# Tuning the electronic band gap of Cu<sub>2</sub>O via transition metal doping for improved photovoltaic applications

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 $Cu_2O$  is a widely known *p*-type semiconductor with a band-gap value suitable for photovoltaic applications. However, due to its parity-forbidden nature of the first interband transition and high carrier recombination currents,  $Cu_2O$  has failed to reach commercial application. Hybrid density functional theory has been used to study the effect of transition metal dopants on the electronic and optical properties of  $Cu_2O$ . Substitutional transition metal dopants, incorporated on the copper site, allow band-gap tunability by creating a range of defect states in the electronic structure, from shallow levels to deep intermediate bands. The preferred position of the dopants is in the vicinity of copper vacancies, which are naturally found in  $Cu_2O$  and are responsible for its *p*-type conductivity. Impurity levels created via extrinsic transition metal dopants increase substantially the capacity of  $Cu_2O$  to absorb light, reaching values close to 10%. First row transition metal dopants thus show potential for considerable improvement of the overall photovoltaic performance of  $Cu_2O$ .

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# I. INTRODUCTION

Since solar energy is an easy to obtain and an inexhaustible source, sunlight converters are increasingly attracting scientific attention. As a result, an intensive search is being carried out for new low-cost materials for the fabrication of solar conversion devices [1]. Despite the apparent efficiency of perovskites in solar cell applications [2–4], the search for oxide absorbers remains highly relevant, mostly due to their stability under atmospheric conditions, abundancy, and non-toxicity. Photoactive oxides are environmentally friendly and cost-effective, making them ideal for large-scale photovoltaic deployment [5].

Cuprous oxide [Cu<sub>2</sub>O, copper (I) oxide] is a promising alternative to traditional thin-film photovoltaic materials (CIGS, CdTe,  $\alpha$ -Si, etc.) because of its low materials cost, abundance of its component elements, and uniquely straightforward manufacturing process [6,7]. It has recently received renewed interest as a photovoltaic and photocatalytic material, mainly due to its favorable band-gap energy of 2.17 eV, high hole mobility, and favorable band edge positions [8–11]. However, the foremost optical transition at the band-gap energy is parity-forbidden, leading to a weak absorption onset, which limits the efficiency of Cu<sub>2</sub>O as a thin-film absorber [12,13]. Low output conversion efficiencies have also been attributed to high carrier recombination rates and intrinsic trap states limiting the final photocurrent [14–16].

Controlling the presence of dopants in oxides provides an important mechanism to tune and alter the optoelectronic properties of a given compound [17]. Transition metal (TM) impurities, introduced in semiconducting compounds, are able to create a range of energy levels in the band gap [18]. Doping has already been shown to provide a way of improving the photostability of  $Cu_2O$ , circumventing complicated fabrication processes such as coating, integration with other materials and use of co-catalysts [19].

Some key aspects of intrinsic defects have already been identified in the literature. The *p*-type conductivity of Cu<sub>2</sub>O was found to originate from small amounts of Cu vacancies ( $V_{Cu}$ ), with a formation energy depending on the oxygen partial pressure and temperature condition of synthesis [20–22]. Theoretical studies confirmed the most favorable polaronic defects in Cu<sub>2</sub>O to be simple ( $V_{Cu}$ ) and split vacancies ( $V_{Cu}$ <sup>split</sup>), demonstrating the need to go beyond local and semilocal theoretical approximations to capture the essential nature of those processes accurately [23–25].

Experimentally, Cu<sub>2</sub>O has been doped with many extrinsic elements, e.g., N [26,27], Cr [28], Fe [28,29], Au [28], Mg [30], Co [31,32], Mn [33], and Zn [17,19,34] mostly in the form of thin films and bulk samples. A pronounced difficulty is to achieve effective cation incorporation in the Cu<sub>2</sub>O matrix and at the same time avoid the formation of local impurity clusters or dopant-based oxides [19].

