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# Evaluating non-tidal atmospheric products by measuring GRACE K-band range rate residuals

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## Abstract

1 In order to reduce high frequency non-tidal mass changes, while inverting for the Earth's  
2 time-variable gravity fields from the Gravity Recovery And Climate Experiment (GRACE)  
3 measurements, it is usual to apply the Atmospheric and Oceanic De-aliasing (AOD1B)  
4 products. However, limitations in these products count as a potential threat to the  
5 accuracy of time-variable gravity fields derived from GRACE, as well as its follow-on  
6 mission(s). Therefore, in this study, we show to what extent the GRACE-type gravity  
7 recovery procedure is sensitive to different non-tidal atmospheric background models. For  
8 this, we evaluate the atmospheric parts of the GeoForschungsZentrum (GFZ)'s AOD1B  
9 RL05 and RL06, as well as those computed as a part of the European Space Agency  
10 Earth System Model ESA-ESM, and the ITG3D model. These data products employ  
11 different atmosphere fields (operational and reanalysis data or their combination) from  
12 the European Centre for Medium-Range Weather Forecasts (ECMWF) as inputs, and  
13 they are also computed by implementing different 2-dimensional or 3-dimensional (2-D  
14 or 3-D) integration methods. The accuracy of these products is assessed by comparing  
15 the resulting GRACE K-Band Range-Rate (KBRR) residuals computed for time-variable  
16 gravity field inversions using each of them separately as a background model. Our in-  
17 vestigations during 2006 indicate that: (i) applying ESA-ESM and ITG3D decreases  
18 averaged KBRR residuals by 2.8 nm/s and 3.4 nm/s compared to those reduced by the  
19 official RL05 products. (ii) Projecting these residuals onto the spatial domain indicates

20 that the improvement covers 78.4% and 78.9% of the globe, respectively. (iii) We find  
21 that, compared to ESA-ESM, ITG3D can further reduce the KBRR residuals by 1.8 nm/s  
22 at regions of high latitudes, which likely improve the uncertainty of ice mass estimations.  
23 Our investigation of the AOD1B RL06 products covers 2006-2010, which indicates the  
24 advantage of using the higher temporal sampling, i.e. 3-hourly reanalysis data. Applying  
25 the RL06 reduces the averaged KBRR residuals by 44.2 nm/s with respect to the use of  
26 the RL05 for gravity field inversion. We, therefore, conclude that the integration method  
27 of ITG3D and utilizing reanalysis data with higher (than 6-hourly) temporal sampling  
28 rate are beneficial for GRACE-like gravity inversion such as the GRACE Follow-On mis-  
29 sion with laser interferometric ranging system.

*Keywords:* Atmosphere De-aliasing, GRACE, Time-variable Gravity fields, KBRR  
Residuals

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## 30 1. Introduction

31 Over the past decade (2002-2017), the Gravity Recovery And Climate Experiment  
32 (GRACE) twin-satellite mission ([Tapley et al., 2004](#)), has accumulated numerous observa-  
33 tions that allow mapping of time-variable gravity of the Earth. From these observations,  
34 monthly global gravity field products, which are publicly known as the GRACE level 2  
35 (L2) products (see, e.g., [Dahle et al., 2014](#)) are widely used to broaden our knowledge  
36 in interdisciplinary science including studying water variability in soil and sub-surface  
37 aquifers ([Ramillien et al., 2011](#); [Famiglietti and Rodell, 2013](#); [Schumacher et al., 2016,](#)  
38 [2018](#); [Ferooitan et al., 2017](#)), and continental ice-sheets ([Sasgen et al., 2013](#)) at scales of  
39 a few hundred kilometers (see other examples in, e.g., [Kusche et al., 2012](#)).

40 In order to accurately estimate terrestrial water storage changes from GRACE obser-  
41 vations, the effects of mass redistributions in the atmosphere and the oceans in response  
42 to high-frequency time-variable signals have to be removed or diminished while inverting  
43 level 1b (L1b) raw data to solve for gravity fields (for example the commonly used L2  
44 products). To this end, a number of tidal as well as non-tidal background models are  
45 forward modeled to reduce these L1b data (bias corrected range rate and position ob-  
46 servations). From these, the Atmosphere and Ocean De-Aliasing Product (AOD1B) is

47 released by the official GRACE data processing center GeoForschungsZentrum (GFZ),  
48 Potsdam, to account for the non-tidal high frequency mass variations as accurately as  
49 possible.

50 It is well known to the GRACE science team that the anticipated baseline accuracy of  
51 GRACE (e.g., [Kim, 2000](#)) has not been fulfilled, yet, for which the temporal aliasing of  
52 high-frequency mass variations is assumed to be a dominant error source ([Elsaka et al.,  
53 2014](#); [Sakumura et al., 2014](#)). Moreover, [Loomis et al. \(2012\)](#) pointed out that even the  
54 upcoming GRACE follow-on (GRACE-FO) with laser interferometric ranging system will  
55 not help to obtain a better temporal gravity field due to the temporal aliasing problem.  
56 In this context, enhancement of the current de-aliasing products (see, [Fagiolini et al.,  
57 2015](#)) or developing new algorithms to overcome de-aliasing problem (see, [Daras and  
58 Pail, 2017](#)) is necessary for future missions. Some known artifacts in official atmosphere  
59 de-aliasing (AD) product, e.g., the data jump pointed by [Duan et al. \(2012\)](#); [Forootan  
60 et al. \(2014\)](#), model drift by [Hardy et al. \(2017\)](#), and the imperfect physical assumption  
61 by [Forootan et al. \(2013\)](#), suggest that the estimation of these products needs to be  
62 improved.

63 Therefore, in addition to the official atmosphere de-aliasing products (abbreviated to  
64 'ATM') provided by GFZ, alternative products have also been released by, for example,  
65 [Boy and Chao \(2005\)](#), [Zenner et al. \(2010\)](#), as well as the [ITG3D model by [Forootan  
66 et al. \(2013\)](#), and the European Space Agency (ESA) Earth System Model (abbreviated  
67 to ESM, see details in [Dobslaw et al., 2015, 2016](#)), of which ITG3D and ESM models are  
68 publicly accessible. The differences between these data products are mostly caused by  
69 the input source data, the integration method, and the sampling of input atmospheric  
70 fields. Improvement in any of those factors may lead to a reduction of residual atmo-  
71 spheric signals in the instrument data, which otherwise alias into long wavelength mass  
72 signals, and negatively affect the accuracy of monthly mean gravity field solutions. This  
73 is especially critical for GRACE-FO and the next generation of gravity missions ([Gruber  
74 and Team, 2014](#); [Flechtner et al., 2014b](#); [Panet et al., 2013](#)) that aim to determine the  
75 geoid with an accuracy of 1 mm ([Anselmi et al., 2010](#)).

76 The most recent release 06 of AOD1B (shown here by AOD1B RL06) consists of

77 ocean and atmospheric components, which are both given in sets of 3-hourly sampled  
78 series of spherical harmonic coefficients complete up to degree and order 180 (*Dobslaw*  
79 *et al.*, 2017). The AOD1B RL06 has been improved over the previous versions on two  
80 aspects: (1) an update ocean bottom pressure from an unconstrained simulation with  
81 the global ocean general circulation model MPIOM (Max Planck Institute ocean model)  
82 (*Jungclauss et al.*, 2013); and (2) the atmosphere component of AOD1B, which is denoted  
83 as ATM RL06, is computed based on an updated analysis and forecast data out of the  
84 operational high-resolution global numerical weather prediction (NWP) model from the  
85 European Centre for Medium-Range Weather Forecasts (ECMWF) after 2007. ATM  
86 RL06 prior to 2007 has been computed using ERA-Interim atmospheric fields.

87 Previous studies have investigated de-aliasing products on various processing lev-  
88 els (e.g., *Zenner*, 2013): (i) Stokes coefficients (they are usually converted to surface  
89 pressure or equivalent water height), (ii) KBRR (K-Band Range Rate) residuals, and  
90 (iii) monthly mean gravity field solutions. *Forootan et al.* (2013, 2014) indicated that the  
91 ITG3D product results in considerable improvements over the ATM RL04 and RL05 (not  
92 RL06) on level (i). But they also addressed that neither the integration technique (2-D  
93 versus 3-D or modified 3-D integration) nor the input data (operational ECMWF versus  
94 reanalysis) has an impact on level (iii). *Dobslaw et al.* (2015) presented an updated ESM  
95 along with some basic validations against the original ESM (*Gruber and Team*, 2014),  
96 and ITG3D (*Forootan et al.*, 2013). Their comparisons of the updated ESM with ITG3D  
97 are performed on level (i), for which the results indicate very close correspondence at  
98 dominant frequencies. Substantially, on levels (i) and (iii), *Dobslaw et al.* (2016) devel-  
99 oped a realistically perturbed synthetic de-aliasing models and estimated their impacts  
100 on the gravity fields derived by simulated future gravity missions. *Zenner et al.* (2010)  
101 proposed a method to take uncertainties of input atmospheric models into account while  
102 computing the ATM products, although this procedure has no significant effect on level  
103 (ii) or (iii). Recently, *Rudenko et al.* (2016) indicated the significant impact of AOD1B  
104 RL04 and RL05 on precise orbits of altimetry satellites. Their study showed the impor-  
105 tance of background models for producing more accurate altimetry and gravity l2 and  
106 L3 data products.

107 In this study, to explore the major factors that may affect the quality of atmospheric  
108 (non-tidal) de-aliasing products, we carry out an evaluation of available products includ-  
109 ing: ATM (the atmosphere component of AOD RL05 and RL06, *Flechtner et al.*, 2014a;  
110 *Dobslaw et al.*, 2017), ITG3D (*Forootan et al.*, 2013, 2014), and the updated ESM (*Dob-*  
111 *slaw et al.*, 2015, 2016). Unlike most of these previous studies, our comparisons here  
112 are made mainly on the level of KBRR residuals, since they are directly estimated from  
113 GRACE observations and are sensitive to the background models. Generally speaking,  
114 the differences between these de-aliasing products, which are hardly distinguished by  
115 monthly mean gravity fields due to the downward continuation and filtering process, is  
116 prone to be revealed by KBRR residuals analysis. It is our hypothesis that the smaller  
117 resulting KBRR residuals represent less misfit of (more accurate) de-aliasing products  
118 (see, e.g., *Zenner et al.*, 2012; *Zenner*, 2013). Previous attempts that use KBRR resid-  
119 uals to validate background models or to detect modeling errors can be also found for  
120 example, in *Bosch et al.* (2009), *Han et al.* (2009, 2010) and *Dobslaw et al.* (2017).

