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1	Dynamic transport and reaction behaviour of high-pressure gases in high-rank
2	coal
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7	
8	Abstract:
9	This paper presents the results obtained through continuous and simultaneous measurements
10	of gas flow, temperature and coal deformation during high-pressure gas injection in high-rank
11	coal samples, obtained from the South Wales coalfield (UK). The results demonstrate that CO <sub>2</sub>
12	flow rates experience an initial decline due to internal coal swelling, followed by the flow rate
13	recovery and global coal swelling. As the flow of high-pressure CO <sub>2</sub> induces measurable
14	temperature drop within the sample related to the Joule-Thomson cooling, the changes induced
15	by the variations in thermal state of the system are associated with abrupt shift in coal response
16	to reactive gas flow. However, subsequent injections of He and $N_2$ show that the changes
17	induced by CO <sub>2</sub> sorption on coal permeability to gases are irreversible. This work demonstrates
18	the importance of considering the coupled reactive gas and heat transport, and consequent coal
19	deformation mechanisms while assessing the storage potential of coal seams.

*Keywords:* Coal; Carbon sequestration; Permeability; CO<sub>2</sub> sorption; Swelling; Joule-Thomson
 effect.

#### 1. Introduction

From the beginning of the 1<sup>st</sup> industrial revolution until today, around 2040±310 Gt of 26 anthropogenic CO<sub>2</sub> has been emitted to the atmosphere, whereas about half of it being released 27 in the last 40 years (IPCC, 2014). Based on the recent report by the IPCC (2018) and The Royal 28 Academy and Royal Academy of Engineering (2018), storing of around 810 Gt of CO<sub>2</sub> until 29 2100 will be required to limit the rise in temperature to 1.5°C compared to pre-industrial times. 30 Carbon Capture and Storage (CCS) is a technology that can deliver significant emissions 31 reductions from the fossil fuels use (IEA, 2016). Sequestering the CO<sub>2</sub> in the coal beds that 32 cannot be mined is an attractive option as it allows the production of methane, a value-added 33 product, which has been confirmed in several international pilot projects and experimental 34 investigations (White et al., 2005; Wang et al., 2015). However, as the coal is known to swell 35 upon CO<sub>2</sub> adsorption, the swelling associated loss in permeability is the main technical 36 challenge that needs to be overcome to perform a successful large-scale CO<sub>2</sub> enhanced coal 37 38 bed methane (CO<sub>2</sub>-ECBM) project (Wong et al., 2007; van Bergen et al., 2009; Fujioka et al., 2010). 39

40 Laboratory investigations have demonstrated that in general, the permeability of coal to gases decreases with an increase in effective stress (Durucan and Edwards, 1986; Pan et al., 2010; 41 42 Chen et al., 2011; Li et al., 2013; Alexis et al., 2015; Meng et al., 2015; Vishal, 2017). In the 43 case of CO<sub>2</sub> injection, Pan et al. (2010) and Jasinge et al. (2011) have shown that coal permeability to CO<sub>2</sub> decreases with an increase in confining pressure and pore pressure, the 44 latter being associated directly with adsorption-induced coal swelling. Similarly, Perera et al. 45 (2011) and Ranathunga et al. (2017) have demonstrated on low rank coals that there is a higher 46 decline in permeability with increasing injection pressure when CO<sub>2</sub> is in its supercritical state 47 (scCO<sub>2</sub>). However, it was noted that injecting N<sub>2</sub> could reverse some of the swelling effects 48 caused by the scCO<sub>2</sub> adsorption (Perera et al., 2011; Ranathunga et al., 2017). Such 49 experimental results have given detailed insights on the permeability evolution with respect to 50 the stress state of coal or gas pressure, however the time-dependent flow and deformation 51 experimental data that could provide further insights into the coupled thermal-gas-mechanical 52 53 processes were commonly omitted. As suggested by Liu et al. (2011) and Qu et al. (2012; 2014), permeability varies considerably during the adsorption process and the current 54 55 measurements and results do not involve dynamic processes, hence, not reflecting the real permeability evolution, i.e. dynamic flow of gas under the effects of CO<sub>2</sub> induced matrix 56

57 swelling induced by pressure and temperature changes. Several studies have presented the 58 time-dependent data, however, predominantly on understanding the gas pressures and 59 concentrations in the context of ECBM (e.g. Zhou et al., 2013; Wang et al., 2015), or dealing 60 with correlations between the coal swelling and permeability during isothermal gas injection 61 (e.g. Mazumder and Wolf; 2008; Wang et al., 2010; Liu et al., 2016).

Gas flow measurements are frequently conducted under the assumption of isothermal 62 63 conditions without measuring the temperature directly on the samples, despite the fact that some gases can experience cooling or heating effects during expansion under the pressure 64 65 gradient or generate heat as a result of the adsorption process which is known to be an exothermic reaction (Ozdemir et al., 2004; Oldenburg, 2007, Yue et al., 2015). In particular, 66 67 for conditions relevant to carbon capture and sequestration, Kazemifar and Kyritsis (2014) have experimentally demonstrated that CO<sub>2</sub> experienced the Joule-Thomson cooling of 68 69 approximately 0.5 °C/bar. Furthermore, it is well-known that CO<sub>2</sub> sorption and swelling are 70 temperature dependent, i.e. increase with a decrease in temperature (Krooss et al., 2002; Li et al., 2010, Baran et al., 2015). In particular, the observable CO<sub>2</sub> induced volumetric strain has 71 doubled for a temperature drop of 25°C, as shown by Baran et al. (2015). Hence, the effect of 72 Joule-Thomson cooling could affect the injectivity in CCS projects by disturbing the 73 equilibrium of the coal-gas system (Oldenburg, 2007). According to the author's knowledge 74 75 there has been no attempt so far to measure dynamic temperature changes across the coal sample and assess the effect of CO<sub>2</sub> cooling during continuous high-pressure CO<sub>2</sub> injection on 76 77 coal behaviour.