Several theoretical papers have treated the possibility of tuning the bang gap of  $Cu_2O$  with cation doping. Martinez-Ruiz *et al.* [1] have used density functional theory (DFT) calculations to study copper (I) oxide doped with Ni, Zn, and Ag. Their results indicated a reduction of the band gap with Ag doping, whilst Ni and Zn favor *p*-type and *n*-type semiconducting behavior, respectively. Nolan and Elliott [24] have used DFT-GGA to explore the possibility of tuning the transparency of  $Cu_2O$  through cation doping (including Ba, Sn, Ti, Cr, V, amongst many others). A decrease of the band gap with transition metal dopants was observed, while alkaline Earth elements favored an increase of the initial value. Isseroff and Carter [14] have used a hybrid-DFT approach to study the effect of Li, Mg, Mn, and Zn dopants on vacancy

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formation energies, electronic structure, and photoconductivity of Cu<sub>2</sub>O. A thermodynamic preference to forming defect pairs was observed, including dopant rearrangement into a fourfold coordination. The proper usage of well-converged k-point meshes was elucidated to have a significant impact on hybrid-DFT results, in contrast to previous theoretical results.

Despite the significant effort invested into exploring the conventional properties of doped  $Cu_2O$ , relatively less effort has been devoted to study the impact of extrinsic dopants on the photovoltaic properties of  $Cu_2O$ . Moreover, theoretical investigations performed at the general gradient approximation level often lack completeness in the description of oxide electronic properties [35]. In this study, the effect of first row transition metal (FRTM) dopants on the overall photovoltaic absorption potential of  $Cu_2O$  is explored, using a systematic hybrid-DFT approach. The effect of dopants on the electronic structure is examined, together with their formation energies, charge distribution, and influence on the optical response. In addition, a selection metric is employed to assess the limiting power conversion efficiency of doped  $Cu_2O$ .

# **II. COMPUTATIONAL DETAILS**

The theoretical results presented here were based on spin polarized DFT-based calculations performed with the Vienna *ab initio* simulation package [36]. The interactions between core and valence electrons were represented using the projector augmented wave (PAW) method [37].

The general gradient approximation (GGA) [38] exchangecorrelation (XC) functional with the Perdew-Burke-Ernzerhof (PBE) parametrization was employed for DFT + U within the formalism of Dudarev *et al* [39]. The values for the effective Hubbard parameter ( $U_{eff}$ ) were taken from parameterfree self-consistent calculations, yielding accurate electronic properties for transition metal oxides [40–42]. For the hybrid-DFT calculations, the HSE06 XC functional was used, which incorporates 25% of the nonlocal exact Hartree-Fock (HF) exchange and a screening parameter of  $0.2 \text{ Å}^{-1}$  [43–45]. The hybrid functional approach demonstrated accurate descriptions of various oxide semiconductors, including Cu<sub>2</sub>O [10,11]. The method of Gajdoš *et al.* was used to obtain the real and imaginary parts of dielectric functions [46], from which the absorption coefficient was derived. Long distance dispersion corrections were included using the D3 approach of Grimme *et al.* [47]. The plane wave expansion cutoff was set to 500 eV and the force convergence criterion to cell relaxation was 0.01 eV/Å.

Cu<sub>2</sub>O crystallizes in a high-symmetry cubic structure containing six atoms in the unit cell arranged in the cuprite structure. The initial computed Kohn-Sham band gap (with the HSE06 functional) at the  $\Gamma$  point is 2.052 eV (see Fig. 1), in good agreement with the experimental value of 2.1–2.4 eV [48]. Defects were modelled in a 48-atom (2 × 2 × 2) supercell where one Cu atom was replaced with a FRTM dopant giving a concentration of 3.125%, which is accessible well within the experimental regime [49,50]. The starting supercell lattice constant was 8.461 Å, obtained from DFT + U calculations on the stoichiometric unit cell. A Monkhorst-Pack [51] k mesh of 3 × 3 × 3 was employed to ensure total energy convergence up to 1 meV/atom.