121 Our paper is organized as follows: In Sec. 2, a brief data introduction of ATM, ESM,  
122 ITG3D products is given. In Sec. 3, the methodology of generating KBRR residuals is  
123 first outlined, after that monthly KBRR residuals, as well as the monthly mean gravity  
124 fields from 2005 to 2010 are presented to validate our data processing chain. This period is  
125 selected since GRACE KBRR measurements contain less noise, and therefore, their qual-  
126 ity is reliable. In Sec. 4, we present the resulting daily/monthly/yearly KBRR residuals  
127 during 2006-2010 by each atmospheric de-aliasing product in both spatial and temporal  
128 domains. In addition, the impact of these three products on the current GRACE gravity  
129 fields is analyzed. Finally, Sec. 5 concludes the paper and provides some suggestions for  
130 the next version of de-aliasing products.

## 131 2. Data

### 132 2.1. ATM

133 ATM RL05 is the atmosphere de-aliasing component of the AOD1B RL05 product  
134 released by GFZ (*Flechtner et al.*, 2014a), which is represented by a series of potential  
135 coefficients complete up to degree and order (d/o) 100 with temporal resolution of every

136 6 hours (at 00:00 h, 06:00 h, 12:00 h, and 18:00 h) since year 1976. The procedure  
137 of computing this product relies on the input six-hourly atmosphere fields that mainly  
138 comprise surface pressure, geopotential, temperature, and specific humidity fields. These  
139 input data are all extracted from ECMWF operational analysis (ECMWFop), and are  
140 converted into potential coefficients via a three-dimensional (3-D) integration approach  
141 including various approximations. ECMWFop is one of the premiere models for medium-  
142 range and seasonal-forecasting purposes. Details about the ECMWFop products and  
143 ATM products can be found at the Information System and Data Center (ISDC) (<http://isdc.gfz-potsdam.de/index.php>).  
144 The atmospheric part of the latest (RL06) of  
145 the AOD1B (ATM RL06) data (*Dobslaw et al., 2017*) with the temporal sampling of 3  
146 hours is evaluated in our study, which is computed up to d/o 180 (since 2000). The  
147 combination between analysis and short-term forecast atmosphere data when producing  
148 RL06 (*Dobslaw and Thomas, 2005*) is believed to contribute the most to the 3-hourly  
149 samples. Here, we truncate the ATM RL06 data at d/o 100 to be consistent with other  
150 products considered in this study.

## 151 *2.2. ITG3D*

152 ITG3D atmosphere de-aliasing model (*Forootan et al., 2013, 2014*) is computed up  
153 to d/o 100 with the same temporal resolution of 6 h as ATM RL05. The major changes  
154 within this new set with respect to the ATM RL05 are twofold: (i) an improved 3-D  
155 integration approach with more realistic physical and geometrical Earth’s shape, as well  
156 as a better numerical integration; and (ii) the input atmospheric data are replaced by the  
157 ECMWF’s reanalysis data (ERA-Interim; *Dee et al., 2011*). ERA-Interim includes an  
158 improved atmospheric model and assimilation system. Surface and multi-level datasets  
159 are available from <http://www.ecmwf.int/research/era/do/get/era-interim>, and  
160 the 6-hourly ITG3D AD products are downloaded from <http://www.igg.uni-bonn.de/apmg/index.php>.  
161

## 162 *2.3. ESM*

163 ESM of the European Space Agency (ESA) provides various de-aliasing datasets with  
164 the temporal resolution of 3 h and 6 h, as well as the spectral resolution up to d/o 180 and

165 360. In this study, we only use the atmosphere component (ESM-A) with the temporal  
166 sampling of 6 hours without IB-correction (Inverse Barometer) over the oceans. More  
167 over, to enable the comparisons against ATM and ITG3D models, ESM-A is truncated at  
168 d/o 100. In addition to the ESM-A, an alternative ESM-Ac product with the temporal  
169 resolution of 3 h is also analyzed, which differs with ESM-A over the Europe, where the  
170 atmosphere inputs of the COSMO-EU model (Consortium for Small-Scale Modelling) are  
171 used. COSMO-EU model encompasses many local details of the landscape and related  
172 flow phenomena that have a pronounced impact on the weather, and therefore, has an  
173 improved spatial resolution. Consequently, the main changes within ESM-A(c) (denoted  
174 respectively as ESM-A and ESM-Ac) with respect to ATM RL05 are twofold: (i) ESM-  
175 A(c) implements the surface pressure integration approach. In another word, a 2-D  
176 integration is applied to convert surface pressure values to potential coefficients, rather  
177 than using a 3-D integration applied in the ATM and ITG3D; and (ii) ESM-A(c) uses the  
178 ERA-Interim archive (or in combination with COSMO-EU) instead of the ECMWFop  
179 dataset. All directories that archive the ESM product including the AD component can be  
180 downloaded from GFZ website through the web link [DOI:10.5880/GFZ.1.3.2014.001](https://doi.org/10.5880/GFZ.1.3.2014.001).  
181 A brief summary of the above three candidate AD products can be found in Table. 1.

Table 1: A summary of the candidate atmospheric de-aliasing products

Product	Period	Temporal resolution	Spectral content	Data source	Integration method
ESM-A	1995-2006	3 h (6 h)	180 (360)	Reanalysis	2-D
ESM-Ac	2006	3 h	360	Reanalysis+COSMO	2-D
ITG3D	2003-2010	6 h	100	Reanalysis	3-D
ATM RL05	1976-2017	6 h	100	Operational(Op)	3-D
ATM RL06	1976-2017	3 h (6 h)	180	Reanalysis+Op	3-D

#### 182 2.4. GRACE L1b data

183 A complete set of GRACE L1b data (*Case et al., 2002*) is the prerequisite to calculate  
184 the KBRR residuals. This set mainly includes the KBRR observations, GPS positions,  
185 drag-free 3-axis accelerometer measurements along with the star camera measurements,  
186 and 6-hourly ocean-de-aliasing product from AOD1B. All data mentioned above are  
187 accessible at <http://isdc.gfz-potsdam.de/index.php>. In what follows, the L1b data



188 along with each of the three AD products mentioned above will be used to calculate the  
 189 KBRR residuals.

### 190 3. Methodology

191 In this paper, the GRACE level 1B KBRR residuals ( $\Delta\dot{\rho}$ ) are calculated by the  
 192 Hawk software, Wuhan University, which is designed for gravity recovery (*Yang et al.*,  
 193 2017a,b,c) using classical variational-equation approach (*Montenbruck and Gill, 2000*).  
 194 The light-time and K-Band antenna phase center corrections are applied on the original  
 195 range-rate observations  $\dot{\rho}_{obs}$  (see *Case et al., 2002*, e.g.) following

$$\dot{\rho}_{adjust} = \dot{\rho}_{obs} + \Delta_{light-time-correction} + \Delta_{antenna-correction} \quad , \quad (1)$$

196 where  $\rho$  denotes KBRR measurements, and the range rate measurements  $\dot{\rho}_{obs}$  are their  
 197 first-order temporal derivatives. Subsequently, the first version of KBRR residuals  $\Delta\dot{\rho}_1$   
 198 can be computed by removing the effect of background models from the adjusted obser-  
 199 vations  $\dot{\rho}_{adjust}$  following

$$\Delta\dot{\rho}_1 = \dot{\rho}_{adjust} - \dot{\rho}_{nominal} \quad , \quad (2)$$

200 where the  $\dot{\rho}_{nominal}$  represents the nominal range rate measurements, which are obtained  
 201 by differentiating ranges  $\rho_{nominal}$  as

$$\dot{\rho}_{nominal} = \frac{d\rho_{nominal}}{dt} = (\vec{v}_A - \vec{v}_B) \cdot \vec{e}_{AB} \quad , \quad (3)$$

202 where  $\vec{v}$  are state vectors (velocities) of GRACE satellite A or satellite B;  $\vec{e}_{AB}$  denotes  
 203 the unit vector along the direction of GRACE twin-satellite baseline, which is also the  
 204 line-of-sight (LOS) unit vector defined by

$$\vec{e}_{AB} = \frac{\vec{X}_{AB}}{d\rho_{nominal}} \quad . \quad (4)$$

205 To obtain  $\rho_{nominal}$ , we use

$$\begin{aligned} \vec{X}_{AB} &= \vec{X}_A - \vec{X}_B \\ \rho_{nominal} &= \sqrt{\vec{X}_{AB}^T \cdot \vec{X}_{AB}} \quad , \end{aligned} \tag{5}$$

206 where  $\vec{X}$  contains the positions of GRACE satellite A or satellite B. By substituting Eq.  
 207 (5) and Eq. (4) into Eq. (3), one can estimate  $\dot{\rho}_{nominal}$ , which will be further reduced by  
 208  $\dot{\rho}_{adjust}$  using Eq. (2) to derive the desired KBRR residuals  $\Delta\dot{\rho}_1$ . However, as shown by Eq.  
 209 (5), the state vectors  $\vec{v}$ , and  $\vec{X}$  have to be given beforehand, which are usually calculated  
 210 by implementing an orbit integration (propagation) from the initial state vector  $\vec{v}_0, \vec{X}_0$ ,  
 211 and a-priori force models. Therefore, the nominal range rate ( $\dot{\rho}_{nominal}$ ) does not contain  
 212 the ranging instrument errors (because  $\dot{\rho}_{nominal}$  is not a product of the ranging system  
 213 but it is computed from orbit positions), and therefore, it could be used as an alternative  
 214 measure to evaluate AD products. The results of this evaluation are provided in the  
 215 Appendix, which show that the magnitude of differences between de-aliasing products  
 216 exceed the noise floor of the ranging system. Therefore, development of AD products  
 217 should be considered to produce more accurate time-variable gravity fields.

218 In the following, we introduce the force models used to generate satellite state vectors.  
 219 The nominal static gravity field is modeled by GIF48 (*Ries et al., 2011*) complete up to  
 220 d/o 160. Third-body gravitational perturbations, together with the indirect J2 effect,  
 221 are computed from the positions and velocities of both Sun and Moon according to JPL  
 222 DE405 planetary ephemeris (*Standish, 1995*). Subsequently, ocean tides are removed  
 223 using EOT11a model (*Savcenko and Bosch, 2012*) complete up to d/o 120, associated  
 224 with 18 major tidal constituents (eight long periodic, four diurnal, five semi-diurnal,  
 225 one nonlinear constituent) and 238 minor tides. Remaining gravitational force models  
 226 including solid Earth tides and pole tides, as well as general relativistic perturbations are  
 227 computed according to the International Earth Rotation Service (IERS) 2010 conventions  
 228 (*Petit and Luzum, 2010*).

