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1 **A conceptual model for climatic teleconnection signal control on groundwater variability in Europe**

2

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10

11 **Abstract**

12 The ability to predict future variability of groundwater resources in time and space is of critical
13 importance to drought management. Periodic control on groundwater levels from oscillatory climatic
14 systems (such as the North Atlantic Oscillation) offers a potentially valuable source of longer term
15 forecasting capability. While some studies have found evidence of the influence of such climatic
16 oscillations within groundwater records, there is little information on how periodic signals propagate
17 between a climatic system and a groundwater resource. This paper develops a conceptual model of
18 this relationship for groundwater resources in Europe, based on a review of current research. The
19 studies reviewed here reveal key spatial and temporal signal modulations between climatic
20 oscillations, precipitation, groundwater recharge and groundwater discharge. Generally positive
21 correlations are found between the NAO (as a dominant influence) and precipitation in northern
22 Europe indicating a strong control on water available for groundwater recharge. These periodic signals
23 in precipitation are transformed by the unsaturated and saturated zones, such that signals are damped
24 and lagged. This modulation has been identified to varying degrees, and is dependent on the shape,
25 storage and transmissivity of an aquifer system. This goes part way towards explaining the differences
26 in periodic signal strength found across many groundwater systems in current research. So that an

27 understanding of these relationships can be used by water managers in building resilience to drought,
28 several research gaps have been identified. Among these are improved quantification of spatial
29 groundwater sensitivity to periodic control, and better identification of the hydrogeological controls
30 on signal lagging and damping. Principally, research needs to move towards developing improved
31 predictive capability for the use of periodic climate oscillations as indicators of longer term
32 groundwater variability.

33

34 Keywords: teleconnection; hydroclimatology; groundwater; water management; climate

35 **1. Introduction**

36 A number of studies have identified significant extra-annual periodic signals in long-term groundwater
37 records (Holman et al. 2011; Holman 2006; Kuss & Gurdak 2014; Velasco et al. 2015; Cao et al. 2016;
38 Dickinson et al. 2014). Such signals are understood to be ultimately driven by oscillatory climatic
39 systems, such as the El-Niño Southern Oscillation (ENSO) and the North Atlantic Oscillation (NAO)
40 (Kingston et al. 2006). Currently there is no conceptual model that describes how these extra-annual
41 periodic signals are propagated and transformed by meteorological and hydrogeological processes.
42 Thus the spatial and temporal variability of low-frequency signal strength found in groundwater data
43 cannot be systematically explained at present. These long-period signals offer a source of improved
44 forecasting capability for hydrogeological response, thereby representing a potentially valuable area
45 of development for systematic understanding of groundwater variability (Kingston et al. 2007; Kuss &
46 Gurdak 2014; Water UK 2016; Kingston et al. 2006; Loon 2013; Tallaksen et al. 2006).

47

48 Many operational assessments of hydrogeological resilience to drought are based on the premise that
49 describing response to annual fluctuation in groundwater recharge is sufficient for resource
50 management (Environment Agency 2013; Kingston et al. 2006). Annual variability typically represents
51 a large proportion of the total variance observed in long-term groundwater records (Holman et al.

52 2011). As such it a useful component in identifying groundwater sensitivity to catchment
53 characteristics (Rust et al. 2014). However, as a requirement of the predictive modelling used for many
54 water resource investigations (Van Loon 2015), statistical assessments of groundwater records often
55 assume variance and autocorrelation are stationarity at extra-annual scales (Milly et al. 2008).
56 Therefore, the systematic periodic controls on groundwater resources at extra-annual scales have not
57 traditionally been considered (Kingston et al. 2006; Currell et al. 2014; Alexander et al. 2005; Folland
58 et al. 2015; Hanson et al. 2006; Kuss & Gurdak 2014; Bloomfield et al. 2003).

59

60 While parts of the systematic linkage between climate and groundwater have been assessed in
61 isolation by previous studies, the current lack of a unifying model means that existing information on
62 these periodic controls cannot be readily used to inform groundwater management decisions. Given
63 the potential for improved prediction of groundwater variability, this information should allow for
64 more effective planning for social, ecosystem, and infrastructure resilience to drought (Kingston et al.
65 2006; Van Loon 2013; Van Loon 2015). This is of particular importance in Europe, which has received
66 little focus in groundwater teleconnection literature to date. In this paper we review existing research
67 on hydroclimatological linkages and signal propagation through the water cycle to produce a
68 conceptual model of how periodic climatic variability drives sympathetic signals in groundwater
69 systems in Europe.

70

71 **2. Generalised conceptual model of periodic climatic signal propagation to groundwater** 72 **resources**

73 A generalised conceptual model of the control linkages within the water cycle between periodic
74 climate systems and groundwater response is shown in Figure 1. The first two stages of this figure
75 conceptualise the generation and propagation of low-frequency climate and weather signals, while
76 the last two stages conceptualise how those signals propagate through the land surface and

77 groundwater system via groundwater recharge and discharge. By assessing the current evidence base
78 in each of these four stages, here we develop a conceptual model for spatiotemporal signal
79 propagation between climate and groundwater systems in Europe, and identify knowledge gaps. In
80 the following sections we assess the spatial distribution of climatic systems in the North Atlantic region
81 (Section 3), the evidence for signal generation and spatial propagation through coupled climate and
82 weather systems (Section 4) and finally signal transformations through the groundwater system
83 (Section 5 & 6).

1. Climate variability

Climatic systems comprising components of atmospheric and / or oceanic circulations, exhibiting low frequency variability.



2. Control on Periodic weather signals

Spatial distribution of precipitation, and temperature variability in the UK and Europe is, at least, partly driven by complex relationships with atmospheric and oceanic currents.



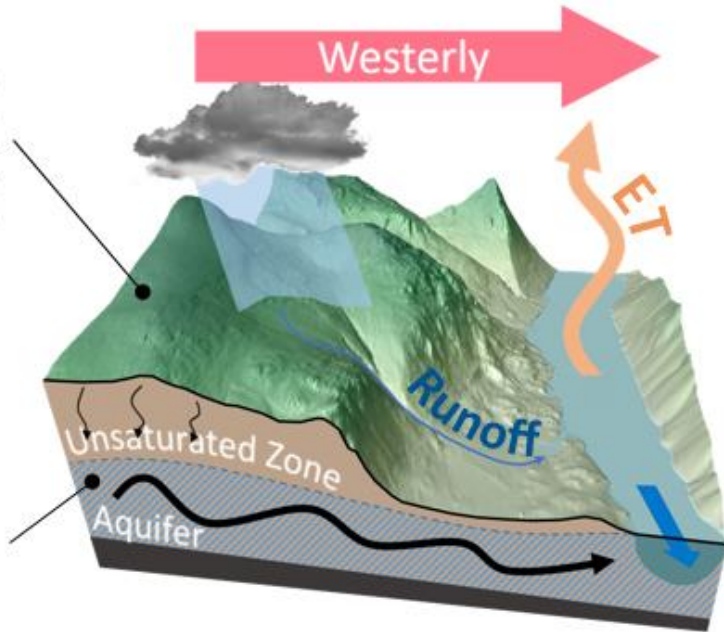
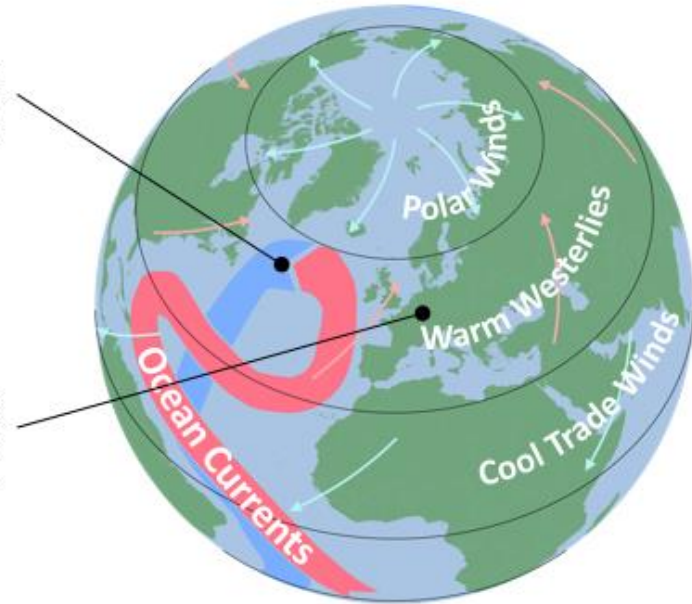
3. Control on periodic recharge signals