DFT + U, which has been shown to accurately reproduce the structural parameters of the stoichiometric unit cell, was used to relax the doped Cu<sub>2</sub>O structure (including atomic position and volume optimization), whilst hybrid-DFT permitted an accurate electronic structure at affordable computational cost [52]. Based on the band structure, the optical absorption spectra were obtained by calculations from the real and imaginary parts of the dielectric function within the



FIG. 1. Calculated band structure for a Cu<sub>2</sub>O unit cell (left) together with the unfolded band structure of a Cu<sub>2</sub>O  $2 \times 2 \times 2$  supercell (middle). After unfolding has been performed, the effective band structure in a primitive representation has been obtained (right), which matches the initial band structure accurately.

TABLE I. Enthalpies of formation (eV/formula unit) for Cu<sub>2</sub>O, Cu<sub>4</sub>O<sub>3</sub>, and CuO.

	$\Delta H_{\rm f}({\rm Cu_2O})$	$\Delta H_{\rm f}({\rm Cu}_4{\rm O}_3)$	$\Delta H_{\rm f}({\rm CuO})$
DFT + U	-1.72	-4.99	-1.62
HSE06	-1.66	-4.92	-1.57
Experiment	-1.75 [68]	-	-1.59 [68]

independent particle approximation. Since DFT and DFT + U fail to accurately model the formation energies of defects in Cu<sub>2</sub>O [23,52], defect formation energies were calculated using a single point calculation with hybrid-DFT.

The phase stability diagram of Cu<sub>2</sub>O for a range of accessible chemical potentials was computed using the CPLAP (Chemical Potential Limits Analysis Program) [53], taking into account its limiting competing phases. All reference enthalpies and elemental energies were calculated keeping the hybrid exchange-correlation functional consistent to ensure transferability of results. The extent of defect charge distribution was studied using the Bader scheme as implemented in the Henkelman code [54–56]. All inequivalent dopant configurations for site substitutions in the supercell approach were generated using the Site-Occupation Disorder package [57]. Graphical drawings were produced using VESTA [58] and band structure unfolding was performed using the FOLD2BLOCH software [59,60]. Excitonic and spin-orbit coupling effects were not taken into account.

#### Phase stability

The formation enthalpy of a neutral defect  $\Delta H_f(D)$  is defined as

$$\Delta H_{\rm f}(D) = E_{\rm tot}(D) - E_{\rm tot}(H) + \sum_{i} n_i \mu_i, \qquad (1)$$

where  $E_{tot}(D)$  and  $E_{tot}(H)$  are the total energies of the system with and without the defect (D), respectively. The value  $n_i$ represents the number of atoms of element *i* that are removed from the system to form a defective structure (a negative value denotes addition of atoms) and  $\mu_i$  is the chemical potential of element *i*. The chemical potential can be written as  $\mu_i =$  $\mu_i^{\text{elem}} + \Delta \mu_i$ , where  $\mu_i^{\text{elem}}$  is the chemical potential of element *i* in its standard phase, referenced to the total energy of the elementary phases at zero Kelvin [i.e.,  $O_2(g)$  and Cu(s)].

The allowed values of  $\Delta \mu_i$  are determined from a set of thermodynamic limits. The upper limit is  $\Delta \mu_i \leq 0$  where element *i* precipitates to its standard state, in this case metallic copper and molecular oxygen in the gas phase. Also, to avoid the formation of secondary solids, i.e., Cu<sub>4</sub>O<sub>3</sub> and CuO, the chemical potentials must also be bound by

$$4\Delta\mu_{\rm Cu} + 3\Delta\mu_{\rm O} \leqslant \Delta H_{\rm f}({\rm Cu}_4{\rm O}_3),\tag{2}$$

$$\Delta \mu_{\rm Cu} + \Delta \mu_{\rm O} \leqslant \Delta H_{\rm f}({\rm CuO}). \tag{3}$$

Finally, to maintain the thermodynamic equilibrium with  $Cu_2O$ , the chemical potentials  $\Delta \mu_{Cu}$  and  $\Delta \mu_O$  are constrained by the equilibrium condition

$$2\Delta\mu_{\rm Cu} + \Delta\mu_{\rm O} = \Delta H_{\rm f}({\rm Cu}_2{\rm O}). \tag{4}$$

The total energies of the phases competing with Cu<sub>2</sub>O, i.e., Cu<sub>4</sub>O<sub>3</sub> and CuO, were calculated using their respective unit cells. For tetragonal Cu<sub>4</sub>O<sub>3</sub>, a primitive cell containing 14 atoms was used together with the antiferromagnetic spin ordering leading to the observed lowest energy configuration [61–63]. For monoclinic CuO, the experimentally observed antiferromagnetically ordered unit cell with 16 atoms was used [64,65].