229 Non-tidal high-frequency oceanic variability is calculated from the ocean component of  
 230 the GFZ AOD RL05 product. To implement the required comparisons, AD products are

231 chosen from ATM, ITG3D, and ESM. In each scenario, the KBRR residuals are estimated  
 232 using one of the de-aliasing products. Therefore, all other background models are kept  
 233 unchanged, and the estimated differences between these scenarios are compared to each  
 234 other. By doing this, the assessments are independent of the introduced a priori models,  
 235 and simply represent the effect of changing AD products. We expect that, the choice  
 236 of other background models does not alter final results and conclusions (assessments are  
 237 not shown in this paper). More details could be found in Table. 2, where in particular  
 238 the difference of KBRR-residuals is the key index for assessments that will be used in  
 239 what follows.

Table 2: An introduction of the related concepts for assessing atmosphere de-aliasing (AD) models

Concept	Remarks	Signal Content
1 True Range Rate	true value (unknown)	gravity fields (static and temporal) + <b>true AD effect</b> + other forces
2 KBRR	observations (Obs)	gravity fields (static and temporal) + <b>true AD effect</b> + other forces + instrument error
3 Nominal range rate	nominal Obs (Nom)	gravity fields (static) + <b>AD model</b> + other force mod- els
4 KBRR-residuals	Obs versus Nom	gravity fields (temporal)+ <b>AD model errors</b> + other force model errors + instrument error
5 Difference of KBRR- residuals	KBRR residuals II ver- sus KBRR residuals I	<b>AD model II errors minus AD model I errors</b>

240 We should mention here that the time ( $t$ )-dependent KBRR residuals  $\Delta\dot{\rho}_1$  estimated  
 241 above are not the final estimations. We apply another calibration to remove a bias,  
 242 linear trend, and 1-CPR parameter per orbital revolution (about 94 minutes) from them  
 243 following *Kim (2000)* and *Zhao et al. (2011)*:

$$\Delta\dot{\rho}_2(t) = \Delta\dot{\rho}_1(t) + A + B t + C \sin\left(\frac{2\pi t}{T_{rev}}\right) + D \cos\left(\frac{2\pi t}{T_{rev}}\right) + E t \sin\left(\frac{2\pi t}{T_{rev}}\right) + F t \cos\left(\frac{2\pi t}{T_{rev}}\right) , \quad (6)$$

244 where  $T_{rev}$  is the revolution period, and (A, B, C, D, E, and F) are coefficients that need  
 245 to be estimated using a least squares method. Subsequently, a 3- $\sigma$  outlier detection is  
 246 applied on  $\Delta\dot{\rho}_2$  to remove values that are greater than 3 times of the standard deviation  
 247 value of each arc. By this, the ultimate KBRR residuals ( $\Delta\dot{\rho}_2$ ) are well established. The  
 248 cleaned up time series of residuals ( $\Delta\dot{\rho}_2$ ) are analyzed in two ways: (1) the RMS of KBRR  
 249 residuals for each day are computed to form new time-series; and (2) the residuals are cut

250 out over specific areas (continents or globe) by assigning each residual to the mid-point  
 251 of the orbit positions of the two satellites. Then for each  $1^\circ \times 1^\circ$  bin, the RMS of residuals  
 252 over a given period (daily, monthly, or yearly) is computed and formed global maps that  
 253 are shown in Sec. 4.

254 After a complete removal of background models including the AD product in a stan-  
 255 dard data processing chain, the time-series of daily RMS of KBRR residuals over years  
 256 2005-2010 are calculated and presented in Fig. 1 left. Compared to the one presented by  
 257 *Dahle et al. (2012)*, the shape and trend of both KBRR residuals RMS time series agree  
 258 very well in spite of minor differences in the amplitude. With these KBRR residuals, we  
 259 produce a monthly gravity model up to d/o 60 using spherical harmonics base-functions  
 260 (called Hawk-SH60). This comparison indicates a comparable accuracy with the official  
 261 GRACE level 2 gravity fields in terms of degree variance of the geoid height, see Fig. 1  
 262 right panel. Particularly, the correlation coefficient between Hawk-SH60 and CSR RL05  
 263 is found to be 0.99. More details and evaluations of Hawk-SH60 products can be found  
 264 in *Yang et al. (2017a,b)*.

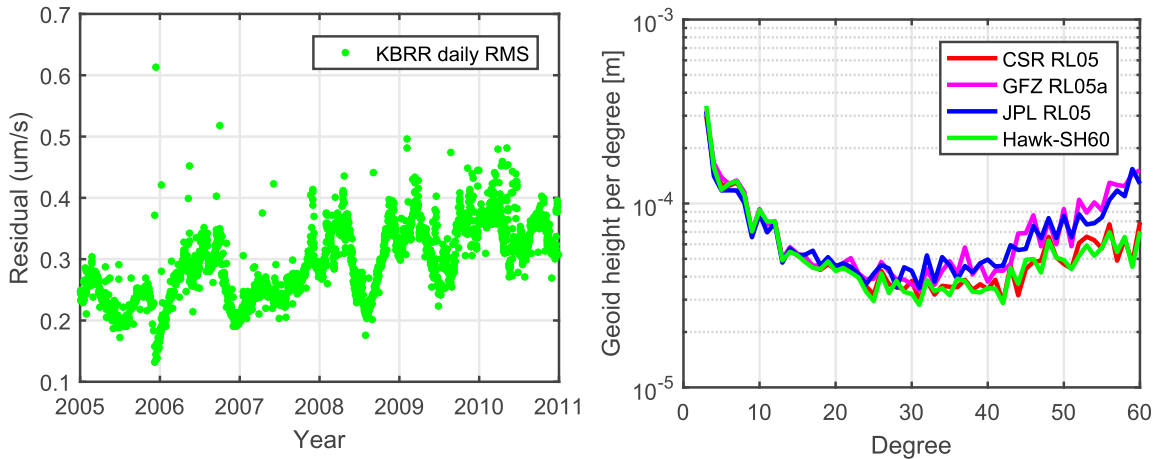


Figure 1: The left panel represents the time-series of daily RMS of KBRR residuals from Jan 2005 to Dec 2010, for which AOD1B RL05 products are applied to remove high-frequency atmospheric and oceanic variability. The right panel represents the degree variance of the geoid height derived from the mean of CSR RL05, GFZ RL05a, JPL RL05 and Hawk-SH60 monthly models averaged during 2005-2010, relative to GIF48. Hawk-SH60 stands for the monthly gravity fields of this study, which are computed up to d/o 60.

265 Another insight about the performance of estimated gravity fields is shown in Fig.  
 266 2, which illustrates the trend and annual amplitude maps in terms of Equivalent Water

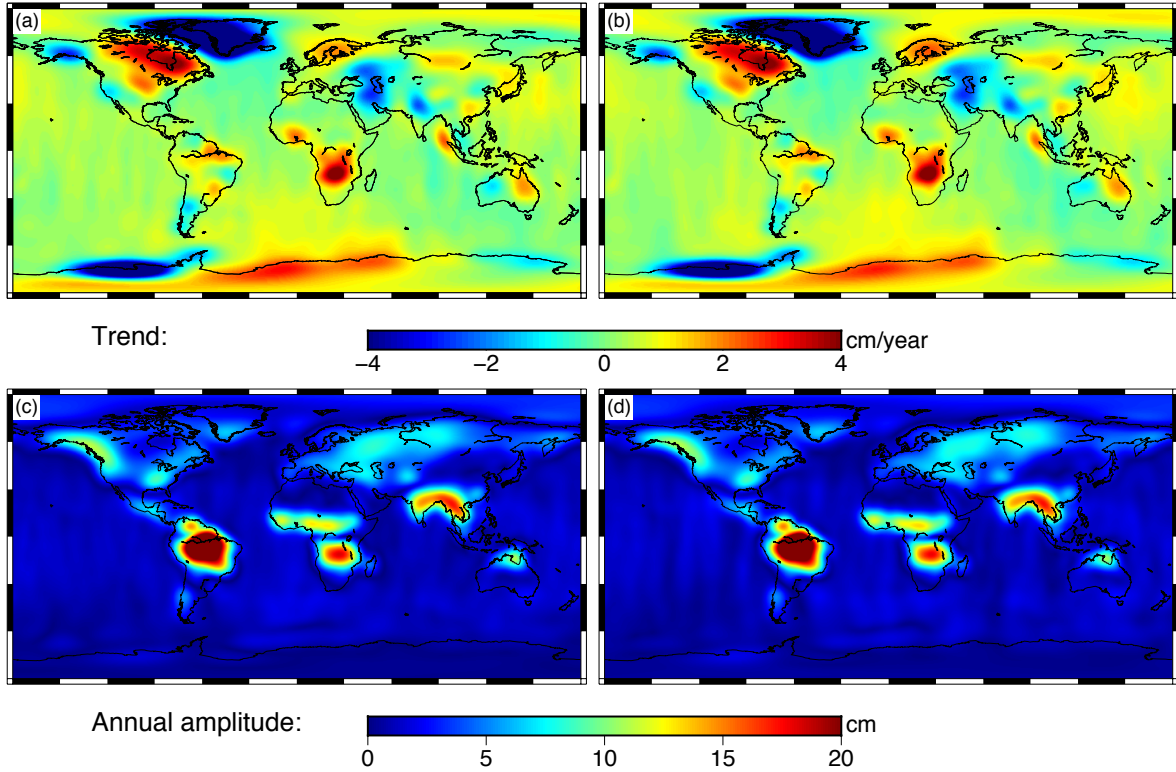


Figure 2: Two maps on top indicate linear trend in terms of Equivalent Water Height (EWH) changes (mm/year) derived from Hawk-SH60 and CSR RL05 monthly gravity fields covering 2005-2010 from (a) CSR RL05, and (b) Hawk-SH60. Bottom maps indicate annual amplitudes in terms of EWH (mm) from (c) CSR RL05, and (d) Hawk-SH60.

267 Height (EWH) for CSR RL05 and Hawk-SH60, covering Jan 2005-Dec 2010. It is evident  
 268 that the results of CSR RL05 and Hawk-SH60 are fairly similar (see Fig. 2, and compare  
 269 the top left to the top right, also compare the bottom left to the bottom right). The  
 270 similarity of these two models is also supported by comparing the statistics of basin  
 271 averages as shown in Table. 3, where the differences are mostly less than 5% except for  
 272 the basins that have weak trend or annual amplitude signals. Therefore, we are confident  
 273 that there are no potential errors in our data processing chain that introduce an undue  
 274 adverse effect in the calculation of KBRR residuals, and consequently, they will correctly  
 275 reflect the impact of the AD products on the gravity inversion.

Table 3: A summary of basin averaged EWH results derived from monthly gravity fields covering Jan 2005 to Dec 2010. Gravity products include the monthly output of our in-house software Hawk using coefficients of up to degree and order (d/o) 60 (Hawk-SH60), and the official products of CSR RL05.