In regards to the CO<sub>2</sub> sorption associated swelling where a large portion of researchers has 78 dealt with coal swelling measurements on unconfined coal samples, Levine (1996), Day et al. 79 80 (2008), Battistutta et al. (2010) have shown that coal samples exposed to  $CO_2$  did not show any irreversible effects. On the contrary, Majewska et al. (2009) and He et al. (2010) have shown 81 that CO<sub>2</sub> induced swelling was not fully reversible leaving the coal samples with higher 82 volumes compared to the original values. Gathitu et al. (2009), Hol et al., (2012a;2014) and 83 Vishal et al. (2015) have reported that CO<sub>2</sub> induced swelling causes crack initiation and 84 85 creation of micro-fractures. Such phenomenon was considered to be irreversible by Liu et al. (2015). Hence, the reversibility of CO<sub>2</sub> sorption induced effects and their impact on coal 86 transport properties are still open to discussion. 87

This paper therefore investigates the reactive gas transport in two high rank intact coal samples,
obtained from the South Wales Coalfield, UK under constant stress conditions (10.0 MPa

confining pressure) and high-pressure gas injections (7.0-8.0 MPa injection pressures). Sample 90 A contains multiple embedded fractures while sample B exhibits poor fracture network. Two 91 multi-day investigations involve the injection of He, CO<sub>2</sub> and N<sub>2</sub> under different experimental 92 conditions where confining and gas pressures, gas flow rates, displacements in radial and axial 93 directions as well as the sample temperatures are recorded continuously. First, a sequential 94 95 injection of He,  $CO_2$  and again, He is conducted on both samples. Additionally, sample B is then further treated with N<sub>2</sub> and He. The results obtained during the initial injection of He 96 represent the baseline information on gas flow and coal deformation. The primary objective of 97 98 injecting CO<sub>2</sub> and N<sub>2</sub> is twofold. Firstly, to investigate the effect of CO<sub>2</sub> injection and the associated effects on the coal behaviour and secondly, to assess the effect of N<sub>2</sub> sorption on the 99 reversal of changes induced by CO<sub>2</sub>. Such impacts are assessed using the second and third He 100 injections, respectively. 101

- 102 2. Methodology
- 103 2.1. Samples

Coal samples were collected from the 9ft coal seam in South Wales coalfield, UK from depth
of 150 m (Zagorščak, 2017; Zagorščak and Thomas, 2018; 2019). The blocks of coal, locally
known under the name of Black Diamond (BD), have been extracted from the East Pit East
opencast coal mine and used to extract coal cores, 70 mm in diameter (Fig. 1). Coal samples
were air-dried prior to the experiments and characterisation tests (ASTM D3302/D3302M,
2015).



110

111 **Fig. 1.** Coal lump obtained to extract the coal samples by core drilling.

- Based on a visual inspection of the samples extracted, one sample with well-developed fracture network (sample A) and one with poor cleat system (sample B) were chosen (Fig. 2). Table 1 presents the dimensions and physical properties of the samples, while Table 2 shows the coal characteristics based on the results of Proximate and Ultimate analyses (Zagorščak, 2017). By comparing the results obtained with the ASTM D388 (2015) classification of coal rank, BD
- 117 coal can be classified as a high rank anthracitic coal.

## 118 **Table 1**

119 Sample information of the coal used in the study.

Sample ID	Mass (g)	Diameter (cm)	Height (cm)	Density (g/cm <sup>3</sup> )
Sample A	578.8	6.96	11.07	1.373
Sample B	484.2	6.95	9.24	1.382

120

### 121 **Table 2**

122 Results of the Proximate and Ultimate analyses.

Moisture	Ash Content	Volatile matter	Total carbon	Total sulphur
content (%)	(%)	(%)	content (%)	content (%)
$1.65\pm0.12$	$1.65\pm0.38$	$5.82\pm0.21$	$90.12\pm0.11$	$0.95\pm0.02$

123



124

**Fig. 2.** Coal cores used in the study; a) sample A, b) sample B.

- 127 2.2. Experimental setup and measurements methods
- 128 2.2.1. Experimental apparatus

The experimental apparatus used in this work can be applied to conduct measurements of rock deformation and gas flow, by applying pressures up to 20 MPa and temperatures up to 338K. The confining stresses, injection pressures and backpressures, radial and axial displacements of the sample as well as the temperature at multiple locations across the samples can be continuously recorded using the setup. The setup consists of: i) the triaxial cell, ii) pressure and temperature control units, iii) flow, pressure, displacement and temperature measurement units and iv) the gas supply system (Fig. 3).

In the triaxial cell manufactured by GDS Instruments, which can accommodate samples up to 0.07 m in diameter and 0.2 m in length, each core sample was first wrapped in a PTFE tape and then placed between triaxial base and top cap. Porous disks, 0.6 mm in thickness, were inserted between the sample and the end plates. A silicone rubber sleeve with a thickness of 1.5 mm was placed around the samples and porous discs, and then secured to the cell base and top cap using "O" rings and stainless-steel hose clips.

142 A top cover of the triaxial cell was then placed on the base of the cell and filled with silicone 143 hydraulic oil 350 Polydimethylsiloxane. Using the GDS Instruments pressure/volume 144 controller, confining pressure of 3.0 MPa was applied. Vacuuming of each sample, using a 145 Buchi vacuum pump, was conducted for 24 hours before each experiment.

Pressurisation of CO<sub>2</sub> to the required experimental pressures and injection in the triaxial cell was achieved using a dual syringe Teledyne Isco 500D pump system, connected to a liquid withdrawal CO<sub>2</sub> cylinder. Temperature of the pumps was controlled by circulating the deionised water through heating jackets via the Huber Pilot One Ministat 125 temperature controller. He and N<sub>2</sub> experiments were conducted using a highly pressurised gas directly from the respective cylinders. The backpressure in the system was controlled using a Swagelok backpressure regulator.

153 Two digital Bronkhorst mass flow meters and two in-line GDS Instruments pore pressure transducers measured the upstream and downstream flow rates and gas pressures, respectively. 154 Four heating elements, controlled by the GDS temperature controller, were wrapped around 155 156 the top cover of the triaxial cell aiming to ensure constant temperature conditions inside the cell during each experiment. For the same purpose, glass-fibre heater tapes, controlled by a 157 digital three-zone temperature controller, were placed around the pipeline. GDSLab software 158 was used to continuously monitor pressures, temperatures and flow rates by recording high-159 160 resolution data every ten seconds.