FIG. 2. Phase stability in the range of accessible chemical potentials for  $Cu_2O$  with the figure on the left indicating the whole range and the figure on the right showing a magnification of the region of interest. Limits are imposed by the formation of competing phases.

	Sc	Ti	V	Cr	Mn	Fe	Со	Ni	Zn
Lattice Distortion (%)	0.731	0.714	0.505	0.363	0.442	0.272	0.155	0.078	0.349
d(TM-O) (Å)	1.981	2.067	1.909	1.987	1.939	1.861	1.832	1.891	1.828
Δd(TM-O) (%)	8.158	12.836	4.246	8.452	5.850	1.600	0.034	3.256	-0.184

TABLE II. Structural distortion, dopant-anion bond distance and bond distance distortion (with respect to the pristine Cu-O bond distance of 1.831 Å).

# **III. RESULTS AND DISCUSSION**

#### A. Formation enthalpies of pristine Cu<sub>2</sub>O

Calculated formation enthalpies of Cu<sub>2</sub>O, Cu<sub>4</sub>O<sub>3</sub>, and CuO are reported in Table I. Qualitatively, both DFT + U and HSE06 predict Cu<sub>2</sub>O to be more stable than CuO and give energies very close to experimental trends (differences most likely arise from temperature effects when comparing with tabulated values). From the obtained formation enthalpies and constraints set by Eqs. (2)-(4), boundaries for the chemical potential can be calculated, which are shown in Fig. 2. The chemical potentials for Cu (points A and B in Fig. 2, right), providing boundary values for cation substitution energies, possess values in a very narrow range. Hence, we explicitly consider only point A, with chemical potential values of  $\Delta \mu_{Cu} = 0 \text{ eV}$  and  $\Delta \mu_{O} = -1.67 \text{ eV}$ . This approach illustrates the importance of taking competing phases into account, as otherwise calculated defect energetics can end up describing unphysical conditions [66,67].

# B. Single substitutional cation-doped Cu<sub>2</sub>O

First row transition metal (FRTM) atoms have been introduced into Cu<sub>2</sub>O by substitution of Cu on the copper site. The cubic Cu<sub>2</sub>O structure holds only one nonequivalent copper position, resulting in one unique configuration for the position of the dopant in the supercell. The substitution of one Cu atom with a FRTM does not alter the geometry substantially, giving a maximum distortion of only 0.7% in the lattice parameter for Ti and Sc dopants, compared to the undistorted structure. Complete results are presented in Table II. The calculated TM-O bond distances are increased for all dopant atoms, compared to the Cu-O bond length of the pure host, apart from the Zn dopant, where a small bond shortening was observed, most likely due to the fact that the Zn d orbitals are full. Calculated results compare well with trends observed in previous studies [49,50].

The oxidation state of the TM atom replacing a copper atom in  $Cu_2O$  remains 1+, maintaining charge balance (charge neutrality) in the material. The stability of doped systems is determined by their defect formation energies, which are summarized in Table III. For the evaluated range of accessible chemical potentials, all the considered neutral TM defects replacing one copper atom are likely to form. Most favorable formation energies are assigned to Cr and Mn, likely due to the half-filled 3d states resulting in less repulsion between atoms. From the selected dopants, Zn exhibits the highest defect formation energy of 0.888 eV, yet still comparable to intrinsic vacancy formation energies in Cu<sub>2</sub>O which range from 0.39–0.97 eV [69].