Region	Area [ $10^6 km^2$ ]	Trend [cm/yr]		Annual amplitude [cm]	
		Hawk-SH	CSR RL05	Hawk-SH	CSR RL05
Amazon	6.20	0.53	0.55	17.55	17.40
Nile	5.40	0.25	0.16	3.68	3.41
Congo	3.83	1.26	1.23	4.00	4.16
Mississippi	3.30	0.80	0.83	5.45	5.33
Greenland	2.10	-5.97	-5.97	3.78	3.73
Yangtze	1.81	0.40	0.35	4.03	4.06
Mekong	0.81	-0.20	-0.21	10.45	10.69
Yellow	0.76	-0.10	-0.20	0.93	1.03

## 276 4. Results

### 277 4.1. Comparisons of KBRR residuals

278 The following comparisons are carried out for January to December 2006, during  
279 which the three 6-hourly de-aliasing data sets of ATM RL05, ITG3D, and ESM are  
280 available (see Table. 1). The 3-hourly ATM RL06 will be later evaluated over a longer  
281 period of 2006-2010. For each AD product, the KBRR residuals associated with daily  
282 RMS values are generated to form the time-series. Subsequently, the time series of KBRR  
283 residuals that correspond to one of the AD model is reduced from another as shown in  
284 Fig. 3. The results indicate that the daily differences between ATM RL05 and ESM  
285 in terms of the RMS of KBRR residuals (the green scattered points), as well as the  
286 median value of these differences throughout 2006, i.e. the dashed red line indicating 2.8  
287  $nm/s$ . The amplitude of differences is found to reach up to 20  $nm/s$ , which is below the  
288 precision of the current GRACE KBRR system that is only able to distinguish signal  
289 stronger than 200  $nm/s$  (see, e.g., *Beutler et al., 2010*; *Loomis et al., 2012*; *Chen et al.,*  
290 *2015*; *Flechtner et al., 2016*). However, this amplitude is strong enough to be sensed  
291 by the next generation of GRACE-type gravity missions or even the planned GRACE-  
292 FO mission that will carry a laser interferometer measurement system at an expected  
293 precision of 0.6  $nm/s$  (see, *Loomis et al., 2012*). The averaged improvement of ESM  
294 instead of ATM RL05 is found to be 2.8  $nm/s$ , which is above 0.6  $nm/s$  and indicates  
295 that the RL05 data will be potentially an error source. In the same way, we can further

296 observe from Fig. 3(b) that ITG3D reduces the median of RMS to 3.4  $nm/s$  (denoted  
 297 by the red dashed line).

298 Comparing the RMS results from ESM and ITG3D (see Fig. 3(c)), we find that  
 299 ITG3D reduces the median by only 0.6  $nm/s$  that can be detected neither by the current  
 300 K-Band instrument nor by the future laser ranging system of GRACE-FO. A similar  
 301 result can also be observed by comparing monthly KBRR residuals in Table. 4, and  
 302 another verification of Table. 4 by a Fisher statistical test (F-test), which indicates that  
 303 the improvements of 'ATM-ESM' and 'ATM-ITG3D' are reliable ( $p = 7.6 \times 10^{-6}$  and  
 304  $p = 1.0 \times 10^{-5}$ , respectively), while the improvement of 'ESM-ITG3D' is found to be less  
 305 significant ( $p = 0.16$ ).

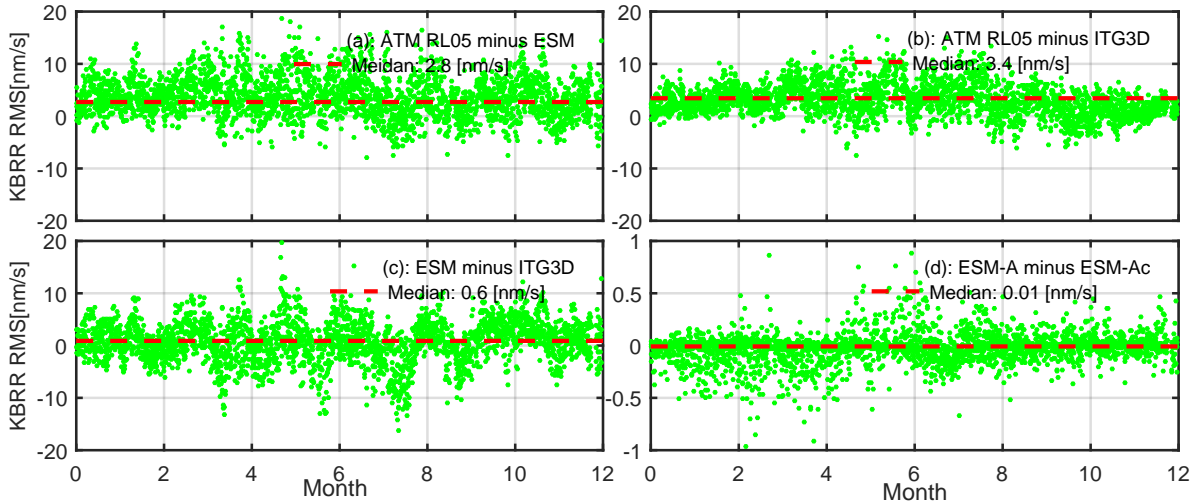


Figure 3: Green dots represent the differences of the RMS of KBRR residuals during 2006 between two pairs of AD products, and the red dashed lines represent the corresponding one-year median values of the green dots. (a) ATM RL05 versus ESM; (b) ATM RL05 versus ITG3D; (c) ITG3D versus ESM; and (d) ESM-A versus ESM-Ac. Note that the differences are computed by reducing the latter model from the former one. Therefore the positive one-year median value indicates that the latter model is found generally better than others.

306 The estimated RMS of KBRR residuals along GRACE orbit is able to reflect the  
 307 overall impact of using different AD products on time-variable gravity field recovery.  
 308 Therefore, in the following, we present the gridded RMS of KBRR residuals to specify  
 309 the spatial distribution of model misfits. For this purpose, the one year time-series in  
 310 Fig. 3 are projected onto the spatial domain to generate gridded maps as shown in Fig.  
 311 4. These results represent the mean differences of gridded KBRR residuals (for 2006)

Table 4: Comparisons of monthly KBRR residuals RMS during 2006, between ATM RL05 (denoted as ATM below), ESM and ITG3D products. The unit is [ $nm/s$ ], and the latter product improves over the former one if the computed difference is positive.

Month	ATM	ITG3D	ESM	ATM-ESM	ATM-ITG3D	ESM-ITG3D
Jan	244.4	<b>240.7</b>	242.0	2.4	3.7	1.3
Feb	299.0	<b>295.3</b>	295.5	3.5	3.7	0.2
Mar	353.9	<b>349.1</b>	351.0	2.9	4.8	1.9
Apr	379.1	374.1	<b>374.0</b>	5.1	5.0	-0.1
May	358.3	<b>354.2</b>	355.0	3.3	4.1	0.8
Jun	372.2	367.5	<b>367.3</b>	4.9	4.7	-0.2
Jul	408.1	<b>403.3</b>	404.3	3.8	4.8	-1.0
Aug	385.0	383.2	<b>380.7</b>	4.3	1.8	-2.5
Sep	401.5	<b>398.8</b>	399.3	2.2	2.7	0.5
Oct	364.2	<b>361.2</b>	363.3	0.9	3.0	2.1
Nov	305.1	<b>300.7</b>	304.2	0.9	4.4	3.5
Dec	262.2	<b>260.1</b>	260.9	1.3	2.1	0.8

312 estimated by replacing the ATM RL05, ITG3D and ESM as done before. In Fig. 4, the  
 313  $1^\circ \times 1^\circ$  quadratic grid boxes marked in red (positive) suggest that the latter model has a  
 314 smaller RMS, and therefore, it is a better model for reducing high-frequency atmospheric  
 315 mass changes from gravity products, and the blue boxes represent vice versa.

316 In support of our assumption, a supplementary experiment between ESM-A and ESM-  
 317 Ac is setup to examine the impact of using a regional atmospheric model on the RMS  
 318 of KBRR residuals. The results are shown in Fig. 3(d) and the Fig. 4(d), where Fig.  
 319 3(d) indicates that the median value of RMS after reducing ESM-A and ESM-Ac is too  
 320 small ( $0.01 nm/s$ ) to be detected by the K-Band or the laser ranging systems. This  
 321 likely indicates that the regional improvement of input atmosphere variability from the  
 322 COSMO-EU model can hardly lead to a global improvement. In Fig. 4(d) (with the  
 323 ocean being masked), the effects on KBRR residuals are successfully confined within the  
 324 region where the COSMO-EU is supposed to take effect. The border of COSMO-EU  
 325 model is marked by a thick red line, which includes the whole Europe associated with a  
 326 part of the northern Africa, as shown in Fig. 4(d). Considering the fact that COSMO-EU  
 327 has a better spatial resolution, ESM-Ac mostly performs better than ESM-A as expected  
 328 (the red grids are dominant, see Fig. 4(d)). Out of the COSMO-EU's domain, the  
 329 differences vanish fast as expected. Since no significant differences can be detected over



330 the regions out of Europe, we can be sure that the projection of KBRR residuals to the  
 331 spatial domain remains within the location of interest and hardly leaks to other regions.  
 332 In summary, we conclude that using KBRR residuals is an efficient and straight-forward  
 333 approach to assess the quality of AD products.

