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# **Drivers of GHG emissions from dietary transition patterns in China:**

## **Supply vs. demand options**

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1       **Abstract:** Diets have been changing drastically in China recent decades and this  
2 change has contributed considerably to greenhouse gas (GHG) emissions. In determining  
3 effective mitigation strategies for future emissions, it is necessary to know how emissions  
4 related to diet vary over time in overall magnitude and due to compositional changes  
5 driven by socioeconomic dynamics. This study evaluates the change in dietary GHG  
6 emissions in China during the 1997-2011 period by linking environmentally extended  
7 input-output tables with individual daily food intake data, and further decomposes the  
8 contribution to GHG emission changes of socioeconomic driving forces. The results  
9 show that GHG emissions related to national diet have been decreasing from 1180 Mt  
10 CO<sub>2</sub>e to 640 Mt CO<sub>2</sub>e (a 54% decline), largely due to technical innovation that has  
11 reduced the emissions per calorie of food (135% of the total reduction). The change in  
12 dietary patterns has had mixed effects, with a decline in calorie intake reducing emissions  
13 by 21% while increases in animal-sourced food consumption have raised emissions by  
14 25%. Our findings stress the importance of technical progress in the historical change in  
15 dietary GHG emissions and suggest a focus on behavior change for future research and  
16 policymaking, which has the potential to promote dietary changes towards less animal  
17 product consumption. Our findings highlight the importance of both technological and  
18 demand-side behavioral options in reducing the impact of diets on GHG emissions.

19       **Key words:** diet, GHG emissions, driving force, socioeconomic transformation

## 20 **1. Introduction**

21 Human diets contribute significantly to greenhouse gas(GHG) emissions in China.  
22 To feed a population of more than 1.3 billion, the country produced substantial GHG  
23 emissions that amounted to 1,600 Mt CO<sub>2</sub>-eq emissions in 2010 (H. Li, Wu, Wang, & Qi,  
24 2015). GHG emissions associated with diets are predicted to reach 2,500 Mt CO<sub>2</sub>-eq in  
25 2050 (World Bank, 2010), equivalent to the total emissions of India in 2013 (GHG  
26 Platform India, 2017). Such a trend requires urgent policy intervention if China wants to  
27 address GHG emissions from the national food system to make sure they align with its  
28 GHG emission reduction target commitments for the Paris Agreement (Guan, Liu, Geng,  
29 Lindner, & Hubacek, 2012; Xu & Lan, 2016). In order to develop effective strategies, it  
30 is thus imperative for decision-makers to identify the key underlying driving forces of  
31 GHG emissions change over time.

32 The effect of socioeconomic transformation on diets and their related GHG  
33 emissions has been explored in multiple studies. Similar to other developing countries,  
34 China has been experiencing a nutritional transition characterized by reduced intake of  
35 carbohydrates and increased consumption of animal-sourced foods (Barry M Popkin,  
36 Linda S Adair, & Shu Wen Ng, 2012; Zhai et al., 2009). The consumption of meat has  
37 increased by more than 30% during the past two decades, while the consumption of  
38 cereals has decreased by 30% over the same period (J. Liu & Savenije, 2008; F. Wang,  
39 Cai, & Zhang, 2020). On the one hand, this transition contributes to increased emissions  
40 from food consumption (Tilman & Clark, 2014). On the other hand, calorie intake by  
41 individuals is declining largely because people are requiring less energy due to less  
42 physically active types of work (Du, Lu, Zhai, & Popkin, 2002; Barry M Popkin et al.,

43 2012), which may help reduce the emissions per capita. The calorie intake for a typical  
44 Chinese individual has been decreasing from 2490 kcal\*day<sup>-1</sup> in 1982 to 2170 kcal\*day<sup>-1</sup>  
45 in 2012 (National Health and Family Planning Commission of China, 2013). This  
46 transition is further facilitated by rapid urbanization which makes restaurants and  
47 animal-sourced foods more readily available and lessens the physical activity required for  
48 an increasingly sedentary lifestyle (Monda, Gordon-Larsen, Stevens, & Popkin, 2007; Ng,  
49 Norton, & Popkin, 2009). Previous research has shown higher GHG emissions per capita  
50 for food consumption in urban households than in their rural counterparts (L.-C. Liu, Wu,  
51 Wang, & Wei, 2011; Z. Wang & Yang, 2014). Finally, population growth with aging  
52 trends, and technical innovations in agricultural production could also play important  
53 roles in the changing GHG emissions resulting from food consumption.

54 Recent research has rarely explored how dietary GHG emissions change over time  
55 nor the contribution of key socioeconomic drivers. Most recent studies have focused on  
56 cross-sectional quantification that links habitual food consumption with emission factors  
57 or conducted comparisons associated with counterfactual dietary scenarios (Green et al.,  
58 2015; Heller & Keoleian, 2015; Reynolds, Piantadosi, Buckley, Weinstein, & Boland,  
59 2015; Song, Li, Fullana-i-Palmer, Williamson, & Wang, 2017; Vetter et al., 2017;  
60 Westhoek et al., 2014). Through statistical models at the individual or household level, a  
61 few works have identified socioeconomic factors that may affect diet-related GHG  
62 emissions, including personal income (Song, Li, Semakula, & Zhang, 2015; F. Wang et  
63 al., 2020), educational background (Song et al., 2015), etc. While these contribution to  
64 the literature identify the demographic characteristics that have an effect on individual  
65 dietary patterns and consequential environmental impacts, it is still unclear how much

66 each driving force contributes, and what the key factors affecting diet-related GHG  
67 emissions are. Understanding these relationships benefits decision-makers by enabling  
68 policy design focusing on the most cost-effective measures in approaching future  
69 emission reductions and the sustainability of the food system.

70 This study investigates the temporal changes in GHG emissions resulting from diets  
71 in China and quantifies the contribution of each factor that drives the change. We linked  
72 individual-level nutritional survey data collected during 1997-2011 from the China  
73 Health and Nutrition Survey (CHNS) with high-resolution emissions factors from  
74 environmentally extended input-output (EEIO) tables to quantify the per-capita dietary  
75 GHG emissions by age groups in both urban and rural areas, and extrapolated the  
76 emissions for the whole country by employing detailed national statistics on population  
77 structure. Next, we conducted a logarithmic mean Divisia index (LMDI) decomposition  
78 to detect the contribution of multiple driving forces, including technical innovation,  
79 dietary structural transition, change in calorie intake, urbanization, aging, and population  
80 growth, and the changes in such contributions across the years. Based on these results, we  
81 discuss possible policy interventions from both the production and consumption sides,  
82 and their potential cost and benefits. Given the ongoing socioeconomic transformation,  
83 human nutritional requirements, and environmental change faced by the developing  
84 world, our findings not only address the challenges faced by China from the impacts on  
85 the environment associated with the dietary transition, but does also provide a more  
86 general framework applicable to study other countries on a similar track of  
87 socioeconomic transformation.