The computed unfolded electronic band structure together with the total and TM dopant-projected densities of state of the doped systems are shown in Figs. 3 and 4. The band structure of pristine Cu<sub>2</sub>O is altered by the introduction of a FRTM substitutional dopant. When doped with an impurity having a higher atomic number, i.e., Zn replacing Cu, the system gains an excess electron. The Zn dopant introduces a singly occupied impurity level that lies at about 1.387 eV above the valence-band maximum (VBM) and 0.520 eV below the conduction-band minimum (CBM) at the  $\Gamma$  point. Since the impurity level is occupied, the donor atom is neutral. The spin density shows delocalization of the excess electron over the dopant and its neighboring atoms, as shown in Fig. 5. A small magnetization of 0.163  $\mu_{\rm B}$  was found on the Zn atoms, accompanied by 0.056  $\mu_{\rm B}$  on the nearest O atom (Table III).

In contrast, when doped with an impurity with a lower atomic number, the system gains a spare hole. Substituting Ni for Cu creates an acceptor impurity level in the spin minority channel. This unoccupied level, which consists of hybridizing Cu and Ni 3*d*  $t_{2g}$  states, lies at ~0.059 eV below the CBM (or ~0.456 eV from the spin minority channel, read from the complementary densities of state), as shown in Figure 3. The spin density is localized on the Ni atom, with a magnetic moment of 0.814  $\mu_B$  on the Ni and a negligible moment of 0.015  $\mu_B$  on the O atom (Figure 5).

A single Co dopant creates a donor level located at 0.536 eV above the VBM in the minority spin channel at the  $\Gamma$  point. The unpaired electron is shared between Co and Cu 3*d* states, whereas the empty Co 3*d* states are merged with the Cu<sub>2</sub>O conduction band. Fe introduces two occupied donor levels in the spin minority channel, located at 0.480 and 0.888 eV above the VBM, respectively. One additional acceptor

TABLE III. Formation energies for substitutional defects on the copper site in  $Cu_2O$  together with the accompanying magnetic moment on the transition metal dopant and nearest-neighboring oxygen.

	Sc	Ti	V	Cr	Mn	Fe	Со	Ni	Zn
$\Delta H_{\rm f}({\rm eV})$	-1.528	-0.807	-0.657	-0.715	-1.448	0.261	-0.408	-0.196	0.888
$m_{TM}(\mu_B)$	0.000	2.025	2.109	4.259	4.252	3.156	2.058	0.814	0.163
$m_O(\mu_B)$	0.000	0.109	0.013	0.074	0.035	0.033	0.023	0.015	0.056



FIG. 3. Electronic band structures together with total (black line) and TM dopant-projected densities of state (orange line) of clean and doped  $Cu_2O$ . Top left figure shows the undoped  $Cu_2O$  supercell after performing unfolding of the bands. The dashed line indicates the highest occupied state and arrows represent different spin channels.

level can be identified at approximately 0.350 eV above the CBM.

A single impurity band is created when Cu is replaced by Mn or Cr. Substitutional Mn creates an intermediate impurity level located at around 1.351 eV above the VBM in the spin minority channel, whereas Cr induces an intermediate level in the spin majority channel at around 0.817 eV above the VBM. The empty 3d states are merged with the conduction band



FIG. 4. Electronic band structures together with total (black line) and TM dopant-projected densities of state (orange line) of doped  $Cu_2O$ . The dashed line indicates the highest occupied state and arrows represent different spin channels.

for Mn doping, while in the case of Cr doping the filled 3d states are placed slightly below the valence band. Mn and Cr also shown strong magnetization of  $4.252 \,\mu_{\rm B}$  and  $4.259 \,\mu_{\rm B}$ , respectively, on the impurity dopant atom.

A single substitutional V dopant atom creates two donor states within the band gap of  $Cu_2O$ . The first one is located at about 0.842 eV above the VBM, whilst the second is found at 1.370 eV above the VBM.

One doubly-occupied donor band at 0.917 eV from the VBM and one single occupied donor impurity band located at 1.342 eV from the VBM can be identified when a single Ti atoms replaces Cu in Cu<sub>2</sub>O. The introduction of a Sc dopant creates an intermediate band level occupied in both spin channels at around 1.607 eV from the VBM.

