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1            **Linkage between swelling pressure, total suction of**  
2 **saturated bentonites and suction of saturating aqueous**  
3 **solutions**

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10            **Abstract:** In deep geological repositories, compacted bentonites have been proposed  
11 for use as barrier materials for isolating nuclear waste. The prevailing hydro-mechanical-  
12 chemical boundary conditions in the repositories can affect the swelling capacity of compacted  
13 bentonites. The present study examines the linkage between the swelling pressure of saturated  
14 bentonites, the total suction of saturated bentonites and the suction of hydrating fluids. An  
15 equation describing the linkage between these parameters was derived based on the thermo-  
16 dynamics of soil moisture. To validate the derived relationship, laboratory tests involving  
17 constant volume swelling pressure tests and total suction measurements after the swelling  
18 pressure tests were carried out on a selected Ca-Mg-rich bentonite. Seven bentonite samples  
19 with compaction dry density ranging from 1.20 and 1.83 Mg/m<sup>3</sup> were hydrated with deionized  
20 water, whereas four samples with a dry density of 1.59 Mg/m<sup>3</sup> were hydrated with 0.0001 to  
21 2.0 M CaCl<sub>2</sub> solutions. The total suctions of the saturated bentonite and the hydrating fluids  
22 were determined by using a chilled-mirror hygrometer. The total suction of the saturated ben-  
23 tonite was found to be affected by the compaction dry density and the suction of the hydrating  
24 fluids. The experimental results from the present study and from the literature demonstrated  
25 that the total suction of saturated bentonites minus the suction of the hydrating fluid used to  
26 saturate bentonites is approximately equal to the swelling pressure of saturated bentonites.

27 **Keywords:** Expansive soils; bentonite; swelling pressure; suction; thermodynamics of soil  
28 moisture; radioactive waste disposal

## 29 1. Introduction

30 A deep geological repository for the disposal of high-level radioactive wastes (HLWs)  
31 may be located either in crystalline rock, argillaceous rock, or even in salt rock. The HLW  
32 canisters isolated by compacted bentonites are planned to be placed in the disposal boreholes  
33 located at a depth of 300 to 1000 m, depending on the disposal concepts (Pusch and Yong,  
34 2006). Compacted bentonites are expected to swell upon imbibing groundwater thereby filling  
35 the construction gaps and finally exerting a pressure on the host rock. Under this confined  
36 condition and given the high installation dry densities, the swollen bentonites would possess  
37 low porosity and low hydraulic conductivity. The saturated bentonite barriers are expected  
38 to adsorb the positively charged radioisotopes from the HLWs and retard their migration to  
39 the geosphere (Bergaya et al., 2006).

40 The swelling pressure of compacted bentonites has been considered as an important  
41 mechanical parameter for assessing the long-term stability of the barrier in deep geological  
42 repositories. From the perspective of laboratory measurements, the swelling pressure can  
43 be defined as the pressure required to hold a bentonite sample at constant volume when it  
44 absorbs fluid and tends to swell. Several investigations in the past have shown that the solute  
45 concentration in the hydrating fluid, compaction dry density, and properties of bentonites  
46 affect the magnitude of swelling pressure and the water content at saturation (Pusch, 1980*b*;  
47 Bucher and Max, 1989; Pusch et al., 1990; Komine and Ogata, 1996; Karnland et al., 2005;  
48 Castellanos et al., 2008; Villar and Lloret, 2008*b*; Schanz and Tripathy, 2009; Zhu et al., 2013;  
49 Yigzaw et al., 2016).

50 The total suction of unsaturated soils consists of matric and osmotic suctions (Yong  
51 and Warkentin, 1975; Fredlund et al., 2012; Lu and Likos, 2004). In clays, the matric suction

52 is due to surface tension, adsorptive forces and osmotic forces (i.e. the diffuse double layer  
53 forces), whereas the osmotic suction is due to the presence of dissolved solutes in the pore  
54 water.

55       Following saturation of a compacted bentonite sample with deionized water, the matric  
56 and the total suction of the sample are generally assumed to be nearly equal to zero (e.g.  
57 Wang et al. (2012), Agus et al. (2013), Yigzaw et al. (2016), Măsin and Khalili (2016), among  
58 others). Although the suction of deionized water is approximately equal to zero, however,  
59 the assumptions with regard to a zero matric suction and a zero total suction in case of  
60 saturated bentonites may not be considered appropriate. Fredlund et al. (2012) stated that  
61 even when a soil is saturated under positive pore-water pressure, the osmotic component of  
62 the total suction still remains. Tripathy et al. (2014a) found that the calculated osmotic  
63 suction in various bentonites increased from 2 MPa to more than 19 MPa during the drying  
64 process, depending upon the nature of exchangeable cations and the water content. Total  
65 suction measurements after constant volume swelling pressure tests on a Na-bentonite and on  
66 sand-bentonite mixtures that were saturated with deionized water and salt solutions have been  
67 reported by Karnland et al. (2005) and Arifin (2008), respectively. These studies demonstrated  
68 that the total suctions after the release of confinement in Na-bentonite and sand-bentonite  
69 mixtures can be several hundreds of kPa to ten of MPa. The studies above imply that the  
70 type and amount of exchangeable cations and the water content or the swollen dry density  
71 influence the total suction of saturated bentonites.

72       In addition to the water content, temperature and composition of the pore water, the  
73 overburden pressure influences the swelling clay suction (Croney, 1952; Kassiff and Shalom,  
74 1971; Towne, 1981). They stated that for saturated swelling clays, the overburden pressure  
75 was equal to the sum of effective suction and pore water pressure. The effective suction is  
76 the matric suction of an unloaded sample of the soil whose water content is the same as  
77 that of a loaded sample. The pore water pressure is the pore pressure of the loaded sample.

Moreover, Lambe and Whitman (1969); Nagaraj et al. (1994); Singhal et al. (2015) indicated that removing overburden pressure from clays can induce a negative pore water pressure or capillary suction.

A review of the literature presented herein suggested that an interdependency between the swelling pressure, the total suction and the suction of hydrating fluids are expected in case of swelling clays. Karnland et al. (2005) indicated that for saturated Na-bentonite, the swelling pressure was the difference of the potential pressure (total suction) and the external osmotic pressure (suction of hydrating fluids). The theoretical linkage between the swelling pressure, the total suction and the suction of the hydrating fluids introduced by Karnland et al. (2005) was based on constant volume condition. However, the experimental validation of their linkage corresponds to unconfined condition. The total suction determined after releasing the confinement conditions may be due to a negative pore water pressure or capillary suction induced by unloading (Lambe and Whitman, 1969; Nagaraj et al., 1994; Singhal et al., 2015). According to the effective stress concept, unloading would induce a negative pore water pressure or capillary suction. Moreover, no systematic studies were made in the past to explore the linkage between the swelling pressure, the total suction of saturated Ca-bentonite and the suction of saturating aqueous solutions. Therefore, it is of interest to systematically study the linkage between swelling pressure, total suction of saturated bentonites and suction of saturating aqueous solutions. Understanding of the linkage between the various relevant parameters will enable researchers to develop models that will facilitate evaluating the influence of hydro-mechanical-chemical boundary conditions on the stability of the barrier in deep geological repositories.

The objective of this study was to examine the linkage between the swelling pressure, the total suction and the suction of hydrating fluids in case of saturated bentonites. An equation describing the linkage between these three parameters was first derived based on the thermodynamics of soil moisture. Further, constant volume swelling pressure tests and

total suction measurements after the swelling pressure tests were carried out on a selected bentonite. Based on the experimental results from this study and from the literature, the derived equation was then validated.

## 2. Theoretical considerations

Total potential of soil-water has been discussed from the point of view of thermodynamic considerations of soil moisture by numerous researchers (Edlefsen and Anderson, 1943; Low and Deming, 1953; Bolt and Miller, 1958; Mitchell, 1962; Yong and Warkentin, 1975; Iwata et al., 1995). Iwata et al. (1995) stated that the total water potential ( $\mu$ ) in clays relative to the potential of the free pure water at the same temperature and external pressure is comprised of the potentials due to surface tension ( $\mu_c$ ), van der Waals force ( $\mu_f$ ), solutes ( $\mu_0$ ), electric field ( $\mu_e$ ), temperature ( $\mu_T$ ), pressures ( $\mu_P$ ), and gravitational field ( $\mu_g$ ) (Eq. 1).

$$\mu = \mu_c + \mu_f + \mu_0 + \mu_e + \mu_T + \mu_P + \mu_g \quad (1)$$

Detailed description about these potentials could be found in the work of Iwata et al. (1995). Since  $\mu_c$ ,  $\mu_f$ ,  $\mu_0$ ,  $\mu_e$  and  $\mu_P$  are the potentials which are closely related the discussion in this paper, their definitions are given in the following paragraphs.

Curved surfaces of water are formed in soils pores under unsaturated condition. The water menisci give rise to a decrease in the total potential of the water and this decrease is described by the surface tension effect or capillary potential ( $\mu_c$ ).