## 88 **2. Methodology and data**

### 89 **2.1 Quantifying individual food intakes**

90 The individual dietary intakes come from the China Health and Nutrition Survey  
91 (CHNS). This survey regularly collects the daily food intake of individuals along with  
92 their socioeconomic characteristics. CHNS samples from 9 Chinese provinces<sup>1</sup>, including  
93 the more developed east coastal areas such as Shandong and Jiangsu, northeast provinces  
94 such as Heilongjiang and Liaoning, central provinces such as Henan, Hubei, and Hunan,  
95 and the less-developed southwest areas such as Guizhou and Guangxi. Although that the  
96 survey does not adopt a nationally representative sampling framework, such a  
97 heterogeneous sample represents different geographical, socioeconomic and cultural  
98 contexts, with the same communities and villages traced across the years. As shown in  
99 Figure S1 in the supporting information, these 9 provinces display diverse patterns of  
100 food consumption, urbanization rate, and per capita disposable income among all the  
101 provincial districts of China.

102 In the survey, each individual is requested to record her/his food intake over three  
103 continuous days based on 24-hour recalls. Cooking oil and condiment intake are  
104 estimated by measuring the weight difference in these items between the beginning and  
105 the end of the survey period for each family, a task that is assigned to each family  
106 member based on the method developed by (Du, Mroz, Zhai, & Popkin, 2004). All the  
107 food items in the CHNS are recorded with a food code that matches it with its nutrition  
108 facts in the Chinese Food Composition Tables (CFCTs), which enables us to identify the

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<sup>1</sup> The scope of the investigation has been expanded since 2011 with more provincial administrative districts sampled. However, we still use the sample from 9 provinces for the study period as it provides the largest coverage over time.

109 types of foods eaten and calculate their total caloric values. We aggregate all the items in  
110 the CFCTs into 13 food groups: cereals, oils and fats (including vegetable oils and animal  
111 fats), livestock, poultry, vegetables, tubers, eggs, nuts, seafood, legumes, fruits, dairy, and  
112 others. The data collection started in 1989, and 9 waves of the survey have been  
113 conducted so far. In total, observations from approximately 7,200 households amounting  
114 to over 30,000 individuals have been recorded. Our analysis concentrates on the later  
115 waves including the years 1997, 2000, 2004, 2006, 2009, and 2011 since information on  
116 the nutrition content of the food intake is not available for earlier years.

## 117 **2.2 Evaluating dietary GHG emissions**

118 We use input-output (IO) analysis to calculate the consumption-based GHG  
119 emissions of the diets. Our aim here is to include both the direct and indirect  
120 environmental impacts of each consumed good or service per monetary unit, which can  
121 be captured by an environmentally extended input-output analysis. We start with a  
122 standard IO model of the interdependent sectors of the Chinese economy:

$$123 \quad x = (I - A)^{-1}y \quad (1)$$

124 where  $x$  is a vector of the total sectoral economic output,  $A$  denotes the direct  
125 input-output coefficients matrix,  $I$  is the an identity matrix with same dimensions as  
126  $A$ , and  $y$  denotes the vector of final sectoral consumption. In this way, the GHG  
127 emissions of the total output in each sector ( $E_{total}$ ) can be calculated as:

$$128 \quad E_{total} = f(I - A)^{-1}y \quad (2)$$

129 where  $f$  is a vector of the direct GHG emissions from the products of each sector.  
130 Accordingly, the elements of  $f(I - A)^{-1}$  indicate the total GHG emissions from one  
131 monetary unit of the final product from each sector.

132 The input-output table used here was retrieved from the EXIOBASE database  
133 (Stadler et al., 2018). The dataset has been adopted in several studies concerning the  
134 environmental impact of food consumption (Behrens et al., 2017) and food waste  
135 (Usubiaga, Butnar, & Schepelmann, 2018). It includes global multi-regional IO tables for  
136 1995-2011, which covers the study period of this research and thus can be matched with  
137 each wave of our longitudinal food intake data to capture the production-side changes in  
138 emissions over time. The EXIOBASE IO tables are specified in terms of 200 products, of  
139 which approximately 20 are food-related, which allows for the differentiation of the the  
140 emissions from each food category. The dataset also includes an environmental account  
141 of the main GHG emissions covering CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O. We convert all the accounts to  
142 CO<sub>2</sub> equivalents using 100-year global warming potential for greenhouse gases reported  
143 by the United Nations Framework Convention on Climate Change (Intergovernmental  
144 Panel on Climate Change, 2007). Emissions from land use and land cover change are  
145 excluded. Since the food products consumed in China are produced in various countries  
146 where the GHG emissions per monetary unit of product differ, we calculate the average  
147 coefficient on each product weighted by the proportion imported from each country.  
148 Although IO tables for China are also available from other data sources such as the  
149 Chinese Environmentally Extended Input-Output Database (Liang et al., 2017), they  
150 either have a lower resolution of sectors, cover fewer years, or lack environmental  
151 satellite accounts for non-CO<sub>2</sub> GHGs. Therefore, to cover more accurately with more

152 detailed data the important diet transition period, we adopt the EXIOBASE dataset for  
153 our analyses.

154 We link the CHNS data with the emission factors from the EXIOBASE tables by  
155 food groups. Using the EXIOBASE table for the corresponding years, each food item  
156 from the CHNS dataset is associated with an economic sector as the final product from  
157 that sector(s) (The concordance table is included in the supplementary data). Since the  
158 food intake data from CHNS are measured in quantities while the emission factors from  
159 EXIOBASE are quantified in euros, we need to convert the two into the same units using  
160 food prices. We thus calculate the producer food prices of 2011 with data on production  
161 quantity and value from FAOSTAT (FAOSTAT, 2020b) to match with the basic price IO  
162 table. The prices of previous years, because they are not directly available in FAOSTAT  
163 for some food products, are extrapolated using the price indices from the same data  
164 source. To validate this extrapolation, we compare the extrapolated data with the prices  
165 of some major agricultural products at the market fairs obtained from the China  
166 Yearbook of Agricultural Price Survey. As shown in Figure S2, the prices from the two  
167 sources are strongly linearly correlated with a coefficient of 0.97, despite the fact that  
168 most producer prices from FAOSTAT are smaller than the prices in the yearbook which  
169 contains additional costs from transportation, storage, etc. Finally, the GHG emissions  
170 from the daily food intake of each individual are calculated by multiplying the factors  
171 from EXIOBASE, the extrapolated price, and the quantity of intakes from CHNS. For the  
172 food items consumed away from home, CHNS also records the type and amount of intake.  
173 We thus calculate the emissions from these food items following the same method so that

174 the same food item results in the same emissions whether it is consumed at home or in a  
 175 restaurant.