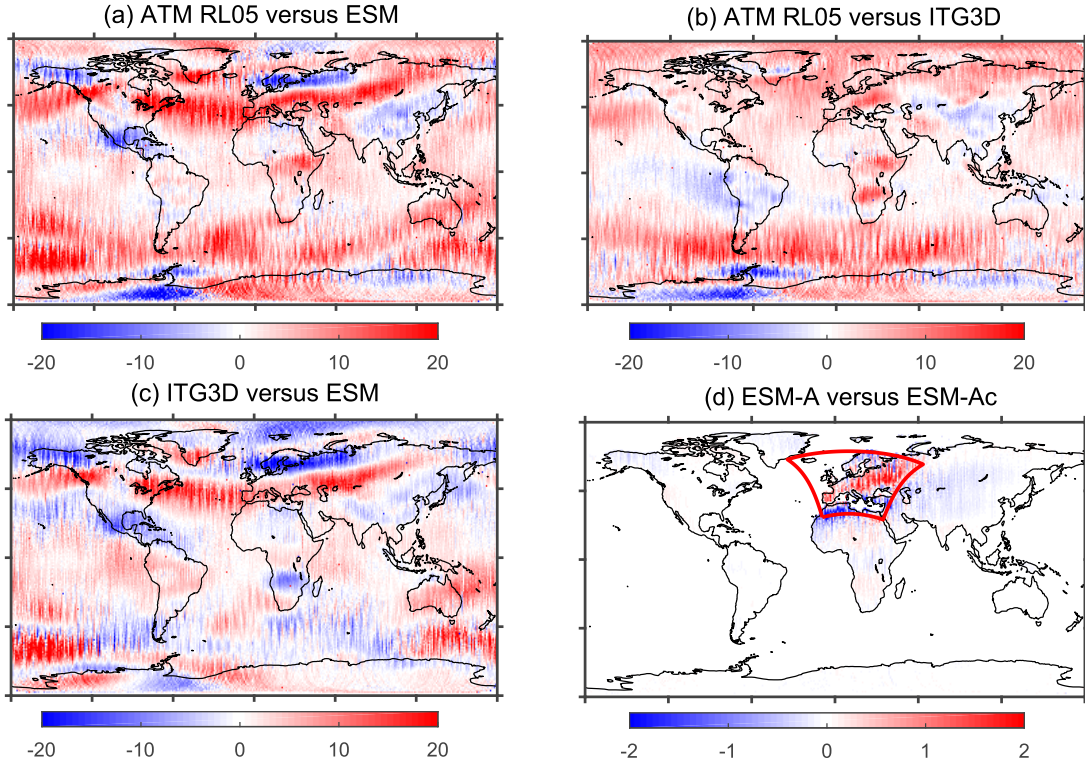


Figure 4: Differences of one-year KBRR residuals RMS over 2006 in  $1^\circ \times 1^\circ$  bin, the unit is  $[nm/s]$ : (a) ATM RL05 versus ESM; (b) ATM RL05 versus ITG3D; (c) ITG3D versus ESM; and (d) ESM-A versus ESM-Ac. Note that the differences are made by reduction of the latter model from the former one, therefore, the regions in red indicate the latter model is better.

334 Based on the assumption mentioned above, Fig. 4(a) demonstrates the differences  
 335 between ATM RL05 and ESM model (the former minus the latter), where it can be  
 336 observed that ESM has a considerable improvement over ATM RL05 at the majority of  
 337 the globe. The ratio of the red and the blue grid points is 78.9% : 21.1%, which could be  
 338 regarded as a global improvement in spite of some local deterioration. Particularly, 65%  
 339 of the red points has the strength beyond  $1 nm/s$  that is sufficient to be monitored by  
 340 the laser ranging system ( $0.6 nm/s$ ). Furthermore, Fig. 4(b) compares the differences  
 341 between the RMS of KBRR residuals derived from ATM RL05 minus that of ITG3D,  
 342 where the ratio of the red and the blue grids is found to be 78.4% : 21.6%. We note that

Table 5: The percentage of the red parts in monthly spatial maps over 2006, and the maps denote the differences of monthly KBRR residuals RMS between two aliasing products. The red part in maps is where the latter de-aliasing product performs better than the former one, see Fig. 4.

Month	ATM-ESM	ATM-ITG3D	ITG3D-ESM
Jan	67.5%	65.8%	50.1%
Feb	64.0%	67.1%	47.4%
Mar	61.8%	62.3%	53.1%
Apr	60.2%	67.1%	49.2%
May	61.2%	64.8%	49.0%
Jun	60.1%	64.3%	49.0%
Jul	62.5%	64.0%	53.8%
Aug	57.4%	61.8%	48.9%
Sep	60.3%	60.5%	50.9%
Oct	63.8%	60.7%	55.6%
Nov	66.1%	60.4%	51.5%
Dec	65.4%	67.2%	41.8%

343 the percentage is calculated without weighting grid cells, because the spatial KBRR RMS  
344 values have already been weighted using latitude-dependent scales. Another investigation  
345 of the monthly performance has been concluded in Table. 5, where we also find that the  
346 red parts in scenarios (ATM RL05-ESM) and (ATM RL05-ITG3D) are over 50% of the  
347 globe in each month. In terms of the statistics we obtained so far, the ITG3D and ESM  
348 models apparently perform better than ATM RL05 in the performed spatial analysis,  
349 and this finding is consistent with the one contained in Fig. 3. According to the official  
350 description of ITG3D, ESM and ATM RL05 products, the major differences between  
351 ATM RL05 and the other two products are caused by the input atmosphere fields (source  
352 data, *Forootan et al., 2013; Dobslaw et al., 2015*), which consequently cause the derived  
353 differences in the KBRR residuals. Therefore, the ERA-interim data applied in ITG3D  
354 and ESM seems to be better suited for reducing the high frequency atmospheric mass  
355 changes than the ECMWFop used in ATM RL05.

356 However, given the same input ERA-interim fields, the generated AD products may  
357 not be identical due to the various assumptions within the 2-D and 3-D integration  
358 approaches. Figure 4(c) illustrates the comparison between ITG3D products (*Forootan*  
359 *et al., 2013*) derived from a 3-D approach, and ESM products (*Dobslaw et al., 2015*)  
360 derived from a 2-D integration approach. Although the 3-D method is theoretically more

361 comprehensive than the 2-D (e.g., it considers all vertical structure of atmosphere), only  
 362 small improvements are found in Fig. 4(c) as the ratio of the red with respect to the blue  
 363 is found to be 52% : 48%, see Table. 5 for more details. This result confirms previous  
 364 findings that the choice of 3-D integration approach has much smaller impact than the  
 365 input atmospheric fields.

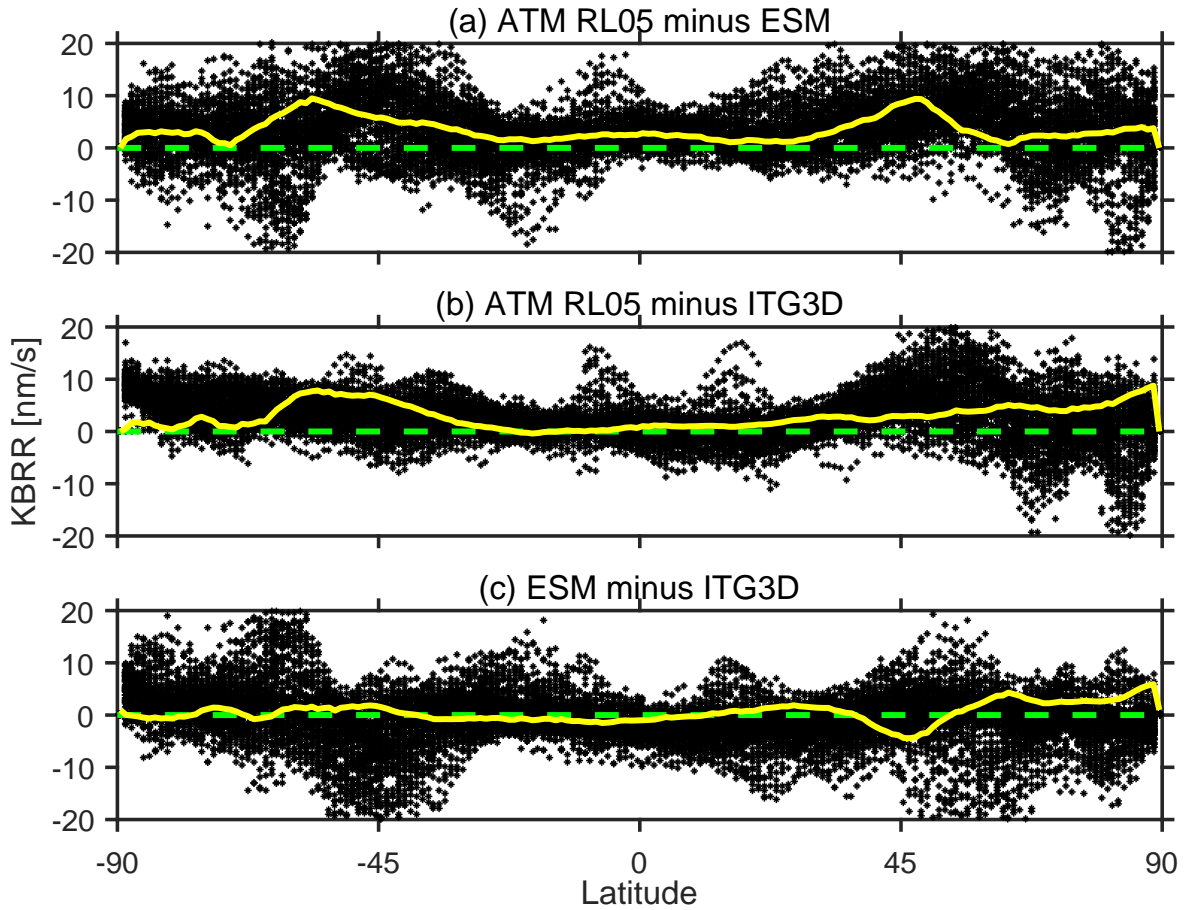


Figure 5: The black dots denote the spatial distribution of the differences of the KBRR residuals during 2006, plotted against the latitude; the yellow solid line are the median value of the black dots, and the green dashed line stands for zero. (a) ATM RL05 versus ESM-A products; (b) ATM RL05 versus ITG3D; and (c) ESM-A versus ITG3D.

366 To further understand the nature of Fig. 4, the differences of the estimated RMS of  
 367 KBRR residuals during 2006 are plotted against latitude in black dots as shown in Fig.  
 368 5. The green dashed line denotes the zero, and the yellow represents the median value  
 369 of the KBRR residuals that are distributed at given latitude. From Fig. 5(a)(b), the  
 370 superiority of ESM or ITG3D model with respect to ATM RL05 model can be concluded,

371 as the yellow solid lines are entirely above the green dashed lines: 99.7% of the yellow  
372 solid line stays above 0  $nm/s$  in Fig. 5(a), and it is 99.5% in Fig. 5(b). Our conclusion  
373 is that both ESM-A and ITG models reduce the KBRR residuals globally.

374 Considering Fig. 5(c), which indicates the differences of RMS between ESM and  
375 ITG3D models, we find only 48% of the yellow solid line stays above 0  $nm/s$ . In this  
376 sense, ITG3D has no evident global improvement over ESM, however, we also notice  
377 that the ITG3D model has a stable and better performance at particular regions with  
378 latitude  $[60^\circ, 90^\circ]$ . The average value of the yellow line in Fig. 5(c) at latitude  $[60^\circ, 90^\circ]$  is  
379 1.9  $nm/s$ , which denotes that ITG3D can reduce the KBRR residuals over high-latitude  
380 regions. This conclusion is consistent with those of previous studies (*Berrisford et al.*,  
381 2011; *Forootan et al.*, 2013), which indicate major differences between atmospheric fields  
382 are distributed over high-latitude regions. Therefore, we suggest that ITG3D is more  
383 appropriate for gravity recovery in particular regions such as Greenland.