Van der Waals force effect ( $\mu_f$ ) corresponds to the potential energy of interaction between clay surface and a water molecule. Therefore,  $\mu_f$  results in a decrease in the total potential of the water and the decrease in the total potential of soil water depends upon the distance from the surface of the clay particle to a water molecule.

Solute effect ( $\mu_0$ ) results from various solutes in the soil solution. The various solutes in the soil solution result in a decrease in the total potential of soil water and the decrease in

127 the total potential of soil water depends on the concentration of the various solutes in the soil  
128 solution.

129 Clay minerals have electric charge and the soil solution is affected by the electric field  
130 induced by those charges. The change of the total potential of water in soil because of electric  
131 displacement is defined as  $\mu_e$ .

132 To satisfy the requirement of equilibrium, the concept of the effect of pressures ( $\mu_P$ )  
133 is introduced. According to the thermodynamics of soil moisture, at equilibrium state, the  
134 effect of pressures compensates for the drop in the total potential of the water near the clay  
135 particle caused by surface tension, van der Waals force, solutes, electric field, temperature and  
136 gravitational field.

137 The applicability of the potentials above can be examined in the light of a constant  
138 volume swelling pressure test on compacted bentonite. Studies on the pore size distribution of  
139 compacted bentonite-based materials have shown dual-structure pore size distributions with  
140 inter-aggregate pores and intra-aggregate pores (Sridharan et al., 1971; Lloret et al., 2003;  
141 Delage et al., 2006; Romero and Simms, 2008; Seiphoori et al., 2014). The inter-aggregate  
142 pores and intra-aggregate pores are generally identified with macrostructural porosity and  
143 microstructural porosity, respectively, when analysing compacted clays (Alonso et al., 1990;  
144 Gens and Alonso, 1992; Musso et al., 2013; Manca et al., 2015; Navarro et al., 2017a, 2018).  
145 Similarly, the total potential of macrostructural pore water ( $\mu_M$ ) and the total potential of  
146 microstructural pore water ( $\mu_m$ ) have been considered in this work to analyse the constant  
147 volume swelling pressure tests on compacted bentonite.

148 Sketches of a swelling pressure test device and of the structure of compacted bentonite  
149 (macro and micro structure) are shown in Fig. 1. A compacted bentonite sample under  
150 constant volume condition is hydrated with an aqueous solution. The upon saturation of the  
151 sample in the measuring system (Fig. 1) during a swelling pressure test, the macrostructural  
152 pores are filled with the aqueous solution. In addition, the microstructural pores are also filled

153 with water that may also include some solutes. At saturation, the water potential caused by  
 154 surface tension ( $\mu_c$ ) gets eliminated. Considering that the temperature and the atmospheric  
 155 pressure change little during an isothermal swelling pressure test, the potentials caused by  
 156 temperature ( $\mu_T$ ) and atmospheric pressure can be neglected. Since the scale of this system  
 157 is very small, it is reasonable to neglect the gravitational potential ( $\mu_g$ ). Therefore, the total  
 158 potential of the macrostructural pore water ( $\mu_M$ ) would be reduced to only the potential due  
 159 to solutes ( $\mu_0^M$ ) and is given by Eq. (2). In a swelling pressure test,  $\mu_0^M$  corresponds to the  
 160 total water potential of the hydrating fluid ( $\mu_a$ ). In suction terms, the total suction of the  
 161 hydrating fluid is  $\psi_a$ . In Eq. (2),  $\bar{v}_w$  is the partial specific volume of water.

$$\mu_M = \mu_a = -\psi_a \bar{v}_w \quad (2)$$

162 Similarly, the total potential of the microstructural pore water ( $\mu_m$ ) is given by Eq. (3).  $P$   
 163 is the pressure compensating for the drop in the total potential of the water near the clay  
 164 particle due to van der Waals force, solutes and electric field and is approximately equal to  
 165 net mean stress.

$$\mu_m = \mu_f^m + \mu_0^m + \mu_e^m + P\bar{v}_w \quad (3)$$

166 According to the thermodynamics of soil moisture, at equilibrium state, the total po-  
 167 tential of the water at any given points in the system has a constant value. Thus, Eq. (4) can  
 168 be obtained.

$$\mu_m = \mu_M \quad (4)$$

169 By rearranging Eqs. (2), (3), and (4), Eq. (5) can be obtained:

$$\mu_f^m + \mu_e^m + \mu_0^m = -\psi_a \bar{v}_w - P\bar{v}_w \quad (5)$$

170 It is generally assumed that  $\bar{v}_w$  does not depend on pressure and is a constant, nearly  $1 \text{ m}^3/\text{Mg}$ .  
 171 A simplification of Eq. (5) yields Eq. (6).

$$-\frac{1}{\bar{v}_w}(\mu_f^m + \mu_e^m + \mu_0^m) - \psi_a = P \quad (6)$$

172 Since  $(\mu_f^m + \mu_e^m)$  and  $\mu_0^m$  correspond to the hydration effect and osmotic effect, respec-  
 173 tively, thus they correspond to matric potential and osmotic potential, respectively. Because  
 174  $(\mu_f^m + \mu_e^m + \mu_0^m)$  corresponds to the sum of matric and osmotic potentials in case of saturated  
 175 clays, their value can be approximately determined from Kelvin's equation (i.e. Eq. (7)).

$$\mu_f^m + \mu_e^m + \mu_0^m = \frac{RT}{M_w} \ln(RH) \quad (7)$$

176 In Eq. (7),  $R$  is the molar gas constant,  $M_w$  is the molecular mass of water, and  $RH$  is the  
 177 relative humidity. In suction terms, the total suction ( $\psi_t$ ) of the saturated bentonite under  
 178 constant volume condition is given in Eq. (8)

$$\psi_t = -\frac{RT}{M_w \bar{v}_w} \ln(RH) \quad (8)$$

179 After Eqs. (6), (7), and (8) are combined, Eq. (9) can be obtained in suction and  
 180 pressure terms, where  $P_s$  represents swelling pressure.

$$\psi_t - \psi_a = P = P_s \quad (9)$$

181 Croney (1952); Kassiff and Shalom (1971); Towne (1981) suggested a similar relationship to  
 182 Eq. (9) for a saturated clay under compression and no water content changes, i.e.

$$s + U = P \quad (10)$$

183 where  $s$  is the matric suction measured under zero load, corresponding to  $\psi_t$  in Eq. (9);  $U$  is  
 184 the pore water pressure measured under load, corresponding to  $-\psi_a$  in Eq. (9);  $P$  is the total  
 185 external all-round pressure, corresponding to  $P_s$  in Eq. (9). If the aqueous solution in Fig. 1  
 186 is pure water ( $\psi_a = 0$ ), then Eq. (9) is reduced to the thermodynamic equations developed by  
 187 Low and his co-workers (Low and Anderson, 1958; Viani et al., 1983; Low, 1987). In addition,  
 188 similar expressions have been also given by Karnland et al. (2005) and Navarro et al. (2017a).

189 To validate Eq. (9) experimentally, separate measurements of  $P_s$ ,  $\psi_a$  and  $\psi_t$  are neces-  
 190 sary. The former two can be directly determined by a swelling pressure device and a chilled-  
 191 mirror hygrometer, respectively. However, the latter cannot be directly determined by placing

192 a relative humidity sensor in a constant volume swelling pressure device. In constant volume  
 193 condition, the water potential determined by the relative humidity sensor is the total water  
 194 potential ( $\mu_m$  or  $\mu_M$ ). This can be confirmed by constant volume infiltration experimental  
 195 results reported by Cui et al. (2008); Ye et al. (2009); Mokni and Barnichon (2016); Villar  
 196 et al. (2016). They found that the relative humidity above the wetting face reached nearly  
 197 100% after long-term of supplying water. This indicates that the water potential determined  
 198 by the relative humidity sensor in constant volume condition is equal to the water potential  
 199 of the hydrating fluid (water). Thus, releasing swelling pressure by removing the confinement  
 200 conditions is necessary for determining  $\psi_t$  or  $(\mu_f^m + \mu_e^m + \mu_0^m)$ .

201 If the hydrating fluid (Fig. 1) is removed before releasing the swelling pressure, subse-  
 202 quent removal of swelling pressure can be considered as undrained unloading. Consequently,  
 203 the water content of the saturated bentonite sample would not change after releasing the  
 204 swelling pressure. Since  $\mu_f^m$  and  $\mu_e^m$  are dominated by water content or volume, it is reason-  
 205 able to assume that they would not change after releasing the swelling pressure. Because  $\mu_0^m$   
 206 is dominated by the molarity of solutes and the unloading does not change the mass of solutes  
 207 and the water content,  $\mu_0^m$  would remain after releasing the swelling pressure. This would be  
 208 also true for  $\mu_0^M$ . Thus,  $(\mu_f^m + \mu_e^m + \mu_0^m)$  or  $\psi_t$  remains unchanged before and after releasing  
 209 the swelling pressure.