161

162 Fig. 3. A schematic diagram of the experimental setup.

#### 163 2.2.2. Gas flow and permeability measurements

The injection sequences used to subject the coal samples to different gases are shown in Table 164 3. First, He was injected in both samples to assess the coal's response to inert, non-sorbing gas 165 and to use the results as a baseline for subsequent gas injections. CO<sub>2</sub> was injected then, 166 followed by re-injection of He to investigate the influence of CO<sub>2</sub> sorption related effects on 167 coal behaviour. N<sub>2</sub> and He were additionally injected in sample B to analyse the impact of N<sub>2</sub> 168 sorption on the reversal of changes induced by CO<sub>2</sub>. During the first four hours of each He and 169 CO<sub>2</sub> sequence, confining and gas pressures were gradually building up from the initial state, 170 i.e. 3.0 MPa and atmospheric pressure to the designed values shown in Table 4, respectively. 171 After that, only the upstream gas pressures were further increased while keeping the confining 172 pressures and the downstream gas pressures constant. N2 was injected in the sample under the 173 conditions specified in Table 4 and kept in the sample for 48 hours. After each injection 174 175 sequence, all pressure values were restored to the initial state after which the system has been degassed for 48 hours. 176

As shown in Table 4, minimum average gas pressures used within samples A and B are 4.75
MPa and 3.75 MPa, respectively. The Klinkenberg (slip flow) effect in high rank coal becomes
negligible for average gas pressures above 2 MPa (e.g. Chen et al., 2011; Zagorščak and
Thomas, 2016), hence it is not considered in this study.

#### 182 **Table 3**

Sample ID	Injection sequences				
Sample A	1 <sup>st</sup> Helium injection	Carbon dioxide injection	2 <sup>nd</sup> Helium injection	-	-
Sample B	1 <sup>st</sup> Helium injection	Carbon dioxide injection	2 <sup>nd</sup> Helium injection	Nitrogen	3 <sup>rd</sup> Helium injection

183 Gas injection sequences performed in the study.

184

#### 185 **Table 4**

186 Pressure conditions during the gas flow measurement tests.

Sample	Helium and Carbon dioxide injections				Nitrogen injection			
ID	Confining pressure (MPa)	Injection Pressure (MPa)		Backpressure (MPa)	Confining pressure	Injection pressure	Backpressure (MPa)	
		1 <sup>st</sup> step	2 <sup>nd</sup> step	3 <sup>rd</sup> step	-	(MPa)	(MPa)	
Sample A	10.0	7.0	7.5	8.0	2.5	-	-	-
Sample B	10.0	7.0	7.5	8.0	0.5	10.0	5.4	4.0

187

Coal permeability to gases was determined using a steady-state flow method and applying the
Darcy's equation for compressible gases to calculate the permeability values (Durucan and
Edwards, 1986):

$$K_g = \frac{2P_0 Q_g L \mu_g}{A(P_1^2 - P_2^2)} \tag{1}$$

where  $K_g$  is the measured permeability to gas (m<sup>2</sup>),  $Q_g$  is the volumetric flow rate (m<sup>3</sup>/s),  $P_0$  is 191 the gas pressure at which  $Q_g$  is measured (Pa), L is the sample length (m),  $\mu_g$  is the gas viscosity 192 (Pa.s), A is the cross-sectional area (m<sup>2</sup>),  $P_1$  and  $P_2$  are the upstream and downstream gas 193 pressures (Pa), respectively. The mass flow rates measured using the Bronkhorst mass flow 194 meters have been converted into the volumetric flow rates taking into account density of the 195 gas corresponding to the pressure and temperature determined at the downstream of the sample. 196 The temperature of the experimental system was set at 310±1K (37±1°C) representing in-situ 197 198 conditions up to 800 m of depth, where CO<sub>2</sub> exists in supercritical form, under the assumption of an average hydrostatic gradient of 0.01 MPa/m and an average thermal gradient of 0.03 K/m 199 ( $^{\circ}C/m$ ) with an average surface temperature of 285K (12 $^{\circ}C$ ). 200

### 202 2.2.3. Deformation and temperature measurements

Coal deformation was measured through two axial and one radial strain transducers, provided by GDS Instruments, capable of measuring deformation up to 0.0001 mm (Fig. 4). Based on the results obtained, the volumetric strain is approximately determined by adding two radial strains to the axial strain (Pan et al., 2010). Temperature values close to the upstream and downstream of the samples were measured by fixing the thermocouples directly on the silicone sleeve at the opposite sides of each sample, 2 cm from the sample ends.



209

**Fig. 4.** Location of the thermocouples and displacement transducers.

211

## 3. Experimental results and discussion

- 3.1. Flow and deformation behaviour
- 214 3.1.1. Injection of Helium

Analysing the results obtained during the 1<sup>st</sup> He injection presented in Fig. 5, one can observe that during the first four hours of each experiment, flow rates were continuously increasing, despite the fact that the confining pressures were increased by 7.0 MPa (from 3.0 MPa to 10.0 MPa) in both samples compared to the mean gas pressures which were increased by 4.75 MPa

in sample A and by 3.75 MPa in sample B. This confirms that the same amount of change of
either pore gas pressure or confining stress does not have the same impact on flow behaviour,
as suggested previously by Chen et al. (2011).

After the injection pressures were increased to the designed values, a constant flow rate 222 condition was achieved within half an hour. As He is a non-sorbing gas, which is assumed to 223 assess the same pore volume as CO<sub>2</sub> (e.g. Mohammad et al., 2009; Zagorščak and Thomas, 224 2019), it can easily penetrate the fractures and microporous coal matrix, allowing the steady-225 state condition to be achieved relatively fast. In particular, steady-state flow rates of 24 g/h and 226 3 g/h were measured in samples A and B at the end of the first injection step (7.0 MPa), 227 respectively. Upon increasing the injection pressures to 7.5 MPa and 8.0 MPa, flow rates also 228 increased to 32 g/h and 43 g/h for sample A and to 4 g/h and 6 g/h for sample B, respectively. 229 Those results demonstrate that sample A is up to 8 times more permeable than sample B. 230



Fig. 5. Gas and confining pressures, and flow rates measured during the 1<sup>st</sup> helium injection; a) sample
 A, b) sample B.