Signals of local variability in precipitation and evapotranspiration are converted to groundwater recharge through flow and storage processes in the surface, root and unsaturated zones.



4. Control on periodic discharge signals

Signals of groundwater recharge are captured across the spatial domain of an aquifer, and are converted to signals of groundwater level and discharge to springs or river base flow, through saturated zone processes.



84

85 *Figure 1 – Generalised conceptual model of low frequency signal propagation between climatic systems and groundwater*
86 *level and discharge*

87

88 **3. Climatic Variability and Teleconnections**

89 **3.1. Measures of Climatic Variability**

90 Research into hydroclimatology often relies on statistical assessments between climatic anomalies
91 and hydrological datasets. Anomalies are defined as the difference between a measured climate
92 variable (for instance sea level pressure (SLP)) and the normal state, usually the temporal mean, of
93 that variable. They are therefore useful metrics for comparing measurements at different locations
94 within a climate system (Hurrell et al. 2003).

95
96 Teleconnection (TC) indices are constructed from anomalies at different locations within a system of
97 atmospheric or oceanic variability (such as the NAO), giving a spatial picture as to the state of the
98 system. TC indices are often described in terms of their phase as departures from the mean, either a
99 positive or negative phase. This indicates which anomaly is most dominant and therefore which mode
100 the system is in. For instance the El Niño or La Niña mode in the case of the El Niño Southern
101 Oscillation (ENSO). TC indices often exhibit multiscale periodic variability as a result of complex non-
102 linear processes within atmospheric and oceanic dynamics (Hauser et al. 2015; Hurrell et al. 1997).
103 These indices are therefore favoured by hydroclimatologists as a tool to measure hydrological
104 sensitivity to climatic circulations. (Kingston et al. 2006; Loboda et al. 2006).

105
106 A broad range of TCs have been studied in the past such as NAO (Hurrell 1995), ENSO (Wang & Kumar
107 2015), Pacific Decadal Oscillation (Routson et al. 2016; Kuss & Gurdak 2014), Atlantic Multidecadal
108 Oscillation (Wyatt et al. 2012), and Arctic and Antarctic Oscillation (Tabari et al. 2014), as well as other
109 indicators of climate and oceanic variability such as sea surface temperature (SST) (Wilby et al. 1997).
110 While it is not the intention of this paper to provide an exhaustive review of TCs, here we focus on
111 recent TC research of potential relevance to groundwater systems in Europe. Such circulations are
112 described in the following sections.

113 **3.1.1. North Atlantic Oscillation (NAO)**

114 The NAO is a dipole of SLP anomalies between semi-permanent centres of action in the North Atlantic:
115 the Azores (Sub Tropical) High and the Icelandic (Sub Polar) Low (Hurrell et al. 2003). The oscillation
116 exhibits a principle periodicity of 8 - 9 years, and a secondary periodicity of 3 - 5 years, which are seen
117 principally in winter index values (Hurrell & Deser 2010). Its variability is understood to be partially
118 driven by quasi-stationary planetary waves (Hurrell 1995, Trenberth 1993).

119

120 The NAO is the dominant mode of atmospheric behaviour throughout the year in the North Atlantic
121 region (Dickson et al 1999). It can account for up to 30% of the variability in SST (Shabbar et al. 2001)
122 and 50% of winter weather variability in Europe (Cassou 2003; Hurrell and Van Loon, 1997; Fritier et
123 al 2012). Although the NAO is principally influential on European regional climate, its influence
124 extends, to a lesser extent, to Africa, China and the USA (López-Moreno et al. 2011; Lee & Zhang 2011;
125 Wang et al. 2015; Magilligan & Graber 1996).

126

127 **3.1.2. East Atlantic Pattern (EA)**

128 The East Atlantic (EA) pattern has a similar spatiotemporal structure to the NAO, but shifted southwest
129 within the Atlantic region. The EA exhibits a strong multi-decadal mode of variability (Holman et al.
130 2011) and is the second most prominent mode of low frequency variability in the North Atlantic Region
131 (Wallace & Gutzler 1981). The effect of the EA on regional climate closely mirrors that of the NAO,
132 however it has been shown to exhibit internal variability (Hauser et al. 2015; Tošić et al. 2016).

133

134 **3.1.3. Arctic Oscillation (AO)**

135 The Arctic Oscillation (AO) is also known as the Northern Hemisphere Annual Mode (CPC, 2016). It is
136 characterised by pressure anomalies over the Arctic, with other anomalies centred on latitudes of 37-
137 45° N (Givati 2013). The temporal variability of the AO is similar to that of the NAO, with a November-

138 April correlation of 0.95 (Deser 2000). As a result of this, the AO exhibits a similar modulation on
139 moisture and heat exchange in Europe to the NAO. Wallace (2002) suggests that the NAO is a regional
140 expression of the larger AO, however the majority of research accepts that the NAO and AO are
141 internally variable, in some instances influencing each other (Dickson et al. 2000).

142

143 **3.1.4. Scandinavia Pattern / Polar – Eurasian Pattern (POL)**

144 The Scandinavia pattern (SCAND), also referred to as the Eurasia-1 Pattern (Barnston & Livezey 1987)
145 or the Polar-Eurasian Pattern (POL), consists of a primary circulation centre over Scandinavia with
146 weaker centres of opposite phase over Western Europe and eastern Russia/ western Mongolia (CPC,
147 2016, Saunders et al. 2012, Wedgbrow, et al 2002). Although no strong periodicity is given in the
148 literature, Holman et al. (2011) suggest that the Scandinavia pattern exhibits relatively large inter-
149 seasonal, inter-annual and inter-decadal variability.