#### 384 4.2. Effects on monthly mean gravity field

385 In previous sections, we show the approach and results of using in-orbit KBRR residu-  
386 als to assess AD products. In this section, we will assess how differences in these products  
387 might be transferred to an ultimate monthly gravity solution. We should mention here  
388 that because of the complexity of numerical procedure within time-variable gravity in-  
389 version, the differences between the de-aliasing products that are captured by the KBRR  
390 residuals analysis might not be one-by-one reflected in the estimated gravity fields. This  
391 is another motivation for carrying out investigations in this section.

392 February 2006 is selected as an example to compare gravity fields that are reduced by  
393 different AD products. In Fig. 6(a), our numerical results are shown in terms of degree  
394 variance of geoid height. In addition to the curves of gravity fields recovered from ATM  
395 RL05 and ESM (ITG3D result is not shown because it almost overlaps the one reduced  
396 by ESM), the GRACE pre-launch baseline, as well as the current CSR RL05 and its  
397 calibrated errors are plotted as our references. It could be observed that the gravity  
398 field derived from ATM RL05 (in solid red line) and ESM (in solid blue line) are both  
399 comparable to that from CSR RL05 (in solid green line). However, the discrepancies

400 between the solutions still exist and should not be neglected.

401 From the results, it can be seen that the current accuracy of the GRACE recovered  
402 signal (in black dashed line) is one order of magnitude away from the baseline accuracy  
403 (in cyan dashed line), and minor modification of the background model might not be  
404 able to improve the accuracy, considerably. From Fig. 6(a), the red dashed line (ATM  
405 RL05 versus ESM) is between the current GRACE accuracy (calibrated error) and the  
406 baseline accuracy, which indicates that ESM (or ITG3D) is able to contribute to the  
407 next generation of GRACE rather than the current one. Figure 6(b) illustrates the geoid  
408 height transformed from the red dashed line in Fig. 6(a), which shows the amplitude  
409 of differences between gravity fields obtained from ATM RL05 and ESM can reach up  
410 to more than 1 *mm* after applying a Gaussian filter with 500km half-width radius. For  
411 discussion about the impact of filtering we refer to [Forootan et al. \(2014\)](#). The errors  
412 estimated here should be considered especially for the next generation of gravity missions  
413 that aim to reach the accuracy of 1 *mm* in terms of geoid height.

414 The blue dashed line in Fig. 6(a) indicates the differences between monthly grav-  
415 ity fields recovered from ITG3D and ESM, and lies much lower than the curves that  
416 correspond to other differences. Moreover, the blue dashed line is even lower than the  
417 pre-launch accuracy of GRACE (the cyan dashed line) after degree 15. This result sug-  
418 gests that the use of 3D instead of 2D integration approach can slightly improve the  
419 recovered gravity signal in terms of baseline accuracy, and its impact can be detected for  
420 the low degree terms (i.e.,  $<15$ ).

#### 421 *4.3. Revisit the jump in the ATM RL05 products*

422 A jump in 2006 has been previously reported in the ATM RL05 product (e.g., [Duan](#)  
423 [et al., 2012](#); [Forootan et al., 2014](#); [Rudenko et al., 2016](#)). Their analysis shows that  
424 the changes in the vertical layers of the input atmosphere fields from ECMWFop are  
425 responsible for the jump that occurs between January and February. Their finding of this  
426 jump is based on the level-2 GAC/GAA monthly mean atmosphere non-tidal products  
427 ([Flechtner et al., 2014a](#)).

428 In Fig. 7(a), we show how differences of KBRR residuals can be related to EWH

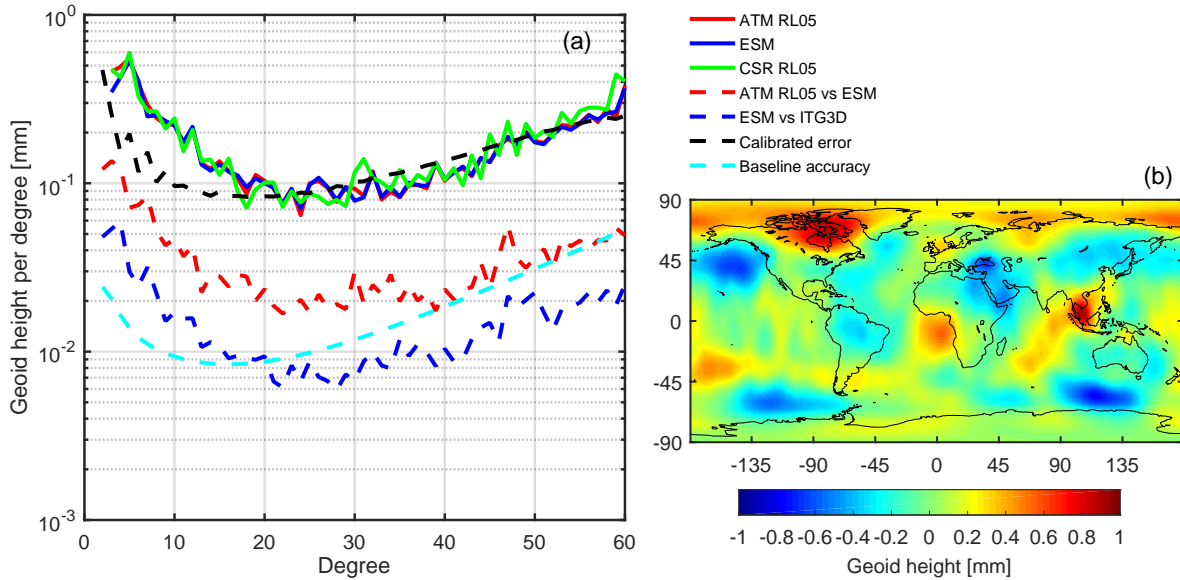


Figure 6: (a) Impact of using different atmospheric de-aliasing products on a GRACE monthly solution, on February 2006, computed up to degree and order (d/o) 60, in terms of geoid height. (b) Differences between the gravity fields inverted by reducing ITG3D minus the one reduced by ATM RL05 in terms of geoid heights after applying a Gaussian filter with 500km half-width radius.

429 changes caused by these jumps. ATM RL05 and ITG3D are averaged daily and trans-  
 430 formed to EWH. Subsequently, we calculate the differences between these daily EWH  
 431 maps. The results demonstrate that the daily differences before January 29th are similar  
 432 to that on January 29th (indicated by Fig. 7(a)), min/max/spatially weighted root mean  
 433 squares (wrms) of the differences are found to be roughly 3.9/5.9/0.6. However, after  
 434 January 29th, min/max/wrms of the differences change sharply to -9.0/9.0/1.0, as shown  
 435 in Fig. 7(b). We find that these changes mainly happen within the continents (see Fig.  
 436 7(b)), therefore, the daily mean of EWH within continents are plotted in Fig. 7(c). The  
 437 results clearly distinguish the jump occurring on January 29th, 2006. This conclusion  
 438 could be further supported by analyzing the KBRR residuals, which are also shown in  
 439 Fig. 7(c). Our estimations indicate that a jump of  $\sim 3$  mm in terms EWH causes a  
 440 change of  $\sim 2$  nm/s in terms of the RMS of KBRR residuals. This relationship (i.e. 3  
 441 mm EWH  $\sim$  2 nm/s RMS of KBRR) might be considered as a measure to evaluate the  
 442 impact of possible jumps in the AD products on the gravity recovery procedure.

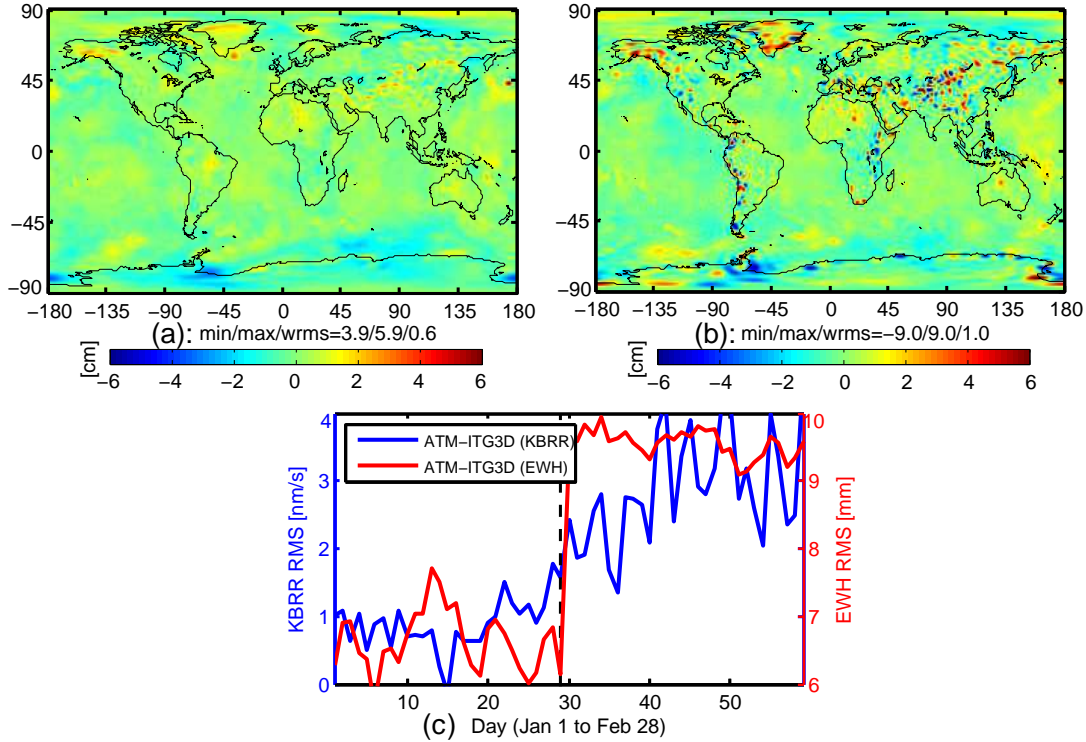


Figure 7: (a) Differences of EWH maps between ITG3D and ATM RL05 on January 29th, 2006. (b) Differences of EWH maps between ITG3D and ATM RL05 on Jan 30th, 2006. (c) The blue solid line shows differences between daily RMS of the continental KBRR residuals derived from ITG3D and ATM RL05, and the red solid line denotes differences between the RMS of continental EWH from ITG3D and ATM RL05.

#### 4.4. A validation of ATM RL06

Here, we carry out an extended evaluation (2006-2010) of the atmospheric part of the latest release of AOD1B products (shown by ATM RL06), which are compared with ATM RL05 and ITG3D products. ESM products are excluded since they are only available up to 2006 (see Table. 1). ATM RL06 is truncated at d/o 100 to be spectrally consistent with other products. We also do not add the ocean part of RL06 to have a consistent comparison.

