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1 2	Heavy metal resistance genes are associated with $bla_{\rm NDM-1}$ and $bla_{\rm CTX-M-15}$ -Enterobacteriaceae
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14	Abstract
15	The occurrence of heavy metal resistance genes in multi-resistant Enterobacteriaceae possessing
16	bla _{NDM-1} or bla _{CTX-M-15} genes were examined by PCR and S1-PFGE. When compared with
17	clinical susceptible isolates (10.0-30.0%), the pcoA, merA, silC and arsA genes occurred with
18	higher frequencies in bla_{NDM-1} (48.8-71.8%) and $bla_{CTX-M-15}$ (19.4-52.8%) positive isolates, and
19	they are mostly located on plasmids. Given the high association of metal resistance genes with
20	multidrug resistant Enterobacteriaceae, the use of heavy metals in hospitals and the environment
21	needs increased vigilance.
22	

- 23 Keywords: heavy metal resistance, *bla*_{NDM-1}, *bla*_{CTX-M-15}, plasmids, co-resistance
- 24

The increasing spread of multidrug resistant 'superbugs' within clinical environments has 25 26 prompted worldwide concern, because antibiotic resistance genes such as *bla*_{NDM-1} and *bla*_{CTX-M-15} 27 leads to limit treatment options to combat bacterial infections (1-4). It is noteworthy that, in 28 addition to emerging antibiotic resistance, heavy metals represent another major sources of 29 environmental contamination that may select for antibiotic resistance (5). Heavy metal 30 compounds for growth promotion and therapeutic treatment, like zinc and cooper, have been used 31 in pig and poultry production and unlike antibiotic food additives, can accumulate in soil, water, 32 aquacultural and marine antifouling treatments or industrial effluent (6). It has been proposed that 33 antibiotic-resistant bacteria are enriched at locations contaminated with metals, and genes 34 conferring co-selection to heavy metal and antibiotic are often found together in many clinical isolates (7-11). Furthermore, genes conferring heavy metal tolerance may coexist on the same 35 36 genetic element (e.g. plasmid), which could further promote co-dissemination and resistance (10, 12). Here, we characterize the phenotype and genotype of heavy metals resistance in a collection 37 38 of 95 clinical Gram-negative isolates including Klebsiella pneumoniae, Escherichia coli, 39 Enterobacter cloacae, Klebsiella oxytoca and Providencia stuanti isolated from the UK and India. 40

A total of 95 non-duplicate isolates were tested in this study (Table 1): 39 bla_{NDM-1}-positive 41 42 isolates originated from human lower respiratory and urinary tract samples from the United 43 Kingdome and Indian cities of Chennai and Haryana, as previously described (13); 36 bla_{CTX-M}-44 15-carrying isolates, from burn, bactareamia and UTI patients from a variety of Indian hospitals (Haryana, Mumbai, Calcutta, Kerala, Delhi and Vellore); and 20 control E. coli and K. 45 pneumoniae susceptible to all known antibiotic classes as control samples, provided by Specialist 46 47 Antimicrobial Chemotherapy Unit (SACU), Public Health Wales. Minimal inhibitory concentrations (MICs) of four heavy metals ions; $CuSO_4.5H_2O$ for copper (Cu^{2+}), $HgCl_2$ for 48 mercury (Hg²⁺), AgNO₃ for silver (Ag⁺), and AsNaO₂ for arsenic(As³⁺) were measured by agar 49 dilution using Müller-Hinton agar (Becton Dickinson, USA). E. coli (ATCC 25922) was used as 50 a negative control. MIC levels to Cu^{2+} (≥ 10 mM), As³⁺ (≥ 2 mM), Hg²⁺ ($\geq 32 \mu$ M) and Ag⁺ (≥ 128 51 μ M) were regarded as resistance (14-16). High MIC values to Cu²⁺ (10 mM), As³⁺ (20 mM) and 52 Hg^{2+} (128 μ M) were obtained in the majority of bla_{NDM-1} positive isolates, with a high resistance 53 rate of 82.1% (32/39), 76.9% (30/39) and 61.5% (24/39), respectively. Similarity with bla_{CTX-M}-54 15-positive strains, 91.7% (33/36), 63.9% (23/36) and 52.8% (19/36) isolates were resistant to 55 Cu^{2+} , As^{3+} and Hg^{2+} , respectively. High MIC values (128-256 μ M) for Ag^+ were observed for all 56 isolates. Antibiotic susceptible control strains also gave high rates of resistance to Cu²⁺ (90%, 57 18/20), but remained sensitive to $Hg^{2+}(15.0\%, 3/20)$ and $As^{3+}(25.0\%, 5/20)$. 58