210 Moreover, undrained unloading would induce negative pore water pressure or capillary  
 211 suction ( $u_a - u_w$ ) (Lambe and Whitman, 1969; Nagaraj et al., 1994; Singhal et al., 2015).  
 212 Since the effective stress of a soil sample remains during undrained unloading, a decrease in  
 213 the net mean stress would be balanced by an equal increase in  $(u_a - u_w)$  (Lambe and Whitman,  
 214 1969; Nagaraj et al., 1994). Thus, the total potential of the macrostructural pore water after  
 215 releasing swelling pressure ( $\mu_M^{un}$ ) would change to the expression shown in Eq. (11).

$$\mu_M^{un} = \mu_0^M - (u_a - u_w)\bar{v}_w = \mu_0^M - P_s\bar{v}_w = -(\psi_a + P_s)\bar{v}_w \quad (11)$$

216 Because of the removal of the swelling pressure, the total potential of the microstruc-  
 217 tural pore water ( $\mu_m^{\text{un}}$ ) would be reduced to Eq. (12).

$$\mu_m^{\text{un}} = \mu_f^{\text{m}} + \mu_e^{\text{m}} + \mu_0^{\text{m}} = -\psi_t \bar{v}_w \quad (12)$$

218 Comparison of Eq. (11) and Eq. (12) indicates that the total potential of the macrostruc-  
 219 tural pore water would be still equal to the total potential of the microstructural pore water  
 220 after releasing the swelling pressure. In other words, the thermodynamic equilibrium remains  
 221 during undrained unloading, which would mean that there is no water exchange between the  
 222 macrostructural pore and the microstructural pore water or that the assumption of undrained  
 223 unloading is reasonable. Thus, after releasing the swelling pressure, the total suction of the  
 224 saturated bentonite would be equal not only to the capillary suction triggered by swelling pres-  
 225 sure release but also to the total suction ( $\psi_t$ ) of the saturated bentonite under constant volume  
 226 condition. Consequently, ( $\mu_f^{\text{m}} + \mu_e^{\text{m}} + \mu_0^{\text{m}}$ ) or  $\psi_t$  can be also determined by a chilled-mirror  
 227 hygrometer after releasing the swelling pressure.

228 To validate Eq. (9) experimentally, constant volume swelling pressure tests were per-  
 229 formed on a Ca-Mg-rich bentonite using various aqueous solutions, i.e. deionized water and  
 230 solutions of  $\text{CaCl}_2$ . After the swelling pressure tests, the suctions ( $\psi_a$ ) of the saturating aque-  
 231 ous solutions and the total suctions ( $\psi_t$ ) of the saturated bentonite samples were determined.

## 232 3. Materials and methods

### 233 3.1. Materials

234 The bentonite used in this study was Calcigel bentonite from Bavaria, Germany and  
 235 purchased from Süd-Chemie AG Moosburg, Germany. The bentonite was composed of mont-  
 236 morillonite (60-70%), quartz (6-9%), feldspar (1-4%), kaolinite (1-2%), mica (1-6%), calcite  
 237 (2-4%), dolomite (1-3%) and other minerals (3%). The total specific surface area of the ben-  
 238 tonite was measured by the ethylene glycol monoethyl ether (EGME) method (Cerato and

239 Lutenegger, 2002) and was found to be  $436 \text{ m}^2/\text{g}$ . The total cation exchange capacity (CEC)  
 240 of the bentonite was  $74 \text{ cmol}(+)/\text{kg}$ . More than 85% of the exchangeable cations in the ben-  
 241 tonite were divalent calcium and magnesium ions and about 12% of the exchangeable cations  
 242 were monovalent sodium ions (Schanz and Tripathy, 2009; Baille et al., 2010). Based on the  
 243 measurements of osmotic suction using the squeezing technique (Fredlund et al., 2012), Arifin  
 244 and Schanz (2009) noted that the osmotic suction of Calcigel bentonite remained at about 0.05  
 245 MPa for a large range of water content. This indicated that Calcigel contains some soluble  
 246 ions that contribute to about 0.05 MPa osmotic suction. The liquid limit, plastic limit and  
 247 particle specific gravity of the bentonite were 119%, 45% and 2.80, respectively.

## 248 **3.2. Experimental methods**

### 249 **3.2.1. Sample preparation**

250 Bentonite-water mixtures were prepared at target water contents of 9% and 20% by  
 251 adding the required amounts of deionized water to the bentonite. After mixing bentonite and  
 252 water, the bentonite-water mixtures were kept in a two-layer plastic bag for about two weeks  
 253 for moisture equilibration. The water contents of the mixtures were determined by oven  
 254 drying method at a temperature of  $105^\circ\text{C}$  prior to preparing samples for swelling pressure  
 255 tests. The total suctions of the mixtures were determined by using AquaLab-3TE chilled-  
 256 mirror hygrometer (Decagon Devices, 1999-2009; Leong et al., 2003) and Kelvin's law (i.e.  
 257 Eq. (8)). The accuracy of the device in terms of the relative humidity was  $\pm 0.3\%$ . If the  
 258 total suction of a soil sample was equal to 0.3 MPa, the determined total suction could vary  
 259 from 0 to 0.8 MPa at  $22^\circ\text{C}$  due to the accuracy of  $\pm 0.3\%$ . If the total suction of a soil sample  
 260 was equal to 3.0 MPa, the determined total suction could vary from 2.6 to 3.4 MPa at  $22^\circ\text{C}$ .  
 261 This indicates that the accuracy of  $\pm 0.3\%$  could cause a significant total suction measurement  
 262 error (i.e. up to  $\pm 140\%$ ) if the total suction of a soil sample was less than 0.3 MPa.

263 Compacted bentonite samples, 50 mm in diameter and  $15 \pm 0.02$  mm in height, were

264 prepared by statically compacting bentonite-water mixtures inside the oedometer ring by using  
265 a 15-tonne capacity compression testing machine. The samples were compacted by applying  
266 uniaxial loads. The initial compaction conditions of the as-compacted samples and aqueous  
267 solutions used to saturate the samples during the swelling pressure tests are shown in Table  
268 1.

### 269 **3.2.2. Determination of swelling pressure, water content and total suction**

270 An isochoric swelling pressure device (Fig. 1) (Romero, 1999; Schanz and Tripathy,  
271 2009) was used in this study for measuring the swelling pressures of compacted bentonite  
272 samples. After the static compaction process, the as-compacted sample inside the sample  
273 ring was placed in the swelling pressure device. Samples CB-0 to CB-6 with compaction dry  
274 densities varying between 1.20 to 1.83 Mg/m<sup>3</sup> (Table 1) were hydrated by supplying deionized  
275 water. Samples CB-2, CB-3 and CB-4 had very similar compaction conditions (dry density  
276 = 1.57 Mg/m<sup>3</sup> and water content = 20%). Samples CB-7, CB-8, CB-9, and CB-10 (dry  
277 density = 1.59 Mg/m<sup>3</sup>) were hydrated with deionized water, 0.5, 1.0 and 2.0M CaCl<sub>2</sub> solutions,  
278 respectively. The hydrating fluids were supplied from burettes attached to the bottom fluid  
279 reservoirs of the devices. During the swelling pressure tests, the CaCl<sub>2</sub> solutions were renewed  
280 periodically for maintaining the constant concentration of the solutions.

281 The swelling pressures tests for all samples were terminated once the swelling pressure  
282 was found to be constant. Samples CB-0 and CB-4 were dismantled after about 17 and  
283 19 days, respectively. For the other samples the testing duration well exceeded 30 days.  
284 Following termination of a test, the fluid supply to the sample was stopped. Subsequently,  
285 samples of the aqueous solution (i.e. the hydrating fluid) were collected (in duplicate) from  
286 the bottom fluid reservoir for determining the suctions of the fluid. Before dismantling the  
287 device, pressurized humid air (relative humidity = 100%) generated by deionized water was  
288 used to flush the bottom and top fluid reservoirs to minimize fluid uptake by samples upon

unloading. Afterwards, the sample was removed from the isochoric device for determining total suction ( $\psi_t$ ) and water content.

In order to explore the homogeneity of samples in terms of the water content and suction, the bentonite samples were cut into three parts that represent the top, middle and bottom layers, respectively. The water contents and total suctions of the representative samples were determined by the oven drying method (105°C) and the chilled-mirror hygrometer, respectively. Sufficient care was exercised to minimize the evaporation water from the samples during preparing samples for water content and suction measurements.

### 3.2.3. Determination of suctions of hydrating fluids/aqueous solutions

The suction of the deionized water (kPa) used in this study was determined from the electrical conductivity (EC) measurements (mS/cm) using Eq. (13) (Rao and Shivananda, 2005).

$$\psi_a = 38.54EC^{1.0489}. \quad (13)$$

The electrical conductivity (EC) of the deionized water used in this study was found to be about 0.03 mS/cm. Thus, the calculated suction of the deionized water used was approximately 0.001 MPa and was nearly equivalent to the suction of a 0.0001 M  $\text{CaCl}_2$  solution based on van't Hoff equation. The suctions of  $\text{CaCl}_2$  solutions after the swelling pressure tests were determined by using the chilled-mirror hygrometer and Kelvin's law. The suctions of 0.5 M, 1 M and 2 M  $\text{CaCl}_2$  solutions were found to be 3.03, 8.42, and 20.55 MPa, respectively. These values were found to be similar to the suctions of the solutions prior to the swelling pressure tests and remained within the measurement accuracy of the chilled-mirror hygrometer.