The time-series of calibrated daily RMS of KBRR residuals derived from ATM RL05 is shown in Fig. 8a, from which a range of changes that is about 200-600  $nm/s$  can be detected. These values can be considered as our reference, to continue our comparisons. An average improvement derived from using ITG3D instead of ATM RL05 is found to be 2.4  $nm/s$  (shown in Fig. 8(b)), whose magnitude is insignificant. Figure 8(c) and (d)

455 indicate that using ATM RL06 instead of RL05 (or ITG3D) largely reduces the RMS of  
 456 KBRR residuals by  $44.2 \text{ nm/s}$  on average (see the red dashed lines in Figs. 8(c) and  
 457 (d)). Considering the high accuracy of laser ranging system, we believe the averaged  
 458 value of  $44.2 \text{ nm/s}$  is significant enough to affect gravity fields that will be estimated by  
 459 the next generation of satellite gravity missions. In fact, the improvement of ATM RL06  
 460 over RL05 in terms of KBRR-residuals might be under-estimated because of the ranging  
 461 instrument error (see Eq. (2)). In order to study the potential maximal improvement  
 462 that might be offered by changing AD models, we conduct another comparison in terms  
 463 of nominal ranging rate (see Eq. (3)) over year 2006. The numerical results indicate that  
 464 the median value of daily RMS of the differences between ATM RL05 and ATM RL06  
 465 has reached  $295.6 \text{ nm/s}$ . Similarly, it is  $29.8 \text{ nm/s}$  between ATM RL05 and ITG3D. We  
 466 also computed the RMS between ATM RL05 and RL06, and between that of RL05 and  
 467 ITG3D, which are found to be  $301.2 \text{ nm/s}$  and  $29.5 \text{ nm/s}$ , respectively. These results  
 468 indicate that it is necessary to update AD products for the GRACE-FO and future  
 469 missions.

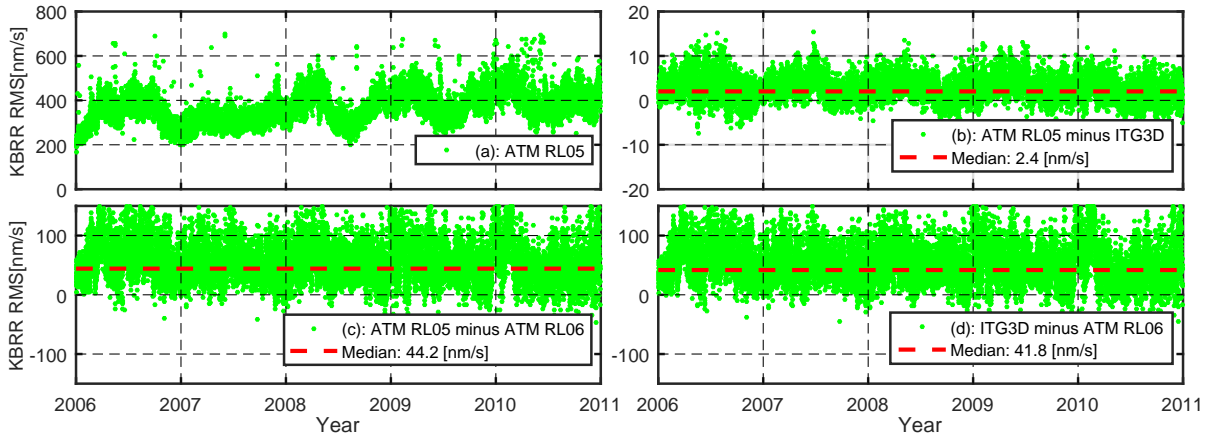


Figure 8: Green dots represent the RMS of KBRR residuals, and the red dashed lines represent the median values of the RMS during 2005-2010. Time series are derived by reducing (a) ATM RL05; (b) ATM RL05 versus ITG3D; (c) ATM RL05 versus ATM RL06; and (d) ITG3D versus ATM RL06. The differences are made by reducing the latter model from the former one, therefore the positive median value indicates that the latter model is better.

470 These results can be further supported by Fig. 9, where the time-series of daily  
 471 RMS of KBRR residuals are yearly averaged and projected onto the spatial domain. It  
 472 is evident from Figs. 9(a)(c)(e)(g) and (i) that ATM RL06 products are more efficient



473 than ATM RL05 to reduce the RMS, and the amplitudes of yearly average differences  
474 can reach even up to 100  $nm/s$ . The portions of positive values (red color) in Figs.  
475 9(a)(c)(e)(g) and (i) that correspond to years 2006-2010 are found to be 83%, 79%, 83%,  
476 80%, and 74%, respectively. In parallel, when comparing ITG3D to ATM RL06 in Figs.  
477 9(b)(d)(f)(h)(j), we find the portions of the red are 78%, 75%, 80%, 79%, and 69%,  
478 respectively. Better results derived from RL06 is likely due to its higher temporal and  
479 spatial resolution, while a detailed assessment will be performed in future.

## 480 5. Summary and Conclusion

481 In this study, an evaluation of existing non-tidal atmospheric de-aliasing (AD) prod-  
482 ucts is carried out. Differences between these products, including ATM RL05 and RL06  
483 from AOD1B, ITG3D, and ESM, are analyzed and their impact on GRACE and future  
484 satellite gravity missions is evaluated using the root mean squares (RMS) of KBRR resid-  
485 uals as a measure. Our assessments during 2006 indicate a reduction of 3.4  $nm/s$  in the  
486 RMS while using ITG3D instead of ATM RL05, and reduction of 2.8  $nm/s$  while using  
487 ESM instead of ATM RL05. The differences are found below the accuracy of the current  
488 KBRR system (i.e.  $\sim 200 nm/s$ ) but above that of the laser ranging system (i.e.  $\sim 0.6$   
489  $nm/s$ ) designed for the GRACE-FO mission.

490 We also assess the spatial distribution of the estimated RMS of KBRR residuals  
491 during 2006. Our results indicate that ITG3D and ESM respectively perform better  
492 than ATM RL05 over 78.4% and 78.9% of the globe. By averaging the KBRR residuals  
493 against latitudes, we could show that ITG3D performs better than ESM in the high  
494 latitude regions  $[60^\circ, 90^\circ]$ . Time-variable gravity fields from GRACE, while considering  
495 various atmospheric de-aliasing products within the inversion, demonstrate that future  
496 GRACE-like missions are likely sensitive to the improvements of ESM and ITG3D over  
497 ATM RL05. Initial validations of the atmospheric part of the latest version of AOD1B  
498 (ATM RL06) are carried out during 2006-2010 as well. Our results indicate that the  
499 3-hourly RL06 data result in a significant decrease in the KBRR residuals. The averaged  
500 reduction of RMS of KBRR residuals (computed against ATM RL05) during 2006-2010  
501 is found to be 44.2  $nm/s$ , indicating that RL06 performs much better than all the current

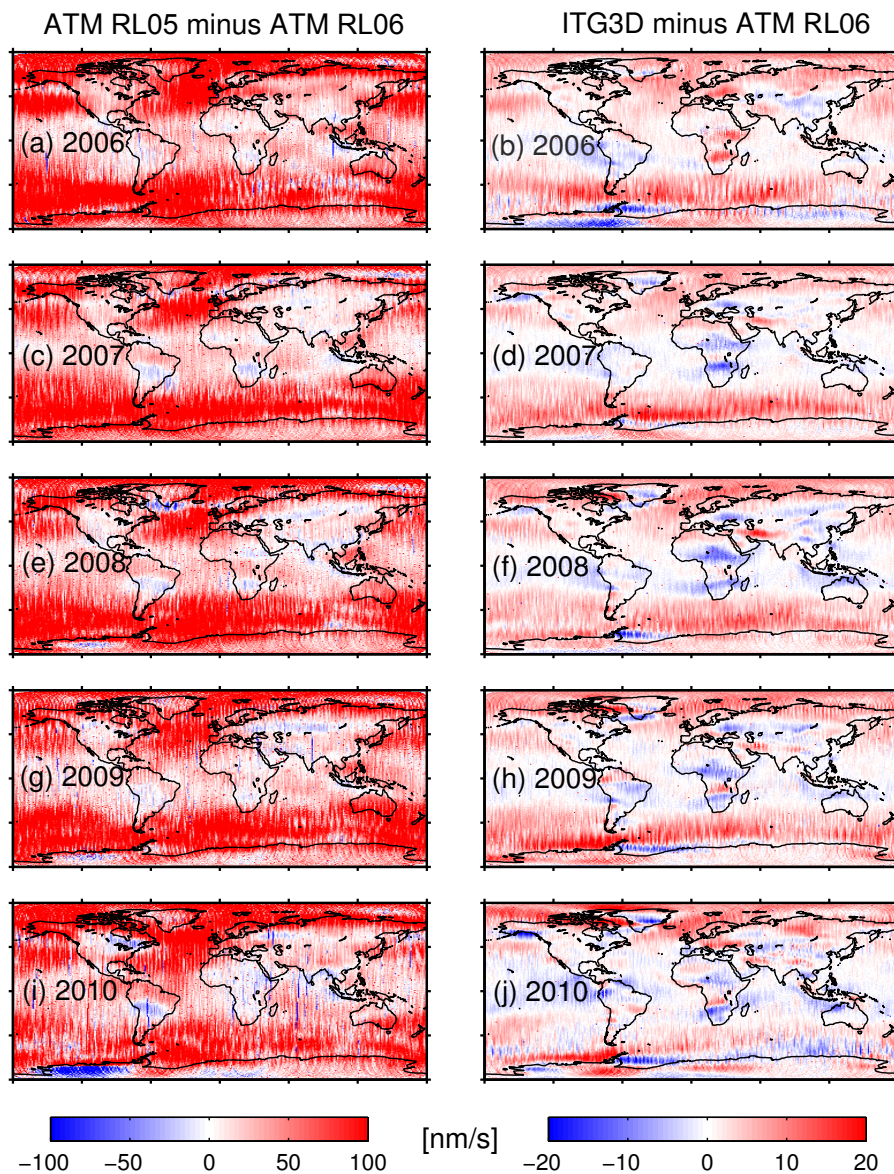


Figure 9: Year-to-year spatial gridded RMS of KBRR residuals. Maps are generated at  $1^\circ \times 1^\circ$  bin, and their unit is  $[nm/s]$ : (a)(c)(e)(g) and (i) represent the results of ATM RL05 versus ATM RL06 over years 2006-2010, respectively; (b)(d)(f)(h) and (j) represent the results of ITG3D versus ATM RL06 over years 2006-2010, respectively. The regions in red color are where the latter product performs better than the former one.

502 6-hourly AD products.