176 We proportionally extrapolate per capita emissions to the national level with  
 177 population statistics. As a microlevel dataset, CHNS investigates 9 provinces. However,  
 178 the survey does take into consideration socioeconomic heterogeneity when selecting  
 179 areas for investigation and stratifies counties by income level in the sampling procedure.  
 180 In this way, we assume that the CHNS reflects the dietary patterns of individuals from  
 181 different age cohorts living in both urban and rural areas for the whole country and the  
 182 changes in those patterns throughout the years. We calculated the per capita food intake  
 183 for 9 age groups (0-10, 10-19, ..., 70-79, 80+) in 2 areas of residence (urban, rural). With  
 184 the proportion of the population in each cohort taken from the *China Statistical Yearbook*  
 185 (National Bureau of Statistics of the People's Republic of China, 2012), we are able to  
 186 calculate the total dietary GHG emissions for the whole country.

187 The dietary GHG emissions in China are calculated as follows:

$$188 \quad E_t = \sum_k \sum_j \sum_i em_{it} \cdot price_{it} \cdot c_{ijkt} \cdot P_{jkt} = \sum_k \sum_j \sum_i e_{it} \cdot c_{ijkt} \cdot P_{jkt} \quad (3)$$

189 where  $E_t$  denotes the total dietary GHG emissions in China in period  $t$ .  $e_{it}$   
 190 denote the emissions generated by producing one gram of food in food group  $i$ , which  
 191 can be calculated using  $em_i$ , the total emissions from producing one monetary unit of  
 192 food in food group  $i$  that comes from the elements  $f(I - A)^{-1}$  for food-producing  
 193 sectors in equation (2), and that food group's price,  $price_{it} \cdot c_{ijkt}$  denotes the per capita  
 194 daily intake of food group  $i$  in grams for individuals from age group  $k$  living in area

195  $j$ , where  $j$  denotes the division of urban or rural area.  $P_{jkt}$  denotes the number of  
 196 people in the cohort of age group  $k$  living in area  $j$  in period  $t$ .

### 197 2.3 Exploring the driving forces

198 We explore how the socioeconomic transition has restructured dietary GHG  
 199 emissions in China with an additive LMDI method. Developed by Ang (Beng W Ang,  
 200 Zhang, & Choi, 1998), this method is able to quantify the contribution of each driving  
 201 force without residuals (B. W. Ang, 2015). In this study, we focus on how technical  
 202 progress, dietary transition, and population change affect dietary GHG emissions over  
 203 time. Rearranging the equation in the previous section gives

$$\begin{aligned}
 E_t &= \sum_k \sum_j \sum_i e_{it} \cdot c_{ijkt} \cdot P_{jkt} \\
 204 \quad &= \sum_l \sum_k \sum_j \sum_i \frac{e_{it}}{cal_{it}} \cdot \frac{c_{ijkt} \cdot cal_{it} \cdot ntr_{ilt}}{NTR_{jklt}} \cdot \frac{NTR_{jklt}}{N_{jkt}} \cdot N_{jkt} \cdot \frac{P_{jkt}}{P_{jt}} \cdot \frac{P_{jt}}{P} \cdot P \quad (4) \\
 &= \sum_l \sum_k \sum_j \sum_i ec_{it} \cdot NC_{lijkt} \cdot D_{ljkt} \cdot N_{jkt} \cdot G_{jkt} \cdot U_{jt} \cdot P
 \end{aligned}$$

205 where  $cal_i$  denotes calories per gram for food group  $i$  in period  $t$ .  $ntr_{ilt}$  denotes  
 206 percentage of calories from nutrient  $l$  for food group  $i$ , where  $l$  indicates a  
 207 macronutrient carbohydrate, fat, or protein.  $NTR_{jklt}$  is the average total calorie intake  
 208 from macronutrient  $l$  for individuals from age group  $k$  living in area  $j$ .  $N_{jkt}$  is the  
 209 per capita daily total intake of calories for the same individuals in the age\*living area  
 210 cohort.  $P_{jt}$  and  $P$  denote the number of people living in area  $j$  (either urban or rural)  
 211 and the national population, respectively. Other terms are the same as in equation (3).  
 212 Therefore,  $ec_{it} = e_{it} / cal_{it}$  represents the technical progress in terms of per-calorie total  
 213 GHG emissions;  $NC_{lijkt} = c_{ijkt} \cdot cal_{it} \cdot ntr_{ilt} / NTR_{jklt}$  is the proportion of each macronutrient

214 in each food groups, namely the percentage of carbohydrate/fat/protein provided by each  
 215 food group. In this sense,  $\sum_i ntr_{it}=1$ .  $D_{ijkt}=NTR_{jkt}/N_{jkt}$  indicates the structure of  
 216 energy intake in terms of the percentages of carbohydrates, fats, and proteins in the  
 217 calorie supply;  $G_{jkt}=P_{jkt}/P_{jt}$  captures the change in the age structure;  $U_{jt}=P_{jt}/P_t$   
 218 gives the ratio of the urban and rural populations to the whole population and thus shows  
 219 the level of urbanization. We are interested in how the factors in the final form of  
 220 equation (3) contribute to the change in total dietary GHG emissions, both in directions  
 221 and magnitudes.

222 The change in  $E_t$  between two periods can be expressed as:

$$223 \quad E_t - E_0 = \Delta E_{tech} + \Delta E_{ntrcom} + \Delta E_{enstr} + \Delta E_{intake} + \Delta E_{aging} + \Delta E_{urban} + \Delta E_{pop} \quad (5)$$

224 In equation (4),  $E^T$  and  $E^0$  refer to the emissions during periods  $t$  and 0,  
 225 respectively. The contribution of each driving force can be calculated with

$$226 \quad \Delta E_{tech} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{ec_{it}}{ec_{i0}} \quad (6)$$

$$227 \quad \Delta E_{ntrcom} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{NC_{ijkt}}{NC_{ijk0}} \quad (7)$$

$$228 \quad \Delta E_{enstr} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{D_{ijkt}}{D_{ijk0}} \quad (8)$$

$$229 \quad \Delta E_{intake} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{N_{jkt}}{N_{jk0}} \quad (9)$$

$$230 \quad \Delta E_{aging} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{G_{jkt}}{G_{jk0}} \quad (10)$$

$$\Delta E_{urban} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{U_{jt}}{U_{j0}} \quad (11)$$