150

151 **3.1.5. Teleconnection Independency**

152 Wyatt et al (2012) and Water & Frag (2005) found significant co-variances between all North Atlantic
153 indices, suggesting that most individual TC systems are not internally variable and are driven by a
154 wider system. Despite these findings, Lavers et al. (2010) and Cooper (2009) argue that any attempt
155 to confine such complex non-linear systems to univariate or bivariate measures will always fail to
156 account for true variability. Given this, they are still useful tools so long as their assumptions are well
157 understood throughout their analysis (Hurrell et al. 2003).

158

159 **4. Teleconnection controls on periodic weather signals**

160 The amount of recharge to a given groundwater store is related to the amount of precipitation (PPT)
161 and evapotranspiration (ET) received. These two processes are therefore critical carriers of periodic

162 signals between climatic systems and groundwater response. In order to explain the character of
163 extra-annual periodic signals found in groundwater stores, it is first necessary to assess the role of
164 weather systems. In the following section we firstly review the causal relationships between climatic
165 systems and moisture and thermal exchange, and secondly the subsequent impacts on PPT and ET
166 across Europe.

167

168 **4.1. Atmospheric currents and storm generation**

169 North Atlantic westerlies and the Arctic Polar Jetstream affect the distribution of storm activity and
170 wider thermal and moisture exchange over Europe (Joyce et al. 2000; Alexander et al. 2005; Feser et
171 al. 2015). For example, the strength and location of the Polar Jetstream has been shown to account
172 for approximately a third of winter storm variability in Western Europe (Alexander et al. 2005).

173

174 Since the NAO represents a system of pressure distribution in the North Atlantic, it can directly
175 modulate transatlantic pressure gradients and therefore westerly strength (Feser et al. 2015). Strong
176 westerlies enhance the advection of warm moist air from the Atlantic, creating stronger and more
177 frequent cyclones along the North Atlantic storm track (Trigo et al. 2002). Additionally, decreased
178 atmospheric pressure over Iceland, seen in the NAO+, is associated with an increase in the meridional
179 tilt of the North Atlantic storm track (Walter & Graf 2005). Thereby, there is an increased likelihood of
180 larger storms reaching north-western Europe, and propagating into central Europe during a NAO+. For
181 example Trigo et al. (2004) shows that PPT in western Europe is coverable with the NAO's periodicities,
182 at a minimal lag. The NAO's control on ET is typically lagged by 6 months, meaning a strong winter
183 NAO can modulate European ET rates in the subsequent summer (Wedgbrow *et al.* 2002).

184

185 In southern Europe, this relationship is inversed. The region experiences anomalous anticyclonic
186 activity during a NAO+ due to the meridionally tilted storm track, the influence of which decays inland
187 (Tabari et al. 2014; Türkeş & Erlat 2003).

188

189 Although this model of NAO control on westerly storm tracks is well corroborated (Alexander et al.
190 2005; Sickmoller et al. 2000; Taylor & Stephens 1998), the NAO index only accounts for a portion of
191 total atmospheric variability. For example, Walter & Graf (2005) suggest that teleconnection control
192 on storm track strength can be better explained by accounting for higher geopotential heights in the
193 mid to upper troposphere. This accounts for atmospheric blocking at higher altitudes, a process which
194 has been shown to block storm development towards northern Europe in a 'traditional' NAO+,
195 skewing correlation analyses (Shabbar et al. 2001; Peings & Magnusdottir 2014). Despite this, the
196 original NAO definition is more widely used in research due to its fewer data requirements.

197

198 Extra-Atlantic TC systems, such as ENSO, have a negligible control on storm propagation across Europe
199 (Alexander et al. 2002). As such these have not been reviewed in any further detail.

200

201 **4.2. Oceanic currents and thermal exchange**

202 The dominant mechanism of oceanic influence on thermal exchange in Europe is the Gulf Stream
203 (Frankignoul et al. 2001). It accounts for increased winter temperatures and an enhanced storm path
204 in western Europe (Ezer 2015; Davis et al. 2013). The Gulf Stream exhibits control over both PPT
205 frequency and potential evapotranspiration (PET) in the region (Seager et al. 2002). Zonal heat
206 transfer, seen in oceanic systems such as the Gulf Stream, can be viewed as the oceanic counterpart
207 to westerly and storm track moisture transfers.

208

209 The NAO (in particular the Subpolar Low) modulates the Gulf Stream strength through extraction of
210 heat from the Subpolar Gyre and Labrador Sea (Delworth & Zeng, 2016). A positive NAO (NAO+)
211 increases deep water formation (DWF) thereby steepening thermal gradients across the Atlantic and
212 enhancing the Gulf Stream (Chaudhuri et al. 2011; Delworth & Zeng 2016; Walter & Graf 2005;
213 Drinkwater et al. 2014). This increase in DWF in the Polar Regions has also been shown to increase the
214 meridional tilt of the Gulf Stream, extending the enhanced thermal exchange further into northern
215 Europe (Bakke et al. 2008).

216 The long-term average NAO phase is more influential on the Gulf Stream than its finer-scale
217 fluctuations, due to the oceanic system's ability to filter high-frequency variability from external
218 drivers (Hurrell & Dessler, 2010). As a result of this memory capacity, the NAO's influence on the Gulf
219 Stream strength and tilt can be lagged by an average of 1 – 2 years (Taylor 1995; Joyce et al. 2000;
220 Frankignoul et al. 2001), and up to 7 years (Wyatt et al. 2012; Hurrell & Deser 2010).

