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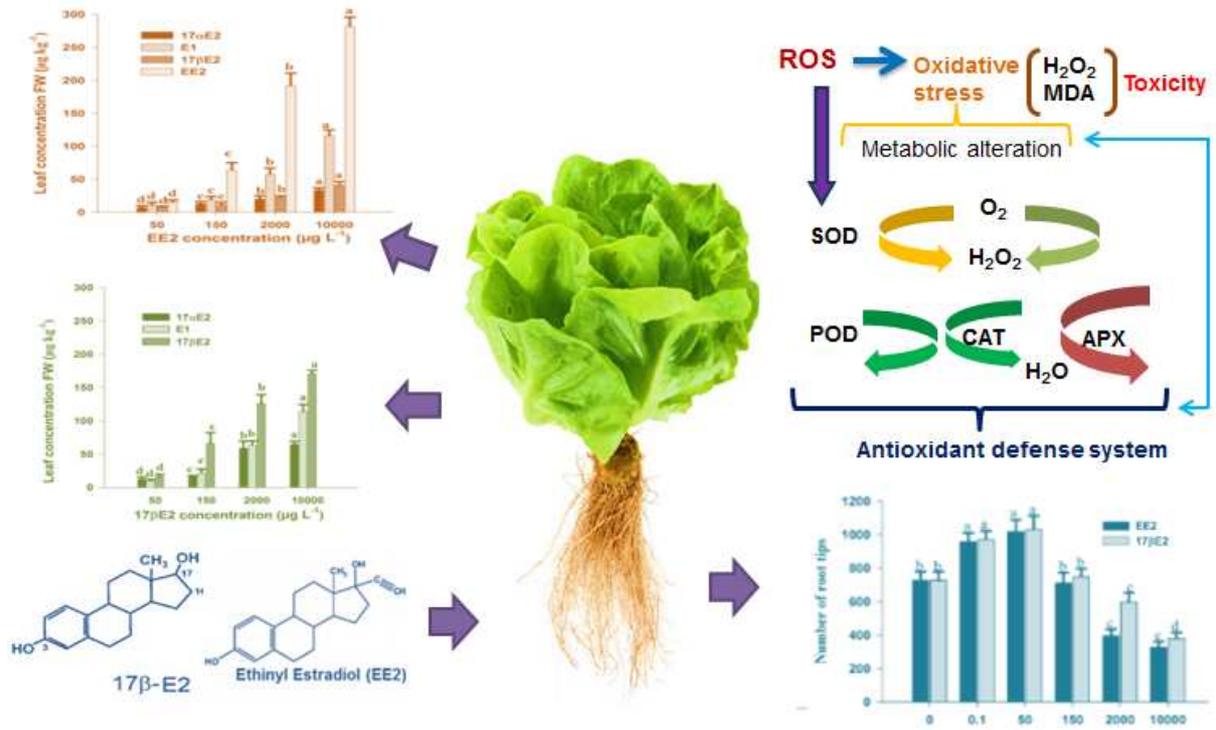
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ACCEPTED MANUSCRIPT

# Uptake and transformation of steroid estrogens as emerging contaminants influence plant development

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## Abstract

Steroid estrogens are emerging contaminants of concern due to their devastating effects on reproduction and development in animals and humans at very low concentrations. The increasing steroid estrogen in the environment all over the world contrasts very few studies for potential impacts on plant development as a result of estrogen uptake. This study evaluated the uptake, transformation and effects of estradiol (17 $\beta$ -E2) and ethinyl estradiol (EE2) (0.1-1000  $\mu$ g/L) on lettuce. Uptake increased in leaves and roots in a dose-dependent manner, and roots were the major organ in which most of the estrogen was deposited. The transformation of estrogens to major metabolite and their further reverse biotransformation in lettuce tissue was identified. At low concentrations (0.1 and 50  $\mu$ g/L) estrogens resulted in enhanced photosynthetic pigments, root growth and shoot biomass. Application of higher concentrations of estrogens (10 mg L<sup>-1</sup>) significantly reduced total root growth and development. This was accompanied by increased levels of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), and malondialdehyde (MDA), and activities of antioxidant enzymes superoxide dismutase (SOD), peroxidase (POD), catalase (CAT) and ascorbate peroxidase (APX). Taken together, these findings suggest that at low concentrations estrogens may biostimulate growth and primary metabolism of lettuce, while at elevated levels they have adverse effects.

**Capsule:** EDC estrogens (17 $\beta$ -E2 and EE2) stresses influence lettuce growth with a dose-dependent negative effect

**Keywords:** Estrogens; Plant uptake; Bioavailability; Antioxidant system; Biotransformation

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29 **Abbreviations:** E1, estrone; E2, estradiol; 17 $\beta$ -E2, 17 $\beta$ -estradiol; 17 $\alpha$ -E2, 17 $\alpha$ -estradiol; E3, estriol ; EE2, ethinyl  
30 estradiol ; CAFOs, Concentrated animal feeding operations; WWTPs, waste water treatment plants; MSH,  
31 mammalian sex hormones; CAT, catalase; POX, peroxidase; ROS, reactive oxygen species; SOD, superoxide  
32 dismutase; GPX, guaiacol peroxidase; APX, ascorbate peroxidase; MDA, mono dehydro ascorbate; MSTFA, N-  
33 Methyltrimethylsilyltrifluoroacetamide; TRL, total root length; RV, root volume; RD, average root diameter; RSA,  
34 root surface area; RTs, number of root tips; SPE, solid phase extraction.