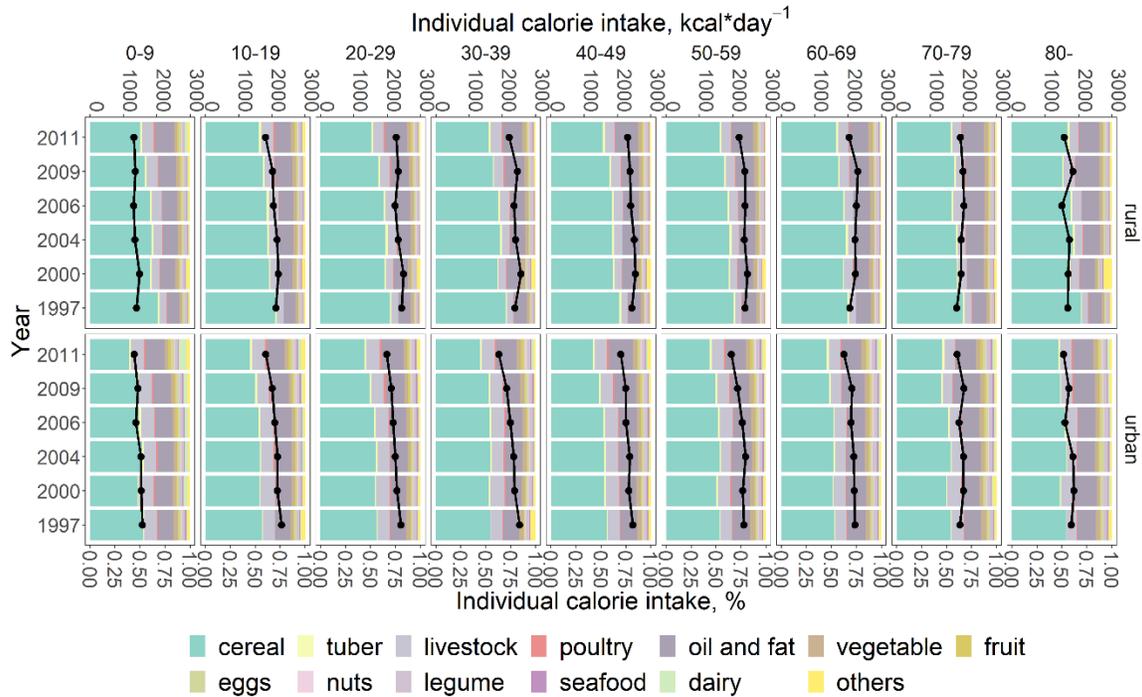
$$\Delta E_{pop} = \sum_k \sum_j \sum_i \frac{E_{ijkt} - E_{ijk0}}{\ln E_{ijkt} - \ln E_{ijk0}} \ln \frac{P_t}{P_0} \quad (12)$$

### 233 3. Results

#### 234 3.1 Dietary transition in China

235 The Chinese have been reducing their calorie intake while shifting to a higher intake  
 236 of non-starchy food, especially meat and oil and fat. The age-cohort-weighted average  
 237 calorie intake, although increasing slightly from 2180 kcal/day in 1997 to 2238.9 in 2000,  
 238 started to decline from 2000 until reaching 1960 kcal/day in 2011. Statistics from other  
 239 national statistics show similar results: the averaged calorie intake of Chinese decreased  
 240 from 2330 kcal/day in 1992 to 2250.5 kcal/day in 2002, and then to 2170 kcal/day in  
 241 2012 (National Health and Family Planning Commission of China, 2013). Concomitantly,  
 242 starchy food has been slowly replaced by animal products. Cereals accounted for 64.3%  
 243 of daily calorie intake in 1997 but 48.2% in 2011. This decline is steeper than the decline  
 244 in total calorie intake, indicating that Chinese people are replacing cereals with other  
 245 foods while eating fewer calories in total. The replacement mainly comes from livestock  
 246 products, and oils and fats with increases from 8.2% to 11.5% and 13.8% to 20.6%,  
 247 respectively. Other foods, including poultry products, seafood, dairy products, eggs,  
 248 legumes, and fruits, while accounting for no more than 4% of the calorie intake each, all  
 249 exhibit a slight increase in consumption as well. The intake levels of tubers, vegetables,  
 250 and other foods fluctuate within a narrow range over time and show no obvious trends.

251 Diets have been transitioning along a similar trend for individuals from different  
252 groups but with different levels. We present the change in calorie intake and its  
253 composition by food group in Figure 1. On average, urban residents show lower calorie  
254 intake and a steeper decline in calorie intake over the study period, from 2270 kcal/day to  
255 1890 kcal/day. In contrast, their rural counterparts first increased from 2131.2 kcal/day to  
256 2259.3 kcal/day in 2000 and started to decrease to 2026.2 kcal/day in 2011. These  
257 observations are also consistent with the data from the national nutritional survey, which  
258 showed the calorie intake changing from 2394.6 kcal/day in 1992 to 2134 kcal/day in  
259 2002 and 2052.6 kcal/day in 2012 in the urban area, and from 2294 kcal/day to 2295.5  
260 kcal/day and 2286.4 kcal/day in the rural area (National Health and Family Planning  
261 Commission of China, 2013). Concerning the composition of calorie intake, urban  
262 residents derive a larger percentage of calories from non-starchy food in general, but their  
263 rural counterparts are catching up rapidly. For urban residents, the calories from cereal  
264 were reduced from 55.0% in 1997 to 43.7% in 2011, while these values were 69.0% and  
265 52.5% for rural residents. In the meantime, calories from fats and oils increased from  
266 16.0% to 20.9% and 12.6% to 20.4% for urban and rural residents, respectively. Calories  
267 from livestock also increased in rural areas from 6.5% to 10.3% while ranging from 11.6%  
268 to 13.9% in urban areas without any evident temporal trend. A similar comparison  
269 applies to other food groups. The difference across age groups is less apparent. Figure 1  
270 shows that adults and adolescents exhibited a steeper decline in calorie intake compared  
271 to other age groups . However, the changes in the macronutrient composition of calorie  
272 intake are similar for each age cohort.



273

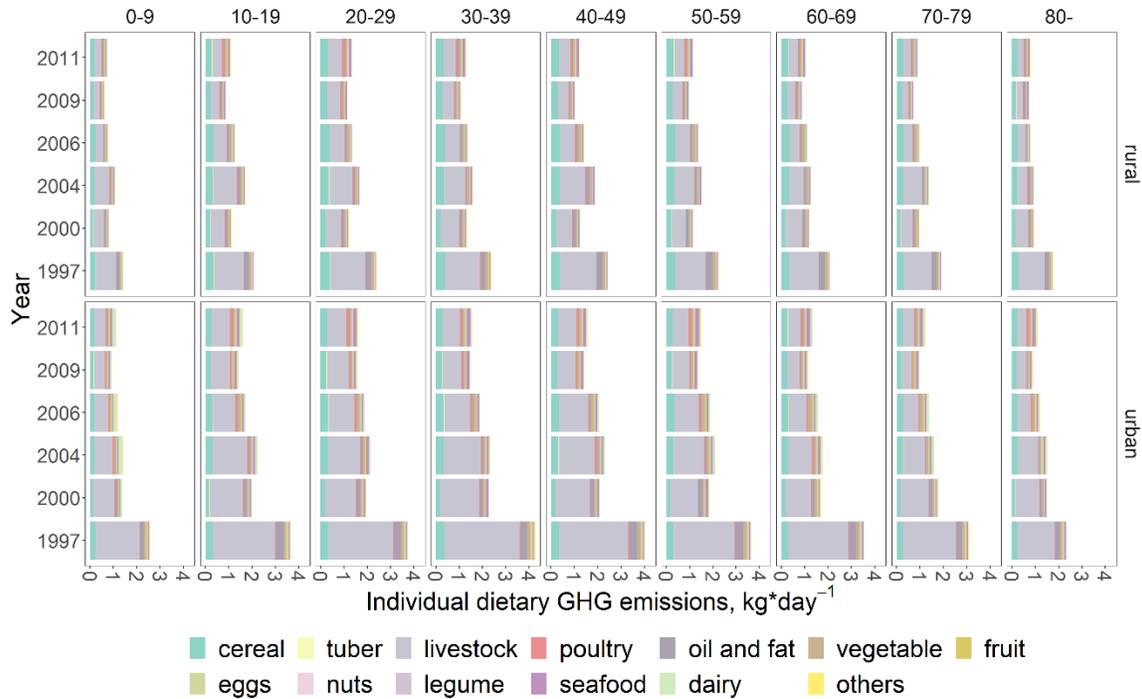
274 *Figure 1 Individual calorie intake and its composition for different age\*living area cohorts. The points and lines*  
 275 *show the trend of total calorie intake per capita (scaling shown on the top); the stacked bars show the*  
 276 *composition of the calorie intake (scaling shown at the bottom).*