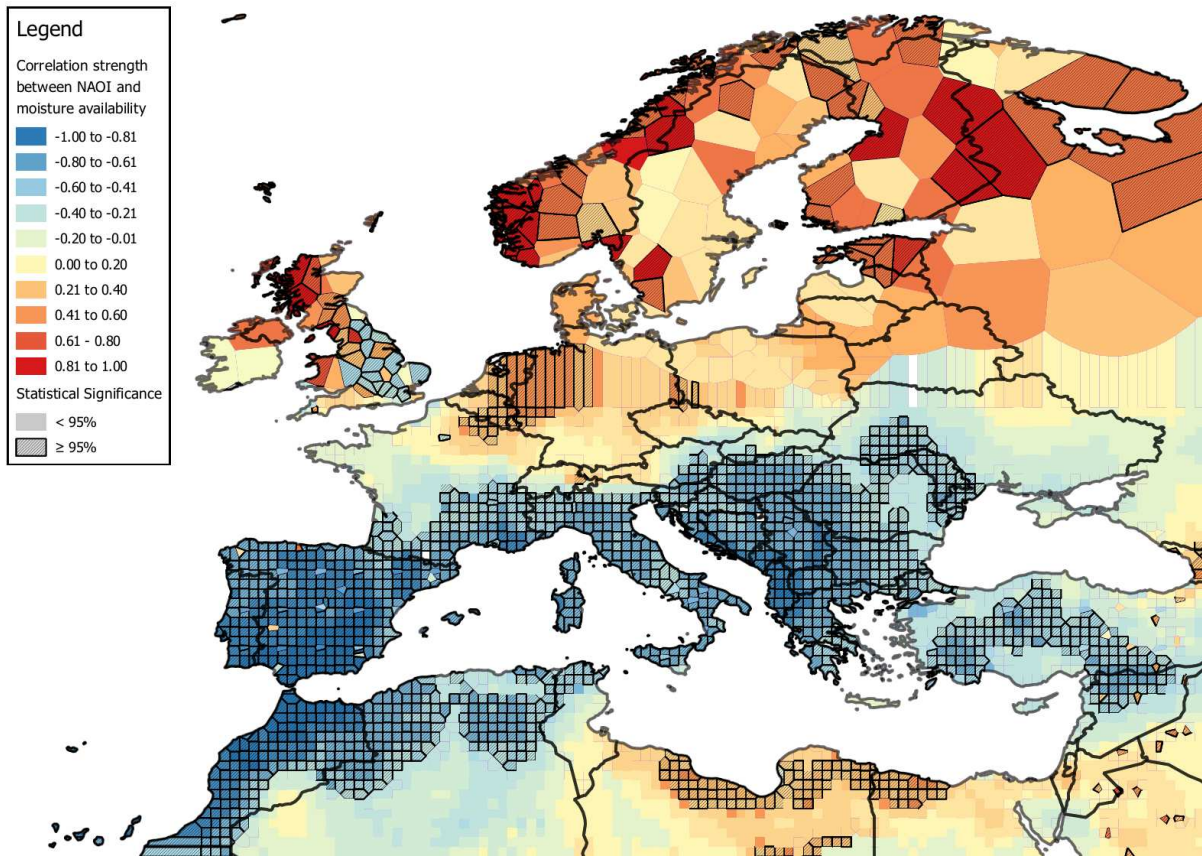
221

222 Other patterns, such as the EA and AO, have been shown to have similar influences on the Gulf Stream,
223 predominantly through spatiotemporal covariance with the NAO signal (Wedgbrow et al. 2002). The
224 similarity of control between the NAO, EA and AO further validates the assertion of Walter & Graf
225 (2005) and Wedgbrow et al (2002) who suggest that these systems are regional expressions of a more
226 complex, vertically heterogeneous, air-sea system over the North Atlantic. Additionally, Principle
227 Component Analysis (PCA) of Gulf Stream data has revealed no periodicities greater than 10 years,
228 making a systematic control of thermal exchange over Europe from non-NAO-like systems unlikely
229 (Chaudhuri et al. 2011). Since drivers of groundwater recharge (PPT and ET) are primarily influenced
230 by the NAO and NAO-like oscillations (such as the EA pattern and the AO), the remainder of this paper
231 will focus on NAO-like signal propagation through groundwater systems.

232 **4.3. Spatial distribution of periodic precipitation anomalies**

233 Figure 2 shows a synthesis of spatial correlation data from published studies between winter NAO
234 index values and PPT or Palmer Drought Severity Index (PDSI). Studies that give point, gridded or
235 regional correlation values between 0 and 1 (such as R) were used. The spatially aggregated data can
236 be considered a generalised correlation between NAO and moisture availability (either directly as PPT
237 or as measured by PDSI). Direct ET correlation data has not been included due to paucity of research.
238 Voronoi polygons were used to distribute the correlation data across a map of Europe shown in Figure
239 2.

240



241

242 *Figure 2 - Correlation between Winter NAO and Precipitation and PDSI. (based on correlation coefficients from Brandimarte*
243 *et al. 2010; Cullen & DeMenocal 2000; Fowler & Kilsby 2002; Hurrell 1995; Lopez-Bustins et al. 2008; Luković, Bajat, Blagojević*
244 *2014; Lavers et al. 2010; López-Moreno et al. 2011; Murphy & Washington 2001; Queralt et al. 2009; Rogers et al. 2001;*
245 *Soediono 1989; Tabari et al. 2014; Türkeş & Erlat 2003; Uvo 2003; Wang et al. 2015; Wilby et al. 1997)*

246

247 Four primary spatial patterns can be described from Figure 2:

248

249 1. There is a positive correlation between a winter NAO index and PPT / PDSI in northern regions
250 of Europe, including the UK and the Scandinavian countries. Highest correlations can be seen
251 in areas dominated by orographic rainfall (for instance Wales, northwest England and
252 Scotland), and western coastlines (such as the Scandinavian Mountains in Norway). This
253 reflects the NAO's control on the Gulf Stream and Atlantic Storm Track strength and tilt.

254

255 2. The only area which appears to exhibit an opposite trend to wider northern Europe is central
256 and south east England. At this location, wetter conditions have a weak negative correlation
257 with the winter NAO. This may be the result of orographic rainfall to the west imposing a
258 barrier to storm progression (Wilby et al. 1997), or a sensitivity of this region to the NAO's
259 sub-tropical component (Wedgbrow et al 2002).

260

261 3. Southern and Mediterranean Europe show a strong negative correlation between the winter
262 NAO and PPT / PDSI. As such these areas are dryer during a positive winter NAO. This is most
263 likely the result of the increased meridional tilt of the westerly storm track in a positive NAO,
264 which limits moisture transport to south Europe.

265

266 4. In general, the strength of the correlation is low in the intermediate zone between positive
267 and negative correlation and diminishes eastward or with distance from the coastline.

268

269 It should be noted that the data aggregated in Figure 2 are from multiple studies which have used
270 separate methodologies, and have differing levels of confidence. As such this figure should be
271 considered a general representation of winter NAO influence on catchment wetness.

272

273 The ability of TC systems to control PPT and ET independently over time and space is critical for
274 determining control on the total water available for recharge. In central Europe, the NAO and NAO-

275 like systems are more capable of driving ET than they are of PPT (Trigo et al. 2002; Mares et al. 2002).
276 This is possibly due to the decay of NAO-driven storm tracks with distance from the Atlantic, while
277 anticyclonic systems are able to drive ET further inland. The result is a dominant NAO control on ET
278 towards central Europe (Merino et al. 2015; López-Moreno et al. 2011; Türkeş & Erlat 2003; Bozyurt
279 & Özdemir 2014). For example, Ghasemi & Khalili (2008) and Tabari et al. (2014) found a greater NAO
280 control on Reference ET in Iran, compared to PPT. The independent control of PPT and ET is still
281 unclear in current research due the effect of local topography, differing study methodologies or the
282 influence of external forcing beyond the TC systems under consideration (Wedgbrow et al. 2002).

283

284 **5. Controls on periodic recharge signals**

285 The nature of teleconnections and their control on weather is spatially variable, as shown in section
286 4. However, a wide range of intrinsic catchment characteristics, for example land cover, soil or
287 geological properties, modify the propagation of potential recharge signals by varying degrees
288 (Nimmo 2005; Rust et al. 2014). Here we discuss the current understanding of how the influence of
289 catchment characteristics, and the distribution of such parameters, affect the propagation of low-
290 frequency periodic signals from PPT and ET through to aquifer recharge.

291

292 **5.1. Land surface Processes and Recharge**

293 The land surface and root zones provide the interface between meteorological processes and
294 infiltration. These include the effect of vegetation, actual ET, surface storage, soil type, and soil
295 storage. While climatic and weather systems are shown to control anomaly signals in both PPT and ET
296 at multiple time scales, the surface and root zones mediate the actual volumes of water that infiltrate
297 into the unsaturated zone. Indeed long-term changes in these near-surface processes may confound
298 low frequency signal propagation toward groundwater recharge (Ferguson & Maxwell 2010; Healy

299 2010).