To predict the behaviour of a coal reservoir during gas injection, the mechanisms controlling 233 the transport of gases in the pore system of coals need to be understood. It is generally accepted 234 that mechanisms in coal operate at two different pore scales (Prinz and Littke, 2005; Hol et al., 235 2011; Cai et al., 2013). In the cleat system, gas exists as a free fluid where it fills and pressurises 236 the system having a mechanical effect which is commonly described by poroelastic theory 237 where the volume changes occur due to changes in fluid pressure (Biot, 1941; Hol et al., 2011). 238 Under such conditions, molecular interactions between the coal and gas are neglected and a 239 Darcy-type flow is expected to occur through the cleats (Hol et al., 2011). In the coal matrix 240 241 consisting of small pores and nanoscale voids, gas is driven by intermolecular forces which influence diffusion and adsorption (Hol et al., 2011). In the case of He injection, sorption is 242 assumed to be negligible as it is measured in units of  $\mu$ mol g<sup>-1</sup> compared to mmol g<sup>-1</sup> for CO<sub>2</sub> 243 which is known to be strongly associated with adsorption in the coal's microporous matrix 244 where molecular interactions associated with adsorption induce coal swelling changing the 245 stress state and permeability of the confined coal reservoir (Day et al., 2008; Sakurovs et al., 246 2009; Hol et al., 2011; Wang et al., 2015). 247

Hence, grain compressibility and the consequent increase in fracture aperture are the main 248 mechanisms for an observed increase in flow rates with an increase in He injection pressure. 249 As He is a non-sorbing gas, the changes in cleat aperture associated with matrix swelling can 250 be considered negligible. Furthermore, although coal is a weak rock, coal grain compressibility 251 is larger than expected, particularly at high pore pressures (Chen et al., 2011). Therefore, by 252 keeping the confining and downstream pressures constant, an increase in injection pressures 253 resulted in decreasing the effective stress, compression of coal grains and widening the flow 254 pathways by increasing the flow rates in turn. 255

The differences in the results between the samples are primarily related to the coal structure and the mean gas pressures applied in each sample. Contrary to sample B, sample A exhibits a well-developed fracture network that allows easy access to the gas molecules, as shown in Fig. 2. Furthermore, applying a higher backpressure in sample A (2.5 MPa) compared to sample B (0.5 MPa) resulted in a higher mean gas pressure, i.e. lower effective stress within sample A.

Fig. 6 shows the radial and axial strains measured on both samples. During the first hour of each experiment, the radial expansion is negligible while each sample expands in the axial direction. Such expansion was caused by higher increase in injection pressures compared to an increase in confining stresses within the same period, allowing sample expansion as a result of increasing the fracture pore space. After that and by the end of the fourth hour of each experiment, until the pressures were raised to the designed values, both samples experienced continuous axial and radial compressions. Hence, within that period, an increase in confining stress had more impact on sample deformation than an increase in mean gas pressure. However, as the loss in flow rates (Fig. 5) is not as pronounced as the sample compression (Fig. 6) during the first four hours of each experiment, this implies that closure of both isolated, dead-end fractures as well as the ones available for flow is responsible for sample volume reduction.

272 Taking axial strains measured during the initial increase in confining stress from 3.0 MPa to the predetermined value of 10.0 MPa as a reference point, i.e. -0.023% for sample A and -273 274 0.015% for sample B, samples A and B expanded in axial direction by 0.005% and 0.019% for 1 MPa of gas pressure increase, respectively. Apart from the radial compression of -0.081% 275 276 for sample A and -0.062% for sample B during the first four hours of the experiments when the pressures were raised to the designed values, samples experienced negligible radial 277 278 deformation as a result of further injection pressure increase. The difference in radial and axial 279 strains is related to the fact that coals show anisotropic deformation influenced by the anisotropy of the mechanical properties and structure of coals and cleating (Pan and Connell, 280 2011; Anggara et al., 2016), where expansion perpendicular to the bedding is higher than the 281 expansion measured in direction parallel the bedding plane (Day et al., 2010; Hol and Spiers, 282 2012). The anisotropy also depends on confining pressure applied, where increasing the 283 confining pressure can minimise the anisotropy (Wang et al., 2013). 284

Although sample A is more permeable than sample B, the latter one experienced up to four times higher axial strain than the former one for the same change in injection pressure. This was also reflected in the flow behaviour where flow rates in sample B increased by 100% when the injection pressure increased by 1 MPa, compared to an increase by 80% in sample A. Such results show that the role of He injection pressure on flow and deformation behaviour was higher in sample B compared to sample A.

In general, the volumetric behaviour is related to the poroelastic response of the coal structure when there is no interaction between the solid coal material and pore fluid phase (Hol et al., 2012b). More specifically, changes in axial and radial strains are proportional to the amount of fluid injected in the sample (Biot, 1941; Hol et al., 2011). In other words, as an increase in the amount of gas flowing through the sample pressurises the fracture system and no sorption occurs in the case of He injection, a consequent increase in volumetric expansion of the sample is primarily correlated to the increase in the fracture volume occupied by the gas.







300

## 301 3.1.2. Injection of carbon dioxide

The steady-state condition of  $CO_2$  flow rates and displacements in both samples was achieved within a minimum period of five hours during each injection step, with the exception of the third injection step in Sample A (Fig. 7 and Fig. 8). The reason is related to the fact that the  $CO_2$  injection had to be stopped after 35 hours as the maximum displacement capacity of the oil pressure controller had been reached while trying to accommodate the expansion of sample A.





Fig. 7. Gas and confining pressures, and flow rates measured during carbon dioxide injection; a) sample
 A, b) sample B.