### 277 **3.2 Dietary GHG emissions and its composition**

278 Dietary-related GHG emissions have fallen over time, with the decline mainly  
 279 coming from reduced emissions related to meat, cereals, and vegetables despite the fact  
 280 that the meat intake has been increasing. Emissions at the individual level by residence  
 281 area and age group are shown in Figure 2. The age-cohort-weighted average dietary GHG  
 282 emissions decreased from 2.61 kg CO<sub>2</sub>e/(capita\*day)<sup>-1</sup> in 1997 to 1.32 kg  
 283 CO<sub>2</sub>e/(capita\*day) in 2011. This value resembles the results of a previous study (Song et  
 284 al., 2015), which estimates a 5-95% interval of 2.12 to 3.87 kg CO<sub>2</sub>e/(capita\*day) and a  
 285 mean of 2.96 kg CO<sub>2</sub>e/day during the period of 2004-2009. Our results are also smaller  
 286 than those for developed countries, e.g. 2.94-5.93kgCO<sub>2</sub>e/day in the UK (Scarborough et

287 al., 2014), and 4.17kgCO<sub>2e</sub>/day in France (Vieux, Darmon, Touazi, & Soler, 2012),  
288 partially due to lower consumption of meat and dairy products. The largest decrease  
289 occurred during the 1997-2000 period, after which the emissions bounced back during  
290 2000-2004 and then continued to decrease. Livestock, cereal, and vegetable accounted for  
291 the majority of the dietary emissions (more than 60% in total), and contributed the largest  
292 reduction. The emissions per capita from livestock products changed from 1.75 kg  
293 CO<sub>2e</sub>\*day<sup>-1</sup> to 0.57 kg CO<sub>2e</sub>\*day<sup>-1</sup>),cereal (from 0.34 kg CO<sub>2e</sub>\*day<sup>-1</sup> to 0.29 kg  
294 CO<sub>2e</sub>\*day<sup>-1</sup>), and oils and fats (from 0.23 kg CO<sub>2e</sub>\*day<sup>-1</sup> to 0.03 kg CO<sub>2e</sub>\*day<sup>-1</sup>). The  
295 emissions from poultry products per capita experienced a slight increase from 0.025 kg  
296 CO<sub>2e</sub>\*day<sup>-1</sup> to 0.84 kg CO<sub>2e</sub>\*day<sup>-1</sup>. A decrease in emissions is also observed for other  
297 food groups, with a reduction of no more than 0.15 kg CO<sub>2e</sub>\*day<sup>-1</sup> each. This downward  
298 trend is mainly due to technical advances despite the increased intake of some food  
299 groups as will be explained in a later section.

300 Individuals from different areas show similar patterns in emissions over the years  
301 but these patterns differ in extent. Urban residents are responsible for higher emissions  
302 because they eat more animal products, particularly meat, as a proportion of their daily  
303 diets. At the same time, their emissions also decrease more rapidly due to a larger  
304 reduction in total meat consumption. In urban areas, the individual dietary emissions  
305 decreased from 3.67 kg CO<sub>2e</sub>\*day<sup>-1</sup> to 1.50 kg CO<sub>2e</sub>\*day<sup>-1</sup> on average with emissions  
306 from livestock reducing from 2.70 kg CO<sub>2e</sub>\*day<sup>-1</sup> to 0.71 kg CO<sub>2e</sub>\*day<sup>-1</sup>, while in rural  
307 areas, the values are 2.11 kg CO<sub>2e</sub>\*day<sup>-1</sup> and 1.12 kg CO<sub>2e</sub>\*day<sup>-1</sup> with emissions from  
308 livestock reducing from 1.30 kg CO<sub>2e</sub>\*day<sup>-1</sup> to 0.45 kg CO<sub>2e</sub>\*day<sup>-1</sup>.



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Figure 2 Per capita dietary GHG emissions by age and residence.

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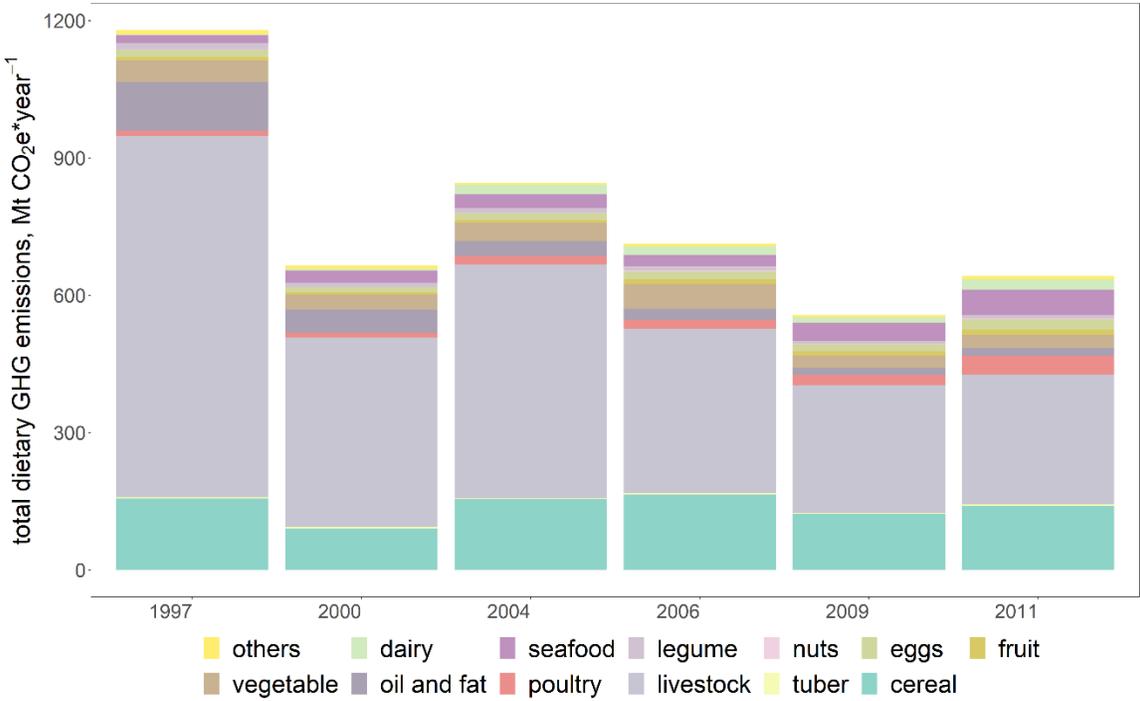
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At the national level, dietary GHG emissions have been reduced as well. As shown in Figure 3, the total annual emissions for the whole nation declined from 1178.8 Mt CO<sub>2e</sub> in 1997 to 556.2 Mt CO<sub>2e</sub> in 2009, though they slightly increased to 641.9 Mt CO<sub>2e</sub> in 2011. This range is slightly lower than that in the evaluation of Li *et.al.*, which was 1,308 to 1,618 Mt CO<sub>2-eq</sub> GHGs during 1996-2010 (H. Li et al., 2015). This is possibly because the extent of Li *et.al.* includes the food chain system, while we only address the food consumed in China, excluding exports as well as food loss and waste but involving the emissions from imported products. As China has become a leading exporter of agricultural products in the world, (FAOSTAT, 2020a) and food loss and waste accounts for 10.8% to 48.2% of the total food consumption in industrialized Asia with variation across food groups (Gustavsson, Cederberg, Sonesson, & Emanuelsson, 2011), the emissions from these factors can lead to a considerable difference across studies.