## 4. Results and discussion

### 4.1. Swelling pressure

The elapsed time versus swelling pressure for bentonite samples CB-0 to CB-7 is shown in Fig. 2a. Similarly, Fig. 2b presents the evolution of swelling pressures in case of samples CB-7, CB-8, CB-9, and CB-10 that were hydrated with deionized water, 0.5, 1.0 and 2.0 M  $\text{CaCl}_2$  solutions, respectively. Swelling pressure equilibrium time was defined as the time when the variation of swelling pressure started to be less than 5 kPa per day. The swelling pressure equilibrium time was found to increase with an increase in the sample dry density (Fig. 2a). The equilibrium time for sample CB-0 (dry density =  $1.2 \text{ Mg/m}^3$ ) was about 60 h, whereas it was found to be about 440 h for sample CB-6 with a compaction dry density of  $1.83 \text{ Mg/m}^3$ . For a given dry density of  $1.59 \text{ Mg/m}^3$ , the type of hydrating fluid (i.e., water or  $\text{CaCl}_2$  solutions) had no significant influence on the equilibrium time. In this case, the swelling pressure equilibrated in about 270 h.

An increase in the swelling pressure equilibrium time with an increase in the dry density is attributed to an increase in the static compaction pressure which in turn affects the pore-size distribution and permeability of compacted bentonites (Pusch, 1980*a*; Lloret et al., 2003). Studies on the pore size distribution of the compacted clays have shown dual-structure pore size distributions with intra-aggregate and inter-aggregate pores (Sridharan et al., 1971; Pusch and Yong, 2006; Delage et al., 2006). As the dry density increases, the inter-aggregate pore size decreases, whereas the intra-aggregate pore size may remain almost constant (Lloret et al., 2003; Romero and Simms, 2008; Li and Zhang, 2009; Wang et al., 2013; Seiphoori et al., 2014). The flow of liquid water in compacted bentonites initially occurs within the inter-aggregate pores and then in the intra-aggregate pores. Since the permeability of the compacted bentonites is mainly governed by the inter-aggregate pores, a reduction in the size of the inter-aggregate pores either due to an increase in compaction dry density or due to

334 swelling decelerates the development of swelling pressure.

335         The effect of sample dry density on the equilibrium swelling pressure is presented in  
336 Figs. 3. The magnitude of swelling pressure at equilibrium was found to be influenced by the  
337 sample dry density. As can be seen from Fig 3, with deionized water as the hydrating fluid  
338 the swelling pressure increased from 0.3 to 10.5 MPa with an increase in the dry density from  
339 1.20 to 1.83 Mg/m<sup>3</sup>. The differences in the swelling pressures of samples CB-2, CB-3, and  
340 CB-4 (dry density = 1.57 Mg/m<sup>3</sup>) remained less than about 0.5 MPa. Based on the diffuse  
341 double layer theory as applicable to interacting clay platelet systems, a dry density of 1.55  
342 Mg/m<sup>3</sup> for Calcigel bentonite delimits the osmotic swelling (Schanz and Tripathy, 2009). If  
343 the dry density is less than the threshold dry density, diffuse double layer repulsion governs the  
344 swelling pressure. An increase in the dry density decreases the distance between clay platelets,  
345 increases the ion concentration difference between the central plane between clay platelets  
346 and bulk solution and further increases the swelling pressure (Bolt, 1956; Van Olphen, 1977;  
347 Schanz and Tripathy, 2009). If dry density is greater than the threshold dry density, hydration  
348 forces control the swelling pressure. An increase in dry density decreases the space between  
349 interlayers, increases hydration forces and further increases the swelling pressure (Van Olphen,  
350 1977; Schanz and Tripathy, 2009).

351         In the case of samples with a constant dry density of 1.59 Mg/m<sup>3</sup>, the equilibrium  
352 swelling pressure decreased with an increase in CaCl<sub>2</sub> concentration (Fig. 2b). A reduction in  
353 the swelling pressure from 2.98 to 2.10 MPa was found to occur (i.e. a decrease of about 30%)  
354 when the concentration of CaCl<sub>2</sub> was increased from 0.0001 to 2.0 M. Similar experimental  
355 results concerning the influence of salt solution concentration on the swelling pressure have  
356 been reported by several researchers (Pusch, 1980*b*; Bucher and Max, 1989; Pusch et al., 1990;  
357 Karnland et al., 2005; Villar and Lloret, 2008*a*; Castellanos et al., 2008; Zhu et al., 2013;  
358 Tripathy et al., 2014*b*).

## 4.2. Water content and total suction profiles

Figure 4 presents the profiles of the water content and the total suction for samples CB-0 to CB-6 that were saturated with deionized water. Figure 5 presents the profiles of the water content and the total suction for samples CB-7 to CB-10 that were saturated with  $\text{CaCl}_2$  solutions. Due to the water uptake by the samples during the swelling pressure tests, the water contents of the samples at the end of the swelling pressure tests were found to be higher than the initial water contents (Figs. 4a and 5a). For any sample, the final water content was nearly the same along the depth of the sample. The degree of saturation of all the samples tested was determined based on the final water contents, the dimensions of the samples and the volume-mass relationships. The degree of saturation of all samples indicated that all samples were fully saturated at the end of the swelling pressure tests.

As compared to the initial total suctions, the total suctions of all samples at the end of the swelling pressure tests were found to have decreased (Figs. 4b and 5b). The total suction profiles in most cases were found to be uniform. A variation of the total suction along the depth of sample CB-4 was noted, in which case the total suction towards the top of the sample was found to be greater than that occurred towards the bottom (Fig. 4b). The variation of the total suction along the depth of sample CB-4 is attributed to a shorter testing time (19 days) than for samples CB-2 and CB-3 that had similar compaction conditions, but were tested for longer durations (more than 32 days).

The effect of dry density on the final water content and total suction of the samples tested with deionized water is shown in Fig. 6a. The average values of the final water contents and total suctions are plotted in Fig. 6a. With an increase in the dry density, the final water content decreased and the total suction was found to increase.

The amount of water present in the saturated bentonite affects the total suction and thus the magnitude of the total suction depends on the dry density of the saturated bentonite.

At high dry densities, the capillary effect disappears and the diffuse double layer is suppressed, but the short-range adsorption effects (surface and ion hydration within the interlayers) remain (Yong, 1999; Schanz and Tripathy, 2009). In this case, the matric suction caused by the short-range adsorption effects contributes to the total suction and its magnitude increases with a decrease in the thickness of the water layer within the interlayers. At low dry densities, the matric suction due to the short-range adsorption effects diminishes, whereas the one due to the diffuse double layer forces remains. In this case, the matric suction caused by diffuse double layer forces contributes to the total suction and its magnitude increases with a decrease in the thickness of the water layer within clay platelets (Yong, 1999; Tripathy et al., 2014a).

The concentration of  $\text{CaCl}_2$  in the hydrating fluids affected the total suctions of samples CB-7 to CB-10 (Fig. 6b). Since the samples had the same dry density, the saturated water contents remained similar regardless of the concentration of the hydrating fluids. However, the total suction increased significantly with an increase in the  $\text{CaCl}_2$  concentration. With an increase in the  $\text{CaCl}_2$  concentration from 0.0001 to 2.0 M, the total suction increased from 3.2 to 22.7 MPa. The increase in the total suction with the  $\text{CaCl}_2$  concentration is attributed primarily to an increase in osmotic suction caused by an increase in the amount of dissolved salts ( $\text{CaCl}_2$ ) in the macrostructural pore fluid (Rao and Thyagaraj, 2007; Musso et al., 2013).

### 4.3. Total suction and swelling pressure

The experimental results presented in Figs. 3 and 6 show that both swelling pressure and total soil suction were dependent on the dry density of the bentonite and salt concentration of the hydrating fluids. Total suction versus swelling pressure plot is shown in Fig. 7. For the bentonite samples that were hydrated with deionized water (samples CB-0 to CB-7), with the exception of sample CB-0, the total suction was nearly equal to the swelling pressure. For sample CB-0, the total suction (0.8 MPa) was greater than the swelling pressure (0.3 MPa), which was probably the result of a significant total suction measurement error. As mentioned

in the section of Materials and methods, the total suction measurement error was up to  $\pm 140\%$  if the total suction of a soil was less than 0.3 MPa. This significant error (i.e.  $\pm 140\%$ ) could have overestimated the real total suction of sample CB-0. In the case of the samples that were hydrated with  $\text{CaCl}_2$  solutions (samples CB-8 to CB-10), the total suction was considerably greater than the corresponding swelling pressure. The test results showed that if the hydrating fluid was water ( $\psi_a = 0.001$  MPa), there was a good agreement between swelling pressure and total suction. Disagreement between total suction and swelling pressure was only observed for the samples that were hydrated with solutions having high salt concentration.