The results reveal that in the period when the upstream, downstream and confining pressures 310 were being increased to the designed values, flow rates increased to a maximum of 110 g/h for 311 sample A and 11g/h for sample B during the first 2 hours and then experienced a reduction 312 reaching a minimum of 31 g/h for sample A and 1 g/h for sample B at the end of the first 313 injection step (7.0 MPa). Increasing the injection pressure to 7.5 MPa partially recovered the 314 315 flow rate in sample A only, reaching a value of 52 g/h. Increasing the injection pressure to 8.0 MPa initiated the flow rate recovery in both samples, resulting in maximum flow rates of 142 316 g/h in sample A and 2 g/h in sample B. Hence, it can be inferred that sample A conducted up 317 to 70 times more gas than sample B, which is nine times higher than what has been observed 318 319 in the case of He injection.

As a result of CO<sub>2</sub> sorption induced coal swelling, radial strains of 0.18%, 0.30% and 0.78% were measured on sample A and 0.42%, 0.60% and 0.85% on sample B at the end of the first, second and third injection steps, respectively (Fig. 8). During the same steps, axial strains of 0.04%, 0.13% and 0.17% were determined on sample B, respectively. However, sample A expanded axially only in the last injection step by 0.19%. Therefore, one can infer that applying a constant confining stress of 10 MPa offered only a partial constraint to the coal, i.e. the swelling pressure exceeded the value of the confining pressure allowing the coal to swell freely.

The volumetric response of coal as well as the flow behaviour observed here are, on one side, a function of effective stress through poroelasticity, i.e. increase in injection pressure while keeping the confining stress and backpressure constant. On the other side, coal swelling induced by CO<sub>2</sub> sorption also changes the fracture aperture and volume of the matrix blocks.





### 332

**Fig. 8.** Axial and radial strains determined during carbon dioxide injection on both samples.

334

## 335 3.2. Permeability to gases

In order to calculate the permeability to gases using Darcy's law (equation 1), a linear 336 337 relationship between the pressure gradient and the volumetric flow rate needs to exist (Jasinge et al., 2011). Fig. 9 presents the correlation between the gas flow rates and injection pressures 338 for samples A and B. The results show that for a non-sorbing He injection in both samples, the 339 relationship is linear demonstrating that Darcy's law for the samples considered is valid. 340 341 However, a deviation from the linear behaviour observed for CO<sub>2</sub> is due to the influence of changes induced by CO<sub>2</sub> sorption and swelling under the constant stress conditions as it affects 342 the fracture aperture and the area available for flow. 343



Fig. 9. Gas flow rate versus injection pressure for samples A and B; a) during 1<sup>st</sup> He injection, b) during
 CO<sub>2</sub> injection.

It is commonly assumed that the same change of either confining pressure or pore gas pressure 346 has the same impact on the effective stress change resulting in the effective stress coefficient 347 being 1.0 (Seidle and Huitt, 1995). However, it has been shown that the effective stress 348 coefficient is not constant and may be a function of pore pressure (Chen et al., 2011). As in this 349 work, after the initial 4 hours of the experiment during which the pressures had been increased 350 to the designed values, the injection pressure was the only variable, the permeability values of 351 both samples to He and CO<sub>2</sub> plotted as a function of injection pressures are presented in Fig. 352 10. The permeability to He obtained on sample A varies between  $1.53 \times 10^{-16}$  m<sup>2</sup> to  $1.15 \times 10^{-16}$ 353  $m^2$  and permeability to CO\_2 from 3.87  $\times 10^{-17}$  m^2 to 1.07  $\times 10^{-17}$  , showing that CO\_2 injection 354 results in one order of magnitude lower permeability values than He injection. CO2 355 permeability values up to two orders of magnitude lower than He permeability values were 356

determined on sample B, i.e. the permeability to He is varying between  $1.66 \times 10^{-17}$  m<sup>2</sup> and 1.08×10<sup>-17</sup> m<sup>2</sup> and the permeability to CO<sub>2</sub> is ranging from  $4.03 \times 10^{-19}$  to  $2.58 \times 10^{-19}$ . Such results imply that the permeability reduction due to CO<sub>2</sub> induced swelling was more pronounced in sample B than sample A.

An exponential functional form was used to estimate the variation permeability with injection 361 gas pressure change under constant confining conditions, i.e. effective stress change (Pan et 362 al., 2010; Chen et al., 2011; Meng et al., 2015). Based on the trendlines given in Fig. 10, 363 permeabilities to He and CO<sub>2</sub> exhibit different behaviour. During He injection, the permeability 364 follows an exponential law with the coefficients of determination being 0.99 and 0.97 for 365 366 samples A and B, respectively. As mentioned earlier, the main cause of permeability change is the poroelastic response of the coal structure, which is supported by the volumetric strain 367 change showing a strong linear dependency on injection pressure for both samples, with 368 coefficients of determination being 0.98 for sample A and 0.99 for sample B. However, one 369 370 can notice that the rates of change of both permeability and volumetric strain with an increase in gas pressure are higher in the case of sample B than sample A. 371

As discussed previously, CO<sub>2</sub> flow rates experienced only a partial recovery with an increase 372 in injection pressure due to the coal swelling resulting in a non-linear dependency of 373 374 permeability to CO<sub>2</sub> on injection pressure (Fig. 10b). Similarly, the volumetric strains follow an exponential relationship with the gas pressure, with coefficients of determination of 0.97 375 376 (sample A) and 0.99 (sample B) confirming that CO<sub>2</sub> sorption induces non-linear coal 377 expansion. Permeability to CO<sub>2</sub> in sample B initially decreases by 12% (injection pressure 7.5 MPa) and then rebounds to a net increase of 56% (injection pressure 8.0 MPa) over the 378 permeability of 2.58×10<sup>-19</sup> measured at 7.0 MPa injection pressure. Although the CO<sub>2</sub> 379 permeability evolution in sample A can be represented by an exponential law, one can observe 380 that the permeability value obtained at 7.5 MPa injection pressure  $(1.61 \times 10^{-17} \text{ m}^2)$  is more than 381 10% lower than the expected permeability behaviour predicted by the trendline. Due to such 382 383 behaviour, the coefficients of determination of 0.95 (sample A) and 0.56 (sample B) related to permeability evolution suggest that the exponential law might not realistically capture the CO<sub>2</sub> 384 385 permeability behaviour under the constant stress conditions where permeability decreases at the beginning and then recovers to a level of permeability over the initial permeability. This is 386 related to the fact that under the constant stress boundary condition, the initial permeability 387 reduction is governed by the swelling of the coal matrix which narrows the fracture aperture 388

(Qu et al., 2014). This is then followed by a permeability rebound related to the swelling of the
matrix reaching the external boundary after which the swelling area continues to increase in a
local scale (Qu et al., 2014). Under such conditions, both matrix and fracture swell together
making the fracture to reopen again and drive an increase in permeability (Qu et al., 2014).