323 Additionally, Li *et.al.*, while showing increasing GHG emissions over time, adopt the  
 324 temporally invariant IPCC emission factors for estimation so that the effect of technical  
 325 progress is not embodied in the historical change in emissions. The declining trend in  
 326 GHG emissions is similar to that found in Wang *et.al.* which also adopt the input-output  
 327 framework for evaluation but do not include non-CO<sub>2</sub> GHG emissions(F. Wang et al.,  
 328 2020). They present a 21% reduction in CO<sub>2</sub> emissions from household food  
 329 consumption in China during 1992-2007. Similarly, a decreasing trend is also found in  
 330 the CO<sub>2</sub> emissions from European agricultural production during 1995-2009, mainly due  
 331 to decreasing energy intensity (T. Li, Baležentis, Makutėnienė, Streimikiene, &  
 332 Kriščiukaitienė, 2016). As the factors such as technical advancement contributing to  
 333 emissions reductions can reduce CO<sub>2</sub> and other GHGs jointly, it is possible to find a  
 334 higher reduction rate when both are included in the evaluation.



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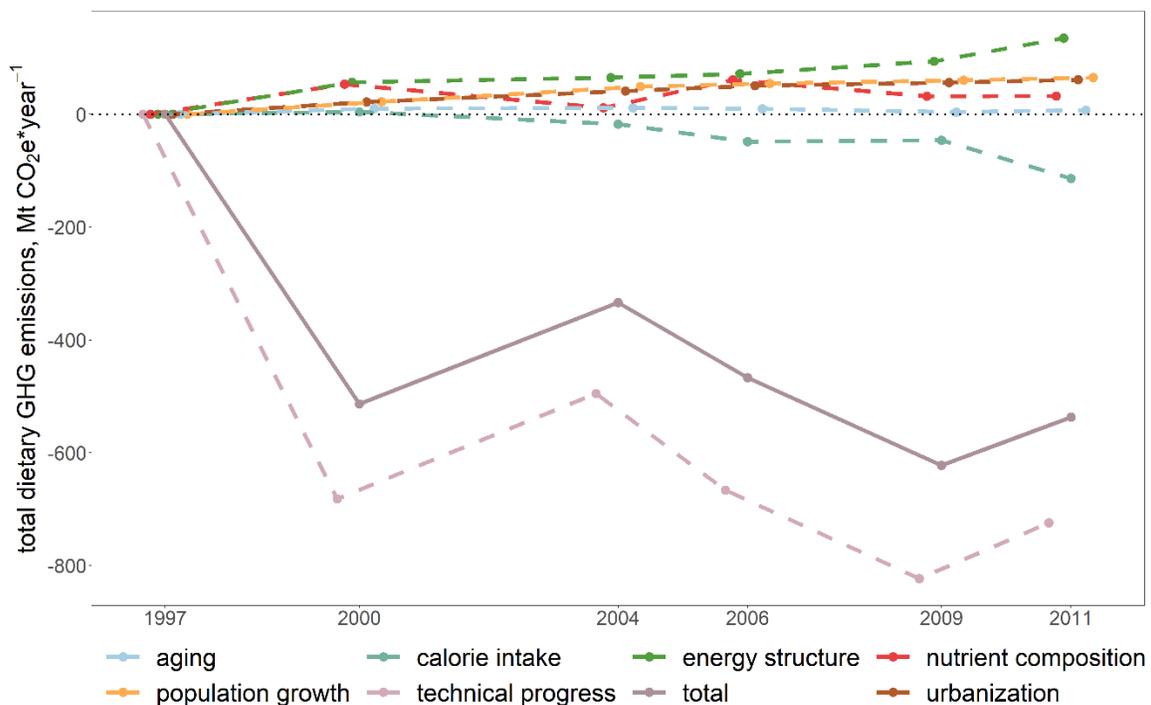
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Figure 3 The composition of total dietary GHG emissions by food groups over time in China

### 337 **3.3 Driving forces of the changing diet**

338 Technical progress plays a dominant role in driving down dietary GHG emissions,  
339 while factors other than calorie intake drive emissions up. We show the decomposition of  
340 the dietary GHG emissions in China during the 1992-2012 period in Figure 4. Technical  
341 progress dominates the reduction in the total emissions over time. Its critical role is also  
342 documented in Wang *et.al.* as the consumption of all types of foods except cereal  
343 increased for Chinese households during the 1992-2007 period, while their carbon  
344 footprint dropped over the same period, indicating a reduction in CO<sub>2</sub> per kg embedded  
345 in Chinese food consumption (F. Wang et al., 2020). Such a reduction in carbon emission  
346 intensity has also been documented in other studies particularly for animal products such  
347 as beef and pork (B. Lin & Lei, 2015; J. Lin, Hu, Cui, Kang, & Xu, 2015). The reduction  
348 in emissions from reduced calorie intake is smaller but has been increasing critical in  
349 recent years. The structural change of diets tends to increase emissions and have a similar  
350 contribution in magnitude. The switch from foods rich in carbohydrates (e.g. cereals and  
351 tubers) to those rich in fats and proteins (e.g. oils, fats, poultry, and livestock) has led to  
352 more emissions, as the latter two are usually more emissions-intensive - fat and protein  
353 are provided primarily by cooking oils and fats, and animal and soybean products,  
354 respectively, which emit more GHGs than the starchy foods in the food chain. The  
355 nutrient composition, namely the source of carbohydrates, fats, and protein, also matters,  
356 such as switching from plant-sourced protein (soybean products) to the animal-source  
357 protein (meat). Demographic change generally leads to higher emissions primarily due to  
358 population growth. Urbanization also leads to higher emissions, attributable to the fact  
359 that more restaurants are readily accessible, which cater to the growing demand for