# C. Copper vacancies in cation-doped Cu<sub>2</sub>O

The situations described above refer to an ideal, perfect crystal where no other defects are present beyond the dopants. In reality, intrinsic Cu vacancies ( $V_{Cu}$ ) are usually present in

Cu<sub>2</sub>O, which could alter the charge state of the TM dopant. To explore the stabilization of the electronic structure and simulate conditions closer to experiments, one additional  $V_{Cu}$  is introduced in the previously doped system. The complementing band structure has been omitted from this analysis due to increased computational cost. Clustering effects were noted when the  $V_{Cu}$  was introduced in the proximity of the extrinsic dopant, demonstrating lower formation energies (not shown), as has been observed in previous studies [14,49,70].

The additional hole generated by the  $V_{Cu}$  compensates the electron donation effect induced by the single Zn dopant. In the presence of the copper vacancy, the defect formation energy is lowered by 0.493 eV, while the donor level and the magnetization are fully quenched (values listed in Table IV). Empty Zn 4*s* states are hybridized with the Cu<sub>2</sub>O conduction band with an overall band gap calculated from single particle levels to be 1.909 eV, as shown in Fig. 6. In contrast, the additional V<sub>Cu</sub> together with the Ni dopant increases the defect formation energy by 0.946 eV. The additional hole is now transferred to the Ni impurity, creating two empty states in the



FIG. 5. Spin density difference (depicted in yellow) for TM doped  $Cu_2O$ . Isosurface level set to 0.011 e/Å2. Red and blue spheres represent oxygen and copper atoms, respectively, while the differently colored atoms represent the transition metal dopant.

minority spin channel, located at 0.866 and 1.532 eV above the VBM, respectively. The magnetization on the Ni impurity atom is increased to  $1.502 \,\mu_{\rm B}$ , arising from rearranged unpaired electrons of Ni *d* states.

A  $V_{Cu}$  located near the Co dopant features an increase in the formation energy, creating empty states within the conduction band. One additional singly unoccupied state in the spin minority channel originating from Co 3*d* and 4*s* orbitals is located around 1.260 eV above the VBM. For the systems doped with Fe, Mn, Cr, and V, a lowering of the defect formation energy is observed. In contrast to the system without an additional Cu vacancy, empty states within the gap are created. Their positions relative to the CBM minority spin channel are 0.640 and 0.260 eV for Fe and Mn, respectively. The Cr dopant creates two acceptor states within the gap, one positioned at 1.352 eV and the other at 2.245 eV above the VBM. Substitution by V favors an electron transfer from the V 3*d* band, where the formed acceptor level becomes merged with the bottom of the CBM. The net magnetization per impurity atom is increased for the Fe-, Mn-, Cr- and V-doped system in the material with the V<sub>Cu</sub> due to increased number of spin unpaired electrons.

TABLE IV. Formation energies for substitutional defects on the copper site with an additional copper vacancy in  $Cu_2O$  together with the accompanying magnetic moment on the transition metal dopant and nearest-neighboring oxygen.

$\overline{TM + V_{Cu}}$	Sc	Ti	V	Cr	Mn	Fe	Со	Ni	Zn
$\Delta H_{\rm f}({\rm eV})$	-1.931	0.004	-0.799	-0.139	-1.540	-0.114	0.172	0.750	0.398
$m_{TM}(\mu_B)$	0.000	1.768	2.575	3.803	4.546	3.541	2.507	1.502	0.000
$m_O(\mu_B)$	0.000	0.017	0.048	0.045	0.034	0.047	0.041	0.039	0.000



FIG. 6. Total (black line) and TM dopant-projected (orange line) densities of state of TM doped  $Cu_2O$  with one additional Cu vacancy. The dashed line indicated the highest occupied state and arrows represent different spin channels.