300

301 While shallow soil horizons and surface stores have been shown to filter finer-scale variability (hourly-
302 daily) from incoming signals (Baram et al. 2012), surface processes have minimal impact on the
303 propagation of long-period signals (Bakker & Nieber 2009; Dickinson et al. 2014). For example, Rust
304 et al. (2014) showed that vegetation type can affect annual and seasonal climate signal propagation
305 into groundwater recharge with little effect on extra-annual scales. It is therefore considered that
306 surface processes bear little impact within the presented conceptual model of long period climate
307 signal propagation.

308

309 **5.2. Unsaturated Zone influence on Recharge Signals**

310 Signal propagation below the root zone is one of the most poorly quantified components of the
311 hydrological cycle. This is, in part, because of the complex nonlinear relationship between flow, water
312 content, and hydraulic diffusivity (Cuthbert et al. 2010). While this is an area of much ongoing
313 research, the development of periodic signals through the unsaturated zone is still an area of much
314 research paucity. There is an established literature, however, on drought development through the
315 water cycle (Loon 2013; Van Loon et al. 2014; Van Loon et al. 2012; Peters et al. 2003; Peters et al.
316 2006; Di Domenico et al. 2010; Tallaksen et al. 2009; Peters 2003; Tallaksen et al. 2006; Bloomfield &
317 Marchant 2013; Mishra & Singh 2010). These focus on the propagation of episodic negative anomalies
318 in PPT through to groundwater level, and are a useful parallel to periodic signal propagation.

319

320 Van Loon (2015) provides a comprehensive text on drought propagation between meteorology and
321 groundwater, in which four signal modulations are characterised. These are; i) Pooling of
322 meteorological droughts into prolonged groundwater drought; ii) Attenuation of PPT deficits in
323 surface stores; iii) Lags in the onset of drought between meteorological, soil moisture, and

324 groundwater systems; and finally iv) Lengthening of droughts when moving between soil moisture
325 and groundwater drought, as a result of attenuation. Features i, iii and iv can be considered
326 descriptions of the unsaturated zone's ability to dampen incoming signals in PPT and ET.

327

328 The ability of a groundwater system to propagate, or dampen, drought signals from PPT and ET is
329 often related to properties of the unsaturated zone, such as storage or thickness (Bloomfield &
330 Marchant 2013; Van Loon 2015; Van Loon et al. 2014). For example, Bloomfield & Marchant (2013)
331 identified lags and lengthening of drought signals between PPT and groundwater level, similar to those
332 described by Van Loon (2015). They propose that long autocorrelations seen in the a Standardised
333 Groundwater Index for multiple boreholes across the UK may be explained by the unsaturated zone's
334 ability to filter out higher autocorrelation frequencies in PPT, while allowing longer-period signals to
335 pass. This is corroborated well by Kumar et al. (2016), who showed that groundwater head anomalies
336 at locations of thicker unsaturated zones achieved higher correlations with SPI at longer accumulation
337 periods, when compared with thinner unsaturated zones.

338

339 As an indicator of available storage in an unsaturated zone, soil type is an important characteristic in
340 modulating the degree of signal damping. Dickinson et al (2014) describes a greater damping rate
341 (with depth) for periodic signal propagation through clayey soils, compared to sandy soils. They
342 concluded that soils with lower hydraulic diffusivity (such as clay soils) filter out sinusoidal frequencies
343 more effectively than soils with greater diffusivity (such as sandy soils). They also propose that extra-
344 annual periodic signals are unlikely to reach steady state through an unsaturated zone, meaning these
345 signals will persist through to recharge. These findings are supported by Valesco et al. (2015).

346

347 In addition to damping incoming infiltration signals, the unsaturated zone is conceptualised to lag
348 signal perturbations between infiltration and groundwater recharge (Gurdak et al. 2007; Crosbie et al.
349 2005; Cao et al. 2016; Dickinson 2004; Cuthbert & Tindimugaya 2010). Despite this, very few studies

350 have quantified this lag for unsaturated zones in Europe. Holman et al (2011) found significant
351 transient lagged correlations between the NAO index and groundwater level data in the UK. Highest
352 correlations were found at 4 year and 16 year period scales. Although lag times were not directly
353 quantified in the study, phase-shifts were presented as tending towards 180° for the NAO signal,
354 indicating a 2 - 8 year lag provided by unsaturated zones in the UK.

355

356 While there has been little quantification of periodic signal damping and lagging through unsaturated
357 zones in Europe, many groundwater resources globally provide a clearer view of the dampening
358 capability of the unsaturated zone. For example, Kuss & Gurdak et al (2014) found an (up to) 93%
359 dependence between groundwater variability on PDO-like signals, at a lag of between 11 to 46 years,
360 in the USA High Plains aquifer. By undertaking a lag correlation between a coupled PDO-like periodic
361 component of rainfall and groundwater level, they attributed the damping detected to the varying
362 thickness of the unsaturated zone. Similar results have been found by Cao et al (2015) in assessing
363 recharge in the North China Plain aquifer, finding that an increase in unsaturated thickness greater
364 than 30 m, results in a reduction in maximum recharge rate of up to 70%. It is worth noting that the
365 North China Plain and the High Plains aquifers cover considerably larger spatial domains compared to
366 those found in Europe. It is not clear, at present, to what extent the strength of these recorded signals
367 is a result of larger aquifer domains or the influence of different TC patterns in these locations, for
368 instance the PDO or ENSO.

369

370 While the geometry and storage of an unsaturated zone can affect the amount of signal damping, this
371 effect is not absolute. For example, Velasco et al. (2015) described the degree of damping through an
372 unsaturated zone is also dependent on the periodicity of the boundary oscillation itself. They report
373 that at an example depth of 10 m, between 100% (for sandy soils) and ~70% (for silty clay loam) of an
374 NAO-like boundary flux is preserved. They conclude that rate of signal damping with depth decreases
375 with increasing period length of a boundary condition, and that this is modulated by soil type and

376 infiltration rate. This is corroborated with other research in this area (Bakker et al. 2009, Cao et al.
377 2016; Dickinson et al. 2014; Ataie-Ashtiani et al. 1999; Nimmo 2005; Currell et al. 2014; Bloomfield &
378 Marchant 2013; Van Loon 2015; Van Loon et al. 2014).

379

380 There are still significant knowledge gaps in describing low-frequency periodic signals progress
381 through the unsaturated zone in Europe. Principally, the quantification of NAO-like signal lags and
382 attenuation through spatially varying soil and geological materials. Despite this, four conceptual
383 effects of surface and unsaturated zone parameters on periodic signal propagation can be described
384 at present:

385

386 1. The unsaturated zone dampens signals between PPT and recharge. The damping capacity of
387 an unsaturated zone becomes greater with increased depth to the water table and/or lower
388 hydraulic diffusivity. Increased damping results in a decreased amplitude in signals at the
389 water table. Damping appears proportional to unsaturated zone thickness, meaning periodic
390 recharge signals tend towards steady-state with increased damping capacity. This is
391 comparable to the attenuation described by Van Loon (2015); resulting in a smoothing of the
392 incoming PPT signal.