360 dining out and tend to serve foods with high fat and more meat to attract customers  
 361 (Byrne, Capps, & Saha, 1996; McCracken & Brandt, 1987; Barry M. Popkin, Linda S.  
 362 Adair, & Shu Wen Ng, 2012). Moreover, the opportunity costs of preparing food rise due  
 363 to shifts in working styles and more women entering the labor market (Wilkinson, 2004).  
 364 As a result, easily and instantly available processed food items become popular, leading  
 365 to easier portability, storage, and preparation at a low price due to advancements in  
 366 industrial food production (Thow, 2009). As such, change tends to be more drastic for  
 367 urban residents compared with their rural residents, and therefore urbanization plays a  
 368 role in increasing GHG emissions. Finally, the change in population structure presents a  
 369 negligible but positive contribution to the increase of dietary GHG emissions.



370  
 371 *Figure 4 LMDI decomposition of the dietary GHG emissions in China*

372 Each food group contributes to the dietary emissions change differently through  
 373 both the production and consumption sides: Technical progress may differ by food

374 production sectors and thus lead to heterogeneous emissions reduction rates; Dietary  
375 change also results in a disproportional adjustment of the amount each food group  
376 consumed in individual daily diets. To identify the key food groups for policy  
377 intervention, we further explore how each food group contributes to the change in dietary  
378 GHG emissions by adding up the components in equation (4) by food group following  
379 the method in (Zhao & Chen, 2014). The results mark meat and cereals as two major  
380 contributors to emissions reduction (Figure 5). Given that livestock consumption actually  
381 increased during the study period, this result shows that advancement in technology  
382 efficiency can compensate for emission changes in the dietary composition. The  
383 reduction started to slow in recent years, with emissions from meat even increasing  
384 slightly during the 2009-2011 period due to both smaller marginal improvement on the  
385 production side and a continuing increase in meat consumption. These two factors drove  
386 emissions in the same direction for cereals; cereal consumption went down as individuals  
387 switched to foods with more fat and protein. The contribution of other foods is primarily  
388 a result of technical progress as their intake changes only marginally. One exception is  
389 dairy products, which drive up emissions slightly, indicating that the increase in milk and  
390 yogurt (the major dairy products that consumed in China) consumption balances out the  
391 benefits from technical progress.

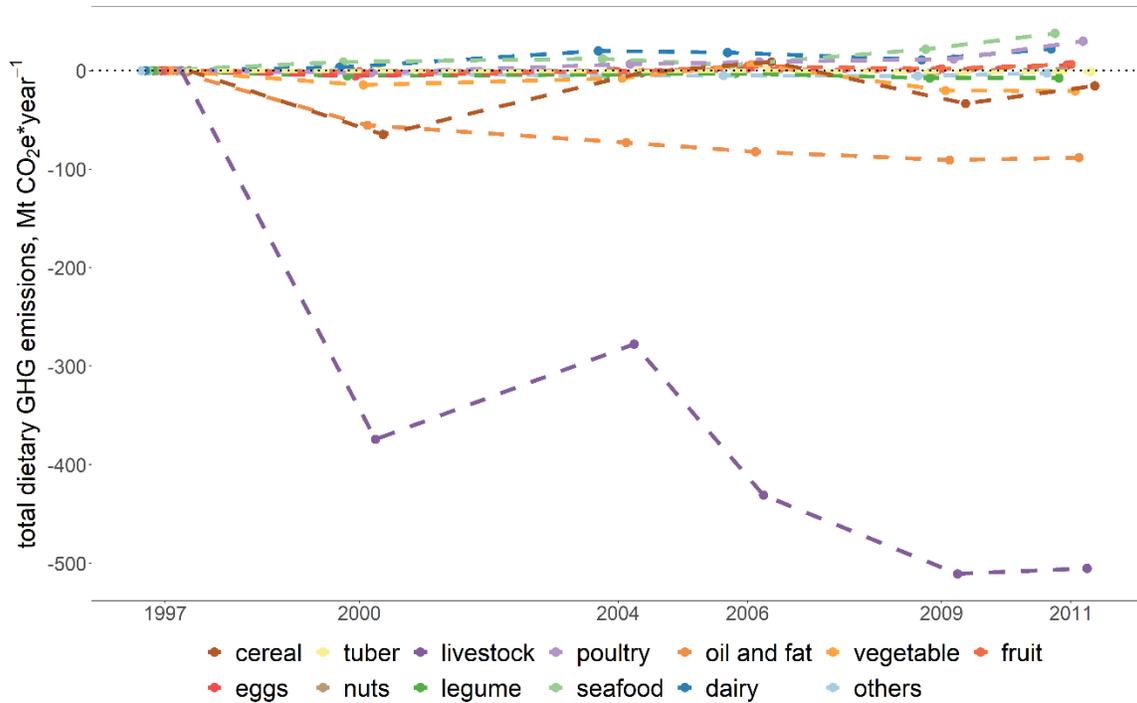


Figure 5 Contribution to dietary GHG emissions by food groups

## 4. Discussion

### 4.1 Driving forces of dietary emissions

The change in dietary composition is likely driven by interactions among concomitant factors, including lower calorie requirements due to sedentary occupations, lower food prices, greater purchasing power, and more urbanized and modernized food preparation and supply systems (Gómez & Ricketts, 2013; Kearney, 2010; Barry M Popkin, 2003; Barry M. Popkin, 2014; Barry M. Popkin et al., 2012). The decline in calorie intake is mainly related to less physically demanding jobs for a large section of the population (Ng et al., 2009; Barry M Popkin, 2001). Machines have replaced a large portion of laborers engaged in physical work, and transportation has also become more motorized. Although leisure activities are also becoming more popular, sedentary lifestyles are becoming more and more widespread which reduces individuals' energy

406 requirements (Attard et al., 2015; Bauman, Allman-Farinelli, Huxley, & James, 2008; Ng,  
407 Howard, Wang, Su, & Zhang, 2014). These observations are reflected in the physical  
408 activity levels of the sampled individuals. As shown in Figure S3, all age groups in both  
409 the urban and rural areas are shifting to lifestyles demanding less physical activities,  
410 particularly adults. At the same time, starchy food accounts for a larger share of the diets  
411 of people with a higher energy requirement or lower income, as such foods are the  
412 cheapest source of energy in China (Du et al., 2004). As physical activity levels (PALs)  
413 decrease and incomes increase, people can afford more expensive calories from other  
414 types of foods (particularly animal-sourced foods) and thus choose to cut down  
415 consumption on rice and flour (Du et al., 2004; Barry M Popkin & Du, 2003). Falling  
416 food prices also play a role. Because animal-sourced food has become cheaper and more  
417 affordable due to trade openness and technical advances while purchasing power has  
418 simultaneously risen, the intake levels of animal-sourced foods have increased (Barry M.  
419 Popkin et al., 2012).