The Ti- and Sc-doped Cu<sub>2</sub>O systems undergo substantial lattice distortions. The impurity atoms move into the tetrahedral position, increasing the formation energy by 0.811 eV for Ti and lowering it by 0.393 eV for Sc. The Sc-doped system is found to be metallic in nature, due to hybridized Cu 3d, 4s, and O 2p states crossing the Fermi level.

Results shown in Table V illustrate the Bader charge distribution analysis undertaken on the extrinsic dopant and its nearest neighboring atoms. Charge differences confirm the increased loss of negative charge (i.e., positive charge gainincreased hole concentration) on the transition metal dopant between the systems without and with the additional copper vacancy. This hole localization is further highlighted by visualizing the spin density difference, as shown in Fig. 7. Small charge fluctuations are observed on the nearest neighboring Cu atoms, surrounding the dopant, with a value between 0.01 and 0.03  $e^{-}$ . The charges on the nearest neighboring oxygen atoms in the stoichiometric FRTM-doped systems are distributed symmetrically, in contrast to the FRTM-doped system with the additional copper vacancy. The copper vacancy leads to a distortion of the TM-oxygen distances causing slight increases in the bond lengths and, as a consequence, fluctuation of the charge.

Partial charge density for the vacant impurity bands, created when  $Cu_2O$  was doped with TM and one additional copper vacancy, have been presented in Fig. 8. Shown are systems where a distinct impurity band was observed, leaving out Zn and Sc due to merging of states with the CBM. Delocalization of the additionally created states is observed in the case of Ni and Co, whilst the remaining systems demonstrate a high degree of localization of states on the impurity atom. Delocalized states do not act as hole traps, thus leading to potentially favorable increases in conductivity [18].

Electronic structure calculations provide insight into charge transfer processes, occurrence of additional impurity states within the host's band gap or the degree of localization of excess carriers. However, direct impact on photovoltaic properties of relevance is often difficult to assess. One available metric to do so is the so-called spectroscopic maximum limited efficiency (SLME) approach, developed by Yu and Zunger [71]. It extends beyond the commonly used Shockley-Queisser criterion to incorporate a fraction of nonradiative recombination, whilst approximating the power conversion efficiency (PCE) of a material employed as a thin film absorber.

The calculated SLME for substitutionally doped  $Cu_2O$  without and with an additional copper vacancy are shown in

TABLE V. Bader charge analysis of first row transition metal doped  $Cu_2O$  without and with an additional copper vacancy in the vicinity of the dopant atom. Values listed are in  $e^-$ . NN represents the nearest neighbor and NNN the next nearest neighbor to the dopant atom.

	Sc	Ti	V	Cr	Mn	Fe	Со	Ni	Zn
TM <sub>Cu</sub>	+1.585	+1.150	+1.118	+0.877	+0.914	+0.759	+0.633	+0.522	+0.939
$TM_{Cu} + V_{Cu}$	+1.879	+1.544	+1.223	+1.188	+1.201	+1.090	+0.980	+0.909	+1.084
O <sub>NN</sub> (TM <sub>Cu</sub> )	-1.232	-1.215	-1.199	-1.232	-1.145	-1.158	-1.134	-1.129	-1.160
$O_{NN} (TM_{Cu} + V_{Cu})$	-1.150	-1.160	-1.106	-1.060	-1.066	-1.069	-1.066	-1.066	-1.069
	-1.121	-1.196	-1.108	-1.125	-1.122	-1.120	-1.092	-1.082	-1.110
Cu <sub>NN</sub>	+0.532	+0.560	+0.536	+0.570	+0.531	+0.567	+0.563	+0.549	+0.598
$Cu_{NN} + V_{Cu}$	+0.568	+0.574	+0.555	+0.555	+0.546	+0.556	+0.588	+0.546	+0.548



FIG. 7. Spin density difference (depicted in yellow) for TM doped Cu<sub>2</sub>O with an additional V<sub>Cu</sub>. Isosurface level set to  $0.011 \text{ e/Å}^2$ . Red and blue spheres represent oxygen and copper atoms, respectively, while the differently colored atoms represent the transition metal dopant.