#### 4.4. Suction of hydrating fluids and total suction

Figure 8 presents the suctions of the aqueous solutions used for hydrating the bentonite samples versus the total suctions of all samples. As can be expected, the total suctions of the samples that were saturated with deionized water (samples CB-0 to CB-7) far exceeded the suction of water ( $\psi_a = 0.001$  MPa). The total suction of the bentonite saturated with deionized water increased from 0.8 MPa to 12.8 MPa with an increase in the dry density from 1.2 to 1.83  $\text{Mg/m}^3$  (Fig. 6a). For samples CB-8 to CB-10 that were saturated with  $\text{CaCl}_2$  solutions, the total suctions were found to be greater than the suctions of aqueous solutions, too. For the bentonite saturated with  $\text{CaCl}_2$  solutions, the total suction increased from 5.4 to 22.7 MPa with an increase in the suction of  $\text{CaCl}_2$  solutions from 3.0 to 20.6 MPa.

#### 4.5. Swelling pressure and difference between total suction and suction of hydrating fluids

The swelling pressures ( $P_s$ ) of saturated bentonites are plotted against the differences between the total suctions of saturated bentonites and the suctions of the hydrating fluids ( $\psi_t - \psi_a$ ) in Fig. 9. In addition to the test results obtained from this study on the Ca-Mg-rich bentonite, the test results reported by Karnland et al. (2005) on a Na-bentonite are

also shown in Fig. 9 for the tests with water and various NaCl solutions. In their study, the compacted Na bentonite samples under constant volume condition were saturated with solutions of concentration from 0.0001 to 3 M NaCl. After the swelling pressures reached equilibrium, the samples were extracted from the constant volume device for total suction measurement. Details for the material and experimental methods and results could be found in their publication. Because the suctions of the various concentrations of NaCl were not measured in their study, these suctions were determined based on a method proposed by Lang (1976).

With the exception of sample CB-0, the difference between the total suction of a saturated sample and the suction of the hydrating fluid used to saturate the sample was nearly equal to the swelling pressure of the saturated sample for both bentonites. The results presented in Fig. 9 validate Eq. (9) that was derived based on the thermodynamics of soil moisture. For sample CB-0, the difference in its total suction and the suction of deionized water used to saturate the sample was found to be greater than its swelling pressure. As explained above, the significant total suction measurement error (i.e.  $\pm 140\%$ ) could have overestimated the real total suction of sample CB-0. The results in Fig. 9 show that there is a strong linkage between the swelling pressure of saturated bentonites, the total suction of saturated bentonites and the suction of hydrating fluid. Measurements of any two parameters would be sufficient to assess the unknown parameter. The linkage between these three parameters indicates that the total suction of a bentonite caused by the adsorptive and osmotic forces would not dissipate when the bentonite is saturated with aqueous solutions under constant volume condition.

## 5. Conclusions

The linkage between the swelling pressure of saturated bentonites, the total suction of saturated bentonites and the suction of the aqueous solutions used to saturate bentonites was

458 examined based on the thermodynamics of soil moisture. To validate the derived equation,  
459 constant volume swelling pressure tests and suction measurements were carried out on the Ca-  
460 Mg-rich bentonite in the laboratory. The following conclusions were drawn from the study.

461 1. The time taken for the swelling pressure to reach an equilibrium increased with  
462 the increase in the dry density of the bentonite, which was attributed to a decrease in the  
463 permeability of the bentonite at high compaction dry densities.

464 2. In addition to the swelling pressure of the saturated bentonite, the total suction  
465 of the saturated bentonite was significantly affected by the compaction dry density of the  
466 bentonite and the salt concentration of the hydrating fluids, too. The increase in the total  
467 suction of the saturated bentonite with the compaction dry density results from the decrease  
468 the amount of water present in the saturated bentonite. The increase in the total suction of the  
469 saturated bentonite with the salt concentration of the hydrating fluids is attributed primarily  
470 to an increase in the osmotic suction caused by an increase in the amount of dissolved salts  
471 in the macrostructural pore fluids.

472 3. The total suction of the saturated bentonite was nearly equal to the swelling pressure  
473 in case of water as the hydrating fluid. However, the total suction was significantly greater  
474 than the swelling pressure in case of  $\text{CaCl}_2$  solutions as the hydrating fluid. Moreover, the total  
475 suction of the saturated bentonite was found to be greater than the suction of the hydrating  
476 fluids.

477 4. Based on the experimental results from this study and those reported in the literature  
478 it was found that the difference between the total suction of saturated bentonites and the  
479 suction of the aqueous solutions used to saturate the bentonites is approximately equal to  
480 the swelling pressure of saturated bentonites. The linkage between these three parameters  
481 indicates that the total suction of a bentonite caused by the adsorptive and osmotic forces  
482 would not dissipate when the bentonite is saturated with aqueous solutions under constant  
483 volume condition. The present finding extends the relationship suggested by Croney (1952);

Kassiff and Shalom (1971); Townr (1981); Karnland et al. (2005). Moreover, the linkage between these three parameters provides the framework for establishing a quantitative linkage between swelling pressure of bentonites and hydro-mechanical-chemical boundary conditions in the deep geological repositories.

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- Time for swelling pressure to reach equilibrium increases with bentonite density.
- Bentonite density and concentration of solutions affect the suction of bentonites.
- Swelling pressure equals the difference in suction between bentonite and solutions.

Table 1. Initial compaction conditions of bentonite samples and the types of aqueous solution used for saturating the samples during the swelling pressure tests

| Sample no. | Initial compaction conditions |                   |                      |                     | Aqueous solutions       |
|------------|-------------------------------|-------------------|----------------------|---------------------|-------------------------|
|            | Dry density                   | Water content     | Degree of saturation | Total soil suction★ |                         |
|            | (Mg/m <sup>3</sup> )          | (%)               | (%)                  | (MPa)               |                         |
| CB-0       | 1.20                          | 20.0              | 42.0                 | 13.7                | Deionized water         |
| CB-1       | 1.41                          | 20.0              | 56.2                 | 13.7                | Deionized water         |
| CB-2       | 1.57                          | 20.0              | 71.6                 | 13.7                | Deionized water         |
| CB-3       | 1.57                          | 20.0              | 71.8                 | 13.7                | Deionized water         |
| CB-4       | 1.58                          | 20.0              | 72.6                 | 13.7                | Deionized water         |
| CB-5       | 1.66                          | 20.0              | 81.6                 | 13.7                | Deionized water         |
| CB-6       | 1.83                          | 16.4 <sup>α</sup> | 86.2                 | 52.4                | Deionized water         |
| CB-7       | 1.59                          | 9.0               | 33.3                 | 166.3               | Deionized water         |
| CB-8       | 1.59                          | 9.0               | 33.2                 | 166.3               | 0.5 M CaCl <sub>2</sub> |
| CB-9       | 1.59                          | 9.0               | 33.3                 | 166.3               | 1.0 M CaCl <sub>2</sub> |
| CB-10      | 1.59                          | 9.0               | 33.3                 | 166.3               | 2.0 M CaCl <sub>2</sub> |

\*, the targeted water content of 20% could not achieved due to experimental error; ★, determined using chilled-mirror hygrometer and Kelvin’s law.

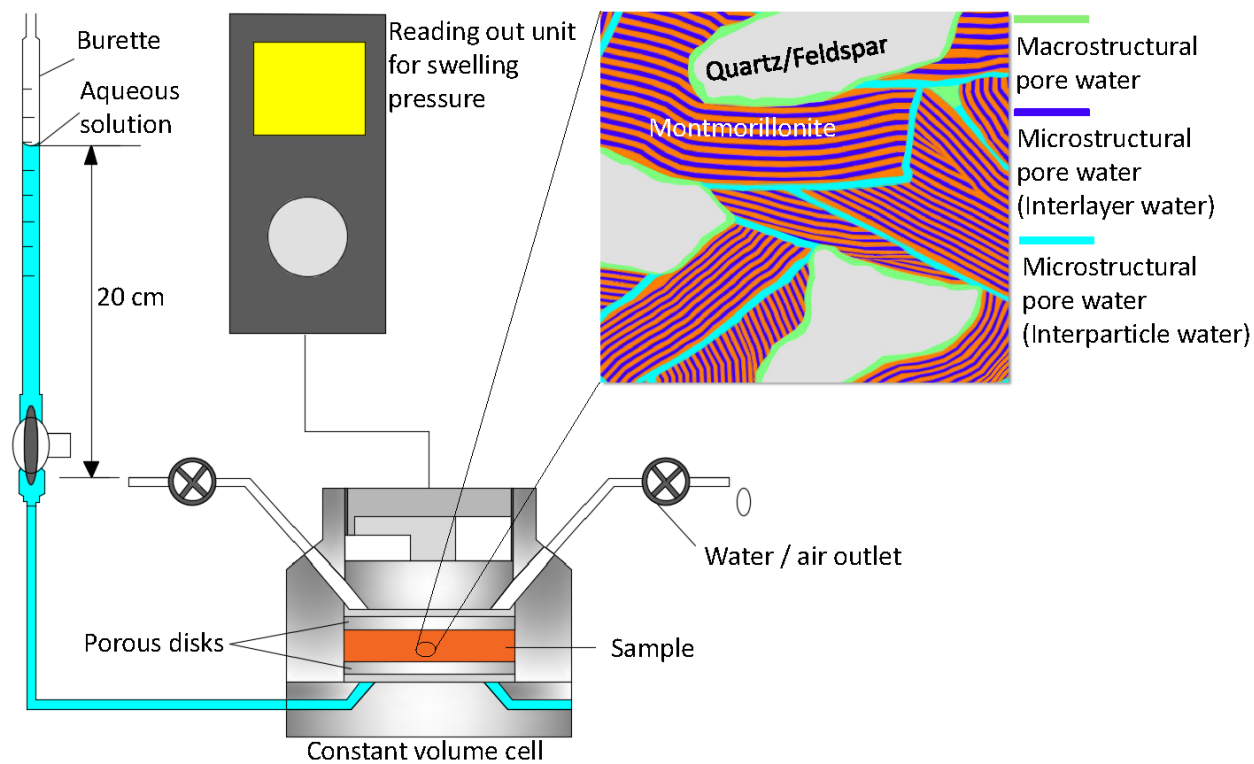


Fig. 1. Schematics of swelling pressure measurement using constant volume test set-up and of structure of compacted bentonite sample