However, opposite to the He injection case, the rates of change of both permeability and volumetric strain with an increase in injection pressure are now higher in the case of sample A than B. The underlaying reason for that could be related to the changes induced by  $CO_2$ sorption, as sample A was exposed to higher flow and mean pressure of  $CO_2$  than sample B, which will be further investigated and explained in the following sections.



Fig. 10. Permeability and volumetric strains vs. injection pressure for samples A and B; a) during 1<sup>st</sup>
 He injection, b) during CO<sub>2</sub> injection.

400

401 3.3. Temperature changes

Fig. 11 presents the pressure difference between the inlet and outlet of the samples as well as 402 the temperature recorded during the 1<sup>st</sup> He and CO<sub>2</sub> sequences. The results show that He flow 403 experiments were performed under constant temperature conditions, i.e.  $37\pm1^{\circ}$ C. However, 404 one can observe that the temperature measured on sample A showed a minor increase over the 405 course of the experiment which can be associated with the Joule-Thomson effect as the He 406 heats up by approximately 0.6 K/MPa upon expansion (Oldenburg, 2007; Linstrom and 407 Mallard, 2016). Nevertheless, the measured change was within the experimental error margins 408 409 of the measuring system.



410 Fig. 11. Experimental temperature and pressure difference between the inlet and outlet determined on
 411 both samples; a) during the 1<sup>st</sup> He injection, b) during CO<sub>2</sub> injection.

The results of temperature measurements during  $CO_2$  injection show that the temperature measured on sample B was stable, staying in the region of  $37\pm1^{\circ}C$ . Opposite to that, temperature measured on sample A showed a continuous decrease over the course of the 415 experiment with the most significant drop in temperature during the third injection stage (8.0 416 MPa injection pressure). In particular, when the supercritical  $CO_2$  was injected into sample A, 417 temperature reduced by 1.8°C and 6.8°C near the injection and production sides of the sample, 418 respectively. Such behaviour is attributed to the cooling effect of  $CO_2$  upon expansion, i.e. the 419 Joule-Thomson effect (Oldenburg, 2007).

By analysing Fig. 11, it can be observed that the significant temperature changes recorded on 420 sample A occurred at a pressure difference of 5.5 MPa. However, under a pressure difference 421 of 7.6 MPa established within sample B at the end of the third injection step (8.0 MPa), no 422 temperature reduction was recorded. The pressure gradient and amount of gas flowing across 423 424 the samples are the main reasons for such difference in the behaviour. As shown earlier, up to 70 times lower flow rates were recorded in sample B than sample A (Fig. 7). This implies that 425 426 the volume of pores conducting gas in sample B is smaller compared to sample A and hence, the heat provided by the confining oil and the heating elements can effectively diminish the 427 cooling induced by gas expansion. On the contrary, flow rates above 100 g/h induced a 428 measurable temperature change in sample A related to the cooling of the near-critical CO<sub>2</sub> 429 430 which has been previously shown to be approximately 5-10 °C/MPa (Kazemifar and Kyritsis, 2014; Linstrom and Mallard, 2016). As the temperature data related to Joule-Thomson cooling 431 effect reported in this work are lower than one would expect based on the literature data (e.g. 432 433 Kazemifar and Kyritsis, 2014), the discrepancy in the results is related to the thermal properties of the materials, i.e. coal, PTFE tape and silicone sleeve used in this work. Therefore, as the 434 temperature was measured by placing the thermocouple directly on the silicone sleeve, it can 435 be inferred that the temperature drop within the coal sample would be higher. This is supported 436 by the fact that the thermal conductivity of anthracite coal is low, i.e. 0.2-0.4 W.m<sup>-1</sup>.K<sup>-1</sup> (e.g. 437 438 Zhu et al., 2011; Liu et al., 2015).

439 Although this work did not include sorption capacity determination, it is known that gas adsorption is an exothermic process since energy is released during attractive interactions, with 440 the heat of CO<sub>2</sub> adsorption being in the region of 25-28 kJ/mol and relatively independent of 441 temperature and coal rank (Cao and Sircar, 2001; Ozdemir et al., 2004; White et al., 2005; Yue 442 443 et al., 2015). Liu et al. (2015) demonstrated that CO<sub>2</sub> sorption on anthracite can induce changes up to 8.8 °C, and that such changes in temperature increase with an increase in injection 444 pressure. In comparison, adsorption of CH4 on anthracitic coal, which is evaluated to have the 445 mean heat of adsorption of 23.3 kJ/mol (e.g. Tang et al., 2015), can induce a sudden increase 446

in temperature of the coal sample up to 13.8 °C as shown by Yue et al. (2015). Hence, such
temporary spike in temperature can partially offset the Joule-Thomson effect and the
combination of these two phenomena on the thermal state of the system needs to be considered.