Figs. 9 and 10, respectively. The most prominent enhanced effect is observed for Zn and Ni, when considering single substitutional defects on the copper site in Cu<sub>2</sub>O, whereas Mn, V, and Ti impaired the already low efficiency of pure Cu<sub>2</sub>O (efficiencies lowering those of pristine Cu<sub>2</sub>O were omitted from the graph). The introduction of an additional copper vacancy stabilizes the system energetically, in addition to favoring higher light absorption. The main cause of the significant increase in SLME is attributed to the nature of interband transitions between the VBM and CBM. Pure Cu<sub>2</sub>O exhibits a parity forbidden lowest fundamental absorption transition (neglecting excitons), hindering immediate light capturing until  $\sim$ 2.6 eV. In the case of doped Cu<sub>2</sub>O, defects create band levels within the band gap, allowing an earlier absorption onset. This can be observed in the calculated absorption coefficients (Figs. 9 and 10), which indicate the absorption probability of incident photons of different energies. In both types of studied defect systems, a shift of the absorption onset towards lower energies is observed, approaching the ideal band-gap value for photovoltaic materials which lies around 1.3–1.5 eV [72,73]. Since SLME incorporates the difference between the first direct allowed interband transition and the fundamental band gap to estimate nonradiative recombination processes, the resulting efficiencies are observed to have increased.

While interpreting these results, one has to keep in mind the theoretical limitations of the employed methodology. Spin-orbit coupling and excitonic effects, which could influence the calculated electronic structure, were omitted from this study due to their significant increased computational cost. Furthermore, defect properties (i.e., mobility, arrangement, migration) are significantly influenced by temperature fluctuations, which are not accessible within the methodological framework used here. In addition, values illustrated within this work are valid for a single-crystal material, where band alignment or interface barrier effects, though valid in real-time applications, are not present. Despite those limitations and their most likely negative effect on the calculated absorption efficiencies, the results discussed here match experimentally observed phenomena. A threefold improvement was shown in the overall PCE of Cu<sub>2</sub>O thin films doped with Zn compared to clean p-type  $Cu_2O$  ones [17], confirming the theoretical calculated values. In addition, Fe-doped Cu<sub>2</sub>O films have shown significant improvement of optical transmittance over undoped samples [29].



FIG. 8. Partial charge density (depicted in yellow) representation of vacant impurity band in the electronic structure of TM doped  $Cu_2O$  with one additional copper vacancy on the nearest-neighboring site. Isosurface level set to  $0.03 \text{ e/Å}^2$ . Red and blue spheres represent oxygen and copper atoms, respectively, while the differently colored atoms represent the transition metal dopant.



FIG. 9. Calculated SLME (left) together with the absorption coefficient (right) together for FRTM substitutional dopants in  $Cu_2O$ . SLME values lower than the initial  $Cu_2O$  (denoted with the black line) were omitted from the graph.



FIG. 10. Calculated SLME (right) together with the absorption coefficient (left) for FRTM substitutional dopants in  $Cu_2O$  with an additional copper vacancy. Values for SLME lower than the one for pristine  $Cu_2O$  (denoted with the black line) were omitted from the graph.

# **IV. CONCLUSION**

We have carried out a systematic computational study within a hybrid DFT formalism of the electronic and optical properties of transition metal-doped Cu<sub>2</sub>O. Additional copper vacancies, observed intrinsically in Cu<sub>2</sub>O, were also considered, and were found to have a stabilizing effect on the defect formation energies. The effect of dopants on the pristine band structure of Cu<sub>2</sub>O was analysed, identifying beneficial change from shallow impurity levels to deep intermediate states as additional copper vacancies are introduced in the system. To assess the overall absorption potential of doped compounds, the SLME metric was calculated. A positive effect on the overall photovoltaic conversion efficiency was observed for a majority of dopants, where Ni, Fe, and Zn reveal the most promising effect. Results presented in this work indicate the potential of first row transition metals as favorable dopants for improved absorption properties of Cu<sub>2</sub>O.

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Information on the data underpinning the results presented here, including how to access them, can be found in the Cardiff University data catalogue at [74].

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