503 In our view, the above results suggest that changing input fields data from ECMWFop  
 504 to ERA-Interim is beneficial and improves the final quality of AD products, and this is  
 505 also found as the major cause of discrepancies between ITG3D, ESM, ATM RL05 and  
 506 RL06. In particular for RL06, the combination of 3-hourly reanalysis data and hourly

507 forecast data has well improved the quality of AD products. Compared to the option of  
508 input atmosphere fields, as well as their temporal resolution, the option of integration  
509 approach (3-D or 2-D) is found globally less significant considering the current accuracy  
510 of GRACE-like gravity inversion. The impact of integration at high-latitude regions is  
511 found considerable. Thus, the improved 3-D integration in ITG3D is suggested to be  
512 used for generating next versions of AD products.

513 Our work may contribute to the GRACE community in the following aspects. (1)  
514 While acknowledging the outstanding performance of ATM RL06 produced by GFZ,  
515 there is still room to work on the different options, which can be set during estimating  
516 AD products, e.g., changing sampling rate, input data, and the details of 3-D integration  
517 method. These settings must be ensured to be consistent with the processing strategy  
518 of gravity inversion. Therefore, as a further validation, the improved 3-D integration  
519 method as well as 3-hourly reanalysis data will be used to update the ITG3D model  
520 in our future work. (2) Apart from the AD products considered in this contribution,  
521 a possible investigation will be carried out to evaluate other background models, for  
522 example, tidal and non-tidal ocean models, while considering GRACE or GRACE-FO,  
523 as well as LISA-type or Bender-type future satellite gravity missions.

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## 709 **Appendix A**

710 The Hawk software classifies the KBRR processing into three phases: (1) prepro-  
711 cessing the KBRR observations, (2) calculating the nominal ( $d\rho_{nominal}$ ) range rate (or  
712 known as the predicted range rate  $d\rho_{predicted}$ ), and (3) computing the KBRR-residuals by  
713 subtracting the nominal range rate from the KBRRs. Each phase and the errors involve  
714 in them can be found in Fig. 10.

Table 6: Corresponding statistics (the median value of the time series) of Fig. 11 in 2006, where the results are reported in [nm/s].

Scenarios	Comparison at the level of nominal rates	Comparison at the level of KBRR-residuals (reported in Sec. 4)
ATM RL05 v.s. RL06	295.6	44.6
ITG3D v.s. ATM RL05	29.8	3.4
ITG3D v.s. ESM	3.9	0.6

715 The main results of this manuscript (presented in the Sec. 4) are based on the KBRR  
716 residuals (Phase 3 in Fig. 10), which contain errors from both the ranging system, as well  
717 as those of the background models. Although, selecting this measure is useful to directly  
718 justify whether changes in the de-aliasing products affect the final gravity solutions,  
719 one cannot isolate the maximum potential of replacing AD products. Therefore, as an  
720 alternative measure, we use the nominal range rates of Eq. (3), which are computed  
721 from orbital positions, to measure the impact of de-aliasing products. The RMS of  
722 differences (between nominal range rates) does not contain errors of the ranging system,  
723 and therefore, it reflects the maximum impact one might expect after changing the AD  
724 products. In Figs. 11 and 12, the daily RMS of differences in nominal rates are shown  
725 that correspond to the year 2006 and 2007-2010, respectively. Figure 11 compares ATM  
726 RL05, ATM RL06, ITG3D, and ESM, while Fig. 12 contains ATM RL05, RL06, and  
727 ITG3D. The corresponding statistics are reported in Tables. 6 and 7, which indicate that,  
728 as expected, the magnitude of differences in terms of KBRR-residuals is much smaller  
729 than those obtained here from the nominal rates. Furthermore, both measures indicate  
730 that the differences are bigger than the noise level of laser-ranging system. Additionally,  
731 we note that, the nominal (predicted) KBRR differences between ATM RL05 and RL06  
732 even exceed the noise level of the K-band ranging system, which indicates the importance  
733 of using ATM RL06 and other possible future atmospheric de-aliasing products for the  
734 GRACE-FO mission.

Table 7: Corresponding statistics (the median value of the time series) of Fig. 12 covering 2007-2010, where the results are reported in [nm/s].

Scenarios	Comparison at the level of nominal rates	Comparison at the level of KBRR-residuals (reported in Sec. 4)
ATM RL05 v.s. RL06	285	43.6
ITG3D v.s. ATM RL05	18.5	2.2

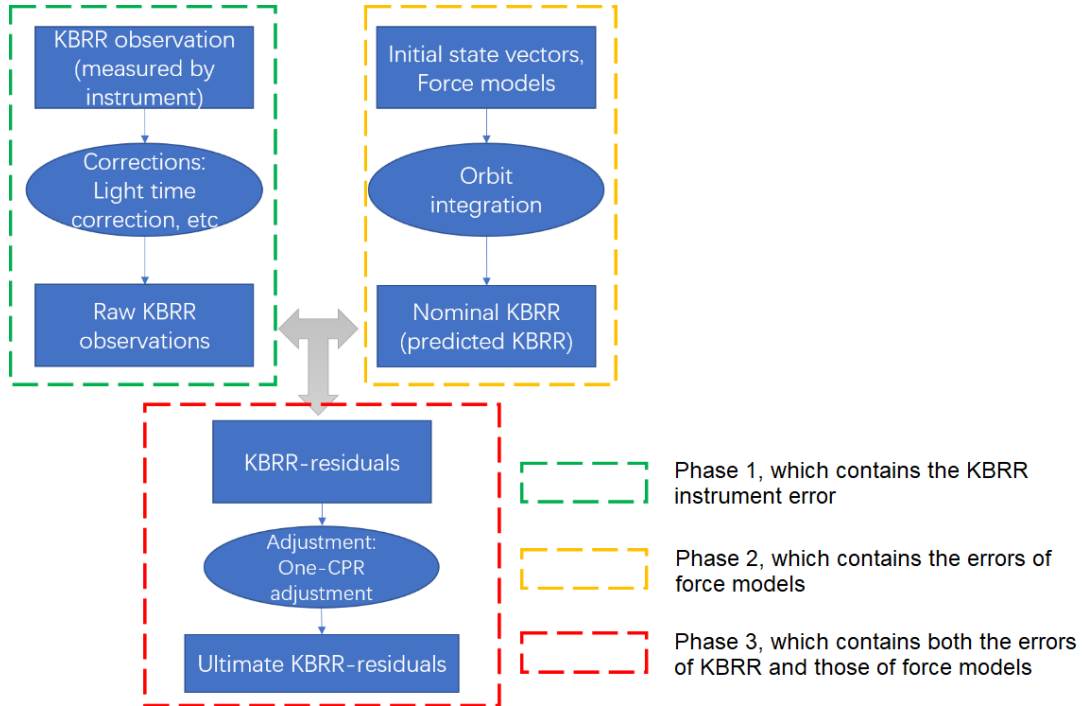


Figure 10: Flowchart of processing KBRR data in the Hawk software.

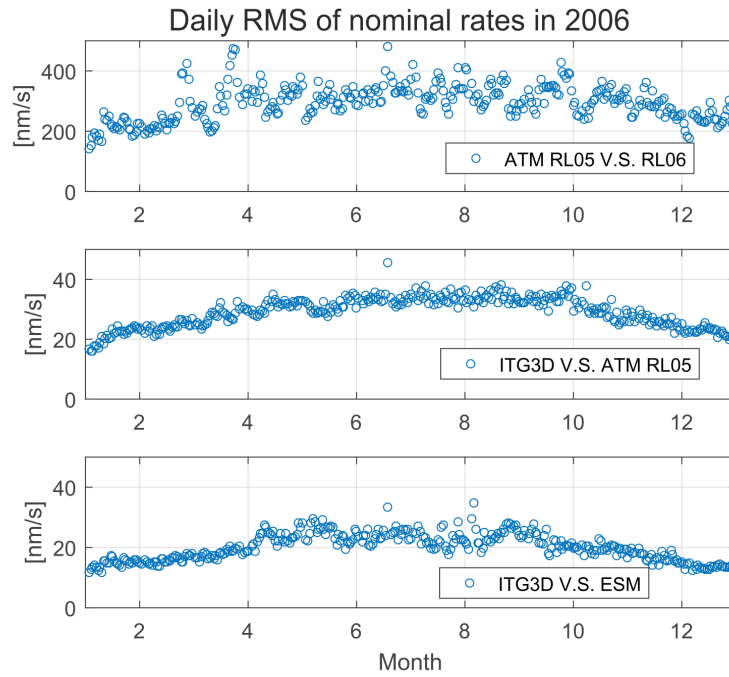


Figure 11: Time series of daily RMS of nominal rates ( $d\rho_{nominal}$ ) in 2006.

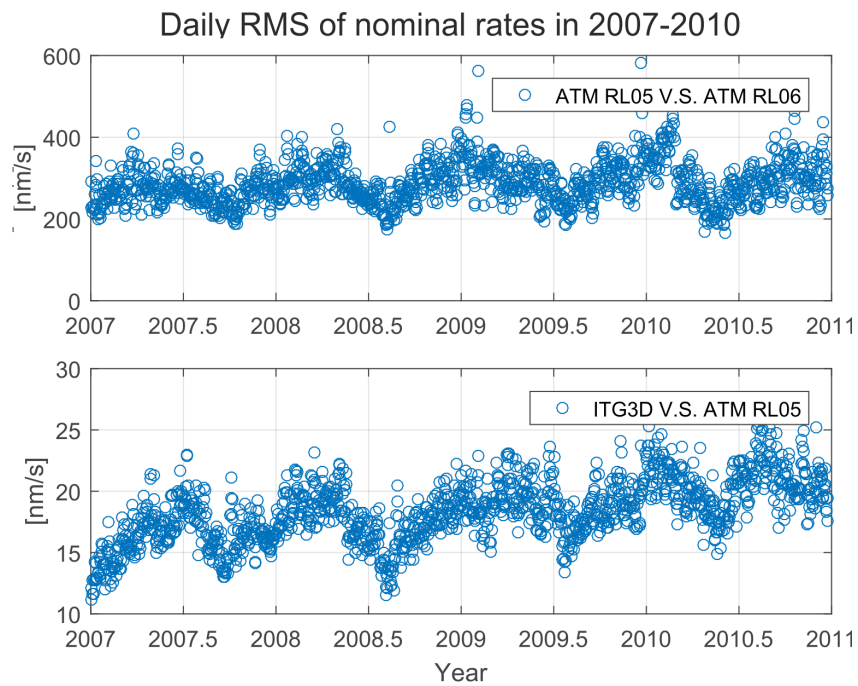


Figure 12: Time series of daily RMS of nominal rates ( $d\rho_{nominal}$ ) covering 2007-2010.