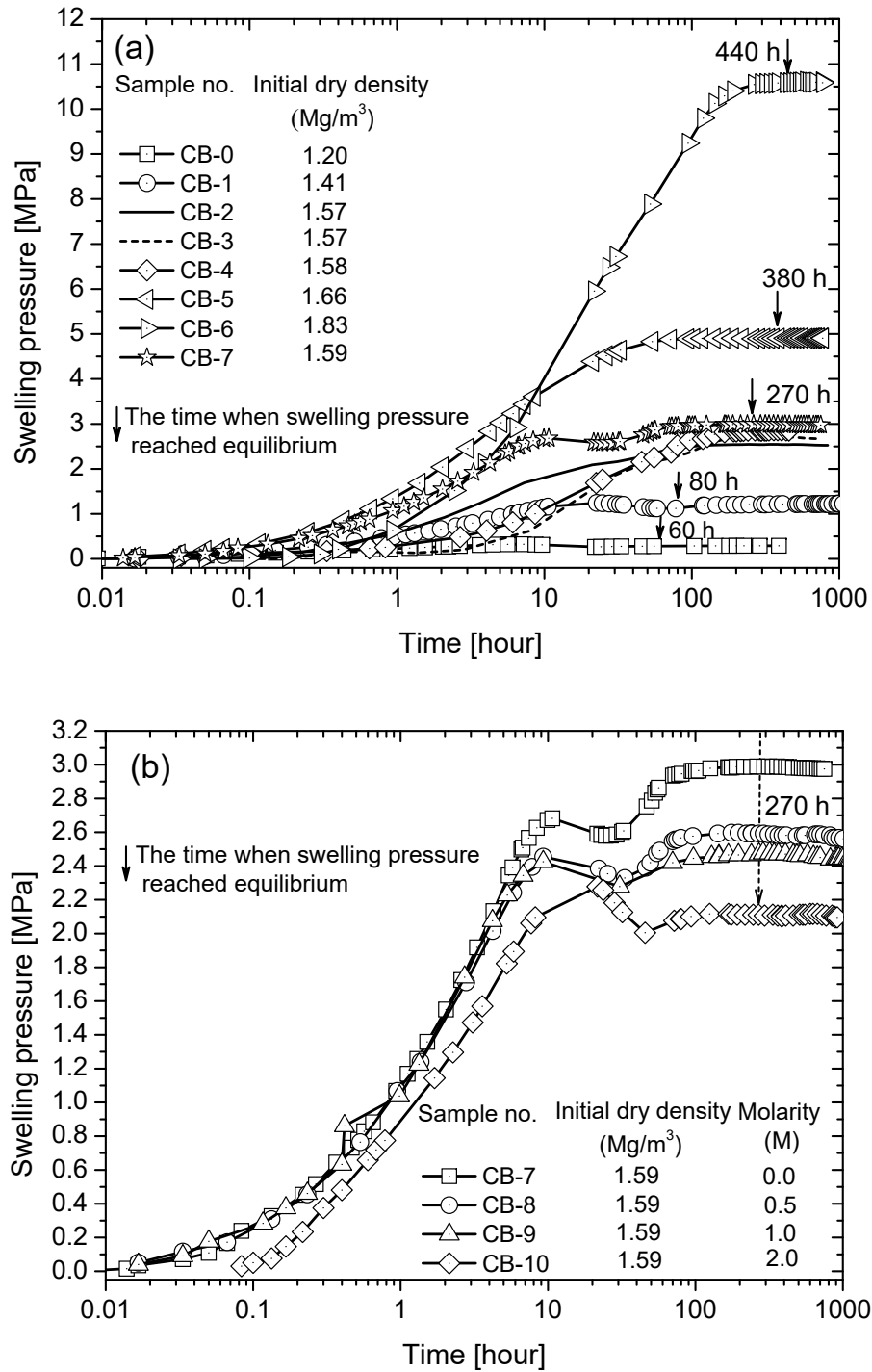


Fig. 2. Elapsed time versus swelling pressure for the samples (a) saturated with deionized water and (b) saturated with  $\text{CaCl}_2$  solutions of different concentrations