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The presence of four heavy metal resistance genes was confirmed by PCR: *merA* for Hg^{2+} , *arsA* for As³⁺, *pcoA* for Cu²⁺ and *silC* for Ag⁺. Primers were designed by primer 3 (Geneious Pro 5.5.6) and NCBI primer designing tool (https://www.ncbi.nlm.nih.gov/tools/primer-blast/) (Table 2) and PCRs were performed with the following condition: initial denaturation at 95°C for 5 min;

followed by 30 cycles of denaturation at 95°C for 45 seconds, annealing at 58-60°C for 45 64 65 seconds and extension at 72°C for 45 seconds; final extension at 72°C for 5 min. The purified 66 PCR products were randomly selected for following sequencing analysis (Eurofins Genomics, 67 Germany). The *silC*, *merA*, *pcoA* and *arsA* genes were dispersed throughout our *bla*_{NDM-1}-positive 68 isolates, with 28/39 (71.8%), 26/39 (66.7%), 25/39 (64.1%) and 19/39 (48.7%), respectively (Fig. 69 1). Similarly, in *bla*_{CTX-M-15} producing isolates, the most prevalent heavy metal resistance gene 70 was merA (19/36, 52.8%). The genes of arsA, pcoA and silC were only detected in 7 (19.4%), 15 71 (41.7%) and 15 (41.7%) isolates, respectively. In contrast, the relative low prevalence of pcoA, 72 silC, arsA and merA genes were identified in susceptible isolates with detection rates of 30.0% 73 (6/20), 25.0% (5/20), 20% (4/20) and 10% (2/20), respectively (Fig. 1). In addition, the statistical 74 comparisons with these metal resistance genes in three groups of isolates, were conducted using 75 Chi-square (and fisher's exact) test, where p value equal or less than 0.05 was considered as 76 significant. The prevalence of *silC* (71.8% vs 25.0%, *p*=0.0009), *merA* (66.7% vs 10.0%, 77 p < 0.0001), pcoA (64.1% vs 30.0%, p=0.0158) and arsA (48.7% vs 20.0%, p=0.0482) genes 78 detected in *bla*_{NDM-1}-positive isolates, are all markedly higher than those in susceptible isolates. 79 Furthermore, the detection rates of silC (71.8% vs 41.7%, p=0.0108) and arsA (48.7% vs 19.4%, 80 p=0.0144) in *bla*_{NDM-1}-positive isolates are also significantly higher, comparing to that in *bla*_{CTX-} 81 M-15- producing isolates (Fig. 1).

Previous studies have proposed the role of plasmids in conferring resistance to both antibiotics and heavy metals (7, 17, 18). In this study, the location of the *pcoA*, *merA*, *silC* and *arsA* genes were analysed by Pulsed-field gel electrophoresis (PFGE) with S1 nuclease (Invitrogen Abingdon, UK) (S1-PFGE). In brief, isolates carrying heavy metal resistance genes were randomly selected and genomic DNA in agarose blocks was digested with S1 nuclease and probed. In-gel hybridisation was performed with *pcoA*, *merA*, *silC* and *arsA* genes probe labelled with ³²P with a random primer method (Stratgene, Amsterdam, Netherlands). The results showed that *pcoA*, *merA*, *silC* and *arsA* genes are located on a diverse range of plasmids backbones, differing from 50- to 500 kb in size (Fig. 2 and Fig. S1). Heavy metal resistance genes were carried upon more than one plasmid in many strains and chromosomal located genes were also identified (Fig. 2 and Fig. S1), suggesting significant plasticity.