393

394 2. Shorter periodic recharge signals are more sensitive to damping. This is true of compound
395 periodic signals, such as those found in the NAO index. In the case of the NAO, it would be
396 expected that the secondary periodicity of 3-4 years would be dampened to a greater extent
397 than the primary periodicity of 8-9 years. This is again comparable to Van Loon (2015);
398 however, period-dependent damping rates appear to be a character specific to periodic signal
399 progression.

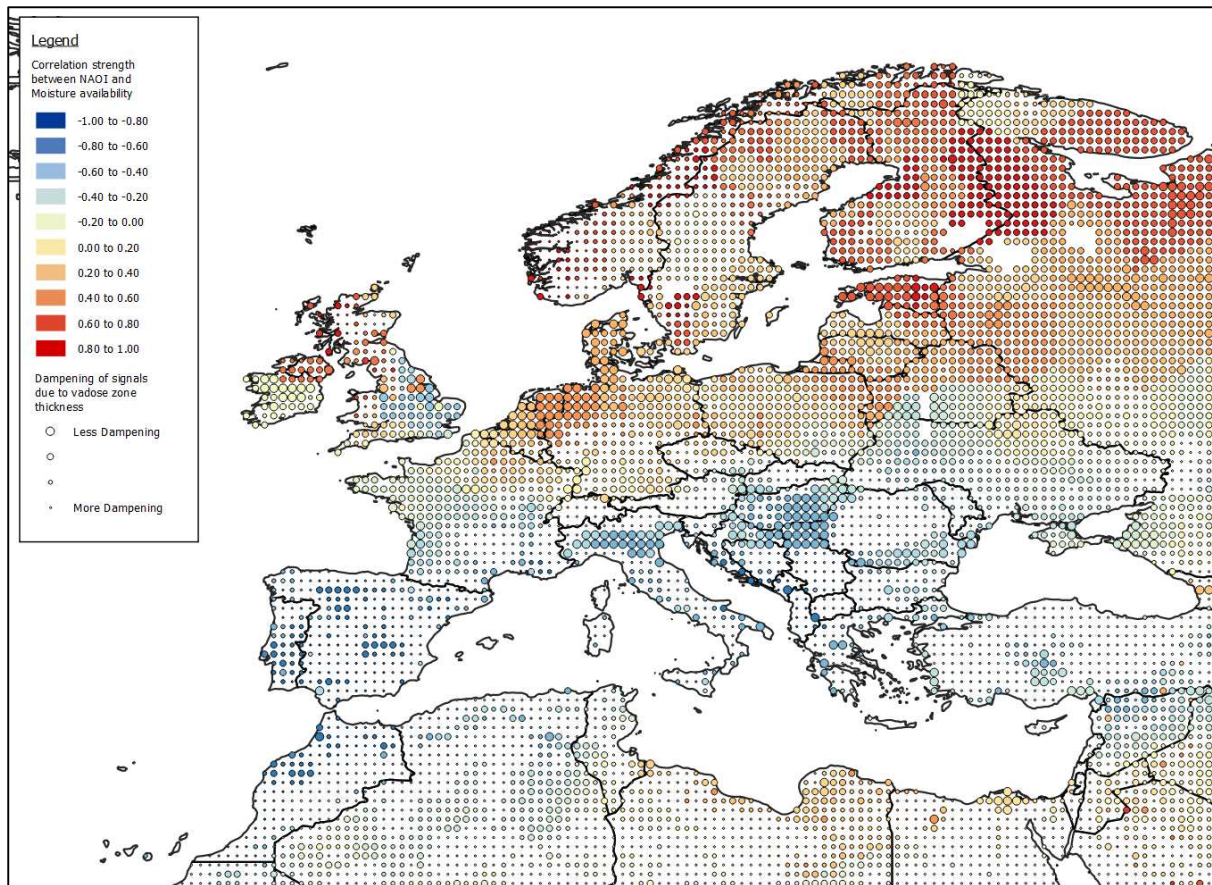
400

- 401 3. The unsaturated zone acts to lag periodic signals between PPT and recharge. The lag provided
402 by the unsaturated zone is a function of its damping capacity and is driven by the same
403 characteristics. Measured lags range from 5 – 75 years for different periodic signals,
404 highlighting the sensitivity and variability of lag to unsaturated zone characteristics (Kuss &
405 Gurdak et al 2014; Holman et al. 2011). Lag is independent of signal periodicity and amplitude.
406 Here there is a strong parallel with ‘Lagging’ as described by Van Loon (2015), with periodic
407 signals showing a lagging of a perturbation within the signal.
- 408 4. The unsaturated zone does not stretch periodic signals, meaning periodicity of recharge
409 signals is preserved (Dickinson et al. 2014). As such, ‘Lengthening’, as described in Van Loon
410 (2015), is not considered to occur for periodic signals.
411

412

413 **5.3. Spatial sensitivity of recharge signal damping**

414 As concluded in section 5.2, unsaturated zone signal damping is dependent, in part, on unsaturated
415 zone thickness. Here we have produced an indicative map (Figure 3) to show the expected effects of
416 periodic NAO control on recharge to aquifers in Europe, based unsaturated zone thickness. Thicker
417 unsaturated zones are considered to provide greater damping and lagging (and produce signals closer
418 to steady state). Figure 3 combines the spatial distribution of NAO control on PPT and PDSI given in
419 Figure 2, with modelled unsaturated zone thickness at 0.5 degree resolution published in Fan et al
420 (2013). This figure does not account for textural effects of the soil and geology, which are known to
421 modulate signal propagation (Dickinson et al. 2014; Velasco et al. 2015), as there is as yet incomplete
422 understanding of the spatial distribution of these controls. As such this figure should be considered a
423 generalised view of groundwater recharge sensitivity to the NAO.



424

425 *Figure 3 – Composite plan combining NAO correlation with PPT and PDSI (Figure 1) into a 0.5 degree point grid, and expected*
 426 *dampening capacity of the unsaturated zone inferred from modelled depth-to-groundwater data produced by Fan et al (2013),*
 427 *where size of circles is proportional to expected dampening capacity.*

428

429 Mid- to high-latitude Europe generally shows reduced thickness of the unsaturated zone, resulting in
 430 greater amplitude of NAO signals arriving at the saturated zone. Local areas of minimal signal damping
 431 can be seen in the UK, Netherlands, northern Italy, Hungary, Estonia and eastern Scandinavia. Some
 432 areas with the strongest correlations, such as the western Norwegian coast and much of Spain, are
 433 expected to receive a greater damping and lagging of the NAO signal due to the relatively thick
 434 unsaturated zone. A similar divide is seen in the UK, with larger depths to water table producing
 435 damped NAO signals in Scotland. The primary chalk aquifer in England, despite showing a generally
 436 weaker correlation with the NAO, is expected to receive a greater exposure to NAO signals in recharge
 437 due to relatively shallow aquifers in the region. It can also be seen that the Chalk is potentially subject
 438 to varying teleconnection control across its domain, as a result of anomalous moisture control seen in

439 south east England. Stronger NAO correlations are expected in the Triassic Sandstone in central
440 England, with minimal signal damping. These findings may explain results from Holman et al. (2011)
441 which show varying strength of covariance between borehole data from across the UK and the NAO
442 index.

