subtype I, BD II - RDC bipolar disorder type II, SABD-RDC bipolar schizoaffective disorder, LEP – lifetime ever occurrence of psychotic symptoms, LMI – lifetime pattern of low/high mood incongruent psychotic features RR – relative risk ratio PRS adjusted for $1^{\rm st}$ 10 PCs and genotyping platform

Table 2: PRS-SCZ associations among cases

	RR	Bootstrapped	Bonferroni	Bootstrapped
		p-value	corrected p-value	95% C.I.
SABD compared to TRS	0.71	<.001	< .001	0.63, 0.80
BD I compared to TRS	0.67	< .001	< .001	0.64, 0.71
BD II compared to TRS	0.54	< .001	< .001	0.50, 0.57
SABD compared to BD II	1.32	< .001	< .001	1.16, 1.50
BP I compared to BD II	1.25	<.001	< .001	1.16, 1.35
SABD compared to BD I	1.05	0.41	0.41	0.93, 1.18

TRS - treatment resistant schizophrenia, treated with clozapine, BDI - RDC bipolar disorder subtype I, BD II - RDC bipolar disorder type II, SABD-RDC bipolar schizoaffective disorder, RR - relative risk ratio PRS adjusted for 1st 10 PCs and genotyping platform 95% bootstrapped C.I. - 95% confidence intervals.

Figure 1. Probability of RDC bipolar subtype as a function of polygenic risk scores (PRS) for schizophrenia

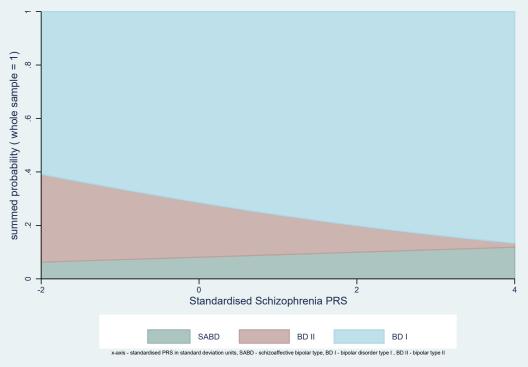


Figure 2: Percentage of bipolar subtype as a function of PRS for schizophrenia - grouped by decile