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94 Conjugation experiments were performed as described previously (13), to investigate co-transfer 95 of heavy metal and antibiotic resistance genes. Conjugations were performed with *bla*_{NDM-1} and 96 *bla*_{CTX-M-15}-positive donors with the rifampin-resistant recipient E. coli UAB190. Selection of 97 *bla*_{CTX-M-15}-positive transconjugants was performed on Brilliance UTI Clarity agar (Oxoid Ltd., 98 Basingstoke, United Kingdom) supplemented with rifampicin (100 mg/L) (Sigma-Aldrich, St. 99 Louis, MO, USA) and cefotaxime (2 mg/L). bla_{NDM-1}-positive transconjugants were selected 100 using rifampicin with meropenem (0.5 mg/L) (AstraZeneca, London, United Kingdom). PCR for 101 $bla_{\text{NDM-1}}$ and $bla_{\text{CTX-M-15}}$ genes were used for further confirmation of gene transfer (13, 19). 102 Plasmid incompatibility groups were characterized by PCR-based replicon typing as previously 103 described (20). A total of 18 and 14 transconjugants were obtained in E.coli UAB190 from 39 104 *bla*_{NDM-1} and 36 *bla*_{CTX-M-15} isolates, respectively. In 11 of 18 transconjugants, *bla*_{NDM-1} was 105 located upon IncA/C-type plasmids, 78.6% (11/14) of plasmids carrying bla_{CTX-M-15} belonged to IncFII, reflective of global molecular epidemiology (2, 21). Plasmids carrying bla_{NDM-1} from six 106 107 transconjugants could not be typed. The heavy metal resistance genes arsA, merA and pcoA were 108 found on two *bla*_{NDM-1} and one *bla*_{CTX-M-15} positive plasmids, respectively (Table 1).

110	Our data indicates the abundant and mobility of heavy metals resistance genes (pcoA, merA, silC
111	and arsA) that can contribute to antibiotic resistant genes dissemination and maintenance.
112	Furthermore, many of these genes are found on transmissible plasmids. Therefore, our findings
113	suggest that the co-selection of heavy-metal resistance genes in bla_{NDM-1} and $bla_{CTX-M-15}$ positive
114	isolates have significant implications for hospital and environmental (industrial waste)
115	contamination with heavy metals.

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- 121
- 122 Conflict of interest: none declared
- 123

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Strains	bacterial organism	Phenotype (MIC)				Genotype
ID	Ag(uM) Hg(uM) Cu(mM) As(mM)					
	_{M-1} strains			-	-	
N1	Klebsiella pneumoniae	128	128	10	0.625	merA, silC
N2	Klebsiella pneumoniae	128	128	10	2.5	arsA, merA
N3	Citrobacter freundii	128	128	10	2.5	arsA, merA
N4	Enterobacter cloacae	128	16	10	20	pcoA, silC
N5	Enterobacter spp.	128	16	5	1.25	neg.
N6	Escherichia coli	128	128	10	20	arsA, merA, pcoA, silC
N7	Klebsiella pneumoniae	128	128	10	10	arsA, merA, pcoA, silC
N8	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA, silC
N9	Klebsiella pneumoniae	128	16	10	0.625	pcoA, silC
N10	Klebsiella pneumoniae	128	16	10	0.625	silC
N11	Klebsiella pneumoniae	128	16	10	0.625	silC
N12	Klebsiella pneumoniae	256	128	10	10	arsA, merA, pcoA,silC
N13	Citrobacter freundii	256	128	10	10	arsA, merA, pcoA, silC
N14	Escherichia coli	128	128	10	10	arsA, merA, pcoA, silC
N15	Escherichia coli	128	16	5	1.25	pcoA, silC
N16	Klebsiella pneumoniae	128	128	10	1.25	arsA, merA, pcoA,silC
N17	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA,silC
N18	Klebsiella pneumoniae	128	64	10	10	arsA, merA, pcoA, silC
N19	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA, sil(
N20	Escherichia coli	128	16	5	2.5	neg.
N21	Klebsiella pneumoniae	128	128	10	2.5	merA, pcoA,silC
N22	Klebsiella pneumoniae	128	128	10	2.5	merA, pcoA,silC
N23	Escherichia coli	128	128	5	0.625	neg.
N26	Enterobacter spp	128	128	10	10	arsA, merA, pcoA
N27	Klebsiella pneumoniae	128	128	5	10	arsA, merA, pcoA, silC
N28	Klebsiella oxytoca	128	16	10	5	arsA, merA, pcoA, silC
N29	Escherichia coli	128	16	10	10	arsA, silC
N31	Enterobacter cloacae	128	16	10	20	pcoA, arsA, silC
N32	Enterobacter cloacae	128	16	10	0.625	pcoA, silC,merA, arsA
K15	Klebsiella pneumoniae	128	16	10	5	merA, pcoA, silC
K7	Klebsiella pneumoniae	128	128	10	2.5	merA, pcoA, silC
IR25	Klebsiella pneumoniae	128	128	10	5	merA
IR18k	Klebsiella pneumoniae	128	128	10	20	merA
IR28k	Klebsiella pneumoniae	128	128	10	20	merA, pcoA, silC
IR29	Escherichia coli	128	128	5	5	merA, pcoA, silC
IR26	Escherichia coli	128	128	5	5	neg.
IR22	Escherichia coli	128	16	5	5	neg.
IR61	Klebsiella oxytoca	128	16	10	20	neg.
IR5	Escherichia coli	128	128	10	20	arsA, merA, pcoA, silC