## 35 **Introduction**

36 A major challenge for the agricultural sector today is to produce more and safe food for a  
37 growing global population. Meat and dairy products are parts of the livestock industry and the  
38 use of synthetic steroid hormones as growth promoters (Bartelt-Hunt et al., 2012), increasing the  
39 muscle mass (Biswas et al., 2013) are the mostly adopted practices in the developed countries.

40 The world human population of about 7 billion is estimated to discharge 30,000 kg/yr. of  
41 natural estrogens (E1, E2, and E3) and an additional 700 kg/yr. of synthetic estrogens (EE2)  
42 from contraceptive pill practice (Adeel et al., 2017). However, the possible input of estrogens to  
43 the environment from livestock is much greater, where it is calculated in the U.S and European  
44 Union alone, the annual estrogen excretion by livestock, at 83000 kg/yr., is more than double  
45 that produced by the world human population. Indeed, possible relations have been made  
46 between animal feeding operations and the detection of estrogens in the aquatic environment  
47 (Shrestha et al., 2012). Naturally produced hormones excreted from animal and human waste  
48 pose serious effect to the environment, since applying animal manure or sludge bio-solids onto  
49 agricultural land as alternative fertilizers to organic products is a widely adopted practice in  
50 modern agriculture (Xuan et al., 2008).

51 Studies have documented the occurrence in reclaimed water of many classes of organic  
52 pollutants, including steroid estrogens. In addition to wastewater or effluent from WWTP,  
53 treated sewage sludge is also widely used all over the world in agriculture and for the latter, land  
54 application is the most adopted practice of disposal (Calderón-Preciado et al., 2012; Zhou et al.,  
55 2012; Calderón-Preciado et al., 2013; Gabet-Giraud et al., 2014). Previous studies indicate that  
56 steroid estrogens can be taken up, accumulated in, or metabolized in beans, aquatic macrophytes,  
57 and algae (Lai et al., 2002; Imai et al., 2007; Shi et al., 2010; Card et al., 2012). For example,  
58 some steroid estrogens derived from animal excrement and reclaimed water were taken up in  
59 terrestrial plants including leafy vegetables and fruits (Karnjanapiboonwong et al., 2011; Zheng  
60 et al., 2014). Thus, land application of reclaimed water and animal manure can result in these

61 emerging pollutants entering terrestrial food chains. The bioavailable concentrations of estrogens  
62 in soil also affect their ability to be taken up by plants. This concentration is difficult to measure,  
63 so it tends to be estimated (Dodgen, 2014). Recently, our study found 69 ng L<sup>-1</sup> and 74 ng g<sup>-1</sup>  
64 17 $\beta$ -E2 in groundwater and soil respectively (Song et al., 2018).

65 Steroid hormones are essential factors responsible for the regulation of normal  
66 development in both the plant and animal kingdom. These compounds participate in many  
67 physiological processes such as development and reproductive processes as well as protein,  
68 sugar, and mineral management. Plants and animals produce hundreds of types of steroid  
69 estrogenic compounds (Janeczko et al., 2012; Sherafatmandjour et al., 2013). Steroid estrogens  
70 E1, E2 and E3 lie on interconnecting metabolic pathways. In aerobic conditions reverse  
71 transformation of E2 to E1 occurs under microbes and latter can be degraded to E3. Similarly,  
72 synthetic EE2 can be converted to E1 by *Sphingobacterium sp.* (Adeel et al., 2017). Treatment of  
73 plants with steroid estrogens affects root and shoot growth (Hewitt and Hillman, 1980; Guan and  
74 Roddick, 1988b), pollination in flowers (Ylstra et al., 1995) and seed germination (Janeczko and  
75 Skoczowski, 2011). Interestingly, at the biochemical level, mammalian sex hormones (MSH)  
76 significantly improve the inorganic contents of barley, maize, chickpea and beans seeds  
77 (Dumlupinar et al., 2011; Erdal and Dumlupinar, 2011a; Erdal et al., 2012), and chlorophyll,  
78 carotenoid, sugar, and protein in lentil seed, duckweed, soybean and fennel (Czerpak and  
79 Szamrej, 2003b; Dumlupinar et al., 2011; Chaoui and El Ferjani, 2013; Sherafatmandjour et al.,  
80 2013).

81 Steroidal estrogens found in sewage water inhibit vegetative growth of alfalfa plants  
82 (Shore et al., 1992). At a concentration of 1  $\mu$ M, steroid estrogen reduced root growth and also  
83 caused morphological abnormalities including epinasty in tomato plants (Guan and Roddick,  
84 1988b). Hence it is important to evaluate their disruptive potential in various ecological  
85 environments (Chaoui and El Ferjani, 2013).