443

444 **6. Saturated Zone influence on Discharge Signals**

445 In section 5, we discussed that the distribution of unsaturated zone properties is likely to generate a
446 spatially varied damping effect on TC signals propagation to the water table. Unlike the unsaturated
447 zone, the saturated zone exhibits dominant flow vectors orthogonal to the surface of groundwater
448 saturation. Therefore, it can display complex cumulative interactions with spatially distributed
449 recharge, hydrogeological properties, and discharge boundary conditions (Simpson et al. 2013). We
450 therefore expect that the saturated zone will display considerable local- and wide-scale spatial
451 sensitivity when compared to the unsaturated zone. Here we discuss the current understanding of
452 how periodic signals progress through the saturated zone. A synthesis of the principal modulations of
453 TC-like signal propagation through the saturated zone will be given.

454

455 The saturated zone produces a damping effect on signals between recharge and discharge. The extent
456 of damping is dependent on the characteristic aquifer length and properties such as transmissivity and
457 storage (Bloomfield & Marchant 2013; Cuthbert et al. 2010; Cuthbert et al. 2009; Cook et al. 2003;
458 Simpson et al. 2013; Van Loon 2015; Cuthbert et al. 2016). Specifically, aquifer response to periodic
459 recharge is proportional to the ratio between the aquifer response time (t), calculated from $t = L^2S/T$
460 (where L is the length of the aquifer [L], S is the Storativity [-], T is the Transmissivity [L^2/T]), and the
461 period of the sinusoidal boundary flux, P [T] (Townley 1995; Dickinson 2004). t can range, for an aquifer
462 length of 1km, from 0.3 days for highly permeable geological materials such as sandstone to >100 days
463 for poorly permeable chalk (Bricker 2016; Townley 1995). Where $t:P \gg 1$, an aquifer response is too

464 slow to reach equilibrium with the periodic forcing meaning any periodic recharge signals are
465 significantly damped and attenuated at the point of groundwater discharge. Where $t:P \ll 1$, an aquifer
466 responds quickly to a boundary flux and is therefore close to equilibrium at any instance in time
467 (Townley 1995). Where $t:P \approx 1$, the response of an aquifer is comparable to the periodicity of the
468 boundary flux and therefore may produce more complex phase-shifted, or out-of-phase, responses
469 (Currell et al. 2014).

470

471 The damping response of an aquifer, as represented by the ratio $t:P$, is not necessarily constant across
472 its catchment. For example Townley (1995) showed that for aquifers with $t:P \approx 1$ or $t:P > 1$ the
473 amplitude of a periodic signal is increased close to the downstream boundary. This is considered to
474 be due to the inability of the aquifer to carry larger lateral flows near the boundary. Farther away from
475 the downstream boundary, this effect is diminished (Townley 1995).

476

477 The propagation of perturbations in recharge signals throughout an aquifer may also be controlled by
478 aquifer properties such as transmissivity and storage (Van Loon 2013). Such progressions can provide
479 useful information regarding the hydrogeological controls on signal damping. For example, Cook et al.
480 (2003) shows that aquifer discharge responses can dampen to 95% and 10% of the perturbation at
481 1km and 30km aquifer length, respectively, within 200 years of the original recharge perturbation.
482 They also show that the magnitude of this effect is most sensitive to aquifer transmissivity and the
483 distance between the perturbation point and discharge area. This is in agreement with other research
484 that indicates that response times can extend into geological timescales (Schwartz et al, 2010;
485 Rousseau-Gueutin et al, 2013). Again, parallels can be drawn here between the damping expected in
486 periodic signal propagation through saturated rock, and the processes of 'Attenuation' and 'Lagging'
487 of episodic drought signals described by Van Loon (2015). The extent of 'Lengthening' and 'Pooling' of
488 incoming signals to groundwater resources, as discussed by Van Loon (2015), are currently unknown
489 for periodic signals.

490

491 Given the dependence of signal dampening on aquifer transmissivity and storage, it can be said that
492 the spatial distribution of aquifer properties is critical in determining the spatial structure of
493 teleconnection signal propagation (Yu & Lin 2015; Simpson et al. 2013). While this is understood
494 conceptually, it is rarely known how such characteristics are distributed across an aquifer. Therefore
495 it can be difficult to characterise how signals may spatially propagate through an aquifer (Peters et al.
496 2006).

497

498 Groundwater flow rates typically show a linear relationship with groundwater levels close to
499 downstream boundaries of the aquifer. At these locations, discharge can be fixed irrespective of
500 aquifer properties or groundwater head (Peters 2003). For groundwater catchments with slower
501 response rates, these boundary conditions allow for an additional degree of spatial variability in
502 responsiveness, as the groundwater system can contains both slow-responding and quick-responding
503 components. Peters et al. (2006) discuss that such catchments are more responsive to short-term
504 variations in recharge close to discharge boundaries. Although periodic signals are not directly
505 discussed in this research, a comparison can be made for short-frequency and long-frequency periodic
506 signals. It is therefore expected that areas close to points of discharge may be more sensitive to
507 shorter-period components of climatic signals (for instance, the secondary 3-4 year component of the
508 NAO), compared to the wider aquifer (Peters et al. 2006; Peters 2003).

509

510 Symmetry between positive and negative anomalies is informative in that it provides information on
511 the geological modulation of teleconnection signals. Analysis of the asymmetry of the relationship is
512 equally informative as it sheds light on the connectivity between the signal and surface stores. For
513 instance, Eltahir and Yeh (1999) describe that during a positive ground water anomaly, water table
514 connectivity with surface drainage (such as rivers) is increased, allowing groundwater to be drained

515 away more efficiently. Therefore, negative anomalies tend to persist for longer than positive
516 anomalies.

517

518 Groundwater discharge provides springflow and river baseflow. While many studies have related total
519 river flow to signals of climatic circulations, showing detectable correlations between climatic
520 circulations, hydrogeological properties and baseflow (Singh et al. 2015; Bloomfield et al. 2009),
521 relevantly little research has been undertaken which directly assesses mechanistic propagations of
522 climate signals through to spatial and temporal variability in baseflow and spring flow.

523

524 Recent research has introduced the concept of climate-induced groundwater abstraction, resulting in
525 a human-influenced exacerbation of periodic climate signal presence within groundwater resources
526 (Gurdak 2017). For example, Russo and Lall (2017) have shown that groundwater resources in the USA
527 display a greater coherence with indices of climatic oscillations (such as NAO and ENSO) in areas of
528 agricultural land use (and therefore heavier groundwater abstraction). Conceptually, these influences
529 are expected to exacerbate the negative anomaly component between recharge and discharge.
530 Similar results have been found by Asoka et al. (2017) between monsoon precipitation and
531 groundwater storage in India. There is currently a paucity of information as to the impacts of climate-
532 induced abstraction in Europe, however existing research for other areas indicates the importance of
533 a human component within the conceptual model of climate-groundwater teleconnections.