Table 1. Phenotypic and genotypic resistances to heavy metals in 95 clinical strains in this study

04 Table 1 continued.

Strains	bactrial organism	Phenotyp	e (MIC)	Genotype		
ID		Ag(uM)	Hg(uM)	Cu(mM)	As(mM)	
36 blaC ₁	_{ГХ-M-15} strains					
A5/3 Klebsiella pneumoniae		128	16	10	5	arsA, pcoA, silC
A5/7	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA, silC
A5/4	Klebsiella pneumoniae	128	128	5	5	pcoA, silC
C5/8	Klebsiella pneumoniae			10	0.625	arsA, merA
C5/7	Klebsiella pneumoniae	128	128	10	10	arsA, merA, pcoA, silC
C5/5	Klebsiella pneumoniae	128	16	10	5	neg.
D5/12	Klebsiella pneumoniae	128	128	10	0.15	merA
D5/4	Klebsiella pneumoniae	128	16	10	0.625	pcoA, arsA
E5/14	Klebsiella pneumoniae	128	16	10	5	merA, pcoA, silC
E5/17	Klebsiella pneumoniae	128	128	10	2.5	arsA, merA, pcoA, silC
G5/2	Klebsiella pneumoniae	128	16	10	5	arsA, pcoA, silC
G5/6	Klebsiella pneumoniae	128	128	10	0.3	merA
G5/11	Klebsiella pneumoniae	128	128	10	0.3	merA, pcoA, silC
I5/5	Klebsiella pneumoniae	128	128	10	20	merA, pcoA, silC
F5/6	Klebsiella pneumoniae	128	16	10	0.3	neg.
E5/19	Klebsiella pneumoniae	128	128	10	5	merA, pcoA, silC
A4/8	Escherichia coli	128	16	10	0.3	neg.
F4/3	Escherichia coli	128	16	10	5	neg.
B4/6	Escherichia coli	128	16	10	2.5	neg.
A4/11	Escherichia coli	128	16	10	5	neg.
C4/3	Escherichia coli	128	128	10	2.5	merA
E4/4	Escherichia coli	128	128	10	2.5	neg.
D4/12	Escherichia coli	128	16	10	2.5	merA
C4/12	Escherichia coli	128	64	10	2.5	merA
G4/12	Escherichia coli	128	16	10	2.5	neg.
I4/9	Escherichia coli	128	128	10	2.5	merA
I4/3	Escherichia coli	128	16	10	0.3	neg.
I4/13	Escherichia coli	128	16	5	2.5	merA, pcoA,silC
H4/5	Escherichia coli	128	16	10	0.3	neg.
H6/20	Salmonella spp.	128	128	10	0.15	neg.
G6/9	Salmonella spp.	128	16	10	0.625	merA, pcoA,silC
G6/13	Salmonella spp.	128	64	10	0.15	merA, silC
I2/5	Enterobacter spp.	128	128	10	20	pcoA, silC
I2/2	Enterobacter spp.	128	128	10	20	pcoA, silC
F2/6	Enterobacter spp.	128	128	0.625	0.15	merA
B1/10	Providencia stuanti	128	128	10	20	merA

Table 1 continued.

Strains	bactrial organism	Phenotype (MIC)				Genotype
ID		Ag(uM)	Hg(uM)	Cu(mM)	As(mM)	
20 Suscep	otible strains	•	•			
Kp ff160	Klebsiella pneumoniae	128	128	10	10	arsA, merA, pcoA, silC
Kpff217	Klebsiella pneumoniae	128	16	10	0.3	pcoA, silC
KpFF11	Klebsiella pneumoniae	128	128	10	5	arsA, merA, pcoA,silC
KpFF197	Klebsiella pneumoniae	128	16	10	0.625	silC
KpFF177	Klebsiella pneumoniae	128	16	10	0.3	pcoA
KpFF296	Klebsiella pneumoniae	128	16	10	10	arsA, pcoA, silC
KpFF101	Klebsiella pneumoniae	256	16	10	10	neg.
KpFF264	Klebsiella pneumoniae	128	16	10	0.15	neg.
KpFF267	Klebsiella pneumoniae	128	16	10	0.15	neg.
KpFF153	Klebsiella pneumoniae	128	16	10	0.3	pcoA
Ec66	Escherichia coli	128	8	10	0.15	neg.
Ec9	Escherichia coli	128	16	10	0.15	neg.
Ec63	Escherichia coli	128	8	10	0.15	neg.
Ec59	Escherichia coli	128	8	5	0.15	neg.
Ec60	Escherichia coli	128	16	5	0.15	neg.
Ec166	Escherichia coli	128	8	10	0.15	neg.
Ec284	Escherichia coli	128	8	10	0.625	neg.
Ec61	Escherichia coli	128	128	10	5	neg.
Ec141	Escherichia coli	128	16	10	0.15	neg.
Ec98	Escherichia coli	128	16	10	0.15	neg.
Transconju	igants and control strains					
25922	Escherichia coli	64	16	5	0.15	neg.
GFP	Escherichia coli	64	16	5	1.25	neg.
TCE5/19	Escherichia coli	64	16	5	2.5	pcoA
TCN12	Escherichia coli	128	64	5	10	arsA, pcoA, merA
TCN22	Escherichia coli	128	8	5	2.5	pcoA