86 To date, few studies have described the effects of these hormones as stresses to plants or  
87 their uptake from irrigation water containing environmental-level emerging pollutants. Of  
88 particular interest is their effect on the plant's antioxidant system, one of the chief phyto  
89 mechanisms for dealing with environmental stress. MSH including estrogens enhanced  
90 antioxidant enzymes, such as catalase (CAT) and peroxidase (POX) during germination of  
91 chickpea, maize and wheat seeds and enhanced plant growth and development by affecting

92 biochemical parameters including components of the antioxidative system (Erdal and  
93 Dumlupinar, 2011b). However, to our knowledge, the effects of steroid estrogens (E2, EE2) on  
94 leafy vegetables such as lettuce have not been reported. Our work has addressed this specific  
95 problem by analyzing the response of lettuce under stress of steroid estrogen (17 $\beta$ -E2 and EE2).  
96 Lettuce (*Lactuca sativa* L.) was chosen for the study because this crop is one of the most widely  
97 cultivated salad crops world-wide (Trujillo-Reyes et al., 2014). The study was carried out to  
98 investigate the effect of steroids i.e. estradiol and ethinyl estradiol on lettuce plant growth,  
99 photosynthetic pigments, and the role of antioxidant activities in protecting the plants against  
100 estrogen toxicity. Furthermore, we have investigated the uptake and transformation product  
101 concentrations in the root and shoot tissues of lettuce.

## 102 **Materials and methods**

### 103 **2.1 Chemicals**

104 E1 ( $\geq 99.5\%$ ), 17 $\alpha$ -E2 ( $\geq 99\%$ ), 17 $\beta$ -E2 ( $\geq 98.4\%$ ), E3 ( $\geq 98.8\%$ ), and EE2 ( $\geq 98.2\%$ ) were  
105 purchased from Sigma-Aldrich (USA). Methanol, ethyl acetate, n-hexane, acetonitrile and  
106 acetone, purchased from Merck (Germany). N-Methy-N-(trimethylsilyl) trifluoroacetamide  
107 (MSTFA,  $\geq 98.5\%$ ), used as the derivatization reagent, was obtained from Sigma-Aldrich (USA);  
108 pyridin ( $\geq 99.5\%$ ) was purchased from Kermel (China). SPE cartridges containing Oasis HLB  
109 cartridges (150 mg, 6 cc) were supplied by Waters (USA); for cleanup, CARB cartridges (500  
110 mg, 6 ml) were purchased from WG Labs (China). The stock solutions of individual estrogens  
111 were prepared by dissolving each compound in methanol at a concentration of 1000 mg L<sup>-1</sup> and  
112 stored at -20 °C.

### 113 **2.2 Plant materials, growth conditions and treatments**

114 Lettuce seeds (*Lactuca sativa* cv., cream lettuce, Yu He vegetable breeding center,  
115 China) were obtained from Shenyang Agriculture University and germinated in trays containing  
116 sandy soil in control conditions. After 14 days of sowing, uniform seedlings measuring 4 cm in  
117 height with two leaves were briefly rinsed in milliQ water and transferred to sterile amber 2000  
118 mL glass jars (Supporting Information Fig. S1.2-3). Each jar was watered with 1/2-strength  
119 Hoagland's nutrient solution (pH 5.5- 6.3, Supporting Information Table S1-1). Experiments  
120 were performed in the controlled environmental conditions: 16 h light/8 h dark cycle, with  
121 constant 50% relative air humidity, 21-25 °C temperature; illumination was provided by

122 fluorescent tubes. After one-week acclimation, steroid hormones,  $17\beta$ -E2 or EE2 (Sigma-  
 123 Aldrich, USA, dissolved in methanol) were added at a final concentration of 0, 0.1, 50, 150,  
 124 2000 and 10,000  $\mu\text{g L}^{-1}$  to the nutrient medium in the glass jars. Five treatments, four  
 125 replications and a blank control were included, each bottle containing two plants.  $17\beta$ -E2, EE2  
 126 solutions were prepared by dissolving them in methanol. The nutrient solutions were renewed  
 127 once per week to avoid nutrient depletion and restrict bacterial growth. Plants were grown for a  
 128 total of 21 days, a total growth time that corresponds to growth periods used commercially. At  
 129 given time intervals, plants were destructively sampled. The growth of lettuce plants was  
 130 investigated by evaluating the fresh weight (FW), number of leaves, leaf area and root length  
 131 then leaves was stored at  $-80\text{ }^{\circ}\text{C}$  for further analysis.

### 132 **2.3 Root morphometry**

133 Root scanning was carried out using an Epson Perfection V700 Photo, Dual Lens system  
 134 (Regent Instruments Company, Canada) equipped with a water tray, into which the roots were  
 135 placed, and a positioning system. The following root parameters were measured: total root length  
 136 (TRL), root volume (RV), average root diameter (RD), root surface area (RSA) and number of  
 137 root tips (RTs) with a root image analysis system using image analysis software WinRHIZO  
 138 (version Pro 2007d, Regents Instruments, Quebec, Canada). The average root diameter was  
 139 expressed as the total root width divided by the length of roots.