450 It has been previously demonstrated that sorption capacity to CO<sub>2</sub> and the resulting swelling of 451 high rank coals are inversely proportional to temperature (e.g. Krooss et al., 2002; Sakurovs et al., 2008; Battistutta et al., 2010; Baran et al., 2015). Furthermore, the reduction in temperature 452 shrinks the coal matrix (Liu et al., 2015). Therefore, such temperature related changes within 453 the coal structure during CO<sub>2</sub> injection could affect the deformation and transport properties of 454 coal. While thermal contraction of the coal matrix would enhance the flow of CO<sub>2</sub> by widening 455 the flow paths, increase in the amount of CO<sub>2</sub> adsorbed during the temperature drop period 456 457 would increase the coal swelling and restrict the gas flow by reducing the aperture of the fractures. The decrease in temperature could also be responsible for the fact that sample A did 458 459 not achieve complete steady state in the given experimental time, as shown in Fig. 7 and Fig. 460 8., as the decrease in temperature causes an increase in equilibrium time related to the decrease in diffusion rates (e.g. Charrière et al., 2010). 461

462 Moreover, coals and in particular of high-rank exhibit reduction of elastic modulus and uniaxial compressive strength up to 80% through inducement of new fractures and enhancement of the 463 existing ones as a result of subcritical and supercritical CO<sub>2</sub> injection (Vishal et al., 2015; 464 465 Zagorščak and Thomas, 2018). Hence, such reductions in deformation and strength properties of coals could be even more pronounced when the temperature of the system drops as the 466 sorption and swelling increase. Furthermore, creation of new fractures and flow paths could be 467 initiated by thermal stresses as a result of rapid cooling and induced coal swelling caused by 468 an increase in CO<sub>2</sub> adsorption due to sudden reduction in temperature. The results observed on 469 470 sample A, which experienced sudden increase in flow rates and expansion in the third injection step and not reaching the steady-state in the experimental time given, can be then explained by 471 such complex coupled processes. 472

473

## 474 3.4. Relationship between the flow of $CO_2$ and the sorption induced 475 volumetric swelling

The normalised values of flow rates and volumetric strains over time duration of theexperiments on both samples are shown in Fig. 12 to analyse how the coal volumetric swelling

affects the gas transport. During the first 18% and 8% of experimental time, samples A and B
showed minor volumetric response, respectively. In particular, sample A experiences minor
compression, up to the 3% of the maximum volumetric strain while sample B expands by 2%
of the maximum volumetric strain observed. However, after 8% (sample A) and 4% (sample
B) of experimental time, the flow rates have already started to drop.



483

**Fig. 12.** Flow rate and volumetric strain time series for CO<sub>2</sub> injection in both samples.

The mechanisms for such behaviour can be explained through the concept where the matrix 485 swelling first occurs locally, reducing the aperture of the fractures and then it transits into global 486 swelling controlled by the external boundary, as suggested previously in the literature (Liu et 487 al., 2011; Chen et al., 2013; Qu et al., 2012; 2014). During the initial injection of CO<sub>2</sub>, i.e. up 488 to first 8% (sample A) and 4% (sample B) of experimental time, only fractures are occupied 489 490 by the gas which increases the gas pressure in the fractures resulting in a sudden increase in flow rates. As the CO<sub>2</sub> injection continues, CO<sub>2</sub> diffuses into the matrix increasing the gas 491 pressure within it. Consequently, the matrix area in close vicinity to the fracture starts to swell 492 493 reducing the fracture aperture and the area available for gas flow. Hence, this reduction negatively impacts the fracture permeability, as demonstrated for sample A and B which 494 experience a rapid reduction in flow rates within 8-18% and 4-8% of experimental time, 495 respectively. Although the flow rates observed are a net result of the permeability increase due 496 to the increase in gas pressure and the reduction of fracture aperture due to swelling, the latter 497 one has a higher impact than the former one. During this time, the swelling predominantly 498 occurs locally, and the external boundary stays unmoved which is similar to the constant 499 volume boundary case. 500

After that, as  $CO_2$  diffuses further into the matrix and the swelling front moves away from the fracture, the impact of sorption induced swelling on the fracture aperture decreases. By increasing the matrix swelling area, the swelling pressure exceeds the value of the limiting pressure and the external boundary starts to move outwards. This is visible in 18-40% of experimental time for sample A and 8-55% of experimental for sample B where a sudden increase in volumetric strains with further injection of  $CO_2$  is observed, but at the same time, the reduction in flow rates becomes more gradual reaching a steady-state at the end.

508 Increasing the injection pressure and decreasing the effective stress in turn, to initiate higher flow rates, after 40% (sample A) and 55% (sample) of experimental time until the end of the 509 510 experiments proved to have less effect in sample B than sample A. As the coal matrix is not completely separated by the fractures, but some matrix blocks are mutually connected by the 511 coal bridges (e.g. Liu et al., 2011), one can infer that the interconnectivity of these blocks and 512 513 matrix properties govern the coal response. Qu et al. (2014) have suggested that both the coal matrix and bridges swell. Where the matrix blocks are completely separated by a fracture, 514 sorption induced swelling of the blocks narrows the gap between matrix-faces and reduces 515 516 permeability. If the blocks are connected via the bridges, swelling of those bridges enlarges the fracture aperture and increases permeability (Qu et al., 2014). Hence, as sample A has a visible 517 well-developed fracture network (Fig. 2), it can be inferred that there are more coal blocks and 518 519 bridges than in sample B that can be directly exposed to CO<sub>2</sub>. Combined with the multiple processes induced by changes in thermal state of the system, as discussed in the earlier section, 520 flow rates in sample A rebound earlier and at a higher rate than in sample B with poor fracture 521 network and no visible changes in thermal state of the system. These findings support the work 522 of Qu et al. (2014) who have numerically demonstrated that low temperature CO<sub>2</sub> injection 523 results in earlier permeability rebound than high temperature CO<sub>2</sub> injection as the coal matrix 524 shrinks due to thermal contraction as well as more gas adsorbs on the coal surface increasing 525 the pressure in the coal matrix faster. 526

527

## 3.5. Reversibility of the CO<sub>2</sub> sorption induced changes

The results presented in Fig. 13 show that He flow rates recorded at the end of the second He injection sequence are 17 g/h and 2 g/h in samples A and B, respectively. Compared to the results obtained at the end of the first He injection sequence, 60-66% lower flow rates were observed. However, the volumetric response of both samples showed similar behaviour as the difference in the volumetric strain experienced during each sequence was negligible. In particular, sample A compressed by 0.19% and 0.20% during the first four hours of the first and second He injection sequences, respectively. After that, a minor expansion of 0.005% occurred in both injection sequences resulting from the injection pressure increase from 7.0 MPa to 8.0 MPa.