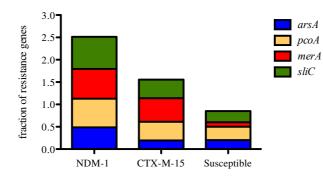
metal ions	primers	sequence (5'-3')	Tm	size(bp)	Genbank ID or GI number
Hg ²⁺	merA_F1	CTGCGCCGGGAAAGTCCGTT	58°C	1035	DQ126685
(mercury)	merA_R1	GCCGATGAGCCGTCCGCTAC			
	merA_F2	GAGCTTCAACCCTTCGACCA	60°C	849	575669924
	merA_R2	AGCGAGACGATTCCTAAGCG			
As ³⁺	arsA_F1	CAGTACCGACCCGGCCTCCA	58°C	861	CP000648
(arsenic)	arsA_R1	AGGCCGTGTTCACTGCGAGC			
	arsA_F2	GGCTGGAAAAACAGCGTGAG	58°C	1002	387605479
	arsA_R2	CCTGCAAATTAGCCGCTTCC			
Cu ²⁺	pcoA_F	CGGCCAGGTTCACGTCCGTC	58°C	1371	NC_009649
(copper)	pcoA_R	TGCCAGTTGCCGCATCCCTG			
Ag^+	silC_F1	CGTAGCGCAAGCGTGTCGGA	58°C	1090	NC_009649
(silver)	silC_R1	ATATCAGCGGCCCGCAGCAC			
	silC_F2	TTCAACGTCACGGATGCAGA	60°C	872	157412014
	silC_R2	AGCGTGTCGGAAACATCCTT			

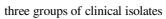
Table 2. Details of primers used for heavy metal resistance genes detection in this study

220 Fig.1 occurrence of heavy metal resistance genes in 95 clinical isolates. p values were calculated using Chi-square (and fisher's exact) test. *, ** and *** indicate 0.01 < p value ≤ 0.05 ; 0.001 < p221 222 value ≤ 0.01 ; *** indicates p value ≤ 0.001 , respectively. 'ns' indicates not significant difference. 223 224

225 Fig. 2. PFGE analysis of bla_{NDM-1}-positive strains digested with S1 nuclease, and hybridization with pcoA 226 227 gene probe (a), *silC* gene probe (b), respectively.

Isolates order of lanes 1-14 in A: N1, N2, N3, N4, N5, N6, N7, N8, N9, N10, N11, N12, N13 and N14. Isolates order of lanes 1-14 in B: N16; N17; N18; N19; N20; N21; N22; N23; N3; 26; N27; N28; N29; 228 N31.





Chi-square	Comparison of detection rates (p value)					
(Fisher's	<i>bla</i> _{NDM-1} vs	<i>bla</i> _{CTX-M-15} vs	<i>bla</i> _{NDM-1} vs			
exact)test	susceptible	susceptible	<i>bla</i> _{CTX-M-15}			
arsA	48.7% vs 20%	19.4% vs 20%	48.7% vs 19.4%,			
	(p=0.0482*)	(p=1.0_ns)	(p=0.0144*)			
рсоА	64.1% vs 30%	41.7% vs 30%	64.1% vs 41.7%			
	(p=0.0158*)	(p=0.5653_ns)	(p=0.0657_ns)			
merA	66.7% vs 10%	52.8% vs 10%	66.7% vs 52.8%			
	(p<0.0001***)	(p=0.0016**)	(p=0.2463(ns)			
sliC	71.8% vs 25%	41.7% vs 25%	71.8% vs 41.7%			
	(p=0.0009***	(p=0.2555_ns)	(p=0.0108*)			