### 140 **2.4 Photosynthetic pigments**

141 The chlorophyll content was determined according to the method of Knudson et al. (1977).  
 142 Fresh lettuce leaves (0.5 g) were extracted in 10 mL of 96 % ethanol for 24 h in the dark. The  
 143 amounts of chlorophyll a, b and carotenoids were determined spectrophotometrically (U- 2910,  
 144 Double Beam UV/VISspectro, 2JI-0013, Tokyo, Japan), by reading the absorbance at 665, 649  
 145 and 470 nm. Chlorophyll content was expressed as  $\text{mg g FW}^{-1}$ . The amount of photosynthetic  
 146 pigments was calculated by using the following formulae:

$$147 \quad C_a = (13.95A_{665} - 6.88 A_{649}) V/1000M$$

$$148 \quad C_b = (24.96A_{649} - 7.32A_{665}) V/1000M$$

$$149 \quad C_{\text{Total}} = C_a + C_b$$

$$150 \quad C_{x+c} = (4.08A_{470} - 11.56A_{649} + 3.29A_{665}) V/1000M$$

151 where  $C_a$  is chlorophyll a,  $C_b$  is chlorophyll b,  $C_{Total}$  total chlorophyll,  $C_{x+c}$  total carotenoids,  $V$   
152 volume of extraction (ethanol), and  $M$  mass of fresh leaf.

## 153 **2.5 Determination of antioxidative and oxidative enzyme activity**

154 All the biochemical analyses were carried out using fresh leaf samples. Activities of  
155 enzymatic antioxidants were assessed using commercial kits in accordance with the  
156 manufacturer's instructions. Kits for analysis of superoxide dismutase (SOD) (A001-1),  
157 peroxidase (POD) (A084-3), catalase (CAT) (A007-1), malondialdehyde (MDA) (A003-1),  
158 ascorbate peroxidase (APX) (50/48), protein (A045-3-2) and  $H_2O_2$  (A064-1) were obtained from  
159 the Nanjing Jiancheng Bioengineering Institute, China ([www.njjcbio.com](http://www.njjcbio.com)). The absorbance  
160 readings of SOD, POD, CAT, APX, MDA and protein were detected at 550, 420, 405, 290, 532,  
161 and 562 nm respectively (U- 2910 Hitachi, Tokyo, Japan). The SOD, POD and CAT activities  
162 were expressed as unit  $mg^{-1}$ protein.

## 163 **2.6 Sample preparation for estrogen testing**

### 164 *2.6.1 Preparation of Plant samples*

165 After harvesting, all plants were rinsed under a stream of deionized water for 5 min, left  
166 to drain, and then blotted dry. The lettuce plants were separated into roots and leaves and stored  
167 at  $-80^{\circ}C$  until used for extraction. The extraction and clean-up procedure were modified from  
168 (Karnjanapiboonwong et al., 2011; Zheng et al., 2014). Briefly, control plant samples (2.5 g)  
169 were weighed into centrifuge tubes spiked with  $500 \mu g L^{-1}$  of each hormone standard. After 24 h,  
170 5 mL of 1:1 (v/v) acetonitrile: water was added to samples for extraction. Plant samples were  
171 sonicated for 30 min, shaken for 30 min, and then centrifuged (Huanan Herexei instrument &  
172 Equipment Co., Ltd) at 10,000 RPM for 15 min. The supernatant was filtered through a GF/F  
173 filter ( $0.22 \mu m$ ) and transferred to amber glass bottles. The solid phase of the samples was further  
174 extracted three more times by adding 5 mL of extraction solvent followed by sonicating, shaking,  
175 and centrifuging. The aqueous layer was filtered into the same amber glass bottle. The mixed  
176 supernatant was evaporated to 1 mL under a gentle stream of nitrogen, and diluted with 10 mL of  
177 ultrapure water. The solid phase extraction (SPE) procedures were modified as previously  
178 described (Zhang et al., 2015). The analytes were further cleaned-up by Oasis HLB cartridges  
179 (see Supporting Information). The extracts were then evaporated under a gentle nitrogen flow  
180 until 2 ml was left. For chlorophyll removal, samples were extracted through CARB cartridges

181 (Weifang Pufen Instrument Co., LTD). CARB cartridges were conditioned with 10 ml n-  
182 hexane:acetone (1:1) and eluted by very low vacuum. For estrogen recoveries in plant tissue see  
183 Supporting Information.

#### 184 2.6.2 Derivatization

185 The eluted fractions from SPE were evaporated with nitrogen until near to dryness then  
186 the residues were transfer to a 1.5 mL reaction vial and further dried under a gentle stream of  
187 nitrogen. Derivatisation was performed by addition of 50  $\mu\text{L}$  of pyridin and 100  $\mu\text{L}$  MSTFA. The  
188 vial was capped and vortexed for 30 s and heated in an oven for 20 min at 40°C. The derivatives  
189 were cooled to room temperature and subjected to GC-MS analysis.

#### 190 2.6.3 GC-MS analysis

191 The GC-MS system (Thermo Electron Corporation, USA) consisted of a gas  
192 chromatograph (TRACE GC Ultra), a quadrupole mass spectrometer (PolarisQ), an auto sampler  
193 (AI/AS 3000), and a TR5-MS quartz capillary column (30m $\times$ 0.25 mm, 0.25  $\mu\text{m}$ ). High purity  
194 helium gas (99.999%) was used as carrier gas at a constant flow rate of 1.0 mL min<sup>-1</sup>. Samples (1  
195  $\mu\text{L}$ ) were injected into the GC splitlessly for 0.75 min. The GC oven temperature was  
196 programmed as follows: starting from 50 °C and equilibrated for 2 min, then ramped to 260 °C at  
197 12 °C min<sup>-1</sup> and equilibrated for 8 min, then further ramped to 280°C at 3 °C min<sup>-1</sup> and  
198 maintained at this temperature for 5 min. For MS detection, the electron impact (EI) ionization  
199 was adopted, and electron impact energy was 70 eV. The inlet and MS transfer line temperatures  
200 were maintained at 280 °C, and the ion source temperature was 250 °C. The solvent delay time  
201 was 15.0 min. The MS was operated in total ion chromatogram (TIC) mode for qualitative  
202 analysis from m/z 50 to 600 and selected ion monitoring (SIM) mode for quantitative analysis.  
203 The TIC chromatograms of derivatized estrogens and internal standards by full scan and selected  
204 ion monitoring are shown in the Supporting Information.