538



Fig. 13. Volumetric strains and flow rates measured during different helium injection sequences; a)
 before and after CO<sub>2</sub> injection in sample A, b) before and after CO<sub>2</sub> injection, and after N<sub>2</sub>
 injection in sample B.

Following the saturation of sample B with  $N_2$  for 48 hours and then degassing it, He flow rates during the 3<sup>rd</sup> He injection show a very similar trend to the flow rates obtained during the 2<sup>nd</sup> He injection after the sample has been treated with CO<sub>2</sub>, demonstrating that there is no recovery in permeability as a result of  $N_2$  sorption. Regarding the volumetric compression during the

initial increase in pressures to reach the designed values, sample B experienced compression 546 of 0.039% compared to the first two He injection sequences where the compression was 0.14%. 547 Upon reaching the designed pressure conditions after 4 hours, qualitative and quantitative 548 behaviour of the third He injection sequence was then the same as in the first two, i.e. sample 549 expanded by 0.02% when the injection pressure increased by 1 MPa. Hence, it appears that 550 551 such initial volumetric compression of the sample in the third He injection sequence, which could be associated with the creep of the coal during long-term loading, did not affect the flow 552 553 behaviour.

554 Such observations for high rank coal are contrary to the studies performed by other researchers 555 (e.g. Fujioka et al., 2010; Perera et al., 2011; Ranathunga et al., 2017) who have reported that N<sub>2</sub> injection in low rank coals can partially reverse the CO<sub>2</sub> sorption induced swelling and 556 enhance permeability. A potential explanation could be related to the coal structure of high-557 558 rank coals and the difference in diameters of the N<sub>2</sub> and CO<sub>2</sub> molecules. In particular, high rank 559 coals contain predominantly micropores making it more difficult for N<sub>2</sub>, with relatively larger kinetic diameter than CO<sub>2</sub>, to diffuse and adsorb into meso- and micropores easily accessible 560 to CO<sub>2</sub> (Cui et al., 2004; White et al., 2005; Moore, 2012). 561

### 562 4. Conclusions

This paper presented the experimental results obtained from continuous and simultaneous measurements of flow rates, gas and confining pressures, temperature, radial and axial strains obtained during high-pressure injection of  $CO_2$ , He and N<sub>2</sub> in two high rank coal samples under constant confining stress conditions. Based on the experimental results obtained, the following conclusions were drawn:

He injection resulted in continuous increase in flow rates and sample expansion with
 steady-state being achieved in less than half an hour after each injection step. As He is
 a non-sorbing gas, changes in effective stress, grain compressibility and the consequent
 increase in fracture aperture were the main mechanisms responsible for such behaviour.

During CO<sub>2</sub> injection, several stages were identified. Initial CO<sub>2</sub> injection induced rapid
 increase in flow rates associated with a quick gas pressure build-up in the fractures,
 followed by a gradual decline in flow rates. This period of reduction in flow rates
 coincided with the period where negligible volumetric deformation of samples could
 be recorded. This demonstrated that the closure of fractures and the resulting decrease

in permeability are primarily related to the internal (local) swelling of the coal structure.
As the injection pressure was increased further, the samples experienced measurable
global swelling resulting in the recovery of flow rates, which was particularly visible
in sample A with well-developed fracture network.

- Temperature measurements on sample A showed a temperature drop during high flow 581 ٠ of CO<sub>2</sub> associated with the Joule-Thomson effect. As sample A experienced higher 582 increase in flow rates and expansion during this temperature reduction period compared 583 to sample B, it was concluded that such abrupt change in the thermal state of system 584 could have induced physical and chemical changes. In particular, a combination of 585 different mechanisms such as increase in coal swelling and reduction of mechanical 586 properties associated with an increase in sorption capacity with a decrease in 587 temperature, thermally induced cracking as well as thermal contraction were named to 588 be responsible for such behaviour. 589
- Coal expansion was more dominant in case of CO<sub>2</sub> injection than in case of He injection, i.e. differences of more than one order of magnitude were recorded. The permeability to CO<sub>2</sub> (3.9×10<sup>-17</sup> m<sup>2</sup> to 2.6×10<sup>-19</sup> m<sup>2</sup>) was one to two orders of magnitude lower than permeability to He (1.5×10<sup>-16</sup> m<sup>2</sup> to 1×10<sup>-17</sup> m<sup>2</sup>) as a result of the CO<sub>2</sub> sorption induced changes.

The changes induced by CO<sub>2</sub> sorption were detrimental on coal permeability to non sorptive gas, reducing it by 60-66%. Furthermore, N<sub>2</sub> sorption could not reverse those
 changes, contrary to some previous findings in the literature on the effectiveness of N<sub>2</sub>
 on the permeability enhancement.

599 This work demonstrated that high-rank coal seams with pre-existing highly developed fracture network are suitable for CO<sub>2</sub> injection, and although can experience an initial decline in flow 600 rates, the recovery could be expected over time with further increase in injection pressure as a 601 result of complex interactions occurring during the CO<sub>2</sub> injection process. On the contrary, 602 high-rank coals with poorly developed fracture network are strongly affected by the CO<sub>2</sub> 603 604 sorption induced swelling and do pose a challenge in storing CO<sub>2</sub>. Hence, the existing cleats 605 should be enhanced, and new ones created through forms of stimulation prior to or during the injection of CO<sub>2</sub> as the N<sub>2</sub> injection proves to be inefficient in reversing the changes induced 606 by CO<sub>2</sub> sorption in high-rank coals. However, in both cases, the impact of complex mechanisms 607

induced by potential temperature variations of the system, especially near the injection point, that can induce changes in the sorptive potential of coals to  $CO_2$  and related impacts on the coal deformation and strength properties should be evaluated when assessing the storage potential of the target coal seams. Moreover, considering temperature related changes in the

612 stress state and potential freezing of the residual water or creation of  $CO_2$  and  $CH_4$  hydrates is

613 important while analysing the injectivity and stability of the storage system.

Overall, the experimental findings presented in this work support the theoretical framework previously suggested in the literature (e.g. Qu et al., 2014) and offer a great prospect to be further exploited for validation of developed numerical models which provide reliable platforms for predicting the behaviour of gas-storage system.

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## 627 6. References

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