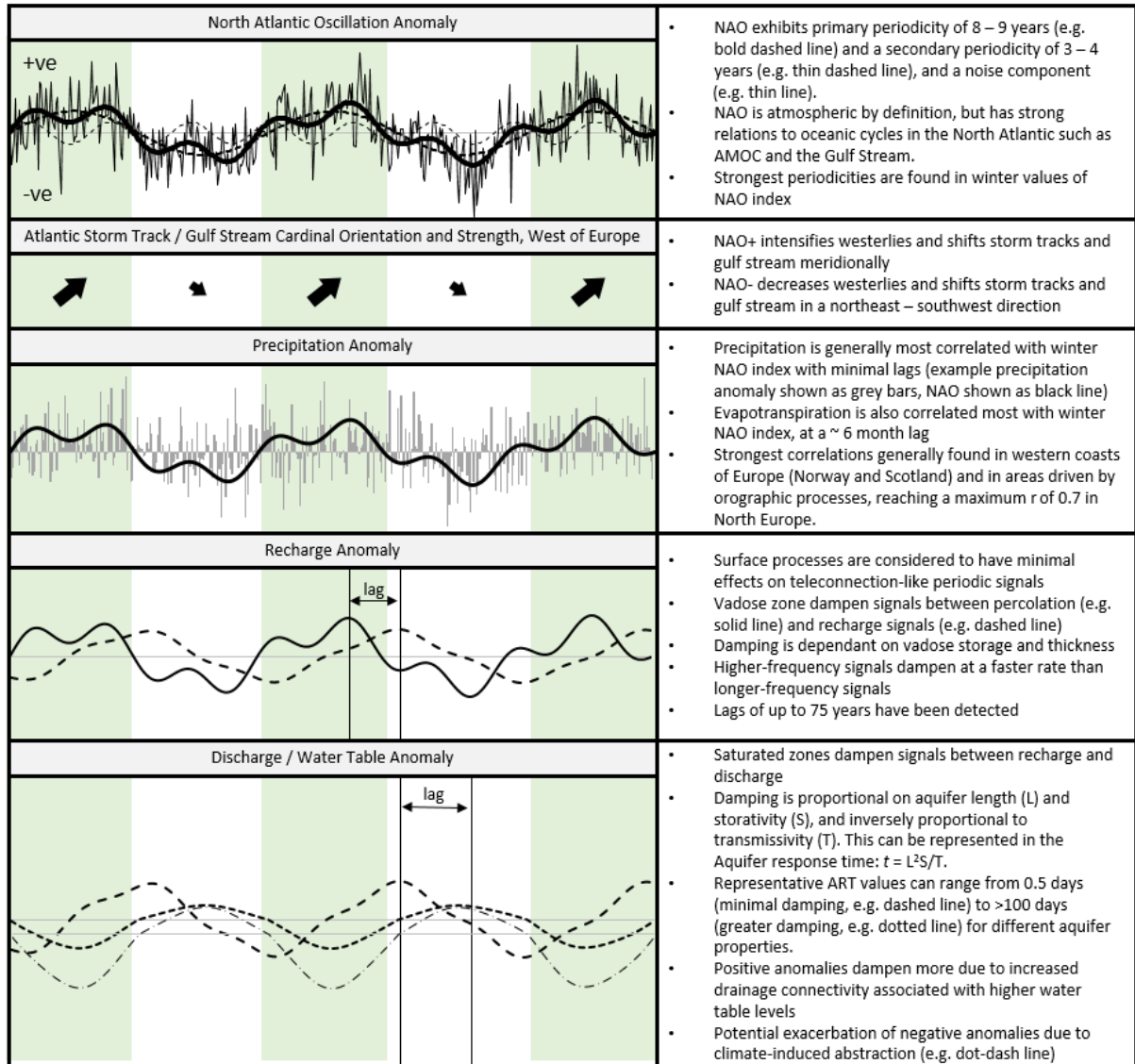
534

535 **7. Current understanding of climatic teleconnection signal control on groundwater** 536 **variability**

537 Presently there exists no comprehensive, unified understanding of how teleconnection signals
538 propagate through to spatiotemporal variability in groundwater levels and flows. Through a review of
539 hydroclimatological and hydrogeological literature, we present a conceptual model of teleconnection

540 signal propagation through to groundwater variability based. This conceptual model is given as an
 541 overview, with the NAO as an example, in Figure 4.

542



543

544 *Figure 4 – Conceptual model of signal propagation from Teleconnection through to groundwater discharge, using the NAO*
 545 *in the UK as an example*

546

547 The mechanics of signal propagation of teleconnection signals through to atmospheric and oceanic
 548 variability is relatively well understood. However, the terrestrial water cycle is shown to exhibit
 549 complex non-linear spatial relationships as a result of distributed hydraulic properties of the
 550 unsaturated and saturated zone. While a few principal characteristics of periodic flow progression
 551 have been reviewed in this paper, there are still many knowledge gaps. Specifically regarding how

552 teleconnection processes, such as the NAO, manifest in spatiotemporal groundwater variability. As a
553 result of the developed conceptual model, we have identified the following research gaps:

554 1. *Hydrogeology and periodic signals.* There is currently limited research that quantifies the
555 propagation of teleconnection signals through an entire aquifer system. For instance, from
556 PPT and ET to groundwater discharge. In order for information on teleconnection dependency
557 to be of practical use for water resource managers, further quantification of damping and
558 lagging effects for a range of aquifers is required.

559

560 2. *Distributed signal sensitivity.* Existing studies that have looked at groundwater sensitivity to a
561 teleconnection control are generally based on limited point measurements. Therefore, at
562 present, limited comment can be made on the spatial distribution of this sensitivity and how
563 this influences flow across an aquifer catchment.

564

565 3. *Importance of multiple or confounding signals.* Much hydroclimatology research in the North
566 Atlantic focuses on the NAO, which does not account for the influence of other teleconnection
567 signals. In addition, the method of teleconnection indexing is critical to how well the true
568 variability of a system is represented. This is shown in the NAO, in which the typical index does
569 not account for atmospheric blocking which can lead to significant alteration of moisture
570 variability across Europe. This may represent an important modulation of teleconnection
571 control on groundwater variability. In addition, the anthropomorphic influence of climate-
572 induced abstraction may also lead to important signals modulations. Despite this, the
573 quantification of these mechanisms in Europe is limited in the existing literature.

574

575 Teleconnection control on periodic groundwater variability offers an indicator for near-future
576 resource availability. This is therefore a useful tool for water companies and water managers to
577 address the lack of longer-term forecasting of droughts (Water UK 2016). This paper has shown,

578 there is a developing conceptual understanding of the atmospheric, hydrological and
579 hydrogeological controls on such periodic variability. However, there is insufficient knowledge at
580 present to enable the use of teleconnection systems as predictors of spatiotemporal groundwater
581 variability.

582

583 So that our understanding of such controls linkages can produce useful tools for improved water
584 resource management, current research needs to move beyond detection of teleconnection signal
585 presence, towards the development of indicative or predictive capabilities across a range of
586 groundwater catchments.

587

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591

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