### 205 2.7 Statistical Analyses

206 Data were analyzed statistically using one way analysis of variance (ANOVA) and Fisher's  
207 least significant test (LSD) using Statistix 8.1 software (Analytical Software, Tallahassee, FL,  
208 USA) and different letters show significant differences amongst treatments at  $P < 0.05$ . All data  
209 represented are means  $\pm$  standard deviations (SD) of four replicates for each treatment.

## 210 3. Results

### 211 3.1 Hormone uptake and biotransformation in plant

212 The uptake of two estrogens by lettuce plants was investigated in hydroponic culture, to  
213 test for toxicity, transformation and distribution among the plant parts (Fig. 1). Uptake and  
214 accumulation of both of the two estrogens,  $17\beta$ -E2 and EE2 arise in roots and leaves in a dose-  
215 dependent manner (see Figs. 1 C-D). No estrogen was detected in control plants. The uptake of  
216  $17\beta$ -E2 in lettuce root was slightly higher than EE2 while biotransformation of both hormones  
217 was detected in roots. At low treatment concentrations  $17\beta$ -E2 was transformed into E1 and at  
218 higher concentration treatments (2000 and 10000  $\mu\text{g L}^{-1}$ ) into E1 and  $17\alpha$ -E2 (Fig. 1 C),  
219 although concentrations of E1 recovered from  $17\beta$ -E2 were higher than those of EE2 treatments.  
220 Interestingly, estrogen EE2 was transformed into E1,  $17\beta$ -E2 and  $17\alpha$ -E2 in roots. At a low  
221 treatment concentration (0.1  $\mu\text{g L}^{-1}$ ) EE2 was transformed into E1 with a concentration of 6.45  
222  $\mu\text{g kg}^{-1}$ .

223 In leaves EE2 concentration was higher than  $17\beta$ -E2 (Fig. 1 B). However, transformation  
224 of EE2 was low as compare to  $17\beta$ -E2 treatments for leaves. The uptake of both estrogens at 0.1  
225  $\mu\text{g L}^{-1}$  treatments was not detected in leaves.

### 226 3.2 Negative dose-effect of steroid estrogens on growth and biomass

227 Both hormone treatments exerted a dose-dependent negative effect on both roots and  
228 leaves although there was no significant difference in effect between the two hormones tested  
229 (see supporting information Fig. S1-2).

#### 230 3.2.1 Leaf number, area and fresh weight

231 Treatments of 50-10000  $\mu\text{g L}^{-1}$  of EE2 or  $17\beta$ -E2 significantly inhibited the number of  
232 leaves formed and both the leaf area and leaf fresh weight in 21 day old plants compared with the  
233 controls ( $P < 0.005$ ). At 10000  $\mu\text{g L}^{-1}$  this resulted in a 53-77% decrease in leaf number a 60-  
234 66% decrease in leaf area and 80-85% decrease in leaf fresh weight with both hormones (Figs. 2  
235 A, B). The effect was less severe at lower concentrations but the 50  $\mu\text{g L}^{-1}$  treatments still  
236 exerted a significant negative effect with an approximately 33% decrease in leaf number , a 28-  
237 34% decrease in leaf area and a 23% decrease in fresh weight with both hormones compared

238 with the controls (Figs. 2 A-C). However, the  $0.1 \mu\text{g L}^{-1}$  treatments did not have any significant  
239 effect on leaf number ( $P < 0.005$ ).

### 240 3.2.2 Root fresh weights

241 Data for changes in root FW in response to the hormone treatments closely paralleled  
242 those for the leaf characters with approximate 85% reductions in FW in the  $10000 \mu\text{g L}^{-1}$   
243 treatments compared with the controls ( $P < 0.005$ ). As with the data on leaves, root FW was  
244 unaffected by the  $0.1 \mu\text{g L}^{-1}$  treatments (Fig. 2D)

## 245 3.3 Change of photo synthetic pigment in response to steroid estrogens

### 246 3.3.1 Total Chlorophyll, Chl a and Chl b

247 Treatments with  $2000$  and  $10000 \mu\text{g L}^{-1}$  EE2 caused a significant decline of (55%, 40%  
248 and 71%) and (62%, 47% and 78%) in the levels of total chlorophyll, Chl a and Chl b  
249 respectively compared with the control. (Figs. 3 A and 4B). Treatments of either hormone up to  
250  $50 \mu\text{g L}^{-1}$  had little effect on Chl a, but both total chlorophyll and Chl b were significantly  
251 reduced in response to treatment with  $50 \mu\text{g L}^{-1}$  EE2, but not  $17\beta\text{-E2}$ . At  $150 \mu\text{g L}^{-1}$  the effect  
252 was significantly greater on Chl b than Chl a. The two hormones had very similar effects on Chl  
253 a, however effects of EE2 on Chl b were significantly greater than  $17\beta\text{-E2}$  at all concentrations  $>$   
254  $0.1 \mu\text{g L}^{-1}$  and also affected total chlorophyll more severely at the highest two concentrations  
255 tested.