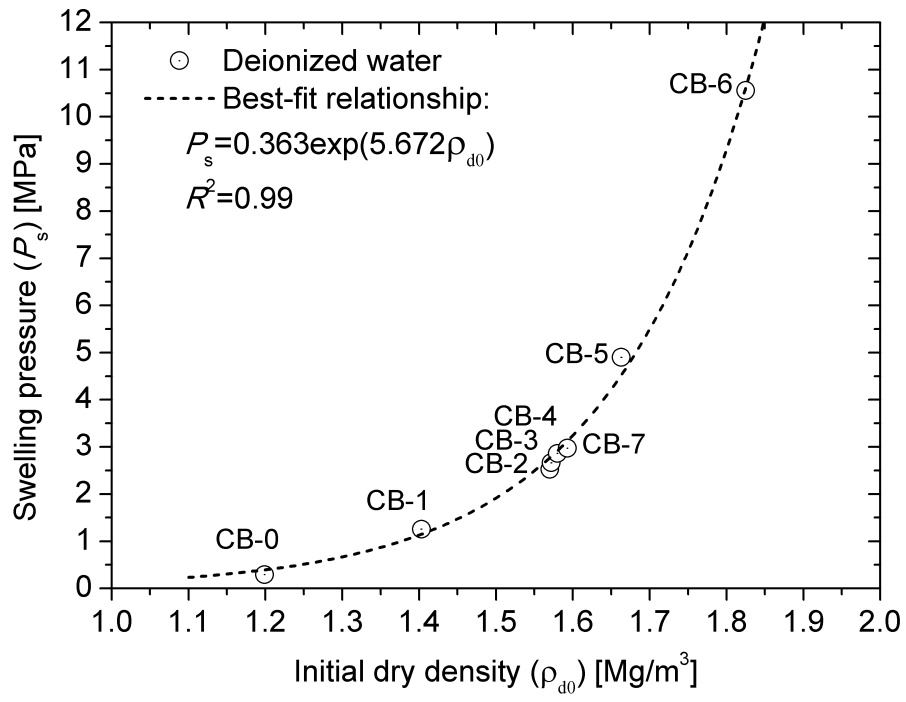


Fig. 3. Effect of initial dry density on swelling pressure

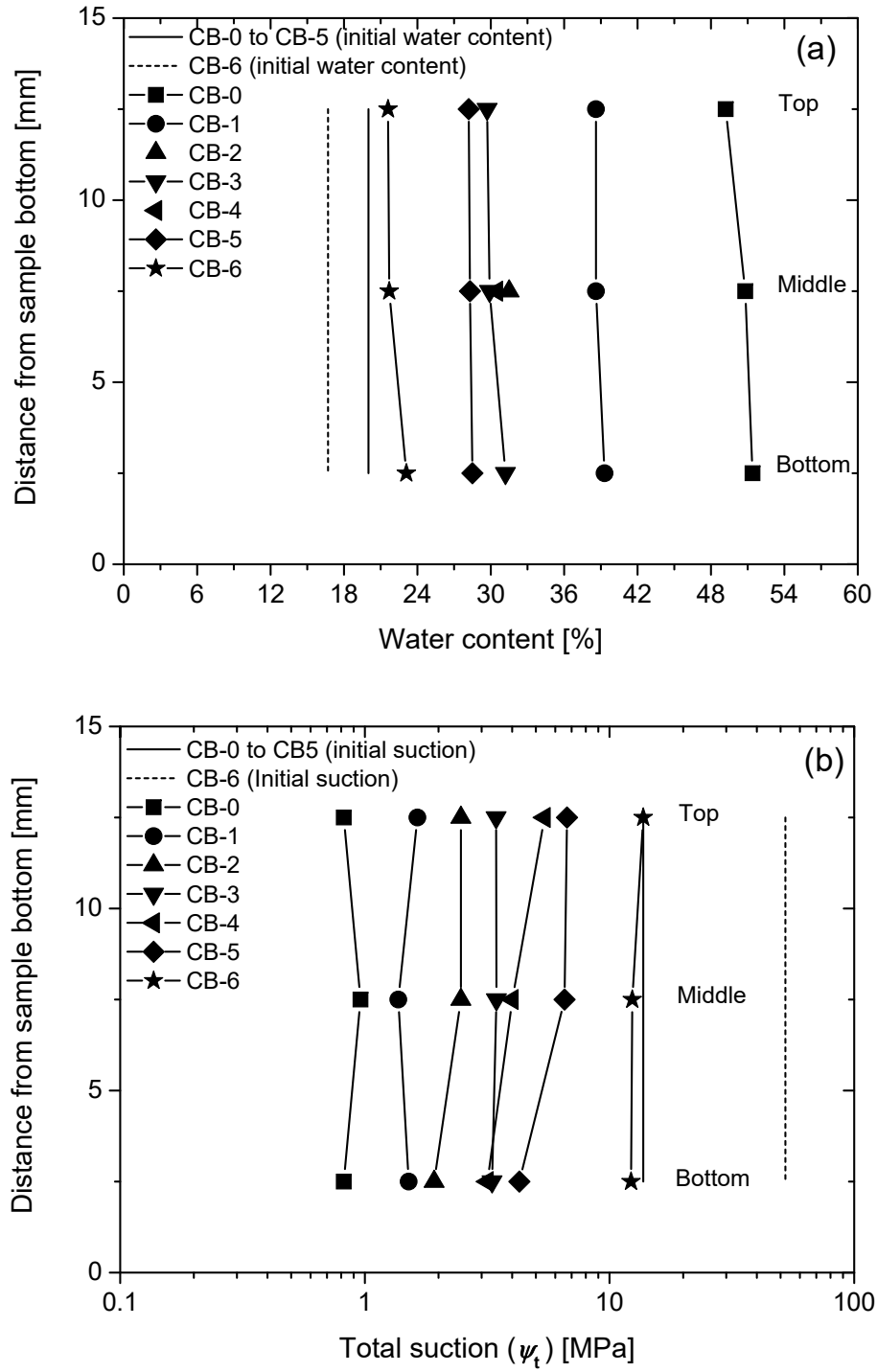


Fig. 4. Profiles of (a) water content and (b) total suction for the swelling pressure tests with deionized water (water contents of samples CB-2 and CB-4 correspond to mean water contents)

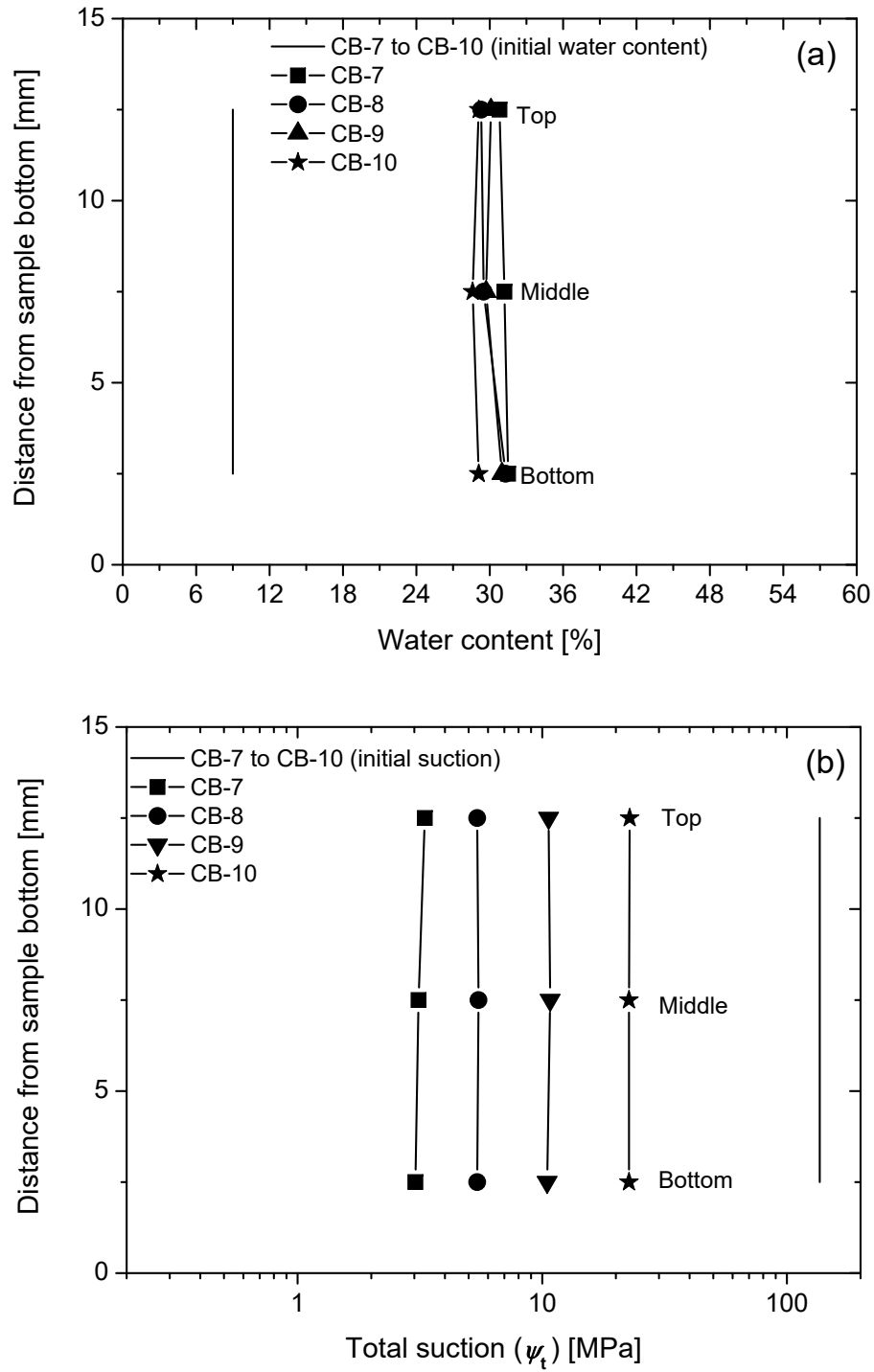


Fig. 5. Profiles of (a) water content and (b) total suction for the swelling pressure tests with  $\text{CaCl}_2$  solutions

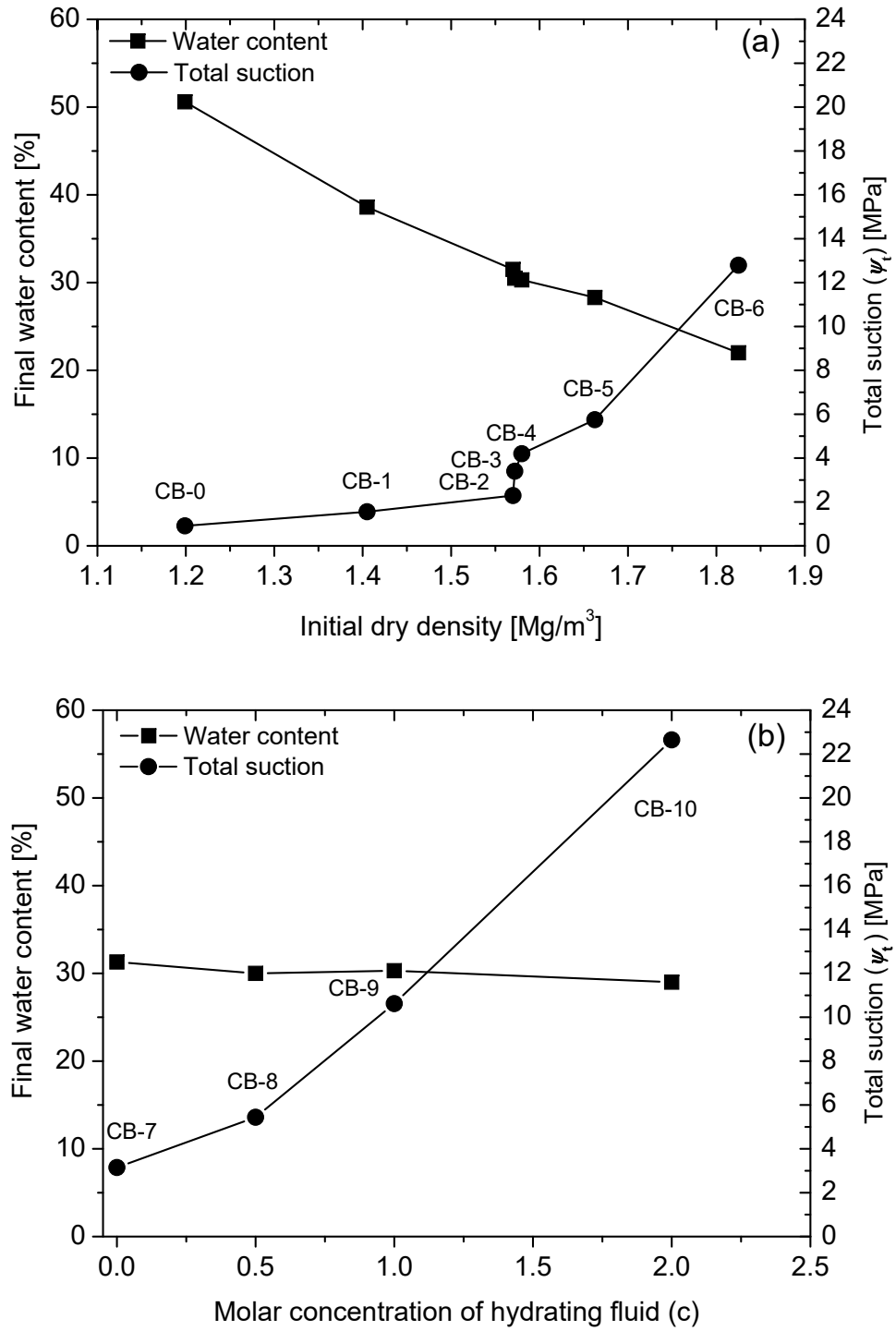


Fig. 6. Effects of (a) initial dry density and (b)  $\text{CaCl}_2$  concentration on the water content and total suction of the saturated bentonite samples after swelling pressure tests