420 The urban-rural difference in dietary structure can be attributed to disparities in  
421 lifestyle and residential environment. (Barry M. Popkin et al., 2012; Zhang, Cao, &  
422 Ramaswami, 2016). A modernized lifestyle and increased availability of restaurants and  
423 food outlets facilitated by the ongoing urbanization encourage away-from-home dining  
424 and in general the consumption of more processed food (Barry M. Popkin et al., 2012),  
425 which is usually characterized by significantly higher energy density and more animal  
426 products than that of food prepared at home (Byrne et al., 1996; McCracken & Brandt,  
427 1987). The impact of urbanization on lifestyles in China is expected to increase in the  
428 future given the ongoing agglomeration of the population in urban area.

429           Increases in age are associated with increases in emissions, although quantitatively  
430 small. These increases can be explained by the different food requirement of individuals  
431 of different ages. In our study, age is represented by the percentage of the population  
432 from each age group. Fertility declines in an aging population structure, and the  
433 proportion of adults, who have a higher requirement of food, increases, which thus causes  
434 more emissions. Although elderly individuals, who require fewer calories than  
435 non-elderly adults, also account for a larger proportion of the population, the aggregated  
436 effect of population structure change is still positive. In other words, the direction of the  
437 aging effect depends on the specific shape of the population pyramid. Nevertheless, such  
438 an effect is trivial compared with other factors. Also, the study period is insufficient to  
439 separate the effect of pure aging from the effect of belonging to different generations  
440 growing up in different socio-economic environments. As more modernized and  
441 prosperous generation reaches older age, the impact is expected to be more significant.  
442 A small impact of increasing age was also found in previous studies. An evaluation of  
443 household carbon footprints in Japan, a country stepping into an aging society as well,  
444 also showed a flat trend in GHG emissions from food consumption (Shigetomi, Nansai,  
445 Kagawa, & Tohno, 2014). This latter study is also affected by a limited time span, so  
446 more research is needed to properly address this issue.

447           Our results show that dietary structure changes contribute more to rising GHG  
448 emissions than population growth. Other studies have shown that these findings are  
449 dependent on specific circumstances. Kastner et al. found that the effect of dietary change  
450 is slightly lower than that of population growth in determining agricultural land use in  
451 East Asia during 1963-1984, but exceeds the latter during 1984-2005; by contrast,

452 population growth still takes the lead in less developed areas such as Africa (Kastner,  
453 Rivas, Koch, & Nonhebel, 2012). Yang and Cui also concluded that dietary change may  
454 override population growth to raise the dietary water footprint on a global scale in the  
455 future (Yang & Cui, 2014). Along with our findings, these observations reflect how  
456 economic development significantly reshapes the dietary patterns of households until  
457 their environmental impact catches up with the impact from the growing population.

458 Our findings add to the body of research looking at driving forces behind dietary  
459 environmental impacts. We found that technical progress has an important role in the  
460 case of China. In general, , results may differ across the types of environmental footprints  
461 studied. (Kastner et al., 2012) found that population growth balances out the effect of  
462 technology in driving up the land requirement for global food consumption. (Yang & Cui,  
463 2014) found that technical progress has an important impact on water footprint, while  
464 Zhao and Chen concluded that economic development, population growth, and dietary  
465 change have a larger effect than changes in technology (Zhao & Chen, 2014). The  
466 conclusion reached by different researchers may also depend on the country's level of  
467 development. (Kastner et al., 2012) shows that for the agricultural land footprint, the  
468 technology has had a larger effect than population growth and dietary change over time  
469 in Europe, but the opposite is true in Asia, Africa and other areas.

#### 470 **4.2 Policy implications of abating dietary GHG emissions**

471 The distinct contribution of each driving factor provides a basis to assess the  
472 effectiveness of different policies in reducing dietary environmental impacts. Given that  
473 the benefits from technical progress are large but tends to level off, it is not clear how  
474 much such progress can contribute in the future. On the other hand, as research and

475 development costs for greener methods rises, production-side options will become  
476 increasingly less feasible. On the other hand, managing emission from the  
477 consumption-side appears to have greater potential, particularly for countries such as  
478 China with fast-growing food demand due to population growth, rapid urbanization, and  
479 increasing affluence. In particular, for developing countries like China, meat  
480 consumption is predicted to continue to increase in the future (Alexandratos & Bruinsma,  
481 2012). In order to limit more negative effects of this trend, providing public service  
482 advertisements and dietary education that advocate healthy diets with less meat could be  
483 a “low-hanging fruit”, to help driving affordable consumer behavioral change as shown  
484 in multiple programs (Afshin et al., 2017). Such measures addressing environmental  
485 issues can also improve the nutritional quality of diets and lead to positive health  
486 outcomes (Behrens et al., 2017; Springmann, Godfray, Rayner, & Scarborough, 2016).  
487 Another strategy is to make low-carbon, healthy foods such as vegetables, fruits, or meals  
488 with reduced oil more accessible in the food supply. As an example, governments can  
489 offer financial and regulatory incentives to increase the number of healthy food retail  
490 outlets offering local produce. In particular, China is urbanizing rapidly. Since  
491 urbanization can lock people into carbon-intensive lifestyles and diets (Seto et al., 2016),  
492 it is critical to take actions immediately to create a food environment that promotes  
493 sustainable diets before the urban lifestyle has become locked into path dependence.

494 As the most emission-intensive food group, meat is central in terms of policy  
495 development from both the production and consumption sides. Our results show a  
496 substantial reduction in GHG emissions due to production improvements. Despite  
497 diminishing marginal environmental benefits, there is still room for further emission

498 reduction by expanding the use of techniques such as ranching intensification and  
499 adopting best-practice animal management strategies discussed in recent studies (Herrero  
500 et al., 2016). On the other hand, consumer behavior change can make a significant  
501 difference. In China pork consumption has quadrupled since 1971, while beef  
502 consumption has increased fivefold (Westcott & Trostle, 2014). Both are predicted to  
503 continue to increase (Alexandratos & Bruinsma, 2012). Currently, the per capita meat  
504 consumption in China has exceeded dietary recommendations (Chinese Nutrition Society,  
505 2016; Song et al., 2017), bringing about adverse impacts on both the environment and  
506 individuals' health (He, Baiocchi, Hubacek, Feng, & Yu, 2018). Studies have shown that  
507 reducing meat consumption could lead to a considerable reduction in emissions in China  
508 (Song et al., 2017). Therefore, policy tools for promoting changes in food consumption  
509 behavior can complement production-based strategies to implement emissions reductions  
510 in the Chinese food system.

511

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