### 256 3.3.2 Carotenoids

257 Treatments with the two hormones appeared to affect carotenoid content less than  
258 Chlorophyll content, and only at the highest concentration tested was there a significant  
259 reduction compared to the controls. There were no significant differences in the effect of EE2 or  
260  $17\beta\text{-E2}$  on carotenoid content (Figs. 3, 4D).

## 261 3.4 Influence of steroid estrogens on root morphology

### 262 3.4.1 Total and primary root lengths

263 Total root length defines the all primary, secondary, tertiary roots and root length is the  
264 length of primary main root. The effect of the two hormone treatments on total root length (Figs.  
265 4A and 4B) was very similar to that for root fresh weight (Fig. 2D) with a significantly negative  
266 effect only at hormone concentrations of  $\geq 50 \mu\text{g L}^{-1}$ , and similar effects between the two

267 hormones. The effect on primary root length was more gradual than that on total root length with  
268 significant reductions at 150 and then again at 2000  $\mu\text{g L}^{-1}$  (Figs. 4A and 4B).

#### 269 3.4.2 Average root diameter and root tip number

270 The effect of the hormone treatments on these two root parameters was different to all the  
271 effects on leaves and other effects on roots in that there was a stimulatory effect of the lowest  
272 concentration tested (0.1  $\mu\text{g L}^{-1}$ ). Average diameter then fell back to control levels at 50  $\mu\text{g L}^{-1}$ .  
273 In contrast, root tip number remained greater than the control also when plants were treated with  
274 50  $\mu\text{g L}^{-1}$  falling back to control levels at 150  $\mu\text{g L}^{-1}$ . At the highest two concentrations tested,  
275 both root diameter and root tip number was reduced compared to the control. (Fig. 4C and 4D).

#### 276 3.4.3 Root volume and surface area

277 Root volume and surface area were affected by the hormone treatment in a similar way to  
278 root number. There was a gradual reduction in both parameters with increasing hormone  
279 concentration and a severe reduction at the highest two concentrations tested (Fig. 4E and 4F).  
280 Again the effects of the two hormones were comparable at each concentration.

### 281 3.5 Estrogen upregulates antioxidant enzymes.

282 Activities of four antioxidant enzymes increased in response to the hormone treatments, in a  
283 dose-dependent manner.

#### 284 3.5.1 SOD and POD activities

285 Both the SOD and POD activities increased significantly between 0.1 and 50  $\mu\text{g L}^{-1}$   
286 treatments of both hormones with approximately 2-fold increases in both enzyme activities.  
287 Thereafter was an approximately linear dose response to increasing hormone concentration.  
288 There was no significant difference in the response to the two hormones for either enzyme (Figs.  
289 5A, 5B). At the highest concentration of hormone tested the induction of both enzymes was  
290 approximately 3.5 fold.

#### 291 3.5.2 CAT and APX activities

292 Unlike SOD and POD, CAT activity increased significantly with a 0.1  $\mu\text{g L}^{-1}$  treatment  
293 of both hormones with a significantly greater response to 17 $\beta$ -E2. However at higher treatment  
294 concentrations the response was reversed and was greater with EE2, although this difference was

295 only significant at  $50 \mu\text{g L}^{-1}$ . At the highest concentration of hormone treatment activity was  
296 stimulated by approximately 7-fold compared with the controls. (Fig. 5C).

297 The pattern of APX activity differed from the other enzymes tested in that induction of  
298 activity increase significantly at  $>150 \mu\text{g L}^{-1}$  of both hormones. Again there was no significant  
299 difference in the induction of activity increase by the two hormones although, as seen with CAT  
300 activity, EE2 appeared to induce the enzyme a little more than  $17\beta\text{-E2}$ . At the highest  
301 concentration tested the induction was 6-fold (Fig. 5 D)

### 302 3.6 Steroid estrogen treatment induced oxidative damage

303 Both lipid peroxidation and accumulation of ROS in the leaves of lettuce plants under  
304 steroid estrogen stress increased with the dose of hormone (Fig. 6 A and B). Both markers for  
305 oxidative stress increase significantly at treatments of  $50 \mu\text{g L}^{-1}$  compared to the control. Both  
306 markers also increased up to the highest concentration of hormone tested and concentration was  
307 approximately a 3-fold stimulation. Interestingly there was a small decrease in the  $\text{H}_2\text{O}_2$   
308 concentration at  $0.1 \mu\text{g L}^{-1}$  compared to the control. There was no significant difference in effect  
309 between the two hormones tested.

## 310 4 Discussion

### 311 4.1 Uptake and biotransformation of steroid estrogens in lettuce plants

312 Results clearly showed that both estrogens used to treat the plants were taken up in  
313 lettuce roots and transported to leaves. Moreover, their uptake increased with treatment  
314 concentration. These observations are consistent with previous data on both hormones in soil and  
315 hydroponic media (Karnjanapiboonwong et al., 2011;Card et al., 2012).