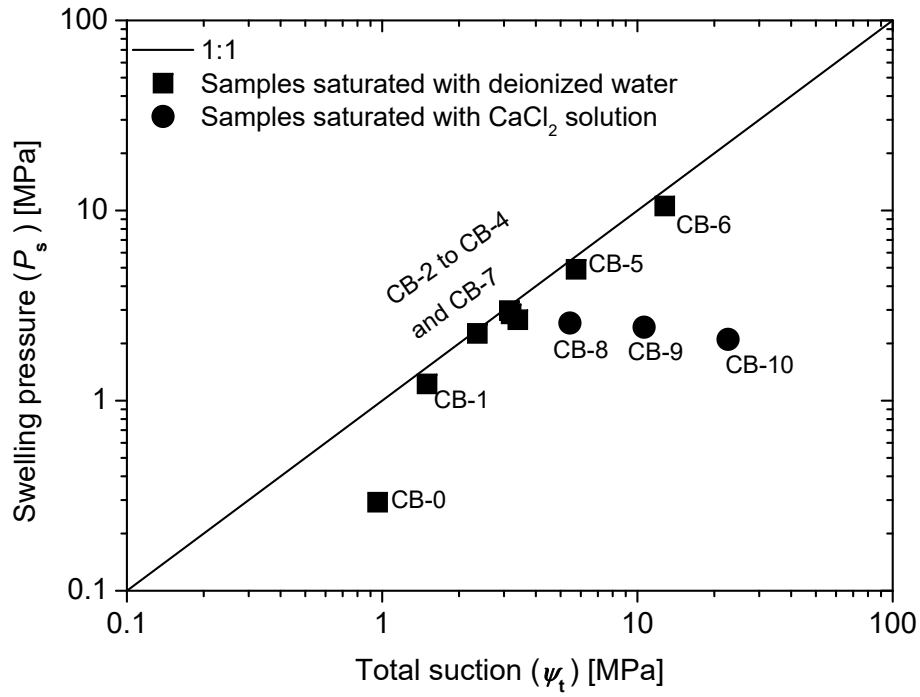


Fig. 7. Total suction versus swelling pressure plot for saturated bentonite samples at the end of swelling pressure tests

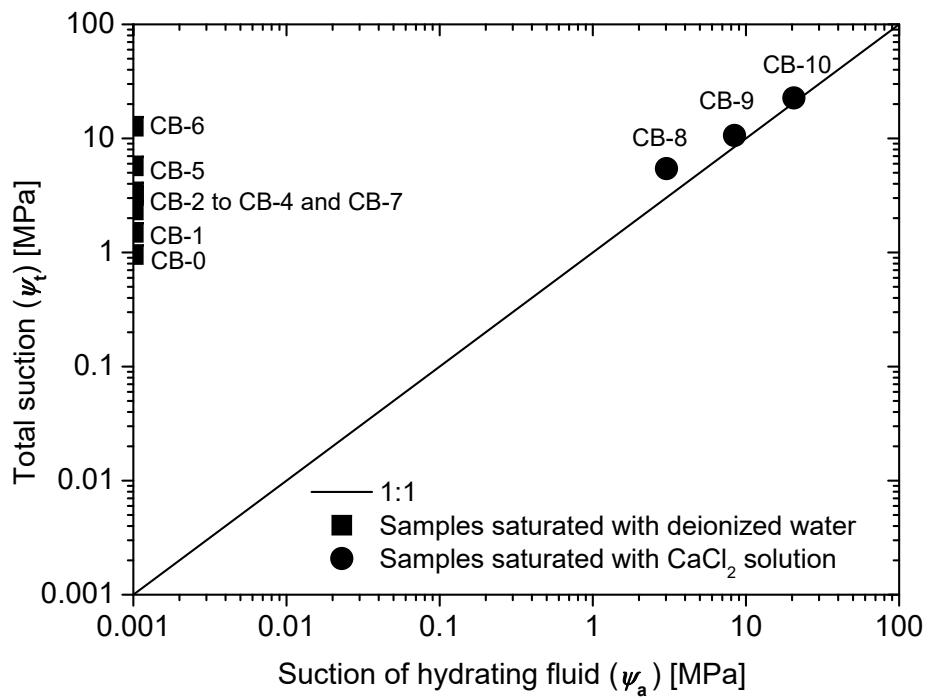


Fig. 8. Suction of hydrating fluid versus total suction of the saturated samples at the end of the swelling pressure tests

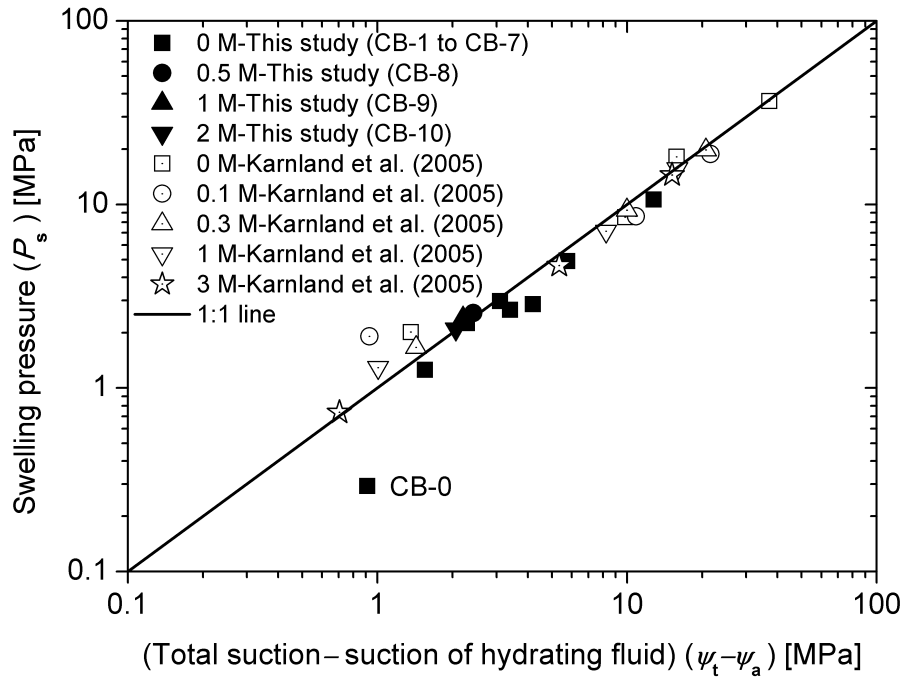


Fig. 9. Difference between the total suction of saturated bentonites and the suction of hydrating fluid versus the swelling pressure of saturated bentonites for tests with deionized water and various salt solutions (0 M in the legend corresponds to deionized water)

Linkage between swelling pressure, total suction of saturated bentonites and suction of saturating aqueous solutions

**Abstract:** In deep geological repositories, compacted bentonites have been proposed for use as barrier materials for isolating nuclear waste. The prevailing hydro-mechanical-chemical boundary conditions in the repositories can affect the swelling capacity of compacted bentonites. The present study examines the linkage between the swelling pressure of saturated bentonites, the total suction of saturated bentonites and the suction of hydrating fluids. An equation describing the linkage between these parameters was derived based on the thermodynamics of soil moisture. To validate the derived relationship, laboratory tests involving constant volume swelling pressure tests and total suction measurements after the swelling pressure tests were carried out on a selected Ca-Mg-rich bentonite. Seven bentonite samples with compaction dry density ranging from 1.20 and 1.83 Mg/m<sup>3</sup> were hydrated with deionized water, whereas four samples with a dry density of 1.59 Mg/m<sup>3</sup> were hydrated with 0.0001 to 2.0 M CaCl<sub>2</sub> solutions. The total suctions of the saturated bentonite and the hydrating fluids were determined by using a chilled-mirror hygrometer. The total suction of the saturated bentonite was found to be affected by the compaction dry density and the suction of the hydrating fluids. The experimental results from the present study and from the literature demonstrated that the total suction of saturated bentonites minus the suction of the hydrating fluid used to saturate bentonites is approximately equal to the swelling pressure of saturated bentonites.

**Key words:** Expansive soils; bentonite; swelling pressure; suction; thermodynamics of soil moisture; radioactive waste disposal

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