316 Biotransformation products of both estrogens were observed in both lettuce roots and  
317 leaves. Natural estrogen  $17\beta\text{-E2}$  was transformed, into its metabolite (E1), and a greater  
318 concentration of E1 was found in roots as compared in leaves. This is in agreement with previous  
319 studies that reported that natural and synthetic estrogen was bio transformed by poplar and maize  
320 plants in solution cultures (Card et al., 2013;Bircher et al., 2015). However, EE2 transformation  
321 to E1 was also detected, unlike in poplar root tissue. Biotransformation was observed in roots  
322 and leaves. However, these data do not explain which mechanism lettuce used to bio-transform  
323 the estrogens. It has been hypothesized that some plant organs may perform oxidation and  
324 reduction transformation (Card et al., 2013). This will need further investigation.

## 325 **4.2 Estrogens concentrations have effects on plant biomass**

326 To the best of our knowledge, this is the first time that an effect on lettuce growth, root  
327 morphology, ROI-production and the antioxidant defense system has been shown to occur as a  
328 consequence of uptake of the synthetic estrogen hormone, EE2 and natural estrogen  $17\beta$ -E2. We  
329 show here that application of  $17\beta$ -E2 and EE2 concentrations ( $0.1$  and  $50 \mu\text{g L}^{-1}$ ) has a positive  
330 impact on the root growth. Similarly, studies reported that  $17\beta$ -E2 had induced the growth at low  
331 concentration and detrimental effects at high concentration on *Medicago sativa* and *Arabidopsis*  
332 *thaliana* (Shore et al., 1992; Upadhyay and Maier, 2016b). The positive effect at low  
333 concentration may be caused by hormesis. Previous studies, proposed that low concentrations of  
334 toxic pollutants induce hermetic effects through activating defense mechanisms. However,  
335 further studies are needed to understand the mechanism of estrogen in plant physiology (Vargas-  
336 Hernandez et al., 2017).

337 Moreover, the present study indicates that EE2 is slightly more toxic to lettuce plants  
338 than  $17\beta$ -E2 at elevated level.

## 339 **4.3 Effects of estrogens on root morphology**

340 Excessive estrogens can have negative effects on root architecture, which affects plants'  
341 capacity to absorb water and minerals (Adeel et al., 2017). We observed a significant effect of  
342 elevated level of estrogen on the root morphology of lettuce plants (Fig. 5.4). However  
343 interestingly, at the  $0.1 \mu\text{g L}^{-1}$  treatment improve the root length, which is in agreement with  
344 results obtained with other plant species such as *A. thaliana* (Upadhyay and Maier, 2016b), and  
345 chickpea (Erdal and Dumlupinar, 2011b).

346 However, at doses higher than  $50 \mu\text{g L}^{-1}$ , there was an inhibitory effect on root  
347 morphology. This is in agreement with a significant reduction in root length in response to  
348 estrogen exposure at  $2704 \mu\text{g L}^{-1}$  in *Phaseolus aureus L.* and *A. thaliana* (Guan and Roddick,  
349 1988a; Upadhyay and Maier, 2016b).

## 350 **4.4 Effects on chlorophyll**

351 Previous studies have shown that the photosynthetic performance of a plant under  
352 stressful conditions may reflect plants adaptability (Gururani et al., 2015) In general, the Chl a,  
353 Chl b, total chlorophyll and total carotene contents decreased with increasing estrogen levels.  
354 Chlorophyll b is more sensitive to  $2$  and  $10 \text{mg L}^{-1}$  treatments. However, total carotene was only

355 affected by a high treatment with estrogens. These findings are in agreement with previous  
356 results that have shown a reduction of chlorophyll content in *A. thaliana* at 2704  $\mu\text{g L}^{-1}$  and  
357 stimulation of carotenoids in *Wolffia arrhiza* (*Lemnaceae*) (at  $10^{-6}$  M) in response to 17 $\beta$ -E2  
358 exposure (Czerpak and Szamrej, 2003a; Upadhyay and Maier, 2016b). Similar findings of a  
359 decline in photosynthesis with synthetic estrogen (EE2) contamination were reported in green  
360 alga *Chlamydomonas reinhardtii* and *Dunaliella salina* at 1893  $\mu\text{g L}^{-1}$  and 100  $\text{ng L}^{-1}$  (Pocock  
361 and Falk, 2014; Belhaj et al., 2017).

#### 362 **4.5 Relationship with detoxifying enzyme activity**

363 A variety of environmental stresses cause an increase in  $\text{H}_2\text{O}_2$  and MDA production  
364 leading to progressive oxidative injury and ultimately, cell death (Adeel et al., 2017).  
365 Accordingly in the present study, exogenous estrogens at elevated level triggered the production  
366 of  $\text{H}_2\text{O}_2$  and MDA in lettuce plants. The increase in MDA might be due to membrane damage  
367 caused by ROS-induced oxidative damage. Similar results were found in *A. thaliana* when  
368 treated with 2704  $\mu\text{g L}^{-1}$  17 $\beta$ -E2 (Upadhyay and Maier, 2016a). However, in our study, there  
369 was a slight decrease in  $\text{H}_2\text{O}_2$  levels at 0.1  $\mu\text{g L}^{-1}$  of both estrogens in lettuce plants. These  
370 results are in agreement with previous studies that showed a reduction of MDA and  $\text{H}_2\text{O}_2$   
371 contents in chick pea plants (Erdal and Dumlupinar, 2011b), and in germinating bean seeds at  
372  $2.7 \times 10^{-7}$   $\mu\text{g L}^{-1}$  (Erdal, 2009). Moreover, Genisel et al., (2015) reported that 17 $\beta$ -E2 suppressed  
373 oxidative damage in wheat seedling at 2704  $\mu\text{g L}^{-1}$ . The discrepancy with previous studies could  
374 result from differences in plant species. It is also possible that lettuce plants have different  
375 protective mechanism to combat the stress imposed by steroid estrogens.

#### 376 **4.6 Effect of steroid estrogens on the antioxidant defense system**

377 Comparatively lower activities of SOD, POD, CAT and APX in lettuce plants were  
378 concomitant with the less  $\text{H}_2\text{O}_2$  generation at 0.1 treatments. Similar results were obtained in  
379 different plant species, under estrogen low treatments (Erdal and Dumlupinar, 2011b; Chaoui and  
380 El Ferjani, 2014). Furthermore, at higher concentrations significantly enhanced these enzymes  
381 activities correlating with increased  $\text{H}_2\text{O}_2$  concentration at these estrogens treatments. However,  
382 Genisel et al., (2015) reported that 17 $\beta$ -E2 improved the antioxidant enzyme activity in wheat  
383 seedlings at 2704  $\mu\text{g L}^{-1}$ .

#### 384 **5. Conclusions**

385 Uptake of steroid hormones increased in leaves and roots in a dose-dependent manner,  
386 and roots were the major organ in which most of the estrogen was deposited. At low  
387 concentrations estrogens may biostimulate growth and primary metabolism of lettuce, while at  
388 elevated levels they have adverse effects. This is some of the first research to demonstrate that  
389 the exposure of estrogens to lettuce is likely to cause impacts on plant development with  
390 unknown implications. Our findings suggest that overhead application of estrogens containing  
391 wastewater and animal manure could cause the negative physiological impact on plants. Further  
392 studies using soil culture media are required for better understanding of the uptake and  
393 biotransformation of estrogens.

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397 **Conflict of Interest:** The authors declare no conflict of interest.

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531 **Figures captions**

532 **Figure 1.** Concentrations of estrogens in lettuce leaf and root tissues following treatment with a  
533 range of concentrations of two estrogen hormones and after 21 days growth. Error bars represent  
534 the standard deviation (n= 4). Different letters above each column indicate statistically  
535 significant differences between a treatment at  $P < 0.05$ , according to Fisher's least significant test

536 **Figure 2.** Effects of estrogens on number of leaves (A), leaf area (B), root fresh weight (FW) (C)  
537 and leaf fresh weight (D) of 21-day-old lettuce plants treated with EE2 or 17 $\beta$ -E2. Error bars  
538 represent the standard deviation (n= 4). Different letters above each column indicate statistically  
539 significant differences between a treatment and the 0 control at  $P < 0.05$ , according to Fisher's  
540 least significant test.

541 **Figure 3.** Effects of a concentration range of estrogens ( $\mu\text{g L}^{-1}$ ) on the levels of Chlorophylls and  
542 carotenoids ( $\text{mg g}^{-1}$  Fresh Weight) in leaves of 21 days old lettuce plants. Values are means  $\pm$   
543 SD; n = 4). Different letters above each column indicate statistically significant differences  
544 between a treatment and the 0 control at  $P < 0.05$ , according to Fisher's least significant test.

545 **Figure 4.** Effect of estrogens on root morphology. Total root length (A), root length (B), average  
546 diameter (C), number of root tips (D), root volume (E), and specific surface area (F), of 21- day-  
547 old lettuce plants. Error bars represent the standard deviation (n= 4). Different letters above each  
548 column indicate statistically significant differences between a treatment and the 0 control at  $P <$   
549 0.05, according to Fisher's least significant test.

550 **Figure 5.** Effects of estrogens on the activities of ROS detoxifying enzymes in the leaves of  
551 lettuce plants. (A) superoxide dismutase (SOD), (B) POD, (C) catalase (CAT) and (D) ascorbate  
552 peroxidase (APX). Error bars represent standard deviation (SD) of the mean (n = 4). Different  
553 letters (a–d) indicate significant differences among the treatments at  $P < 0.05$ , according to  
554 treatments.

555 **Figure 6.** Effects of estrogens on ROS in the leaves of lettuce plants with or without EE2 and  
556 17 $\beta$ -E2 treatment. (A) malondialdehyde (MDA) and (B) Hydrogen peroxide ( $\text{H}_2\text{O}_2$ ) . Bars  
557 represent standard deviation (SD) of the mean (n = 4). Different letters (a, b, c, d, e and f)  
558 indicate significant differences among the treatments at  $P < 0.05$ .

559

## Highlights

- EDC estradiol (17 $\beta$ -E2) and ethinyl estradiol (EE2) stresses influence lettuce growth
- Estrogens biotransform to major metabolites and vice versa in lettuce tissue
- Both EDC treatments exerted a dose-dependent negative effect on both roots and leaves of lettuce

